



## 组合数学

## 1. 组合恒等式

$$(1) \sum_{i=0}^n C_n^i = 2^n \quad (\text{二项式定理})$$

$$(2) \sum_{i=0}^n C_i^r = C_{n+1}^{r+1} \quad C_{n+1}^{r+1} \rightarrow \{1, 2, \dots, n+1\} \text{ 中选 } r+1 \text{ 个元素}$$

按最大元素分类, 若最大为  $i+1$ , 则有  $C_i^r$  种选法, 再对  $i$  求和即 LHS

$$(3) \sum_{i=0}^k C_m^i C_n^{r-i} = C_{m+n}^r \quad n \text{ 个红球, } m \text{ 个蓝球, 从中选 } r \text{ 个球} \Rightarrow \text{RHS}$$

LHS: 按红球、蓝球个数分类 选  $i$  个红 +  $r-i$  个蓝, 对  $i$  求和  $\Rightarrow$  LHS

$$(4) C_n^r C_{n-r}^k = C_n^k C_k^r$$

题 23. 若非空集合  $A \subset \{1, 2, 3, \dots, n\}$  满足  $|A| \leq \min_{x \in A} x$ . 则称  $A$  为  $n$  级好集合. 记  $a_n$  为  $n$  级好集合的个数. 求证: 对一切正整数  $n$ , 都有  $a_{n+2} = a_{n+1} + a_n + 1$ .

解:  $|A| \leq \min_{x \in A} x$

方法 1: 对于  $|A|=k$ ,  $\min_{x \in A} x \geq k$ ,  $A$  的元素取值于  $\{k, k+1, \dots, n\}$

若  $n-k+1 < k$ , 则有  $C_{n-k+1}^k$  个  $k$  元好集合, 若  $k \leq n-k+1 \Rightarrow k \leq \frac{n+1}{2}$ ,  $a_n = \sum_{k=1}^{\lfloor \frac{n+1}{2} \rfloor} C_{n-k+1}^k$

当  $n$  偶: 设  $n=2m$ ,  $a_{2m+2} = \sum_{k=1}^{m+1} C_{2m+2-k}^k = C_{2m+2}^1 + C_{2m+2}^2 + \dots + C_{m+2}^{m+1}$

用  $C_n^k = C_{n-1}^k + C_{n-1}^{k-1}$  递推

$$= (C_{2m+1}^1 + C_{2m+1}^0) + (C_{2m}^2 + C_{2m}^1) + \dots + (C_{m+1}^{m+1} + C_{m+1}^m)$$

$$= \underbrace{(C_{2m+1}^1 + C_{2m}^2 + \dots + C_{m+1}^{m+1})}_{a_{2m+1}} + 1 + \underbrace{(C_{2m+1}^0 + C_{2m}^1 + \dots + C_{m+1}^m)}_{a_{2m}}$$

$$= a_{2m+1} + a_{2m} + 1, \quad \checkmark$$

当  $n$  奇: 设  $n=2m+1$ , 则  $a_{2m+1} = C_{2m+1}^1 + C_{2m}^2 + \dots + C_{m+1}^{m+1}$

$$= (C_{2m}^1 + C_{2m}^0) + (C_{2m-1}^2 + C_{2m-1}^1) + \dots + (C_m^{m+1} + C_m^m)$$

$$= (C_{2m}^1 + C_{2m-1}^2 + \dots + C_m^{m+1}) + 1 + (C_{2m}^0 + C_{2m-1}^1 + \dots + C_m^m)$$

$$= a_{2m} + a_{2m-1} + 1, \quad \checkmark$$

方法 2:  $a_{n+2} = a_{n+1} + a_n + 1$

1° 若  $n+2 \notin A$ , 这样的好集合个数为  $a_{n+1}$

2° 若  $n+2 \in A$ ,  $A \setminus \{n+2\} = A'$ ,  $|A'| \leq \min_{x \in A'} x$

1)  $|A'| \geq 2$ ,  $|A'| = |A| - 1$  且  $\min_{x \in A'} x$  不变,  $|A'| \leq \min_{x \in A'} x \Rightarrow$

作映射:  $A' \rightarrow A'' = \{x-1 \mid x \in A'\}$ ,  $|A''| \leq \min_{x \in A''} x$

2)  $|A'| = 1 \Rightarrow A = \{n+2\}$   $\checkmark$

$$\therefore a_{n+2} = a_{n+1} (n+2 \notin A) + a_n (n+2 \in A, |A| \geq 2) + 1 (A = \{n+2\})$$



题 24. 一个无现场的整数列  $a_0, a_1, \dots, a_n$  (不需要两两不同) 满足如下性质: 对任意整数  $i \geq 0$  都有  $0 \leq a_i \leq i$ , 并且对任意整数  $k \geq 0$  都有

$$\underline{C_k^{a_0} + C_k^{a_1} + \dots + C_k^{a_k} = 2^k}$$

证明: 任意正整数  $N \geq 0$  都会出现在这个数列里 (即对任意  $N \geq 0$ , 都存在一个  $i \geq 0$  满足  $a_i = N$ ).

