# Neural Subgraph Counting with Wasserstein Estimator

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#### ABSTRACT

Subgraph counting is a fundamental graph analysis task which has been widely used in many applications. As the problem of subgraph counting is NP-complete and hence intractable, approximate solutions have been widely studied, which fail to work with large and complex query and data graphs. Alternatively, Machine Learning techniques have been recently applied for this problem, yet the existing ML approaches either only support very small data graphs or cannot make full use of the data graph information, which inherently limits their scalability, estimation accuracies and robustness.

In this paper, we propose a novel approximate subgraph counting algorithm, NeurSC, that can exploit and combine information from both the query graphs and the data graphs effectively and efficiently. It consists of two components: (1) an extraction module that adaptively generates simple yet representative substructures from data graph for each query graph and (2) an estimator WEst that first computes the representations from individual and joint distributions of query and data graphs and then estimates subgraph counts with the learned representations. Furthermore, we design a novel Wasserstein discriminator in WEst to minimize the Wasserstein distance between query and data graphs by updating the parameters in network with the vertex correspondence relationship between query and data graphs. By doing this, WEst can better capture the correlation between query and data graphs which is essential to the quality of the estimation. We conduct experiments on 7 large real-life labeled graphs to demonstrate the superior performance of NeurSC in terms of estimation accuracy and robustness.

### CCS CONCEPTS

• Information systems  $\rightarrow$  Information systems applications; Database query processing.

### **KEYWORDS**

Subgraph Counting, Graph Neural Network

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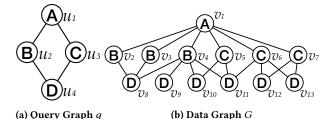


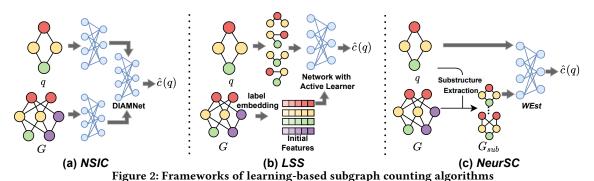
Figure 1: Example query graph and data graph.

### 1 INTRODUCTION

In recent years, graph structured data has been widely used. Graph analysis has also become increasingly ubiquitous and popular in data analytics. One important methodology is to investigate the graphs from a subgraph perspective [56, 64, 121]. At the heart of the subgraph analysis approaches lies the subgraph counting problem, that is, to compute the numbers of subgraphs in a data graph G that match the given query graph g. In this paper, we focus on the counting of subgraph isomorphism matches. For example, in Figure 1,  $\{(u_1, v_1), (u_2, v_4), (u_3, v_5), (u_4, v_{10})\}$  is a subgraph isomorphism match of query graph g in data graph g, and there are three subgraph matches of g in g.

Subgraph counting is paramount to the query optimizer in estimating the execution cost of a query plan for the subgraph matching queries [48, 65]. These query optimizers are employed in the graph database systems like Neo4j, Oracle PGX and Amazon Neptune. Subgraph counting is also relevant and widely applied for tasks in bioinformatics [9, 38, 52, 76, 80, 90, 99, 101], computer vision [31, 114, 115], social network analysis [17, 77, 82, 100], designing graph kernels [85, 90] and determining frequent subgraph patterns [75]. Several approaches [34, 35, 35, 58, 59, 63, 64, 72] aim to obtain the exact subgraph counts. However, it has been proven that determining the subgraph existence in a graph (i.e., subgraph isomorphism matching) is NP-complete [11, 23]. Computing the exact subgraph counts is even harder, especially with large query and data graphs. As a result, these exact solutions can only support the small query and data graphs, which precludes their applicability in many scenarios. For example, exact subgraph counting method cannot solve the graph frequency mining problem on the million-scale social networks within a week [5, 17]. Given the importance, popularity and hard tractability of subgraph counting, approximate subgraph counting approaches have been proposed in recent years [9, 12, 13, 17, 18, 52, 80, 90, 101, 117]. These methods enable the subgraph counting on relatively larger graphs, and hence are widely applied in the aforementioned applications. Please refer to survey papers [73, 78] and tutorial [83] for more details.

Existing non-learning-based subgraph counting algorithms are classified into two categories: summary-based techniques [14, 69,



86] or sampling-based techniques [17, 50, 92, 119] in [73]. Summary-based techniques decompose the query graph into small subgraphs, and aggregate the subgraph counts of these small subgraphs for estimation. These methods assume that the counts of the smaller subgraphs are independent, which is impractical for real large graphs and incurs inaccurate estimation. Sampling-based techniques sample subgraphs from data graph, count the subgraph matchings between query graph and sampled subgraphs, and aggregate the counts for estimation. These methods usually suffer from sampling failure, i.e., failure to generate the valid sample from data graph that matches the query graph, especially on complex query and data graphs. Motivated the above limitations, machine learning techniques are employed for subgraph counting problem.

Recently, several learning-based models have been proposed for subgraph analysis tasks. The model in [120] utilizes the graph neural networks to perform the subgraph counting with small motifs as queries. [120] has scalability and efficiency issue due to the complexity of its network, despite of its expressive power. NeuroMatch [55] and LMKG [20] are proposed for the subgraph containment problem, i.e., predicting whether the given query graph q is a subgraph of the data graph G. These algorithms perceive the presence or absence problem as a binary classification problem and cannot be trivially extended to the subgraph counting problem which is usually regarded as a regression problem.

As with the problem setting in this paper, the model proposed in NSIC [53] and LSS proposed in [117] are designed for subgraph counting. Their frameworks are illustrated in Figure 2 (a) and (b). NSIC [53] in Figure 2 (a) first encodes both query and data graphs into vectors with recurrent neural networks (RNNs) or GNNs and then predicts the subgraph counts with the proposed network DI-AMNet which is based on RNN. However, because (1) the model encodes both full-sized query and data graphs, and (2) the model has a large parameter space, NSIC suffers from severe efficiency and scalability issues. Besides, when the data graph is large, the estimation result of NSIC is intrinsically determined by the data graph, and the query graphs become indistinguishable in the model, which is demonstrated in Section 6.2. Therefore, NSIC can only handle the subgraph counting problem on very small data graphs, e.g., graphs with thousands of vertices.

LSS (in Figure 2 (b)) with an active learner (AL) is proposed in [117]. LSS decomposes the given query graph q into smaller substructures and encodes these substructures into vector representations by GNN. Then LSS estimates the subgraph counts of query graph q by aggregating the representations of all substructures with

a network using self-attention mechanism. Though LSS achieves state-of-the-art accuracy in estimating the subgraph counts, it still has two main limitations. Firstly, LSS only applies the graph neural network on the substructures obtained from the query graph but cannot fully exploit the data graph information. LSS only utilizes the data graph information to initialize the features for query vertices. Such initialization methods in LSS either only exploit the label frequencies in data graph or directly use the embeddings of labels in data graph produced by task-independent network embedding methods, such as DeepWalk [74], node2vec [26], and ProNE [113]. This initialization method ignores the query structural information. Besides, this initialization can barely leverage the data graph information and might be easily influenced by irrelevant data vertices. Secondly, the substructures of query graphs are obtained by a k-hop breadth-first search (BFS) starting from each query vertex u, where k is a fixed constant 3 in LSS for all queries regardless of their sizes. Nevertheless, if the diameter of the query graph is smaller than or equal to the given k, all generated substructures will be the same as the query graph q. Such situation can be quite common given the fact that the query graphs are generally small (i.e., with less than 32 vertices) and dense (e.g., the average degree of query vertices is greater than 4) in many real-life applications. When all substructures are the same as *q*, *LSS* needs to compute the representations of the same substructures multiple times, which reduces both efficiency and accuracy of LSS.

The circumstance that the existing learning-based subgraph counting methods either only support exceedingly small data graphs or cannot fully exploit the data graph information motivates us to design a novel model. In this paper, we propose a Neural Subgraph Counting algorithm, namely NeurSC (in Figure 2 (c)). NeurSC has two main components: (1) the extraction approach which generates the candidate substructures, and (2) the learning-based estimator named WEst which produces the subgraph counts prediction with input query graph and candidate substructures. NeurSC first extracts the simple yet representative candidate substructures from data graph G based on the query graph q. Afterwards, query graph q and its corresponding candidate substructures are fed into the estimator for subgraph counting. Therefore, NeurSC can adaptively capture the representative information in both query and data graphs and avoid the influence from the unpromising data vertices (i.e., data vertices cannot be matched to any query vertex). Besides, since there could exist multiple candidate substructures, NeurSC could make a trade-off between efficiency and accuracy by adjusting the number of substructures involved in the computation.

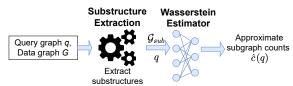


Figure 3: Framework of NeurSC

Table 1: The summary of notations

Notation	Definition
$q, G, G_{sub}$	query graph, data graph and candidate substructure.
V, E, L	vertex set, edge set and label set.
$f_l, f_{iso}$	label mapping function and subgraph isomorphism function.
e(u,v), N(v)	an edge between node $u$ , $v$ and the neighbor set of $v$ .
d(u)	degree of node $u$ .
$f_{\theta}, f_{\omega}$	estimation network and Wasserstein discriminator.
CS, CS(u)	candidate set and candidate set of query vertex $u$ .
$c(q), \hat{c}(q)$	ground-truth and estimated subgraph counts of $q$ .

We devised a novel GNN-based estimator, namely **West**, to predict the subgraph counts with the input query graph and candidate substructures. **WEst** consists of the intra-graph neural network, inter-graph neural network and the Wasserstein discriminator. With the intra and inter-GNNs, **WEst** is able to learn representations and capture the label and structural information within and between the query graph and its candidate substructures. Furthermore, the Wasserstein discriminator is proposed for adversarial training on **WEst**, which leverages the vertex correspondence relationship between query graph and candidate substructures to minimize the Wasserstein distance between the representations of these graphs. By utilizing the vertex correspondence information, the discriminator improves the representation quality and the estimation accuracy.

