

# PLANNING-GRAPH AND SATISFIABILITY TECHNIQUES

## CHAPTER 10

# Outline

~~✗~~ Planning graph techniques: *May 15* ← subtle

- Motivation
- Planning graph
- Mutual exclusion
- Plan extraction
- Example

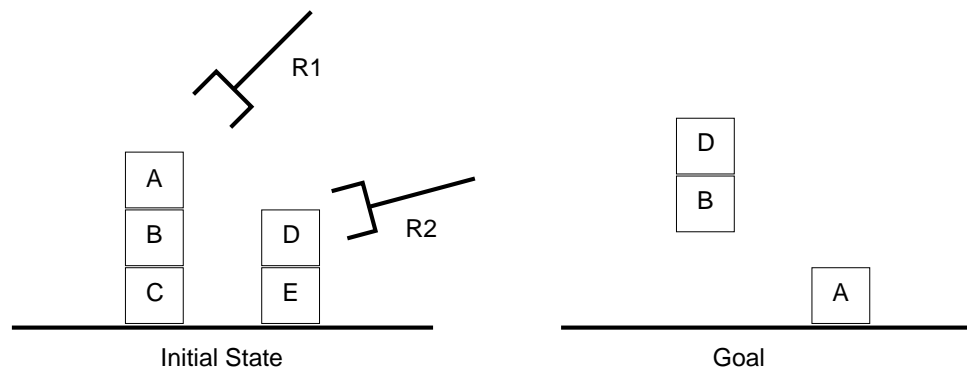
◇ SAT planning techniques: ← much easier

- Motivation
- Variables and clauses
- Example
- Encoding improvements
- Evaluation strategies

# Motivation

State-space search produces inflexible plans.

Part of the ordering in an action sequence is irrelevant. We only want to order actions to reflect positive or negative interactions between actions.



sequence:

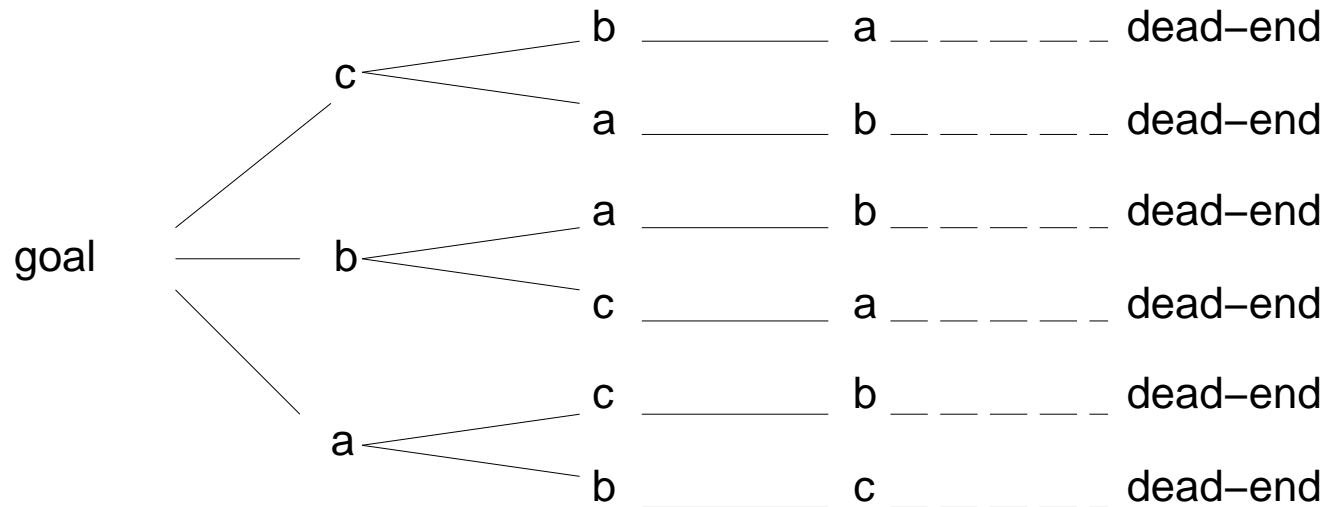
$\langle \text{unstack}(\text{R1}, \text{A}, \text{B}), \text{unstack}(\text{R2}, \text{D}, \text{E}), \text{putdown}(\text{R1}, \text{A}), \text{stack}(\text{R2}, \text{D}, \text{B}) \rangle$

parallel plan:

$\langle \{ \text{unstack}(\text{R1}, \text{A}, \text{B}), \text{unstack}(\text{R2}, \text{D}, \text{E}) \}, \{ \text{putdown}(\text{R1}, \text{A}), \text{stack}(\text{R2}, \text{D}, \text{B}) \} \rangle$

## Motivation

State-space search wastes time examining many different orderings of the same set of actions:



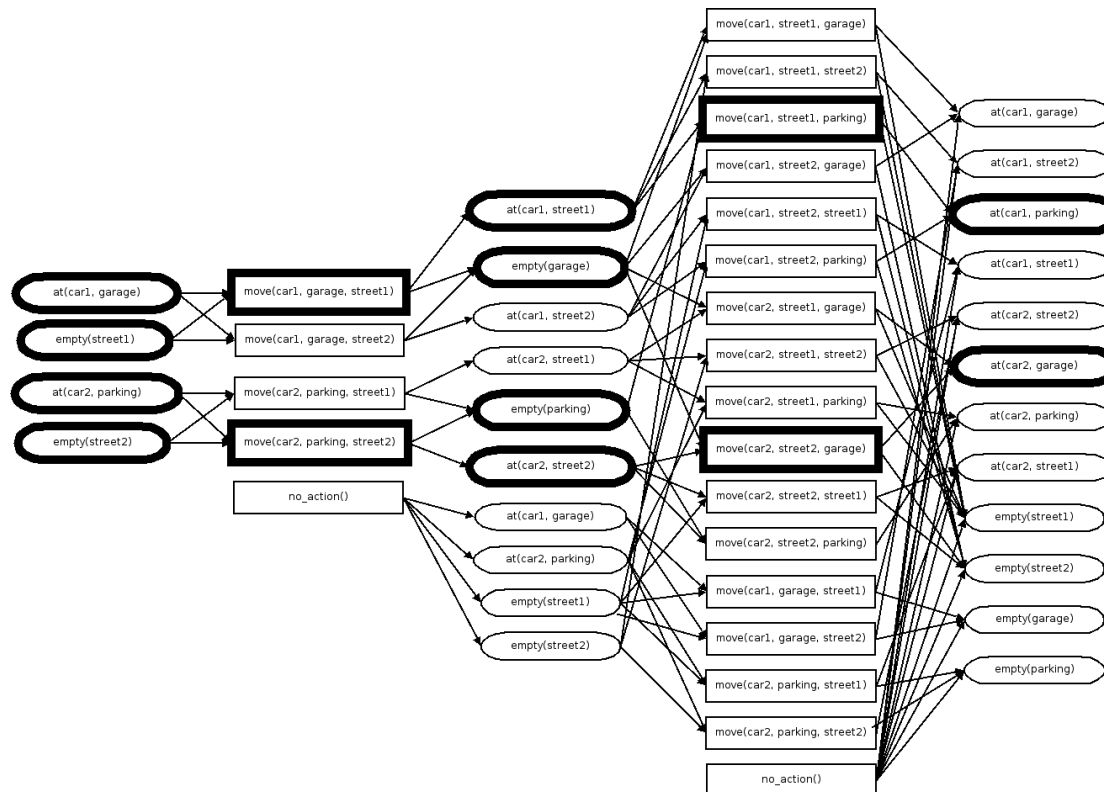
Not ordering actions that can take place in parallel can speed up planning

