

Lecture 1, 4/3/23

Definition 0.1. A field extension $F \subseteq K$ is a field F , which is a subfield of a larger field K .

One way to keep track of how these are related is the degree, $[K : F]$. This is the dimension of K as a vector space over F .

If this degree is $< \infty$, then we refer to this as a finite extension (we of course do not mean that they are finite as sets)

If $S \subseteq K$, then $F(S)$ is the subfield of K given by $F \cup S$.

$F[S]$ is the sub-ring of K generated by $F \cup S$. These are different in general!

If $S = \{a_1, \dots, a_n\}$, we use $F(a_1, \dots, a_n)$ and $F[a_1, \dots, a_n]$ to denote $F(S)/F[S]$.

If the extension has the form $F[a]$ for some element a , then this is called a simple extension.

Here, a is called a primitive element.

An extension $F \subseteq K$ is called algebraic if every $k \in K$ is algebraic over F , meaning is the root of some polynomial in $F[x]$

Example 0.1.

- $\mathbb{Q} \subseteq \mathbb{R}$. This is an infinite extension. Further, it is not an algebraic extension. The hard way to show this is to demonstrate that some element of \mathbb{R} is not algebraic. For example, π, e are real, but transcendental over the rationals.

The easy way is by a simple cardinality argument: Because \mathbb{Q} is countable, $\overline{\mathbb{Q}}$ is, but \mathbb{R} is not

- $\mathbb{R} \subseteq \mathbb{C}$. This is a finite extension. In fact, it is a simple extension, with primitive i .
- $\mathbb{Q} \subseteq \mathbb{Q}(\sqrt{5})$. This is algebraic. Of course, $\sqrt{5}$ is a root of $x^2 - 5$, but what about the other elements of $\mathbb{Q}(\sqrt{5})$?

Consider $\{a + b\sqrt{5} \mid a, b \in \mathbb{Q}\}$. This is a subset of $\mathbb{Q}(\sqrt{5})$, a subring, and a subfield: indeed, consider $\frac{1}{a+b\sqrt{5}}$. The “typical high school trick” is to multiply by the conjugate:

$$\frac{1}{a+b\sqrt{5}} \frac{a-b\sqrt{5}}{a-b\sqrt{5}} = \frac{a-b\sqrt{5}}{a^2-5b^2}$$

So $\mathbb{Q}(\sqrt{5}) = \{a + b\sqrt{5} \mid a, b \in \mathbb{Q}\}$, as this is a subfield of $\mathbb{Q}(\sqrt{5})$ which contains $\sqrt{5}$, so must contain $\mathbb{Q}(\sqrt{5})$. That is,

$$\mathbb{Q}(\sqrt{5}) = \{a + b\sqrt{5} \mid a, b \in \mathbb{Q}\} = \mathbb{Q}[\sqrt{5}]$$

It is easy to see that $[\mathbb{Q}(\sqrt{5}) : \mathbb{Q}] = 2$

Let $F \subseteq K$ be a field extension, and consider $F[a_1, \dots, a_n]$.

There exists an evaluation map $\varepsilon : F[X_1, \dots, X_n] \rightarrow K$, given by $\varepsilon(f) = f(a_1, \dots, a_n)$. ε is a ring homomorphism, so $\text{Im}(\varepsilon)$ is a subring of K . We have $F[a_1, \dots, a_n] = \text{Im } \varepsilon$. $F(a_1, \dots, a_n)$ is a quotient field for the ring $F[a_1, \dots, a_n]$.

Let F be a field, x, y be indeterminates which are independent over F . Let $L = F(y)[x]/\langle x^2 - y \rangle$.

We can check that $x^2 - y$ is irreducible in $F(y)[x]$ because it is quadratic, and y has no square roots.

So because this is irreducible, L is a field.

In particular, $F(y)$ embeds in L via the natural map $F(y) \hookrightarrow F(y)[x] \twoheadrightarrow L$. So $F(y) \subseteq L$. This is a degree two extension of $F(y)$.

Proposition 1. *If $[K : F] < \infty$, then $F \subseteq K$ is an algebraic extension.*

Proof. Let $n = [K : F]$, and let $a \in K$. Look at $1, a, a^2, \dots, a^n$. This is $n + 1$ elements in K , so they must be linearly independent over F . So there exists c_0, c_1, \dots, c_n , not all zero, such that $\sum_{i=0}^n c_i a^i = 0$. Then $f = \sum_{i=0}^n c_i x^i \in F[x]$ is a polynomial to which a is a solution, so a is algebraic. ■

Theorem 0.1. (I)

Let $F \subseteq K$ be a field extension, $a \in K$. Then The Following Are Equivalent (TFAE):

1. a is algebraic over F
2. $\dim_F F[a] < \infty$
3. $[F(a) : F] < \infty$
4. $F(a) = F[a]$

Proof. Notice that $3 \Rightarrow 2$ are really saying the same thing. Further, $2 + 4 \Rightarrow 3$. So if we can connect 1, 2, 4, then 3 will come along for the ride. Therefore, it is enough to show that 1, 2, 4 are equivalent.

$1 \Rightarrow 2$

There exists a nonzero $f \in F[x]$ such that $f(a) = 0$. $f = \sum_{i=0}^n c_i x^i$, where $c_n \neq 0$. So $\sum_{i=0}^n c_i a^i = 0$, and so $a^n = \sum_{i=0}^{n-1} d_i a^i$, with $d_i \in F$ new coefficients.

Set $V = \sum_{i=0}^{n-1} Fa^i$. Then $a^n \in V$. So

$$\begin{aligned} a^{n+1} &= \sum_{i=0}^{n-1} d_i a^{i+1} \\ &= \sum_{j=1}^{n-1} d_{j-1} a^j + d_{n-1} a^n \end{aligned}$$

But $d_{n-1} a^n = \sum_{i=0}^{n-1} d_{n-1} d_i a^i$.

Induction gets us that $a^j \in V$ for all $j \geq 0$.

So V is closed under multiplication, hence a subring of K .

So $V = F[a]$. Note $\dim_F F[a] = \dim_F V \leq n$, because we used n elements to span in the first place.