Contributions. Our contributions are summarized as follows:

- We propose a learning-based subgraph counting method *NeurSC* that estimates the subgraph counts with both individual and joint distributions of both query and data graphs.
- NeurSC extracts the substructures from data graph adaptively according to the query graph to reduce the sizes of graphs that should be processed, and hence not only avoids the influence from the unpromising data vertices and edges during the representation learning process but also improves the efficiency and scalability of the model.
- We design a Wasserstein discriminator in our model to leverage the vertex correspondence information to further improve the estimation accuracy.
- We conduct experiments on 7 real-life data graphs and demonstrate that NeurSC could significantly improve estimation accuracy and robustness. For instance, *NeurSC* could reduce up to 95% of the error compared to the state-of-the-art technique *LSS* in the experiments.

**Roadmap.** Section 2 gives the background and problem statement. In Section 3, we present an overview of *NeurSC*. The candidate substructure extraction and the learning-based estimator are introduced in Section 4 and Section 5 respectively. Section 6 reports the empirical results, Section 7 reviews the related works, and Section 8 concludes the paper.

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Algorithm 1: Framework of NeurSC
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#### 2 PRELIMINARIES

# 2.1 Background

We follow the typical setting of the subgraph counting problem and focus on the undirected labeled graphs. In this paper, the query graph and data graph are denoted as q and G respectively. We use V(q) and V(G) to denote query vertices and data vertices. E(q) and E(G) are used to denote the sets of edges in the query graph and data graph respectively. Both query graph q and data graph G share the same label function  $f_l$  which maps a vertex  $v \in V$  into a label  $l \in L$ , where L is the label set. Next, we give a formal definition of subgraph counting and related preliminaries.

# 2.2 Problem Statement

**DEFINITION** 1 (SUBGRAPH ISOMORPHISM [89]). Given q = (V, E) and G = (V', E'), a subgraph isomorphism is an **injective function**  $f_{iso}$  from V to V' such that  $\forall u \in V$ ,  $f_l(u) = f_l(f_{iso}(u))$ ; and  $\forall e(u, u') \in E$ ,  $e(f_{iso}(u), f_{iso}(u')) \in E'$ .

With given q and G, the subgraph isomorphism counting is to estimate the number of subgraph isomorphisms from q to G without enumeration of all possible mappings.

We aim to develop a learning-based model to perform the subgraph isomorphism counting with better accuracy. The accuracy is measured by *q-error* [66], a widely used error function in various related works [32, 73, 87, 88, 103, 105, 109, 117]. Formally, *q-error* is defined as q-error =  $max(\frac{max(1,c)}{max(1,c)}, \frac{max(1,\hat{c})}{max(1,c)})$ , where  $\hat{c}$  is the estimation of the subgraph counts and c is the ground truth counts.

The frequently used notations are summarized in the Table 1. In this paper, we might refer to subgraph isomorphism counting as subgraph counting when there is no ambiguity. Please note that the subgraph counting can also be performed based on homomorphism. Though *NeurSC* can naturally handle the subgraph homomorphism counting, we still focus on subgraph isomorphism counting in this paper because of its popularity and wide applications.

### 3 OVERVIEW

In this section, we introduce the framework of our proposed model *NeurSC* designed for subgraph counting problem. The framework of our proposed *NeurSC* is illustrated in Figure 3 and Algorithm 1.

NeurSC first selects the candidate data vertices according to the input query graph and generates the candidate sets (lines 1 and 2). With the candidate set, the model generates the candidate substructure(s) and initializes the features for query graph and substructure(s) as the input of the estimation network (lines 3 to 7). The estimation network is called Wasserstein Estimator, namely WEst, which takes the initial features of both query graph and extracted substructure as input, and outputs a real number as the approximate subgraph counts of query graph on the candidate substructure(line 8). We sum up the subgraph counts estimations of q on all substructure(s) (line 9) as the subgraph counts estimation of q on the original data graph G. We also design a Wasserstein discriminator in the training process to optimize the parameters in the graph neural networks and discriminator in a unified manner. The discriminator leverages the vertex correspondence information to improve the estimation accuracy of WEst. The detailed architecture of WEst is introduced in Section 5. The following Section 4 introduces the substructure extraction procedure of *NeurSC*.

### 4 SUBSTRUCTURE EXTRACTION

Motivation. The structural and attribute information within both query and data graph serves a critical role in subgraph counting. However, the existing learning-based subgraph counting solutions cannot fully use these information while having great efficiency, accuracy and scalability to support the query on large graphs. For example, LSS [117] only applies the graph neural networks on query graphs; NSIC [53] applies the graph neural networks on both query and the entire data graph, which makes it time prohibitive for queries on large scale data graphs. Besides, the learning model applied on the entire data graph will inevitably produce representations of unpromising data vertices which cannot be matched to query vertices. For example, data vertices  $\{v_2, v_3, v_7, v_8, v_9, v_{12}, v_{13}\}$ in Figure 1b cannot be matched to any query vertex in Figure 1a, however their representations are still computed used for subgraph counts estimation in [53], which causes deterioration of estimation accuracy and efficiency of [53]. Motivated by these limitations, a substructure extraction module is utilized to enable our model to capture the structure and label information in the data graph and reduce the number of data vertices involved in the computation.

**(1) Candidate Filtering.** *NeurSC* first reduces the size of data graph by generating the *complete candidate set* which is defined in Definition 2.

**DEFINITION** 2 (COMPLETE CANDIDATE VERTEX SET CS). Given q and G, a complete candidate vertex set CS(u) of  $u \in V(q)$  is a set of data vertices such that for each  $v \in V(G)$ , if (u,v) exists in a match from q to G, then  $v \in CS(u)$ .

Once the *complete candidate set* is obtained, *NeurSC* can exploit the label and topology information of the data graph while averting the effect of the unpromising data vertices.

There are many existing candidate set generation methods in subgraph matching literature. Our proposed model adopts the candidate set generation method from GraphQL [33] which is proven to have the best pruning power, *i.e.*, generates the candidate set with the smallest number of vertices  $\left|\bigcup_{u\in V(q)} CS(u)\right|$ , in the experimental survey work [89]. Particularly, *NeurSC* generates the

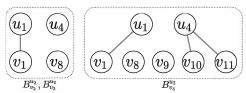


Figure 4: Illustration of the construction of bipartite graphs for candidate filtering.

candidate set with two stages: local pruning and global refinement. Local pruning filters out the invalid nodes based on the *profile* of the neighborhood graph of the query vertex u, which is the lexicographically ordered labels of u and neighbors of u within distance r (hops of neighbors). If the profile of query vertex u is a sub-sequence of that of data vertex v, then v is added to CS(u). After the candidate set CS(u) has been generated for all vertices  $u \in V(q)$ , global refinement prunes CS(u) as follows: given  $v \in CS(u)$ , the algorithm first builds a bipartite graph  $B^u_v$  between N(u) and N(v) by adding e(u',v') if  $v' \in CS(u')$ , where  $u' \in N(u)$  and  $v' \in N(v)$ . Global refinement then checks whether there is a semi-perfect matching in  $B^u_v$ , i.e., whether all vertices in N(u) have at least one edge in  $B^u_v$ . If not, v will be removed from CS(u). These procedures could be conducted multiple times to obtain a more compact candidate set.

Example 1. Consider the query graph and data graph in Figure 1. The profile of the query vertices  $u_2$  is  $\{A, B, D\}$ . The profiles of  $v_2$  and  $v_3$  are both  $\{A, B, D\}$  and that of  $v_4$  is  $\{A, B, D, D, D, D\}$ . They all subsume the profile of  $u_2$ , hence we have  $CS(u_2) = \{v_2, v_3, v_4\}$ . Similarly, local pruning can build the following candidate sets:  $CS(u_1) = \{v_1\}$ ,  $CS(u_3) = \{v_5, v_6, v_7\}$  and  $CS(u_4) = \{v_{10}, v_{11}\}$ . Global refinement is then applied to build a bipartite graphs  $B_{v2}^{u_2}$ ,  $B_{v3}^{u_3}$  and  $B_{v4}^{u_2}$  (See Figure 4). Since  $v_3 \notin CS(u_4)$ , we cannot find a semi-perfect matching in  $B_{v2}^{u_2}$  and  $B_{v3}^{u_2}$ . Hence  $v_2$  and  $v_3$  should be removed from  $CS(u_2)$ . Finally, candidate set for  $u_2$  is obtained as  $CS(u_2) = \{v_4\}$ . In the same way, we eventually obtain the following candidate sets for all query vertices:  $CS(u_1) = \{v_1\}$ ,  $CS(u_3) = \{v_5, v_6\}$ ,  $CS(u_4) = \{v_{10}, v_{11}\}$ .

*NeurSC* can terminate the estimation early once there exists an empty candidate set for any query vertex u or the size of candidate set  $|\bigcup_{u \in V(q)} CS(u)|$  is smaller than the size of query graph |V(q)|. **(2) Substructure Extraction.** Suppose we get the candidate vertex set  $CS(q) = \bigcup_{u \in V(q)} CS(u)$  of query graph q. We need to extract a subgraph from the data graph G, based on the candidate set CS(q). To avoid possible ambiguity, we refer to the extracted subgraph as the *candidate substructure* of the data graph, denoted by  $G_{sub}$ . In *NeurSC*,  $G_{sub}$  is the *induced subgraph* of G with vertex set CS(q).

