

Lecture 1, 4/3/23

We will begin thinking about homological algebra in a more categorical way. We use "methods of homological algebra," by Gelfond-Manin (Gelfond & Manin?). Here is a brief overview of some things we will see during the course:

- Additive and Abelian categories
- Example: category of sheaves of Abelian groups on a topological space
- Derived category (objects: complexes of objects in a given Abelian category, morphisms: a quasi-isomorphism of complexes becomes an isomorphism of objects)
- Derived functors, f_* , f^* , $f_!$, $f^!$, \mathbb{D} , \cdots , Hom for sheaves
- Triangulated categories
- t -structures
- Examples: perverse sheaves

Let's remind ourselves of some definitions:

Additive & Abelian categories

Example 0.1. (a) \mathbf{Ab} , the category of Abelian groups with group homomorphisms.

(b) $R - \text{Mod}$, the category of R -modules, with R -module homomorphisms as morphisms.

(c) \mathbf{SAb} , \mathbf{PAb} , the categories of sheaves of Abelian groups and presheaves of Abelian groups

(d) Sheaves of modules over a ringed space

(e) (quasi)-coherent sheaves (ask Zhao)

Definition 0.1. An Abelian category contains the following information:

1. Any hom-set $\text{Hom}_{\mathcal{C}}(X, Y)$ is an Abelian group $(+)$, and the composition of morphisms is bi-additive

In particular:

- $\text{Hom}_{\mathcal{C}}$ is a functor $\mathcal{C}^{\circ} \times \mathcal{C} \rightarrow \mathbf{Ab}$. We notate the first factor with the \circ

- $0 \in \text{Hom}_{\mathcal{C}}(X, Y)$ for any objects X, Y of \mathcal{C}
2. There exists a zero object $0 \in \mathcal{C}$, that is an object such that $\text{Hom}_{\mathcal{C}}(0, 0) = 0$.

This gives: $\text{Hom}_{\mathcal{C}}(0, X) = 0$, $\text{Hom}_{\mathcal{C}}(X, 0) = 0$ for all objects X of \mathcal{C} .

We know that $\text{Hom}_{\mathcal{C}}(0, 0)$ consists of one object. In particular, it must be $\text{Id}_0 = 0$. So

$$(0 \xrightarrow{f} X) = (0 \xrightarrow{\text{Id}_0} 0 \xrightarrow{f} X) = (0 \xrightarrow{0} X)$$

Any two zero objects are isomorphic.

3. For any $X_1, X_2 \in \mathcal{C}$, there exists an object Y and morphisms

$$\begin{array}{ccccc} X_1 & \xrightarrow{i_1} & Y & \xleftarrow{i_2} & X_2 \\ & \xleftarrow{p_1} & & \xrightarrow{p_2} & \\ & & Y & & \end{array}$$

such that

$$\begin{aligned} p_1 i_1 &= \text{Id}_{X_1} \\ p_2 i_2 &= \text{Id}_{X_2} \\ i_1 p_1 + i_2 p_2 &= \text{Id}_Y \\ p_2 i_1 &= p_1 i_2 = 0 \end{aligned}$$

Lemma 1. *We have cartesian diagram*

$$\begin{array}{ccccc} Y' & & & & \\ & \searrow^{p'_1} & & & \\ & & Y & \xrightarrow{p_1} & X_1 \\ & \searrow_{p'_2} & \downarrow p_2 & & \downarrow \\ & & X_2 & \longrightarrow & 0 \end{array}$$

That is, for any Y' , with morphisms p'_1, p'_2 as in the diagram, there is a morphism from Y' to Y making the diagram commute. Similarly, we have co-cartesian diagram

$$\begin{array}{ccc} Y & \xleftarrow{i_1} & X_1 \\ \uparrow i_2 & & \uparrow \\ X_2 & \xleftarrow{\quad} & 0 \end{array}$$

Proof. We need to construct $\varphi : Y' \rightarrow Y$ such that $p'_1 = p_1\varphi$ and $p'_2 = p_2\varphi$

Take $\varphi = i_1p'_1 + i_2p'_2$. Then

$$p_1 \circ \varphi = \underbrace{p_1 i_1}_{=\text{Id}_{X_1}} p'_1 + \underbrace{p_1 i_2}_{=0} p'_2 = p'_1$$

The uniqueness of φ can be verified as an exercise

■

Definition 0.2. An additive category is one which satisfies only the first three of these axioms

To state the 4th axiom of Abelian categories, we need more notations:

Definition 0.3. Let A_1, A_2 be objects of \mathcal{C} , and let $\varphi : X \rightarrow Y$.

1. A kernel of φ is a morphism $i : Z \rightarrow X$ such that

- (a) $\varphi \circ i = 0$
- (b) For all $i' : Z' \rightarrow X$ such that $\varphi \circ i' = 0$, there is a unique $g : Z' \rightarrow Z$ such that $i' = i \circ g$.

$$\begin{array}{ccccc} & & Z' & & \\ & \swarrow g & \downarrow i' & \searrow 0 & \\ Z & \xrightarrow{i} & X & \xrightarrow{\varphi} & Y \end{array}$$

2. A cokernel is a kernel but with the arrows reversed

Exercise: Verify that 1 is equivalent to the following: for all $Z' \in \mathcal{C}$,

$$0 \longrightarrow \text{Hom}(Z', Z) \xrightarrow{i_*} \text{Hom}(Z', X) \xrightarrow{\varphi_*} \text{Hom}(Z', Y)$$

is exact, and similarly for cokernel

With all that, we are ready for:

For any $\varphi : X \rightarrow Y$, there exists a sequence of morphisms

$$K \xrightarrow{k} X \xrightarrow{i} I \xrightarrow{j} Y \xrightarrow{c} K'$$

such that

- (a) $j \circ i = \varphi$
- (b) $k = \ker \varphi, k' = \text{coker } \varphi$
- (c) $I = \text{coker } k = \ker c$

This finishes the definition

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Sheaves

Here are some examples of sheaves from complex analysis

Example 0.2.

- (a) The set of holomorphic functions on $\mathbb{P} = \mathbb{C} \cup \{\infty\}$

For each open subset U of \mathbb{P} , we can consider the ring of holomorphic function $f : U \rightarrow \mathbb{C}$, $\mathcal{H}(U)$.

The collection of $\{(f, V) \mid V \text{ open}, f \in \mathcal{H}(V)\}$ is called the sheaf \mathcal{O} of holomorphic functions on \mathbb{P} .

- (b) The sheaf of solutions of a linear ODE

Let $U \subseteq \mathbb{P}$ be open, and let $a_i(z) \in \Gamma(U, \mathcal{O})$ (in this context this will wind up meaning $\mathcal{H}(U)$), $i = 0, 1, \dots, n-1$.