$2 \Rightarrow 4$

It will be enough to show $F[a]$ is a field.

Let $x \in F[a]$, $x \neq 0$. Define a map $\mu_x : F[a] \rightarrow F[a]$, given by $\mu_x(y) = xy$. This is F -linear, and $\ker \mu_x = 0$. We have an injective linear transformation from a finite dimensional vector space to itself, so it has to be an isomorphism onto its image. So there exists $x' \in F[a]$ so that $\mu_x(x') = 1$, so x is invertible.

Lecture 2, 4/5/23

We continue the proof.

$4 \Rightarrow 1$

If $A = 0$, we are done. If $A \neq 0$, then $\frac{1}{a} \in F(a) = F[a]$.

So $\frac{1}{a} = \sum_{i=1}^m c_i a^i$ where each $c_i \in F$. Note $1 = \sum_{i=0}^m c_i a^{i+1}$, so a is a root of $-1 + \sum_{i=0}^m c_i x^{i+1} = 0$

Thus a is algebraic over F . ■

Theorem 0.2. Assume a is algebraic over K .

(i) There exists a unique monic polynomial $p \in F[x]$ such that $p(a) = 0$ with minimal degree. We call this the minimal polynomial for a over F , and write $p_{a,F}$.

(ii) p is irreducible.

- (iii) If $g \in F[x]$, $g(a) = 0$, then $p \mid g$ in $F[x]$.
- (iv) $[F(a) : F] = \deg p$
- (v) If $n = \deg p$, then $(1, a, a^2, \dots, a^{n+1})$ is a basis for $F(a)$ over F .
- (vi) Let $\varepsilon : F[x] \rightarrow K, \varepsilon(f) = f(a)$. This induces an isomorphism of rings $\bar{\varepsilon} : \frac{F[x]}{\langle p \rangle} \rightarrow F(a), \bar{\varepsilon}(f + \langle p \rangle) = f(a)$

Proof.

- (i) Since a is algebraic over F , there exists $f \in F[x]$ such that $f(a) = 0$. Note that we can divide by the leading coefficient to make f monic with a as a root. Find minimal polynomial of this form, and call it p .

Uniqueness: Suppose $p' \in F[x]$ is monic, $p'(a) = 0$ minimal. Then $(p - p')(a) = 0$. Since $\deg(p - p') < \deg p$, if $p - p' \neq 0$, we have found a monic polynomial with smaller degree than p with a as a root. Contradiction

- (ii) Let $\varepsilon : F[x] \rightarrow F(a) = F[a]$ be the evaluation map. ε induces $\bar{\varepsilon} : \frac{F[x]}{\ker \varepsilon} \rightarrow F(a)$. Note $\ker \varepsilon = 0$. Since $F[x]$ is a PID, $\ker \varepsilon = \langle q \rangle$ where $0 \neq q \in F[x]$. Without loss of generality, assume q is monic. We know

- q is irreducible
- $q(a) = 0$
- When $g \in F[x], g(a) = 0$, then $q \mid g$

Thus, if $g \neq 0$, $\deg(q) \leq \deg(g)$. This implies that $q = p$.

- (iii) See above

- (iv) $\bar{\varepsilon}$ is also an isomorphism of vectors over F . Exercise: If $x \in X + \langle p \rangle$, then $(1, x, x^2, \dots, x^{n+1})$ is a basis for $\frac{F[x]}{\langle p \rangle}$. Thus $(1, a, a^2, \dots, a^{n-1})$ is a basis for $F(a)$

Furthermore, $[F(a) : F] = n = \deg p$

■

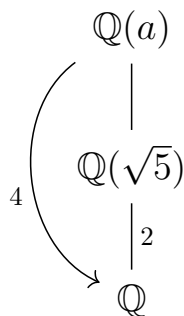
Let $F \leq K$ be a field extension, $a \in K$ algebraic over F . If $p \in F[x]$ is monic and irreducible with $p(a) = 0$, then $p = p_{a,F}$

- (v) See iv

- (vi) See ii

Example 0.2. Let $a = \sqrt[4]{5} \in \mathbb{R}_{>0}$, $p = X^4 - 5 \in \mathbb{Q}[x]$. Since p is irreducible over $\mathbb{Q}[x]$, $p = p_{a,F}$.

Note that p is reducible over $\mathbb{Q}(\sqrt{5})[x]$. In fact, $p_{a,\mathbb{Q}(\sqrt{5})} = x^2 - \sqrt{5}$. We have the tower of fields:



Let $F \subseteq K \subseteq L$ be a tower of fields. If $a \in L$ is algebraic in F , then a is also algebraic over K . Furthermore, $p_{a,K} \mid p_{a,F}$ in $K[x]$.

Proposition 2. *If $f \in F[x]$ is a nonzero polynomial of degree n , then f has at most n roots in n .*

Proof. By induction.

$n = 0$: trivial.

$n > 0$: if there are no roots, we're okay.

Otherwise, there exists $a \in F$ such that $f(a) = 0$. So $f = (x - a)g$, for some $g \in F(x)$. $g \neq 0$, $\deg g = n - 1$. Thus g has $\leq n - 1$ roots in F .

Since $\{\text{roots of } f\} = \{a\} \cup \{\text{roots of } g\}$, there are $\leq n$ roots of f .

Let $F \subseteq K$ be a field extension. Let $\mathcal{A} = \{a \in K, a \text{ algebraic over } F\}$.

If F is infinite, then $|\mathcal{A}| = |F|$. If F is finite, $|\mathcal{A}|$ is countable.

Let \mathbb{A} denote the complex numbers which are algebraic over \mathbb{Q} . Note $|\mathbb{A}| = |\mathbb{Q}| = \aleph_0$

Lecture 3, 4/7/23

Theorem 0.3. (*Tower rule*)

Let $F \subseteq K \subseteq L$ be a tower of fields. Then $[K : F][L : K] = [L : F]$.

Proof. If $[K : F] = \infty$ or $[L : K] = \infty$, we are done.

So assume $[K : F] = m$, $[L : K] = n$, $m, n < \infty$.

Let $\{b_1, \dots, b_n\}$ be a basis for L over K , and let $\{a_1, \dots, a_m\}$ be a basis for K over F .

