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**Analysis** 

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# Understanding two voltammetric features of water reduction and water oxidation in mild **pH solutions**

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Electrification of many processes requires the use of aqueous solutions under mild pH conditions where the hydrogen evolution reaction (HER) and the oxygen evolution reaction (OER) can become competing reactions. The HER and OER under mild pH conditions show peculiar voltammetric behaviours, specifically two reductive or oxidative features, that are not observed in strongly acidic and basic solutions. These behaviours cannot be fully explained by thermodynamic considerations only and are particularly complex owing to the involvement of multiple water species (H<sub>3</sub>O<sup>+</sup>, H<sub>2</sub>O and OH<sup>-</sup>) and the conversion between these species via water autodissociation and acid-base neutralization reactions. This Analysis provides a systematic and conceptual explanation of the effect of pH, potential, stirring and buffer on the thermodynamics and kinetics of the HER and OER, providing fundamental and yet essential insights into comprehending HER and OER behaviours under mild pH conditions, and their implications for other aqueous reactions more broadly.

The reduction of water to H<sub>2</sub> (hydrogen evolution reaction, HER) and the oxidation of water to O<sub>2</sub> (oxygen evolution reaction, OER) are among the most important and well-studied electrochemical reactions<sup>1-3</sup>. They are the reactions used for water splitting (or water electrolysis) through which H<sub>2</sub> is produced as a clean fuel. For water electrolysis to obtain H<sub>2</sub>, strongly acidic and basic solutions have typically been used to maintain high concentrations of H<sup>+</sup> and OH<sup>-</sup>, which offers a high solution conductivity without needing a buffer or supporting electrolyte and enhances the rate of the HER and OER.

Recently, electrification of various processes, that is, achieving these processes electrochemically using renewable electricity, is attracting considerable attention because it can offer greener and more sustainable approaches to chemical production while reducing CO<sub>2</sub> emissions<sup>4-7</sup>. Many of these processes need an electrolyte with a mild pH to increase the stability and solubility of reactants and products, to increase the product selectivity and/or to increase the Faradaic efficiency of the desired reaction<sup>7-11</sup>. For these processes, the HER and OER can become competing reactions or are used as the counter-electrode reaction. Thus, understanding the HER and OER under mild pH conditions is critical to optimizing these processes. Surprisingly, our understanding of the HER and OER under mild pH conditions has been very limited because it is often assumed that there is nothing unique about these reactions compared to how they behave in strongly acidic and basic media other than the shift of the onset potentials predicted by thermodynamics using the Nernst equation. Because the concentrations of H<sub>3</sub>O<sup>+</sup> and OH<sup>-</sup> are limited in mild pH solutions, this assumption means that reduction of H<sub>3</sub>O<sup>+</sup> versus H<sub>2</sub>O and oxidation of OH<sup>-</sup> versus H<sub>2</sub>O are indistinguishable. This in turn means that all these reactions are very fast and are under thermodynamic control, and there is no need to consider differences in the kinetics of H<sub>3</sub>O<sup>+</sup> versus H<sub>2</sub>O reduction or OH versus H<sub>2</sub>O oxidation or effects caused by the ability to deplete H<sub>3</sub>O<sup>+</sup> and OH<sup>-</sup> but not H<sub>2</sub>O. However, the HER and OER under mild pH conditions show puzzling voltammetric behaviours, specifically two reductive or oxidative features, not observed in strongly acidic and basic media, which are regularly misinterpreted by researchers. The complexities of the HER and OER in mild pH solutions cannot be fully

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understood by previous studies that compare these reactions only in strongly acidic and strongly basic solutions or that do not distinguish different cases created by kinetic variations between  $H_3O^+$  reduction and  $H_2O$  reduction or between  $OH^-$  oxidation and  $H_2O$  oxidation.

The goal of this Analysis is to systematically demonstrate when and why the two HER and OER features appear at mild pH conditions and discuss the effect of pH, applied potential, stirring, buffer and the catalytic ability of the electrode on these behaviours. In doing so, this Analysis provides a unique opportunity to reflect on the meaning of the Nernst equation (that is, the thermodynamic relationship between the applied potential and the equilibrium pH at the electrode surface), potential-dependent rate constants for the HER and OER, and the complexity of the HER and OER associated with water dissociation and acid-base neutralization reactions at the electrode surface. We will first provide an in-depth discussion of the HER and show how the OER mirrors it. Then we will present a case study showing how understanding the two OER features in a mildly basic solution is important to accurately interpret results obtained from other aqueous reactions.

# Results

# HER in acidic, basic and neutral conditions

The HER in acidic and basic solutions and the corresponding Nernst equations, assuming  $P_{\rm H2}=1$  bar and T=25 °C to simplify the condition, are shown below, where  $E^{\circ}$  is the standard reduction potential,  $E_{\rm e}$  is the equilibrium reduction potential under non-standard conditions and SHE represents the standard hydrogen electrode. In these equations and the following discussion, we used concentrations instead of activities for the sake of notational simplicity as the distinction between them does not affect the discussion in this work.

# Acidic condition (pH 0)

$$2H_3O^+ + 2e^- \rightarrow H_2 + 2H_2O$$
  $E^\circ = 0.000 \text{ V versus SHE}$  (1)

Nernst equation

$$E_{e} = E^{\circ} - 0.059 \text{ V } \log \frac{1}{[\text{H}_{3}\text{O}^{+}]}$$

$$E_{e} = 0.000 \text{ V} - 0.059 \text{ V} \times \text{pH}$$
(2)

# Basic condition (pH 14)

$$2H_2O + 2e^- \rightarrow H_2 + 2OH^ E^\circ = -0.827 \text{ V versus SHE}$$
 (3)

Nernst equation

$$E_{\rm e} = E^{\circ} - 0.059 \,\text{V} \,\log[\text{OH}^{-}]$$
  
 $E_{\rm e} = -0.827 \,\text{V} + 0.059 \,\text{V} \times \text{pOH}$  (4)

The standard conditions are those in which the activities of all reactants and products are unity. Because equation (1) contains H<sub>3</sub>O<sup>+</sup> as the reactant, the pH of the standard conditions for the reaction written in equation (1) is 0; and because equation (3) contains OH as one of the products, the pH of the standard conditions for the reaction written in equation (3) is 14. While equation (1) uses H<sub>3</sub>O<sup>+</sup> as the reactant and equation (3) uses H<sub>2</sub>O as the reactant, they describe the same HER reaction. Thus, at any given pH, the thermodynamic equilibrium potential for H<sub>3</sub>O<sup>+</sup> reduction calculated using equation (2) and that for H<sub>2</sub>O reduction calculated using equation (4) are always identical. What is unique about the HER compared to other redox reactions is that when the same reaction is written under acidic versus basic conditions, the redox-active species in the acidic condition (H<sub>3</sub>O<sup>+</sup>) is transformed to the solvent ( $H_2O$ ). This raises a few interesting questions. Are  $H_3O^+$  and H<sub>2</sub>O then interchangeable species when considering the HER? Or are they different species that just have the same reduction potential? If H<sub>3</sub>O<sup>+</sup> and H<sub>2</sub>O have the same reduction potential and H<sub>2</sub>O is also the solvent, should one expect not to see a diffusion-limited current for the HER even when the HER is performed under mild pH conditions with limited H<sub>3</sub>O<sup>+</sup> concentrations?

These questions are not of concern when the HER is performed in a strongly acidic or basic condition. In a strongly acidic solution where  $H_3O^+$  is abundant, considering only  $H_3O^+$  reduction is sufficient to understand the HER. In a strongly basic condition where the concentration of  $H_3O^+$  is negligible, considering only  $H_2O$  reduction is sufficient to understand the HER. However, for the HER under mild pH conditions where the concentration of  $H_3O^+$  is neither abundant nor negligible, understanding the relationship between  $H_3O^+$  reduction and  $H_2O$  reduction is critical to accurately interpret and predict the current–potential (J-V) profiles of the HER.

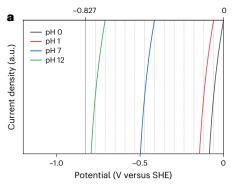
In an ideal case where both  $H_3O^+$  and  $H_2O$  reduction, and water dissociation and acid–base neutralization are extremely fast under all conditions, equations (1) and (3) are indistinguishable. In this case where both the  $H_3O^+$  and  $H_2O$  reduction are under thermodynamic control, the Nernst equation is sufficient to understand the behaviour of the HER. However, in reality,  $H_3O^+$  and  $H_2O$  reduction are not under thermodynamic control under all conditions. In such a case, the HER behaviour of  $H_3O^+$  and  $H_2O$  should be discussed separately and will depend on their individual kinetics, which are not identical. The various cases created by different  $H_3O^+$  and  $H_2O$  reduction kinetics have not been comprehensively or explicitly discussed in previous studies. Below, we provide experimental data and discussions critical to gain a conceptual and coherent understanding of  $H_3O^+$  reduction and  $H_2O$  reduction under mild pH conditions.