Denote by S the collection of (V, f) such that $V \subseteq U$ is open, and f is holomorphic in V such that

$$Lf \stackrel{\text{def}}{=} \frac{d^n f}{dz^n} + \sum_{i=0}^{n-1} a_i(z) \frac{d^i f}{dz^i} = 0$$

Let $\Gamma(V) = \{f \in \mathcal{H}(V) \mid Lf = 0\}$. When V is connected and simply connected, it is a basic result of ODEs that $\Gamma(V) \cong \mathbb{C}^n$

In general, it may have to do with the topology of V . For example, if $U = \mathbb{C} \setminus \{0\}$, $L = \frac{d^2}{dz^2} + \frac{1}{z} \frac{d}{dz}$, the solutions are $c_1 \log(z) + c_2$ for any “branch” of $\log(z)$, but $\Gamma(V) = \{\text{constant}\}$. This is related to the Riemann-Hilbert correspondence (whatever that is)

Definition 0.4.

- (a) A presheaf of sets \mathcal{F} on a topological space Y consists of the following data:

- A set $\mathcal{F}(U)$ for any open $U \subseteq Y$
- For any open $V \subseteq U$, a (restriction) map $\gamma_{U,V} : \mathcal{F}(U) \rightarrow \mathcal{F}(V)$ such that $\gamma_{V,V} = \text{Id}_{\mathcal{F}(V)}$, and if $W \subseteq V \subseteq U$, then $\gamma_{V,W} \circ \gamma_{U,V} = \gamma_{U,W}$. When there is ambiguity about which sheaf γ belongs to, we further specify with $\gamma^{\mathcal{F}}$

- (b) A presheaf \mathcal{F} is a sheaf if:

- For any open covering $U = \cup_{i \in I} U_i$ and $s_i \in \mathcal{F}(U_i)$ such that for all $i, j \in I$,

$$\gamma_{U_i, U_i \cap U_j}(s_i) = \gamma_{U_j, U_i \cap U_j}(s_j)$$

then there exists a unique $s \in \mathcal{F}(U)$ such that $s_i = \gamma_{U, U_i}(s)$ for all i .

- (c) A morphism of presheaves $f : \mathcal{F} \rightarrow \mathcal{G}$ is a family of maps $f(U) : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$ for all open $U \subseteq Y$, such that for all open $V \subseteq U$, the diagram

$$\begin{array}{ccc} \mathcal{F}(U) & \xrightarrow{f(U)} & \mathcal{G}(U) \\ \gamma_{U,V}^{\mathcal{F}} \downarrow & & \downarrow \gamma_{U,V}^{\mathcal{G}} \\ \mathcal{F}(V) & \xrightarrow{f(V)} & \mathcal{G}(V) \end{array}$$

commutes.

A morphism of sheaves is a morphism of the underlying presheaves

A presheaf \mathcal{F} of groups/rings/ \mathbb{F} -vector spaces is a presheaf such that $\mathcal{F}(U)$ is a group/ring/ \mathbb{F} -vector space. Then $\gamma_{U,V}$ is a morphism of groups/rings/ \mathbb{F} -vector spaces.

Let \mathcal{F}, \mathcal{G} be two Abelian presheaves (meaning presheaves of Abelian groups), and let $f : \mathcal{F} \rightarrow \mathcal{G}$ be a morphism of Abelian presheaves.

Let $K(U) = \ker(f(U))$, $C(U) = \operatorname{coker}(f(U))$ with natural restrictions.

Definition 0.5. A sequence of presheaves

$$\mathcal{F} \xrightarrow{f} \mathcal{G} \xrightarrow{g} \mathcal{H}$$

is exact if, for all open U ,

$$\mathcal{F}(U) \xrightarrow{f(U)} \mathcal{G}(U) \xrightarrow{g(U)} \mathcal{H}(U)$$

is exact.

So, presheaves of Abelian groups, with morphisms of Abelian presheaves, is an Abelian category.

How about Abelian sheaves?

Let $f : \mathcal{F} \rightarrow \mathcal{G}$ be a morphism of Abelian sheaves. Once again, let $K(U) = \ker(f(U))$, $C(U) = \operatorname{coker}(f(U)) = \frac{\mathcal{G}(U)}{f(U)(\mathcal{F}(U))}$.

Proposition 1.

(a) The kernel K is an Abelian sheaf

(b) The cokernel C is always a presheaf, but might not be a sheaf.

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Proof.

- (a) Let $U = \bigcup U_i$, $s_i \in K(U_i)$ agree on pairwise intersections. As $K(U_i) \hookrightarrow \mathcal{F}(U_i)$, there exists a unique $s \in \mathcal{F}(U)$ such that $s|_{U_i} = s_i \in \mathcal{F}(U_i)$ for all i .

Note that $f(s)|_{U_i} = f(s|_{U_i}) = 0$, so $f(s) = 0 \in \mathcal{G}(U)$. Here, we are using the uniqueness of gluing in \mathcal{G} .

Then $s \in K(U)$

- (b) First, we give an example of a cokernel which is a presheaf, but not a sheaf. Let $Y = \mathbb{C} \setminus \{0\}$, $f : \mathcal{O}_Y \rightarrow \mathcal{O}_Y$ given by $\varphi \mapsto \frac{d\varphi}{d\zeta}$.

- For any $y \in Y$, there exists a neighborhood $V_y \ni y$ such that $\text{coker } f(V_y) = 0$. That is, for every $f \in \mathcal{H}(V_y)$, there is a $g \in \mathcal{H}(V_y)$ so that $\frac{dg}{d\zeta} = f$ in V_y (every point admits a simply connected neighborhood)
- However, $\text{coker } f(Y) \cong \mathbb{C}$: $\Psi = \sum_{i=-\infty}^{\infty} a_i \zeta^i = \frac{d\varphi}{d\zeta}$ has a solution iff $a_{-1} = 0$. This is because $\frac{1}{z}$ is defined on Y . (keyword: vanishing cycle and v-filtration).

Hence this violates the uniqueness: any $\bar{\Psi} \in \text{coker } f(Y)$ restricts to $0 \in \text{coker } f(V_y)$. However, the V_y cover Y . So, we have an open cover, with sections of each, which agree on intersections, but which do not have a unique global section to which they all glue. So, this is not a sheaf.

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Sheafification

Denote by SAb the additive category of abelian sheaves on a fixed topological space M . We have the inclusion functor $\iota : \text{SAb} \rightarrow \text{PAb}$.

