

# Lecture 1, 4/3/23

We will begin thinking about homological algebra in a more categorical way. We use "methods of homological algebra," by Gelfond-Manin (Gelfond & Manin?). Here is a brief overview of some things we will see during the course:

- Additive and Abelian categories
- Example: category of sheaves of Abelian groups on a topological space
- Derived category (objects: complexes of objects in a given Abelian category, morphisms: a quasi-isomorphism of complexes becomes an isomorphism of objects)
- Derived functors,  $f_*$ ,  $f^*$ ,  $f_!$ ,  $f^!$ ,  $\mathbb{D}$ ,  $\cdots$ ,  $\text{Hom}$  for sheaves
- Triangulated categories
- $t$ -structures
- Examples: perverse sheaves

Let's remind ourselves of some definitions:

## Additive & Abelian categories

**Example 0.1.** (a)  $\mathbf{Ab}$ , the category of Abelian groups with group homomorphisms.

(b)  $R - \text{Mod}$ , the category of  $R$ -modules, with  $R$ -module homomorphisms as morphisms.

(c)  $\mathbf{SAb}$ ,  $\mathbf{PAb}$ , the categories of sheaves of Abelian groups and presheaves of Abelian groups

(d) Sheaves of modules over a ringed space

(e) (quasi)-coherent sheaves (ask Zhao)

**Definition 0.1.** An Abelian category contains the following information:

1. Any hom-set  $\text{Hom}_{\mathcal{C}}(X, Y)$  is an Abelian group  $(+)$ , and the composition of morphisms is bi-additive

In particular:

- $\text{Hom}_{\mathcal{C}}$  is a functor  $\mathcal{C}^{\circ} \times \mathcal{C} \rightarrow \mathbf{Ab}$ . We notate the first factor with the  $\circ$

- $0 \in \text{Hom}_{\mathcal{C}}(X, Y)$  for any objects  $X, Y$  of  $\mathcal{C}$
2. There exists a zero object  $0 \in \mathcal{C}$ , that is an object such that  $\text{Hom}_{\mathcal{C}}(0, 0) = 0$ .

This gives:  $\text{Hom}_{\mathcal{C}}(0, X) = 0$ ,  $\text{Hom}_{\mathcal{C}}(X, 0) = 0$  for all objects  $X$  of  $\mathcal{C}$ .

We know that  $\text{Hom}_{\mathcal{C}}(0, 0)$  consists of one object. In particular, it must be  $\text{Id}_0 = 0$ . So

$$(0 \xrightarrow{f} X) = (0 \xrightarrow{\text{Id}_0} 0 \xrightarrow{f} X) = (0 \xrightarrow{0} X)$$

Any two zero objects are isomorphic.

3. For any  $X_1, X_2 \in \mathcal{C}$ , there exists an object  $Y$  and morphisms

$$\begin{array}{ccccc} X_1 & \xrightarrow{i_1} & Y & \xleftarrow{i_2} & X_2 \\ & \xleftarrow{p_1} & & \xrightarrow{p_2} & \\ & & Y & & \end{array}$$

such that

$$\begin{aligned} p_1 i_1 &= \text{Id}_{X_1} \\ p_2 i_2 &= \text{Id}_{X_2} \\ i_1 p_1 + i_2 p_2 &= \text{Id}_Y \\ p_2 i_1 &= p_1 i_2 = 0 \end{aligned}$$

**Lemma 1.** *We have cartesian diagram*

$$\begin{array}{ccccc} Y' & & & & \\ & \searrow^{p'_1} & & & \\ & & Y & \xrightarrow{p_1} & X_1 \\ & \searrow^{p'_2} & \downarrow p_2 & & \downarrow \\ & & X_2 & \longrightarrow & 0 \end{array}$$

That is, for any  $Y'$ , with morphisms  $p'_1, p'_2$  as in the diagram, there is a morphism from  $Y'$  to  $Y$  making the diagram commute. Similarly, we have co-cartesian diagram

$$\begin{array}{ccc} Y & \xleftarrow{i_1} & X_1 \\ \uparrow i_2 & & \uparrow \\ X_2 & \xleftarrow{\quad} & 0 \end{array}$$

*Proof.* We need to construct  $\varphi : Y' \rightarrow Y$  such that  $p'_1 = p_1\varphi$  and  $p'_2 = p_2\varphi$

Take  $\varphi = i_1p'_1 + i_2p'_2$ . Then

$$p_1 \circ \varphi = \underbrace{p_1 i_1}_{=\text{Id}_{X_1}} p'_1 + \underbrace{p_1 i_2}_{=0} p'_2 = p'_1$$

The uniqueness of  $\varphi$  can be verified as an exercise

■

**Definition 0.2.** An additive category is one which satisfies only the first three of these axioms

To state the 4th axiom of Abelian categories, we need more notations:

**Definition 0.3.** Let  $A_1, A_2$  be objects of  $\mathcal{C}$ , and let  $\varphi : X \rightarrow Y$ .

1. A kernel of  $\varphi$  is a morphism  $i : Z \rightarrow X$  such that

- (a)  $\varphi \circ i = 0$
- (b) For all  $i' : Z' \rightarrow X$  such that  $\varphi \circ i' = 0$ , there is a unique  $g : Z' \rightarrow Z$  such that  $i' = i \circ g$ .

$$\begin{array}{ccccc} & & Z' & & \\ & \swarrow g & \downarrow i' & \searrow 0 & \\ Z & \xrightarrow{i} & X & \xrightarrow{\varphi} & Y \end{array}$$

2. A cokernel is a kernel but with the arrows reversed

Exercise: Verify that 1 is equivalent to the following: for all  $Z' \in \mathcal{C}$ ,

$$0 \longrightarrow \text{Hom}(Z', Z) \xrightarrow{i_*} \text{Hom}(Z', X) \xrightarrow{\varphi_*} \text{Hom}(Z', Y)$$

is exact, and similarly for cokernel

With all that, we are ready for:

For any  $\varphi : X \rightarrow Y$ , there exists a sequence of morphisms

$$K \xrightarrow{k} X \xrightarrow{i} I \xrightarrow{j} Y \xrightarrow{c} K'$$

such that

- (a)  $j \circ i = \varphi$
- (b)  $k = \ker \varphi, k' = \text{coker } \varphi$
- (c)  $I = \text{coker } k = \ker c$

This finishes the definition

## Lecture 2, 4/5/23

### Sheaves

Here are some examples of sheaves from complex analysis

#### Example 0.2.

- (a) The set of holomorphic functions on  $\mathbb{P} = \mathbb{C} \cup \{\infty\}$

For each open subset  $U$  of  $\mathbb{P}$ , we can consider the ring of holomorphic function  $f : U \rightarrow \mathbb{C}$ ,  $\mathcal{H}(U)$ .

The collection of  $\{(f, V) \mid V \text{ open}, f \in \mathcal{H}(V)\}$  is called the sheaf  $\mathcal{O}$  of holomorphic functions on  $\mathbb{P}$ .

- (b) The sheaf of solutions of a linear ODE

Let  $U \subseteq \mathbb{P}$  be open, and let  $a_i(z) \in \Gamma(U, \mathcal{O})$  (in this context this will wind up meaning  $\mathcal{H}(U)$ ),  $i = 0, 1, \dots, n-1$ .

Denote by  $S$  the collection of  $(V, f)$  such that  $V \subseteq U$  is open, and  $f$  is holomorphic in  $V$  such that

$$Lf \stackrel{\text{def}}{=} \frac{d^n f}{dz^n} + \sum_{i=0}^{n-1} a_i(z) \frac{d^i f}{dz^i} = 0$$

Let  $\Gamma(V) = \{f \in \mathcal{H}(V) \mid Lf = 0\}$ . When  $V$  is connected and simply connected, it is a basic result of ODEs that  $\Gamma(V) \cong \mathbb{C}^n$

In general, it may have to do with the topology of  $V$ . For example, if  $U = \mathbb{C} \setminus \{0\}$ ,  $L = \frac{d^2}{dz^2} + \frac{1}{z} \frac{d}{dz}$ , the solutions are  $c_1 \log(z) + c_2$  for any “branch” of  $\log(z)$ , but  $\Gamma(V) = \{\text{constant}\}$ . This is related to the Riemann-Hilbert correspondence (whatever that is)

#### Definition 0.4.

- (a) A presheaf of sets  $\mathcal{F}$  on a topological space  $Y$  consists of the following data:

- A set  $\mathcal{F}(U)$  for any open  $U \subseteq Y$
- For any open  $V \subseteq U$ , a (restriction) map  $\gamma_{U,V} : \mathcal{F}(U) \rightarrow \mathcal{F}(V)$  such that  $\gamma_{V,V} = \text{Id}_{\mathcal{F}(V)}$ , and if  $W \subseteq V \subseteq U$ , then  $\gamma_{V,W} \circ \gamma_{U,V} = \gamma_{U,W}$ . When there is ambiguity about which sheaf  $\gamma$  belongs to, we further specify with  $\gamma^{\mathcal{F}}$

- (b) A presheaf  $\mathcal{F}$  is a sheaf if:

- For any open covering  $U = \cup_{i \in I} U_i$  and  $s_i \in \mathcal{F}(U_i)$  such that for all  $i, j \in I$ ,

$$\gamma_{U_i, U_i \cap U_j}(s_i) = \gamma_{U_j, U_i \cap U_j}(s_j)$$

then there exists a unique  $s \in \mathcal{F}(U)$  such that  $s_i = \gamma_{U, U_i}(s)$  for all  $i$ .