# Motivation

State space search wastes time exploring individual states in all their details

An alternative would be to reason about the propositions that can be true and about the actions that can be applicable after 1, 2, ..., k plan steps.

Amounts to relaxing the state space by “unioning” some of the states and propagating positive and negative interaction constraints.



# Graphplan

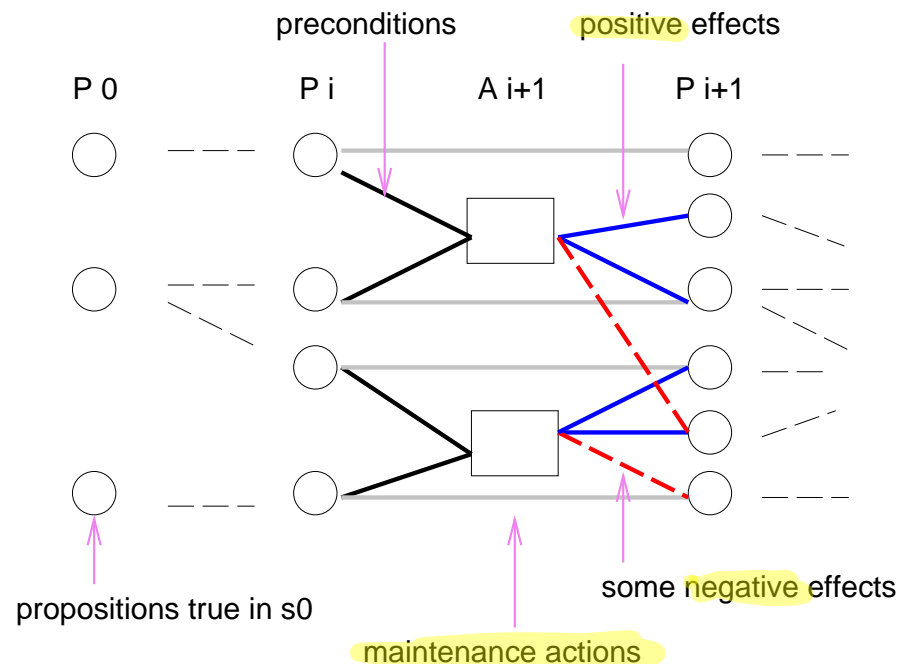
This is how Graphplan [Blum & First, IJCAI 1995] implements this idea:

1. Build a **planning graph** which can be viewed as a relaxation of the state space over  $k$  steps (note: a step includes several parallel actions). This can be done in polynomial time.
2. The planning graph captures information about **pairs of mutually exclusive actions and propositions**. It gives us a **necessary** but **insufficient** condition for when the goal is reachable in  $k$  steps.
3. Attempt to **extract a parallel plan** from the graph using a form of **backward search** through the graph.
4. If the extraction is unsuccessful,  $k$  is incremented, the graph extended, and a new extraction performed, and so on, until a plan is found or we determine that the problem is unsolvable.

# The planning graph

Alternating layers of propositions and actions,  $P_0, A_1, P_1, \dots, A_i, P_i, \dots, A_k, P_k$ :

- $P_0 = s_0$
- $A_{i+1}$  contains the actions that might be able to occur at time step  $i+1$ . Their preconditions must belong to  $P_i$ . We include maintenance actions (prec  $p$ , eff  $p$ ) for each proposition  $p \in P_i$  to represent what happens if no action at this layer in the final plan affects  $p$ .
- $P_{i+1}$  contains the propositions that are positive effects of actions in  $A_{i+1}$



*Handwritten note:* // these, not negative effects in the usual manner

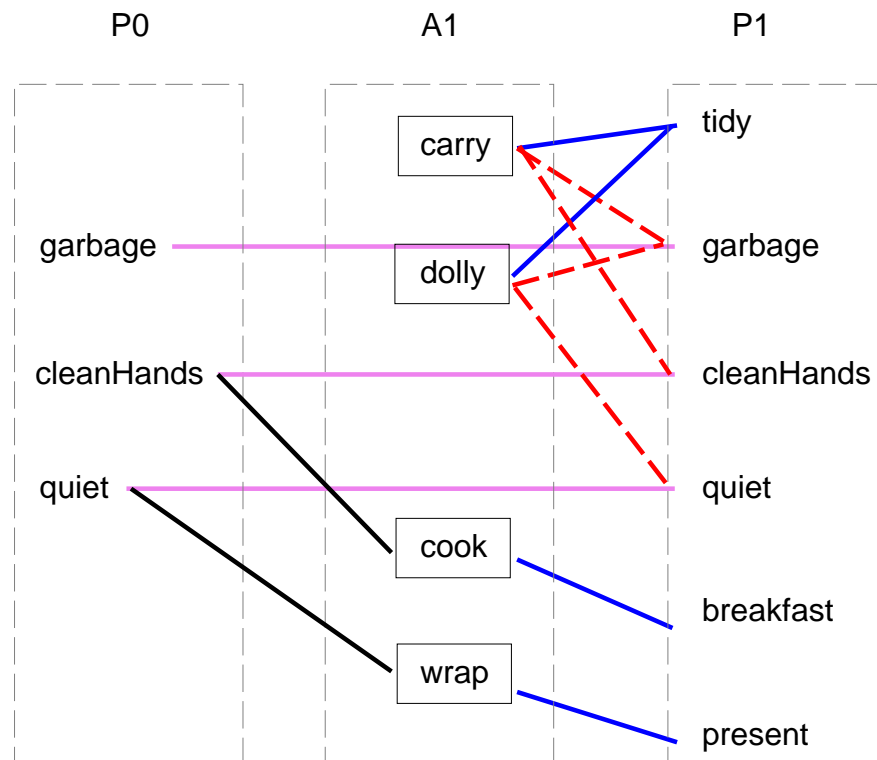
# The planning graph: example

Suppose you want to make surprise for your sweetheart who is asleep

Operator	Precondition	Effect
cook()	{cleanHands}	{breakfast}
wrap()	{quiet}	{present}
carry()	{}	{tidy, $\neg$ garbage, $\neg$ cleanHands}
dolly()	{}	{tidy, $\neg$ garbage, $\neg$ quiet}