We claim $\{a_i b_j \mid 1 \leq i \leq m, 1 \leq j \leq n\}$ is a basis for L over F .

We check it spans: choose $x \in L$. Note $x = \sum_{j=1}^n u_j b_j$, where each $u_j \in K$. Each $u_j = \sum_{i=1}^m v_{ij} a_i$, where each $v_{ij} \in F$. Thus $x = \sum_{j=1}^n \sum_{i=1}^m v_{ij} a_i b_j$

Linear independence: suppose $\sum_{i=1}^m \underbrace{\sum_{j=1}^n v_{ij} a_i b_j}_{\in K} = 0$.

Thus $\sum_{j=1}^n v_{ij} a_i = 0$. Thus all $v_{ij} = 0$. ■

Corollary 0.4. Let $F \subseteq K$ be a field extension, $a_1, \dots, a_n \in K$ all algebraic over F . Then $F[a_1, \dots, a_n] = F(a_1, \dots, a_n)$ and $[F(a_1, \dots, a_n) : F] < \infty$.

Corollary 0.5. Let $F \subseteq K$ be a field extension.

- (a) If $a, b \in K$ are algebraic over F , then $[F(a) : F] \mid [F(a, b) : F], [F(b) : F] \mid [F(a, b) : F], [F(a, b) : F] \leq [F(a) : F], [F(b) : F]$
- (b) $\{a \in K \mid a \text{ algebraic over } F\}$ is a subfield of K .
- (c) If $S \subseteq K$ is a set of elements algebraic over F , then $F(S)$ is algebraic over F .
- (d) Say $K \leq L$ is a field extension. Then L is algebraic over F if and only if L is algebraic over K and K is algebraic over F .

Proof. ■

Definition 0.2. Let $F \subseteq K$ be a field extension. An F -automorphism of K is any isomorphism $\phi : K \rightarrow K$ such that $\phi|_F = \text{Id}_F$.

The Galois group of K over F is $G(K : F) = \{F\text{-automorphisms of } K\}$

Proposition 3. Let $F \subseteq K$ be a field extension, $\phi \in G(K : F)$, f a polynomial in $F(x)$. Then ϕ permutes $\underbrace{\{a \in K \mid f(a) = 0\}}_{R_f}$.

Proof. :

Let $f = \sum_{i=1}^n c_i x^i$, where $c_i \in F$.

Choose $a \in K$. $\phi(f(a)) = \phi(\sum_{i=1}^n c_i a^i) = \sum_{i=1}^n c_i \phi(a^i) = f(\phi(a))$.

Thus $a \in R_f \iff \phi(a) \in R_f$.

So ϕ restricts into an injective map $R_f \rightarrow R_f$. Thus there is a bijection. ■

Example 0.3. $\mathbb{R} \subseteq \mathbb{C}$. $\phi \in G(\mathbb{C} : \mathbb{R})$ must permute roots of $x^2 + 1$, so $\phi(i) = \pm i$. ϕ is \mathbb{R} -linear and $(1, i)$ is a basis for \mathbb{C} over \mathbb{R} .

Thus $G(\mathbb{C} : \mathbb{R}) = \{\text{Id}, \overline{}\}$

Example 0.4. Let $F \subseteq K$ be a field extension of degree 2, $\text{char } F \neq 2$.

Chose $a \in K \setminus F$. Note $F[a] = F(a) = K$. So a is algebraic over F , $\deg p_{a,F} = 2$. We know $p_{a,F} = X^2 + bX + c$.

By quadratic formula,

$$a = \frac{-b \pm \sqrt{b^2 - 4c}}{2}$$

i.e. there is some $r \in K$ such that $r^2 = b^2 - 4ac$ and $a = \frac{-b+r}{2}$.

$\frac{-b-r}{2}$ is another root of $p_{\alpha,F}$. Since $a \notin F, r \notin F$. So $r \neq 0$, i.e. $r \neq -r$.

Thurs $F[r] = F(r) = K$. So $p_{\alpha,F} = X^2 - (b^2 - 4c)$.

If $\phi \in \text{Gal}(K : F)$, $\phi(r) = \pm r$.

Thus $|\text{Gal}(K : F)| \leq 2$.

Exercise: $|\text{Gal}(K : F)| = 2$.

Example 0.5. See chapter 2: there exists $F \subseteq K$, $[K : F] = 2$, $\text{char } F = 2$, $\text{Gal}(K : F) = \{e\}$.

Example 0.6. $F = \mathbb{Q}(\zeta) \subseteq K = \mathbb{Q}(\zeta, a)$, $\zeta = e^{\frac{2\pi i}{3}}$, $a = \sqrt[4]{5} \in \mathbb{R}$.

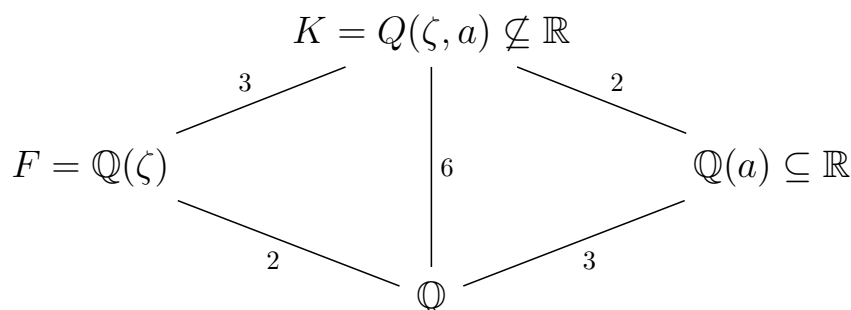
ζ is a root of $X^3 - 1 = (X - 1)(X^2 + X + 1)$.

Thus $p_{\zeta,\mathbb{Q}} \mid X^2 + X + 1$. Since $\zeta \notin \mathbb{Q}$, $\deg p_{\alpha,\mathbb{Q}} \mid X^2 + X + 1$.

Since $\zeta \notin \mathbb{Q}$, $\deg p_{\zeta,\mathbb{Q}} > 1$. Thus $p_{\zeta,\mathbb{Q}} = X^2 + X + 1$, $[F : \mathbb{Q}] = 2$

Now note a is a root of $X^3 - 5$, which is irreducible.