解:  $1^\circ a_k = k \Rightarrow$  二项式定理  $k=0: C_0^{a_0} = 2^0 = 1 \Rightarrow a_0 = 0$

$k=1: C_1^0 + C_1^{a_1} = 2 \Rightarrow a_1 = 0 \text{ 或 } 1$   $k=2: C_2^0 + C_2^{a_1} + C_2^{a_2} = 4 \Rightarrow a_2 = 0 \text{ 或 } 2$

$k=3: a_3 = 1 \text{ 或 } 2, k=4: a_4 = 1 \text{ 或 } 3$  根据此规则用归纳法

证明: 归纳证明  $a_1, \dots, a_k$  有如下结构:

$0, 1, \dots, r-1 \leftarrow r \text{ 项 } ①$

$0, 1, \dots, k-r \leftarrow k-r+1 \text{ 项 } ②$

$r \geq k-r+1 \Leftrightarrow 2r \geq k+1$

$k=0, 1$ , 设  $k=m$  成立, 对于  $k=m+1$

$$C_{m+1}^{a_0} + C_{m+1}^{a_1} + \dots + C_{m+1}^{a_m} + C_{m+1}^{a_{m+1}} = 2^{m+1}$$

$$LHS = (C_{m+1}^0 + C_{m+1}^1 + \dots + C_{m+1}^{r-1}) + (C_{m+1}^0 + C_{m+1}^1 + \dots + C_{m+1}^{m-r}) + C_{m+1}^{a_{m+1}}$$

$$= (C_{m+1}^0 + C_{m+1}^1 + \dots + C_{m+1}^{r-1}) + C_{m+1}^{r-1} + \dots + C_{m+1}^m + C_{m+1}^{a_{m+1}}$$

加上  $C_{m+1}^{r-1}$  之后括号部分即为二项式定理

$$\therefore C_{m+1}^{a_{m+1}} = C_{m+1}^{r-1} \Rightarrow a_{m+1} = r \text{ (在①中)} \text{ 或 } m+1-r \text{ (在②中)} \quad \square$$

母函数:

定义:  $\{a_n\}_{n=0}^\infty$  定义母函数  $f(x) = \sum_{n=0}^\infty a_n x^n$

例 26: 求  $x_1 + x_2 + \dots + x_k = n$  非负整数解个数

正常做法: 隔板法,  $(n+k)$  个球中间放  $n-1$  个隔板  $\Rightarrow C_{n+k-1}^{n-1}$

母函数做法: 考虑  $f(x) = (1+x+x^2+\dots)^k = (\sum_{i=0}^\infty x^i)^k$   $f(x)$  的  $x^n$  项系数即原方程非负整数解个数

(理由:  $x^n$  项实为从  $k$  个  $(1+x+x^2+\dots)$  中分别选出一项, 这些项幂的和为  $n$ )

$$\text{当 } |x| \leq 1, \sum_{i=0}^\infty x^i = \frac{1}{1-x}, f(x) = \left(\frac{1}{1-x}\right)^k = \frac{1}{(1-x)^k}$$

对  $\frac{1}{1-x} = 1+x+x^2+\dots$  两边求  $k$  次导

$$\frac{(k-1)!}{(1-x)^k} = (k-1)! + \frac{(k-1+1)!}{1!} x + \frac{(k-1+2)!}{2!} x^2 + \dots + \frac{(k-1+n)!}{n!} x^n + \dots$$

$$\Rightarrow a_n = \frac{(k+n-1)!}{n! (k-1)!} = C_{n+k-1}^n$$

例 27: 对  $1 \times n$  用 R, Y, B 三种颜色染色, R 格子有偶数个, B 格子只有 1 个, 求方案数

$$\text{考虑 } f(x) = \underbrace{\left(1 + \frac{x^2}{2!} + \frac{x^4}{4!} + \dots\right)}_{\text{R 格子}} \cdot \underbrace{\left(x + \frac{x^3}{3!} + \frac{x^5}{5!} + \dots\right)}_{\text{B 格子}} \cdot \underbrace{\left(1 + x + \frac{x^2}{2!} + \frac{x^3}{3!} + \dots\right)}_{\text{Y 格子}} \quad (\text{除以 } k! \text{ 是除以 } k \text{ 个同色格的顺序})$$

$$e^x = 1 + x + \frac{x^2}{2!} + \frac{x^3}{3!} + \dots \quad \therefore f(x) = \frac{e^x + e^{-x}}{2} \cdot e^x = \frac{1}{2} (e^{2x} + e^x)$$