**DEFINITION** 3 (INDUCED SUBGRAPH). Given a graph G = (V, E), a subset  $S \subset V$  of vertex set of G. The induced subgraph  $G_{sub}$  is the graph whose vertex set is S and whose edge set consists of all the edges in E that have both endpoints in S. The induced subgraph  $G_{sub}$  shares the same label mapping function  $f_L$  as G.

With the candidate sets obtained in Example 1, the induced subgraph  $G_{sub}$  can be constructed with vertex set  $\{v_1, v_4, v_5, v_6, v_{10}, v_{11}\}$ , the labels of the vertices and the edges between these vertices in data graph in Figure 1b. The constructed  $G_{sub}$  is shown in Figure 6.

Please note that the obtained candidate substructure is required to be a *connected* graph. Therefore, if  $G_{sub}$  is not a connected graph, it will be divided into several connected graphs. Without loss of

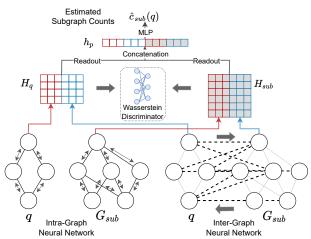


Figure 5: Illustration of *WEst* which computes the vertex representations with intra and inter-graph neural networks, estimates the subgraph counts and updates the parameters with Wasserstein discriminator.

generality, the set of candidate substructure(s) is denoted as  $\mathcal{G}_{sub}$  in which each element  $G_{sub}^{(i)}$  is a connected graph, and the number of candidate substructures is  $|\mathcal{G}_{sub}|$ . If the number of vertices or edges in  $G_{sub}^{(i)}$  is smaller than that of the query graph, *NeurSC* would skip the following computation on this candidate substructure since a query graph cannot be isomorphic to a smaller graph.

### 5 WASSERSTEIN ESTIMATOR

With the input query graph q and the obtained substructure  $G_{sub}$ , the Wasserstein Estimator, namely WEst, is proposed to estimate the subgraph counts. The architecture of WEst is demonstrated in Figure 5. WEst consists of i) an intra-graph neural network which captures the structural information within q and  $G_{sub}$ , ii) an intergraph attentive network which aggregates features between query vertex u and its candidate vertices  $v' \in CS(u)$  and iii) a Wasserstein discriminator which boosts the estimation performance of WEst by leveraging the vertex correspondence between q and  $G_{sub}$ . The high-level forward propagation procedure is listed in Algorithm 2. For simplicity, we omit the index *i* of candidate substructure  $G_{sub}^{(i)}$  in Algorithm 2 and the following subsections when the context is clear. In the rest of the paper, we refer to the network in Algorithm 2 that outputs the subgraph counts estimation as the estimation network with parameters  $\theta$ , denoted by  $f_{\theta}$ . In the following subsections, we introduce each component of WEst in detail.

# 5.1 Feature Initialization

We convert the input query graph and candidate substructure pair  $(q, G_{sub})$  to the feature vectors for vertices by exploiting the property values in these graphs. We choose the label and degree which are the most widely used graph properties [28, 29, 40, 84] to build the feature vectors. Specifically, since each label is assigned with a unique integer, e.g., the label of  $u_1$  is 1 ( $f_I(u_1) = 1$ ), and degree is an integer, we use a function to transfer the integers to multi-hot vectors. Considering that the number of labels and the maximum degree in the graph are relatively small, we simply use the binary

### Algorithm 2: Forward Propagation of WEst

```
Input: query graph q, candidate substructure G_{sub}, initial features
               X_q and X_{sub}, readout function Readout(\cdot).
    Output: Estimated subgraph counts \hat{c}_{sub}(q).
 1 H_q^{(0)} = X_q, H_{sub}^{(0)} = X_{sub}
    // Intra-graph neural network.
 2 for k = 1, ..., K do
          for u \in V(q) do
            \begin{bmatrix} h_u^{(k)} = MLP^{(k)}((1+\epsilon^{(k)})h_u^{(k-1)}, \sum_{u' \in N_q(u)}h_{u'}^{(k)}) \end{bmatrix}
          for v \in V(G_{sub}) do
              b_{v}^{(k)} = MLP^{(k)}((1+\epsilon^{(k)})\boldsymbol{h}_{v}^{(k-1)}, \sum_{v' \in N_{sub}(v)} \boldsymbol{h}_{v'}^{(k)}) 
 7 \ \ \boldsymbol{H}_{q}^{intra} = \{\boldsymbol{h}_{u}^{(K)}; \forall u \in V(q)\}, \ \boldsymbol{H}_{G_{sub}}^{intra} = \{\boldsymbol{h}_{v}^{(K)}; \forall v \in V(G_{sub})\}
    // Inter-graph neural network.
 8 G_B \leftarrow generate a bipartite graph on vertex set V(q) \cup V(G_{sub})
 9 for k' = 1, ..., K' do
         for u \in V(G_B) do
h_u^{(k')} = \alpha_{uu}^{(k')} \Theta^{(k')} h_u^{(k'-1)} + \sum_{v \in N_{G_B}(u)} \alpha_{uv}^{(k')} \Theta^{(k')} h_v^{(k')}
12 \ \ H_q^{inter} = \{h_u^{(K')}; \forall u \in V(q)\}, \ H_{G_{sub}}^{inter} = \{h_v^{(K')}; \forall v \in V(G_{sub})\}
13 H_q = \{h_u^{intra} || h_u^{inter}; \forall u \in V(q)\}
14 H_{G_{sub}} = \{h_v^{intra} || h_v^{inter}; \forall v \in V(G_{sub})\}
15 h_q = Readout(H_q), h_{G_{sub}} = Readout(H_{G_{sub}})
    // Predict the subgraph counts on G_{sub} with MLP.
16 \hat{c}_{sub}(q) = MLP(\mathbf{h}_q || \mathbf{h}_{G_{sub}})
17 return \hat{c}_{sub}(q)
```

encoding function to convert the decimal digits into a binary number and directly use this as a multi-hot vector. We add leading 0s to ensure all the vectors have the same length. In subgraph matching, the neighbor information is also important. Based on this intuition, the neighborhood label and degree information are also converted to vectors by the binary encoding function. The obtained vectors are compressed into one by mean pooling which outputs the average of input vectors. Therefore, the input feature vector for vertex  $\boldsymbol{v}$  in either query graph or candidate substructure can be obtained with the equation:

$$\mathbf{x}_{v} = f_{b}(deg_{v}) \parallel f_{b}(f_{l}(v)) \parallel_{i=1}^{k} MP_{\forall v' \in N^{(i)}(v)}(f_{b}(deg_{v'}) \parallel f_{b}(f_{l}(v'))),$$

where  $\parallel$  indicates the concatenation operation,  $f_b$  is the binary encoding function, MP is mean pooling and  $N^{(i)}(v)$  is i-hop neighbors of v. Eventually, the initial features  $X_q = \{x_u \in \{0,1\}^{dim_0}; u \in V(q)\}$  and  $X_{sub} = \{x_v \in \{0,1\}^{dim_0}; v \in V(G_{sub})\}$  for query graph and substructures are generated as the input of WEst, where  $dim_0$  is the dimension of the initial features.

# 5.2 Intra-Graph Neural Network

**Motivation.** The topological structure and attribute information within query graph and candidate substructures is important for estimation of the subgraph counting. To capture such information, graph neural network (GNN) is utilized to generate the intra-graph representations for vertices in the query graph and candidate substructures. Through our investigation, graph neural networks like

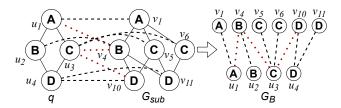


Figure 6: Illustrating the construction of a bipartite graph for inter-graph neural network.

GCN [43], GAT [91] and GraphSAGE [27] enjoy popularity in various applications, but they have limitations in structure preserving ability. Recently, many graph neural networks [61, 62, 67, 93, 108] have been proposed with equally expressive power as Weisfeiler-Lehman (WL) test [25, 49]. These networks are also widely used in applications in which the graph structural information is crucial, such as graph classification [61, 95, 108], motif count estimation [120] and subgraph isomorphism counting [53, 117]. Among these networks, GNN in [61] could achieve better expressive power than graph isomorphism network (GIN) [108]. However, our preliminary experiment suggests that GNN in [61] is more time consuming with a limited improvement of estimation accuracy compared to GIN in our framework. As a result, GIN is exploited as the intragraph neural network.

GIN adopts the conventional framework of graph neural networks with an *aggregate-and-combine* scheme as follow:

$$\mathbf{h}_{u}^{(k)} = CO\mathcal{M}^{(k)}(\mathbf{h}_{u}^{(k-1)}, \mathcal{AGG}^{(k)}\{\mathbf{h}_{u'}^{(k)}; u' \in N(u)\}), \tag{2}$$

where  $\mathcal{AGG}$  is the aggregation that iteratively updates the representation of a vertex by aggregating the representations of its neighbors, and COM is the combine operation that updates the representation of vertex u by the aggregated representations and its own representation  $\boldsymbol{h}_u^{(k-1)}$  from the previous layer.  $\boldsymbol{h}_u^{(0)}$  is the initial feature input  $\boldsymbol{x}_u$  of vertex u. To achieve expressive power as WL-test,  $\mathcal{AGG}$  and COM should be injective (Theorem 3 in [108]). In GIN,  $\mathcal{AGG}$  and COM is modeled as multilayer perceptrons (MLP) [22]. Therefore,  $\boldsymbol{h}_u^{(k)} \in \mathbb{R}^{dim_k}$  can be computed by GIN formulated as follows:

$$\boldsymbol{h}_{u}^{(k)} = \sigma(MLP^{(k)}((1+\epsilon^{(k)})\boldsymbol{h}_{u}^{(k-1)}, \sum_{u' \in N(u)} \boldsymbol{h}_{u'}^{(k)})), \tag{3}$$

where  $\epsilon$  is a learnable parameter or a fixed scalar to adjust the importance of the representations from the previous layer and obtained by neighbor aggregation,  $\sigma$  is the nonlinear activation function such as ReLU [68]. By applying GIN, we can obtain the intra-graph representations  $h_u^{intra}$  and  $h_v^{intra}$  with dimension  $dim_K$  which are the outputs of the K-th layer.

