

## Lecture 29: Object Recognition II: Eigenspace Methods

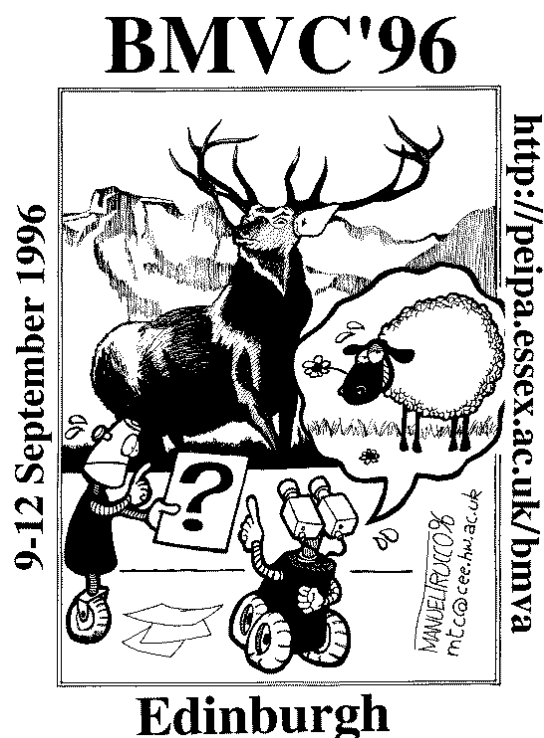
### Contents

1. Introduction
2. Eigenspace Representation
3. Important Properties
4. Computational Aspects
5. Application to Object Recognition
6. Application to Shape Variation

© 2001–2019 Joachim Weickert

1	2
3	4
5	6
7	8
9	10
11	12
13	14
15	16
17	18
19	20
21	22
23	24
25	26
27	28
29	30
31	32

### Introduction (1)



Object recognition has a long tradition. Poster of the 1996 British Machine Vision Conference illustrating its problems. Author: E. Trucco. Source: <http://www.ece.eps.hw.ac.uk/~mtc/tshirt.gif>.

1	2
3	4
5	6
7	8
9	10
11	12
13	14
15	16
17	18
19	20
21	22
23	24
25	26
27	28
29	30
31	32

## Introduction

### Goals

- ◆ In the last lecture we have considered
  - pure 2-D object recognition methods (via moment invariants)
  - simple geometric structures (lines, circles)
  - shape-preserving transformations (translations, rotations, varying illumination)
- ◆ Now we want to focus on
  - the recognition of 3D objects using 2D images
  - more complicated geometric structures
  - transformations that allow some shape variations

1	2
3	4
5	6
7	8
9	10
11	12
13	14
15	16
17	18
19	20
21	22
23	24
25	26
27	28
29	30
31	32

### Examples

- ◆ face recognition
- ◆ recognition of different types of cars

### Problems

- ◆ How can we represent a 3-D object by means of 2-D images?
- ◆ How can we describe shape variations?
- ◆ How can we find the most similar object in a database?

1	2
3	4
5	6
7	8
9	10
11	12
13	14
15	16
17	18
19	20
21	22
23	24
25	26
27	28
29	30
31	32

## Introduction (4)



### Basic Idea

- ◆ to recognise a 3-D object, use many 2-D images of it:
  - photographed under different directions and different illumination situations
- ◆ Problem:
  - requires much disk space per object
- ◆ Example:
  - Represent a single 3-D object by bitwise coded  $256 \times 256$  images.
  - Using 100 directions with 10 illumination variants requires 64 MByte.
- ◆ Can one represent this large data set in a compact, non-redundant way?