Thus $p_{a,\mathbb{Q}} = X^3 - 5$, $[\mathbb{Q}(a) : \mathbb{Q}] = 3$.



Lecture 4, 4/10/23

Definition 0.3. Let $F \subseteq K$ be a field extension, $F[X]$ a polynomial ring. $f \in F[X]$ splits over K if $f = a_0(X - a_1)(X - a_2) \cdots (X - a_n)$ for $a_i \in K$. 4

Definition 0.4. A splitting field for $S \subseteq F[X]$ over F is a field K containing F such that all $f \in S$ split over K , and K is minimal. In other words, if $F \subseteq E \subseteq K$, and for all $f \in S$, f splits over E , then $E = K$.

Definition 0.5. F is algebraically closed if every $f \in F[X]$ splits over F .

Definition 0.6. An algebraic closure of F is a field extension K containing F such that $F \subseteq K$ is algebraic and K is algebraically closed.

Theorem 0.6. (*Fundamental theorem of algebra*)

\mathbb{C} is algebraically closed.

\mathbb{C} is an algebraic closure of \mathbb{R} , and is thus a splitting field for $X^2 + 1$ over \mathbb{R} .

Another splitting field for $X^2 + 1$ over \mathbb{Q} is $\mathbb{Q}(i)$.

A splitting field for $X^3 - 2$ over \mathbb{Q} is $\mathbb{Q}(a, \zeta)$, $a = \sqrt[3]{2}$, ζ a root of $X^2 + X + 1$.

$$X^3 - 2 = (X - a)(X - a\zeta)(X - a\zeta^2)$$

$$\begin{array}{ccc} \mathbb{Q}(a, \zeta) & & \mathbb{Q}(\zeta)(a) \\ \downarrow 6 & & \downarrow 3 \\ \mathbb{Q} & & \mathbb{Q}(\zeta) \\ & & \downarrow 2 \\ & & \mathbb{Q} \end{array}$$

Let $F \subseteq K$ be a field extension, $F[X]$ a polynomial ring. Say K is a splitting field for $S \subseteq F[X]$ over F . Define

$$R \stackrel{\text{def}}{=} \{a \in K \mid f(a) = 0 \text{ for some } f \in S\}$$

Then $K = F(R)$. So K is algebraic over F .

Claim. Suppose K is a splitting field over F for some non-constant $f \in F[X]$. Let a_1, \dots, a_r be the roots of $f \in K$. Then we know $K = F(a_1, \dots, a_r)$. We claim that $[K : F] \leq (\deg f)!$.

Proof. Let $n = \deg f$. We know $p_{a,F} \mid f$, so $[F(a_1) : F] = \deg p_{a_1,F} \leq \deg f = n$.

So $f = (X - a_1)g$, where $\deg g = n - 1$.

The roots of g are $\subseteq \{a_1, \dots, a_r\}$. Thus $K = F(a_1)(a_1, \dots, a_r)$.

By induction, $[K : F(a_1)] \leq (n - 1)!$.

By the tower rule, $[K : F] \leq n!$. ■

Claim. Suppose K is algebraically closed. Take L to be the algebraic closure of F in K . Then L is algebraically closed.

Proof. If $f \in L[X]$ is not constant, it has a root $a \in K$.

$L \subseteq L(a)$ is algebraic, $F \subseteq L$ is algebraic, so $F \subseteq L$ is algebraic, thus $L(a) \subseteq L$.

This implies that f has a root in L . ■

Say R, S are rings, $R[X], S[X]$ polynomial rings, $\phi : R \rightarrow S$ a ring homomorphism. Then there exists a unique ring homomorphism $\tilde{\phi} : R[X] \rightarrow S[X]$ such that $\tilde{\phi}|_R = \phi$ and $\tilde{\phi}(X) = X$.

Formula:

$$\tilde{\phi} \left(\sum_{i=0}^d r_i X^i \right) = \sum_{i=0}^d \phi(r_i) X^i$$

Theorem 0.7 (Kronecher's Theorem). *Let F be a field, $f \in F[X]$ a non-constant polynomial. Then there exists a field extension $F \subseteq K$ such that f has a root in K , and $[K : F] \leq \deg f$.*

Proof. Let p be some irreducible factor of f .

Define $L = F[X]/\langle p \rangle$, which is a field.

Let $\bar{h} = h + \langle p \rangle$ for $h \in F[X]$.

Define $\phi : F \rightarrow L$ by $\phi(c) = \bar{c}$. We have $\tilde{\phi} : F[X] \rightarrow L[X]$.

We claim \bar{X} is a root of $\tilde{\phi}(f)$.

$p = \sum_{i=0}^n c_i X^i$, $c_i \in F$.

Then $\tilde{\phi}(p) = \sum_{i=0}^m \bar{c}_i X^i$

$$\begin{aligned} \tilde{\phi}(p)(\bar{X}) &= \sum_{i=0}^m \bar{c}_i \bar{X}^i \\ &= \frac{\sum_{i=0}^m \bar{c}_i \bar{X}^i}{\bar{X}^m} \\ &= \sum_{i=0}^m \bar{c}_i X^i \\ &= \bar{p} \\ &= \bar{0} \end{aligned}$$

Thus $\bar{X} \in L$ is a root of $\tilde{\phi}(f)$.

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We continue the proof.

Choose a set U disjoint from F with $|U| = |L \setminus \phi(F)|$. Say $\beta : U \rightarrow L \setminus \phi(F)$ is a bijection.

Extend to a bijection $\beta : F \amalg U \rightarrow L$ such that $\beta(a) = \phi(a)$ for all $a \in F$.

Define $+, \cdot$ on $F \amalg U$ by

$$\begin{aligned} -a + b &= \beta^{-1}(\beta(a) + \beta(b)) \\ -a \cdot b &= \beta^{-1}(\beta(a)\beta(b)) \end{aligned}$$

we need to check new $+, \cdot$ agree with OG on F . We also need to check that $F \coprod U$ is a field. We will do this later.

Define $K = F \coprod U$. Note F is a subfield of K . $\beta : K \rightarrow L$ is an isomorphism, $\beta|_F = \phi$.