$s_0 = \{\text{garbage, cleanHands, quiet}\}$

$g = \{\text{breakfast, present, tidy}\}$





## Mutual exclusion

The plan graph records **limited** information about negative interactions

It records **pairs** of actions which cannot happen in parallel and pairs of propositions which cannot be simultaneously true. These are called **mutex**

The action parallelism notion underlying the mutex relation is **independence**:  
two actions are **independent** when **executing them in any order**  
**is possible** and yields the same result

For independence, we **must avoid**:

- **interference**: one action deletes a precondition of the other (one of the two orderings is not possible)
- **inconsistence**: one action deletes a positive effect of the other (the two orderings yield different results)

# Mutual exclusion

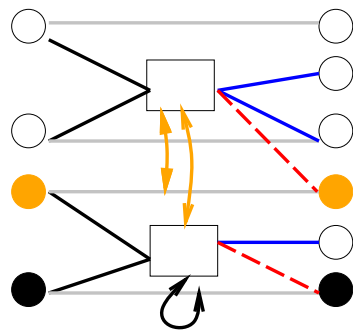
Two actions at the same level of the graph are mutex if they:

- interfere: one deletes a precondition of the other
- are inconsistent: one deletes a positive effect of the other
- have competing needs: they have mutually exclusive preconditions

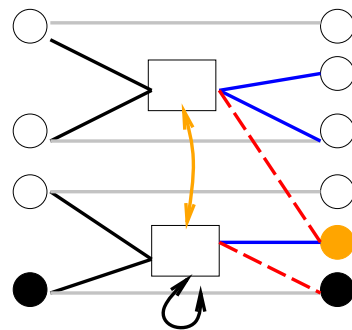
Two propositions at the same level are mutex if they:

- have inconsistent support: all ways of achieving both are pairwise mutex

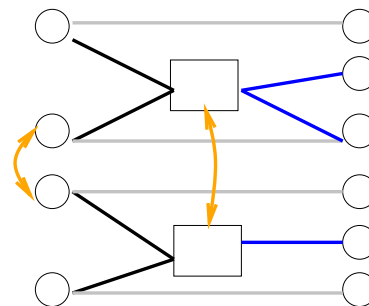
An action appear in  $A_{i+1}$  iff its preconditions appear & are mutex-free in  $P_i$ .



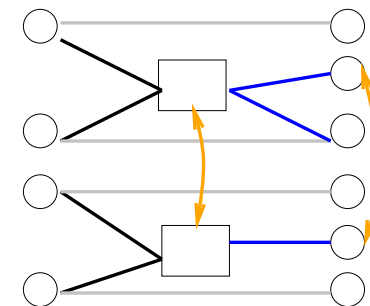
interference



inconsistence



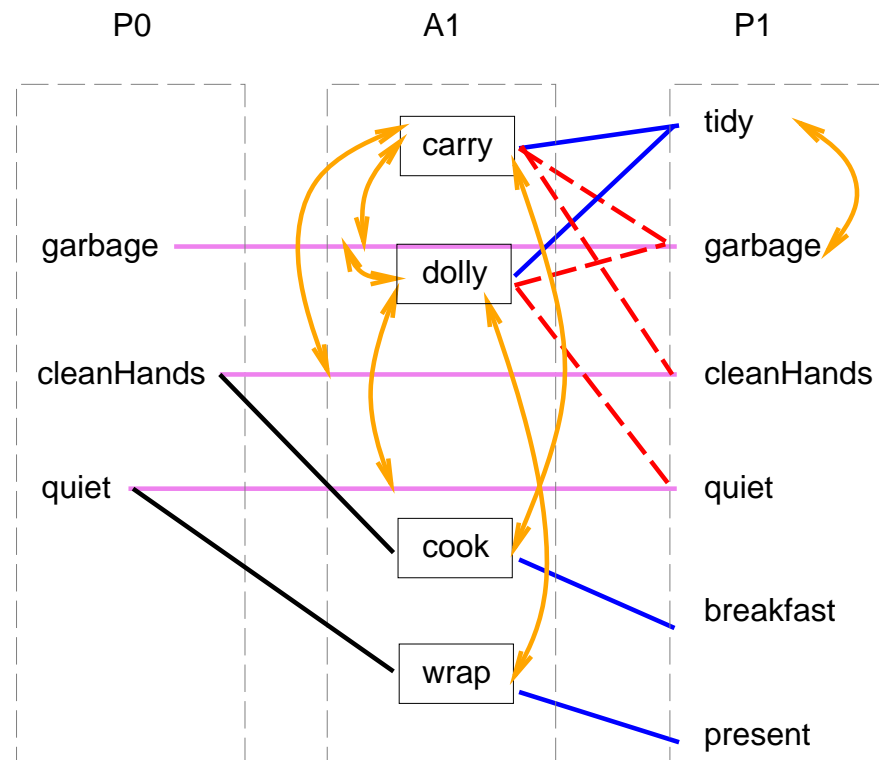
competing needs



inconsistent support

## Mutual exclusion: example

- carry & dolly are mutex with the maintenance action for garbage (interference+inconsistence)
- carry is mutex with the maintenance action for cleanHands (interference+inconsistence)
- dolly is mutex with the maintenance action for quiet (interference+inconsistence)
- carry and cook are mutex, dolly and wrap are mutex (interference)
- tidy is mutex with garbage (inconsistent support)



## Graph formal definition (if it helps you)

The set of actions  $A$  includes maintenance actions  $m_p$  with

$$\text{PRE}(m_p) = \text{EFF}^+(m_p) = \{p\}$$

The graph alternates layers of propositions and actions  $P_0, A_1, P_1, A_2, \dots, A_k, P_k$  and records mutex pairs  $\mu A_i$  and  $\mu P_i$  at each layer, such that:

- $P_0 = s_0$
- $\mu P_0 = \{ \}$
- $A_{i+1} = \{a \in A \mid \text{PRE}(a) \subseteq P_i \text{ and } \forall \{p, p'\} \in \mu P_i \{p, p'\} \not\subseteq \text{PRE}(a)\}$
- $\mu A_{i+1} = \{ \{a, a'\} \subseteq A_{i+1} \mid \text{EFF}^-(a) \cap (\text{PRE}(a') \cup \text{EFF}^+(a')) \neq \{ \} \text{ or } \exists \{p, p'\} \in \mu P_i \text{ s.t. } p \in \text{PRE}(a) \text{ and } p' \in \text{PRE}(a') \}$
- $P_{i+1} = \bigcup_{a \in A_{i+1}} \text{EFF}^+(a)$
- $\mu P_{i+1} = \{ \{p, p'\} \subseteq P_{i+1} \mid \forall a, a' \in A_{i+1} \text{ s.t. } p \in \text{EFF}^+(a) \text{ and } p' \in \text{EFF}^+(a'), \{a, a'\} \in \mu A_{i+1} \}$

## Properties of the graph

Propositions and actions monotonically increase across levels;

Proposition and action mutexes monotonically decrease across levels:

$$P_i \subseteq P_{i+1} \text{ and } A_i \subseteq A_{i+1}$$

if  $\{p, q\} \subseteq P_i$  and  $\{p, q\} \notin \mu P_i$  then  $\{p, q\} \notin \mu P_{i+1}$

if  $\{a, b\} \subseteq A_i$  and  $\{a, b\} \notin \mu A_i$  then  $\{a, b\} \notin \mu A_{i+1}$

**Proof:** Each proposition  $p \in P_{i+1}$  is supported by its maintenance action  $m_p$ . Two maintenance actions  $m_p$  and  $m_q$  are necessarily independent. If  $\{p, q\} \subseteq P_i$  and if  $\{p, q\} \notin \mu P_i$  then  $\{m_p, m_q\} \notin \mu A_{i+1}$ , hence  $\{p, q\} \notin \mu P_{i+1}$ . Similarly if  $\{a, b\} \notin \mu A_i$  then they are independent and their preconditions in  $P_i$  are not mutex; these properties remain true at level  $i + 1$ .

The graph has a fixpoint  $n$  such that for all  $i \geq n$ :

$$P_i = P_n, \mu P_i = \mu P_n, A_i = A_n, \text{ and } \mu A_i = \mu A_n$$

The size of the fixpoint graph is polynomial in that of the planning problem.

## Usage of the graph

Necessary condition for plan existence:

If the goal propositions are present and mutex-free at some level  $P_k$

$$g \subseteq P_k \text{ and } \forall \{p, q\} \subseteq g \{p, q\} \notin \mu P_k$$

then a  $k$  step parallel plan achieving the goal might exist

↑ NOT Guaranteed

Heuristics for planning:

(may use the serial graph: any pair of actions at the same level are mutex)

- single proposition  $p$ : “cost” of achieving  $p$  is the index of the first level in which  $p$  appears
- set of propositions: max (or sum) of the individual costs, or index of the first level at which they all appear mutex-free

Planning:

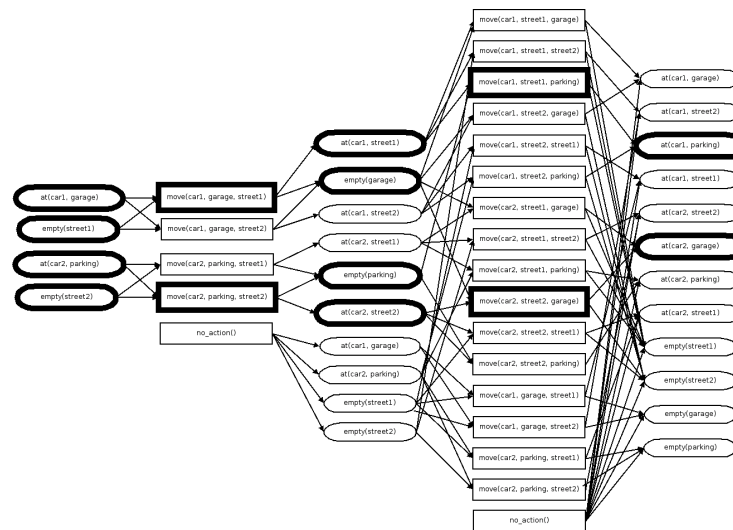
Graphplan algorithm: build the graph up until the necessary condition is reached; try extracting a plan from the graph, if this fails, extend the graph over one more level; repeat until success or termination condition (failure)

# Plan extraction

Backward search, from the goal layer to the initial state layer.

Works layer by layer:

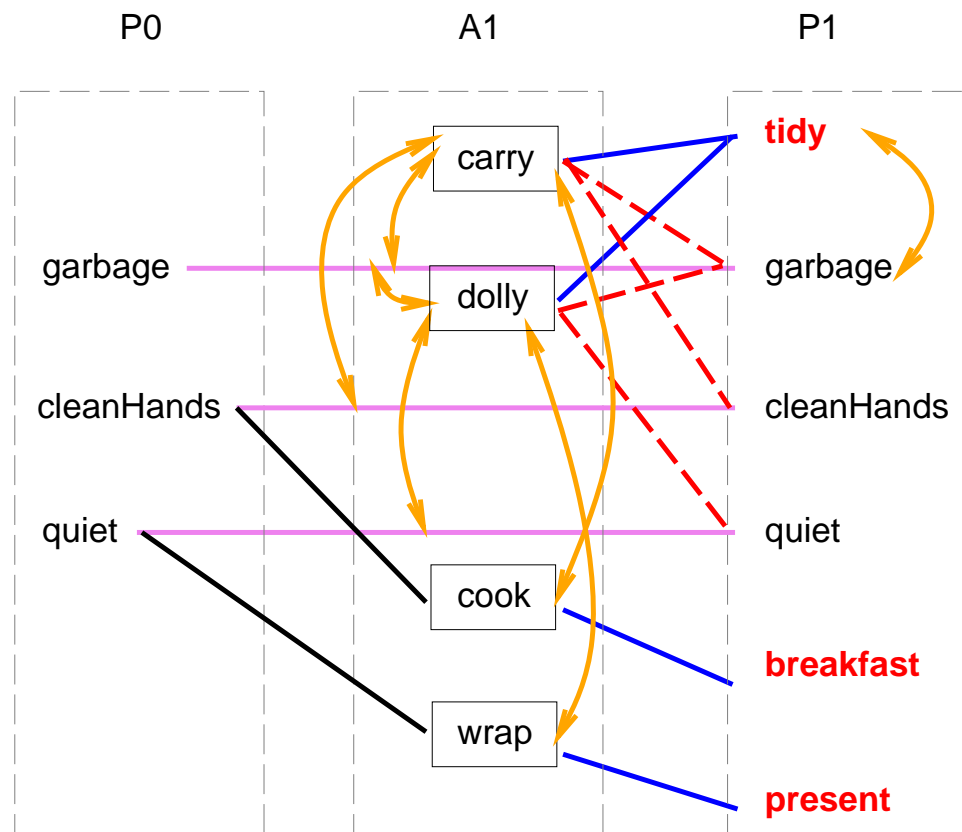
- Select an open precondition at the current layer, and **choose** an action producing it. The action **must not be mutex** with any of the parallel actions already chosen for that layer.
- When there is no more open precondition at that layer, work on achieving, at the previous layer, the preconditions of the chosen actions.