# 5.3 Inter-Graph Neural Network

**Motivation.** The intra-graph representation  $h^{intra}$  could capture the structural information *within* the query graph and candidate substructures. The interrelationship *between* query graph and candidate substructures is also paramount to find exact subgraph counts [15, 28, 29, 40, 84]. Therefore, the graph neural network is designed to capture the inter-graph information to further improve the estimation accuracy.

One immediate solution is to perform neighbor aggregation between each pair of vertices between V(q) and  $V(G_{sub})$ . Under scrutiny, this solution clearly incurs unnecessary time cost and might result in an unsatisfactory performance because of the aggregation between query and data vertices that are dissimilar with each other. Therefore, we first build a bipartite graph  $G_B$  with the vertex set  $V(G_B) = V(q) \cup V(G_{sub})$  and then apply an attentive network on  $G_B$ . If a vertex  $v \in V(G_{sub})$  is a candidate vertex of query vertex  $u \in V(q)$ , there will be an edge between u and v in  $G_B$ , i.e.,  $E(G_B) = \{(u,v); u \in V(q) \land v \in V(G_{sub}) \land v \in CS(u)\}$ . Nevertheless, the built bipartite graph may not be a connected graph. In that case, we would randomly add edges between V(q) and  $V(G_{sub})$  to link the connected components of  $G_B$  (See Figure 6).

It is intuitive that each candidate data vertex has different importance to query vertex in terms of the subgraph isomorphism counting. For example, in Figure 6,  $v_5$  can be more important to  $u_3$  than  $v_6$ , since  $v_5$  is connected to more candidate vertices and involved in two subgraph isomorphisms. Therefore, the attentive graph neural network is applied on the bipartite graph  $G_B$  with the learnable attention coefficient on each edge  $e \in E(G_B)$  which approximates the importance of each neighbor of query vertex. Suppose there are K' layers in the attentive graph neural network, the k-th layer is formulated in the following Equation 4.

$$\boldsymbol{h}_{u}^{(k)} = \sigma(\alpha_{uu}^{(k)} \Theta^{(k)} \boldsymbol{h}_{u}^{(k-1)} + \sum_{v \in N_{G_{B}}(u)} \alpha_{uv}^{(k)} \Theta^{(k)} \boldsymbol{h}_{v}^{(k)}), \tag{4}$$

where  $\Theta^{(k)}$  is the weight matrix parameter in k-th layer,  $\sigma$  denotes the activation function, and  $\alpha_{uv'}$  is the attention coefficient between vertices u and v' which is computed as:

$$\alpha_{uv} = \frac{exp(\text{LeakeyRelu}(\boldsymbol{a}[\Theta_{a}\boldsymbol{h}_{u}] \parallel [\Theta_{a}\boldsymbol{h}_{v}]))}{\sum_{v' \in N_{G_{R}}(u)} exp(\text{LeakeyRelu}(\boldsymbol{a}[\Theta_{a}\boldsymbol{h}_{u}] \parallel [\Theta_{a}\boldsymbol{h}_{v'}]))}, \quad (5)$$

where a is a learnable attention weight vector, and  $\|$  is the concatenation operation. The output vectors of the last layer of the attentive network are used as the inter-graph representations, *i.e.*,  $h_u^{inter} = h_u^{(K')} \in \mathbb{R}^{dim_{K'}}$ . Please note that different from the original GAT [91], our attentive network does not include the self loop but focuses on the message passing between the neighbors in different vertex sets. This distinction would help our inter-graph neural network focus more on the interrelationship between the graphs when learning the representations.

# 5.4 Readout and Prediction

Once obtained the intra-graph and inter graph representations  $\boldsymbol{h}_u^{intra}$  and  $\boldsymbol{h}_u^{inter}$  by applying the corresponding graph neural networks in Equation 3 and 4, WEst produces the final representations by concatenating these two vectors, i.e.,  $\boldsymbol{h}_u = \boldsymbol{h}_u^{intra} \| \boldsymbol{h}_u^{inter} \|$  with dimension  $dim_K + dim_{K'}$ , for each vertex  $u \in V(q)$  and  $v \in V(G_{sub})$ . To compute the representation vectors for query graph and candidate substructure, WEst aggregates the corresponding vertex representations with a Readout function:

$$\boldsymbol{h}_{q} = Readout(\boldsymbol{H}_{q}), \quad \boldsymbol{h}_{G_{sub}} = Readout(\boldsymbol{H}_{G_{sub}}), \tag{6}$$

where  $H_q$  (resp.  $H_{G_{sub}}$ ) is the matrix of representations of all vertices in query graph q (resp. substructure  $G_{sub}$ ) and  $Readout(\cdot)$  is a permutation invariant and injective function: sum pooling.

In the prediction procedure, the final representation for prediction is generated by concatenating  $h_q$  and  $h_{G_{sub}}$ , i.e.,  $h_p = h_q \| h_{G_{sub}}$ . Then  $h_p$  with dimension  $2*(dim_K + dim_{K'})$  is fed into an MLP which outputs a one-dimension scalar  $\hat{c}_i(q)$  as the estimated subgraph counts of q on  $G_{sub}^{(i)}$ . WEst aggregates the subgraph count estimations by summation of the estimations on all substructures in  $\mathcal{G}_{sub}$ , i.e.,  $\hat{c}(q) = \sum_{i=1}^{|\mathcal{G}_{sub}|} \hat{c}_i(q)$ , to predict the subgraph counts of query graph q on data graph G.

# 5.5 Wasserstein Discriminator

Motivation. Learned by the intra and iter-graph neural networks, the query vertex and its matched/candidate data vertices are not guaranteed to be close with each other in the representation space, which is counter-intuitive and might reduce the estimation accuracy. Therefore, a discriminator is designed to minimize the distance between the representations of corresponding query and data vertices in terms of a specific distance metric. The Wasserstein distance [94], which is also known as Earth Mover's distance that is widely used in the database literature [36, 39, 54, 71], has better property compared to other popular metrics such as Kullback-Leibler (KL) divergence [44] and Jensen-Shannon (JS) divergence [57]. In some cases, two probability distributions converge under Wasserstein distance but do not converge under KL and JS divergences, which can cause instability in model training. Please refer to [7, 8] for examples and analysis. As a result, the Wasserstein discriminator is proposed for adversarial training on WEst, which minimizes the Wasserstein distance between learned representations of q and  $G_{sub}$ .

Wasserstein distance [94] is defined as follows:

**DEFINITION** 4 (WASSERSTEIN DISTANCE.). Given random variables  $\mu$  and  $\nu$  that are subject to probability distributions  $\mathbb{P}_q$  and  $\mathbb{P}_g$  respectively, the Wasserstein-1 distance  $W_1$  between distributions  $\mathbb{P}_q$  and  $\mathbb{P}_q$  is defined as:

$$W_1(\mathbb{P}_q, \mathbb{P}_g) = \inf_{\gamma \in \Pi(\mathbb{P}_q, \mathbb{P}_g)} \mathbb{E}_{(\mu, \nu) \sim \gamma} [\|\mu - \nu\|], \tag{7}$$

where  $\Pi(\mathbb{P}_q, \mathbb{P}_g)$  denotes the set of all joint distributions  $\gamma(\mu, \nu)$  whose marginals are respectively  $\mathbb{P}_q$  and  $\mathbb{P}_g$ .

Here,  $\gamma$  indicates how much "mass" must be transported from  $\mu$  to  $\nu$  to transform the distributions  $\mathbb{P}_q$  into the distribution  $\mathbb{P}_g$ . Then the Wasserstein-1 (W-1) distance is the "cost" of the optimal transport plan. Given two graphs  $g_s$  and  $g_t$ , the element in optimal transport plan  $\gamma_{i,j}$  is the probability the  $\nu_i \in g_s$  matches  $\nu_j \in g_t$ . The optimal transport plan  $\gamma$ , which yields the vertex correspondence across the graphs, is used in many learning-based graph alignment works [60, 106, 107]. However, in our problem, it is not necessary to compute the exact optimal transport due to its extra time cost and limited improvement to the estimation accuracy. Therefore, in WEst, we design a network aiming to minimize the W-1 distance between q and  $G_{sub}$  as the discriminator.

