

The Conference,

Recalling decision IV/22 of the fourth meeting of the Conference of the Parties,

Noting with appreciation the comprehensive information provided on the trust funds of the Basel Convention by the secretariat,

1. Approves the budget for the Trust Fund for the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal in the amount of 4,201,854 United States dollars for 2001 and 4,201,854 United States dollars for 2002 as contained in annex I to the present decision, reduces the reserve and fund balance for the years 2001 and 2002 by 1,200,000 United States dollars per annum and establishes the level of contributions accordingly. The contributions by the Parties are allocated according to the tables in annex II to the present decision;

2. Notes the continued increase in the level of reserve and fund balance in the Trust Fund for the Implementation of the Basel Convention;

3. Requests the secretariat to seek guidance from the Expanded Bureau to work towards a reduction in the reserve and fund balance to a level that is consistent with the practice of the United Nations and in line with the framework of its financial rules and regulations;

4. Expresses its concern over the delays in payment of the agreed contributions by Parties, contrary to the provisions of the terms of reference for the administration of the trust funds for the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal, as contained in paragraph 12 of annex II to decision I/7 of the first meeting of the Conference of the Parties;

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5. Takes note of the budget for the Technical Cooperation Trust Fund to assist developing countries and other countries in need of technical assistance in the implementation of the Basel Convention to the amount of 2,175,250 United States dollars for 2001 and 2,175,250 United States dollars for 2002 as contained in annex III to the present decision;

6. Recognizes that voluntary contributions are essential for the effective implementation of the Convention and invites voluntary contributions to the Technical Cooperation Trust Fund to assist developing countries and other countries in need of technical assistance and to the Trust Fund for the Implementation of the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal from Parties and non-Parties, as well as from other sources;

7. Invites Parties to notify the secretariat of the Basel Convention of all contributions made to the Basel Convention trust funds at the time such payments are made;

8. Requests the secretariat of the Basel Convention to ensure the implementation of all decisions adopted by the Conference of the Parties as approved within the budgets for 2001 and 2002 ;

9. Requests the Executive Secretary of the Basel Convention to report every six months to the Expanded Bureau on all sources of income received, including the reserve and fund balance, as well as actual provisional and projected expenditure and commitments; and further requests the Executive Secretary to report all expenditure against the agreed budget lines;

10. Requests the Expanded Bureau to keep under review the financial information provided by the secretariat including the timeliness and transparency of this information;

11. Requests the secretariat to prepare an updated version of the terms of reference for the administration of the Trust Funds for the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal for consideration by the Working Group for Implementation and adoption by the Conference of the Parties at its sixth meeting;

12. Authorizes the Executive Secretary, in addition to the approved budgets, on an exceptional basis to utilize an amount not exceeding 900,000 United States dollars in the three year period 2000-2002 from the reserve and fund balance of the Trust Fund for the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal for the purpose of implementing prioritized activities relating to the Basel Declaration and decision V/33 on Environmentally Sound Management and requests the Expanded Bureau to keep such implementation under review and the

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Executive Secretary to submit a financial report on the implementation to the Conference of the Parties at its sixth meeting.

## Trust Fund for the Implementation of the Basel Convention

	2001	2002
<b>10 PERSONNEL COMPONENT</b>		
<i>1100 Professional Staff (Title &amp; Grade)</i>		
1101 Executive Secretary (D1)	157,500	157,500
1102 Senior Programme Officer - Technical cluster matters (P5)	146,800	146,800
1103 Senior Programme Officer - Regional Centres/Technology (P5)	146,800	146,800
1104 Senior Programme Officer - Institutional/Sec. Legal WG (P5)	146,800	146,800
1105 First Programme Officer -Scientific Sec. TWG (P4)	130,000	130,000
1106 Programme Officer - Information reporting (P3)	108,000	108,000
1107 Programme Officer - Tech. Cooperation and Training (P3)	108,000	108,000
1108 Programme Officer - External Relations and Public Awareness (P3)	108,000	108,000
1109 Administrative / Fund Management Officer (UNEP)**	-	-
1110 Associate Programme Officer - Computer Systems (P2)	87,600	87,600
<b>1199 Sub-total, Professional Staff</b>	<b>1,139,500</b>	<b>1,139,500</b>
<i>1200 Consultants</i>		
1201 Legal Advice and Support	130,000	130,000
1202 Capacity-building / Technical Guidelines	130,000	130,000
<b>1299 Sub-total, Consultants</b>	<b>260,000</b>	<b>260,000</b>
<i>1300 Administrative Support (Title &amp; Grade)</i>		
1301 Administrative Assistant **	-	-
1302 Senior Secretary - Executive Secretary (G5)	85,200	85,200
1303 Documentation Control/Meetings Assistant (G5)	85,200	85,200
1304 Secretary (G4)	85,200	85,200
1305 Secretary (G4)	85,200	85,200
1306 Secretary (G4)	85,200	85,200
1307 Data Entry Operator (G4)	85,200	85,200
1308 Reproduction & Registry Clerk (G3)	85,200	85,200
1320 Temporary assistance	95,500	95,500
1329 Sub-total	691,900	691,900
<i>Conference Servicing Costs</i>		