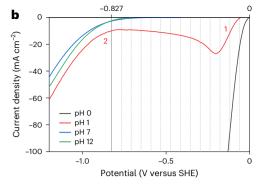
# HER in mild pH solutions

Figure 1a shows schematically drawn linear sweep voltammograms (LSVs) of the HER in unbuffered and unstirred solutions with pH 0, 1, 7 and 12 at 25 °C with 1 bar of  $H_2$  pressure under two assumptions. First, as  $H_3O^+$  and  $H_2O$  have the same reduction potential and  $H_2O$  is the solvent, the mass-transport limitation of  $H_3O^+$  should not limit the current. Second, the kinetics of  $H_3O^+$  and  $H_2O$  reduction on the electrode are fast with no overpotential required to induce the HER current at the expected thermodynamic equilibrium potential at each pH. As a result, the reduction onset potential shifts by -59 mV pH $^{-1}$  as predicted by equations (2) and (4).

When we experimentally obtain LSVs with a platinum working electrode (WE) in unbuffered and unstirred solutions saturated with H<sub>2</sub> at pH 0, 1, 7 and 12 (Fig. 1b), they look quite different from those shown in Fig. 1a except for the case with pH 0, the strongly acidic condition. At pH1 two reduction features are observed. The onset of the first feature (marked as 1) occurs at around -0.059 V<sub>SHF</sub>, which is the equilibrium potential for H<sub>3</sub>O<sup>+</sup> and H<sub>2</sub>O reduction at pH 1 using equations (2) and (4). However, this reduction feature shows a diffusion-limited behaviour. The second reduction feature (marked as 2) appears at around -0.8 V<sub>SHF</sub>, and does not show a diffusionlimited behaviour. At pH 7 appreciable reduction current does not appear until around -0.7 V<sub>SHF</sub>, which is considerably more negative than the expected onset potential ( $-0.413 \, V_{SHF}$ ). At pH 12, the reduction current also appears at around  $-0.7 \, V_{SHE}$  but this is the expected equilibrium potential for H<sub>3</sub>O<sup>+</sup> and H<sub>2</sub>O reduction at pH 12. The discrepancy between Fig. 1a and Fig. 1b clearly demonstrates that the assumptions made to generate Fig. 1a do not hold and cannot be used to accurately predict the HER behaviour under mild pH conditions.

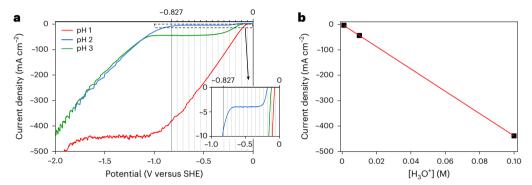
The most intuitive and perhaps most widely believed explanation for the two reduction features shown at pH 1 is that the kinetics of  $H_3O^{\dagger}$  reduction and  $H_2O$  reduction are different; platinum is more catalytic for  $H_3O^{\dagger}$  reduction than  $H_2O$  reduction, and the kinetics of  $H_2O$  reduction in general is much slower than that of  $H_3O^{\dagger}$  reduction  $^{12-21}$ . As a result, despite their thermodynamic reduction potentials being the same,  $H_3O^{\dagger}$  reduction occurs at the thermodynamic reduction potential but  $H_2O$  reduction on platinum is considerably delayed in





**Fig. 1**| **Expected and observed LSVs for the HER. a**, Schematic LSVs for the HER in unbuffered, unstirred solutions of pH 0, 1, 7 and 12, assuming the HER onset occurs at the thermodynamic equilibrium potentials. **b**, LSVs experimentally collected using a platinum electrode as the working electrode in unbuffered,

unstirred solutions of pH 0, 1, 7 and 12 saturated with  $\rm H_2$  and with sufficient supporting electrolyte (scan rate, 50 mV s<sup>-1</sup>). The background grids are spaced by 59 mV between 0.000  $\rm V_{SHF}$  and  $\rm -0.827\,V_{SHF}$ .



**Fig. 2** | **Results from unbuffered pH 1–3 solutions with convection.** a, LSVs collected using a platinum RDE in unbuffered 1 M NaClO<sub>4</sub> solutions saturated with H<sub>2</sub> at pH 1–3 (rotation speed, 3,000 rpm; scan rate, 50 mV s<sup>-1</sup>). **b**, Plot showing  $J_1$  as a function of  $[H_3O^+]$  in solution.

the negative direction. Although this explanation can explain the LSV at pH 1, it cannot explain the LSV at pH 12. At pH 12, since the concentration of  $H_3O^+$  is negligible, the first and only reduction feature appearing at  $-0.7\,V_{\text{SHE}}$  should be due to  $H_2O$  reduction. However,  $-0.7\,V_{\text{SHE}}$  is the thermodynamic equilibrium potential for  $H_2O$  reduction ( $-0.706\,V_{\text{SHE}}$ ). This means that  $H_2O$  reduction whose onset in a pH 1 solution is considerably delayed due to kinetic issues has no problem appearing at the thermodynamic reduction potential at pH 12. Does this mean that pH can drastically affect the overpotential required for the onset of  $H_2O$  reduction?

Before answering these questions, let us first confirm that the first reduction feature at pH1 in Fig. 1b is truly due to the mass-transport limitation of H<sub>3</sub>O<sup>+</sup>. To confirm this, we collected LSVs using a platinum rotating disk electrode (RDE) at pH 1, 2 and 3 (Fig. 2a). As the rotation of the RDE introduces convection as a means of mass transport, the feature that previously showed as a diffusion-limited reduction peak now appears as a plateau, which is called a limiting current  $(I_1)$ . The  $I_1$  is proportional to the concentration of the reactant in the bulk solution (that is, the bulk H<sub>3</sub>O<sup>+</sup> concentration). When the J<sub>1</sub> is plotted against the H<sub>3</sub>O<sup>+</sup> concentration in the bulk solution, we get a straight line, meaning the reduction rate has a first-order relationship with the concentration of H<sub>3</sub>O<sup>+</sup> in the solution (Fig. 2b). This confirms that the feature marked as 1 in Fig. 1b is truly due to the diffusion limitation of H<sub>3</sub>O<sup>+</sup>. However, although this first reduction feature is limited by H<sub>3</sub>O<sup>+</sup> diffusion, this does not automatically mean that the first reduction feature is only due to H<sub>3</sub>O<sup>+</sup> reduction. This is because if H<sub>2</sub>O reduction is fast enough to establish the equilibrium pH on the electrode surface (that is, under thermodynamic control), H<sub>2</sub>O reduction would also create a current plateau region limited by H<sub>3</sub>O<sup>+</sup> diffusion (see Supplementary Note 1 for details), making contributions from the  $H_3O^+$  reduction and  $H_2O$  reduction indistinguishable<sup>22</sup>.

Indeed, the most systematic way to discuss the HER under mild pH conditions is to discuss three different cases depending on the individual H<sub>2</sub>O<sup>+</sup> and H<sub>2</sub>O reduction kinetics. Case 1 is when both H<sub>2</sub>O<sup>+</sup> reduction and H<sub>2</sub>O reduction are extremely fast, making the HER under thermodynamic control under all conditions. In this case, H<sub>3</sub>O<sup>+</sup> reduction and H<sub>2</sub>O reduction are not distinguishable and the equilibrium pH at the electrode surface is maintained under all conditions. However, case 1 does not exist in reality as evidenced by many previous papers showing that the kinetics of H<sub>2</sub>O reduction are much slower than those of H<sub>3</sub>O<sup>+</sup> reduction even on platinum, the best HER catalyst<sup>12,13,15–19,23</sup>. Case 2 is when either H<sub>3</sub>O<sup>+</sup> reduction or H<sub>2</sub>O reduction (not both) is fast enough to make the HER be under thermodynamic control under all conditions. In this case, H<sub>3</sub>O<sup>+</sup> reduction and H<sub>2</sub>O reduction are distinguishable, but the equilibrium pH predicted by the Nernst equation is still maintained at the electrode surface under all conditions. Case 3 is when neither H<sub>3</sub>O<sup>+</sup> reduction nor H<sub>2</sub>O reduction is fast enough to make the HER be under thermodynamic control under some or all conditions. In this case, H<sub>3</sub>O<sup>+</sup> reduction and H<sub>2</sub>O reduction are distinguishable and the equilibrium pH at the electrode surface is not maintained under some or all conditions.

In this study, we will discuss case 2 and case 3 in detail where  $H_3O^+$  reduction and  $H_2O$  reduction are distinguishable (that is, the first reduction feature is for  $H_3O^+$  reduction and the second reduction feature is for  $H_2O$  reduction when two HER features appear). Case 1, which does not distinguish  $H_3O^+$  reduction and  $H_2O$  reduction and was covered in detail by a previous study<sup>22</sup>, is briefly described in Supplementary Note 1 to contrast to case 2. We first discuss the behaviour on platinum

(which has often been described as being case 1) as case 2, and we show further that the behaviour on platinum may even deviate from case 2 to case 3 under some conditions. Then, we discuss the behaviours of gold, copper, silver and indium in case 3 to show the generality of case 3.