Define $p = \sum_{i=0}^m c_i X^i, c_i \in F$.

$$\begin{aligned} 0 &= \tilde{\phi}(p)(\overline{X}) \\ &= \sum_{i=0}^m \phi(c_i) \overline{X}^i \\ &= \sum_{i=0}^m \beta(c_i) \beta(\beta^{-1}(\overline{X}))^i \\ &= \beta \left(\sum_{i=0}^m c_i \beta^{-1}(\overline{X})^i \right) \\ &= \beta(p(\beta^{-1}(\overline{X}))) \end{aligned}$$

thus $p(\beta^{-1}(\overline{X})) = 0$.

$[K : F] = \dim_F K = \dim_F L = \deg p \leq \deg f$.

■

Ordinals

I'm not typing all this up i don't get it

Lecture 6, 4/14/23

Lemma 1 (Extension Lemma). *Let $F_1 \subseteq K_1, F_2 \subseteq K_2$ be field extensions, $\phi : F_1 \rightarrow F_2$ an isomorphism. Let $F_2[X]$ be a polynomial ring, $f_1 \in F_1[X]$ irreducible, $f_2 = \tilde{\phi}(f_1)$, $a_i \in K_i$ a root of f_i . Then ϕ extends to an isomorphism $\theta : F_1(a_1) \rightarrow F_2(a_2)$ such that $\theta(a_1) = a_2$.*

$$\begin{array}{ccc} K_1 & & K_2 \\ | & & | \\ F(a_1) & \xrightarrow{\exists \theta} & F(a_2) \\ | & & | \\ F_1 & \xrightarrow{\theta} & F_2 \end{array}$$

The proof is in the form of this diagram, I guess:

Proof.

$$\begin{array}{ccccc}
 F_1[X] & \xrightarrow[\cong]{\tilde{\phi}} & & F_2[X] \\
 \uparrow & \searrow q_1 & & \swarrow q_2 & \uparrow \\
 & F_1[X]/\langle f_1 \rangle & \xrightarrow[\cong]{\psi} & F_2[X]/\langle f_2 \rangle & \\
 \subseteq & \downarrow p_1 \cong & & \downarrow p_2 \cong & \subseteq \\
 & F_1(a_1) & \xrightarrow{\dots p_2 \circ \psi \circ p_1^{-1} \dots} & F_2(a_2) & \\
 F_1 & \xrightarrow[\cong]{\theta} & & F_2[X] &
 \end{array}$$

■

Theorem 0.8. Let F_1, F_2 be fields, $F_2[X]$ a polynomial ring, $S_i \subseteq F_i[X]$, and let $\phi : F_1 \rightarrow F_2$ be an isomorphism, where $\phi_1(S_1) = S_2$. Let K_i be a splitting field of S_i over F_i . Then ϕ extends to an isomorphism $K_1 \rightarrow K_2$.

$$\begin{array}{ccc}
 K_1 & \xrightarrow{\cong} & K_2 \\
 \uparrow \subseteq & & \uparrow \subseteq \\
 F_1 & \xrightarrow{\phi} & F_2
 \end{array}$$

Proof. Set $\mathcal{M} = \{(L, \theta) \mid F_1 \subseteq L \subseteq K_1 \text{ a tower, } \theta : L \rightarrow K_2 \text{ a homomorphism extending } \phi\}$.

Define $(L_1, \theta_1) \leq (L_2, \theta_2)$ iff $L_1 \subseteq L_2$, $\theta_1 \subseteq \theta_2$

- \leq is a partial ordering of \mathcal{M} .
- $(F_1, \theta) \in \mathcal{M}$.
- If $\{(L_i, \theta_i)\}_{i \in I}$ is a nonempty chain on \mathcal{M} , then

$$\left(\bigcup_{i \in I} L_i, \bigcup_{i \in I} \theta_i \right) \in \mathcal{M}$$

is an upper bound for the chain.

By Zorn's lemma, there exists a maximal $(M, \psi) \in \mathcal{M}$

$$\begin{array}{ccc}
 K_1 & \xrightarrow{\cong} & K_2 \\
 | & & | \\
 M & \xrightarrow[\cong]{\psi} & M' = \psi(M) \\
 \uparrow \subseteq & & \uparrow \subseteq \\
 F_1 & \xrightarrow{\phi} & F_2
 \end{array}$$

Towards contradiction, suppose $M \subsetneq K_1$

Then there exists some $g \in S_1$ which does not split over M . In $M[X]$, there exists an irreducible factor $f \mid g$ such that $\deg f \geq 2$

g splits over K , so f splits over K .

Thus f has a root $a_1 \in K_1$.

$\tilde{\psi}(f) \in M'$ is irreducible, has a root $a_2 \in K_2$, because $\tilde{\psi}(f) \mid \tilde{\psi}(g) = \tilde{\phi}(g)$ in $M'[X]$.

By Extension Lemma, ψ extends to an isomorphism $\theta : M(a_1) \rightarrow M'(a_2)$.

Now $(M(a_2), \theta) \in \mathcal{M}$, contradicting that (M, ψ) is the maximal element. ■

Corollary 0.9. *Let F_1, F_2 be fields, $\phi : F_1 \rightarrow F_2$ an isomorphism, K_i an algebraic closure of F_i . Then ϕ extends to an isomorphism $K_1 \rightarrow K_2$.*

Proof. Observe that K_i is a splitting field for $S_i = F_i[X]$ over F_i . $\tilde{\phi}(S_1) = S_2$. Apply the previous theorem. ■

Example 0.7. $K = \mathbb{Q}(a, \zeta) \subset \mathbb{C}$, $a = \sqrt[3]{5}$, ζ a root of $X^2 + X + 1$.

