

Worth: 3%

Due: By 12 noon on Tuesday 3 April.

1. **Intuition:** Depending on the value of $L[0]$, we either execute a for-loop that has n^2 iterations or a for-loop that has n iterations. Since there is a constant number of steps in either iteration, the total number of steps will not be more than a constant times n^2 . For this reason, our intuition tells us that the worst-case running time is $\mathcal{O}(n^2)$.

To determine a lower-bound on the worst-case running time, we need to think about what sort of input produces a worst-case time. The worst-case time is triggered when $L[0]$ is even, and then n^2 iterations of a for-loop are executed. Since each iteration will take at least one-step, our intuition tells us that the worst-case running time is $\Omega(n^2)$.

Altogether, then, the worst-case running time is $\Theta(n^2)$.

To prove this conclusion in a detailed way, we need to put the above arguments in a formal proof that follows the usual structure.

Let I be the set of possible inputs to the algorithm. That is, I is the set of nonempty lists of numbers.

Let $c_0 = 3$ and $B_0 = 1$.

Then $c_0 \in \mathbb{R}^+$ and $B_0 \in \mathbb{N}$.

Assume $L \in I$ is an arbitrary list of length $n \geq B_0$

Then we need to consider the single if-statement plus the time taken in either the if- or else- clauses.

Then $L[0]$ is either even or odd.

Case 1: Assume $L[0]$ is even.

Then the algorithm executes 2 statements for each iteration of a for-loop (lines 2,3), and loops for (at most) n^2 iterations.

Then at most $2n^2$ statements are executed.

Case 2: Assume $L[0]$ is odd.

Then the algorithm executes 2 statements for each iteration of a for-loop (lines 5,6), and loops for (at most) n iterations.

Then at most $2n$ statements are executed.

Then at most $2n^2$ statements are executed.

Then, in either case, at most $2n^2$ statements are executed.

Then, in total, the algorithm requires at most $2n^2 + 1$ statements to be executed.

Then $t(L) \leq 2n^2 + 1$.

Then $t(L) \leq 3n^2$.

Then $t(L) \leq c_0 n^2$.

Then $\forall L \in I, \text{size}(L) \geq B_0 \Rightarrow t(L) \leq c_0 n^2 \quad \# \text{size}(L) = n$

Then $\exists c \in \mathbb{R}^+, \exists B \in \mathbb{N}, \forall L \in I, \text{size}(L) \geq B \Rightarrow t(L) \leq cn^2$

Then $T(n) \in \mathcal{O}(n^2)$.

Let $c_0 = 1$ and $B_0 = 1$.

Then $c_0 \in \mathbb{R}^+$ and $B_0 \in \mathbb{N}$.

Assume $n \in \mathbb{N}$ and $n \geq B_0$

Let $L_0 = [2, 2, 3, \dots, n]$.

Then $L_0 \in I$ and $\text{size}(L_0) = n$.

Then $L_0[0]$ is even.

Then n^2 iterations of at least one statement are executed.

Then, including the if-statement, at least $n^2 + 1$ statements executed.

Then $t(L_0) \geq n^2 + 1$.

Then $t(L_0) \geq n^2$.

Then $t(L_0) \geq c_0 n^2$.

Then $\text{size}(L_0) = n \wedge t(L_0) \geq c_0 n^2$

Then $\exists L \in I, \text{size}(L) = n \wedge t(L_0) \geq c_0 n^2$

Then $\forall n \in \mathbb{N}, n \geq B_0 \Rightarrow \exists L \in I, \text{size}(L) = n \wedge t(L_0) \geq c_0 n^2$

Then $\exists c \in \mathbb{R}^+, \exists B \in \mathbb{N}, \forall n \in \mathbb{N}, n \geq B_0 \Rightarrow \exists L \in I, \text{size}(L) = n \wedge t(L_0) \geq c_0 n^2$

Then $T(n) \in \Omega(n^2)$.

Then $T(n) \in \Theta(n^2)$.

Here, as is usual, $T(n)$ is the worst-case running time for the algorithm on a list of length n .

2. Intuition: The number of iterations of the loop on any input L of length n will depend on the value of the variable index. And the value of index depends on the value of variable step. The variable step increases by 1 on each iteration of the loop.

The variable step takes on the values:

$$\text{step} = 1 \rightarrow 2 \rightarrow 3 \rightarrow 4 \rightarrow \dots$$

The variable index takes on the values:

$$\text{index} = 0 \rightarrow 0 + 1 \rightarrow 0 + 1 + 2 \rightarrow 0 + 1 + 2 + 3 \rightarrow \dots \rightarrow 0 + 1 + 2 + 3 + \dots + k \rightarrow \dots$$

The value of index after the k^{th} iteration of the loop is $0 + 1 + 2 + 3 + \dots + k = \sum_{j=0}^{j=k} j = k(k+1)/2$.

The number of iterations of the loop will be m , where m is such that $m(m+1)/2 \geq n$ (to get out of the loop) while $(m-1)((m-1)+1)/2 < n$. (To have a m^{th} iteration of the loop, we need $(m-1)((m-1)+1)/2 < n$ or $(m-1)m/2 < n$.)

So we have $m(m-1) < 2n$ and $m(m+1) \geq 2n$. Hence, there will be $m \approx \lceil \sqrt{2n} \rceil$ iterations. (There won't be more than $\lceil \sqrt{2n} \rceil$ iterations. There won't be fewer than $\lfloor \sqrt{2n} \rfloor$ iterations.)