- (c) A morphism of presheaves  $f : \mathcal{F} \rightarrow \mathcal{G}$  is a family of maps  $f(U) : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$  for all open  $U \subseteq Y$ , such that for all open  $V \subseteq U$ , the diagram

$$\begin{array}{ccc} \mathcal{F}(U) & \xrightarrow{f(U)} & \mathcal{G}(U) \\ \gamma_{U,V}^{\mathcal{F}} \downarrow & & \downarrow \gamma_{U,V}^{\mathcal{G}} \\ \mathcal{F}(V) & \xrightarrow{f(V)} & \mathcal{G}(V) \end{array}$$

commutes.

A morphism of sheaves is a morphism of the underlying presheaves

A presheaf  $\mathcal{F}$  of groups/rings/ $\mathbb{F}$ -vector spaces is a presheaf such that  $\mathcal{F}(U)$  is a group/ring/ $\mathbb{F}$ -vector space. Then  $\gamma_{U,V}$  is a morphism of groups/rings/ $\mathbb{F}$ -vector spaces.

Let  $\mathcal{F}, \mathcal{G}$  be two Abelian presheaves (meaning presheaves of Abelian groups), and let  $f : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of Abelian presheaves.

Let  $K(U) = \ker(f(U))$ ,  $C(U) = \text{coker}(f(U))$  with natural restrictions.

**Definition 0.5.** A sequence of presheaves

$$\mathcal{F} \xrightarrow{f} \mathcal{G} \xrightarrow{g} \mathcal{H}$$

is exact if, for all open  $U$ ,

$$\mathcal{F}(U) \xrightarrow{f(U)} \mathcal{G}(U) \xrightarrow{g(U)} \mathcal{H}(U)$$

is exact.

So, presheaves of Abelian groups, with morphisms of Abelian presheaves, is an Abelian category.

How about Abelian sheaves?

Let  $f : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of Abelian sheaves. Once again, let  $K(U) = \ker(f(U))$ ,  $C(U) = \text{coker}(f(U)) = \frac{\mathcal{G}(U)}{f(U)(\mathcal{F}(U))}$ .

**Proposition 1.**

(a) The kernel  $K$  is an Abelian sheaf

(b) The cokernel  $C$  is always a presheaf, but might not be a sheaf.

## Lecture 3, 4/7/23

*Proof.*

- (a) Let  $U = \bigcup U_i$ ,  $s_i \in K(U_i)$  agree on pairwise intersections. As  $K(U_i) \hookrightarrow \mathcal{F}(U_i)$ , there exists a unique  $s \in \mathcal{F}(U)$  such that  $s|_{U_i} = s_i \in \mathcal{F}(U_i)$  for all  $i$ .

Note that  $f(s)|_{U_i} = f(s|_{U_i}) = 0$ , so  $f(s) = 0 \in \mathcal{G}(U)$ . Here, we are using the uniqueness of gluing in  $\mathcal{G}$ .

Then  $s \in K(U)$

- (b) First, we give an example of a cokernel which is a presheaf, but not a sheaf. Let  $Y = \mathbb{C} \setminus \{0\}$ ,  $f : \mathcal{O}_Y \rightarrow \mathcal{O}_Y$  given by  $\varphi \mapsto \frac{d\varphi}{d\zeta}$ .

- For any  $y \in Y$ , there exists a neighborhood  $V_y \ni y$  such that  $\text{coker } f(V_y) = 0$ . That is, for every  $f \in \mathcal{H}(V_y)$ , there is a  $g \in \mathcal{H}(V_y)$  so that  $\frac{dg}{d\zeta} = f$  in  $V_y$  (every point admits a simply connected neighborhood)
- However,  $\text{coker } f(Y) \cong \mathbb{C}$ :  $\Psi = \sum_{i=-\infty}^{\infty} a_i \zeta^i = \frac{d\varphi}{d\zeta}$  has a solution iff  $a_{-1} = 0$ . This is because  $\frac{1}{z}$  is defined on  $Y$ . (keyword: vanishing cycle and v-filtration).

Hence this violates the uniqueness: any  $\bar{\Psi} \in \text{coker } f(Y)$  restricts to  $0 \in \text{coker } f(V_y)$ . However, the  $V_y$  cover  $Y$ . So, we have an open cover, with sections of each, which agree on intersections, but which do not have a unique global section to which they all glue. So, this is not a sheaf.

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## Sheafification

Denote by  $\text{SAb}$  the additive category of abelian sheaves on a fixed topological space  $M$ . We have the inclusion functor  $\iota : \text{SAb} \rightarrow \text{PAb}$ .