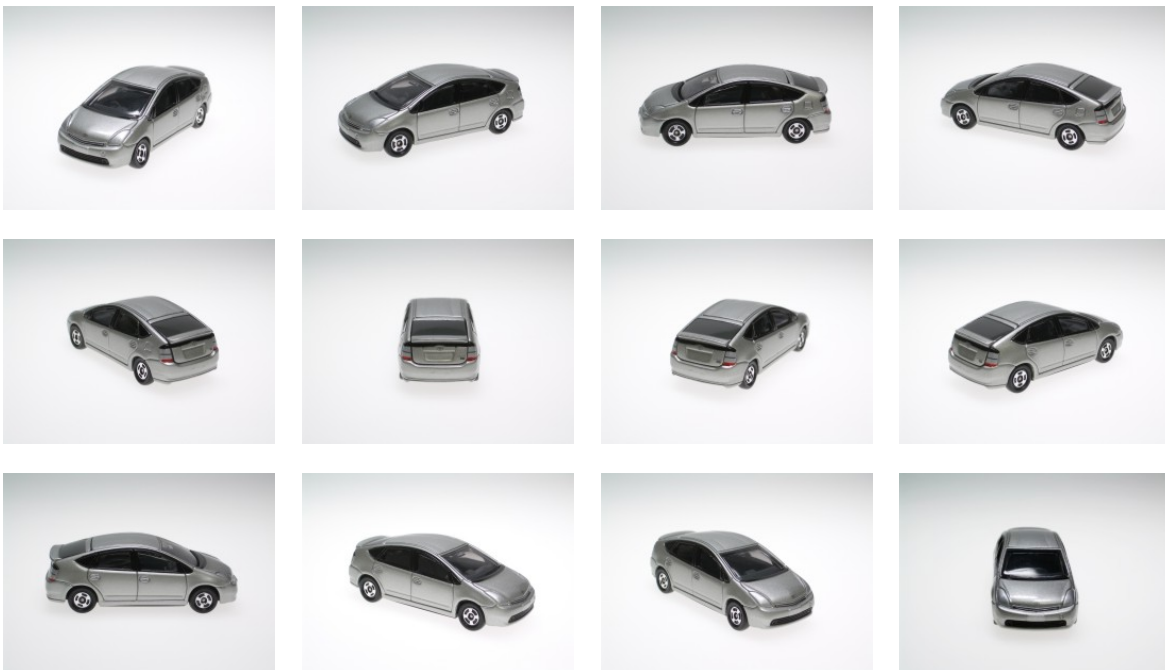
To this end we consider so-called *eigenspace methods (Eigenraumverfahren)*. They are based on a principal component analysis (PCA, Hauptachsentransformation). This gives a representation in a basis that is specifically adapted to the data set. For specific problem classes, this can outperform non-adaptive bases such as the DCT.

1	2
3	4
5	6
7	8
9	10
11	12
13	14
15	16
17	18
19	20
21	22
23	24
25	26
27	28
29	30
31	32

## Introduction (5)



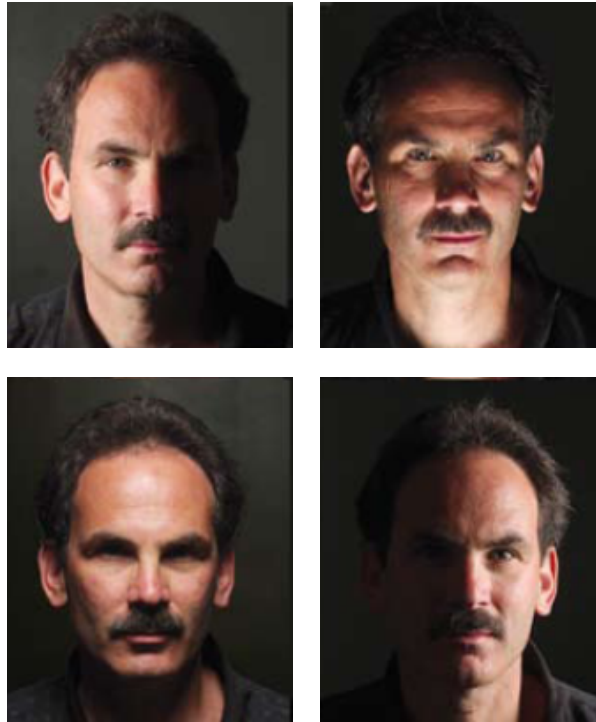
### Example: Variability under Different Directions



12 images of a 3-D object being viewed from different directions. Author: S. Kiefer.

1	2
3	4
5	6
7	8
9	10
11	12
13	14
15	16
17	18
19	20
21	22
23	24
25	26
27	28
29	30
31	32

### Example: Variability under Different Illumination



The same face illuminated from four different directions. Author: D. Kriegman.

1	2
3	4
5	6
7	8
9	10
11	12
13	14
15	16
17	18
19	20
21	22
23	24
25	26
27	28
29	30
31	32

## Eigenspace Representation (1)

### Eigenspace Representation

#### Assumptions

##### ◆ Single Object

Every image depicts only a single object, and occlusions do not appear.

##### ◆ Size Normalisation

All images are normalised in size, e.g. by ensuring in a face data base that the image boundaries are given by the smallest rectangle that fully includes the face.

##### ◆ Grey Value Normalisation

The image grey values are normalised such that they have zero mean, and their summed squared intensity is 1.

