

RA

Some key basic definitions:

- relation
- attribute
- schema
- tuple
- domain? `Movies(title:string, year:integer, length:integer, genre:string)`
- key, primary key
- data-definition language (ddl) & data-manipulation language (dml)
- tables, views and temporary tables

data types: `CHAR(n)`, `VARCHAR(n)` (e.g. `foo` is valid for `CHAR(5)`), `BOOLEAN`, `INT`, `FLOAT`, `DATE` and `TIME`

create a relation

```
1 CREATE TABLE Movies (  
2   title      CHAR(100),  
3   year       INT,  
4   length     INT,  
5   genre      CHAR(10),  
6   studioName CHAR(10),  
7   producerC# INT  
8 );
```

delete a relation R

```
1 DROP TABLE R;
```

modify the schema of existing relation

```
1 ALTER TABLE MovieStar ADD phone CHAR(16);
```

or

```
1 ALTER TABLE MovieStar DROP birthdate;
```

default values (right after the data type when declared)

```
1 ALTER TABLE MovieStar ADD phone CHAR(16) DEFAULT 'unlisted';
```

declaring keys, PRIMARY KEY or UNIQUE, can be declared either after the data type or at the end of CREATE TABLE

some more about operations of RA: set operations (union, intersection, difference), selection $\sigma_{Condition}(R)$ (eliminate rows/tuples), projection $\pi_{Attr1, Attr2, \dots}(R)$ (eliminate columns/attributes), Cartesian product/various joins (combine/(selectively pair) tuples), renaming

Cartesian product $R \times S$, natural joins $R \bowtie S$, Theta-joins $R \bowtie_C S$ (which is equal to product, then selection, note that it's Cartesian product not natural product)

Renaming R as S: $\rho_{S(A_1, A_2, \dots, A_n)}(R)$

also, left join, right join

constraints on relations (2 types):

- if R is an expression of relational algebra, the $R = \emptyset$ is a constraint.
- if R and S..., $R \subseteq S$

aggregation operators, SUM, AVG, MIN, MAX, COUNT

SQL

The simple example S(ELECT)–F(ROM)–W(HERE)

```
1 SELECT *
2 FROM Movies
3 WHERE studioName = 'Disney' AND year = 1990;
```

projection in SQL (with renaming and even calculation)

```
1 SELECT title AS name, length*0.5 AS halfDuration
2 FROM Movies
3 WHERE studioName = 'Disney' AND year = 1990;
```

selection in SQL

- Six comparison operators: =, <>, <, >, <=, >=

```
1 SELECT title
2 FROM Movies
3 WHERE (year > 1970 OR length < 90) AND studioName = 'MGM';
```

comparison of strings: 'bar' < 'bargain', 'fodder' < 'foo'
pattern matching:

- WHERE title LIKE 'Star ____';
- WHERE title LIKE '%''s\$';

UNKNOWN, TRUE and FALSE: treat UNKNOWN as 0.5.

$x \text{ AND } y := \min(x, y)$

$x \text{ OR } y := \max(x, y)$

negation of $x := 1-x$

order by (DESC means descending, by default is ascending)

```
1 SELECT *
2 FROM Movies
3 WHERE studioName = 'Disney' AND year = 1990
4 ORDER BY length, title DESC;
```

products and joins in SQL

```
1 SELECT name
2 FROM Movies, MovieExec
3 WHERE title = 'Star Wars' AND producerC# = cert#;
4 ---with disambiguity---
5 SELECT MovieStar.name, MovieExec.name
6 FROM MovieStar, MovieExec
7 WHERE MovieStar.address = MovieExec.address;
```

tuple variables

```
1 SELECT Star1.name, Star2.name
2 FROM MovieStar Star1, MovieStar Star2
3 WHERE Star1.address = Star2.address
4      AND Star1.name < Star2.name;
```

union, intersection and difference of queries

```
1 (SELECT name, address
2  FROM MovieStar
3  WHERE gender = 'F')
4  INTERSECT
5 (SELECT name, address
6  FROM MovieExec
7  WHERE netWorth > 100000000);
8 ---- can switch INTERSECT to UNION/EXCEPT ----
```