#### H<sub>3</sub>O<sup>+</sup> reduction and H<sub>2</sub>O reduction on platinum

Now we discuss why the  $H_2O$  reduction onset in a pH 12 solution occurs at the thermodynamic  $H_2O$  reduction potential while it is delayed at pH 1 on platinum (Fig. 1b). The rate of  $H_2O$  reduction is shown in equation (5), where  $[H_2O]_s$  represents the  $H_2O$  concentration at the electrode surface and  $\alpha$  is the reaction order.

rate of 
$$H_2O$$
 reduction =  $k[H_2O]_s^a$  (5)

The rate constant k is composed of  $k^{\circ}$ , the standard rate constant, which varies with the HER mechanisms and the catalyst types, and an exponential function of the applied potential  $(E_{\rm appl})$  (equation (6)), where  $E_{\rm H_2O}^{\circ}$  is the standard reduction potential of  $\rm H_2O$  shown in equation (3) ( $\rm -0.827\,V_{\rm SHE}$ ),  $\alpha_{\rm H_2O}$  is the charge-transfer coefficient, n is the number of electrons involved in the electrode reaction, F is the Faraday constant, R is the ideal gas constant and T is the temperature (in kelvin).

$$k_{\rm H_2O} = k_{\rm H_2O}^{\circ} \exp \left[ -\alpha_{\rm H_2O} nF \left( E_{\rm appl} - E_{\rm H_2O}^{\circ} \right) / RT \right] \tag{6}$$

The rate and rate constant for  $H_3O^+$  reduction are also shown in equations (7) and (8), where b is the reaction order and  $E_{H_3O^+}^{\circ}$  is the standard reduction potential of  $H_3O^+$  shown in equation (1) (0.000  $V_{SHE}$ ).

rate of 
$$H_3O^+$$
 reduction =  $k[H_3O^+]_s^b$  (7)

$$k_{\rm H_3O^+} = k_{\rm H_3O^+}^{\circ} \exp\left[-\alpha_{\rm H_3O^+} nF \left(E_{\rm appl} - E_{\rm H_3O^+}^{\circ}\right)/RT\right]$$
 (8)

Equations (6) and (8) show that both  $k_{\rm H_2O}$  and  $k_{\rm H_3O^+}$  increase exponentially when  $E_{\rm appl}$  is shifted to the negative direction, but their  $E^{\rm o}$  values are different. The thermodynamic HER onset potential at pH1is –0.059 V<sub>SHE</sub>. This value is more negative than and close to  $E^{\rm o}$  for H<sub>3</sub>O<sup>+</sup> reduction but it is more positive than and far away from  $E^{\rm o}$  for H<sub>2</sub>O. The exponential term in equation (6) is thus considerably smaller than that in equation (8). Furthermore,  $k_{\rm H_3O^+}^{\rm o}$  is also known to be larger than  $k_{\rm H_2O}^{\rm o}$  based on their activation energies for both the Volmer step and Heyrovsky step because the transfer of H<sup>+</sup> from H<sub>3</sub>O<sup>+</sup> to the electrode surface needed for the Volmer step and Heyrovsky step is much more facile than that from H<sub>2</sub>O (refs. 16–18,21,24). With its much larger k and a sufficiently high [H<sub>3</sub>O<sup>+</sup>]<sub>s</sub>, H<sub>3</sub>O<sup>+</sup> reduction can occur at the thermodynamic HER potential in a pH1 solution. By contrast, near the onset potential (–0.059 V<sub>SHE</sub>) region,  $k_{\rm H_2O}$  appears to be too small to induce meaningful H<sub>2</sub>O reduction.

In a pH 12 solution, the thermodynamic HER potential is  $-0.708\,\mathrm{V_{SHE}}$ , which is much closer to the  $E^{\mathrm{o}}$  of  $\mathrm{H_2O}$  reduction. Thus,  $k_{\mathrm{H_2O}}$  at  $-0.708\,\mathrm{V_{SHE}}$  is remarkably larger than that at  $-0.059\,\mathrm{V_{SHE}}$  and it is sufficiently high to induce meaningful  $\mathrm{H_2O}$  reduction at its thermodynamic onset potential in a pH 12 solution. This means that the reason why  $\mathrm{H_2O}$  reduction occurs at the thermodynamic  $\mathrm{H_2O}$  reduction potential at pH 12 but not at pH 1 is not because a higher pH improves the kinetics of  $\mathrm{H_2O}$  reduction. Rather, it is because the thermodynamic potential for  $\mathrm{H_2O}$  reduction at pH 12 is located nearer the  $E^{\mathrm{o}}$  for  $\mathrm{H_2O}$  reduction, and in this more negative potential region, the  $\mathrm{H_2O}$  reduction kinetics are considerably improved compared with those in the less negative potential region. (Note that  $k_{\mathrm{H_3O^+}}$  at  $-0.708\,\mathrm{V_{SHE}}$  would also be remarkably larger than that at  $-0.059\,\mathrm{V_{SHE}}$ . However, the surface  $\mathrm{H_3O^+}$  concentration at around  $-0.708\,\mathrm{V_{SHE}}$  is negligible, making the rate of  $\mathrm{H_3O^+}$  reduction negligible.)

This, though, prompts another set of questions. If  $H_2O$  reduction near  $-0.708\,V_{SHE}$  is fast enough to induce  $H_2O$  reduction at the thermodynamic reduction potential in a pH 12 solution, why does the second reduction feature in an unstirred pH 1 solution not appear until around  $-0.8\,V_{SHE}$  (Fig. 1b)?

# **Emergence of the second HER feature**

To understand when the  $H_2O$  reduction feature appears as the second reduction feature, we collected LSVs using a platinum RDE in unbuffered solutions with pH varying from 0 to 14 (Fig. 3). LSVs obtained in acidic solutions, except for the one obtained in a strongly acidic solution (pH 0), show two reduction features. Similar to the pH 1 case discussed above, the first feature occurs at or very near the thermodynamic HER potential for the given pH. The second feature occurs at more negative potential. Before the second feature appears, the first feature shows  $J_1$  limited by the mass transport of  $H_3O^+$ .

Before we discuss the factors that affect the potential at which the second feature appears, we would like to remind readers of the following facts from basic electrochemistry and acid-base equilibria.

First, the applied potential affects the pH at the electrode surface according to the Nernst equation; when a potential is applied to the solution, the surface  $[H_3O^+]$  will change in an attempt to establish the equilibrium pH corresponding to the applied potential. Equation (2) shows how the bulk solution pH affects the equilibrium reduction potential of  $H_3O^+$ . The same equation can be rearranged to show how the applied potential ( $E_{\rm appl}$ ) would affect the equilibrium pH at the electrode surface (pH $_{\rm surface}$ ) during the LSV in any solution (equation (9)).

$$E_{\text{appl}} = 0.000 \,\text{V} - 0.059 \,\text{V} \times \text{pH}_{\text{surface}}$$
 (9)

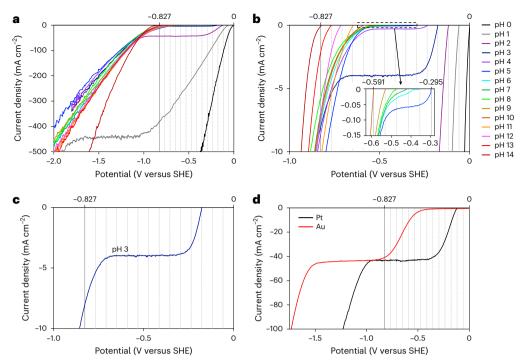
If the electrode kinetics are fast, the real surface pH during the LSV will be exactly the pH predicted by equation (9). If the electrode kinetics are slow, the surface pH may lag behind that predicted by the Nernst equation. Nonetheless, when a potential is applied, the system's attempt to establish a new equilibrium composition at the electrode surface drives the Faradaic reaction, generating current. For the sake of simplicity, for the description provided below we will assume that for a platinum WE the surface pH during an LSV can be predicted by equation (9) under all conditions because the kinetics of either  $\rm H_3O^+$  reduction or  $\rm H_2O$  reduction are fast enough to yield the equilibrium pH (that is, case 2 mentioned above).

Second, the surface pH can be raised either by consuming  $H_3O^+$  through  $H_3O^+$  reduction (equation (1)) or by a neutralization reaction with  $OH^-$  generated through  $H_2O$  reduction (equation (3)).

Third, because of the logarithmic scale of pH and the autodissociation constant,  $K_{\rm w}$  (1 × 10<sup>-14</sup> at 25 °C), the change in the surface H<sub>3</sub>O<sup>+</sup> concentration ([H<sub>3</sub>O<sup>+</sup>]<sub>s</sub>) needed to increase the surface pH by 1 at low pH and the change in the surface OH<sup>-</sup> concentration ([OH<sup>-</sup>]<sub>s</sub>) needed to increase the surface pH by 1 at high pH are considerable, whereas the change needed at mild pHs is very small (Supplementary Table 1).