Proposition 2. ι admits a left adjoint $s : \text{PAb} \rightarrow \text{SAb}$, i.e.

$$\text{Hom}_{\text{SAb}}(sX, Y) \cong \text{Hom}_{\text{PAb}}(X, \iota Y)$$

and this isomorphism is natural in both X and Y .

Proof. Let

$$s(X)(U) \stackrel{\text{def}}{=} \frac{\{ \{(U_i, e_i)\}_{i \in I} \mid U = \bigcup_{i \in I} U_i, e_i \in X(U_i), e_i|_{U_i \cap U_j} = e_j|_{U_i \cap U_j} \}}{\sim}$$

Where $\{(U_i, e_i)\} \sim \{(U'_j, e_j)\}$ if there exists $\{U''_k\}$ refining $\{U_i\}, \{U'_j\}$ and $e_i|_{U''_k} = e'_j|_{U''_k}$ for $U''_k \subset U_i \cap U'_j$.

Define $\gamma_{U,V} : sX(U) \rightarrow sX(V)$, for $V \subseteq U$, by

$$\gamma_{U,V}[\{(U_i, e_i)\}] = [\{(U_i \cap V, e_i|_{U_i \cap V})\}]$$

There is a lot to verify; see [GM] 2.5.13

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Example 0.3. For coker f from previous example, now $[\bar{\Psi}] = [0]$, since, when we restrict to V_y , $\bar{\Psi}$ becomes 0. So $\text{coker } f = 0$

With this modification, SAb is an abelian category!

Proposition 3. Let $\varphi : X \rightarrow Y$ be a morphism of abelian sheaves, and let

$$K \xrightarrow{k} \iota X \xrightarrow{i} I \xrightarrow{j} \iota Y \xrightarrow{c} K'$$

be the canonical decomposition of $\iota(\varphi)$ in (the abelian category!) PAb. Then

$$\underbrace{sK}_{=K} \xrightarrow{sk} \underbrace{X}_{=s\iota X} \xrightarrow{si} sI \xrightarrow{sj} \underbrace{Y}_{=s\iota Y} \xrightarrow{sc} sK'$$

is the canonical decomposition of φ in SAb. In particular, SAb is an abelian category.

Proof. We'll just verify that sK' is indeed the cokernel:

Let $Z \in \text{Ob SAb}$. Then there exists an exact sequence

$$0 \longrightarrow \text{Hom}_{\text{PAb}}(K', \iota Z) \longrightarrow \text{Hom}_{\text{PAb}}(Y, \iota Z) \longrightarrow \text{Hom}_{\text{PAb}}(X, \iota Z) \longrightarrow 0$$

(this is equivalent to saying K' is $\text{coker } \varphi$ in PAb). By adjunction,

$$0 \longrightarrow \text{Hom}_{\text{SAb}}(sK', Z) \longrightarrow \text{Hom}_{\text{SAb}}(\underbrace{sY}_{=Y}, Z) \longrightarrow \text{Hom}_{\text{SAb}}(sX, Z) \longrightarrow 0$$

is exact. Other parts are exercises

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Definition 0.6. Let A be an abelian group, Y a topological space.

- (a) The constant presheaf \mathbb{A} on Y is $\mathbb{A}(U) = A$ for all open $U \subseteq Y$, and $\gamma_{U,V} = \text{Id}_A$ for any open $V \subseteq U$.
- (b) The constant sheaf \mathcal{A} on Y is $s\mathbb{A}$.
(Check: for connected U , $\mathcal{A}(U) = A$)

- (c) A sheaf \mathcal{F} is locally constant if any point has a neighborhood U such that $\mathcal{F}|_U$ is a constant sheaf. (for open $V \subset U \subset Y$, $\mathcal{F}|_U(V) \stackrel{\text{def}}{=} \mathcal{F}(V)$) (keyword: representation of π_1 and local systems)

Germ and stalks

Definition 0.7. The stalk of a (pre)sheaf at a point y is

$$\mathcal{F}_y \stackrel{\text{def}}{=} \varinjlim_{V \ni y} \mathcal{F}(V)$$

with the limit taken with respect to inclusion.

Concretely, $\mathcal{F}_y \stackrel{\text{def}}{=} \frac{\{(s, V) | y \in V, s \in \mathcal{F}(V)\}}{\sim}$, where $(s, V) \sim (s', V')$ if there exists a $W \subseteq V \cap V'$ such that $\gamma_{V, W}(s) = \gamma_{V', W}(s')$.

Such an equivalence class is called a germ

Remark:[GM, I.5.5, I.5.6]

If we have an exact sequence of sheaves

$$0 \longrightarrow \mathcal{F}' \longrightarrow \mathcal{F} \longrightarrow \mathcal{F}'' \longrightarrow 0$$

if and only if for any $y \in Y$,

$$0 \longrightarrow \mathcal{F}'_y \longrightarrow \mathcal{F}_y \longrightarrow \mathcal{F}''_y \longrightarrow 0$$

is exact. This can be verified as an extremely important exercise.

Definition 0.8. For $s \in \mathcal{F}(U)$, the support of s , $\text{supp } s$, is the closure of the set of points at which the germ of s is not zero.

Remark:

In the definition of a stalk, we can replace a point y by a closed subset Z of Y .

Lecture 4, 4/10/23

Functors in abelian categories

Definition 0.9.

- (a) Let $\mathcal{C}, \mathcal{C}'$ be additive categories. A functor $F : \mathcal{C} \rightarrow \mathcal{C}'$ is an additive functor if all maps $F : \text{Hom}_{\mathcal{C}}(X, Y) \rightarrow \text{Hom}_{\mathcal{C}'}(FX, FY)$ is a homomorphism of abelian groups.

(b) A complex in \mathcal{C} is a sequence

$$X^\cdot : \cdots \xrightarrow{d^{n-1}} X^n \xrightarrow{d^n} X^{n+1} \xrightarrow{d^{n+1}} \cdots$$

with $d^n \circ d^{n-1} = 0$ for all n

(c) Now assume $\mathcal{C}, \mathcal{C}'$ are abelian categories. If we have a complex, then, because $d^n \circ d^{n+1} = 0$, the universal properties of the kernel and cokernel (as well as their existence, which is guaranteed because we are in an abelian category), guarantee us unique maps a^n, b^{n+1} making the diagram commute:

$$\begin{array}{ccccc}
 & & \text{coker } d^n & & \\
 & & \uparrow & \searrow^{b^{n+1}} & \\
 X^n & \xrightarrow{d^n} & X^{n+1} & \xrightarrow{d^{n+1}} & X^{n+2} \\
 & \searrow_{a^n} & \uparrow & & \\
 & & \text{ker } d^{n+1} & &
 \end{array}$$

The $(n+1)$ -cohomology of X^\cdot is

$$H^{n+1}(X^\cdot) \stackrel{\text{def}}{=} \text{coker } a^n = \text{ker } b^{n+1}$$

this equality can be verified as an exercise..