Representing an image by a vector  $\mathbf{f} = (f_1, \dots, f_N)^\top$ , this means that

$$|\mathbf{f}|^2 := \sum_{i=1}^N f_i^2 = 1.$$

M	I
A	A
1	2
3	4
5	6
7	8
9	10
11	12
13	14
15	16
17	18
19	20
21	22
23	24
25	26
27	28
29	30
31	32

## Principal Theorem of Eigenspace Representations

Consider  $m$  images that are represented by vectors  $\mathbf{f}_1, \dots, \mathbf{f}_m \in \mathbb{R}^N$ .

Usually one has less images than pixels, i.e.  $m \ll N$  (e.g.  $m = 1000$ ,  $N = 65536$ ).

Let  $\bar{\mathbf{f}} := \frac{1}{m} \sum_{i=1}^m \mathbf{f}_i$  denote the average image.

- Then the symmetric  $N \times N$  **covariance matrix**

$$\mathbf{Q} := \frac{1}{m} \sum_{i=1}^m (\mathbf{f}_i - \bar{\mathbf{f}}) (\mathbf{f}_i - \bar{\mathbf{f}})^\top$$

has at most  $m$  nonvanishing eigenvalues

$$\lambda_1 \geq \dots \geq \lambda_m > 0$$

with corresponding orthonormal eigenvectors  $\mathbf{v}_1, \dots, \mathbf{v}_m$ .

- Together with  $\bar{\mathbf{f}}$ , these  $m$  eigenvectors can represent every image  $\mathbf{f}_i$ :

$$\mathbf{f}_i = \bar{\mathbf{f}} + \sum_{j=1}^m a_{i,j} \mathbf{v}_j \quad (i = 1, \dots, m)$$

with  $a_{i,j} := (\mathbf{f}_i - \bar{\mathbf{f}})^\top \mathbf{v}_j$ .

1	2
3	4
5	6
7	8
9	10
11	12
13	14
15	16
17	18
19	20
21	22
23	24
25	26
27	28
29	30
31	32

# Important Properties (1)

## Important Properties

### Interpretation of the Principal Theorem of Eigenspace Methods

- The average image  $\bar{\mathbf{f}}$  has been subtracted, since we are mainly interested in deviations from the average shape.
- We describe  $m$  images  $\mathbf{f}_1 - \bar{\mathbf{f}}, \dots, \mathbf{f}_m - \bar{\mathbf{f}} \in \mathbb{R}^N$  by  $m$  eigenvectors  $\mathbf{v}_1, \dots, \mathbf{v}_m \in \mathbb{R}^N$ . At first glance, nothing is gained. However, this is not true:
- The covariance matrix  $\mathbf{Q}$  resembles the structure tensor  $\mathbf{J}$  from Lecture 13 (see next page for details):
  - Its eigenvectors  $\mathbf{v}_1, \dots, \mathbf{v}_m$  specify the most characteristic directions of variation. Since they are orthogonal, we have decoupled the  $m$  shape variations optimally.
  - The eigenvalue  $\lambda_i$  measures the amount of shape variability of the data set  $\{\mathbf{f}_1, \dots, \mathbf{f}_m\}$  in the direction of the eigenvector  $\mathbf{v}_i$ .
- Hence, we have decoupled the shape variations into  $m$  orthogonal directions. Moreover, we can quantify the importance of each direction.

1	2
3	4
5	6
7	8
9	10
11	12
13	14
15	16
17	18
19	20
21	22
23	24
25	26
27	28
29	30
31	32

## Important Properties (2)



### Why Does the Covariance Matrix Describe Shape Variations?

- ◆ Consider some direction that is given by a normalised vector  $\mathbf{n} \in \mathbb{R}^N$ .  
For  $i = 1, \dots, m$ , we want to measure the deviations  $\mathbf{f}_i - \bar{\mathbf{f}}$  along the direction  $\mathbf{n}$ .
- ◆ These deviations can be quantified by the inner products  $|\mathbf{n}^\top (\mathbf{f}_i - \bar{\mathbf{f}})|$  for all  $i$ .
- ◆ Thus, the average quadratic variation in direction  $\mathbf{n}$  is measured by the energy

$$\begin{aligned}
 E(\mathbf{n}) &= \frac{1}{m} \sum_{i=1}^m |\mathbf{n}^\top (\mathbf{f}_i - \bar{\mathbf{f}})|^2 \\
 &= \frac{1}{m} \sum_{i=1}^m \mathbf{n}^\top (\mathbf{f}_i - \bar{\mathbf{f}}) (\mathbf{f}_i - \bar{\mathbf{f}})^\top \mathbf{n} \\
 &= \mathbf{n}^\top \left( \frac{1}{m} \sum_{i=1}^m (\mathbf{f}_i - \bar{\mathbf{f}}) (\mathbf{f}_i - \bar{\mathbf{f}})^\top \right) \mathbf{n} \\
 &= \mathbf{n}^\top \mathbf{Q} \mathbf{n}.
 \end{aligned}$$

