

$$10 + 3 = \underline{13}$$

MAT224 PS4

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Q1:

(a). Solution:

Note: Hermitian inner product

$$\langle u, v \rangle = \overline{\langle v, u \rangle}$$

$$W = \text{span} \{ (0, -i, 1), (1+i, 2, 1) \}$$

Perform Gram-Schmidt Process

$$\text{Let } u_1 = (0, -i, 1), u_2 = (1+i, 2, 1)$$

$$v_1 = u_1 = (0, -i, 1)$$

$$v_2 = u_2 - \frac{\langle u_2, v_1 \rangle}{\langle v_1, v_1 \rangle} v_1$$

$$= (1+i, 2, 1) - \frac{(1+i)0 + 2i + 1}{0 + (-i+i) + 1} (0, -i, 1)$$

$$= (1+i, 2, 1) - (i + \frac{1}{2}) (0, -i, 1)$$

$$= (1+i, 2, 1) - (0, 1 - \frac{1}{2}i, \frac{1}{2} + i)$$

$$= (1+i, 1 + \frac{1}{2}i, \frac{1}{2} - i)$$

$$\text{Therefore } w_1 = \frac{v_1}{\|v_1\|} = \frac{1}{\sqrt{0^2 + (-i)^2 + 1^2}} (0, -i, 1) = \frac{1}{\sqrt{2}} (0, -i, 1)$$

$$w_2 = \frac{v_2}{\|v_2\|} = \frac{(1+i, 1 + \frac{1}{2}i, \frac{1}{2} - i)}{\sqrt{(1+i)(1-i) + (1 + \frac{1}{2}i)(1 - \frac{1}{2}i) + (\frac{1}{2} - i)(\frac{1}{2} + i)}}$$

$$= \frac{1}{\sqrt{(1+1) + (1 + \frac{1}{4}) + (\frac{1}{4} + 1)}} (1+i, 1 + \frac{1}{2}i, \frac{1}{2} - i)$$

$$2 + \frac{1}{4} + \frac{1}{4} = \frac{9}{4}$$

$$= \frac{1}{\sqrt{\frac{9}{4}}} (1+i, 1 + \frac{1}{2}i, \frac{1}{2} - i)$$

$$= \frac{\sqrt{2}}{3} (1+i, 1 + \frac{1}{2}i, \frac{1}{2} - i)$$

Hence  $\{ \frac{1}{\sqrt{2}} (0, -i, 1), \frac{\sqrt{2}}{3} (1+i, 1 + \frac{1}{2}i, \frac{1}{2} - i) \}$  is such an orthonormal basis.

$$W_1 = \frac{1}{\sqrt{2}}(0, -i, 1)$$

$$W_2 = \frac{\sqrt{2}}{3}(1+i, 1+\frac{1}{2}i, \frac{1}{2}-i)$$

(2). Solution.

$$\text{since } P_W(\vec{x}) = \sum_{i=1}^k \langle \vec{x}, W_i \rangle W_i \text{ for } \vec{x} = (x_1, x_2, x_3) \in \mathbb{C}^3$$

$$T x_i = \langle x_i, W_1 \rangle W_1 + \langle x_i, W_2 \rangle W_2$$

$$T(1, 0, 0) = \frac{1}{2} 0 \cdot (0, -i, 1) + \frac{2}{9} (1 \cdot (1-i)) (1+i, 1+\frac{1}{2}i, \frac{1}{2}-i)$$

$$= \frac{2}{9} (2, \frac{3}{2} - \frac{1}{2}i, \frac{3}{2} - \frac{3}{2}i)$$

$$= (\frac{4}{9}, \frac{1}{3} - \frac{1}{9}i, -\frac{1}{9} - \frac{1}{3}i)$$

$$T(0, 1, 0) = \frac{1}{2} (i)(0, -i, 1) + \frac{2}{9} (1 - \frac{1}{2}i)(1+i, 1+\frac{1}{2}i, \frac{1}{2}-i)$$