Roots of $X^3 - 5$: $a, a\zeta, a\zeta^2$

Roots of $X^2 + X + 1$: ζ, ζ^2

$$K = \mathbb{Q}(a, \zeta) = \mathbb{Q}(a\zeta, \zeta) = \mathbb{Q}(a\zeta^2, \zeta)$$

$$\begin{array}{ccccc}
 K = \mathbb{Q}(a, \zeta) & \xlongequal{\quad} & \mathbb{Q}(a\zeta, \zeta) & \xlongequal{\quad} & \mathbb{Q}(a\zeta^2, \zeta) \\
 | \scriptstyle 2 & & | \scriptstyle 2 & & | \scriptstyle 2 \\
 \mathbb{Q}(a\zeta) & & \mathbb{Q}(a\zeta) & & \mathbb{Q}(a\zeta^2) \\
 & \searrow \scriptstyle 3 & | \scriptstyle 3 & \swarrow \scriptstyle 3 & \\
 & & \mathbb{Q} & &
 \end{array}$$

$i = 0, 1, 2$, there exists \mathbb{Q} -automorphism $\phi_i : \mathbb{Q}(a) \rightarrow \mathbb{Q}(a, \zeta^i)$ such that $\phi_i(a) = a\zeta^i$.
 ϕ_i extends to $\phi_{ij} : K \rightarrow K$ such that $\phi_{ij}(\zeta) = \zeta^j$

Lecture 7, 4/17/23

Exercise: $\text{Gal}(\mathbb{Q}(\sqrt{3}, \sqrt{5}) : \mathbb{Q}) \cong \mathbb{Z}_2 \oplus \mathbb{Z}_2$.

Let $a = e^{\frac{2}{5}\pi i}$. $\text{Gal}(\mathbb{Q}(a) : \mathbb{Q}) \cong \mathbb{Z}_4$

Definition 0.7.

- Let $H \leq \text{Gal}(K : F)$. Then define

$$\text{Fix}_K(H) \stackrel{\text{def}}{=} \{k \in K \mid h(k) = k \text{ for all } h \in H\}$$

This will be an intermediate field.

- Let $F \subseteq K$ be a field extension. Then we define

$$\text{Intermed}(F \subseteq K) \stackrel{\text{def}}{=} \{\text{fields } L \mid F \subseteq L \subseteq K\}$$

$$\text{SubGal}(K \supseteq F) \stackrel{\text{def}}{=} \{\text{subgroups of } \text{Gal}(K : F)\}$$

We have $\mathcal{F} : \text{SubGal} \rightarrow \text{Intermed}$, $H \mapsto \text{Fix}_K(H)$, $\mathcal{G} : \text{Intermed} \rightarrow \text{SubGal}$, $L \mapsto \text{Gal}(K : L)$.

- $L \in \text{Intermed}$ is closed if $\mathcal{F}(\mathcal{G}(L)) = L$. It is stable if $\phi(L) \subseteq L$ for all $\phi \in \text{Gal}(K : F)$. $H \in \text{SubGal}$ is closed if $\mathcal{G}(\mathcal{F}(H)) = H$

If L is stable, $\phi(L) \subseteq L$, $\phi^{-1}(L) \subset L$ for all $\phi \in \text{Gal}(K : F)$, so $\phi(L) = L$.

We have restriction map $\rho : \text{Gal}(K : F) \rightarrow \text{Gal}(L : F)$, $\rho(\phi) = \phi|_L$. We have $\ker(\rho) = \text{Gal}(K : L)$

Proposition 4.

1. \mathcal{F} and \mathcal{G} preserve inclusions.
2. (a) $L \subseteq \mathcal{F}\mathcal{G}(L)$ and $\mathcal{G}(L) = \mathcal{F}\mathcal{F}\mathcal{G}(L)$ for all $L \in \text{Intermed}$
(b) $H \subseteq \mathcal{G}\mathcal{F}(H)$ and $\mathcal{F}(H) = \mathcal{F}\mathcal{G}\mathcal{F}(H)$ for all $H \in \text{SubGal}$
3. (a) $L \in \text{Intermed}$ is closed iff $L \in \text{Im}(\mathcal{F})$
(b) $H \in \text{SubGal}$ is closed iff $H \in \text{Im}(\mathcal{G})$
4. \mathcal{G} and \mathcal{F} restrict to inverse bijections.

So there is a bijection between $\{\text{closed } L \in \text{Intermed}\}$ and $\{\text{closed subgroups}\}$

Proposition 5.

1. Let $E \subseteq L$ in Intermed such that $[L : E] < \infty$. Then

$$(a) [\mathcal{G}(E) : \mathcal{G}(L)] \subseteq [L : E]$$

(b) If E is closed, then so is L , and a becomes an equality.

2. Let $H \subseteq J$ in SubGal such that $[J : H] < \infty$.

$$(a) [\mathcal{F}(H) : \mathcal{F}(G)] \leq [J : H]$$

(b) If H is closed, so is J , and a is an equality

Proof.

1. (a) $L = E(a_1, \dots, a_m)$. Induct on m .

For $m = 1$, $L = E(a)$. Since $[L : E] < \infty$, a is algebraic over E . So it has minimal polynomial $p = p_{a,E}$.

The number of roots of p in K is less than or equal to $\deg p = [L : E]$.

We need to show $[\mathcal{G}(E), \mathcal{G}(L)] \leq$ number of roots of p in K .

$$H = \mathcal{G}(L), J = \mathcal{G}(E).$$

$\phi_1(H), \dots, \phi_n(H)$ are distinct left cosets of H in J .

for $i \neq j$, $\phi_i(H) \neq \phi_j(H)$, so $\phi_j^{-1}\phi_i(a) \neq a$, so $\phi_i(a) \neq \phi_j(a)$.

ϕ_i permutes roots of p in K , so $\phi_i(a)$ = a root of p .

Therefore $\phi_1(a), \dots, \phi_n(a)$ are n distinct roots of p in K .

$$\text{Thus } [J : H] = n \leq \text{number of roots of } p \text{ in } K \leq \deg p = [L : E]$$

For $m > 1$, let $M = E(a_1, \dots, a_{m-1})$, $L = M(a_m)$. Then $[\mathcal{G}(E) : \mathcal{G}(M)] \leq [M : E]$, $[\mathcal{G}(M) : \mathcal{G}(L)] \leq [L : M]$. So $[\mathcal{G}(E) : \mathcal{G}(L)] \leq [L : E]$

2. (a) $n = [J : H]$, $\phi_1(H), \dots, \phi_n(H)$ are distinct left cosets of H in J .

$$\text{So } E = \mathcal{F}(J) \subseteq L = \mathcal{F}(H).$$

Suppose $[L : E] > n$.