We will now explain the behaviour of the LSV in acidic media using the LSV obtained at pH 3 as an example, which is separately shown in Fig. 3c. The thermodynamic potential for  $H_3O^+$  reduction is  $-0.177\,V_{SHE}$  and the  $H_3O^+$  reduction current indeed appears at  $-0.177\,V_{SHE}$ . When the potential is swept from its equilibrium potential of  $-0.177\,V_{SHE}$  (surface pH 3) to  $-0.236\,V_{SHE}$  (surface pH 4), the  $H_3O^+$  reduction current keeps increasing to decrease the  $[H_3O^+]_s$  from  $10^{-3}\,M$  to  $10^{-4}\,M$ . Alternatively, the  $[H_3O^+]_s$  can also decrease from  $10^{-3}\,M$  to  $10^{-4}\,M$  by  $H_2O$  reduction increasing the  $[OH^-]_s$  from  $10^{-11}\,M$  to  $10^{-10}\,M$ ; as  $[OH^-]_s$  increases,  $[H_3O^+]$  automatically decreases via the water autodissociation equilibrium. However, the  $H_2O$  reduction kinetics in this potential region are much slower  $^{16,18,21}$  and the HER current in this region is mostly governed by  $H_3O^+$  reduction.

When the potential is further swept to  $-0.295 \, V_{SHE}$  (equivalent to surface pH 5), the  $H_3O^+$  reduction current keeps increasing to increase



**Fig. 3** | **LSVs in unbuffered pH 0-14 solutions with convection. a**, LSVs collected using a platinum RDE in unbuffered solutions of pH 0-14 saturated with  $H_2$ . **b**, The same LSVs showing the onset region magnified. **c**, LSV at pH 3 shown separately, **d**, LSVs at pH 2 measured with platinum and gold RDEs. For all data, the rotation speed is 3,000 rpm and the scan rate is 50 mV s<sup>-1</sup>.

the surface pH to 5 while the diffusion flux of  $H_3O^+$  from the bulk solution to the electrode keeps increasing. The diffusion flux of  $H_3O^+$  at the electrode surface is the difference between the bulk  $[H_3O^+]_{bulk}$ ) and  $[H_3O^+]_s$  divided by the thickness of the Nernst diffusion layer ( $\delta$ ) (equation (10)), where  $\delta$  is determined by the diffusion coefficient of  $H_3O^+(D)$ , the rotating speed of the RDE ( $\omega$ ) and the kinematic viscosity of the solution ( $\nu$ ) as shown in equation (11). (The Nernst diffusion layer is a layer where mass transport occurs only via diffusion between the electrode surface and the bulk solution under convection.) At  $-0.295\,V_{SHE}$ , the equilibrium  $[H_3O^+]_s$  becomes  $10^{-5}\,M$ , which is negligible compared with the bulk concentration. At this point, the diffusion flux of  $H_3O^+$  at the surface is maximized and the diffusion-limited current, which is proportional to the diffusion flux of  $H_3O^+$ , is also maximized (that is, it reaches  $J_L$ ) (equations (12) and (13)).

flux of 
$$H_3O^+$$
 at the surface =  $D([H_3O^+]_{bulk} - [H_3O^+]_s)/\delta$  (10)

$$\delta = 1.61 v^{1/6} D^{1/3} \omega^{-1/2} \tag{11}$$

$$J_{\text{diffusion}} = nFD \left( \left[ H_3 O^+ \right]_{\text{bulk}} - \left[ H_3 O^+ \right]_{\text{s}} \right) / \delta$$
 (12)

$$J_{\rm L} = nFD \left[ H_3 O^+ \right]_{\rm bulk} / \delta \tag{13}$$

Once the limiting current is achieved, applying a more negative potential does not further increase the HER current (the current plateau in Fig. 3c) until the surface pH becomes -11. This is because the  $[H_3O^{\dagger}]_s$  that needs to be additionally removed to increase surface pH from 5 to 11 is negligible and applying a more negative potential would not change the flux of  $H_3O^{\dagger}$  in equation (10) notably. For example, when the potential is further swept to  $-0.413~V_{SHE}$  and the surface  $[H_3O^{\dagger}]_s$  is further reduced to  $10^{-7}$  M, this makes no meaningful difference to the flux of  $H_3O^{\dagger}$  (that is,  $D(10^{-3}~M-10^{-5}~M)/\delta$ ) versus  $D(10^{-3}~M-10^{-7}~M)/\delta$ ).

While the potential is swept in the negative direction, however,  $k_{\rm H_2O}$  gradually increases and the  $\rm H_2O$  reduction rate eventually becomes non-negligible. (The potential at which H<sub>2</sub>O reduction is first meaningfully enabled would depend on the catalytic ability for H<sub>2</sub>O reduction of the specific electrode used.) When OH is generated as a result of H<sub>2</sub>O reduction, it reacts with H<sub>2</sub>O<sup>+</sup> diffusing toward the electrode surface in the Nernst diffusion layer, thus decreasing the net incoming H<sub>3</sub>O<sup>+</sup> flux to the electrode surface This means that the incoming H<sub>3</sub>O<sup>+</sup> flux from the bulk solution can now be consumed either by H<sub>3</sub>O<sup>+</sup> reduction or by a neutralization reaction with OH<sup>-</sup> generated from H<sub>2</sub>O reduction. (The HER current needed to establish the equilibrium surface pH is the same whether the surface pH is increased by H<sub>3</sub>O<sup>+</sup> reduction or by H<sub>2</sub>O reduction.) With an increasing rate of H<sub>2</sub>O reduction generating more OH as the potential becomes more negative, the incoming H<sub>3</sub>O<sup>+</sup> flux at the electrode surface keeps decreasing and eventually becomes negligible. As a result, the H<sub>3</sub>O<sup>+</sup> reduction current decreases accordingly and eventually becomes negligible, making the majority of the plateau current come from H<sub>2</sub>O reduction at these more negative potentials. (We cannot be certain about the exact potential at which the majority of the plateau current come from H<sub>2</sub>O reduction, but we make an assumption here that it is before the second reduction feature appears).

When the potential is further swept in the negative direction, the amount of OH $^-$  needed to increase the surface pH (or decrease surface pOH) keeps increasing (Supplementary Table 1), increasing the  $H_2O$  reduction current accordingly. In the middle potential region, the increase in  $[OH^-]_s$  is negligible, meaning the flux of OH $^-$  from the surface and thus the increase in the current above the limiting current value for  $H_3O^+$  mass transport is also negligible. However, as  $[OH^-]_s$  becomes notably higher than  $[OH^-]_{bulk}$ , the diffusion of surface OH $^-$  to the bulk solution increases, requiring more HER to occur to maintain the equilibrium surface pH. Eventually, at a certain potential where the  $H_2O$  reduction current notably exceeds the plateau current, the  $H_2O$  reduction current emerges beyond the  $\emph{J}_L$  as the second reduction feature.

For the LSV at pH 3, the second feature appears at around  $-0.69~V_{SHE}$  where the surface [OH $^-$ ]s should become between  $10^{-3}~M$  and  $10^{-2}~M$ . This understanding means that the potential where the second reduction feature appears is not the potential at which  $H_2O$  reduction is enabled for the first time but rather the potential at which the current for  $H_2O$  reduction surpasses the  $J_L$  that comes from the mass-transport limitation of  $H_3O^+$ .

## **HER in near-neutral solutions**

Next, we discuss why the LSVs collected in near-neutral solutions (pH 6–9) look more or less similar in Fig. 3b. As mentioned above, varying the surface pH in the middle pH region requires very little change in  $[H_3O^+]_s$  and  $[OH^-]_s$  (Supplementary Table 1) and also does not result in much flux of OH $^-$  from the electrode surface nor  $H_3O^+$  to the surface. Thus, the HER current near the onset region of LSVs obtained in pH 6–8 solutions remains low until the applied potential reaches about –0.55  $V_{\text{SHE}}$  (surface pH $\sim$ 9) where further increasing the surface pH requires considerable OH $^-$  generation.

In solutions with pH 9 and above, appreciable  $H_2O$  reduction occurs at the onset potential because increasing the surface pH from 9 requires considerable  $H_2O$  reduction. As the HER onset in pH 9 is  $-0.55\,V_{SHE}$  and LSVs obtained in pH 6–8 solutions do not show appreciable HER current until about  $-0.55\,V_{SHE}$ , those obtained in pH 6–9 will look more or less similar unless the onset region is closely magnified (Fig. 3b). With these features, one may think the HER onset in pH 6–8 solutions is delayed and conclude that the kinetics of  $H_2O$  reduction are slow in pH 6–8 solutions. However, the truth is that no matter how good a catalyst is used, the HER current near the onset potential in unbuffered pH 6–8 solutions will always be low because it is limited by the very low  $H_3O^+$  and  $OH^-$  fluxes at the equilibrium surface pHs produced by the potentials applied near the thermodynamic onset.

We note that while the  $H_2O$  reduction kinetics on platinum in basic solutions appear to be sufficiently fast to induce an HER current at the thermodynamic onset potential, when we compare the HER current in a pH 0 solution near the onset region, which is due to  $H_3O^+$  reduction, and the HER current in a pH 14 solution near the onset region, which is due to  $H_2O$  reduction, the overpotential required to achieve 1 mA cm<sup>-2</sup> is 4.2 mV and 34.9 mV, respectively (Fig. 3b). This means that  $H_2O$  reduction kinetics are still slower than  $H_3O^+$  reduction kinetics in strongly acidic conditions (that is,  $k^\circ_{H_3O^+}$  is considerably higher than  $k^\circ_{H_2O}$ ) as discussed in many previous papers <sup>18,19,21,24–27</sup>. This also means that our assumption that platinum belongs to case 2 may not be true for the potential region where the surface pH is changed mainly by  $H_2O$  reduction and the diffusion of OH $^-$  from the electrode surface to the bulk is fast. Under this condition, platinum may fail to establish the equilibrium surface pH, meaning platinum would more properly belong to case 3.