其中  $x^n$  系数为  $\frac{1}{2}(\frac{3^n}{n!} - \frac{2^n}{n!} + \frac{1}{n!})$

$$a_n = \frac{1}{2}(\frac{3^n}{n!} - \frac{2^n}{n!} + \frac{1}{n!}) \quad |A_n| \rightarrow n \text{ 个格子顺序} = \frac{1}{2}(3^n - 2^n + 1)$$

例 28: 有  $n$  枚硬币, 甩桌上, 若正面朝上则放入钱罐, 其重复此操作, 求操作次数的期望

设期望为  $e_n$ , 有  $i$  个反面向上概率  $C_n^i 2^{-n} \Rightarrow e^n = \sum_{i=0}^n \frac{C_n^i}{2^n} (e_i + 1) \checkmark = 1 + 2^{-n} \sum_{i=0}^n C_n^i e_i$

令  $f(x) = \sum_{n=0}^{\infty} \frac{e_n}{n!} x^n$ , 将  $e_n$  递推代入

$$\begin{aligned} f(x) &= \sum_{n=0}^{\infty} \frac{x^n}{n!} (1 + 2^{-n} \sum_{i=0}^n C_n^i e_i) \\ &= e^x - 1 + \sum_{n=1}^{\infty} \sum_{i=1}^n C_n^i e_i \frac{x^n}{n!} \\ &= e^x - 1 + \sum_{i=1}^{\infty} \sum_{n=i}^{\infty} C_n^i e_i \frac{x^n}{n!} \quad (\text{交换求和符号}) \\ &= e^x - 1 + \sum_{i=1}^{\infty} e_i \frac{1}{i!} \sum_{n=i}^{\infty} \frac{(x/2)^n}{(n-i)!} \\ &= e^x - 1 + \sum_{i=1}^{\infty} \frac{e_i (x/2)^i}{i!} \sum_{n=i}^{\infty} \frac{(x/2)^{n-i}}{(n-i)!} \rightarrow e^{\frac{x}{2}} \\ &= e^x - 1 + (\sum_{i=1}^{\infty} \frac{e_i (x/2)^i}{i!}) e^{\frac{x}{2}} = e^x - 1 + e^{\frac{x}{2}} f(\frac{x}{2}) \end{aligned}$$

$$e^{-x} f(x) = 1 - e^{-x} + e^{-\frac{x}{2}} f(\frac{x}{2}), \quad \text{令 } g(x) = e^{-x} f(x)$$

$$g(x) = 1 - e^{-x} + g(\frac{x}{2}) \quad g(0) = e^0 f(0) = 0$$

$$\begin{aligned} g(x) &= 1 - e^{-x} + g(\frac{x}{2}) = (1 - e^{-x}) + (1 - e^{-\frac{x}{2}}) + g(\frac{x}{4}) = \dots \\ &= \sum_{i=0}^{\infty} (1 - e^{-x/2^i}) = \sum_{i=0}^{\infty} \sum_{n=1}^{\infty} \frac{(-1)^{n-1} x^n}{n! (2^i)^n} = \sum_{n=1}^{\infty} \frac{(-1)^{n-1} x^n}{n! (1 - 2^{-n})} \end{aligned}$$

$$\begin{aligned} f(x) &= e^x g(x), \text{ 其 } x^n \text{ 系数为 } \sum_{k=1}^n C_n^k \frac{1}{n!} \frac{(-1)^{k-1}}{1 - 2^{-k}} \\ \Rightarrow e_n &= \sum_{k=1}^n C_n^k \frac{(-1)^{k-1}}{1 - 2^{-k}} \end{aligned}$$

卡特兰数  $\frac{1}{n+1} C_{2n}^n$

定义 29:  $a_1 \sim a_{2n}$  中有  $n$  个  $+1$ ,  $n$  个  $-1$ ,  $\forall k \leq 2n$  有  $a_1 + a_2 + \dots + a_k \geq 0$



$$a_1 + \dots + a_k = -1$$

$$b_i = \begin{cases} -a_i & 1 \leq i \leq k \\ a_i & i \geq k+1 \end{cases}$$

红与蓝轨迹一一对应

红:  $n+1$  个  $+1$ ,  $n-1$  个  $-1$

考虑第一次走到  $y=1$  的情况 (设为第  $k$  步)

$$\text{令 } a_i = \begin{cases} -b_i, & 1 \leq i \leq k \\ b_i & i \geq k+1 \end{cases} \Rightarrow a_i \text{ 轨迹为蓝线}$$