(d) X^\cdot is acyclic at X^b if $H^n(X^\cdot) = 0$.

(e) X^\cdot is exact/acyclic if it is acyclic at X^n for all n

(f) An additive functor $F : \mathcal{C} \rightarrow \mathcal{C}'$ is exact if it sends a short exact sequence

$$0 \longrightarrow X \longrightarrow Y \longrightarrow Z \longrightarrow 0$$

to a short exact sequence

$$0 \longrightarrow FX \longrightarrow FY \longrightarrow FZ \longrightarrow 0$$

It is left exact if

$$0 \longrightarrow FX \longrightarrow FY \longrightarrow FZ$$

is exact, and right exact if

$$FX \longrightarrow FY \longrightarrow FZ \longrightarrow 0$$

is exact.

Example 0.4. Let \mathcal{C} be an abelian category, and consider $\text{Hom}_{\mathcal{C}}(Y, -) : \mathcal{C} \rightarrow \mathbf{Ab}$ and $\text{Hom}_{\mathcal{C}}(-, Y) : \mathcal{C}^{\circ} \rightarrow \mathbf{Ab}$, where \mathcal{C}° means the opposite category of \mathcal{C} (this is just saying this functor is contravariant). These two morphisms are both left-exact.

Example 0.5. For a fixed ring R , consider $R\text{-Mod}$, the category of left R -modules, and Y a right R -module (so an object of $\text{Mod-}R$). Then we have a functor

$$Y \otimes_R : R\text{-Mod} \rightarrow \mathbf{Ab}$$

which is right-exact.

Proposition 4. *Let X be a topological space, and fix an open set $U \subseteq X$. Consider \mathbf{SAb} , the category of abelian sheaves on X . The functor $\mathbf{SAb} \rightarrow \mathbf{Ab}$ given by $\mathcal{F} \mapsto \mathcal{F}(U)$ is an additive functor which is left exact.*

Proof. Let $\iota : \mathbf{SAb} \rightarrow \mathbf{PAb}$ be the inclusion of sheaves into presheaves. This is left exact, which follows from the fact that the kernel of a morphism of sheaves is again a sheaf. The kernel doesn't need sheafification! Now $\mathbf{PAb} \rightarrow \mathbf{Ab} : \mathcal{F} \mapsto \mathcal{F}(U)$ is exact by definition. The composition of a left exact and an exact functor is left exact, so we are done. ■

From now on, we will always be working in an abelian category unless otherwise stated.

Definition 0.10.

- (a) An object Y is projective if $\text{Hom}_{\mathcal{C}}(Y, -)$ is exact.
- (b) An object Y is injective if $\text{Hom}_{\mathcal{C}}(-, Y)$ is exact
- (c) A right module- R Y is flat if $Y \otimes_R -$ is exact.

Direct images

Definition 0.11. Let $f : M \rightarrow N$ be a continuous map of topological spaces, and let \mathcal{F} be a sheaf on M . The direct image $f_{\star}\mathcal{F}$ (written as $f.\mathcal{F}$ in Gelfond-Manin) is defined as follows.

For any open $U \subseteq N$, $f^{-1}(U)$ is open in M . So we simply define

$$f_{\star}\mathcal{F}(U) \stackrel{\text{def}}{=} \mathcal{F}(f^{-1}(U))$$

and restriction for $V \subseteq U$ induced from $\gamma_{f^{-1}(U), f^{-1}(V)}$

Exercise: verify that this is indeed a sheaf!

Proposition 5.

(a) Let $f : M \rightarrow \{1\}$ be the constant map. Then $f_*\mathcal{F} = \Gamma(M, \mathcal{F}) = \mathcal{F}(M)$.

(b) Let $i : M \rightarrow N$ be the inclusion of a closed subspace M of N . Then

$$(i_*\mathcal{F})_x = \begin{cases} \mathcal{F}_x & x \in M \\ 0 & x \notin M \end{cases}$$

Some call this an “extension by zero.” (If $i : M \hookrightarrow N$ is the inclusion of an open subset M of N , then $i_*\mathcal{F}$ may have nonzero stalk at $x \in N \setminus M$. Prove this as an exercise!)

(c) $f_* : \mathbf{SAb}(M) \rightarrow \mathbf{SAb}(N)$ is a functor, $(fg)_* = f_*g_*$

Proof. ■

Lecture 5, 4/12/23

Inverse image. Let $f : M \rightarrow N$ be continuous.

Definition 0.12. For $\mathcal{F} \in \mathbf{SAb}_N$, first define $f_p^*\mathcal{F}$ as a presheaf:

$$U \mapsto \mathcal{F}(f(U)) \stackrel{\text{def}}{=} \varinjlim_{N \supset V \supset f(U)} \mathcal{F}(V)$$

where V is open. Then take $f^*\mathcal{F} \stackrel{\text{def}}{=} s(f_p^*\mathcal{F})$

Exercise: for all $x \in M$, $(f^*\mathcal{F})_x = \mathcal{F}_{f(x)}$

Proposition 6. We have an adjunction $f^* \dashv f_*$,

$$\mathrm{Hom}_{\mathbf{SAb}_M}(f^*\mathcal{F}, \mathcal{G}) \cong \mathrm{Hom}_{\mathbf{SAb}_N}(\mathcal{F}, f_*\mathcal{G})$$

Proof. We know $s \dashv \iota$ by construction. So, we need only show

$$\mathrm{Hom}_{\mathbf{PAb}_M}(f_p^*\mathcal{F}, \mathcal{G}) \cong \mathrm{Hom}_{\mathbf{PAb}_N}(\mathcal{F}, f_*\mathcal{G})$$

We establish a functorial morphism: $\mathcal{F} \rightarrow f_*f_p^*\mathcal{F}$.

Let $\mathcal{G} = f_p^*\mathcal{F}$,

$$\mathrm{Hom}(f_p^*\mathcal{F}, f_p^*\mathcal{F}) \cong \mathrm{Hom}(\mathcal{F}, f_*f_p^*\mathcal{F})$$

Note: for $V \subseteq N$ open, $\mathcal{F}(V) \rightarrow f_p^*(f^{-1}(V))$

But V is open in N containing $f(f^{-1}(V))$. So we have restriction

????????????

Exercise: Check morphism of presheaves.

This is compatible with restrictions and gives us a presheaf morphism $i_{\mathcal{F}} : \mathcal{F} \rightarrow f_{\star} f_p^{\star} \mathcal{F}$.