**Proposition 2.**  $\iota$  admits a left adjoint  $s : \text{PAb} \rightarrow \text{SAb}$ , i.e.

$$\text{Hom}_{\text{SAb}}(sX, Y) \cong \text{Hom}_{\text{PAb}}(X, \iota Y)$$

and this isomorphism is natural in both  $X$  and  $Y$ .

*Proof.* Let

$$s(X)(U) \stackrel{\text{def}}{=} \frac{\{ \{(U_i, e_i)\}_{i \in I} \mid U = \bigcup_{i \in I} U_i, e_i \in X(U_i), e_i|_{U_i \cap U_j} = e_j|_{U_i \cap U_j} \}}{\sim}$$

Where  $\{(U_i, e_i)\} \sim \{(U'_j, e_j)\}$  if there exists  $\{U''_k\}$  refining  $\{U_i\}, \{U'_j\}$  and  $e_i|_{U''_k} = e'_j|_{U''_k}$  for  $U''_k \subset U_i \cap U'_j$ .

Define  $\gamma_{U,V} : sX(U) \rightarrow sX(V)$ , for  $V \subseteq U$ , by

$$\gamma_{U,V}[\{(U_i, e_i)\}] = [\{(U_i \cap V, e_i|_{U_i \cap V})\}]$$

There is a lot to verify; see [GM] 2.5.13

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**Example 0.3.** For coker  $f$  from previous example, now  $[\bar{\Psi}] = [0]$ , since, when we restrict to  $V_y$ ,  $\bar{\Psi}$  becomes 0. So  $\text{coker } f = 0$

With this modification, SAb is an abelian category!

**Proposition 3.** Let  $\varphi : X \rightarrow Y$  be a morphism of abelian sheaves, and let

$$K \xrightarrow{k} \iota X \xrightarrow{i} I \xrightarrow{j} \iota Y \xrightarrow{c} K'$$

be the canonical decomposition of  $\iota(\varphi)$  in (the abelian category!) PAb. Then

$$\underbrace{sK}_{=K} \xrightarrow{sk} \underbrace{X}_{=s\iota X} \xrightarrow{si} sI \xrightarrow{sj} \underbrace{Y}_{=s\iota Y} \xrightarrow{sc} sK'$$

is the canonical decomposition of  $\varphi$  in SAb. In particular, SAb is an abelian category.

*Proof.* We'll just verify that  $sK'$  is indeed the cokernel:

Let  $Z \in \text{Ob SAb}$ . Then there exists an exact sequence

$$0 \longrightarrow \text{Hom}_{\text{PAb}}(K', \iota Z) \longrightarrow \text{Hom}_{\text{PAb}}(Y, \iota Z) \longrightarrow \text{Hom}_{\text{PAb}}(X, \iota Z) \longrightarrow 0$$

(this is equivalent to saying  $K'$  is  $\text{coker } \varphi$  in PAb). By adjunction,

$$0 \longrightarrow \text{Hom}_{\text{SAb}}(sK', Z) \longrightarrow \text{Hom}_{\text{SAb}}(\underbrace{sY}_{=Y}, Z) \longrightarrow \text{Hom}_{\text{SAb}}(sX, Z) \longrightarrow 0$$

is exact. Other parts are exercises

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**Definition 0.6.** Let  $A$  be an abelian group,  $Y$  a topological space.

- (a) The constant presheaf  $\mathbb{A}$  on  $Y$  is  $\mathbb{A}(U) = A$  for all open  $U \subseteq Y$ , and  $\gamma_{U,V} = \text{Id}_A$  for any open  $V \subseteq U$ .
- (b) The constant sheaf  $\mathcal{A}$  on  $Y$  is  $s\mathbb{A}$ .  
(Check: for connected  $U$ ,  $\mathcal{A}(U) = A$ )

- (c) A sheaf  $\mathcal{F}$  is locally constant if any point has a neighborhood  $U$  such that  $\mathcal{F}|_U$  is a constant sheaf. (for open  $V \subset U \subset Y$ ,  $\mathcal{F}|_U(V) \stackrel{\text{def}}{=} \mathcal{F}(V)$ ) (keyword: representation of  $\pi_1$  and local systems)

## Germ and stalks

**Definition 0.7.** The stalk of a (pre)sheaf at a point  $y$  is

$$\mathcal{F}_y \stackrel{\text{def}}{=} \varinjlim_{V \ni y} \mathcal{F}(V)$$

with the limit taken with respect to inclusion.

Concretely,  $\mathcal{F}_y \stackrel{\text{def}}{=} \frac{\{(s, V) | y \in V, s \in \mathcal{F}(V)\}}{\sim}$ , where  $(s, V) \sim (s', V')$  if there exists a  $W \subseteq V \cap V'$  such that  $\gamma_{V, W}(s) = \gamma_{V', W}(s')$ .