$\therefore$  红-蓝轨迹一一对应

红:  $C_{2n}^{n+1}$  条 (送  $n+1$  条向上)

$\therefore$  满足条件的序列有:

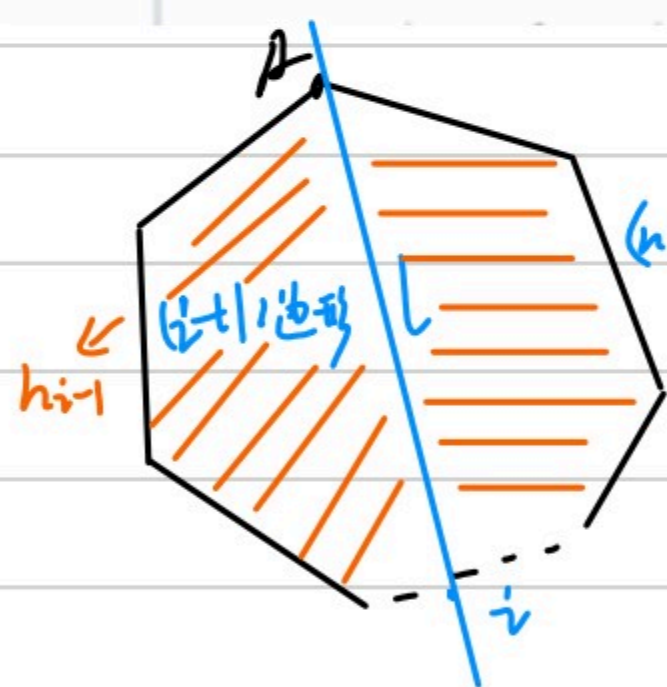
$$C_{2n}^n - C_{2n}^{n+1} = \frac{1}{n+1} C_{2n}^n \Rightarrow \text{卡特兰数}$$

定义 30:  $n-1$  条对角线, 分  $n+2$  边形, 分成  $n$  个三角形

分法有  $\frac{1}{n+1} C_{2n}^n$  种 (三角剖分数)



$n=4$ : 分6边形.  $\rightarrow$  14种.



$h_n$  是  $n+2$  边形, 的剖分数,

$(n-1+1)$  边形

$h_{n-1+1}$

$$\Rightarrow h_n = \sum_{i=1}^{n-1} h_i h_{n-i} \Rightarrow \text{此式一定对应卡特兰数}$$

考虑生成函数  $f(x) = \sum_{i=1}^{\infty} h_i x^i$

$$f(x) = h_1 x + h_2 x^2 + (h_1 h_2 + h_2 h_1) x^3 + (h_1 h_3 + h_2 h_2 + h_3 h_1) x^4 + \dots$$

$$= x + f^2(x) \Rightarrow f(x) = \frac{1 \pm \sqrt{1-4x}}{2}$$

$$f(0) = 0 \Rightarrow f(x) = \frac{1}{2} - \frac{1}{2} \sqrt{1-4x} = \frac{1}{2} - \frac{1}{2} (1-4x)^{\frac{1}{2}}$$

$$= \frac{1}{2} - \frac{1}{2} \sum_{n=0}^{\infty} C_{\frac{1}{2}}^n (-4x)^n \quad (\text{广义二项式定理})$$

$$x^n \text{ 项系数: } C_{\frac{1}{2}}^n (-4)^n \cdot (-\frac{1}{2}) = (-1)^{n+1} 2^{2n-1} \frac{\frac{1}{2}(\frac{1}{2}-1)(\frac{1}{2}-2)\dots(\frac{1}{2}-n+1)}{n!}$$