## Important Properties (3)



- ◆ Now consider a normalised eigenvector  $\mathbf{v}_j$  to an eigenvalue  $\lambda_j$  of  $\mathbf{Q}$ .
- ◆ This gives

$$E(\mathbf{v}_j) = \mathbf{v}_j^\top \mathbf{Q} \mathbf{v}_j = \mathbf{v}_j^\top (\lambda_j \mathbf{v}_j) = \lambda_j \underbrace{\mathbf{v}_j^\top \mathbf{v}_j}_1 = \lambda_j.$$

Thus,  $\lambda_j$  quantifies the quadratic shape variation in the eigendirection  $\mathbf{v}_j$ .

- ◆ This shows that  **$\mathbf{Q}$  is a perfect tool for describing shape variations:**
  - The variation along a direction  $\mathbf{n}$  is given by the quadratic form  $\mathbf{n}^\top \mathbf{Q} \mathbf{n}$ .
  - The eigenvectors of  $\mathbf{Q}$  specify the most characteristic directions of the variation.
  - The eigenvalues measure the average quadratic variation along the eigenvectors.

## Important Properties (4)



### Efficient Data Representation

- ◆ Usually, only  $k$  out of the  $m$  nonvanishing eigenvalues  $\lambda_1, \dots, \lambda_m$  of  $Q$  are *significantly different* from zero ( $k \ll m$ , for instance  $k = 5$  and  $m = 1000$ ).
- ◆ Thus, we can approximate the  $m$  images  $f_1, \dots, f_m$  using  $\bar{f}$  and the  $k$  most significant eigenvectors  $v_1, \dots, v_k$  of the covariance matrix:

$$f_i \approx \bar{f} + \sum_{j=1}^k \alpha_{i,j} v_j \quad (i = 1, \dots, m).$$

- ◆ Since  $m$  represents the number of different directions and illumination variants, one has a much more compact representation:
  - Every convex combination of the initial images  $f_1, \dots, f_m$  can be approximated very well by using only  $\bar{f}$  and  $v_1, \dots, v_k$ .
  - Thus, the subspace spanned by  $v_1, \dots, v_k$  together with  $\bar{f}$  describes the space of learnt 2-D views of the 3-D object.
  - Since the eigenvectors are orthogonal, this representation is very compact: It contains no redundancy.

1	2
3	4
5	6
7	8
9	10
11	12
13	14
15	16
17	18
19	20
21	22
23	24
25	26
27	28
29	30
31	32

## Important Properties (5)



### Be Careful !

- ◆ Remember that the images  $f_i$  must describe objects that are normalised in size: Already small perturbations may have a large impact on the vector representation of the image.
- ◆ The individual images should not be too different. Otherwise the eigenvalues of the covariance matrix will not decrease rapidly.
- ◆ Face recognition is a good application: Face images are relatively similar, and it is easy to normalise them.

1	2
3	4
5	6
7	8
9	10
11	12
13	14
15	16
17	18
19	20
21	22
23	24
25	26
27	28
29	30
31	32

## Computational Aspects

### How Expensive is a Naive Implementation of the Method?

- ◆ Note that the covariance matrix  $\mathbf{Q} \in \mathbb{R}^{N \times N}$  can be very large.
- ◆ Example:
  - Images of size  $256 \times 256$  pixels yield  $N = 65536$ .  
Thus,  $\mathbf{Q}$  has size  $65536 \times 65536$ .
  - Since the matrix  $\mathbf{Q}$  is not sparse, you would not even want to store it:  
17 Gigabytes in float precision!
- ◆ Do not even think about computing all its eigenvalues and eigenvectors directly!
- ◆ Let us now study
  - how we can reduce the problem of computing the eigenvectors and eigenvalues of the large  $N \times N$  matrix  $\mathbf{Q}$  to a much smaller  $m \times m$  matrix  $\mathbf{T}$ .
  - how one can compute the  $k$  largest eigenvalues and their eigenvectors of a matrix in an efficient way.