Then there exists $a_1, \dots, a_{n+1} \in L$, linearly independent over E .

There exists $(\phi_i(a_j)) = n \times (n + 1)$ matrix over K .

Lecture 8, 4/19/23

We continue the proof

1. (a) Done

(b) Consider the tower $F \subseteq E \subseteq L \subseteq K$, where $[L : E] < \infty$.

$$E = \mathcal{F}\mathcal{G}(E).$$

$$[L : E] = [L : \mathcal{F}\mathcal{G}(E)] \leq [\mathcal{F}\mathcal{G}(L) : \mathcal{F}\mathcal{G}(E)]$$

By 1a, $[\mathcal{G}(E) : \mathcal{G}(L)] \leq [L : E]$.

By 2a, $[\mathcal{F}\mathcal{G}(L) : \mathcal{F}\mathcal{G}(E)] \leq [\mathcal{G}(E), \mathcal{G}(L)]$.

Thus

$$\begin{aligned} [L : E] &\leq [\mathcal{F}\mathcal{G}(L) : \mathcal{F}\mathcal{G}(E)] \\ &\leq [\mathcal{G}(E) : \mathcal{G}(L)] \\ &\leq [L : E] \end{aligned}$$

So these inequalities are actually all equalities.

Therefore $[\mathcal{G}(E) : \mathcal{G}(L)] = [L : E]$.

$[\mathcal{F}\mathcal{G}(L) : E] = [\mathcal{F}\mathcal{G}(L) : \mathcal{F}\mathcal{G}(E)] = [L : E]$

By linear algebra, $\mathcal{F}\mathcal{G}(L) = L$

2. (a) So we have our $n \times (n+1)$ matrix A over K . We have a linear transformation $K^{n+1} \rightarrow K$, $x \mapsto (Ax^T)^T$

$|A\rangle \neq \{0\}$

Chose $b \in \ker(A)$, $b \neq 0$, where $b = (b_1, \dots, b_n)$ with $|\{b_i \mid b_i \neq 0\}|$ minimal.

$\sum_{i=0}^{n+1} \phi_i(a_j)b_j = 0$ for all i .

It is okay to permute j s, so without loss of generality, $g = (b_1, \dots, b_k, 0, \dots, 0)$ where $b_1, \dots, b_k \neq 0$.

Without loss of generality, suppose $b_1 = 1$

We claim there exists ℓ such that $b_\ell \notin \mathcal{F}(J)$.

If all $b_j \in \mathcal{F}(J)$, then $\phi_i(b_j) = b_j$ for all i, j .

Now for all i , $0 = \sum_j \phi_i(a_j)b_j = \sum_j \phi_i(a_j)\phi_i(b_j) = \sum_i (\sum_j a_j b_j)$

Thus $\sum_j a_j b_j = 0$ for all j , as this is in both $\mathcal{F}(H), \mathcal{F}(J)$

This contradicts the linear independence of a_i s over $\mathcal{F}(J)$.

Thus there exists $\ell \in \{2, \dots, k\}$ such that $b_\ell \notin \mathcal{F}(J)$.

Permute $j = 2, \dots, k$ to get $\ell = 2$.

Now $b = \{1, \underbrace{b_2}_{\notin \mathcal{F}(J)}, \dots, b_j, 0, \dots, 0\}$

Thus there exists $\phi \in J$ such that $\phi(b_2) \neq b_2$.

So $\phi\phi_1(H), \dots, \phi\phi_n(H)$ is another list of distinct left cosets of H in J .

Thus there exists $\pi \in S_n$ such that $\phi\phi_j(H) = \phi_{\pi(j)}(H)$ for all j .

$\sum_j \phi_{\pi(i)}(a_j)\phi(b_j) = \sum_j \phi\phi_i(a_j)\phi(b_j) = 0$ for all i .

Chose $b' = (1, \phi(b_2), \dots, \phi(b_k), 0, \dots, 0)$ in $\ker A'$, where $A' = (\phi_{\pi(i)}(a_j))$.

$\ker A' = \ker A$, so $b' \in \ker A$.

Thus $c = b - b' = (0, \underbrace{b_2 - \phi(b_2), \dots, b_k - \phi(b_k)}_{\neq 0}, 0, \dots, 0) \in \ker(A)$

This contradicts the minimality of k .

(b) Analagous to 1b

■

Corollary 0.10.

(a) All finite subgroups of $\text{Gal}(K : F)$ are closed.

(b) $[K : F] < \infty$ implies $|\text{Gal}(K : F)| \leq [K : F]$ is finite

(c) $[K : F] < \infty$ implies \mathcal{F} is injective and \mathcal{G} is surjective.

Proof. $\{\text{Id}_K\} = \mathcal{G}(K)$ closed. For all $H \in \text{Gal}(K : F)$, $H = \mathcal{G}^{-1}\mathcal{F}(H)$.

■

Proposition 6.

(a) $L \in \text{Intermed stable}$ implies that $\text{Gal}(K : L)$ is a normal subgroup of $\text{Gal}(K : F)$

(b) H a normal subgroup of $\text{Gal}(K : F)$ implies $\mathcal{F}(H) \in \text{Intermed}$ is stable.

Proof.

(a) $\theta \in \text{Gal}(K : L)$, $\phi \in \text{Gal}(K : F)$. $\theta(x) = x$ for all $x \in L$. Since we have stability, $\phi(x) \in L$ for all $x \in L$.

$$\Rightarrow \theta\phi(x) = \phi(x)$$

$$\Rightarrow \phi^{-1}\theta\phi(x) = x$$

$$\Rightarrow \phi^{-1}\theta\phi \in \text{Gal}(K : F)$$

(b) $\phi \in H$, so $\phi(x) = x$ for all $x \in \mathcal{F}(H)$. Say $\theta \in \text{Gal}(K : F)$.