$$= (0, \frac{1}{2}, \frac{1}{2}) + (\frac{1}{3} + \frac{1}{9}i, \frac{5}{18}, -\frac{5}{18}i)$$

$$= (\frac{1}{3} + \frac{1}{9}i, \frac{7}{9}, \frac{2}{9}i)$$

$$T(0, 0, 1) = \frac{1}{2} (1)(0, -i, 1) + \frac{2}{9} (\frac{1}{2} + i)(1+i, 1+\frac{1}{2}i, \frac{1}{2}-i)$$

$$= (0, -\frac{1}{2}i, \frac{1}{2}) + (-\frac{1}{9} + \frac{1}{3}i, \frac{5}{18}i, \frac{5}{18})$$

$$= (-\frac{1}{9} + \frac{1}{3}i, -\frac{7}{9}i, \frac{7}{9})$$

$$\text{Hence } (x_1, x_2, x_3) \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} \langle x_1, W_1 \rangle W_1 + \langle x_1, W_2 \rangle W_2 \\ \langle x_2, W_1 \rangle W_1 + \langle x_2, W_2 \rangle W_2 \\ \langle x_3, W_1 \rangle W_1 + \langle x_3, W_2 \rangle W_2 \end{bmatrix}$$

$$T = \begin{bmatrix} \frac{4}{9} & \frac{1}{3} + \frac{1}{9}i & -\frac{1}{9} + \frac{1}{3}i \\ \frac{1}{3} - \frac{1}{9}i & \frac{7}{9} & -\frac{2}{9}i \\ -\frac{1}{9} - \frac{1}{3}i & \frac{2}{9}i & \frac{7}{9} \end{bmatrix}$$

is the matrix of the orthogonal projection onto  $W$

with respect to the standard basis of  $\mathbb{C}^3$ .

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Q2.

Proof: Since  $\langle p(x), q(x) \rangle = p(x_0)\overline{q(x_0)} + \dots + p(x_n)\overline{q(x_n)}$  for  $p(x), q(x) \in P_n(\mathbb{C})$

① For  $p(x), q(x)$  and  $r(x) \in P_n(\mathbb{C})$  and  $a, b \in \mathbb{C}$

$$\begin{aligned} & \langle ap(x) + bq(x), r(x) \rangle \\ &= (ap(x_0) + bq(x_0))\overline{r(x_0)} + (ap(x_1) + bq(x_1))\overline{r(x_1)} + \dots + (ap(x_n) + bq(x_n))\overline{r(x_n)} \\ &= ap(x_0)\overline{r(x_0)} + ap(x_1)\overline{r(x_1)} + \dots + ap(x_n)\overline{r(x_n)} + bq(x_0)\overline{r(x_0)} + \dots + bq(x_n)\overline{r(x_n)} \\ &= a(p(x_0)\overline{r(x_0)} + p(x_1)\overline{r(x_1)} + \dots + p(x_n)\overline{r(x_n)}) + b(q(x_0)\overline{r(x_0)} + q(x_1)\overline{r(x_1)} + \dots + q(x_n)\overline{r(x_n)}) \\ &= a\langle p(x), r(x) \rangle + b\langle q(x), r(x) \rangle \quad \text{verified.} \end{aligned}$$

② For  $p(x), q(x) \in P_n(\mathbb{C})$

$$\begin{aligned} \langle p(x), q(x) \rangle &= p(x_0)\overline{q(x_0)} + p(x_1)\overline{q(x_1)} + \dots + p(x_n)\overline{q(x_n)} \\ &= \overline{p(x_0)q(x_0)} + \overline{p(x_1)q(x_1)} + \dots + \overline{p(x_n)q(x_n)} \\ &= \overline{\langle p(x), q(x) \rangle} \quad \text{verified.} \end{aligned}$$