It is obvious that the infimum in Equation 7 is highly intractable. With the Kantorovich-Rubinstein duality [24, 94], the Wasserstein-1 distances can be formulated as:

$$W_{1}(\mathbb{P}_{q}, \mathbb{P}_{g}) = \sup_{\|f_{\omega}\|_{L} \le 1} \mathbb{E}_{\mu \sim \mathbb{P}_{q}} [f_{\omega}(\mu)] - \mathbb{E}_{\nu \sim \mathbb{P}_{g}} [f_{\omega}(\nu)], \tag{8}$$

where the supremum is over all the 1-Lipschitz functions  $f_{\omega}: M \to \mathbb{R}$  which map the  $\mu, \nu$  in variable space M to the real space  $\mathbb{R}$ . Our

discriminator takes the representations of vertices in the correspondence set as the input and models the function  $f_{\omega}$  as a neural network parameterized by  $\omega$ . As in Wasserstein GAN [8],  $f_{\omega}$  is enforced to be 1-Lipschitz by clamping the weights to a fixed box, e.g.,  $\omega \in [-0.01, 0.01]^{d_{\omega}}$ . Therefore, the Wasserstein distance between query graph q and substructure  $G_{sub}$  is minimized when  $f_{\omega}$  is optimized to maximize  $\mathcal{L}_{w}(q, G_{sub})$  (minimize  $-\mathcal{L}_{w}(q, G_{sub})$ ) in the following equation proposed in [21]:

$$\mathcal{L}_{w}(q, G_{sub}) = \sum_{u \in V'(q)} f_{\omega}(\mathbf{h}_{u}) - \sum_{v \in V'(G_{sub})} f_{\omega}(\mathbf{h}_{v}), \tag{9}$$

where V'(q) and  $V'(G_{sub})$  are the correspondence vertex sets from q and  $G_{sub}$  in optimal transport plan when the W-1 distance is minimized. However, we still need to find the correspondence vertex sets V'(q) and  $V'(G_{sub})$ . Computing the exact correspondence relationship is obviously inefficient and expendable due to the quadratic time complexity. A method to generate an approximation of the correspondence pairs is proposed in [21].  $f_{\omega}(\mathbf{h}_u)$  and  $f_{\omega}(\mathbf{h}_v)$  are calculated for each vertex in two graphs. Then the vertices in V(q) that minimize  $f_{\omega}(\mathbf{h}_u)$  and the vertices in  $V(G_{sub})$  that maximize  $f_{\omega}(\mathbf{h}_v)$  will be the selected vertex pairs. Though this approximation method is efficient with linear time complexity, it totally ignores the correspondence relationship in making its selection.

To address this issue, in WEst, we select the vertex pairs with the help of the candidate set. Particularly, WEst first calculates  $f_{\omega}(\boldsymbol{h}_{u})$ and  $f_{\omega}(\boldsymbol{h}_{v})$  for each vertex in two graphs. Then starting from the query vertex u' that minimizes  $f_{\omega}(\mathbf{h}_{u'})$ , the data vertex v' that belongs to the candidate set of u', i.e.,  $v' \in CS(u')$ , and also maximizes  $f_{\omega}(\boldsymbol{h}_{v'})$  is selected. The selection iterates over all query vertices u in ascending order of  $f_{\omega}(\boldsymbol{h}_{u})$  to generate the correspondence vertex sets V'(q) and  $V'(G_{sub})$ . In selecting the query vertex u', if the data vertex  $\operatorname{argmax}_{v' \in CS(u')} f_{\omega}(\boldsymbol{h}_{v'})$  has been selected, the data vertex v'' with the second largest value of  $f_{\omega}(\boldsymbol{h}_{v''})$  will be selected and continue in the same manner. If all data vertices in CS(u') have been selected, we will change the corresponding vertex of preselected query vertex to ensure that the corresponding vertex for each query vertex is from its own candidate set. Due to the properties of candidate set CS(u) and candidate substructure  $G_{sub}$  mentioned in Section 4 that (1) for all query vertex u,  $|CS(u)| \ge 1$ ; (2) the size of candidate substructure is greater than query graph in terms of number of vertices, i.e.,  $|V(G_{sub})| \ge |V(q)|$ , WEst can always find the corresponding vertex for each query vertex. Finally, the vertex sets V'(q) and  $V'(G_{sub})$  are obtained, and the Wasserstein-1 distance between can be minimized by minimizing  $-\mathcal{L}_w$  in Equation 9. As in generative adversarial networks (GANs), training WEst with the discriminator introduced in this subsection can be regarded as a two-player game. The learning objectives and training procedure are introduced in the next subsection.

### 5.6 Learning Objectives and Training

There are two learning objectives in *WEst*, one is to reduce the differences between learned counts  $\hat{c}(q)$  and the ground-truth counts c(q), and another objective is described in Equation 9 that aims to explore a better lower bound of the Wasserstein distance. To optimize *WEst* for better performance on subgraph matching counts prediction, the loss function is designed based on q-error discrepancy. Specifically, the loss function is defined as:

### Algorithm 3: Training Procedure of WEst

**Input:** training query graph set  $Q_t$ , data graph G, estimation network  $f_{\theta}$  , discriminator  $f_{\omega}$ , learning rates  $\alpha_{\theta}, \alpha_{\omega}$ , batch size  $n_{batch}$ , number of training iterations  $iter_{\omega}$ . 1 Initialize optimizers  $opt_{\theta}$ ,  $opt_{\omega}$  with learning rates  $\alpha_{\theta}$ ,  $\alpha_{\omega}$ . <sup>2</sup> Separate  $Q_t$  into batches  $\{Q_b = \{q^{(i)}\}\}$  with  $n_{batch}$  query graphs.  $_3$  for  $Q_b$  ∈  $Q_t$  do for  $q^{(i)} \in Q_b$  do Generate  $\mathcal{G}_{sub}^{(i)}$  for  $q^{(i)}$ 5  $X_q^{(i)} \leftarrow \text{initial features of vertices in query graph } q^{(i)}$ 6 for  $j=1,...,|\mathcal{G}_{sub}^{(i)}|$  do  $\begin{bmatrix} X_{sub}^{(j)} \leftarrow \text{initial features of vertices in } G_{sub}^{(j)} \\ H_q^{(i)}, H_{sub}^{(j)}, \hat{c}_j(q^{(i)}) \leftarrow f_\theta(q^{(i)}, G_{sub}^{(j)}, X_q^{(i)}, X_{sub}^{(j)}) \\ \text{for } iter_\omega \text{ do} \end{bmatrix}$ 7 10 11 12 Compute  $\mathcal{L}_{w}(q^{(i)}, G_{sub}^{(j)})$  $\hat{c}(q^{(i)}) = \sum_{j=1}^{|\mathcal{G}_{sub}|} \hat{c}_j(q^{(i)})$ Compute  $\mathcal{L}_c(q^{(i)})$  using Eq. 10 14 15 Update  $\theta$  by  $opt_{\theta}$  with  $\sum_{q^{(i)} \in Q_h} \mathcal{L}(q^{(i)})$  defined in Eq. 11 16

$$\mathcal{L}_{c}(q) = \max(\frac{c(q)}{\hat{c}(q) + \varepsilon}, \frac{\hat{c}(q)}{c(q)}), \tag{10}$$

where  $\varepsilon$  is a scalar with a small value (e.g.  $\varepsilon = 10^{-9}$ ) that avoids the predicted subgraph counts  $\hat{c}(q)$  approaching 0 when the ground-truth counts c(q) are small. Finally, we define the overall loss function to optimize the parameters in *WEst* as follows:

$$\mathcal{L}(q) = (1 - \beta) \mathcal{L}_{c}(q) - \frac{\beta}{|\mathcal{G}_{sub}|} \sum_{\substack{G_{sub}^{(i)} \in \mathcal{G}_{sub}}} \mathcal{L}_{w}(q, G_{sub}^{(i)}), \quad (11)$$

where  $\beta \in (0, 1)$  is a coefficient to balance two loss functions.

With the loss functions defined in Equations (9) to (11), we can introduce one epoch of the training procedure of WEst illustrated in Algorithm 3. In detail, in each training epoch, the training query graph set  $Q_t$  are separated into batches  $\{Q_b\}$ . Then training procedure iterates over all batches. For each query graph  $q^{(i)} \in Q_b$ , WEst generates the candidate substructures  $\mathcal{G}_{sub}^{(i)} = \{G_{sub}^{(j)}; j=1,...,|\mathcal{G}_{sub}^{(i)}|\}$  and computes the vertex representations  $H_q^{(i)}, H_{sub}^{(j)}$  and predicted subgraph counts  $\hat{c}_j(q^{(i)}$  (lines 5 to 9). Next, the parameters of the discriminator  $f_\omega$  are updated by minimizing the  $-\mathcal{L}_w$  for  $iter_\omega$  iterations (lines 10 to 12). At the end of all iterations,  $\mathcal{L}_w(q^{(i)}, G_{sub}^{(j)})$  and  $\mathcal{L}_c(q^{(i)})$  are computed for each query graph and its substructures (lines 13 to 15). After such computations on all query graphs in batch  $Q_b$  are done, the parameters  $\theta$  of the estimation network of WEst is updated by minimizing  $\sum_{q^{(i)} \in Q_b} \mathcal{L}(q^{(i)})$  with the loss function defined in Equation (11) (line 16). Please note that when updating  $\theta$ , the parameters  $\omega$  in discriminator  $f_\omega$  are fixed.

There is one problem with the loss function in Equation (9) that it could lead to a trivial case that all representations have the same value. In that case, *WEst*'s estimation performance would be degraded. To solve this problem, we first train the estimation network

in *WEst* with the loss function in Equation (10), and then apply the training procedure in Algorithm 3 to train the discriminator and tune the parameters in the estimation network for extra epochs.

### 5.7 Analysis

We analyze the expressive power of the neural network estimator *WEst* and the time complexity of *NeurSC* in this subsection.

**Expressive Power.** Here we prove that the estimation network of *WEst* is as powerful as the Weisfeiler-Lehman (WL) [49] isomorphism test. The Weisfeiler-Lehman (WL) algorithm is employed for the graph isomorphism problem. There are several variants of the WL algorithm. The 1-dimensional WL algorithm is the most standard variant that assigns a color to each node, aggregates the colors of vertices and their neighbors and refines colors until convergence. Due to the space limit, please refer to [49] for the formal definition. The expressive power of the intra-graph neural network GIN has been proven in the following lemma.

LEMMA 5.1 ([108]). For all  $K \in \mathbb{Z}^+$ , there exist parameters of K layered GINs such that if the degrees of the nodes are bounded by a constant and the size of the support of node features is finite, for any graphs  $g_1$  and  $g_2$ , if the 1-WL algorithm outputs that  $g_1$  and  $g_2$  are "non-isomorphic" within K rounds, the embeddings  $\mathbf{h}_{g_1}$  and  $\mathbf{h}_{g_2}$  computed by the GIN are different.