### Trick for Reducing the Complexity if $m \ll N$

- ◆ It is useful to define the  $N \times m$  matrix  $\mathbf{D} := (\mathbf{f}_1 - \bar{\mathbf{f}} \mid \dots \mid \mathbf{f}_m - \bar{\mathbf{f}})$ .
- ◆ So far we have used the large  $N \times N$  covariance matrix

$$\mathbf{Q} = \frac{1}{m} \sum_{i=1}^m (\mathbf{f}_i - \bar{\mathbf{f}})(\mathbf{f}_i - \bar{\mathbf{f}})^\top = \frac{1}{m} \mathbf{D} \mathbf{D}^\top.$$

- ◆ Since  $m \ll N$ , let us now consider the much smaller  $m \times m$  matrix

$$\mathbf{T} = \frac{1}{m} \mathbf{D}^\top \mathbf{D}.$$

- ◆ The eigensystems of the small matrix  $\mathbf{T}$  and the large matrix  $\mathbf{Q}$  are connected:
  - The  $m$  eigenvalues of  $\mathbf{T}$  are also eigenvalues of  $\mathbf{Q}$ .  
(Moreover,  $\mathbf{T}$  contains all nonvanishing eigenvalues of  $\mathbf{Q}$ :  
The remaining  $N - m$  eigenvalues of  $\mathbf{Q}$  are zero.)
  - If  $\mathbf{w}_i$  is an eigenvector of  $\mathbf{T}$ , then  $\mathbf{v}_i := \mathbf{D} \mathbf{w}_i$  is an eigenvector of  $\mathbf{Q}$ .
- ◆ Note that in general  $|\mathbf{v}_i| \neq 1$ . Thus, do not forget to normalise  $\mathbf{v}_i$ .



### Computational Aspects (3)



#### Why is this so Nice and Simple?

- ◆ Let  $\lambda_i$  be an eigenvalue of the  $m \times m$  matrix  $T = \frac{1}{m} D^\top D$  with eigenvector  $w_i$ :

$$\frac{1}{m} D^\top D w_i = \lambda_i w_i.$$

- ◆ Multiplication with  $D$  from the left gives

$$\frac{1}{m} D D^\top D w_i = \lambda_i D w_i.$$

- ◆ Using  $Q = \frac{1}{m} D D^\top$  and setting  $v_i := D w_i$  yields

$$Q v_i = \lambda_i v_i.$$

- ◆ Hence,  $\lambda_i$  is eigenvalue of the  $N \times N$  matrix  $Q$  with corresponding eigenvector  $v_i$ .

1	2
3	4
5	6
7	8
9	10
11	12
13	14
15	16
17	18
19	20
21	22
23	24
25	26
27	28
29	30
31	32

### Computational Aspects (4)



#### Computing the Dominant Eigenvector: The Power Iteration

Let an  $m \times m$  matrix  $T$  be positive semidefinite.

Let  $\lambda_1 > 0$  be its largest eigenvalue with corresponding eigenvector  $w_1$ .

Then we can compute  $\lambda_1$  and  $w_1$  with a very simple iterative algorithm:

- ◆ Initialise with a normalised random vector  $w_1^{(0)} \in \mathbb{R}^m$ .

- ◆ For  $j = 0, 1, 2, \dots$  do:

- Compute the matrix–vector product

$$\tilde{w}_1^{(j+1)} := T w_1^{(j)}.$$

- Compute its norm

$$\lambda_1^{(j+1)} := |\tilde{w}_1^{(j+1)}|.$$

- Normalise:

$$w_1^{(j+1)} := \tilde{w}_1^{(j+1)} / \lambda_1^{(j+1)} \quad \text{if } \lambda_1^{(j+1)} \neq 0.$$

Then with probability 1, the following convergence results hold:

- ◆  $\lambda_1^{(j)}$  converges to the dominant eigenvalue  $\lambda_1$ .

- ◆  $w_1^{(j)}$  converges to the dominant eigenvector  $w_1$ .

1	2
3	4
5	6
7	8
9	10
11	12
13	14
15	16
17	18
19	20
21	22
23	24
25	26
27	28
29	30
31	32

## Why Does This Work?

- ◆ Let  $\mathbf{w}_1, \dots, \mathbf{w}_m$  be the orthonormal eigenvectors of  $\mathbf{T}$  to the eigenvalues  $\lambda_1, \dots, \lambda_m$ . Assume that  $\lambda_1 > \lambda_2 \geq \dots \geq \lambda_m \geq 0$ .

- ◆ Represent the random initial vector  $\mathbf{u} := \mathbf{w}_1^{(0)}$  in this orthonormal basis:

$$\mathbf{u} = \sum_{\ell=1}^m \alpha_{\ell} \mathbf{w}_{\ell}.$$

- ◆ Using  $\mathbf{T}\mathbf{w}_{\ell} = \lambda_{\ell} \mathbf{w}_{\ell}$  we obtain

$$\mathbf{T}^j \mathbf{u} = \sum_{\ell=1}^m \alpha_{\ell} \lambda_{\ell}^j \mathbf{w}_{\ell} = \lambda_1^j \left( \alpha_1 \mathbf{w}_1 + \sum_{\ell=2}^m \alpha_{\ell} \left( \frac{\lambda_{\ell}}{\lambda_1} \right)^j \mathbf{w}_{\ell} \right).$$

- ◆ From  $\left| \frac{\lambda_{\ell}}{\lambda_1} \right| < 1$  it follows that  $\mathbf{T}^j \mathbf{u}$  approximates  $\lambda_1^j \alpha_1 \mathbf{w}_1$  for large  $j$ .