We can justify this last conclusion more rigorously. Consider the expression $m(m-1)$. We want $m(m-1) < 2n$. Suppose $m = \lceil \sqrt{2n} \rceil + 1$. Then, considering $m(m-1)$, we have

$$\begin{aligned} (\lceil \sqrt{2n} \rceil + 1)((\lceil \sqrt{2n} \rceil + 1) - 1) &= (\lceil \sqrt{2n} \rceil + 1)(\lceil \sqrt{2n} \rceil) \\ &= (\lceil \sqrt{2n} \rceil)^2 + \lceil \sqrt{2n} \rceil \\ &\geq (\sqrt{2n})^2 + \sqrt{2n} \\ &= 2n + \sqrt{2n} \\ &> 2n \quad \text{since } n > 0 \end{aligned}$$

Hence, $m \leq \lceil \sqrt{2n} \rceil$, since otherwise $m(m-1) \not< 2n$.

Similarly, we want $m(m+1) \geq 2n$. Suppose $m = \lfloor \sqrt{2n} \rfloor - 1$. Then

$$\begin{aligned} (\lfloor \sqrt{2n} \rfloor - 1)((\lfloor \sqrt{2n} \rfloor - 1) + 1) &= (\lfloor \sqrt{2n} \rfloor - 1)(\lfloor \sqrt{2n} \rfloor) \\ &\leq (\sqrt{2n} - 1)(\sqrt{2n}) \\ &= (\sqrt{2n})^2 - \sqrt{2n} \\ &= 2n - \sqrt{2n} \\ &< 2n \quad \text{since } n > 0 \end{aligned}$$

Hence, $m \geq \lfloor \sqrt{2n} \rfloor$, since otherwise $m(m+1) \not\geq 2n$.

Since the number of steps per iteration is constant, and the number of steps performed only depends on the length of the list L , we have that the worst-case runtime of the algorithm is $\Theta(\sqrt{n})$, where $n = \text{len}(L)$.

To prove this conclusion in a detailed way, we need to put the above arguments in a formal proof that follows the usual structure.

Let I be the set of possible inputs to the algorithm. That is, I is the set of nonempty lists of numbers.

Let $c_0 = 4\sqrt{2} + 7$ and $B_0 = 1$.

Then $c_0 \in \mathbb{R}^+$ and $B_0 \in \mathbb{N}$.

Assume $L \in I$ is an arbitrary list of length $n \geq B_0$

Then the algorithm executes 4 statements for each iteration of the loop (lines 4,5,6,7), and loops for at most $\lceil \sqrt{2n} \rceil$ iterations.

In addition, the algorithm has 2 initialization statements and the last evaluation of the loop condition.

$$\begin{aligned} \text{Then, } t(L) &\leq 4\lceil \sqrt{2n} \rceil + 3 \\ &\leq 4(\sqrt{2n} + 1) + 3 \quad \# \text{ add 1 to remove ceiling} \\ &= 4\sqrt{2}\sqrt{n} + 7 \\ &\leq 4\sqrt{2}\sqrt{n} + 7\sqrt{n} \\ &= (4\sqrt{2} + 7)\sqrt{n} \\ &= c_0\sqrt{n} \end{aligned}$$

Then $\forall L \in I, \text{size}(L) \geq B_0 \Rightarrow t(L) \leq c_0\sqrt{n} \quad \# \text{size}(L) = n$

Then $\exists c \in \mathbb{R}^+, \exists B \in \mathbb{N}, \forall L \in I, \text{size}(L) \geq B \Rightarrow t(L) \leq c\sqrt{n}$

Then $T(n) \in \mathcal{O}(\sqrt{n})$.

Let $c_0 = \sqrt{2}$ and $B_0 = 1$.

Then $c_0 \in \mathbb{R}^+$ and $B_0 \in \mathbb{N}$.

Assume $n \in \mathbb{N}$ and $n \geq B_0$

Let $L_0 = [1, 2, 3, \dots, n]$.

Then $L_0 \in I$ and $\text{size}(L_0) = n$.

Then the algorithm executes 2 steps followed by at least $\lfloor \sqrt{2n} \rfloor$ iterations of at least one statement.

$$\begin{aligned} \text{Then } t(L_0) &\geq \lfloor \sqrt{2n} \rfloor + 2 \\ &> \sqrt{2n} \\ &= \sqrt{2}\sqrt{n} \\ &= c_0\sqrt{n} \end{aligned}$$

Then $t(L_0) \geq c_0\sqrt{n}$

Then $\text{size}(L_0) = n \wedge t(L_0) \geq c_0\sqrt{n}$

Then $\exists L \in I, \text{size}(L) = n \wedge t(L) \geq c_0\sqrt{n}$

Then $\forall n \in \mathbb{N}, n \geq B_0 \Rightarrow \exists L \in I, \text{size}(L) = n \wedge t(L) \geq c_0\sqrt{n}$

Then $\exists c \in \mathbb{R}^+, \exists B \in \mathbb{N}, \forall n \in \mathbb{N}, n \geq B_0 \Rightarrow \exists L \in I, \text{size}(L) = n \wedge t(L) \geq c_0\sqrt{n}$

Then $T(n) \in \Omega(\sqrt{n})$.

Then $T(n) \in \Theta(\sqrt{n})$.

Here, as is usual, $T(n)$ is the worst-case running time for the algorithm on a list of length n .