This induces the isomorphism in the statement.

$$(\psi : f_p^{\star} \mathcal{F} \rightarrow \mathcal{G}) \longrightarrow ((f_{\star} \psi) \circ i_{\mathcal{F}} : \mathcal{F} \rightarrow f_{\star} \mathcal{G})$$

The other direction uses $f_p^{\star} f_{\star} \mathcal{G} \rightarrow \mathcal{G}$

Exercise: construct this and check inverse

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Proposition 7. *Let \mathcal{C}, \mathcal{D} be Abelian categories. Let $F : \mathcal{C} \rightarrow \mathcal{D}$ and $G : \mathcal{D} \rightarrow \mathcal{C}$ be adjoint functors, $F \dashv G$.*

Theorem 0.1. *F is right exact, and G is left exact*

Proof. We will just check G is left exact.

Let $0 \longrightarrow Y' \xrightarrow{f} Y \xrightarrow{g} Y'' \longrightarrow 0$ be a short exact sequence.

Apply the left exact functor $\text{Hom}_{\mathcal{D}}(Fx, -)$ for all $x \in \mathcal{C}$. We have the diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & \text{Hom}_{\mathcal{D}} & \longrightarrow & \text{Hom}_{\mathcal{D}}(FX, Y') & \longrightarrow & \text{Hom}_{\mathcal{D}}(FX, Y'') \\ & & \parallel & & \parallel & & \parallel \\ 0 & \longrightarrow & \text{Hom}_{\mathcal{C}}(X, GY') & \longrightarrow & \text{Hom}_{\mathcal{C}}(X, GY) & \longrightarrow & \text{Hom}_{\mathcal{C}}(X, GY'') \end{array}$$

This is exact for all $X \in \mathcal{C}$ iff $0 \longrightarrow GY' \longrightarrow GY \longrightarrow GY''$ is exact

Proposition 8. *In SAb , f_{\star} is left exact, f^{\star} is exact.*

Proof. By exercise, f^{\star} is exact on stalks.

Direct images with compact support

GM, III8.7– > 8.10

All topological spaces are assumed to be locally compact and first countable, meaning every point has a countable neighborhood basis.

Recall: A morphism of topological spaces is proper if the preimage of compact sets are compact.

Definition 0.13. Let $f : X \rightarrow Y$, \mathcal{F} a sheaf on X . Let $U \subseteq Y$ be open. We define

$$F_! \mathcal{F}(U) = \{s \in \Gamma(f^{-1}(U), \mathcal{F}) \mid f : \text{supp}(s) \rightarrow U \text{ is proper} \}$$

Definition 0.14. Let $s \in \Gamma(V, \mathcal{G})$. Then $\text{supp}(s) = \overline{\{x \in V \mid \bar{s} \neq 0 \in \mathcal{G}_x\}}$, $\Gamma(V, \mathcal{G}) \rightarrow \mathcal{G}, s \mapsto \bar{s}$

Lemma 2.

(a) $f_! \mathcal{F}$ is a subsheaf of $f_* \mathcal{F}$

(b) $f_!$ is a left exact functor.

Proof. ■

Lecture 6, 4/14/23

Let $f : X \rightarrow Y$ be continuous, and \mathcal{F} be a sheaf on X . Recall the definition of $f_! \mathcal{F}$:

$$f_! \mathcal{F}(U) = \{s \in \underbrace{\Gamma(f^{-1}(U), \mathcal{F})}_{=f_* \mathcal{F}(U)} \mid f : \text{supp}(s) \rightarrow U \text{ is proper} \}$$

where $\text{supp}(s)$ is the closure of the set of points where \bar{s} , the germ of s , \bar{s} , is not zero.

Theorem 0.2.

(a) $f_! \mathcal{F}$ is a subsheaf of $f_* \mathcal{F}$.

(b) $f_!$ is a left exact functor "direct image with compact support"

Proof.

(a) $f_! \mathcal{F}$ is clearly a subsheaf of $f_* \mathcal{F}$. Any set of compatible sections of $f_! \mathcal{F}$ glue uniquely to a section of $f_* \mathcal{F}$. This comes down to a topological statement.

Exercise: For (U_i) open subsets of Y , $f_i : V_i \rightarrow U_i$ is proper, then $f : \cup V_i \rightarrow \cup U_i$ is proper.

$$f^{-1}(K) = \cup f_i^{-1}(V_i \cap K)$$

(b)

■

Sections with compact support

Consider the special case $f : X \rightarrow \{1\}$, the one point space. Then $f_! \mathcal{F}$ is the set of sections $s \in \mathcal{F}(X)$ such that $\text{supp}(s)$ is compact.

Denote this by $\Gamma_c(X, \mathcal{F})$

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ \uparrow i & & \uparrow \\ f^{-1}(y) & \longrightarrow & y \end{array}$$

Proposition 9. *The stalk of $f_!\mathcal{F}$ at $y \in Y$ is isomorphic to*

$$\Gamma_C(f^{-1}(y), \underbrace{\mathcal{F}|_{f^{-1}(y)}}_{=i^*\mathcal{F}})$$

Proof. First construct $\varphi : (f_!\mathcal{F})_y \rightarrow \Gamma_c(f^{-1}(y), \mathcal{F}|_{f^{-1}(y)})$.

Let $s \in f_!\mathcal{F}_y$ and choose a representative $\tilde{s} \in \Gamma(f^{-1}(U), \mathcal{F})$ with U an open neighborhood of y , and $\text{supp } \tilde{s} \rightarrow U$ proper.

Then: $\tilde{s}|_{f^{-1}(y)}$ is in $\Gamma_c(f^{-1}(y), \underbrace{\mathcal{F}|_{f^{-1}(y)}}_{=i^*\mathcal{F}})$

Exercise: $\varphi(s) \stackrel{\text{def}}{=} \tilde{s}|_{f^{-1}(y)}$ only depends on s .

We now show φ is injective. Suppose $\varphi(s) = 0$. Then $\text{supp}(\tilde{s}) \cap f^{-1}(y) = \emptyset$. So $y \notin f(\text{supp } \tilde{s})$. But $f(\text{supp } \tilde{s})$ is closed (proper + locally compact)

So $s = 0$.