Direction

## Example

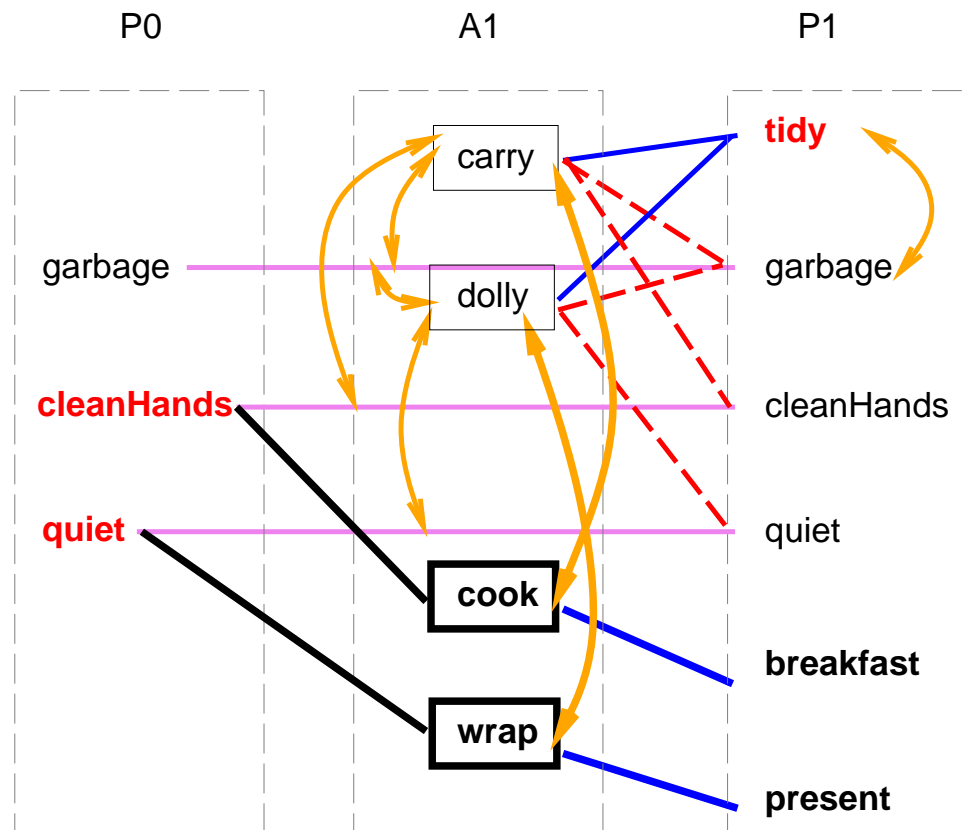
The necessary condition for plan existence is satisfied at level 1 so we can attempt extraction.





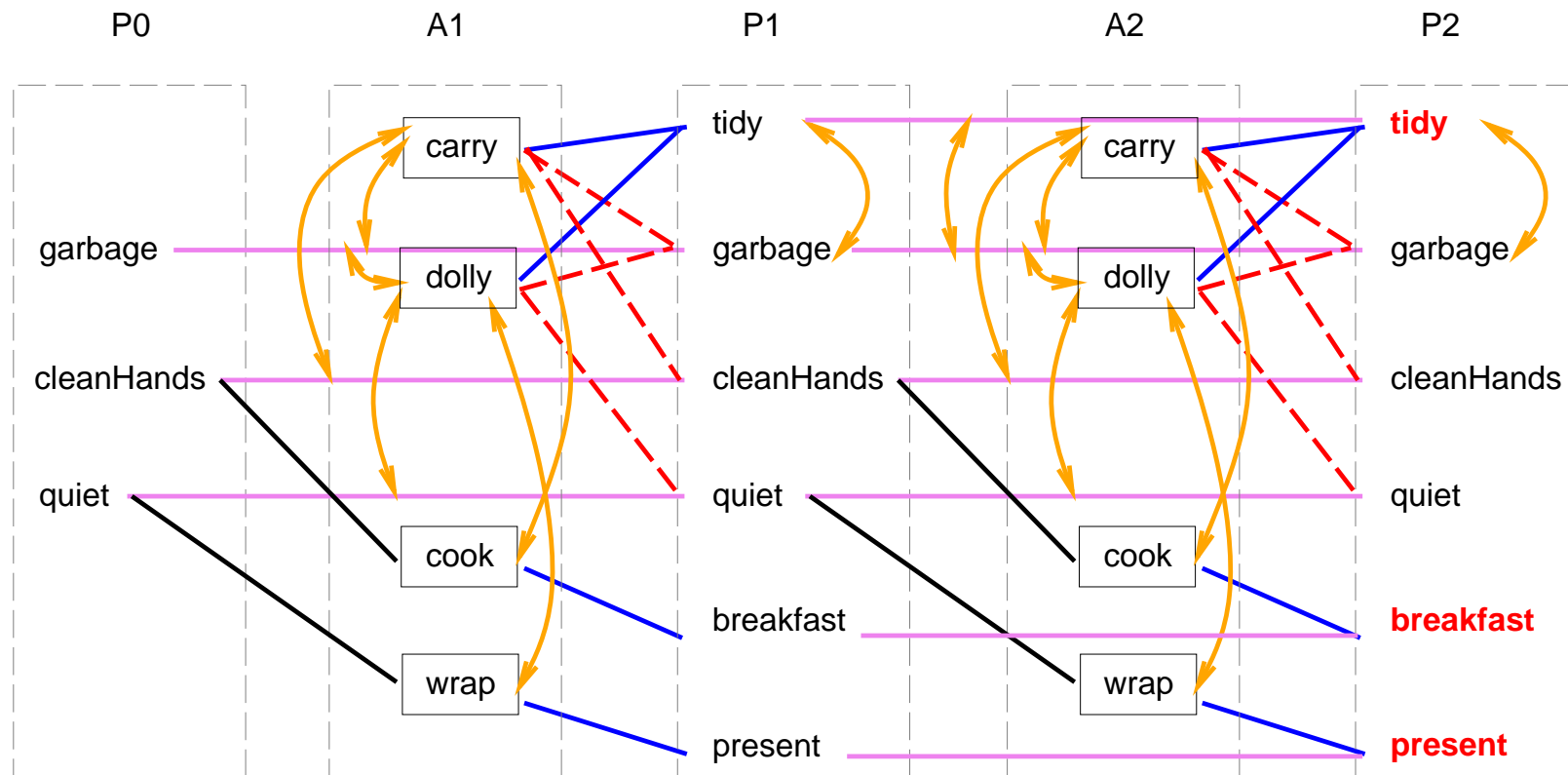
## Example

At level 1, we can use cook to produce breakfast, wrap to produce present, but then we cannot achieve tidy because the actions producing it (carry and dolly) are mutex with either cook or wrap. So extraction fails.