### Trust Fund for the Implementation of the Basel Convention

	2001	2002
Conference of the Parties (in six languages, 50% charged to each year)		
1321 Committee for Implementation (one meeting per year, in English only)	300,000	300,000
1322 only)	50,000	50,000
Legal Working Group (3 meetings in a biennium, in English only, 50 % charged to each year)		
1323	48,750	48,750
Technical Working Group (3 meetings in a biennium, in English only, 50% charged to each year)		
1324	48,750	48,750
1325 Extended Bureau (3 meetings per year, in English only)	10,500	10,500
<b>1399 Sub-total Administrative Support and Conference Servicing Costs</b>	<b>1,149,900</b>	<b>1,149,900</b>
1600 Travel on Official Business		
1601 Official Travel	180,000	180,000
<b>1699 Total, Travel On Official Business</b>	<b>180,000</b>	<b>180,000</b>
<b>20 SUB-CONTRACT COMPONENT</b>		
2100 Sub-contracts Component		
2101 Information System	50,000	50,000
<b>2199 Sub-total sub-contracts</b>	<b>50,000</b>	<b>50,000</b>
<b>2999 TOTAL SUB-CONTRACT COMPONENT</b>	<b>50,000</b>	<b>50,000</b>
<b>30 MEETINGS AND CONFERENCES</b>		
3300 Travel and DSA Costs of Participants		
3301 Conference of the Parties	-	-
3302 Committee)	-	-
3303 Legal Working Group (12 persons per meeting)	75,000	75,000
3304 Technical Working Group (12 persons per meeting)	75,000	75,000
3305 Extended Bureau (3 meetings per annum, 7 travels per meeting)	90,555	90,555
<b>3399 Sub-total Meetings and Conferences</b>	<b>240,555</b>	<b>240,555</b>
<b>3999 TOTAL MEETINGS AND CONFERENCES</b>	<b>240,555</b>	<b>240,555</b>
<b>40 EQUIPMENT AND PREMISES COMPONENT</b>		
4100 Expendable Equipment		
4101 Office Supplies	10,500	10,500
4102 Library acquisitions	10,500	10,500

## Trust Fund for the Implementation of the Basel Convention

	2001	2002
4103 Computer Software	10,000	10,000
<b>4199 Sub-total, Expendable Equipment</b>	<b>31,000</b>	<b>31,000</b>
<i>4200 Non Expendable Equipment</i>		
4201 Computer Equipment	14,000	14,000
4202 Printers and other computer equipment	4,000	4,000
4203 Office Furniture and other	5,000	5,000
4204 Multimedia equipment	5,000	5,000
4205 Fax machine	-	-
<b>4299 Sub-total, Non Expendable Equipment</b>	<b>28,000</b>	<b>28,000</b>
<i>4300 Premises</i>		
4301 Office space fees	74,000	74,000
4302 Building maintenance (incl. Cleaning)	24,500	24,500
4303 Security services	-	-
4304 Utilities	25,200	25,200
4305 Insurance	5,300	5,300
<b>4399 Total, Premises</b>	<b>129,000</b>	<b>129,000</b>
<b>4999 TOTAL, EQUIPMENT AND PREMISES COMPONENT</b>	<b>188,000</b>	<b>188,000</b>
<b>50 MISCELLANEOUS COMPONENT</b>		
<i>5100 Operation and Maintenance of Equipment</i>		
5101 Computers / Printers (incl. Rental if necessary)	6,000	6,000
5102 Photocopiers (incl. Rental)	40,000	40,000
5103 Other operational costs	5,300	5,300
<b>5199 Sub-total, Maintenance of Equipment</b>	<b>51,300</b>	<b>51,300</b>
<i>5200 Reporting costs</i>		
5201 Newsletters	21,000	21,000
5202 Publications and other media	78,800	78,800
5203 Translation costs of official meeting documents in the UN languages***	250,000	250,000