Such an equivalence class is called a germ

Remark:[GM, I.5.5, I.5.6]

If we have an exact sequence of sheaves

$$0 \longrightarrow \mathcal{F}' \longrightarrow \mathcal{F} \longrightarrow \mathcal{F}'' \longrightarrow 0$$

if and only if for any  $y \in Y$ ,

$$0 \longrightarrow \mathcal{F}'_y \longrightarrow \mathcal{F}_y \longrightarrow \mathcal{F}''_y \longrightarrow 0$$

is exact. This can be verified as an extremely important exercise.

**Definition 0.8.** For  $s \in \mathcal{F}(U)$ , the support of  $s$ ,  $\text{supp } s$ , is the closure of the set of points at which the germ of  $s$  is not zero.

Remark:

In the definition of a stalk, we can replace a point  $y$  by a closed subset  $Z$  of  $Y$ .

## Lecture 4, 4/10/23

### Functors in abelian categories

**Definition 0.9.**

- (a) Let  $\mathcal{C}, \mathcal{C}'$  be additive categories. A functor  $F : \mathcal{C} \rightarrow \mathcal{C}'$  is an additive functor if all maps  $F : \text{Hom}_{\mathcal{C}}(X, Y) \rightarrow \text{Hom}_{\mathcal{C}'}(FX, FY)$  is a homomorphism of abelian groups.



(b) A complex in  $\mathcal{C}$  is a sequence

$$X^\cdot : \cdots \xrightarrow{d^{n-1}} X^n \xrightarrow{d^n} X^{n+1} \xrightarrow{d^{n+1}} \cdots$$

with  $d^n \circ d^{n-1} = 0$  for all  $n$

(c) Now assume  $\mathcal{C}, \mathcal{C}'$  are abelian categories. If we have a complex, then, because  $d^n \circ d^{n+1} = 0$ , the universal properties of the kernel and cokernel (as well as their existence, which is guaranteed because we are in an abelian category), guarantee us unique maps  $a^n, b^{n+1}$  making the diagram commute:

$$\begin{array}{ccccc}
 & & \text{coker } d^n & & \\
 & & \uparrow & \searrow^{b^{n+1}} & \\
 X^n & \xrightarrow{d^n} & X^{n+1} & \xrightarrow{d^{n+1}} & X^{n+2} \\
 & \searrow_{a^n} & \uparrow & & \\
 & & \text{ker } d^{n+1} & & 
 \end{array}$$

The  $(n+1)$ -cohomology of  $X^\cdot$  is

$$H^{n+1}(X^\cdot) \stackrel{\text{def}}{=} \text{coker } a^n = \text{ker } b^{n+1}$$

this equality can be verified as an exercise..

(d)  $X^\cdot$  is acyclic at  $X^b$  if  $H^n(X^\cdot) = 0$ .

(e)  $X^\cdot$  is exact/acyclic if it is acyclic at  $X^n$  for all  $n$

(f) An additive functor  $F : \mathcal{C} \rightarrow \mathcal{C}'$  is exact if it sends a short exact sequence

$$0 \longrightarrow X \longrightarrow Y \longrightarrow Z \longrightarrow 0$$

to a short exact sequence

$$0 \longrightarrow FX \longrightarrow FY \longrightarrow FZ \longrightarrow 0$$

It is left exact if

$$0 \longrightarrow FX \longrightarrow FY \longrightarrow FZ$$

is exact, and right exact if

$$FX \longrightarrow FY \longrightarrow FZ \longrightarrow 0$$

is exact.

**Example 0.4.** Let  $\mathcal{C}$  be an abelian category, and consider  $\text{Hom}_{\mathcal{C}}(Y, -) : \mathcal{C} \rightarrow \mathbf{Ab}$  and  $\text{Hom}_{\mathcal{C}}(-, Y) : \mathcal{C}^{\circ} \rightarrow \mathbf{Ab}$ , where  $\mathcal{C}^{\circ}$  means the opposite category of  $\mathcal{C}$  (this is just saying this functor is contravariant). These two morphisms are both left-exact.

**Example 0.5.** For a fixed ring  $R$ , consider  $R\text{-Mod}$ , the category of left  $R$ -modules, and  $Y$  a right  $R$ -module (so an object of  $\text{Mod-}R$ ). Then we have a functor

$$Y \otimes_R : R\text{-Mod} \rightarrow \mathbf{Ab}$$

which is right-exact.