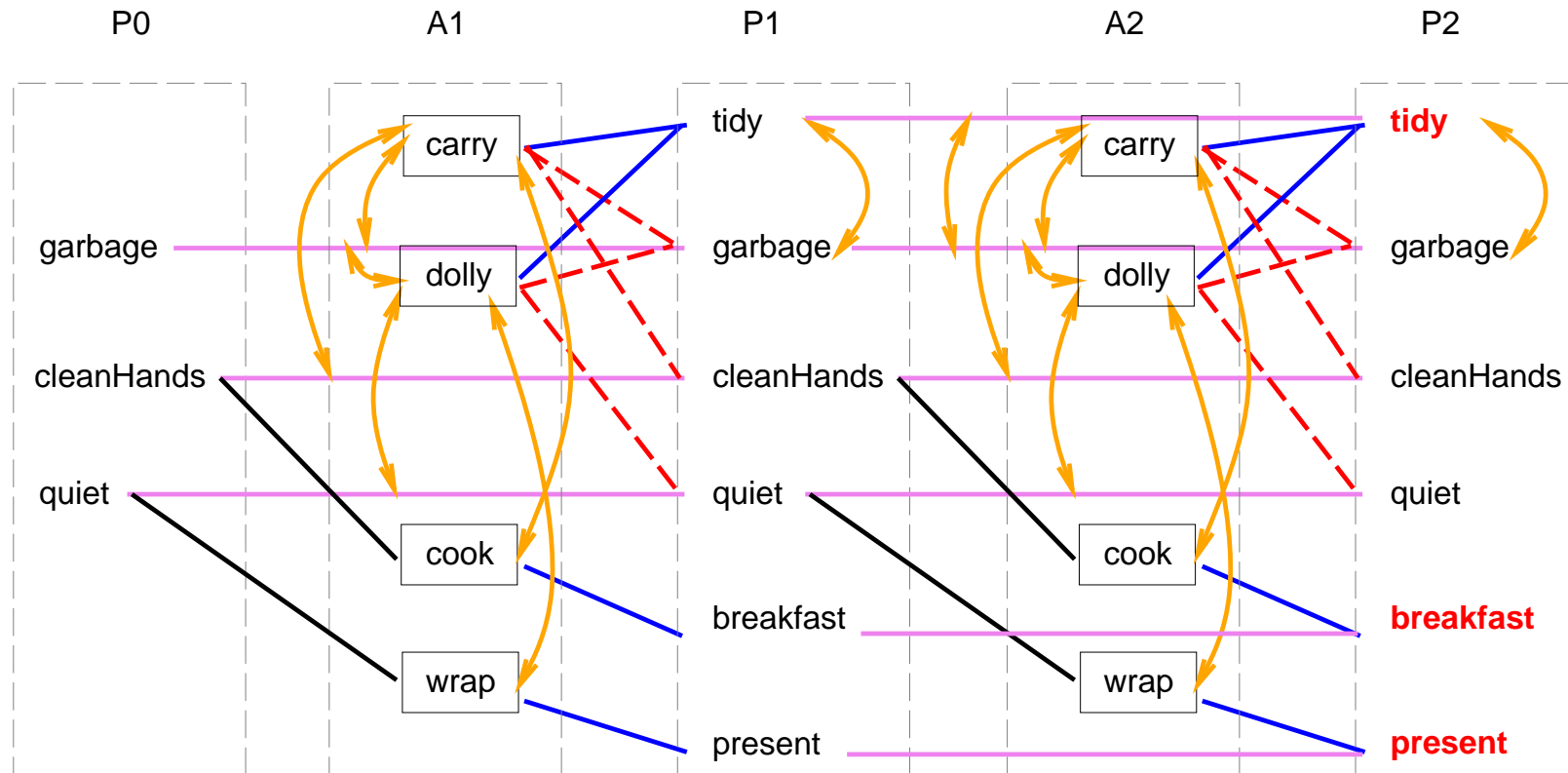
# Example

And we extend the graph of one level. Note the apparition of new maintenance actions (for tidy, breakfast, and present), and of a new mutex between the tidy and garbage maintenance actions (competing needs).