To show φ is surjective: choose a local basis $V_i \ni y$ with $\cap V_i = y$. Then $f^{-1}(y) = \cap f^{-1}(U_i)$

Exercise:

Locally compact implies $\Gamma_c(f^{-1}(y), \mathcal{F}|_{f^{-1}(y)}) = \lim_{\rightarrow} A_i$ where

$$A_i = \{t \in \Gamma(f^{-1}(U_i), \mathcal{F}) \mid \text{supp } t = K \cap f^{-1}(U_i) \text{ for some compact } K \subseteq X\}$$

■

Example 0.6. 1. Let $i : U \hookrightarrow X$ be open, \mathcal{F} a sheaf on U .

$$(i_!\mathcal{F})_x = \begin{cases} \mathcal{F}_x, & x \in U \\ 0, & x \notin U \end{cases}$$

“extend by 0”

2. $j : V \rightarrow X$ proper (in particular, closed embedding), $j_!\mathcal{G} = j_*\mathcal{G}$.

Derived Categories via Localizations

Definition 0.15. Let $f : K^\bullet \rightarrow L^\bullet$ be a morphism of complexes in an Abelian category \mathcal{A} . f is a quasi-isomorphism if the induced morphism $H^n(f) : H^n(K^\bullet) \rightarrow H^n(L^\bullet)$ is an isomorphism for all n .

Definition 0.16. Let \mathcal{A} be an Abelian category, $\text{Kom}(\mathcal{A})$ the category of complexes in \mathcal{A} . The derived category of \mathcal{A} is a category $D(\mathcal{A})$ and a functor $Q : \text{Kom}(\mathcal{A}) \rightarrow D(\mathcal{A})$ such that

(a) $Q(f)$ is an isomorphism for any quasi-isomorphism f .

- (b) Q is universal in the following sense. Suppose that $g : \mathcal{A} \rightarrow \mathcal{D}$ is a functor such that $g(f)$ is an isomorphism for any quasi-isomorphism f . Then there is a unique functor $\bar{f} : D(\mathcal{A}) \rightarrow \mathcal{D}$, making the diagram commute:

$$\begin{array}{ccc} \mathrm{Kom}(\mathcal{A}) & \xrightarrow{f} & \mathcal{D} \\ & \searrow Q \quad \nearrow \bar{f} & \\ & D(\mathcal{A}) & \end{array}$$

Theorem 0.3. *Every abelian category admits a derived category.*

Proof. ■

Lecture 7, 4/17/23

Homework hint:

Let \mathcal{A} be an abelian category with P a projective generator. We wish to define an equivalence with $R\text{-mod}$, where $R = \mathrm{Hom}_{\mathcal{A}}(P, P)$. Try to construct an equivalence going the other way as follows:

We want a projective resolution of M

$$R^{\oplus J} \longrightarrow R^{\oplus I} \longrightarrow M \longrightarrow 0$$

We want to use this to get a morphism $P^{\oplus J} \rightarrow P^{\oplus I}$. You can do this by taking the identity and looking at the image (?).

Now we continue.

Remark: We can define $\mathrm{Kom}^+(\mathcal{A})$ to be all the chain complexes in \mathcal{A} that are bounded on the right. That is, $\mathrm{Kom}^+(\mathcal{A}) = \{K^\cdot \mid K^i = 0 \text{ for } i \ll 0\}$. $\mathrm{Kom}^-(\mathcal{A})$ is defined similarly. $\mathrm{Kom}^b(\mathcal{A}) = \mathrm{Kom}^+(\mathcal{A}) \cap \mathrm{Kom}^-(\mathcal{A})$.

These are each abelian categories, so we can consider $D^+(\mathcal{A}), D^-(\mathcal{A}), D^b(\mathcal{A})$, but this is for later.

Construction of $D(\mathcal{A})$

This is similar to “localization of rings”

Definition 0.17. A class of morphism $S \subset \mathrm{Mor} \mathcal{B}$ is said to be localizing if

- (a) S is closed under composition: $\text{Id}_X \in S$ for all $X \in \text{Ob } \mathcal{B}$ and $s \circ t \in S$ for all $s, t \in S$ such that the composition is defined.
- (b) Extension: for all $f \in \text{Mor } \mathcal{B}$, $s \in S$, there exists $g \in \text{Mor } \mathcal{B}, t \in S$ such that we can make one of the following diagrams commute:

$$\begin{array}{ccc} W & \xrightarrow{\quad g \quad} & Z \\ \downarrow t & & \downarrow s \\ X & \xrightarrow{\quad f \quad} & Y \end{array}$$

or

$$\begin{array}{ccc} W & \xleftarrow{\quad g \quad} & Z \\ \uparrow t & & \uparrow s \\ X & \xleftarrow{\quad f \quad} & Y \end{array}$$

- (c) If $f, g \in \text{Mor}(X, Y)$, the existence of $s \in S$ with $sf = sg$ is equivalent to the existence of $t \in S$ such that $ft = gt$

Definition 0.18. Given a category \mathcal{B} and class of morphisms S which is localizing, we introduce a category $\mathcal{B}[S^{-1}]$, with $\text{Ob } \mathcal{B}[S^{-1}] = \text{Ob } \mathcal{B}$ and

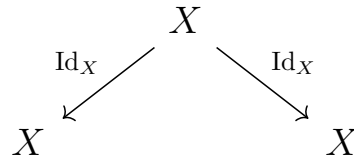
- (a) A morphism $X \rightarrow Y$ in $\mathcal{B}[S^{-1}]$ is the equivalence class of roofs of the form

$$\begin{array}{ccc} & X' & \\ s \swarrow & & \searrow f \\ X & & Y \end{array}$$

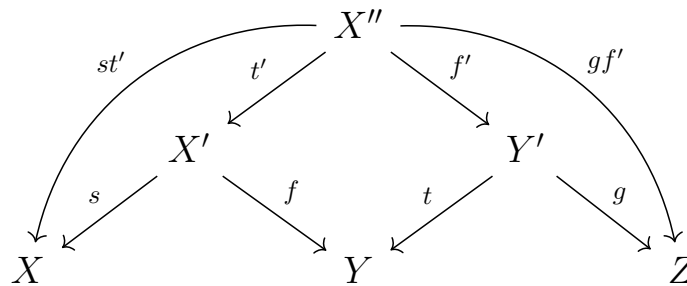
with $s \in S, f \in \text{Mor } \mathcal{B}$. Two roofs are equivalent if there exists a third roof making the following diagram commute:

$$\begin{array}{ccccc} & & X & & \\ & \swarrow r & & \searrow h & \\ & X' & & X'' & \\ s \swarrow & & & & \searrow g \\ X & & t & f & Y \end{array}$$

With $sr = th, fr = gh, r \in S$. Id_X is the class of



(b) The composition of roofs (s, f) and (t, g) is the class of (st', gf')