subqueries

```

1  --- produce scalar values ---
2  SELECT name
3  FROM MovieExec
4  WHERE cert# =
5      (SELECT producerC#
6         FROM Movies
7         WHERE title = 'Star Wars'
8      );
9
10 --- conditions involving relations ---
11 -- EXISTS R is a condition that is true iff R is not empty
12 -- s IN R is true iff s is equal to one of the values in R
13 -- s > ALL R is true iff greater than every value in unary relation R
14 -- s > ANY R is true iff greater than at least one value in unary relation R
15
16 --- conditions involving tuples ---
17 --- find all Movie executors of Harrison Ford's movies
18 SELECT name
19 FROM MovieExec
20 WHERE cert# IN
21     (SELECT producerC#
22        FROM Movies
23        WHERE (title, year) IN
24            (SELECT movieTitle, movieYear
25               FROM StarsIn
26               WHERE starName = 'Harrison Ford'
27            )
28     );
29 --- a simpler version without nested subqueries ---
30 SELECT name
31 FROM MovieExec, Movies, StarsIn
32 WHERE cert# = producerC# AND
33       title = movieTitle AND
34       year = movieYear AND
35       starName = 'Harrison Ford';
36
37 --- correlated subqueries ---
38 --- find the titles that have been used for two or more movies ---
39 SELECT title
40 FROM Movies Old
41 WHERE year < ANY
42     (SELECT year
43        FROM Movies
44        WHERE title = Old.title
45     );
46
47 --- subqueries in `FROM` clauses ---
48 --- same Harrison Ford's problem
49 SELECT name
50 FROM MovieExec, (SELECT producerC#
51                   FROM Movies, StarsIn
52                   WHERE title = movieTitle AND
53                         year = movieYear AND
54                         starName = 'Harrison Ford'
55                   ) Prod

```

```

56 WHERE cert# = Prod.producerC#;
57
58 --- SQL join expressions ---
59 --- natural joins ---
60 --- outerjoins ---

```

full-relation operations

```

1  -- eliminating duplicates
2  SELECT DISTINCT name
3
4  -- duplicates in unions, intersections, and differences
5  -- they (set operations) automatically eliminate duplicates;
6  -- unlike SELECT (which preserves duplicates, that's why we need DISTINCT)
7  -- if we want to keep duplicates, use `ALL` after these set operations
8  (SELECT title, year FROM Movies)
9  UNION ALL
10 (SELECT movieTitle AS title, movieYear AS year FROM StarsIn);
11
12 R INTERSECT ALL S
13 R EXCEPT ALL S
14
15 -- aggregation operators (SUM, AVG, MIN, MAX, COUNT)
16 SELECT COUNT(DISTINCT starName)
17 FROM StarsIn;
18
19 -- grouping
20 -
21   - whatever aggregation operators are used in the SELECT clause are applied only within groups
22 SELECT name, SUM(length)
23 FROM MovieExec, Movies
24 WHERE producerC# = cert#
25 GROUP BY name;
26
27 -- `NULL` doesn't contribute to a sum; COUNT(*) is NULL-inclusive
28
29 -- HAVING
30 -
31   - sometimes we want to choose our groups based on some aggregate property of the group itself, then we follow the GROUP BY clause with a HAVING clause. HAVING is followed by a condition about the group.
32   - an aggregation in a HAVING clause applies only to the tuples of the group being tested
33 -
34   - any attribute of relations in the FROM clause may be aggregated in the HAVING clause, but only those attributes that are in the GROUP BY list may appear unaggregated in the HAVING clause (same rule for SELECT)
35 SELECT name, SUM(length)
36 FROM MovieExec, Movies
37 WHERE producerC# = cert#
38 GROUP BY name
39 HAVING MIN(year) < 1930;