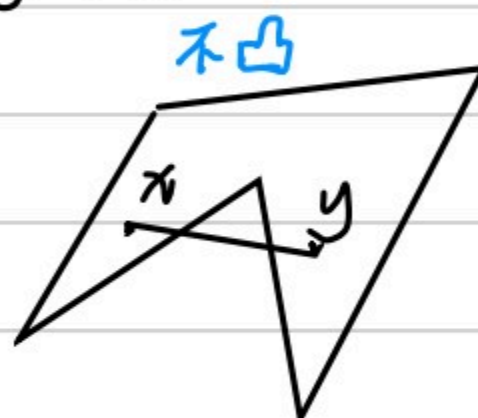
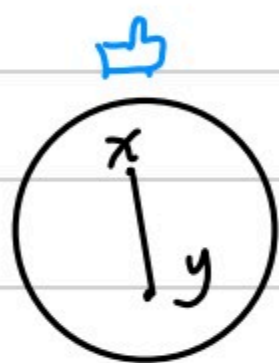
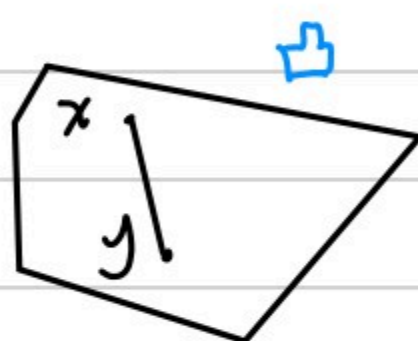
$$= (-1)^{n+1} 2^{2n-1} \frac{1}{n!} (1 \cdot (-1) \cdot (-2) \cdot (-3) \dots (1-2n+2))$$

$$= \frac{(2n-3)!!}{n!} 2^{n-1}$$

$$= \frac{(n-1)! 2^{n-1}}{n! (n-1)!} (2n-3)!! = \frac{(2n-2)!}{n! (n-1)!} = \frac{1}{n} C_{2n-2}^{n-1}$$

### 组合几何

1. 凸集 定义:  $\forall x, y \in S, tx + (1-t)y \in S$



性质: 对于  $\lambda_1 + \lambda_2 + \dots + \lambda_k = 1, \sum_{i=1}^k \lambda_i x_i \in S$  ( $x_i$  为点, 式子的意义为  $x_1, \dots, x_k$  内部属于  $S$ )

证: 对  $k$  归纳.  $k=2$ : 凸集定义.

设  $k$  成立. 考虑  $k+1$ :

$$\sum_{i=1}^{k+1} \lambda_i x_i = (1 - \lambda_{k+1}) \left( \underbrace{\sum_{i=1}^k \frac{\lambda_i}{1 - \lambda_{k+1}} x_i}_{\text{归纳假设 } \in S} \right) + \lambda_{k+1} x_{k+1}$$

$$= (1 - \lambda_{k+1}) Y_{k+1} + \lambda_{k+1} x_{k+1} \in S$$



定义: 点集  $X$ : 凸包:  $S = \{ \sum_{i=1}^k t_i x_i \mid x_i \in X, \sum_{i=1}^k t_i = 1, t_i \geq 0 \}$

1°  $S$  为凸集 (易验证)

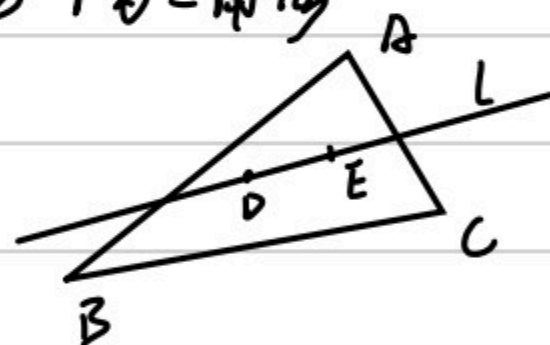
2°  $S$  是包括  $X$  的最小凸集

性质34: 平面上不共面的5个点, 一定存在4个点组成一个凸四边形

证明: 按凸包形状讨论. 设凸包为  $P$

1°  $P$  为四边形/五边形, 选凸包4个顶点

2°  $P$  为三角形



不妨设直线  $DE$  和  $BC$  不交, 则  $DECB$  构成凸四边形

定理 35. 对于两个不交的凸集  $S_1, S_2$ , 存在一条直线  $l$  将两者分开

证: 引理: 对闭凸集  $S$ , 存在唯一一点  $V \in S$ , 使  $|OV| = \inf \{ |OA| \mid A \in S \}$



取一列  $\{A_i\}$  满足  $|OA_i| \rightarrow \inf \dots$

则由  $S$  凸  $\Rightarrow \frac{1}{2}(A_i + A_j) \in S$ .