#### HER on electrodes with slow HER kinetics

For electrodes with slower HER kinetics than platinum, all the basic reduction features will appear in the same manner, but they will shift in the negative direction because a greater overpotential is needed to achieve the same level of HER current than is needed on platinum. Also, when the potential is swept in the negative direction, the surface pH cannot be predicted by the Nernst equation and will always be lower than the thermodynamically predicted surface pH. This can be best demonstrated by gold, which is an extreme example of case 3 in that the kinetics of both  $\rm H_2O$  and  $\rm H_3O^+$  reduction on gold are too slow to establish the equilibrium pH at all potentials. In case 3, the degree of shift of the reduction features or the deviation of the surface pH from the equilibrium pH will depend on the HER kinetics of the electrode used and the reaction conditions.

Figure 3d shows a comparison of LSVs in a pH 2 unbuffered solution obtained with platinum and gold using the same RDE set-up. On gold with slow HER kinetics, while the  $H_3O^+$  reduction still occurred at the

thermodynamic onset potential, the  $H_3O^+$  reduction current increases much more slowly with the potential sweep, meaning the equilibrium surface pH is not established at potentials in this region. In addition, the plateau current on gold is achieved at a more negative potential (by >500 mV) than on platinum, meaning a more negative potential must be applied to deplete  $H_3O^+$  at the electrode surface. This clearly shows that the HER on gold is under kinetic control. This makes the assignments of the two reduction features more straightforward for the case of gold.

When the HER is under thermodynamic control (that is, the surface pH is in equilibrium with the applied potential), a current plateau in the middle potential region (surface pH 4-10) with J<sub>1</sub> determined by H<sub>3</sub>O<sup>+</sup> diffusion will be observed in mildly acidic solutions even if H<sub>2</sub>O, which is the solvent and therefore abundant, is being reduced. This is because no matter how good the catalyst, the surface pH cannot be made more basic than the equilibrium pH for the applied potential, meaning the maximum achievable current is given by the flux of H<sub>3</sub>O<sup>+</sup> to the surface and OH<sup>-</sup> from the surface at this equilibrium pH. At the surface pHs corresponding to these applied potentials, the OH<sup>-</sup> flux is negligible and the H<sub>3</sub>O<sup>+</sup> flux is essentially constant, as its surface concentration is already very low and does not change much in absolute terms as the pH becomes more basic. This means that any HER catalyst good enough to be under thermodynamic control will show the same mass-transport-limited current plateau regardless of how good it is at doing H<sub>2</sub>O reduction.

By contrast, when the HER is under kinetic control, such as is the case for gold (and other electrocatalysts with poorer catalytic ability than platinum), the presence of the mass-transport-limited plateau alone is enough to assign the first feature to H<sub>3</sub>O<sup>+</sup> reduction because H<sub>2</sub>O reduction not under thermodynamic control has no way to generate a plateau limited by H<sub>3</sub>O<sup>+</sup> diffusion. As the potential is swept in the negative direction, the rate constant for  $H_2O$  reduction,  $k_{H_2O}$ , will increase exponentially (equation (6)). As the reaction is not under thermodynamic control and the reactant, H<sub>2</sub>O, is the solvent and thus cannot experience meaningful mass-transport limitation, if H<sub>2</sub>O reduction were occurring to an appreciable extent in this potential region, we would observe an exponential increase in the reduction current to match the exponential rise in  $k_{\rm H_2O}$ . Thus, the presence of a plateau current means  $k_{\rm H_2O}$  is still too small in this potential region for  $\rm H_2O$ reduction to meaningfully contribute to the current. Instead, the HER current in the plateau region is due to H<sub>3</sub>O<sup>+</sup> reduction, which is kinetically more facile (that is,  $k_{\rm H_3O^+} \gg k_{\rm H_2O}$ ) and which will experience mass-transport limitation at the observed  $J_L$  even when the HER is not  $under thermodynamic \, control. \, Eventually, as \, the \, applied \, potential \, is \,$ swept to sufficiently negative values,  $k_{\rm H_2O}$  increases to the point where H<sub>2</sub>O reduction becomes substantial. This allows the H<sub>2</sub>O reduction current to exceed the J<sub>1</sub> for H<sub>3</sub>O<sup>+</sup> diffusion and causes the second reductive wave to emerge.

We note that the difference in the overpotential required to induce substantial H<sub>2</sub>O reduction versus H<sub>3</sub>O<sup>+</sup> reduction is catalyst dependent, because each catalyst has different kinetics for H<sub>3</sub>O<sup>+</sup> reduction and H<sub>2</sub>O reduction. This point is well demonstrated by LSVs obtained with copper, silver and indium electrodes, which are frequently used in various reduction reactions in aqueous media such as CO<sub>2</sub> reduction and nitrate reduction<sup>28-31</sup>, in a pH 2 solution that is unstirred so the onset of the second HER feature is easier to resolve (Fig. 4). All metals show two HER features in this mildly acidic solution. Like gold, all of these metals are poor HER catalysts, which is confirmed by their first HER onsets appearing at much more negative potentials than the thermodynamic equilibrium HER potential (-0.118 V versus SHE at pH 2). In this case where the HER is clearly not under thermodynamic control, and therefore the HER current is not regulated by establishing the equilibrium surface pH, H<sub>2</sub>O reduction has no reason to show diffusion-limited current. Thus, the two HER features can be straightforwardly assigned; the first HER feature showing diffusion-limited behaviour is due to H<sub>3</sub>O<sup>+</sup> reduction (as H<sub>3</sub>O<sup>+</sup>), and the second HER feature not showing diffusion-limited

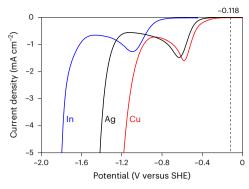


Fig. 4 | LSVs of poor HER catalysts in an unbuffered, unstirred pH 2 solution. LSVs of copper, silver and indium in an unbuffered, unstirred pH 2 solution saturated with  $\rm H_2$  and containing sufficient supporting electrolytes (scan rate, 50 mV s<sup>-1</sup>). The dashed line represents the thermodynamic equilibrium HER potential at pH2 (-0.118 V<sub>SHF</sub>).

behaviour is due to  $H_2O$  reduction. These metals show different onsets for both  $H_3O^+$  reduction and  $H_2O$  reduction. In addition, the distance between the  $H_3O^+$  reduction onset and the  $H_2O$  reduction onset is metal dependent. For example, while copper and silver show similar onsets for  $H_3O^+$  reduction, their onsets for the  $H_2O$  reduction features are quite different. This confirms that each metal has different kinetics for  $H_3O^+$  reduction and  $H_2O$  reduction and that these differences determine where the reduction onsets for the two features occur.

#### **HER** in buffered solutions

The above analysis dealt exclusively with unbuffered solutions; however, it is also important to understand how the presence of a buffer affects LSVs obtained at various pHs. We collected LSVs in buffered solutions ranging from pH 0 to 14 using the same RDE set-up described above with platinum. As there is no single buffer available with a working range that spans from 0 to 14 we varied the buffer based on the pH we wished to maintain. Experimental details can be found in the Methods. The results are shown in Fig. 5a,b.

In unbuffered solutions, the systematic shift of the HER reduction onset was not obvious in the middle pH solutions owing to how easy it is to increase the surface pH in their onset region. In buffered solutions, an appreciable HER current is observed in the onset region even in the middle pH solutions. This is because the conjugate acid of the buffer serves as a proton donor and keeps releasing protons, requiring more HER current to increase the surface pH. To increase pH in the buffered solution, the conjugate base (A $^-$ ) to conjugate acid (HA) ratio([A $^-$ ]/[HA]) must change by consuming a sufficient amount of the conjugate acid, as shown in the Henderson–Hasselbalch equation.

$$pH = pK_a + \log_{10}\left(\frac{[A^-]}{[HA]}\right)$$
 (14)

For example, in a pH 6 unbuffered solution, increasing the surface pH from 6 to 7 requires changing  $[H_3O^+]_s$  from  $10^{-6}$  M to  $10^{-7}$  M by  $H_3O^+$  reduction, which is not much and does not cause much flux. However, in a pH 6 solution buffered with 0.5 M phosphate (at pH 6,  $[H_2PO_4^-]$ :  $[HPO_4^{-2}]$  = 15.8:1, meaning  $[H_2PO_4^-]$  is 0.47 M using 7.2 as the p $K_{a2}$  of  $H_3PO_4$ ),  $H_3O^+$  reduction must occur until  $[H_2PO_4^-]_s$  is lowered from 0.47 M to 0.27 M to increase the surface pH from 6 to 7. This requires considerable HER to occur to raise the pH and to maintain that pH despite a considerable flux of  $H_2PO_4^-$  to the surface and  $HPO_4^{2-}$  from the surface.