```

database modifications

```

1 -- insert tuples into a relation: INSERT INTO R(A1,...An) VALUES (v1,...vn);
2 INSERT INTO StarsIn(movieTitle, movieYear, starName) -
  - we can even skip the attributes here
3 VALUES('The Maltese Falcon', 1942, 'Sydney Greenstreet');
4
5 -
  - another example: add to the relation Studio(name, address, presC#) all movie studios that
  are mentioned in the relation Movies(title, year, length, genre, studioName, producerC#) w
  ithout naming address and presC# (just use NULL)
6 INSERT INTO Studio(name)
7     SELECT DISTINCT studioName
8     FROM Movies
9     WHERE studioName NOT IN
10         (SELECT name
11          FROM Studio);
12
13 -- delete certain tuples from a relation: DELETE FROM R WHERE <condition>;
14 DELETE FROM StarsIn
15 WHERE movieTitle = 'The Maltese Falcon' AND
16        movieYear = 1942 AND
17        starName = 'Sydney Greenstreet';
18
19 -- update values of certain components of certain existing tuples: UPDATE R SET <new-
  value assignment> WHERE <condition>;
20 UPDATE MovieExec
21 SET name = 'Pres. ' || name
22 WHERE cert# IN (SELECT presC# FROM Studio);

```

keys and foreign keys

```

1 -- declaring foreign-key constraints: REFERENCES <table>(<attribute>) or "start a new line"
2 -
  - the referenced attribute(s) of the other relation must be declared UNIQUE or the PRIMARY
  KEY
3 CREATE TABLE Studio (
4     name CHAR(30) PRIMARY KEY,
5     address VARCHAR(255),
6     presC# INT REFERENCES MoiveExec(cert#)
7         ON DELETE SET NULL -- set-NULL policy
8         ON UPDATE CASCADE -- cascade policy
9 );
10 -- or
11 CREATE TABLE Studio (
12     name CHAR(30) PRIMARY KEY,
13     address VARCHAR(255),
14     presC# INT,
15     FOREIGN KEY (presC#) REFERENCES MovieExec(cert#)
16         ON DELETE SET NULL -- set-NULL policy
17         ON UPDATE CASCADE -- cascade policy
18 );

```

constraints on attributes and tuples

```

1 -- not-NULL constraints (attribute-based)
2 presC# INT REFERENCES MoiveExec(cert#) NOT NULL
3
4 -- attribute-based CHECK constraints
5 gender CHAR(1) CHECK (gender IN ('F', 'M')),
6 presC# INT REFERENCES MoiveExec(cert#)
7     CHECK (presC# >= 1000000)
8 presC# INT CHECK (presC# IN (SELECT cert# FROM MovieExec))
9
10 -- tuple-based CHECK constraints
11 CREATE TABLE MovieStar (
12     name CHAR(30) PRIMARY KEY,
13     address VARCHAR(255),
14     gender CHAR(1)
15     birthdate DATE,
16     CHECK (gender = 'F' OR name NOT LIKE 'Ms.$')
17 );
18
19 -- add and drop constraint separately
20 ALTER TABLE MovieStar DROP CONSTRAINT NameIsKey;
21 ALTER TABLE MovieStar DROP CONSTRAINT NoAndro;
22 ALTER TABLE MovieStar ADD CONSTRAINT NameIsKey PRIMARY KEY (name);
23 ALTER TABLE MovieStar ADD CONSTRAINT NoAndro CHECK (gender IN ('F', 'M'));

```

virtual views

```

1 -- declare views: CREATE VIEW <view-name> AS <view-definition>;
2 CREATE VIEW ParamountMovies AS
3     SELECT title, year
4     FROM Movies
5     WHERE studioName = 'Paramount';
6
7 CREATE VIEW MovieProd AS
8     SELECT title, name
9     FROM Movies, MovieExec
10    WHERE producerC# = cert#;
11
12 -- views can be used together with other regular tables:
13 SELECT DISTINCT starName
14 FROM ParamountMovies, StarsIn
15 WHERE title = movieTitle AND year = movieYear;
16
17 -- renaming attributes
18 CREATE VIEW MovieProd(movieTitle, prodName) AS
19     SELECT title, name
20     FROM Movies, MovieExec
21    WHERE producerC# = cert#;