The proof of Lemma 5.1 can be found in [81, 108]. Recall that the estimation network of *WEst* consists of the intra-graph GIN and inter-graph attentive network, whose output representation is the concatenation of the embeddings produced by these two networks, *i.e.*,  $h_u = h_u^{intra} || h_u^{inter}|$ .

PROPOSITION 5.2. If  $\mathbf{h}_1 \in \mathbb{R}^{dim}$  and  $\mathbf{h}_2 \in \mathbb{R}^{dim}$  are different, then for any  $\mathbf{h}_3, \mathbf{h}_4 \in \mathbb{R}^{dim'}, \mathbf{h}_1 || \mathbf{h}_3$  and  $\mathbf{h}_2 || \mathbf{h}_4$  are different, where || is the concatenation operation.

We can easily derive from Lemma 5.1 and Proposition 5.2 that:

Theorem 5.3. For all  $K, K' \in \mathbb{Z}^+$ , there exist parameters of the estimation network in WEst with K layered intra-GNN and K' layered inter-GNN such that if the degrees of the nodes are bounded by a constant and the size of the support of node features is finite, for any graphs  $g_1$  and  $g_2$ , if the 1-WL algorithm outputs that  $g_1$  and  $g_2$  are "non-isomorphic" within K rounds, the embeddings  $h_{g_1}$  and  $h_{g_2}$  computed by WEst are subsequently different.

The proof of Theorem 5.3 is immediate. It can be concluded from Theorem 5.3 that the estimation network of *WEst* is as powerful as the 1-WL isomorphism test.

**Time Complexity.** The time complexity of *NeurSC* for subgraph counting consists of the time cost for candidate set generation, candidate substructure extraction, intra-graph neural network and inter-graph neural network. Please note that the Wasserstein discriminator is not involved in the query response process but only in the training procedure. The time complexity of candidate set generation is determined by the neighbor radius r. When r=1, *i.e.*, compare the 1-hop neighbors' labels, then the time complexity of the candidate set generation is  $O(|V(q)| \times |V(G)| + \sum_{u \in V(q)} \sum_{v \in V(G)} (d(u) \times d(v) + O(d(u), d(v))))$ , where  $O(\cdot)$  is the time complexity to check the existence of a semi-perfect match [89].

With the obtained candidate sets, the time complexity of computing the induced subgraph is  $O(\max_{v \in CS(q)} d(v) \times |CS(q)|)$ . The time complexities of intra and inter-graph neural networks used in WEst are both O(|E|) [104], where |E| denotes the number of edges in the graph. The intra-GNN is applied on the query graph q and candidate substructure  $G_{sub}$ , and inter-GNN is applied on the constructed bipartite graph  $G_B$  according to q and  $G_{sub}$ . Therefore, the intra-GNN has time complexity  $O(|E(q)| + |E(G_{sub})|)$ , and the inter-GNN has time complexity  $O(|E(G_B)|)$  which is equivalent to O(|CS(q)|). Finally, we can obtain the overall time complexity of *NeurSC* as  $O(|V(q)| \times |V(G)| + |CS(q)| + |E(q)| + |E(G_{sub})|)$ . Therefore, with a fixed-size query graph, NeurSC's time complexity is linear with the number of vertices of the data graph. Please note that the time complexity of WEst is also influenced by its hyperparameters such as the hidden dimensions, since they are adjustable and not related to the graph properties, we omit them in time complexity analysis.

#### 5.8 Discussion

Same as other learning-based methods for subgraph counting [52, 117] and cardinality estimation on *RDBMS* [51, 88, 102, 109?], the error of *NeurSC* cannot be bounded due to the utilization of neural networks. The architecture of *NeurSC* enables the trade-off between efficiency and accuracy. Suppose there are more than more candidate substructures obtained by substructure extraction, *i.e.*,  $|\mathcal{G}_{sub}| > 1$ , the query time can be reduced by only performing *WEst* on a randomly sampled subset of  $\mathcal{G}_{sub}$ , denoted by  $\mathcal{G}'_{sub} = \{G^{(i)}_{sub}; i=1,...,|\mathcal{G}'_{sub}|\}$ , and  $|\mathcal{G}'_{sub}| < |\mathcal{G}_{sub}|$ . The estimation of subgraph counts of q is then computed as  $\hat{c}'(q) = \frac{|\mathcal{G}_{sub}|}{|\mathcal{G}'_{sub}|} \sum_{i=1}^{|\mathcal{G}'_{sub}|} \hat{c}_i(q)$ , *i.e.*, multiplying the sum of the estimated subgraph counts of q on the selected substructures in  $\mathcal{G}'_{sub}$  by  $\frac{|\mathcal{G}_{sub}|}{|\mathcal{G}'_{sub}|}$ . Since the subset  $\mathcal{G}'_{sub}$  is generated by uniformly sampling  $G^{(i)}_{sub} \in \mathcal{G}_{sub}$ , each substructure  $G^{(i)}_{sub}$  has the same probability of  $\frac{|\mathcal{G}'_{sub}|}{|\mathcal{G}_{sub}|}$  to be selected into the subset. Let's denote  $r_s = |\mathcal{G}'_{sub}|/|\mathcal{G}_{sub}|$  as the sample rate. Then, the expectation of  $\hat{c}'(q)$  is computed as:

$$\mathbb{E}[\hat{c}'(q)] = \frac{1}{r_s} \sum_{i=1}^{|\mathcal{G}'_{sub}|} \hat{c}_i(q) = \frac{1}{r_s} \sum_{i=1}^{|\mathcal{G}_{sub}|} r_s \cdot \hat{c}_i(q) = \sum_{i=1}^{|\mathcal{G}_{sub}|} \hat{c}_i(q)$$
(12)

As introduced in Section 5.4, NeurSC predicts the subgraph counts as  $\hat{c}(q) = \sum_{i=1}^{|\mathcal{G}_{sub}|} \hat{c}_i(q)$ . Therefore,  $\hat{c}'(q)$  is an unbiased estimator of  $\hat{c}_i(q)$ . By adjusting the sample rate  $r_s$ , NeurSC can make a trade-off between efficiency and accuracy of subgraph counting. In contrast, LSS uses fixed number of substructures (the number of query vertices) for subgraph counting which cannot be adjusted. We demonstrate the detailed empirical evaluation in Section 6.4.

# **6 EXPERIMENT**

# 6.1 Experiment Setup

**Dataset.** We use 7 real-life datasets for this experimental study. In this paper, we focus on subgraph counting with the *connected* query graphs. The subgraph counts of a disconnected graph can be obtained by multiplying the estimated counts of its connected components. Specifically, the statistics of data graphs used in this

**Table 2: Statistics of Data Graphs** 

Dataset	V	E	L	d
Yeast	3,112	12,519	71	8.0
Human	4.674	86,282	44	36.9
HPRD	9,460	34,998	307	7.4
Wordnet	76,853	120,399	5	3.1
DBLP	317,080	1,049,866	15	6.6
EU2005	862,664	16,138,468	40	37.4
Youtube	1,134,890	2,987,624	25	5.3

Table 3: Details of Query Graphs

Dataset	# Queries	Query Sizes	Counts Range
Yeast	1,632	{4, 8, 16, 24, 32}	$[10^0, 10^{11}]$
Human	339	{4, 8, 16}	$[10^0, 10^{10}]$
HPRD	1,000	{4, 8, 16}	$[10^0, 10^4]$
Wordnet	600	{4, 8}	$[10^1, 10^9]$
DBLP	600	{4, 8}	$[10^3, 10^8]$
EU2005	372	{4, 8}	$[10^4, 10^9]$
Youtube	811	{4, 8, 16}	$[10^0, 10^{11}]$

work are listed in Table 2. Human is used in both [73, 89], HPRD and DBLP are used in [89], and the other data graphs are used in both [89, 117]. For fair comparison, we also use the same query graphs used in [89, 117]. The details of the query graphs are demonstrated in Table 3. We denote the set of query graphs with i vertices as  $Q_i$ . We use the method GraphQL [33], with the implementation in [2], to obtain the ground-truth subgraph isomorphism counts. The query graphs whose ground-truth counts can be computed within 30 minutes are selected for evaluation in our experiment.

Compared Methods. To demonstrate the performance of our proposed NeurSC, we use the approaches introduced in the recently developed benchmarking framework G-CARE [73] including CharacteristicSets (CSet) [69], SumRDF [86], Correlated Sampling (CS) [92], WanderJoin (WJ) [50], Join Sampling with Upper Bounds (JSUB) [119]. We also include the comparison with the learningbased approach in [53] and the state-of-the-art Learned Sketch for Subgraph Counting (LSS) [117]. Please note that LSS rather than ALSS, i.e., without active learner, is compared in this experiment, because LSS is used as the default method for evaluations in the original paper [117]. The effectiveness comparison of the active learner can be found in Figure 10 of [117]. Since the model in [53] is not named in the origin paper, we name it as NSIC by its title Neural Subgraph Isomorphism Counting. In this experiment, we choose the Dynamic Intermedium Attention Memory Network (DIAMNet) as the interaction network, and RGIN and RGCN as the graph learning models of NSIC, denoted as NSIC-I and NSIC-C respectively.

The implementation of G-CARE and *NSIC* are from [3] and [4] respectively. We implement *LSS* ourselves since the code is currently unavailable. We keep the default settings of the methods in G-CARE and *NSIC*. Particularly, we set the time out limit as 5 minutes for methods in G-CARE. For *LSS*, we use the enhanced label embedding produced by ProNE [1, 113] as the initial features of query vertices. We set training epoch = 50, learning rate =  $10^{-3}$ , batch size = 2 and Adam penalty =  $10^{-5}$  for *LSS*, which are in the tuning range given in [117]. We keep other settings such as layers of neural networks, hidden dimensions and dropout rate same as in [117].