## Trust Fund for the Implementation of the Basel Convention

	2001	2002
<b>5299 Sub-total, Reporting costs</b>	<b>349,800</b>	<b>349,800</b>
<i>5300 Sundry</i>		
5301 Communications (telephone, fax, email, internet, etc.)	78,800	78,800
5302 Freight charges	15,800	15,800
5303 Other	5,300	5,300
<b>5399 Sub-total Sundry</b>	<b>99,900</b>	<b>99,900</b>
<i>5400 Hospitality</i>		
5401 Hospitality	9,500	9,500
<b>5499 Sub-total Hospitality</b>	<b>9,500</b>	<b>9,500</b>
<b>5999 TOTAL, MISCELLANEOUS COMPONENT</b>	<b>510,500</b>	<b>510,500</b>
<b>99 TOTAL OPERATIONAL COSTS</b>	<b>3,718,455</b>	<b>3,718,455</b>
13% Programme Support Costs	483,399	483,399
<b>TOTAL BUDGET OF THE TRUST FUND</b>	<b>4,201,854</b>	<b>4,201,854</b>
Deduction from the Reserve and Fund Balance ****	1,200,000	1,200,000
<b>TO BE COVERED BY CONTRIBUTIONS BY PARTIES</b>	<b>3,001,854</b>	<b>3,001,854</b>

\*\* Provided fully by UNEP from 13% Programme Support Cost (PSC).

\*\*\* Limited funds are available in line 5203 for prioritized translation of documents for the Technical Working Group and the Committee for the Implementation.

\*\*\*\* The reserve and fund balance amounts to 5,065,285 at the end of 1998 and is projected to amount to a similar figure at the end of 1999.

PARTIES		United Nations scales of assessments 2000 *	Scale for the Trust Fund with 25% ceiling and countries that contribute less than 0.1 per cent to the UN, not paying	Contributions as per year 2001	Contributions as per year 2002
		(per cent)	(per cent)		
1	Albania	0.003	0.000	0	0
2	Algeria	0.086	0.000	0	0
3	Andorra	0.004	0.000	0	0
4	Antigua and Barbuda	0.002	0.000	0	0
5	Argentina	1.103	1.491	44,769	44,769
6	Armenia	0.006	0.000	0	0
7	Australia	1.483	2.005	60,192	60,192
8	Austria	0.942	1.274	38,234	38,234
9	Bahamas	0.015	0.000	0	0
10	Bahrain	0.017	0.000	0	0
11	Bangladesh	0.010	0.000	0	0
12	Barbados	0.008	0.000	0	0
13	Belgium	1.104	1.493	44,809	44,809
14	Belize	0.001	0.000	0	0
15	Benin	0.002	0.000	0	0
16	Bolivia	0.007	0.000	0	0
17	Botswana	0.010	0.000	0	0
18	Brazil	1.471	1.989	59,705	59,705
19	Bulgaria	0.011	0.000	0	0
20	Burkina Faso	0.002	0.000	0	0
21	Burundi	0.001	0.000	0	0
22	Canada	2.732	3.694	110,887	110,887
23	Cape Verde	0.002	0.000	0	0
24	Chile	0.136	0.184	5,520	5,520
25	China	0.995	1.345	40,385	40,385
26	Colombia	0.109	0.147	4,424	4,424
27	Comoros	0.001	0.000	0	0
28	Costa Rica	0.016	0.000	0	0
29	Cote d'Ivoire	0.009	0.000	0	0
30	Croatia	0.030	0.000	0	0
31	Cuba	0.024	0.000	0	0
32	Cyprus	0.034	0.000	0	0
33	Czech Republic	0.107	0.145	4,343	4,343
34	Democratic Republic of the Congo	0.007	0.000	0	0
35	Denmark	0.692	0.936	28,087	28,087
36	Dominica	0.001	0.000	0	0
37	Ecuador	0.020	0.000	0	0
38	Egypt	0.065	0.000	0	0
39	El Salvador	0.012	0.000	0	0
40	Estonia	0.012	0.000	0	0
41	Finland	0.543	0.734	22,039	22,039
42	France	6.545	8.850	265,650	265,650
43	Gambia	0.001	0.000	0	0
44	Georgia	0.007	0.000	0	0
45	Germany	9.857	13.328	400,079	400,079
46	Greece	0.351	0.475	14,246	14,246
47	Guatemala	0.018	0.000	0	0
48	Guinea	0.003	0.000	0	0
49	Honduras	0.003	0.000	0	0
50	Hungary	0.120	0.162	4,871	4,871
51	Iceland	0.032	0.000	0	0
52	India	0.299	0.404	12,136	12,136
53	Indonesia	0.188	0.254	7,631	7,631
54	Iran (Islamic Republic of)	0.161	0.218	6,535	6,535
55	Ireland	0.224	0.303	9,092	9,092
56	Israel	0.350	0.473	14,206	14,206
57	Italy	5.437	7.351	220,679	220,679
58	Japan	20.573	25.000	750,464	750,464
59	Jordan	0.006	0.000	0	0
60	Kuwait	0.128	0.173	5,195	5,195
61	Kyrgyzstan	0.006	0.000	0	0
62	Latvia	0.017	0.000	0	0
63	Lebanon	0.016	0.000	0	0
64	Liechtenstein	0.006	0.000	0	0
65	Lithuania	0.015	0.000	0	0
66	Luxembourg	0.068	0.000	0	0
67	Madagascar	0.003	0.000	0	0
68	Malawi	0.002	0.000	0	0
69	Malaysia	0.183	0.247	7,428	7,428
70	Maldives	0.001	0.000	0	0
71	Mauritania	0.001	0.000	0	0
72	Mauritius	0.009	0.000	0	0
73	Mexico	0.995	1.345	40,385	40,385
74	Micronesia (Federated States of )	0.001	0.000	0	0