③ For all  $p(x) \in P_n(\mathbb{C})$ , suppose  $p(x_k) = a_k + b_k i$  for all  $k = 0, 1, 2, \dots, n$

$$\begin{aligned} \langle p(x), p(x) \rangle &= p(x_0)\overline{p(x_0)} + p(x_1)\overline{p(x_1)} + \dots + p(x_n)\overline{p(x_n)} \\ &= (a_0 + b_0 i)(a_0 - b_0 i) + (a_1 + b_1 i)(a_1 - b_1 i) + \dots + (a_n + b_n i)(a_n - b_n i) \\ &= a_0^2 + b_0^2 + a_1^2 + b_1^2 + \dots + a_n^2 + b_n^2 \geq 0 \quad \text{verified.} \end{aligned}$$

$$\text{If } \langle p(x), p(x) \rangle = a_0^2 + b_0^2 + a_1^2 + b_1^2 + \dots + a_n^2 + b_n^2 = 0$$

Since  $a_k, b_k \in \mathbb{R}$

$$a_0 = a_1 = \dots = a_n = b_0 = b_1 = \dots = b_n = 0.$$

$$\text{Thus } p(x) = (0 + 0i)(0 - 0i) + \dots + (0 + 0i)(0 - 0i) = 0 \quad \text{verified.}$$

Hence the three properties of a Hermitian inner product have been proved.

i.e.  $\langle p(x), q(x) \rangle = p(x_0)\overline{q(x_0)} + \dots + p(x_n)\overline{q(x_n)}$  defines an inner product on  $P_n(\mathbb{C})$ .

Q3.

Solution: We want to make  $S$  be orthogonal.

$$\text{Let } f(x) = 3x^2 - 2x - 1$$

$$g(x) = cx^2 + x - 1$$

$$h(x) = 5x^2 + cx - 9$$

$$\text{then } \langle f(x), g(x) \rangle = 0$$

$$\langle f(x), h(x) \rangle = 0$$

$$\langle g(x), h(x) \rangle = 0$$

$$\text{Since } \langle p(x), q(x) \rangle = p(-1)q(-1) + p(0)q(0) + p(2)q(2)$$

$$\text{then } \langle f(x), g(x) \rangle = f(-1)g(-1) + f(0)g(0) + f(2)g(2)$$

$$= (3(-1)^2 - 2(-1) - 1)(c(-1)^2 - 1 - 1)$$

$$+ (-1) \cdot (-1) + (3 \cdot 2^2 - 2 \cdot 2 - 1)(4c + 2 - 1)$$

$$= 4(c-2) + 1 + 7(4c+1)$$

$$= 4c - 8 + 1 + 28c + 7$$

$$= 28c + 4c$$

$$= 32c = 0$$

So  $c$  is set to be 0.

$$\text{Check: } \langle f(x), h(x) \rangle = f(-1)h(-1) + f(0)h(0) + f(2)h(2)$$

$$= 4(5-9) + (-1)(-9) + 7(20-9)$$

$$= -16 + 9 + 77$$

$$= 70 \neq 0$$

Therefore this is a contradiction to  $\langle f(x), h(x) \rangle = 0$ .

# Hence this problem doesn't have a  $c$  such that  $S$  is orthogonal.

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Q4.

Solution:  $\{1, x, x^2\}$  is a basis for  $P_2(\mathbb{C})$ . Let  $u_1=1, u_2=x, u_3=x^2$

Gram-Schmidt Process

$$v_1 = u_1 = 1$$

Note:

$$\langle p(x)q(x) \rangle = p(0)\overline{q(0)} + p(i)\overline{q(i)} + p(2i)\overline{q(2i)}$$

$$v_2 = u_2 - \frac{\langle u_2, v_1 \rangle}{\langle v_1, v_1 \rangle} v_1$$

$$= x - \frac{\langle x, 1 \rangle}{\langle 1, 1 \rangle} \cdot 1$$

$$= x - \frac{0 \cdot 1 + i \cdot 1 + 2i \cdot 1}{1 \cdot 1 + 1 \cdot 1 + 1 \cdot 1} \cdot 1$$

$$= x - \frac{3i}{3}$$

$$= x - i$$

$$v_3 = u_3 - \frac{\langle u_3, v_1 \rangle}{\langle v_1, v_1 \rangle} v_1 - \frac{\langle u_3, v_2 \rangle}{\langle v_2, v_2 \rangle} v_2$$