To investigate the effectiveness of each component of *NeurSC*, we include the following variants in the experiments: (1) a variant which only has the intra-graph neural network, denoted by *NeurSC-I*, (2) a variant which has dual networks (both intra and inter-GNN) but does not have Wasserstein discriminator, denoted by *NeurSC-D*.

**Experiment Settings.** We introduce the hyperparameter settings of NeurSC in this paragraph. The dimension of initial features  $dim_0 = 64$ . The intra-GNN and inter-GNN both have 2 layers and output the representations with dimension  $dim_{K/K'} = 128$ . The prediction of subgraph isomorphism counts is then computed by a 4-layer MLP with the learned representations. Wasserstein discriminator is modeled as a 3-layer MLP whose output dimension is 1. The discriminator is trained once for each input pair, i.e.,  $iter_{\omega} = 1$ . Adam [42] is selected as the optimizers for both WEst and Wasserstein discriminator. The learning rate is set to  $10^{-3}$ . The batch size is set to 20. The loss balance coefficient  $\beta$  in Equation (11) is tuned in range [0.5, 0.99], and the training epochs  $\in [30, 150]$ for different data graphs. To evaluate the performance of NeurSC, we split the query graphs into 80% for training and 20% for testing. NeurSC's performance on the entire query set is obtained by 5-fold validation. Our NeurSC is built with C++ and Python, the extraction module is implemented with C++ while WEst is coded with PyTorch framework in Python.

**Experiment Environment.** The experiments are conducted on the servers running RHEL 7.7 system, which have Intel Xeon Gold 6238R 2.2GHz 28cores CPU and NVIDIA Quadro RTX 6000 Passive GPU with 180GB RAM (Six Channel).

**Evaluation Metrics.** In this experiment, we evaluate the performance of the compared methods with the estimation accuracy and efficiency. Particularly, we measure the accuracy using q-error [66] defined as  $max(\frac{max(1,c)}{max(1,\hat{c})}, \frac{max(1,\hat{c})}{max(1,c)})$ , where  $\hat{c}$  is the estimated subgraph counts and c is the ground-truth counts. q-error is also used for evaluation in [73, 117]. To measure the efficiency of the compared methods, we report the average elapsed time for queries.

# 6.2 Accuracy Comparison

The results of accuracy comparison between *NeurSC* and the baseline methods are demonstrated in Figure 7, in which the *q-error* distribution of each method is shown in the box-plot. We represent the under/overestimation explicitly on the y-axis of the result figures. In our box-plot, the upper bound (*resp.*, lower bound) of the box is 75% (*resp.*, 25%) percentile of the *q-error*, the whiskers contain *all q-errors* from minimum (underestimate) to maximum (overestimate), and the line in the box is the median. Overall, *NeurSC* consistently outperforms the other compared methods. Specifically, NeurSC could reduce up to 95% of the *q-error* compared to the state-of-the-art technique *LSS*.

Compare with Methods in G-CARE. In our experiment, we observe comparable results to [117] in methods surveyed in G-CARE [73] are outperformed by the learning-based methods. Among these methods, sampling-methods (CS, WJ, JSUB) suffer from the sampling failure, i.e., failure to generate valid sample from data graph that (partially) matches the query graph, on complex query and data graphs, which leads to underestimated results for these methods. Besides the summary-based method CSet and SumRDF

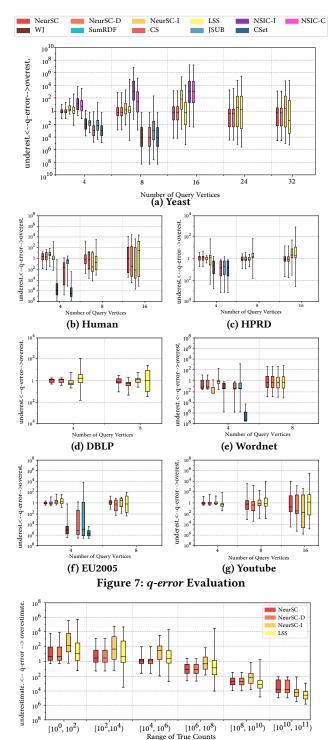


Figure 8: *q-error* varying true count ranges on Yeast

underestimate the subgraph counts because of their assumption of distributions of the subgraph matches. *SumRDF* consistently time out on the large query graphs because it needs to search for exact matches on the summarized data graph.

Compare with Learning-based Methods. The sampling failure occurs since the sampling-based methods cannot explore enough

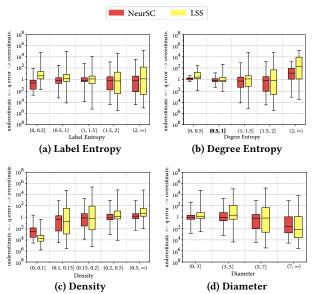


Figure 9: q-error varying query characteristics on Yeast

valid samples on graphs with complex label and topology distributions. Conversely, neural networks are capable of modeling complex data distributions. As a result, the learning-based methods generally deliver better performance.

 $N\!S\!I\!C$  is designed for relatively small graphs. Therefore, when predicting the subgraph counts for the data graphs used in this paper,  $N\!S\!I\!C$  easily exceeds 5 minutes. As a result, we only report the results of  $N\!S\!I\!C$  on Yeast data graph with query sets  $Q_4,\,Q_8$  and  $Q_{16}$  in Figure 7a. We observed that despite the high time complexity,  $N\!S\!I\!C$  also fails to distinguish the differences between the query graphs. Therefore, as shown in Figure 7a, both  $N\!S\!I\!C\!-\!I$  and  $N\!S\!I\!C\!-\!C$  output similar estimated counts for almost all given query graphs, which leads to the poor estimation performance of NSIC.

Among the learning-based models, NeurSC consistently outperforms LSS on all data graphs with all query sets. Especially on Yeast data graph with  $Q_{32}$ , the first/third quartile and the maximum/minimum of q-error are all two orders of magnitude less than that of LSS. This performance improvement emphasizes the importance of data graph information, which is sufficiently exploited in NeurSC, for subgraph counting. Intuitively, the query graphs with different subgraph counts in data graph should have different candidate substructures. NeurSC is able to capture these differences and exploit the substructures' label, topology and size information with intra and inter-GNNs, and hence achieve better estimation accuracy. Meanwhile, LSS only learns the representations on the query graph and somehow misses some information in data graph.

The close observations on the 1,632 queries on Yeast are shown in Figure 8 and Figure 9. Figure 8 demonstrates the *q-error* distribution of compared methods on Yeast varying the range of ground-truth counts. The results show that *NeurSC* outperforms *LSS* on all ground-truth count ranges. Furthermore, we evaluate the performance of *NeurSC* varying the characteristics of queries such as label entropy, degree entropy, density and diameter. Specifically, The label entropy is defined as  $\sum_{l \in L_q} p(l)log(p(l))$ , where p(l) denotes the fraction that a query vertex is attached to label l, and degree

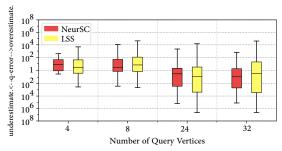


Figure 10: Robustness evaluation

entropy is defined as  $\sum_{d \in d_q} p(d) log(p(d))$ , where p(d) is the fraction that a query vertex has degree of d. The results are shown in Figure 9. Generally, both NeurSC and LSS have better performance on queries with lower degree entropy, larger density and smaller diameter. Meanwhile, NeurSC consistently outperforms LSS, and the advancement of NeurSC becomes greater on the queries with large label and degree entropy. These results not only prove the benefits of learning the individual and joint distributions of both query and data graphs, but also indicate that NeurSC has better ability on solving complex queries.

Compare with Variants. Figure 7 also illustrates the performance comparison between NeurSC and its variants NeurSC-D and NeurSC-I. Though in some query sets, e.g., Q<sub>8</sub> on Youtube in Figure 7g, surprisingly, NeurSC-I has the best accuracy, while NeurSC outperforms its variants in most cases. Compared to the performance of NeurSC-D, NeurSC reduces the min/max q-error values on most query sets, and reduces the range of quantiles in some cases: see Figures 7b and 7f. The performance gap between the two variants is also significant, we can find in Figure 7a that NeurSC-D predicts the subgraph counts much more accurately than NeurSC-I. We can conclude from these results that (1) the Wasserstein discriminator boosts the estimation accuracy of NeurSC on most query sets in most data graphs (2) the inter-graph neural network plays a critical role in estimating the subgraph counts.

Robustness Evaluation. We also conduct an experiment to evaluate the robustness of NeurSC and LSS on Yeast data graph. We train these two models on Yeast with the query graphs with 16 vertices  $(Q_{16})$ , then evaluate the *q-error* of trained models with query sets with {4, 8, 24, 32} vertices which are unseen during the training phase. The results are shown in Figure 10. Since both models are trained on  $Q_{16}$ , in general, their predictions are both overestimated on  $Q_4$  and  $Q_8$  and underestimated on  $Q_{24}$  and  $Q_{32}$ . Compared with LSS, NeurSC has better performance in term of q-error. The superiority of NeurSC indicates its greater robustness. This superiority comes from the adaptive utilization of data graph information in NeurSC. Therefore, NeurSC could make estimations based on both query graph and corresponding candidate substructures for different queries adaptively and distinguishably. Given the fact that it is impractical to train the model for each query set with different number of vertices, NeurSC is both more applicable and robust for real-life subgraph counting tasks.