```

modifying views

```

1 DROP VIEW ParamountMovies -- only affect this view
2 DROP TABLE Movies -- affect not only Movies, also make the previous view unusable

```

```

3
4 CREATE VIEW ParamountMovies AS
5     SELECT studioName, title, year
6     FROM Movies
7     WHERE studioName = 'Paramount';
8
9 -- add
10
11 INSERT INTO ParamountMovies
12 VALUES('Paramount', 'Star Trek', 1979);
13 -- is equivalent to
14 INSERT INTO Movies(title, year)
15 VALUES('Paramount', 'Star Trek', 1979);
16
17 -- delete
18
19 DELETE FROM ParamountMovies
20 WHERE title LIKE '%Trek%';
21 -- is equivalent to
22 DELETE FROM Movies
23 WHERE title LIKE '%Trek%' AND studioName = 'Paramount';
24
25 -- update
26
27 UPDATE ParamountMovies
28 SET year = 1979
29 WHERE title = 'Star Trek the Movie';
30 -- is equivalent to
31 UPDATE Movies
32 SET year = 1979
33 WHERE title = 'Star Trek the Movie' AND studioName = 'Paramount';

```

XML

- XML (Extensible Markup Language), tag-based
- two different modes
 - well-formed XML: invent your own tags
 - valid XML involves a 'DTD' (Document Type Definition) that specifies the allowable tags and gives a grammar for how they may be nested

```

1 <!-- well-formed XML would have an outer structure like: -->
2 <? xml version = "1.0" encoding = "utf-8" standalone = "yes" ?>
3 <SomeTag>
4     ...
5 </SomeTag>
6
7 <!-- attributes
8 name-value pairs, an alternative way to represent a leaf node of semistructured data
9 <!-->
10 <Movie year = 1977><Title>Star Wars</Title></Movie>
11 <!-- is equivalent to say -->
12 <Movie title = "Star Wars" year = 1977></Movie>
13 <!-- or -->

```



```
14 <Movie title = "Star Wars" year = 1977 />
```

Document Type Definitions

```
1 <!DOCTYPE root-tag [  
2     <!--ELEMENT element-name (components)-->  
3     more elements  
4 ]>
```

(#PCDATA) ("parsed character data") means that the element has a value that is text, and it has no elements nested within.

EMPTY with no parentheses, indicates that the element is one of those that has no matched closing tag. It has no subelements, nor does it have text as a value.

```
1 <!DOCTYPE Stars [  
2     <!--ELEMENT Stars (Star*)-->  
3     <!--ELEMENT Star (Name, Address+, Movies)-->  
4     <!--ELEMENT Name (#PCDATA)-->  
5     <!--ELEMENT Address (Street, City)-->  
6     <!--ELEMENT Street (#PCDATA)-->  
7     <!--ELEMENT City (#PCDATA)-->  
8     <!--ELEMENT Movies (Movies*)-->  
9     <!--ELEMENT Movie (Title, Year)-->  
10    <!--ELEMENT Title (#PCDATA)-->  
11    <!--ELEMENT Year (#PCDATA)-->  
12 ]>
```

*: any number of times, including zero

+: one or more times

?: one or zero time

|: "or", <!--ELEMENT Genre (Comedy|Drama|SciFi|Teen)-->

using a DTD

```
1 <? xml version = "1.0" encoding = "utf-8" standalone = "no"?>  
2 <!DOCTYPE Stars SYSTEM "star.dtd">
```

attribute lists

<!--ATTLIST element-name attribute-name type-->

The most common type for attributes is CDATA (character-string data with special characters like < escaped as in #PCDATA)

data type can be either #REQUIRED or #IMPLIED

```
1 <!DOCTYPE StarMovieData [  
2     <!--ELEMENT StarMovieData (Star*, Movie*)-->  
3     <!--ELEMENT Star (Name, Address+)-->  
4         <!--ATTLIST Star  
5             starId ID #REQUIRED
```

```

6      starredIn IDREFS #IMPLIED
7    >
8    <!--ELEMENT Name (#PCDATA)-->
9    <!--ELEMENT Address (Street, City)-->
10   <!--ELEMENT Street (#PCDATA)-->
11   <!--ELEMENT City (#PCDATA)-->
12   <!--ELEMENT Movie (Title, Year)-->
13     <!--ATTLIST Movie
14       movieId ID #REQUIRED
15       starsOf IDREFS #IMPLIED
16     >
17   <!--ELEMENT Title (#PCDATA)-->
18   <!--ELEMENT Year (#PCDATA)-->
19 ]>