- ◆ With probability 1 we have  $\alpha_1 \neq 0$ . Then  $\mathbf{T}^j \mathbf{u} \rightarrow \lambda_1^j \alpha_1 \mathbf{w}_1$  implies:

- $\mathbf{T}^{j+1} \mathbf{u} \approx \lambda_1 \mathbf{T}^j \mathbf{u}$  for large  $j$ .
- Normalising  $\mathbf{T}^j \mathbf{u}$  approximates  $\mathbf{w}_1$ .

The Power Iteration for the  $k$  Most Dominant Eigenvectors

- ◆ Assume that we have already computed the  $k-1$  most dominant eigenvalues  $\lambda_1, \dots, \lambda_{k-1}$  of  $\mathbf{T}$ , along with their normalised eigenvectors  $\mathbf{w}_1, \dots, \mathbf{w}_{k-1}$ .

- ◆ Consider the modified matrix

$$\mathbf{T}_k := \mathbf{T} - \sum_{i=1}^{k-1} \lambda_i \mathbf{w}_i \mathbf{w}_i^{\top}.$$

- ◆ Then  $\mathbf{T}_k$  has the dominant eigenvalue  $\lambda_k$  with corresponding eigenvector  $\mathbf{w}_k$ .
- ◆ Thus,  $\lambda_k$  and  $\mathbf{w}_k$  can be obtained with the power method for  $\mathbf{T}_k$  instead of  $\mathbf{T}$ .
- ◆ This extension of the power method is called the *method of deflation*.

## Why Do I Have to Learn All This Mathematics?

- ◆ Because you simply have no insights how to solve problems efficiently without it.



<http://www.saildart.org/jmc2012.jpg>

*He who refuses to do arithmetic is doomed to talk nonsense.*

John McCarthy (1927–2011)  
Father of Artificial Intelligence

## Application to Object Recognition (1)

## Application to Object Recognition

## Training Phase

- ◆ Create  $m$  normalised image vectors  $\mathbf{f}_1, \dots, \mathbf{f}_m \in \mathbb{R}^N$  of a 3-D object, using different directions and illumination conditions.
- ◆ Compute the average image  $\bar{\mathbf{f}}$  and the covariance matrix  $\mathbf{Q}$  (computing the smaller matrix  $\mathbf{T}$  instead of  $\mathbf{Q}$  is sufficient).
- ◆ Compute the  $k$  largest eigenvalues and their eigenvectors of  $\mathbf{Q}$  (via  $\mathbf{T}$ ).
- ◆ This  $k$ -dimensional subspace of the  $\mathbb{R}^N$  characterises the image object.
- ◆ Typical numbers:

$$N = 256^2 = 65536, \quad m = 1000, \quad k = 5.$$

Thus, we identify a 3-D object that we have learnt from 1000 images with a 5-dimensional subspace in a 65536-dimensional space.  
This subspace is spanned by the 5 most dominant eigenvectors (*eigenimages*) of  $\mathbf{Q}$ .  
Different objects create different 5-dimensional subspaces.

## Application to Object Recognition (2)



### Recognition Phase

#### ◆ Given:

- a data base where different objects are represented by different subspaces
- a new image vector  $g$ , for which we want to identify the corresponding object in the data base (i.e. we want to find the closest subspace to  $g$ )

#### ◆ Normalise the image $g$ such that it has mean 0 and norm 1.

#### ◆ Compare $g$ with every 3-D object in the data base:

- This is done by computing its projection to the corresponding subspace.
- This projection to a subspace with  $k$  orthonormal vectors  $v_1, \dots, v_k$  is given by

$$\sum_{i=1}^k (g^\top v_i) v_i.$$

- The distance between  $g$  and this subspace is given by

$$\left| g - \sum_{i=1}^k (g^\top v_i) v_i \right|^2.$$

#### ◆ The closest subspace gives the most similar object in the data base.

1	2
3	4
5	6
7	8
9	10
11	12
13	14
15	16
17	18
19	20
21	22
23	24
25	26
27	28
29	30
31	32

## Application to Shape Variation (1)



### Application to Shape Variation

#### Example

- ◆ In medical imaging, organs can have very complicated shapes.
- ◆ One would like to describe them with a few parameters only.