$\Rightarrow \frac{1}{4} |\overrightarrow{OA_j} + \overrightarrow{OA_i}|^2 \geq \delta^2 \triangleq \inf \dots$

$\Rightarrow |\overrightarrow{OA_j} - \overrightarrow{OA_i}|^2 = 2|\overrightarrow{OA_j}|^2 + 2|\overrightarrow{OA_i}|^2 -$

$$|\overrightarrow{OA_j} + \overrightarrow{OA_i}|^2 \geq 4\delta^2$$

$$\Rightarrow |\overrightarrow{OA_j} - \overrightarrow{OA_i}|^2 \rightarrow 0$$

又  $S$  闭  $\Rightarrow$  存在  $V = \lim_{i \rightarrow \infty} A_i$

将  $V$  代入  $\textcircled{4} \Rightarrow V$  唯一

回到原定理, 定义  $S = \{S_1 - S_2 \mid S_1 \in S_1, S_2 \in S_2\}$

由引理, 存在  $\bar{S}$  ( $S$  闭包) 中一点  $V$  到  $O$  距离

最短,  $\forall A \in S, t \in (0, 1)$

$$\Rightarrow |OV|^2 \leq |OV + t(\overrightarrow{OA} - \overrightarrow{OV})|^2$$

$$= |OV|^2 + 2t \overrightarrow{OV} \cdot (\overrightarrow{OA} - \overrightarrow{OV}) + t^2 |\overrightarrow{OA} - \overrightarrow{OV}|^2$$

$$\Rightarrow 0 \leq 2 \overrightarrow{OV} \cdot \overrightarrow{OA} - 2t |OV|^2 + t |\overrightarrow{OA} - \overrightarrow{OV}|^2$$

令  $t \rightarrow 0$  有  $\overrightarrow{OV} \cdot \overrightarrow{OA} \geq |OV|^2$

$\therefore \forall S_1 \in S_1, S_2 \in S_2$  有

$$\overrightarrow{OV} \cdot (\overrightarrow{OS_1} - \overrightarrow{OS_2}) \geq |OV|^2$$

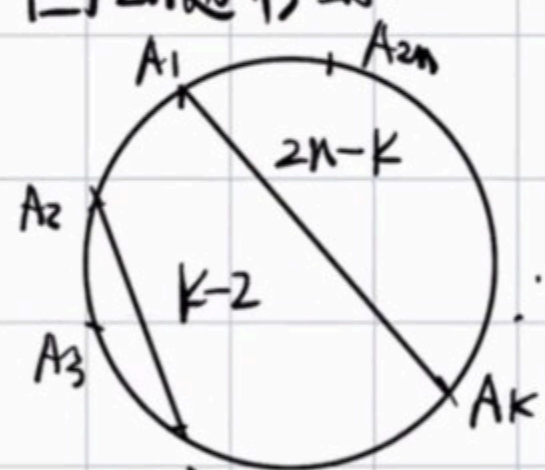
$$\Rightarrow \inf_{S_1 \in S_1} \overrightarrow{OS_1} \cdot \overrightarrow{OV} \geq |OV|^2 + \sup_{S_2 \in S_2} \overrightarrow{OS_2} \cdot \overrightarrow{OV}$$





题 36. 设  $S$  是平面上的 16 个点组成的集合, 记  $\chi(S)$  为在以  $S$  中的点为端点画 8 条线段的画法总数, 满足没有任何两条线段都不相交, 也不共顶点. 对所有  $S$ , 求  $\chi(S)$  的最小可能值.

凸  $2n$  边形时.



考虑  $A_1$  与  $A_k$  相连.

令  $e_n$  是凸  $2n$  边形的画法个数. 则

$$e_n = e_1 e_{n-1} + e_2 e_{n-2} + \dots + e_{n-1} e_1$$

卡特兰数递推式

$$\Rightarrow e_n = \frac{1}{n+1} C_{2n}^n$$

考虑凸包上一点  $P$ .

将余下的  $2n-1$  个点按与  $P$  形成的角度标号.

形成  $A_1, A_2, \dots, A_{2n-1}$ .

设  $P$  与  $A_{2k+1}$  相连. 将图形分为左右各

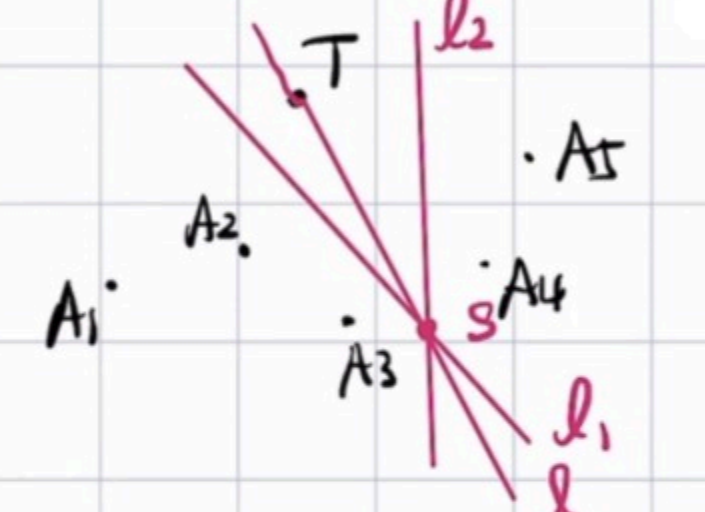
$2k$  和  $2n-2k-2$  个点.