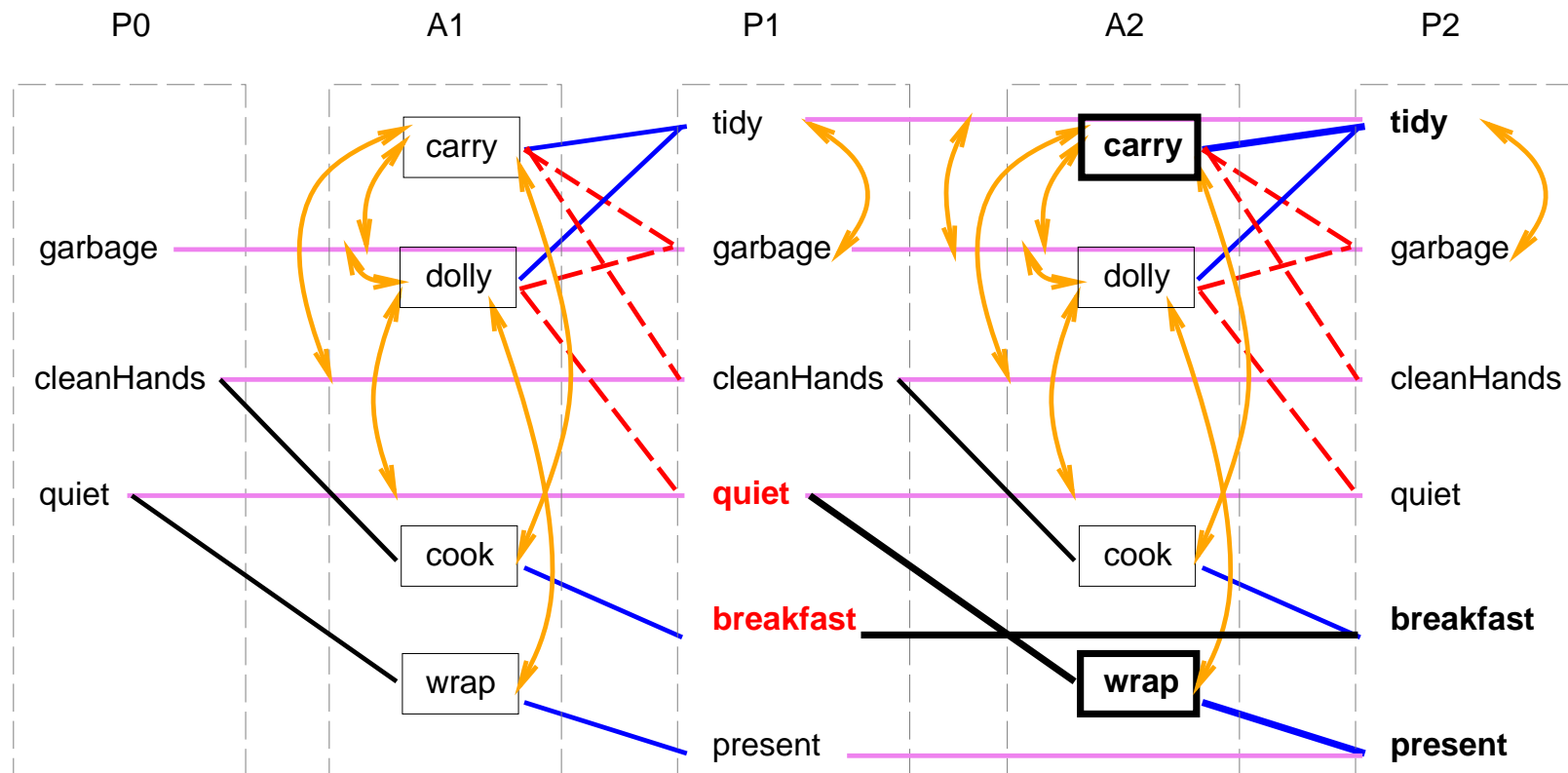
# Example

There are 3 possibilities to achieve tidy (maintenance, carry, or dolly), 2 to achieve breakfast (maintenance or cook), and 2 to achieve present (maintenance or wrap)



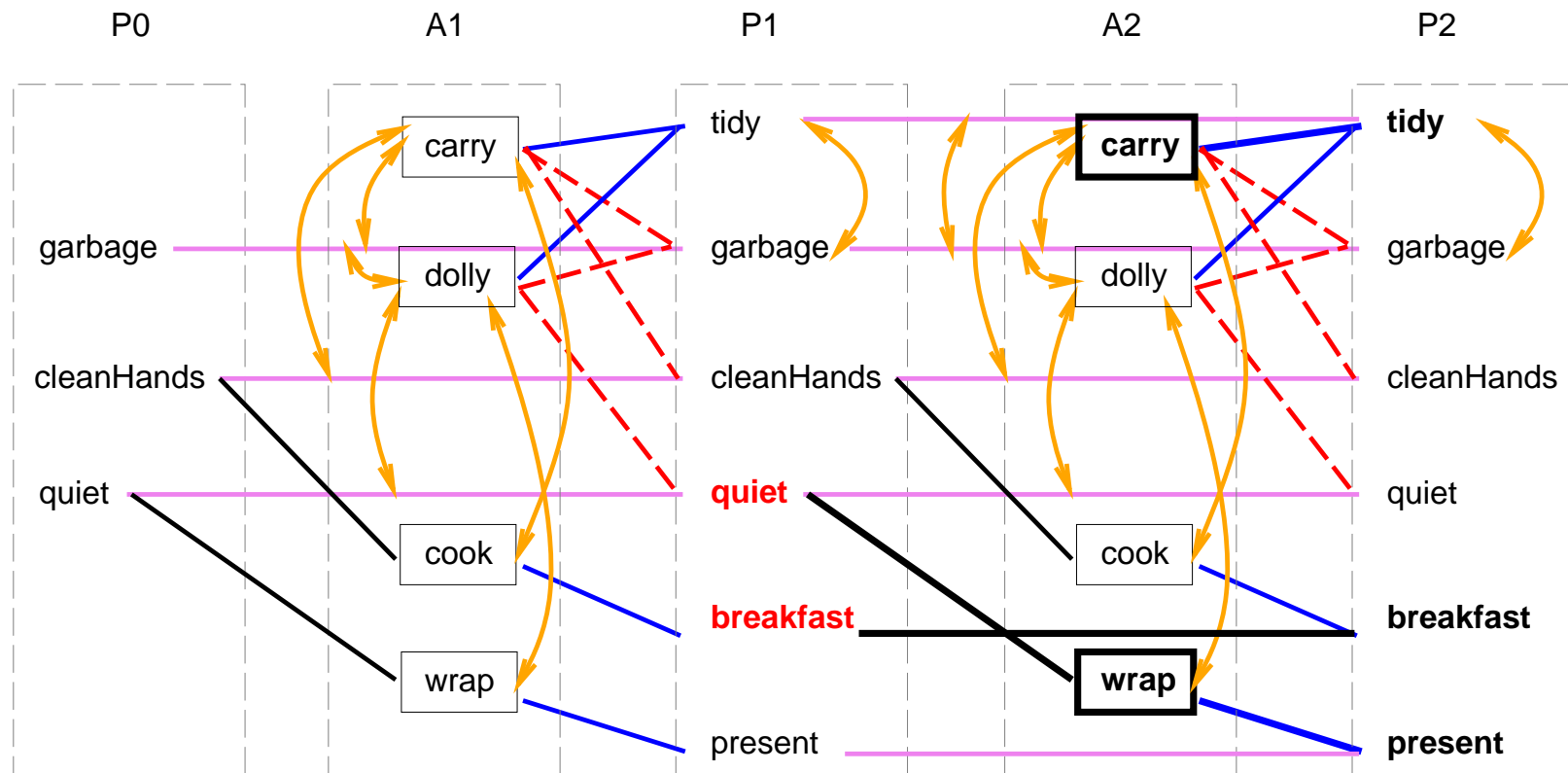
# Example

There are 3 possibilities to achieve tidy (maintenance, carry, or dolly), 2 to achieve breakfast (maintenance or cook), and 2 to achieve present (maintenance or wrap), with several combinations being okay. One of them is carry, wrap, and maintenance of breakfast.