```

- XPath

- document nodes `doc("movies.xml")`
- path expression `/StarMovieData/Star/Name`
- relative path expression
- attributes `/StarMovieData/Star/@starID`
- find all city subelements `//City`
- wildcards `/StarMovieData/*/@*`
- conditions in path expressions `/StarMovieData/Star[//City = "Malibu"]/name`, can also use `=`, `>=`, `!=`, `and`, `or` etc.
 - an integer `[i]` by itself is true only when applied the *i*th child of its parents
 - a tag `[T]` by itself is true only for elements that have one or more subelements with tag `T`.
 - an attribute `[A]` by itself is true only for elements that have a value for the attribute `A`.

- XQuery

- functional language
- FLWR expressions (for, let, where, return)
 - zero or more for- and let-clauses in any order
 - optional where-clause
 - exactly one return-clause
 - let-clause
 - `let variable := expression`
 - `let $stars := doc("stars.xml")`
 - for-clause
 - `for variable in expression`
 - `let $movie := doc("movies.xml")`
 - `for $m in $movies/Movies/Movie ... something done with each Movie element`
 - where-clause
 - `where condition`
 - is applied to an item and the condition is an expression evaluates to true or false
 - return-clause
 - `return expression`

replacement of variables by their values

```
1 let $movies := doc("movies.xml")
```

```

2 for $m in $movies/Movies/Movie
3 return <Movie title = {$m/@title}>{$m/Version/Star}</Movie>
4
5 let $starSeq := (
6   let $movies := doc("movies.xml")
7   for $m in $movies/Movies/Movie
8   return $m/Version/Star
9 )
10 return <Stars>{$starSeq}</Stars>

```

joins in XQuery

- use built-in function `data(E)` to extract the value of an element E.

```

1 let $movies := doc("movies.xml"),
2   $stars := doc("stars.xml")
3 for $s1 in $movies/Movies/Movie/Version/Star,
4   $s2 in $stars/Stars/Star
5 where data($s1) = data($s2/Name)
6 return $s2/Address/City

```

comparison operators: `eq`, `ne`, `lt`, `gt`, `le`, `ge`

```

1 let $stars := doc("stars.xml")
2 for $s in $stars/Stars/Star
3 where $s/Address/Street eq "123 Maple St." and
4       $s/Address/City eq "Malibu"
5 return $s/Name

```

elimination of duplicates (apply `distinct-values` function)

```

1 let $starSeq := distinct-values(
2   let $movies := doc("movies.xml")
3   for $m in $movies/Movies/Movie
4   return $m/Version/Star
5 )
6 return <Stars>{$starSeq}</Stars>

```

quantification:

every variable in expression1 satisfies expression2

some variable in expression1 satisfies expression2

aggregation: `count`, `sum`, `max`, etc.

branching: `if (expression1) then expression2 else expression3`

```

1 let $kk := doc("movies.xml")/Movies/Movie[@title = "King Kong"]
2 for $v in $kk/Version
3 return

```

```
4   if ($v/@year = max($kk/Version/@year))
5   then <Latest>{$v}</Latest>
6   else <Old>{$v}</Old>
```

ordering the result of a query

order list of expression

default is descending

```
1 let $movies := doc("movies.xml")
2 for $m in $movies/Movies/Movie,
3     $v in $m/Version
4 order $v/@year, $m/@title
5 return <Movie title = "{$m/@title}" year = "{$v/@year}" />
```

Design and normalization

- functional dependency
- BCNF (Boyce-Codd normal form)
- 3NF (third normal form)
- E/R model