$$= x^2 - \frac{\langle x^2, 1 \rangle}{\langle 1, 1 \rangle} \cdot 1 - \frac{\langle x^2, x-i \rangle}{\langle x-i, x-i \rangle} (x-i)$$

$$= x^2 - \frac{0 \cdot 1 + (-1) \cdot 1 + (-4) \cdot 1}{1 \cdot 1 + 1 \cdot 1 + 1 \cdot 1} \cdot 1 - \frac{0 \cdot i + (-1) \cdot 0 + (-4) \cdot (-i)}{(i \cdot i) + 0 + i \cdot (-i)} (x-i)$$

$$= x^2 + \frac{5}{3} - 2i(x-i)$$

$$= x^2 - 2ix - 2 + \frac{5}{3} = x^2 - 2ix - \frac{1}{3}$$

Since  $\|v_1\| = \sqrt{\langle v_1, v_1 \rangle} = \sqrt{\langle 1, 1 \rangle} = \sqrt{3}$

$$\|v_2\| = \sqrt{\langle v_2, v_2 \rangle} = \sqrt{\langle x-i, x-i \rangle}$$

$$= \sqrt{(0-i)(0-i) + (i-i)(i-i) + (2i-i)(2i-i)}$$

$$= \sqrt{(-i)(-i) + 0 + i(-i)}$$

$$= \sqrt{1+0+1}$$

$$= \sqrt{2}$$

$$\|V_3\| = \sqrt{\langle V_3, V_3 \rangle} = \sqrt{\langle x^2 - 2ix - \frac{1}{3}, x^2 - 2ix - \frac{1}{3} \rangle}$$

$$= \sqrt{(0-0-\frac{1}{3})(0-0-\frac{1}{3}) + (i^2-2i^2-\frac{1}{3})(i^2-2i^2-\frac{1}{3})}$$

$$+ (2i^2-4i^2-\frac{1}{3})(2i^2-4i^2-\frac{1}{3})$$

$$= \sqrt{\frac{1}{9} + \frac{4}{9} + \frac{1}{9}}$$

$$= \sqrt{\frac{6}{9}}$$

$$= \frac{\sqrt{6}}{3}$$

Hence  $\left\{ \frac{V_1}{\|V_1\|}, \frac{V_2}{\|V_2\|}, \frac{V_3}{\|V_3\|} \right\} = \left\{ \frac{1}{\sqrt{3}}, \frac{1}{\sqrt{2}}(x-i), \frac{3}{\sqrt{6}}(x^2-2ix-\frac{1}{3}) \right\}$

is an orthonormal basis for  $P_2(\mathbb{C})$ .

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Q5.

Proof:

(i)  $\Rightarrow$  (ii)

Suppose  $v \in W_1 + W_2$  can be expressed in two ways

$$\text{Then } v = w_1 + w_2 = w_1' + w_2'$$

$$\text{hence } w_1 - w_1' = w_2' - w_2$$

Note that  $w_1 - w_1' \in W_1$  and  $w_2' - w_2 \in W_2$

Since  $W_1$  and  $W_2$  are two subspaces.

$$\text{So } v \in W_1 \cap W_2$$

$$\text{By (i) } V = W_1 \oplus W_2 \Rightarrow W_1 \cap W_2 = \{0\}$$

$$\text{So } w_1 - w_1' = w_2' - w_2 = 0$$

$$\text{Therefore } w_1 = w_1', w_2 = w_2'$$

Contradiction

Hence  $\forall v \in V$  can be written uniquely as  $v = w_1 + w_2$  for  $w_1 \in W_1, w_2 \in W_2$ .

(ii)  $\Rightarrow$  (iii)

If  $w_1 + w_2 = 0 = 0 + 0$  this is unique

$$\text{then } w_1 = w_2 = 0$$

And since  $\forall v \in V, v = w_1 + w_2$  for some  $w_1, w_2$ .

$$\text{So } V = W_1 + W_2.$$

(iii)  $\Rightarrow$  (i) Suppose  $d_1$  is a basis for  $W_1, d_2$  is a basis for  $W_2$ .