设  $C_n$  是第  $n$  个卡特兰数.

$$\chi(S) \geq C_0 C_{n-1} + C_1 C_{n-2} + \dots + C_{n-1} C_0 \quad (\text{归纳})$$

$$= C_n.$$

题 37. 在平面内, 有限点集的分割是指将点集分成两个不相交的子集  $A, B$ , 使得存在一条直线不经过任意集合中的任意点且集合  $A$  中所有的点在直线的一侧, 集合  $B$  中的所有点在另一侧. 求平面内  $n$  个点的集合的分割个数的最大值.



$l_1$  和  $l_2$  对应  $\{A_1, \dots, A_n\}$  的同一个分割

但  $l_1, l_2$  对应  $\{A_1, \dots, A_n, T\}$  的不同分割

考虑  $l_1 \cap l_2 = S$ . 考虑直线  $TS$ .

$TS$  将  $\{A_1, \dots, A_n\}$  分为与  $l_1, l_2$  相同

的分割. 故  $l_1, l_2$  将  $\{A_1, \dots, A_n\}$

分为形如  $\{A_1, \dots, A_k\} \{A_{k+1}, \dots, A_n\}$  的两部分.

$\Rightarrow$  这样的分割总共有  $n$  个

$$\Rightarrow a_{n+1} \leq n + a_n \leq \dots$$

$$\leq n + (n-1) + \dots + 1 + a_1$$

$$= C_{n+1}^2 + 1$$

$$\Rightarrow a_n \leq C_n^2 + 1.$$



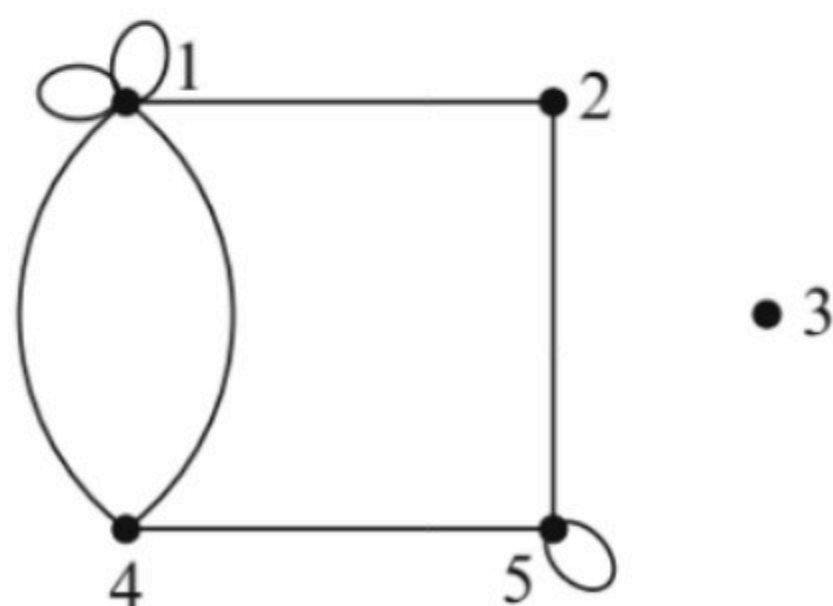
## 代数组合

Given a finite set  $S$  and integer  $k \geq 0$ , let  $\binom{S}{k}$  denote the set of  $k$ -element subsets of  $S$ . A *multiset* may be regarded, somewhat informally, as a set with repeated elements, such as  $\{1, 1, 3, 4, 4, 4, 6, 6\}$ . We are only concerned with how many times each element occurs and not on any ordering of the elements. Thus for instance  $\{2, 1, 2, 4, 1, 2\}$  and  $\{1, 1, 2, 2, 2, 4\}$  are the same multiset: they each contain two 1's, three 2's, and one 4 (and no other elements). We say that a multiset  $M$  is *on* a set  $S$  if every element of  $M$  belongs to  $S$ . Thus the multiset in the example above is on the set  $S = \{1, 3, 4, 6\}$  and also on any set containing  $S$ . Let  $\left(\binom{S}{k}\right)$  denote the set of  $k$ -element multisets on  $S$ . For instance, if  $S = \{1, 2, 3\}$  then (using abbreviated notation),

$$\binom{S}{2} = \{12, 13, 23\}, \quad \left(\binom{S}{2}\right) = \{11, 22, 33, 12, 13, 23\}.$$