By normality of H , $\theta^{-1}\phi\theta \in H$.

$$\Rightarrow \theta^{-1}\phi\theta(x) = x$$

$$\Rightarrow \phi\theta(x) = \theta(x)$$

$$\Rightarrow \theta(x) \in \mathcal{F}(H)$$

$$\forall x \in \mathcal{F}(H)$$

$$\forall x \in \mathcal{F}(H), \phi \in H$$

$$\forall x \in \mathcal{F}(H)$$

Lecture 10, 4/21/23

Definition 0.8. A field extension $F \subseteq K$ is Galois if $F = \text{Fix}_K \text{Gal}(K : F)$

Theorem 0.11 (Little Theorem of Galois Theory). *Let $F \subseteq K$ be a field extension, $[K : F] < \infty$. Then the following are equivalent:*

1. $F \subseteq K$ is Galois
2. \mathcal{F}, \mathcal{G} are inverse bijections
3. $|\text{Gal}(K : F)| = [K : F]$

If so, for all $L \in \text{Intermed}$, $L \subseteq K$ is Galois.

Proof. $[K : F] < \infty$ implies $\text{Gal}(K : F)$ finite.

So all $H \in \text{SubGal}$ are closed.

$$1 \Rightarrow 2$$

Galois $\implies F$ closed.

So by prop, all $L \in \text{Intermed}$ are closed.

$$2 \Rightarrow 3$$

$F = \mathcal{F}\mathcal{G}(F) \implies F$ closed.

So by prop,

$$\begin{aligned} |\text{Gal}(K : F)| &= |\mathcal{G}(F) : \{\text{Id}_K\}| \\ &= |\mathcal{G}(F) : \mathcal{G}(K)| \\ &= [K : F] \end{aligned}$$

$$3 \Rightarrow 1$$

$$F \subseteq \mathcal{F}\mathcal{G}(F) \subseteq K.$$

$$\begin{aligned} [K : F] &\geq [K : \mathcal{F}\mathcal{G}(F)] \\ &= [\mathcal{F}(\{\text{Id}_K\}) : \mathcal{F}\mathcal{G}(F)] \\ \text{by prop} &= [\mathcal{G}(F) : \{\text{Id}_K\}] \\ \text{by def} &= |\text{Gal}(K : F)| \\ \text{by assumption} &= [K : F] \end{aligned}$$

Thus $[K : F] = [K : \mathcal{FG}(F)]$.

So $[\mathcal{FG}(F) : F] = 1$, i.e. $\mathcal{FG}(F) = F$.

If we have 1-3, then L closed, so $L = \mathcal{FG}(L) = \text{Fix}_K \text{Gal}(K : L)$. Thus $L \subseteq K$ is Galois. ■

Example 0.8. $F \subseteq K, [K : F] = 2, \text{char } F \neq 2 \implies F \subseteq K$ Galois

Reason: $|\text{Gal}(K : F)| = 2$.

$F = \mathbb{Q} \subseteq K = \mathbb{Q}(a, \zeta) \subseteq \mathbb{C}$, $a = \sqrt[3]{2} \in \mathbb{R}$, $\zeta = \text{root of } X^2 + X + 1$

There exists $\phi_{ij} \in \text{Gal}(K : F)$ such that $\phi_{ij}(a) = a\zeta^i$ ($i = 0, 1, 2$) and $\phi_{ij}(\zeta) = \zeta^j$ ($j = 1, 2$)

Therefore $|\text{Gal}(K : F)| = 6 = [K : F]$.

For all $L \in \text{Intermed}$, $L \subseteq K$ is Galois.

$F \subseteq \mathbb{Q}(a)$ is not Galois.

Lemma 2. Let $F \subseteq K$ be Galois, $f \in F[X]$ an irreducible polynomial with a root in K . Then f splits over K and has no multiple roots.

Proof. Without loss of generality, suppose f is monic.

Say $a_i \in K$ is a root of f .

Let a_1, a_2, \dots, a_r be the distinct roots of f in K .

Set $g = (X - a_1)(X - a_2) \cdots (X - a_r) \in K[X]$.

We claim $g \in F[X]$.

Let $\phi \in G = \text{Gal}(K : F)$.

ϕ permutes $\{a_1, \dots, a_r\}$

$\tilde{\phi}(g) = g$ so $\phi(c_i) = c_i$ for all coefficients c_i of g .

Thus all $c_i \in \text{Fix}_K(F) = F$, i.e. $g \in F[X]$.

Let $f = p_{a_1, F}$.

$g(a_1) = 0 \implies f \mid g$.

$\deg(g) = r \leq \deg(f)$.

Thus $f = g$ ■

Corollary 0.12. Let $F \subseteq L \subseteq K$ be a tower of fields. If L is algebraic over F and Galois over F , then L is stable.

Proof. Chose $a \in L$, $\phi \in \text{Gal}(K : F)$. $p_{a, F} \in F[X]$ is irreducible, with a as a root. By lemma, $p_{a, F}$ splits over L . So all roots of $p_{a, F}$ in K are in L .

ϕ sends a to a root of $p_{a, F}$. Thus $\phi(a) \in L$.

Theorem 0.13 (Main Theorem of Galois Theory). Let $F \subseteq K$ be a Galois field extension, $[K : F] < \infty$. Then

1. *There exist inverse bijections*

$$\begin{array}{ccc} & \xleftarrow{\mathcal{F}} & \\ \{\text{intermediate fields of } F \subseteq K\} & & \{\text{subgroups of } \text{Gal}(K : F)\} \\ & \xrightarrow{\mathcal{G}} & \end{array}$$

such that $\mathcal{G}(L) = \text{Gal}(K : L)$, $\mathcal{F}(H) = \text{Fix}_K(H)$.

2. *If $E \subseteq L$ are intermediate fields, $[\mathcal{G}(E) : \mathcal{G}(L)] = [L : E]$.*
3. *If $H \leq J \leq \text{Gal}(K : L)$, $[\mathcal{F}(H) : \mathcal{F}(J)] = [J : H]$.*
4. *For all intermediate fields L , $L \subseteq K$ is Galois, and*

$F \subseteq L$ Galois $\iff L$ is stable $\iff \text{Gal}(K : L)$ is a normal subgroup $\text{Gal}(K : F)$

If so, $\text{Gal}(K : F) / \text{Gal}(K : L) \cong \text{Gal}(L : F)$