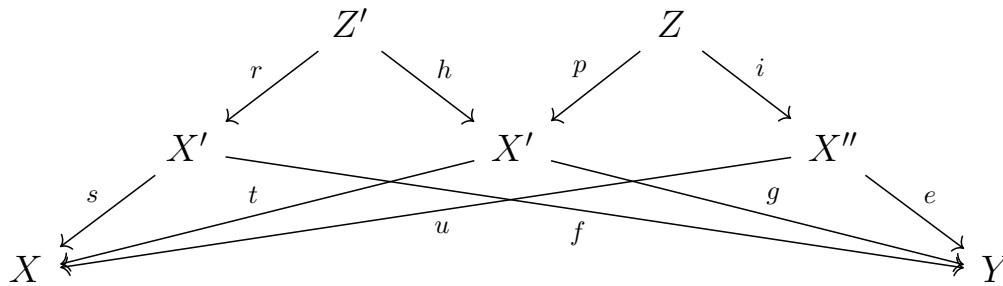


$$gt^{-1}fs^{-1} = gf't'^{-1}s^{-1} = (gf)(st')^{-1}$$

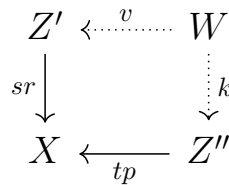
Theorem 0.4. *This is in fact a well defined equivalence relation*

Proof. Symmetry and reflexivity are easy, so we will just show transitivity. Suppose $(s, f) \sim (t, g), (t, g) \sim (u, e)$. We want to show $(s, f) \sim (u, e)$.

So we have

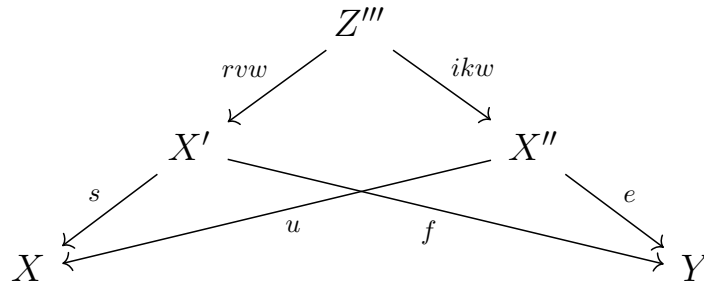


$s, t, u, r, p \in S$ First consider



““““with $sr \in S$. Then $thv = srv = tpk$, so by c there exists w such that $hvw = pkw$

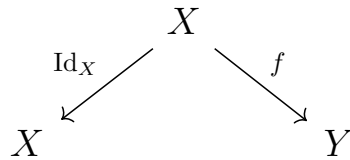
for some $w : Z''' \rightarrow W$, $w \in S$. We now build the roof



■

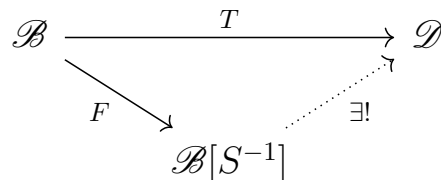
Definition 0.19. The category $\mathcal{B}[S^{-1}]$ is called a localization of \mathcal{B} .

Let $F : \mathcal{B} \rightarrow \mathcal{B}[S^{-1}]$ map each object to its equivalence class. We define $F(f)$ to be the equivalence class of the roof



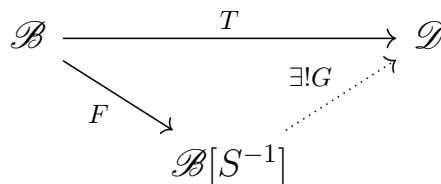
This satisfies the universal property:

Let $T : \mathcal{B} \rightarrow \mathcal{D}$ be such that $T(s)$ is an isomorphism for all $s \in S$. Then there exists a unique factorization



Lecture 8

Proposition 10. Let $T : \mathcal{B} \rightarrow \mathcal{D}$ be a functor such that $T(s)$ is an isomorphism for any localizing class $s \in S$ of \mathcal{B} . Then T uniquely factors through



Proof. To construct $G : \mathcal{B} \rightarrow \mathcal{D}$ such that $T = G \circ F$, consider

$$\underbrace{G(x)}_{\in \text{Obj } \mathcal{B}[S^{-1}]} = \underbrace{T(x)}_{\in \text{Obj } \mathcal{B}}$$

But by definition $\text{Obj } \mathcal{B}[S^{-1}] = \text{Obj } \mathcal{B}$.

$$G([S, F]) = T(f) \circ \underbrace{T(s)^{-1}}_{\text{iso}}$$

Show it is well defined and unique as an exercise. ■

We have an issue: the class of quasi-isomorphisms in $\text{Kom}(\mathcal{A})$ is not a localizing class.

Definition 0.20. Fix n . For $K^\cdot = (K^\cdot, d_K)$, define a complex $K[n]^\cdot$ by $(K[n])^i = K^{n+i}$, $d_{K[n]} = (-1)^n d_K$.

This is the shift to the left by n map.

For $F : K^\cdot \rightarrow L^\cdot$, let $F[n] : K[n]^\cdot \rightarrow L[n]^\cdot$ coincide with F componentwise.

The translation functor $T^n : \text{Kom}(\mathcal{A}) \rightarrow \text{Kom}(\mathcal{A})$ is an autoequivalence.

Definition 0.21. Let $F : K^\cdot \rightarrow L^\cdot$. The mapping cone of F is the complex

$$\begin{aligned} C(f) : C(f)^i &= K[1]^i \oplus L^i \\ d_{C(f)}^i(k^{i+1}, \ell^i) &= (-d_K k^{i+1}, f(k^{i+1}) + d_L(\ell^i)) \end{aligned}$$

Check that $d^2 = 0$.

$$\begin{array}{ccc} K^{i+1} & \xrightarrow{-d_K} & K^{i+1} \\ & \searrow f^{i+1} & \\ \oplus & & \oplus \\ & \searrow & \\ L^i & \xrightarrow{d_L} & L^{i+1} \end{array}$$

Example 0.7. If F is a morphism of “0-complex”, i.e. $F : K^0 \rightarrow L^0$, then $C(f)$ is the complex

$$\cdots \longrightarrow 0 \longrightarrow \underbrace{K^0}_{\text{deg } -1} \xrightarrow{f} \underbrace{L^0}_{\text{deg } 0} \longrightarrow 0 \longrightarrow \cdots$$

In particular, $H^{-1}(C(f)) = \ker f$, $H^0(C(f)) = \text{coker } f$.