$V = W_1 + W_2$  for  $w_1 \in W_1, w_2 \in W_2$  if  $w_1 + w_2 = 0$  then  $w_1 = w_2 = 0$

Since  $v = w_1 + w_2 = 0$  only if  $w_1 = w_2 = 0$

$$\text{So } W_1 \cap W_2 = \{0\}$$

$$\text{Then } V = W_1 \oplus W_2 \quad \text{i.e. (iii) } \Rightarrow \text{(i)}$$

Therefore  $\dim V = \dim W_1 + \dim W_2$  and  $d = d_1 \cup d_2$  for  $d$  is a basis

$$\text{Check } \langle d_1, c \rangle = 0$$

$$\text{Then } \langle d_1 + d_2, c \rangle = \langle d_1, c \rangle + \langle d_2, c \rangle = 0$$

$$\text{Since } w_1 + w_2 = 0 \Rightarrow w_1 = -w_2 = 0$$

$$\text{So } \langle d_1, c \rangle = \langle d_2, c \rangle = 0 \Rightarrow c = 0 \text{ so } d \text{ is a basis for } V.$$

So far we have  $(i) \Rightarrow (ii) \Leftrightarrow (iii) \Rightarrow (i)$ , it means whenever we have one of them we can derive the other two statements. So they are equivalent.

Then we only need  $(ii) \Leftrightarrow (iv)$  to say four statements are equivalent.

$(i) \Rightarrow (iv)$

Since  $(i) \Rightarrow (ii)$   $V = W_1 + W_2$  uniquely.

Suppose  $\alpha_1 = \{x_1, \dots, x_n\}$ ,  $\alpha_2 = \{y_1, \dots, y_n\}$  which are basis for  $W_1$  and  $W_2$  respectively (Why  $\dim W_1 = \dim W_2$ ? Because they are two subspaces of  $V$ ).

Then  $w_1 = a_1 x_1 + \dots + a_n x_n$

$w_2 = b_1 y_1 + \dots + b_n y_n$  for  $a_i, b_i \in R$

$$\begin{aligned} w_1 + w_2 &= a_1 x_1 + \dots + a_n x_n \\ &\quad + b_1 y_1 + \dots + b_n y_n \end{aligned}$$

Know that  $\alpha = \alpha_1 \cup \alpha_2 = \{x_1, \dots, x_n, y_1, \dots, y_n\}$

$$\text{if } a_1 x_1 + \dots + a_n x_n + b_1 y_1 + \dots + b_n y_n = 0$$

$$\text{then } a_1 x_1 + \dots + a_n x_n = -b_1 y_1 - \dots - b_n y_n$$

$$\text{so } a_1 x_1 + \dots + a_n x_n, -b_1 y_1 - \dots - b_n y_n \in W_1 \cap W_2 = \{0\}$$

(by definition of (i))

as  $\{x_1, \dots, x_n\}$  and  $\{y_1, \dots, y_n\}$  are linearly independent

$$\text{therefore } a_1 = \dots = a_n = -b_1 = \dots = -b_n = 0$$

Hence  $\alpha = \alpha_1 \cup \alpha_2$  is a basis for  $W_1 + W_2 = V$

$$\begin{aligned} &(\text{since } W_1 \oplus W_2 = V \\ &\Rightarrow W_1 + W_2 = V) \end{aligned}$$

$(iv) \Rightarrow (i)$

Conversely,  $\alpha = \alpha_1 \cup \alpha_2$  is a basis for  $V$ ,  $\alpha_1$  is a basis for  $W_1$ ,

$\alpha_2$  is a basis for  $W_2$ .