#### Shape Representation via Contours

- ◆ Shapes are characterised by their contours.
- ◆ Contours can be described e.g. by their *Fourier descriptors*:
  - interpret contour with  $N$  points  $(x_j, y_j)$  as  $N$  complex numbers  $z_j = x_j + iy_j$
  - 1-D Fourier transform  $(\hat{z}_0, \dots, \hat{z}_{N-1})$  gives Fourier descriptors
  - convenient for contour operations such as
    - smoothing by low-pass filtering
    - more compact contour representations with only a few low frequencies
- ◆ This vector of coefficients can also be used for an eigenspace representation. It is smaller and more appropriate than a vector with all image pixels.

1	2
3	4
5	6
7	8
9	10
11	12
13	14
15	16
17	18
19	20
21	22
23	24
25	26
27	28
29	30
31	32

## Application to Shape Variation (2)

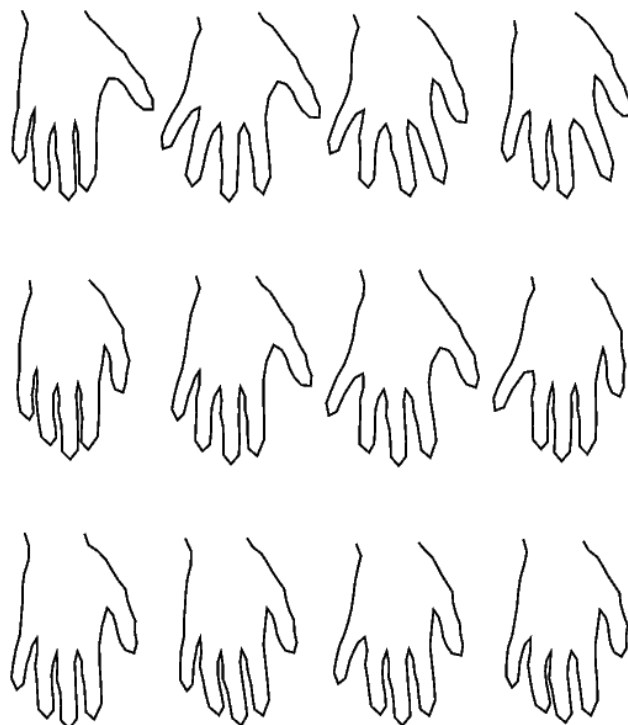


### Analysing and Visualising Shape Variation

- ◆ One learns the shape in eigenspace.  
Often a small number of significant eigenvectors is sufficient, e.g.  $k = 4$ .
- ◆ The corresponding eigenvalues  $\lambda_i$  measure the shape variation along the eigenvectors  $v_i$ .
- ◆ Often one considers  $\bar{f} + \alpha v_i$  and varies the weight  $\alpha$  in the interval  $[-2\lambda_i, 2\lambda_i]$ .  
This creates a good visual impression of the influence of the eigenvector  $v_i$ .
- ◆ This shape variation along the eigenvectors gives the so-called *modes*.
- ◆ Such an *active shape model* can be used e.g. within flexible, knowledge-based segmentation methods.

1	2
3	4
5	6
7	8
9	10
11	12
13	14
15	16
17	18
19	20
21	22
23	24
25	26
27	28
29	30
31	32

## Application to Shape Variation (3)



Training set for characterising the shape variation of hands. Authors: T. F. Cootes, C. J. Taylor.

1	2
3	4
5	6
7	8
9	10
11	12
13	14
15	16
17	18
19	20
21	22
23	24
25	26
27	28
29	30
31	32

## Application to Shape Variation (4)

Mode 1



Mode 2



Mode 3



Shape variation of hands. The first three modes are depicted, i.e. variations along the eigenvectors with the three largest eigenvalues are considered. Authors: T. F. Cootes, C. J. Taylor.