**Proposition 4.** *Let  $X$  be a topological space, and fix an open set  $U \subseteq X$ . Consider  $\mathbf{SAb}$ , the category of abelian sheaves on  $X$ . The functor  $\mathbf{SAb} \rightarrow \mathbf{Ab}$  given by  $\mathcal{F} \mapsto \mathcal{F}(U)$  is an additive functor which is left exact.*

*Proof.* Let  $\iota : \mathbf{SAb} \rightarrow \mathbf{PAb}$  be the inclusion of sheaves into presheaves. This is left exact, which follows from the fact that the kernel of a morphism of sheaves is again a sheaf. The kernel doesn't need sheafification! Now  $\mathbf{PAb} \rightarrow \mathbf{Ab} : \mathcal{F} \mapsto \mathcal{F}(U)$  is exact by definition. The composition of a left exact and an exact functor is left exact, so we are done. ■

From now on, we will always be working in an abelian category unless otherwise stated.

**Definition 0.10.**

- (a) An object  $Y$  is projective if  $\text{Hom}_{\mathcal{C}}(Y, -)$  is exact.
- (b) An object  $Y$  is injective if  $\text{Hom}_{\mathcal{C}}(-, Y)$  is exact
- (c) A right module- $R$   $Y$  is flat if  $Y \otimes_R -$  is exact.

## Direct images

**Definition 0.11.** Let  $f : M \rightarrow N$  be a continuous map of topological spaces, and let  $\mathcal{F}$  be a sheaf on  $M$ . The direct image  $f_{\star}\mathcal{F}$  (written as  $f.\mathcal{F}$  in Gelfond-Manin) is defined as follows.

For any open  $U \subseteq N$ ,  $f^{-1}(U)$  is open in  $M$ . So we simply define

$$f_{\star}\mathcal{F}(U) \stackrel{\text{def}}{=} \mathcal{F}(f^{-1}(U))$$

and restriction for  $V \subseteq U$  induced from  $\gamma_{f^{-1}(U), f^{-1}(V)}$

Exercise: verify that this is indeed a sheaf!

**Proposition 5.**

(a) Let  $f : M \rightarrow \{1\}$  be the constant map. Then  $f_*\mathcal{F} = \Gamma(M, \mathcal{F}) = \mathcal{F}(M)$ .

(b) Let  $i : M \rightarrow N$  be the inclusion of a closed subspace  $M$  of  $N$ . Then

$$(i_*\mathcal{F})_x = \begin{cases} \mathcal{F}_x & x \in M \\ 0 & x \notin M \end{cases}$$

Some call this an “extension by zero.” (If  $i : M \hookrightarrow N$  is the inclusion of an open subset  $M$  of  $N$ , then  $i_*\mathcal{F}$  may have nonzero stalk at  $x \in N \setminus M$ . Prove this as an exercise!)

(c)  $f_* : \mathbf{SAb}(M) \rightarrow \mathbf{SAb}(N)$  is a functor,  $(fg)_* = f_*g_*$

*Proof.* ■

## Lecture 5, 4/12/23

*Inverse image.* Let  $f : M \rightarrow N$  be continuous.

**Definition 0.12.** For  $\mathcal{F} \in \mathbf{SAb}_N$ , first define  $f_p^*\mathcal{F}$  as a presheaf:

$$U \mapsto \mathcal{F}(f(U)) \stackrel{\text{def}}{=} \varinjlim_{N \supset V \supset f(U)} \mathcal{F}(V)$$

where  $V$  is open. Then take  $f^*\mathcal{F} \stackrel{\text{def}}{=} s(f_p^*\mathcal{F})$

Exercise: for all  $x \in M$ ,  $(f^*\mathcal{F})_x = \mathcal{F}_{f(x)}$

**Proposition 6.** We have an adjunction  $f^* \dashv f_*$ ,

$$\mathrm{Hom}_{\mathbf{SAb}_M}(f^*\mathcal{F}, \mathcal{G}) \cong \mathrm{Hom}_{\mathbf{SAb}_N}(\mathcal{F}, f_*\mathcal{G})$$

*Proof.* We know  $s \dashv \iota$  by construction. So, we need only show

$$\mathrm{Hom}_{\mathbf{PAb}_M}(f_p^*\mathcal{F}, \mathcal{G}) \cong \mathrm{Hom}_{\mathbf{PAb}_N}(\mathcal{F}, f_*\mathcal{G})$$

We establish a functorial morphism:  $\mathcal{F} \rightarrow f_*f_p^*\mathcal{F}$ .

Let  $\mathcal{G} = f_p^*\mathcal{F}$ ,

$$\mathrm{Hom}(f_p^*\mathcal{F}, f_p^*\mathcal{F}) \cong \mathrm{Hom}(\mathcal{F}, f_*f_p^*\mathcal{F})$$

Note: for  $V \subseteq N$  open,  $\mathcal{F}(V) \rightarrow f_p^*(f^{-1}(V))$

But  $V$  is open in  $N$  containing  $f(f^{-1}(V))$ . So we have restriction

????????????