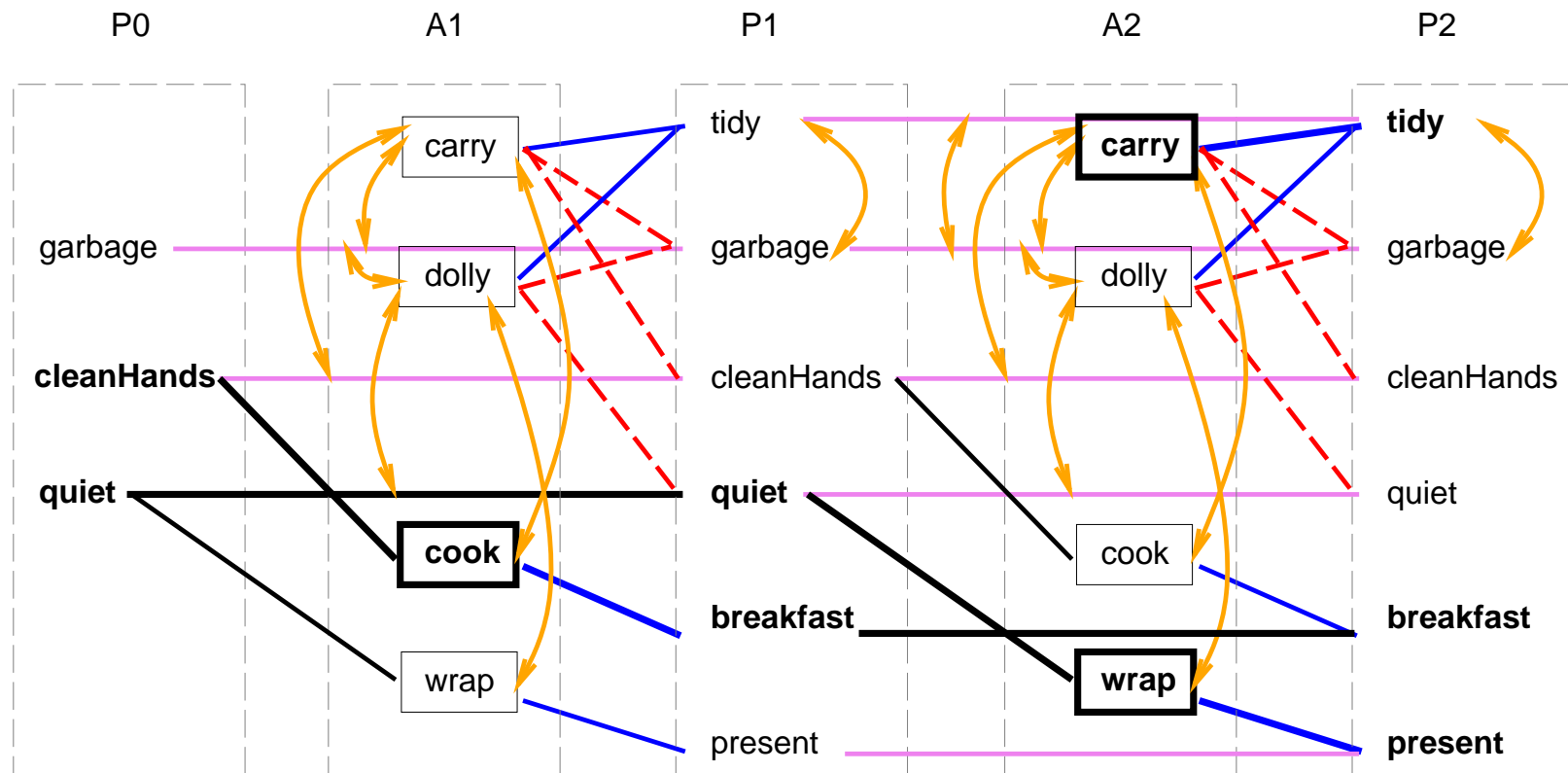
# Example

There is only one possibility to achieve quiet and breakfast at level 1.



# Example

There is only one possibility to achieve quiet and breakfast at level 1. This yields a solution whose parallel length is 2.



# Plan extraction algorithm

**function** **EXTRACT**( $i, g_i, \pi_i$ ) **returns** a parallel plan, or failure

**if**  $i = 0$  **then return**  $\langle \rangle$

**if**  $g_i \neq \{ \}$  **then**

**select** any  $p \in g_i$  *// graph layer 1*

$E \leftarrow \{ a \in A_i \mid p \in \text{EFF}^+(a) \text{ and } \forall b \in \pi_i \{a, b\} \notin \mu A_i \}$

**if**  $E = \{ \}$  **then return** failure

**choose**  $a \in E$

**return** **EXTRACT**( $i, g_i \setminus \text{EFF}^+(a), \pi_i \cup \{a\}$ ) *// recursive*

**else**

$\pi \leftarrow \text{EXTRACT}(i - 1, \cup_{a \in \pi_i} \text{PRE}(a), \{ \})$

**if**  $\pi = \text{failure}$  **then return** failure

**return**  $\pi.\pi_i$

**end**

~~call:~~ **EXTRACT**( $k, g, \{ \}$ ) where  $k$  is the last layer in the graph

*Meaning ?*

Heuristics: pick  $p$  with highest level cost,  $a$  with smallest precondition cost.

$P_i \leftarrow i$  minimum

$a \leftarrow$  req. min No. of preconditions



## Properties of Graphplan

Graphplan is sound. Is Graphplan complete?

When can it terminate asserting failure?

- stop when  $k > |S|$ : complete but inefficient
- stop when  $P, A, \mu A, \mu P$  reach a fix point: incomplete unless  $PSPACE = NP$

Record nogoods: speeds up termination whilst ensuring completeness

- $\Delta_i$  records inconsistent proposition sets (nogoods) at level  $i$
- when  $\text{EXTRACT}(i, g_i, \pi_i)$  fails, add  $g_i$  to  $\Delta_i$
- when  $g_i \supseteq \delta$  and  $\delta \in \Delta_i$ ,  $\text{EXTRACT}(i, g_i, \pi_i)$  returns failure
- nogoods monotonically decrease
- stop when  $P, A, \mu A, \mu P, \Delta$  reach a fix point

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The graph has a fixpoint  $n$  such that for all  $i \geq n$ :  $P_i = P_n$ ,  $\mu P_i = \mu P_n$ ,  $A_i = A_n$ , and  $\mu A_i = \mu A_n$

Size of the fixpoint graph polynomial in that of the planning problem. Plan extraction NP-complete.