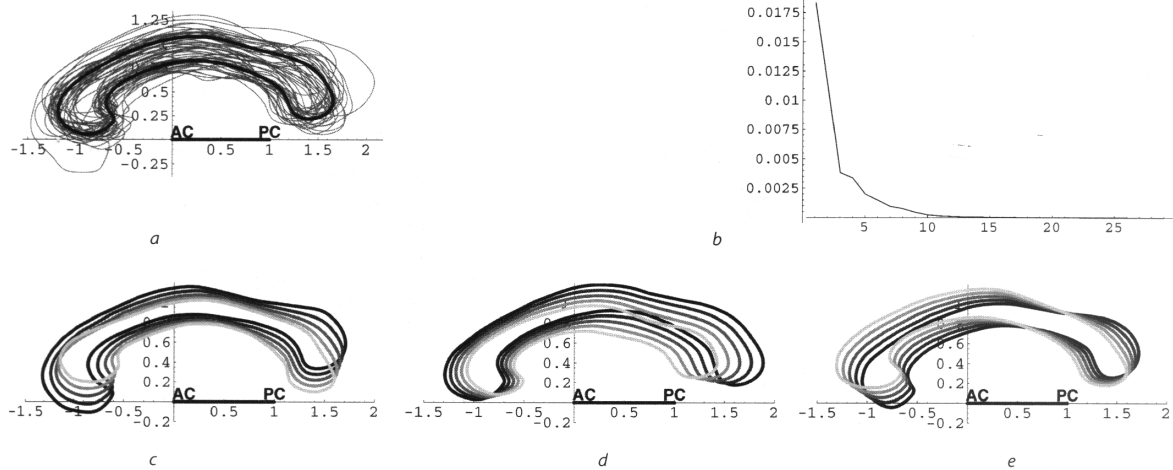
## Application to Shape Variation (5)



Shape variation in face recognition. The first two modes ( $\pm 3$  standard deviations). Authors: T. F. Cootes, C. J. Taylor.

Application to Shape Variation (6)

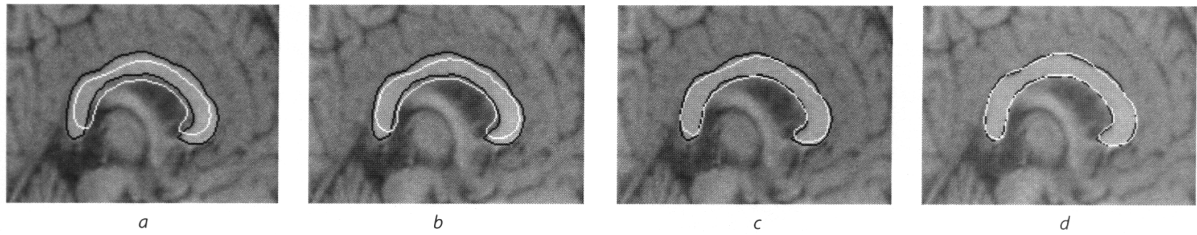
1	2
3	4
5	6
7	8
9	10
11	12
13	14
15	16
17	18
19	20
21	22
23	24
25	26
27	28
29	30
31	32



Shape variation in a medical application. (a) Training set (corpus callosum). (b) Magnitude of the eigenvalues. (c),(d),(e) The first three modes. Authors: G. Székely, G. Gerig.

Application to Shape Variation (7)

1	2
3	4
5	6
7	8
9	10
11	12
13	14
15	16
17	18
19	20
21	22
23	24
25	26
27	28
29	30
31	32



From left to right: Adaptation of the trained shape to the object by adding 1, 2, or 3 eigenvectors to the average shape. Knowing the average shape and the three most significant eigenvectors from a medical data base, only three parameters are required to describe a given shape almost perfectly. Authors: G. Székely, G. Gerig.

## Summary

- ◆ Eigenspace methods consider images of a 3-D object as vectors  $f_1, \dots, f_m$ .
- ◆ They perform a principal component analysis (PCA) of their covariance matrix

$$Q = \frac{1}{m} \sum_{i=1}^m (f_i - \bar{f})(f_i - \bar{f})^\top.$$

- ◆ In the training phase, objects are represented by low-dimensional subspaces. They are spanned by the  $k$  most dominant eigenvectors of the covariance matrix.
- ◆ In the recognition phase, one searches for the subspace that describes the new image best.
- ◆ flexible tool for a compact representation, even for highly complicated shapes
- ◆ useful e.g. in face recognition and knowledge-based medical image analysis
- ◆ requires normalisation of the input images

## References

## References

- ◆ E. Trucco, A. Verri: *Introductory Techniques for 3-D Computer Vision*. Prentice-Hall, Upper Saddle River, 1998.  
(This lecture is based on Section 10.4.)
- ◆ T. F. Cootes, C. J. Taylor: *Statistical Models of Appearance for Computer Vision*. Technical Report, Imaging Science and Biomedical Engineering, University of Manchester, England, 2004 ([www.face-rec.org/algorithms/AAM/app\\_models.pdf](http://www.face-rec.org/algorithms/AAM/app_models.pdf)).  
(survey by two of the pioneers of that area)
- ◆ M. Turk, A. Pentland: Eigenfaces for recognition. *Journal of Computational Neuroscience*, Vol. 3, No. 1, 71–86, 1991.  
(classical paper on PCA-based face recognition)
- ◆ L. Eldén: *Matrix Methods in Data Mining and Pattern Recognition*. SIAM, Philadelphia, 2007.  
(book on numerical methods)