Exercise: Check morphism of presheaves.

This is compatible with restrictions and gives us a presheaf morphism  $i_{\mathcal{F}} : \mathcal{F} \rightarrow f_{\star} f_p^{\star} \mathcal{F}$ .

This induces the isomorphism in the statement.

$$(\psi : f_p^{\star} \mathcal{F} \rightarrow \mathcal{G}) \longrightarrow ((f_{\star} \psi) \circ i_{\mathcal{F}} : \mathcal{F} \rightarrow f_{\star} \mathcal{G})$$

The other direction uses  $f_p^{\star} f_{\star} \mathcal{G} \rightarrow \mathcal{G}$

Exercise: construct this and check inverse

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**Proposition 7.** *Let  $\mathcal{C}, \mathcal{D}$  be Abelian categories. Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{C}$  be adjoint functors,  $F \dashv G$ .*

**Theorem 0.1.**  *$F$  is right exact, and  $G$  is left exact*

*Proof.* We will just check  $G$  is left exact.

Let  $0 \longrightarrow Y' \xrightarrow{f} Y \xrightarrow{g} Y'' \longrightarrow 0$  be a short exact sequence.

Apply the left exact functor  $\text{Hom}_{\mathcal{D}}(Fx, -)$  for all  $x \in \mathcal{C}$ . We have the diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & \text{Hom}_{\mathcal{D}} & \longrightarrow & \text{Hom}_{\mathcal{D}}(FX, Y') & \longrightarrow & \text{Hom}_{\mathcal{D}}(FX, Y'') \\ & & \parallel & & \parallel & & \parallel \\ 0 & \longrightarrow & \text{Hom}_{\mathcal{C}}(X, GY') & \longrightarrow & \text{Hom}_{\mathcal{C}}(X, GY) & \longrightarrow & \text{Hom}_{\mathcal{C}}(X, GY'') \end{array}$$

This is exact for all  $X \in \mathcal{C}$  iff  $0 \longrightarrow GY' \longrightarrow GY \longrightarrow GY''$  is exact

**Proposition 8.** *In  $\text{SAb}$ ,  $f_{\star}$  is left exact,  $f^{\star}$  is exact.*

*Proof.* By exercise,  $f^{\star}$  is exact on stalks.

## Direct images with compact support

GM, III8.7– > 8.10

All topological spaces are assumed to be locally compact and first countable, meaning every point has a countable neighborhood basis.

Recall: A morphism of topological spaces is proper if the preimage of compact sets are compact.

**Definition 0.13.** Let  $f : X \rightarrow Y$ ,  $\mathcal{F}$  a sheaf on  $X$ . Let  $U \subseteq Y$  be open. We define

$$F_! \mathcal{F}(U) = \{s \in \Gamma(f^{-1}(U), \mathcal{F}) \mid f : \text{supp}(s) \rightarrow U \text{ is proper} \}$$

**Definition 0.14.** Let  $s \in \Gamma(V, \mathcal{G})$ . Then  $\text{supp}(s) = \overline{\{x \in V \mid \bar{s} \neq 0 \in \mathcal{G}_x\}}$ ,  $\Gamma(V, \mathcal{G}) \rightarrow \mathcal{G}$ ,  $s \mapsto \bar{s}$

**Lemma 2.**

(a)  $f_! \mathcal{F}$  is a subsheaf of  $f_* \mathcal{F}$

(b)  $f_!$  is a left exact functor.

*Proof.* ■

## Lecture 6, 4/14/23

Let  $f : X \rightarrow Y$  be continuous, and  $\mathcal{F}$  be a sheaf on  $X$ . Recall the definition of  $f_! \mathcal{F}$  :

$$f_! \mathcal{F}(U) = \{s \in \underbrace{\Gamma(f^{-1}(U), \mathcal{F})}_{=f_* \mathcal{F}(U)} \mid f : \text{supp}(s) \rightarrow U \text{ is proper} \}$$

where  $\text{supp}(s)$  is the closure of the set of points where  $\bar{s}$ , the germ of  $s$ ,  $\bar{s}$ , is not zero.

**Theorem 0.2.**

(a)  $f_! \mathcal{F}$  is a subsheaf of  $f_* \mathcal{F}$ .

(b)  $f_!$  is a left exact functor "direct image with compact support"

*Proof.*

(a)  $f_! \mathcal{F}$  is clearly a subpresheaf of  $f_* \mathcal{F}$ . Any set of compatible sections of  $f_! \mathcal{F}$  glue uniquely to a section of  $f_* \mathcal{F}$ . This comes down to a topological statement.