We note that it may be possible that the conjugate acid donates a proton directly to the electrode surface, instead of donating a proton to  $H_2O$  to form  $H_3O^+$ , which then can donate a proton to the electrode  $^{32-34}$ .

The former could be distinguished as conjugate acid reduction rather than  $H_3O^+$  reduction; however, in our discussion focusing on the difference between  $H_3O^+$  reduction and  $H_2O$  reduction, we include the conjugate acid reduction within  $H_3O^+$  reduction as they will appear as the same reduction feature.

In buffered solutions, while the conjugate acid of the buffer serves as an additional proton source and alleviates the mass-transport limitation of  $H_3O^+$ , the conjugate acid can also be eventually depleted at the electrode surface. Thus, mass-transport-limited current can still be observed in buffer solutions, and it is now due to the mass-transport limitation of the conjugate acid as well as  $H_3O^+$ . This is well demonstrated in Fig. 5c which compares LSVs obtained in unbuffered and buffered pH 3 solutions;  $J_L$  is higher in the buffered solution because  $J_L$  in the buffered solution is determined by the flux of  $HSO_4^-$  and  $H_3O^+$ .

Furthermore, even for solutions with the same pH and overall buffer concentration, the limiting current behaviour can be different if they have different concentrations for the conjugate acid specifically. For example, Fig. 5d compares LSVs obtained in pH 6 acetate buffer versus pH 6 phosphate buffer. While these two solutions have the same pH and total buffer concentration (0.5 M), owing to their difference in p $K_a$ , the conjugate acid concentrations in these solutions are different (0.03 M CH<sub>3</sub>COOH in the acetate buffer and 0.47 M H<sub>2</sub>PO<sub>4</sub><sup>-</sup> in the phosphate buffer, respectively.) As a result, the  $J_L$  obtained in the acetate buffer solution is lower.

In pH 3–8 buffered solutions, after the mass-transport-limited plateau current, the second reduction feature appears as was also the case in unbuffered solutions. This feature emerges at a potential where the H<sub>2</sub>O reduction current exceeds the plateau current.

#### OER in acidic, basic and neutral conditions

As with the HER, the OER can be written as occurring in either acidic (equation (15)) or basic (equation (17)) conditions with the former involving  $\rm H_2O$  oxidation and the latter involving  $\rm OH^-$  oxidation. Their corresponding Nernst equations with  $P_{\rm O_2}=1$  bar and  $T=25\,^{\circ}\rm C$  are shown in equations (16) and (18), respectively. Just like with the HER, there is only one equilibrium potential for  $\rm H_2O$  oxidation and  $\rm OH^-$  oxidation at any given pH.

Acidic condition (pH 0)

$$6H_2O \rightarrow O_2 + 4H_3O^+ + 4e^ E^\circ = 1.229 \text{ V versus SHE}$$
 (15)

Nernst equation

$$E_{\rm e} = E^{\circ} - 0.059 \,\text{V} \,\log \frac{1}{[\text{H}_3\text{O}^+]}$$
 (16)  
 $E_{\rm e} = 1.229 \,\text{V} - 0.059 \,\text{V} \times \text{pH}$ 

Basic condition (pH 14)

$$4OH^{-} \rightarrow O_2 + 2H_2O + 4e^{-}$$
  $E^{\circ} = 0.403 \text{ V versus SHE}$  (17)

Nernst equation

$$E_{\rm e} = E^{\circ} - 0.059 \,\text{V} \,\log[\text{OH}^{-}]$$
  
 $E_{\rm e} = 0.403 \,\text{V} + 0.059 \,\text{V} \times \text{pOH}$  (18)

Given the results showing two reduction features for the HER in slightly acidic solutions (Fig. 2a), we were curious if similar behaviours would be observed for  $OH^-$  oxidation and  $H_2O$  oxidation in slightly basic solutions. As an initial test to see if this occurs, we used NiOOH, a compound that has received a great deal of attention as a water oxidation catalyst  $^{35}$ , as the working electrode and performed OER in an unbuffered, rapidly stirred pH 12 solution.

As can be seen in Fig. 6a, following the peak at  $0.75 \, V_{SHE}$  corresponding to oxidation of Ni(OH)<sub>2</sub> to NiOOH, the LSV clearly shows the same

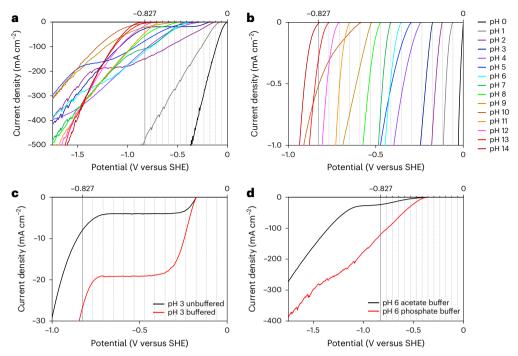


Fig. 5 | LSVs in buffered solutions with convection. a, LSVs collected using a platinum RDE in buffered solutions of pH 0–14 saturated with  $H_2$  (rotation speed, 3,000 rpm; scan rate, 50 mV s<sup>-1</sup>). b, The same LSVs showing the onset region magnified. c, LSVs in pH 3 unbuffered and buffered solutions. d, LSVs in pH 6 acetate and phosphate buffer solutions.

two-feature pattern: the first feature initiates at a less positive potential, followed by a mass-transport-limited plateau, while the second feature emerges above the plateau current at a more positive potential. We note that, unlike with HER on platinum, in this case the current onset is markedly delayed relative to its thermodynamic potential (by ~0.37 V), indicating that the kinetics of the OER on NiOOH are considerably poorer than those of the HER on platinum. As a consequence, the surface pH will not be in equilibrium for the applied potential and the surface pH will be substantially more basic than that predicted by the Nernst equation. This in turn makes the assignment of the two oxidation features more straightforward as in the case of assigning the two HER features on gold and other electrodes that belong to case 3; as the OER current is under kinetic control, a mass-transport-limited current plateau would not appear if H<sub>2</sub>O oxidation oxidizing abundant solvent molecules occurs appreciably. Thus, the first oxidation feature that shows diffusion-limited behaviour must be due to OH oxidation and the second oxidation feature that does not show a diffusion-limited behaviour must be due to H<sub>2</sub>O oxidation. This means that the kinetics of OH oxidation are faster than those of H<sub>2</sub>O oxidation and therefore that H<sub>2</sub>O oxidation requires more overpotential to occur.

A more comprehensive understanding of pH-dependent OER behaviours can be obtained by examining LSVs for the OER obtained in pH 0–14 solutions both unbuffered and buffered while bubbling  $O_2$  (Fig. 6b,c). Because NiOOH is unstable in acidic media, the LSVs were collected using  $RuO_2$  drop-cast with a C65 support onto a gold RDE electrode as the working electrode.

The results for the unbuffered solutions (Fig. 6b) closely parallel those obtained for the HER in unbuffered solutions. The OH $^-$  oxidation appears as a single wave in highly alkaline conditions such as pH 14 and 13 because the OH $^-$  concentration is sufficient not to cause OH $^-$  oxidation to be mass transport limited under those conditions. In mildly alkaline conditions such as pH 12 and 11, there is a plateau caused by OH $^-$  oxidation being mass transport limited, followed by H $_2$ O oxidation appearing as the second oxidation feature. In pH 14–11 solutions, the OER onset shows a pH-dependent shift but the position of the onset and the degree of shift do not perfectly follow the

thermodynamic prediction due to generally slow OER kinetics. Even in a pH 14 solution, the  $OH^-$  oxidation onset is delayed by 0.24 V from its thermodynamic value.

In the middle pH region (10–4), the OER onset appears not to be pH dependent. This is because in these solutions, changing the surface pH near the onset does not require much OH $^-$  or H $_2$ O oxidation to occur and therefore the OER current at and around the onset region is negligible. The OER current becomes appreciable when the surface pH needs to be decreased below 4. Thus, the LSVs obtained in pH 10–4 solutions show similar onsets to that in a pH 4 solution.

Supplementary Table 2 shows the equilibrium  $[H_3O^+]_s$  and  $[OH^-]_s$  and the surface pH and pOH when the potential is swept from  $0.403\,V_{SHE}$  to  $1.229\,V_{SHE}$ . This table makes it easy to see how much  $OH^-$  must be consumed or  $H_3O^+$  generated to decrease the equilibrium surface pH by 1. However, it should be noted that as the OER kinetics are not fast, the actual surface pH at each potential will be higher than the equilibrium pH.

In acidic solutions (pH < 4), the OER current near the onset region is mainly from  $H_2O$  oxidation because the OH $^-$  concentration is negligible (Fig. 6b). As decreasing the surface pH below 4 requires substantial  $H_3O^+$  production, appreciable  $H_2O$  oxidation current is observed at the onset in these acidic solutions and therefore the onsets in these solutions clearly look pH dependent. We note again that even in the most acidic solutions the onset of  $H_2O$  oxidation does not occur until approximately 200 mV of overpotential is applied owing to the slow OER kinetics.