**Effectiveness Evaluation of Substructure Extraction.** To test the effectiveness of the substructure extraction, the performance following variants of *NeurSC* and *NSIC* [52] are evaluated on Yeast: (1) *NeurSC* w/o SE which is our model without the substructure

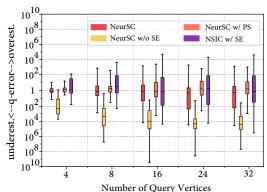


Figure 11: Evaluation of the substructure extraction

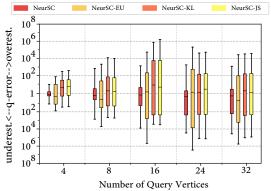


Figure 12: Evaluation varying distance metrics

extraction. Please note that without the substructure extraction module, NeurSC w/o SE estimates the subgraph counts only based on the intra-graph neural network. (2) NeurSC w/PS is a variant of NeurSC with the 'perfect' substructure(s) constructed from the ground-truth matches. (3) NSIC w/ SE is a variant of NSIC with substructure extraction module. Figure 11 illustrates the results. It can be found that, with only intra-graph neural networks on query and entire data graph, NeurSC w/o SE cannot distinguish the differences between query graphs and hence incurs unsatisfactory accuracy. NeurSC w/PS achieves better accuracy in terms of q-error compared to NeurSC. However, it is time consuming to obtain the 'perfect' substructure(s). Meanwhile, NeurSC consistently outperforms NSIC w/ SE. Specifically, the max/min q-error of NSIC w/ SE is nearly two orders of magnitudes greater than that of NeurSC on  $Q_{32}$ . These observations prove the necessity of substructure extraction and the contribution and advancement of our carefully designed model based on the substructure extraction module.

Evaluation varying Distance Metrics in Discriminator. We evaluate the effectiveness of Wasserstein distance by replacing it with other three popular distance metrics: Euclidean distance, Kullback-Leibler (KL) divergence and Jensen-Shannon (JS) divergence in the discriminator. Specifically, the variants NeurSC-EU, NeurSC-KL and NeurSC-JS select the correspondence query and data vertex pairs that are closest to each other in representation space and minimize the distance between these representations as training objective according to Euclidean distance, KL-divergence and JS-divergence respectively. The results are illustrated in Figure 12. Among three variants, NeurSC-KL and NeurSC-JS have similar performances which are better than NeurSC-EU in terms of

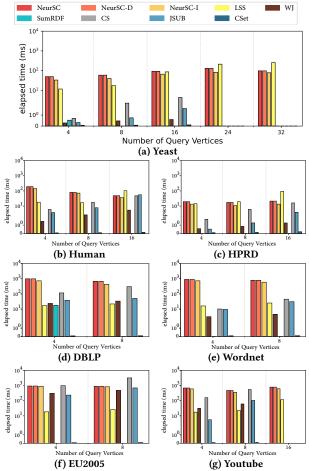


Figure 13: Query Time Comparison

*q-error*. *NeurSC* outperforms the compared variants on all query sets, which demonstrates the superiority of Wasserstein distance.

# 6.3 Efficiency Comparison

In this subsection, we evaluate the efficiency of *NeurSC* and its variants, *LSS* and methods surveyed in G-CARE. Specifically, we report the query processing time of compared methods and the training time of *NeurSC* and *LSS*.

Query Processing Time. The results of average query processing time comparison are illustrated in Figure 13. In data graphs such as Yeast (Figure 13a), Human (Figure 13b) and HPRD (Figure 13c), the learning-based methods do not have many advantages because summary-based and sampling-based methods are efficient on the small data graph. CSet is consistently the most efficient because it performs neither matching nor sampling for the online query. When comparison is between NeurSC and LSS in Figure 13a, it can be observed that LSS is more efficient when the query graph is small  $(Q_4)$  while NeurSC is more efficient when the number of query vertices is large  $(Q_{32})$ . This is because that (1) with the growth of the query graph size, the number and size of substructures to be processed by LSS both grows linearly, which leads to the quadratic time growth, (2) the time cost of NeurSC does not increase and can even reduce with the decreasing size of candidate substructures.

Table 4: Training time (second) for one epoch.

Data Graph	LSS	NeurSC-I	NeurSC-D	NeurSC
Yeast	8.53	58.63	67.43	109.71
Human	14.51	107.11	152.05	257.61
HPRD	10.43	8.80	28.68	88.80
DBLP	13.21	360.96	472.59	793.45
Wordnet	13.96	207.54	248.12	451.09
Youtube	9.47	217.60	263.89	609.22
EU2005	12.99	391.58	499.38	1023.91

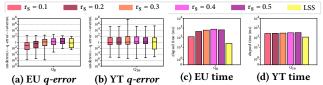


Figure 14: Trade-off between efficiency and accuracy

For queries on data graphs like EU2005 (Figure 13f) and Youtube (Figure 13g), NeurSC could produce the estimation within around 1 second which is similar to WJ and CS. In these graphs, LSS is usually more efficient than NeurSC since it only needs to embed the substructures from query graph, whose time cost is independent to the size of data graph. However, this architecture of LSS also limits its estimation accuracy and robustness, which has been discussed in Section 6.2. Furthermore, the theoretical analysis in Section 5.7 indicates that the time cost of NeurSC should be linear with the number of data vertices. In practice, since the filtering and extraction process is quite efficient, the time cost is usually determined by the size of candidate substructures. Therefore, the exact time cost grows sub-linearly w.r.t. the size of data graph. For example, EU2005 is more than 200 times and 10,000 larger than Yeast in terms of number of vertices and edges. But the average query processing time for  $Q_4$  on EU2005 is only 10 times greater than that on Yeast, which validates the scalability of NeurSC.

Training time. We compare the training time for one epoch of *LSS*, *NeurSC* and its two variants for a query set with 4 vertices which are reported in Table 4. *LSS* is the most efficient *w.r.t.* the training time. The training time of *NeurSC* is acceptable given its superior estimation accuracy. Like the query processing time, the training time also grows sub-linearly to the sizes of data graphs, which again validates the scalability of *NeurSC*. Please note that though *NeurSC* costs more time for training than its variants, as mentioned in Section 5.6, we would train *NeurSC* without Wasserstein discriminator (*i.e.*, *NeurSC-D*) for several epochs and then involve the discriminator to tune the parameters. Hence, the exact training time of *NeurSC* is less than the multiplication of the number of epochs and the training time for one epoch reported in Table 4.

# 6.4 Trade-Off Analysis

As mentioned in Section 5.8, *NeurSC* can make a trade-off between efficiency and accuracy by adjusting the sample rate  $r_s$  on the candidate substructures. The number of sample substructures  $|\mathcal{G}'_{sub}| = \lceil r_s \times |\mathcal{G}_{sub}| \rceil$ , where  $\lceil \cdot \rceil$  is the ceiling function. The subgraph counts estimation on the data graph is obtained by dividing the summation of the subgraph counts on these sampled substructures computed by *WEst*, *i.e.*,  $\sum_{G_{sub}^{(i)}} \in \mathcal{G}'_{sub}$   $\hat{c}_i(q)$ , by sample rate  $r_s$ . We vary the sample rate  $r_s$  from 0.1 to 0.5 with step width 0.1

and show both the q-error distribution and query processing time for  $Q_{16}$  on Youtube (YT) and  $Q_8$  on EU2005 (EU). The results are demonstrated in Figure 14. We find that when  $r_s = 0.4$ , the q-error of NeurSC on EU2005 is close to that of LSS (see Figure 14a). Surprisingly, when  $r_s = 0.1$ , the NeurSC outperforms LSS w.r.t. the q-error on Youtube (see Figure 14b). Meanwhile, the query time of NeurSC is only  $2\times$  of that of LSS (see Figure 14d). These results indicate that NeurSC can fit the requirements in various applications.

#### 7 RELATED WORKS

**Subgraph Matching.** There are two major categories of subgraph matching methods. The backtracking search based algorithms [10, 15, 16, 19, 28, 29, 37, 40, 84], which follow the preprocessing- enumeration framework to obtain matches for query graphs. The other category is the join-based methods [45, 46, 110]. Among these methods, it has been proved that the WCOJ [70] based database system LogicBlox [6] outperformed other database systems. Besides, there are several algorithms [79, 116, 118] utilize indexing-enumeration framework. Please refer to survey papers [47, 89] for more details. Subgraph Counting. Subgraph counting [78] has been extensively studied for either exact or approximate solutions. There are two categories for exact counting approaches: enumeration and analytic approaches. The enumeration approaches [63, 64] enumerate all subgraphs to obtain the exact counts. The analytic approaches [34, 35, 58, 59, 72] obtain the counts for query graphs by leveraging the counts of smaller size queries in an analytical way.

Graph Neural Netoworks. Graph neural networks (GNNs) have shown enourmous success. Typically, GNNs learn the node representations with iterative aggregation of neighborhood information for each node, e.g., GCN [43], GAT [91] and GraphSAGE [27]. Despite these models, there have been a great number of GNNs proposed for wide range of real-life applications [30, 41, 93, 96, 97, 108, 112], please refer to [104] for comprehensive survey.

Machine Learning Methods for Subgraph Matching/Counting. Recently, there have been several research attempts [18, 53, 55, 98, 117] to develop subgraph matching and subgraph counting algorithms on a learning basis. These methods focus on the approximation of counting of isomorphic subgraphs [18, 53, 117] or producing approximate matching results [55].

# 8 CONCLUSION

In this paper, we proposed a learning-based model *NeurSC* to estimate the subgraph isomorphism counts. *NeurSC* first adaptively extracts the candidate substructures for each query graph and then estimates the subgraph counts with a carefully-designed neural network *WEst*. *WEst* has intra and inter-graph neural networks which could capture the information within and between the query graph and candidate substructures. In addition, *WEst* exploits the Wasserstein estimator to leverage the node correspondence information. Our extensive experiments demonstrated the effectiveness, robustness, scalability and flexibility of our proposed *NeurSC* on subgraph counting task.

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