Exercise: For  $(U_i)$  open subsets of  $Y$ ,  $f_i : V_i \rightarrow U_i$  is proper, then  $f : \cup V_i \rightarrow \cup U_i$  is proper.

$$f^{-1}(K) = \cup f_i^{-1}(V_i \cap K)$$

(b)

■

## Sections with compact support

Consider the special case  $f : X \rightarrow \{1\}$ , the one point space. Then  $f_! \mathcal{F}$  is the set of sections  $s \in \mathcal{F}(X)$  such that  $\text{supp}(s)$  is compact.

Denote this by  $\Gamma_c(X, \mathcal{F})$

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ \uparrow i & & \uparrow \\ f^{-1}(y) & \longrightarrow & y \end{array}$$

**Proposition 9.** *The stalk of  $f_!\mathcal{F}$  at  $y \in Y$  is isomorphic to*

$$\Gamma_C(f^{-1}(y), \underbrace{\mathcal{F}|_{f^{-1}(y)}}_{=i^*\mathcal{F}})$$

*Proof.* First construct  $\varphi : (f_!\mathcal{F})_y \rightarrow \Gamma_c(f^{-1}(y), \mathcal{F}|_{f^{-1}(y)})$ .

Let  $s \in f_!\mathcal{F}_y$  and choose a representative  $\tilde{s} \in \Gamma(f^{-1}(U), \mathcal{F})$  with  $U$  an open neighborhood of  $y$ , and  $\text{supp } \tilde{s} \rightarrow U$  proper.

Then:  $\tilde{s}|_{f^{-1}(y)}$  is in  $\Gamma_c(f^{-1}(y), \underbrace{\mathcal{F}|_{f^{-1}(y)}}_{=i^*\mathcal{F}})$

Exercise:  $\varphi(s) \stackrel{\text{def}}{=} \tilde{s}|_{f^{-1}(y)}$  only depends on  $s$ .

We now show  $\varphi$  is injective. Suppose  $\varphi(s) = 0$ . Then  $\text{supp}(\tilde{s}) \cap f^{-1}(y) = \emptyset$ . So  $y \notin f(\text{supp } \tilde{s})$ . But  $f(\text{supp } \tilde{s})$  is closed (proper + locally compact)

So  $s = 0$ .

To show  $\varphi$  is surjective: choose a local basis  $V_i \ni y$  with  $\cap V_i = y$ . Then  $f^{-1}(y) = \cap f^{-1}(U_i)$

Exercise:

Locally compact implies  $\Gamma_c(f^{-1}(y), \mathcal{F}|_{f^{-1}(y)}) = \lim_{\rightarrow} A_i$  where

$$A_i = \{t \in \Gamma(f^{-1}(U_i), \mathcal{F}) \mid \text{supp } t = K \cap f^{-1}(U_i) \text{ for some compact } K \subseteq X\}$$

■

**Example 0.6. 1.** Let  $i : U \hookrightarrow X$  be open,  $\mathcal{F}$  a sheaf on  $U$ .

$$(i_!\mathcal{F})_x = \begin{cases} \mathcal{F}_x, & x \in U \\ 0, & x \in U^c \end{cases}$$

“extend by 0”

**2.**  $j : V \rightarrow X$  proper (in particular, closed embedding),  $j_!\mathcal{G} = j_*\mathcal{G}$ .

## Derived Categories via Localizations

**Definition 0.15.** Let  $f : K^\bullet \rightarrow L^\bullet$  be a morphism of complexes in an Abelian category  $\mathcal{A}$ .  $f$  is a quasi-isomorphism if the induced morphism  $H^n(f) : H^n(K^\bullet) \rightarrow H^n(L^\bullet)$  is an isomorphism for all  $n$ .

**Definition 0.16.** Let  $\mathcal{A}$  be an Abelian category,  $\text{Kom}(\mathcal{A})$  the category of complexes in  $\mathcal{A}$ . The derived category of  $\mathcal{A}$  is a category  $D(\mathcal{A})$  and a functor  $Q : \text{Kom}(\mathcal{A}) \rightarrow D(\mathcal{A})$  such that

(a)  $Q(f)$  is an isomorphism for any quasi-isomorphism  $f$ .

- (b)  $Q$  is universal in the following sense. Suppose that  $g : \mathcal{A} \rightarrow \mathcal{D}$  is a functor such that  $g(f)$  is an isomorphism for any quasi-isomorphism  $f$ . Then there is a unique functor  $\bar{f} : D(\mathcal{A}) \rightarrow \mathcal{D}$ , making the diagram commute:

$$\begin{array}{ccc} \mathrm{Kom}(\mathcal{A}) & \xrightarrow{f} & \mathcal{D} \\ & \searrow Q \quad \nearrow \bar{f} & \\ & D(\mathcal{A}) & \end{array}$$

**Theorem 0.3.** *Every abelian category admits a derived category.*