The data obtained for buffered solutions are shown in Fig. 6c. The addition of the buffer did not change LSV features in the strongly basic (pH 14 and 13) or strongly acidic solutions (pH 3–0). However, in pH 12–11 solutions, the mass-transport-limited feature for OH $^-$  oxidation disappears because the conjugate base of the buffer replenishes OH $^-$  by reacting with H $_2$ O and prevents the depletion of OH $^-$  at the electrode surface. The conjugate base can eventually be depleted, resulting in a mass-transport-limited current, but its depletion did not occur under the conditions used in our experiment because the OER current density was much lower than that observed for the HER.

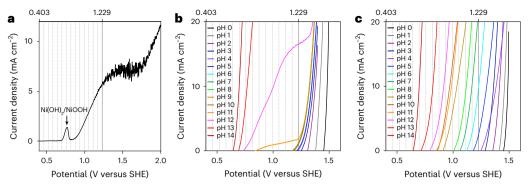
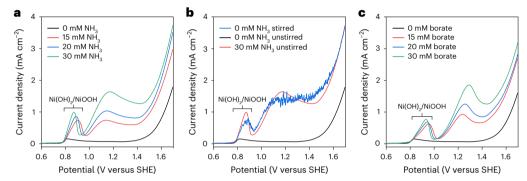


Fig. 6 | LSVs collected for the OER. a, LSV in a rapidly stirred, unbuffered  $0.1 \,\mathrm{M}$  KClO<sub>4</sub> solution (pH 12) using a Ni(OH)<sub>2</sub> working electrode (scan rate,  $10 \,\mathrm{mV} \,\mathrm{s}^{-1}$ ). b,c, LSVs collected using a RuO<sub>2</sub> RDE in unbuffered (b) and buffered (c) solutions of pH 0–14 saturated with O<sub>2</sub> (rotation speed,  $3,000 \,\mathrm{rpm}$ ; scan rate,  $50 \,\mathrm{mV} \,\mathrm{s}^{-1}$ ). The background grids are spaced by  $59 \,\mathrm{mV}$  between  $0.403 \,\mathrm{V}_{\mathrm{SHE}}$  and  $1.229 \,\mathrm{V}_{\mathrm{SHE}}$ .



 $\label{eq:Fig.7} \textbf{Fig. 7} \ | \ LSVs \ for \ ammonia \ oxidation. \ a, \ LSVs \ obtained \ in \ 0.5 \ M \ K_2SO_4 \ (pH \ 11) \ solutions \ containing \ 0–30 \ mM \ ammonium \ sulfate. \ b, \ LSV \ obtained \ in \ a \ 0.5 \ M \ K_2SO_4 \ (pH \ 11) \ solution \ with \ no \ ammonia \ while \ stirring. \ LSVs \ obtained$ 

with and without 30 mM ammonium sulfate in unstirred solutions are overlaid for comparison. c, LSVs obtained in 0.5 M  $K_2SO_4$  (pH 11) solutions with 0–30 mM borate.

(Mass-transport-limited behaviours will be observed if the buffer concentration is lower or if the solution is not stirred.) Unlike in unbuffered solutions, in buffered solutions with pH 10–4, the onset clearly changes with pH because the presence of buffer resists the change of the surface pH, requiring the generation of appreciable OER current at the onset to change the surface pH. The observed potential-dependent shift of the onset, however, is not strictly  $-59~{\rm mV~pH^{-1}}$  because the onset potential is kinetically governed and the type of buffer used can affect the kinetics of the OER.

# Ammonia oxidation as a case study

As a case study highlighting how understanding HER and OER behaviours in mild pH conditions can be important for accurate data interpretation in other aqueous reactions, we discuss ammonia oxidation on NiOOH electrodes. Several papers have investigated this material as an electrocatalyst for achieving ammonia oxidation either for potential use in a fuel cell or as a way of achieving wastewater remediation  $^{36\text{--}40}$ . To gauge the activity of NiOOH toward this reaction, it has been common to compare an LSV collected in a (generally unbuffered) solution of a given pH with and without ammonia present.

Figure 7a shows LSVs obtained with and without ammonia in an unbuffered, unstirred pH 11 solution. The LSV without ammonia shows two oxidation features: the first is the oxidation peak at around 0.8 V for the oxidation of Ni(OH) $_2$  to NiOOH, and the second is the oxidation wave for water oxidation initiating at around 1.35 V $_{\rm SHE}$ . Note that the feature for OH $^-$  oxidation is absent here because the amount of OH $^-$  at the electrode surface in an unstirred, unbuffered pH 11 solution is negligible. When ammonia is added (10–30 mM), the peak for oxidation of Ni(OH) $_2$  to NiOOH consumes OH $^-$  (equation (19)) and its oxidation is suppressed in an unbuffered, weakly basic solution. (The local pH decrease can even

result in a dissolution loss of Ni(OH)<sub>2</sub>, which can further decrease the size of this oxidation peak in unbuffered solutions.) However, ammonia serves as a weak base (accepting  $H^+$  released from Ni(OH)<sub>2</sub> oxidation) and buffers the solution, preventing the depletion of  $OH^-$  at the electrode surface and dissolution of Ni(OH)<sub>2</sub>. This enhances the peak for Ni(OH)<sub>2</sub> oxidation to NiOOH.

$$Ni(OH)_2 + OH^- \rightarrow NiOOH + H_2O + e^-$$
 (19)

Another, even more notable feature caused by ammonia addition is the emergence of a new oxidation peak between the peak for Ni(OH) $_2$  oxidation and the wave for  $H_2O$  oxidation. The size of this new peak increases with the concentration of ammonia. Seeing this, many researchers have reached the intuitive conclusion that this new peak centred at around 1.15  $V_{SHE}$  is due to oxidation of ammonia. However, knowledge of the kinetic differences between  $OH^-$  and  $H_2O$  oxidation and the effect of a buffer on enhancing  $OH^-$  oxidation, and the recognition that ammonia is the conjugate base of the  $NH_4^+/NH_3$  buffer, suggests a different interpretation for the middle peak: ammonia replenishes  $OH^-$  at the electrode surface, allowing for the generation of appreciable  $OH^-$  oxidation current before  $H_2O$  oxidation initiates. As the ammonia concentration increases, the  $OH^-$  flux to the electrode increases thereby enhancing the diffusion-limited  $OH^-$  oxidation current.

To confirm that the newly appearing oxidation feature between  $Ni(OH)_2$  oxidation and  $H_2O$  oxidation upon ammonia addition is due to  $OH^-$  oxidation, we performed two experiments. First, we obtained an LSV in a pH 11 solution without ammonia while stirring. Stirring increases  $OH^-$  flux to the electrode surface, which enhances  $OH^-$  oxidation. As a result, an  $OH^-$  oxidation feature appears between the  $Ni(OH)_2$  oxidation and  $H_2O$  oxidation features (Fig. 7b). Note that the onset of

the newly appearing OH<sup>-</sup> oxidation feature is identical to the onset of the middle peak observed in the presence of ammonia.

Second, we obtained LSVs in an unbuffered, unstirred pH 11 solution with 10–30 mM borate instead of 10–30 mM ammonia. Boric acid and ammonium ion have similar  $pK_as$ , meaning ammonia and borate have comparable basicity and thus are comparably good at replenishing OH $^-$ . The LSVs obtained with borate (Fig. 7c) show middle oxidation peaks that look similar to those obtained with ammonia (Fig. 7a). These two experiments prove that the middle oxidation feature in the LSVs obtained with ammonia is not actually due to ammonia oxidation.

Previous studies examining NiOOH electrodes for ammonia oxidation have shown that during extended electrolysis, ammonia concentration decreases and oxidation products increase<sup>36-40</sup>. Thus, it is likely that ammonia oxidation current does contribute to some extent to the oxidation currents in the LSVs in Fig. 7a. However, our experiments show that ammonia oxidation does not create its own signature oxidation feature, meaning it cannot be clearly separated from OH<sup>-</sup> oxidation or H<sub>2</sub>O oxidation. If the middle oxidation peak were due to ammonia oxidation, it should be possible to obtain a high Faradaic efficiency for ammonia oxidation because it is well separated from H₂O oxidation. However, previous results commonly report a low Faradaic efficiency for ammonia oxidation<sup>38,39</sup> and this can be understood only when it is realized that the middle oxidation feature in the LSV is primarily due to OH oxidation and there is no potential region where only ammonia oxidation can occur. This example clearly demonstrates why it is critical to understand the kinetic differences between H<sub>3</sub>O<sup>+</sup> and H<sub>2</sub>O reduction and OH<sup>-</sup> and H<sub>2</sub>O oxidation and the effect of a buffer and stirring on their behaviours for accurately interpreting experimental results and assessing the promise of a catalyst for reduction and oxidation reactions occurring in aqueous media under mild pH conditions.

#### Conclusions

We have provided comprehensive results and discussions explaining the effect of pH, potential, stirring, buffer and the catalytic ability of the electrode on the HER and OER under mild pH conditions. The appearance of two HER reduction features in slightly acidic solutions and two OER features in slightly basic solutions were demonstrated. When and why the two features appear was also discussed. By starting with the Nernst equations, which do not differentiate  $\rm H_3O^+$  reduction from  $\rm H_2O$  reduction for the HER and  $\rm OH^-$  oxidation from  $\rm H_2O$  oxidation for the OER, and demonstrating and discussing features that can be explained only by kinetic differences of these reactions, this Analysis provides an opportunity to reflect on the complexities of the HER and OER under mild pH conditions and the insights needed to build a strong conceptual framework to better understand them.