Definition 0.22. The mapping cylinder $\text{Cyl}(f)$ is

$$\text{Cyl}(f) = K^\cdot \oplus K[1]^\cdot \oplus L^\cdot$$

$$\begin{array}{ccc} K^i & \xrightarrow{d_K} & K^{i+1} \\ & \searrow -\text{Id} & \\ K^{i+1} & \xrightarrow{-d_K} & K^{i+2} \\ & \searrow f & \\ L^i & \xrightarrow{d_L} & L^{i+1} \end{array}$$

Lemma 3. *The following diagram commutes and has exact rows*

$$\begin{array}{ccccccc}
 0 & \longrightarrow & L^\cdot & \longrightarrow & C(f) & \longrightarrow & K[1]^\cdot \longrightarrow 0 \\
 & & \downarrow \alpha & & \parallel & & \\
 0 & \longrightarrow & K^\cdot & \longrightarrow & \text{Cyl}(f) & \longrightarrow & C(f) \longrightarrow 0 \\
 & & \parallel & & \downarrow \beta & & \\
 & & K^\cdot & \xrightarrow{f} & L^\cdot & &
 \end{array}$$

it's functorial in F . The morphisms α, β are quasi-isomorphisms, $\beta\alpha = \text{Id}_L$, $\alpha\beta$ is homotopic to $\text{Id}_{\text{Cyl}(F)}$

Proof. α is the “inclusion”

$$\begin{array}{ccc}
 \beta : (K^i, K^{i+1}, L^i) & \xrightarrow{d_{\text{Cyl}(F)}} & \text{nothing?} \\
 \downarrow \beta^i & & \downarrow \beta^{i+1} \\
 (F(K^i) + L^i) & \xrightarrow{d_L} & \text{nothing again?}
 \end{array}$$

$$\begin{aligned}
 k^i : \text{Cyl}(F)^i &\rightarrow \text{Cyl}(f)^{i-1} \\
 (K^i, K^{i+1}, L^i) &\mapsto (0, K^i, 0) \\
 \alpha\beta &= \text{Id} + dk + kd
 \end{aligned}$$

■

Definition 0.23. Let $f, g : K^\cdot \rightarrow L^\cdot$ be chain maps. We say f and g are homotopic if there exists k such that $f - g = kd + dk$, where

$$\begin{array}{ccccc}
 K^{i-1} & \longrightarrow & K^i & \longrightarrow & K^{i+1} \\
 & \nwarrow k^i & \downarrow f-g & \nearrow k^{i+1} & \\
 L^{i-1} & \longrightarrow & L^i & \longrightarrow & L^{i+1}
 \end{array}$$

Lecture 9, 4/21/23

Definition 0.24. Let \mathcal{A} be an abelian category. The homotopy category $K(\mathcal{A})$ is the category whose objects are objects of $\text{Kom}(\mathcal{A})$, and whose morphisms are homotopy equivalence classes of maps in $\text{Kom}(\mathcal{A})$.

Recall that homotopy equivalent morphisms induce the same morphisms on cohomology, so it makes sense to talk about quasi-isomorphisms.

Theorem 0.5. *The class of quasi-isomorphisms in $K(\mathcal{A})$ is localizing.*

Proof. We'll give a more conceptual proof next week, based on two more results:

- $K(\mathcal{A})$ is a triangulated category
- quasi-isomorphisms are “obtained by cohomological functors”

It is obvious that this class of morphisms is closed under composition. Let $f : K^\bullet \rightarrow L^\bullet$ be a quasi-isomorphism. Then for any $g : M^\bullet \rightarrow L^\bullet$, there is a complex N^\bullet , a morphism h , and a quasi-isomorphism k making the diagram commute:

$$\begin{array}{ccc} N^\bullet & \xrightarrow{\quad k \quad} & M^\bullet \\ \downarrow h & & \downarrow g \\ K^\bullet & \xrightarrow{\quad f \quad} & L^\bullet \end{array}$$

This comes from the following diagram:

$$\begin{array}{ccccccc} C(\pi g)^\bullet[-1] & \xrightarrow{k} & M^\bullet & \xrightarrow{\pi g} & C(f)^\bullet & \longrightarrow & C(\pi g)^\bullet \\ \downarrow \exists h & & \downarrow g & & \parallel & & \downarrow h(1) \\ K^\bullet & \xrightarrow{f} & L^\bullet & \xrightarrow{\pi} & C(f)^\bullet & \longrightarrow & K^\bullet[1] \end{array}$$

this commutes up to homotopy. The other part can be similarly verified. We won't prove the last part. ■

Definition 0.25. $D(\mathcal{A}) = K(\mathcal{A})[\{\text{quasi-iso}\}^{-1}]$

Note that $D(\mathcal{A})$ is an additive category:

GET NOTES FROM JOEL

Triangulated categories (Δ -cat)

Definition 0.26. Let \mathcal{D} be an additive category. A structure of Δ -cat on \mathcal{D} is data a)&b) satisfying $TR1 - TR4$:

- a) Additive autoequivalence $T : \mathcal{D} \rightarrow \mathcal{D}$ called the translation ($X[n] \stackrel{\text{def}}{=} T^n(x)$, $f[n] \stackrel{\text{def}}{=} T^n(f)$)

Say a triangle in \mathcal{D} is $X \xrightarrow{u} Y \xrightarrow{v} Z \xrightarrow{w} X[1]$ and a morphism of triangles

$$\begin{array}{ccccccc} X & \longrightarrow & Y & \longrightarrow & Z & \longrightarrow & X[1] \\ \downarrow f & & \downarrow g & & \downarrow k & & \downarrow f(1) \\ X' & \longrightarrow & Y' & \longrightarrow & Z' & \longrightarrow & X'[1] \end{array}$$

b) A class of distinguished triangles ($d - \triangle$)

TR1

- (a) $X \xrightarrow{\text{Id}_X} X \longrightarrow 0 \longrightarrow X[1]$ is $d - \triangle$
- (b) Any \triangle iso to a $d - \triangle$ is a $d - \triangle$
- (c) Any morphism $X \xrightarrow{u} Y$ can be completed to a $d - \triangle$

TR2

$X \xrightarrow{u} Y \xrightarrow{v} Z \xrightarrow{w} X[1]$ is a $d - \triangle$ iff $Y \xrightarrow{v} Z \xrightarrow{w} X[1] \xrightarrow{-u(1)} Y[1]$ is a $d - \triangle$

TR3

Assume we have two $d - \triangle$'s and f, g :

$$\begin{array}{ccccccc} X & \longrightarrow & Y & \longrightarrow & Z & \longrightarrow & X[1] \\ \downarrow f & & \downarrow g & & \downarrow \exists h & & \downarrow f(1) \\ X' & \longrightarrow & Y' & \longrightarrow & Z' & \longrightarrow & X'[1] \end{array}$$

Then there exists h , not necessarily unique, which makes this a morphism of $d - \triangle$

TR4 “Octahedron”

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