We now define what is meant by a graph. Intuitively, graphs have vertices and edges, where each edge "connects" two vertices (which may be the same). It is possible for two different edges  $e$  and  $e'$  to connect the same two vertices. We want to be able to distinguish between these two edges, necessitating the following more precise definition. A (finite) *graph*  $G$  consists of a *vertex set*  $V = \{v_1, \dots, v_p\}$  and *edge set*  $E = \{e_1, \dots, e_q\}$ , together with a function  $\varphi: E \rightarrow \left(\binom{V}{2}\right)$ . We think that if  $\varphi(e) = uv$  (short for  $\{u, v\}$ ), then  $e$  connects  $u$  and  $v$  or equivalently  $e$  is *incident* to  $u$  and  $v$ . If there is at least one edge incident to  $u$  and  $v$  then we say that the vertices  $u$  and  $v$  are *adjacent*. If  $\varphi(e) = vv$ , then we call  $e$  a *loop* at  $v$ . If several edges  $e_1, \dots, e_j$  ( $j > 1$ ) satisfy  $\varphi(e_1) = \dots = \varphi(e_j) = uv$ , then we say that there is a *multiple edge* between  $u$  and  $v$ . A graph without loops or multiple edges is called *simple*. In this case we can think of  $E$  as just a subset of  $\binom{V}{2}$  [why?]. ①

The *adjacency matrix* of the graph  $G$  is the  $p \times p$  matrix  $A = A(G)$ , over the field of complex numbers, whose  $(i, j)$ -entry  $a_{ij}$  is equal to the number of edges incident to  $v_i$  and  $v_j$ . Thus  $A$  is a real symmetric matrix (and hence has real eigenvalues) whose trace is the number of loops in  $G$ . For instance, if  $G$  is the graph



① 无loop  $\rightarrow$  无aa形式元素

无multiple edges

$\rightarrow \varphi$ 是双射

$$\therefore E \cong \left(\binom{V}{2}\right) = \binom{V}{2}$$

② trace:  $\sum a_{ii}$

$\therefore$  由定义, 显然  $a_{ii}$  为第  $i$  个点的 loop 数, 则矩阵的迹为总 loop 数



then

$$A(G) = \begin{bmatrix} 2 & 1 & 0 & 2 & 0 \\ 1 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 \\ 2 & 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 1 & 1 \end{bmatrix}.$$

A walk in  $G$  of length  $\ell$  from vertex  $u$  to vertex  $v$  is a sequence  $v_1, e_1, v_2, e_2, \dots, v_\ell, e_\ell, v_{\ell+1}$  such that:

- Each  $v_i$  is a vertex of  $G$ .
- Each  $e_j$  is an edge of  $G$ .
- The vertices of  $e_i$  are  $v_i$  and  $v_{i+1}$ , for  $1 \leq i \leq \ell$ .
- $v_1 = u$  and  $v_{\ell+1} = v$ .

**1.1 Theorem.** For any integer  $\ell \geq 1$ , the  $(i, j)$ -entry of the matrix  $A(G)^\ell$  is equal to the number of walks from  $v_i$  to  $v_j$  in  $G$  of length  $\ell$ .

*Proof.* This is an immediate consequence of the definition of matrix multiplication. Let  $A = (a_{ij})$ . The  $(i, j)$ -entry of  $A(G)^\ell$  is given by

$$(A(G)^\ell)_{ij} = \sum a_{ii_1} a_{i_1 i_2} \cdots a_{i_{\ell-1} j},$$

where the sum ranges over all sequences  $(i_1, \dots, i_{\ell-1})$  with  $1 \leq i_k \leq p$ . But since  $a_{rs}$  is the number of edges between  $v_r$  and  $v_s$ , it follows that the summand  $a_{ii_1} a_{i_1 i_2} \cdots a_{i_{\ell-1} j}$  in the above sum is just the number (which may be 0) of walks of length  $\ell$  from  $v_i$  to  $v_j$  of the form

$$v_i, e_1, v_{i_1}, e_2, \dots, v_{i_{\ell-1}}, e_\ell, v_j$$

(since there are  $a_{ii_1}$  choices for  $e_1$ ,  $a_{i_1 i_2}$  choices for  $e_2$ , etc.) Hence summing over all  $(i_1, \dots, i_{\ell-1})$  just gives the total number of walks of length  $\ell$  from  $v_i$  to  $v_j$ , as desired.  $\square$