# **Methods**

# **Materials**

All chemicals used were commercially available and were used without further purification: potassium hydroxide ( $\geq$ 85%, Sigma-Aldrich), perchloric acid (70%, Sigma-Aldrich), potassium sulfate (99.8%, Sigma-Aldrich), sodium perchlorate ( $\geq$ 98%, Sigma-Aldrich), sulfuric acid (98%, Sigma-Aldrich), acetic acid (99.7%, Sigma-Aldrich), potassium acetate ( $\geq$ 99.0%, Sigma-Aldrich), potassium phosphate dibasic (99.6%, Fischer Scientific), boric acid ( $\geq$ 99.5%, Sigma), nickel(II) nitrate hexahydrate (99%, Acros), potassium nitrate (99%, Alfa Aesar), ammonium sulfate ( $\geq$ 99.0%, Sigma-Aldrich), ruthenium(IV) oxide (99.9%, Sigma-Aldrich), super C65 carbon black (Timical) and Nafion solution (5 wt%, Sigma-Aldrich). Electrode polishing alumina suspension (0.05  $\mu$ m, BASi). Deionized water (Barnstead E-pure water purification system; resistivity,  $\geq$ 18 M $\Omega$  cm) was used to prepare all solutions.

# Preparation of RuO2 electrodes

First,  $10 \text{ mg RuO}_2$  and 2 mg C65 carbon black were added to 1 ml deionized water containing  $50 \text{ }\mu\text{l}$  Nafion solution (5 wt%). The mixture was

sonicated for 30 min to obtain a well-dispersed catalyst ink. Then,  $10 \mu l$  catalyst ink was drop-cast onto a freshly polished gold RDE, and dried at  $60 \, ^{\circ}\text{C}$  for 3 min to form a RuO<sub>2</sub> thin-film working electrode.

# Deposition of Ni(OH)<sub>2</sub> electrocatalysts

The Ni(OH)<sub>2</sub> electrocatalysts used for ammonia oxidation were prepared through an established electrodeposition technique in which nitrate is reduced at the working electrode, leading to an increase in the local pH that causes Ni<sup>2+</sup> to precipitate out as Ni(OH)<sub>2</sub><sup>41</sup>. This was done with a three-electrode set-up using a fluorine-doped tin oxide (FTO) working electrode, a Ag/AgCl reference electrode and a platinum counter-electrode, and was controlled using an SP-200 potentiostat/ EIS (BioLogic Science Instruments). The FTO working electrode was prepared by attaching copper tape to the top of a 2.5 cm × 1 cm FTO plate to provide electrical contact, then masking the electrode with electroplating tape (3M Company) with a 0.5-cm<sup>2</sup> hole punched in it. The platinum electrode was prepared by sputter coating a cleaned glass slide with a 20-nm titanium adhesion layer followed by a 100-nm platinum layer. The electrodeposition was achieved by maintaining a -0.25 mA cm<sup>-2</sup> current density for 45 s with a deposition solution consisting of 10 mM Ni(NO<sub>3</sub>)<sub>2</sub>·6H<sub>2</sub>O and 30 mM KNO<sub>3</sub>. The electrodeposited Ni(OH)<sub>2</sub> films were then rinsed with >18 M $\Omega$  cm water and dried with an air stream.

#### Solution preparation

Unbuffered solutions in the pH range from 0 to 4 were prepared by adding the appropriate volume of HClO $_4$  needed to produce the desired pH to a 1 M solution of NaClO $_4$ . A pH probe (Fisher Scientific, accuTupH) was then used to confirm this resulted in the desired pH. For pHs 5–12, the solutions were prepared by starting with a 0.5 M K $_2$ SO $_4$  solution and then adding H $_2$ SO $_4$  or KOH as needed until the desired pH was reached as determined by a pH probe. For pH13 and 14 an appropriate amount mass of KOH was added to a 0.2 M K $_2$ SO $_4$  solution to produce the desired pH. These solutions were then immediately used to perform the electrochemical experiments.

For buffered solutions, different buffer systems were chosen based on the pH we wished to maintain. A  $0.5\,M\,K_2SO_4/K_2HSO_4$  buffer was used for pH 0-3, a  $0.5\,M$  potassium acetate/acetic acid buffer was used for pH 4-5, a  $0.5\,M\,Na_2HPO_4/NaH_2PO_4$  buffer was used for pH 6-8, a  $0.5\,M$  potassium borate/boric acid buffer was used for pH 9-10, and a  $0.5\,M\,Na_3PO_4/Na_2HPO_4$  buffer was used for pH 11-13. The pH 14 solution was not buffered because the large OH $^-$  concentration made doing so unnecessary. In addition to the buffer ion,  $0.2\,M\,K_2SO_4$  was added to all the solutions (except for the  $0.5\,M$  sulfate ones) to ensure they had a high ionic conductivity and to make them more similar to the unbuffered solutions which used  $K_2SO_4$  as the supporting ion (for pH 4 and above).

The ammonia solution was prepared by starting with  $0.5\,\mathrm{M\,K_2SO_4}$ , and adding  $0.15,20\,\mathrm{and}\,30\,\mathrm{mM}$  ammonium sulfate followed by adding KOH until the desired pH was reached as determined by a pH probe. Borate buffer solution for comparison was prepared by using  $0.15,20\,\mathrm{mM}$  boric acid instead of ammonium sulfate.

## **RDE** experiments

RDE experiments were conducted with a BASi RDE-2 Cell Stand using a three-electrode set-up. An Ag/AgCl (4 M KCl) electrode was used as the reference electrode and a platinum wire electrode as the counter-electrode. The electrochemical experiments were controlled using a VSP multichannel potentiostat (BioLogic). For the HER, the working electrode was either platinum (BASi, 3.0 mm diameter) or gold (BASi, 3.0 mm diameter). Prior to being used for electrochemical experiments, the working electrodes were polished using figure-of-eight motions for approximately 2 min on a microcloth polishing pad onto which had been added a slurry of polishing alumina. For the OER, the working electrode was the RuO<sub>2</sub> thin-film electrode as described above.

When performing the HER,  $H_2$  was bubbled into the solution, and while performing the OER,  $O_2$  was bubbled into the solution. All LSVs in this study are presented without iR corrections because the ample supporting electrolyte contained in all solutions ensured sufficiently low and comparable solution resistances such that iR corrections do not affect the discussions in this study. For example, the uncompensated solution resistances ( $R_u$ ) of the unbuffered pH 1, 2, 3, 7, 12 and 13 solutions were 15.4, 21.6, 21.8, 18.0, 17.8 and 25.6  $\Omega$ , respectively. Note that while all current is reported in current density (mA cm<sup>-2</sup>) in this study, the actual experimental current obtained by the RDE electrode with an area of 0.07 cm<sup>2</sup> is 14 times lower. The  $R_u$  was measured by electrochemical impedance spectroscopy at 200 kHz–0.5 Hz with an a.c. voltage of 10 mV at open-circuit potential.

#### LSVs obtained with copper, silver and indium

The copper, silver and indium electrodes were prepared by cutting metal foils into 3 cm × 1.3 cm strips and masking the front and back side of the metal foil with Teflon tape to expose an area of 1 cm × 1 cm on the front side. Copper foil (99.9%, Nimrod Copper), indium foil (99.99%, Alfa Aesar) and silver foil (99.99%, Alfa Aesar) were used. Before LSV measurements, the surface oxide layers on the foil electrodes were removed by acid etching. The copper foil electrode was immersed in 10 wt% H<sub>2</sub>SO<sub>4</sub> for 10 s; silver and indium foils were immersed in 37% HCl for 10 s. After acid etching, the electrodes were rinsed with deionized water and dried using a N<sub>2</sub> flow. LSVs were obtained in a three-electrode set-up using the copper, silver or indium electrode as the working electrode with a graphite counter-electrode and a Ag/AgCl (4 M KCl) reference electrode. The solution was saturated with H<sub>2</sub> prior to each LSV measurement for the HER. During the LSV measurement in an unstirred solution, H<sub>2</sub> was not purged in the solution so as not to add convective mass transport. Instead, H<sub>2</sub> was purged in the headspace of a semi-sealed cell, creating a H2 blanket.

# Ammonia oxidation

The ammonia oxidation experiments were achieved using the same three-electrode set-up used for the electrodeposition described above but with  $Ni(OH)_2$  instead of bare FTO as the working electrode. The ammonia solution was contained in a glass single-cell compartment. LSVs were collected by sweeping from the open circuit in the positive direction. For experiments where stirring was needed, this was achieved by adding a magnetic stir bar to the ammonia solution and using a stir plate.

# Data availability

The source data files for the plots contained in the current study are attached. Source data are provided with this paper.

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# **Author contributions**

K.-S.C. and M.T.B. conceived the project. Under the supervision of K.-S.C., X.Y. and M.T.B. performed all experiments with the contribution of M.K. for HER LSVs with various metals. All authors discussed the results and contributed to writing the manuscript.

# **Competing interests**

The authors declare no competing interests.

# **Additional information**

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