Then  $V = W_1 + W_2$

$$\text{Say } a_1 x_1 + \dots + a_n x_n + b_1 y_1 + \dots + b_n y_n = 0$$

$$\text{only if } a_1 = \dots = a_n = 0 = b_1 = \dots = b_n$$

$$\text{then } w_1 = a_1 x_1 + \dots + a_n x_n$$

$$w_2 = b_1 y_1 + \dots + b_n y_n \text{ are linearly independent } w_1 = w_2 \text{ only if they both are } 0.$$

$$\text{Hence } W_1 \cap W_2 = \{0\}$$

$$\text{Therefore } V = W_1 \oplus W_2$$



( $\Rightarrow$ ) So we now have 4 statements equivalent.

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MAT 224

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Q 6.

Proof:  $W_1 \cap W_2 = \{A \in M_{\text{nex}}(\mathbb{R}) \mid A = A^T = -A^T\}$

Hence  $A^T = -A^T = 0 \Rightarrow A = 0$

So  $W_1 \cap W_2 = \{0\}$

If we have now 2 skew-symmetric matrices  $A, B$   
such that  $A = -A^T, B = -B^T$

Then  $(A+B)^T = +A^T + B^T = -A - B = -(A+B)$

$(\alpha A)^T = -(\alpha A)$

Now  $\forall$  matrix  $A \in M_{\text{nex}}(\mathbb{R})$  can be written as  
a sum of  $B = \frac{1}{2}(A+A^T)$  and  $C = \frac{1}{2}(A-A^T)$

i.e.  $A = \frac{1}{2}(A+A^T) + \frac{1}{2}(A-A^T)$

Since  $A+A^T = (A+A^T)^T = A^T+A$

hence  $B$  is symmetric.

Since  $A-A^T = -(A-A^T)^T = -A^T+A = A-A^T$

hence  $C$  is skew-symmetric

Therefore  $M_{\text{nex}}(\mathbb{R}) = W_1 + W_2$

So  $M_{\text{nex}}(\mathbb{R}) = W_1 \oplus W_2$

Q7 Claim:  $T: V \rightarrow V$  only two distinct  $\lambda_1$  &  $\lambda_2$ ,  $T$  is diagonalizable iff  $V = E_{\lambda_1} \oplus E_{\lambda_2}$

Proof:

( $\Rightarrow$ ) Suppose  $T$  is diagonalizable

$$\text{Then } \dim(E_{\lambda_1}) + \dim(E_{\lambda_2}) = \dim(V)$$

$$\text{so } E_{\lambda_1} + E_{\lambda_2} = V.$$

$$\text{Need } E_{\lambda_1} \cap E_{\lambda_2} = \{0\}$$

Suppose  $\alpha$  is a basis for  $V$ .

$\alpha_1$  is a basis for  $E_{\lambda_1}$  ( $\alpha_1 = (a_1, a_2, \dots, a_n)$ )

$\alpha_2$  is a basis for  $E_{\lambda_2}$  ( $\alpha_2 = (b_1, b_2, \dots, b_n)$ )

By the proof in Q5.

$\alpha = \alpha_1 \cup \alpha_2$  is a basis for  $V$ .

Say  $E_{\lambda_1} = \text{span}\{\alpha_1\}$

$E_{\lambda_2} = \text{span}\{\alpha_2\}$

Now suppose  $\alpha_i = \{\alpha_i^{\lambda_1}, \alpha_i^{\lambda_2}, \dots, \alpha_i^{\lambda_n}\}$  for  $i=1,2$

$$v \in E_{\lambda_1} \cap E_{\lambda_2} = \{0\}$$

$$\text{then } (a_1 \alpha_1^{\lambda_1} + a_2 \alpha_2^{\lambda_1} + \dots + a_n \alpha_n^{\lambda_1}) - (b_1 \alpha_1^{\lambda_2} + \dots + b_n \alpha_n^{\lambda_2}) = 0$$

$$\text{so } a_1 = a_2 = \dots = a_n = b_1 = b_2 = \dots = b_n = 0$$

Hence  $v = 0$

so  $E_{\lambda_1} \cap E_{\lambda_2} = \{0\}$  proved.

Therefore  $E_{\lambda_1} \oplus E_{\lambda_2} = V$ .

Converse?