	PARTIES	United Nations scales of assessments 2000 *	Scale for the Trust Fund with 25% ceiling and countries that contribute less than 0.1 per cent to the UN, not paying	Contributions as per year 2001	Contributions as per year 2002
		(per cent)	(per cent)		
75	Monaco	0.004	0.000	0	0
76	Mongolia	0.002	0.000	0	0
77	Morocco	0.041	0.000	0	0
78	Mozambique	0.001	0.000	0	0
79	Namibia	0.007	0.000	0	0
80	Nepal	0.004	0.000	0	0
81	Netherlands	1.632	2.207	66,240	66,240
82	New Zealand	0.221	0.299	8,970	8,970
83	Nicaragua	0.001	0.000	0	0
84	Niger	0.002	0.000	0	0
85	Nigeria	0.032	0.000	0	0
86	Norway	0.610	0.825	24,759	24,759
87	Oman	0.051	0.000	0	0
88	Pakistan	0.059	0.000	0	0
89	Panama	0.013	0.000	0	0
90	Papua New Guinea	0.007	0.000	0	0
91	Paraguay	0.014	0.000	0	0
92	Peru	0.099	0.000	0	0
93	Philippines	0.081	0.000	0	0
94	Poland	0.196	0.265	7,955	7,955
95	Portugal	0.431	0.583	17,494	17,494
96	Qatar	0.033	0.000	0	0
97	Republic of Korea	1.006	1.360	40,832	40,832
98	Republic of Moldova	0.010	0.000	0	0
99	Romania	0.056	0.000	0	0
100	Russian Federation	1.077	1.456	43,714	43,714
101	Saint Kitts and Nevis	0.001	0.000	0	0
102	Saint Lucia	0.001	0.000	0	0
103	Saint Vincent and the Grenadines	0.001	0.000	0	0
104	Saudi Arabia	0.562	0.760	22,811	22,811
105	Senegal	0.006	0.000	0	0
106	Seychelles	0.002	0.000	0	0
107	Singapore	0.179	0.242	7,265	7,265
108	Slovakia	0.035	0.000	0	0
109	Slovenia	0.061	0.000	0	0
110	South Africa	0.366	0.495	14,855	14,855
111	Spain	2.591	3.503	105,164	105,164
112	Sri Lanka	0.012	0.000	0	0
113	Sweden	1.079	1.459	43,795	43,795
114	Switzerland	1.215	1.643	49,315	49,315
115	Syrian Arab Republic	0.064	0.000	0	0
116	Thailand	0.170	0.230	6,900	6,900
117	The former Yugoslav Republic of	0.004	0.000	0	0
118	Trinidad and Tobago	0.016	0.000	0	0
119	Tunisia	0.028	0.000	0	0
120	Turkey	0.440	0.595	17,859	17,859
121	Turkmenistan	0.006	0.000	0	0
122	Uganda	0.004	0.000	0	0
123	Ukraine	0.160	0.216	6,494	6,494
124	United Arab Emirates	0.178	0.241	7,225	7,225
125	United Kingdom of Great Britain and	5.092	6.885	206,676	206,676
126	United Republic of Tanzania	0.003	0.000	0	0
127	Uruguay	0.048	0.000	0	0
128	Uzbekistan	0.025	0.000	0	0
129	Venezuela	0.160	0.216	6,494	6,494
130	Viet Nam	0.007	0.000	0	0
131	Yemen	0.010	0.000	0	0
132	Zambia	0.002	0.000	0	0
133	European Community	2.500	2.500	75,046	75,046
	<b>TOTAL</b>	<b>78.179</b>	<b>100.000</b>	<b>3,001,854</b>	<b>3,001,854</b>

\* United Nations Report of the Committee on Contributions, Supplement No. 11 (A/49/11)  
The UN Scales of Assessment for years 2001 and 2002 will be released in late December 1999.

**Technical Cooperation Trust Fund to Assist Developing Countries and other Countries in need of  
assistance in the implementation of the Basel Convention**

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**Budget for 2001 - 2002**

(In US dollars)

	2001	2002
<b>30 TRAINING AND MEETINGS COMPONENT</b>		
<i>3100 Training</i>		
3101 Technical Assistance to 10 Countries	272'500	272'500
3102 Capacity Building (10 + Travel & DSA)	100'000	100'000
3103 Technical Assistance and Technology Matters (10 + Travel & DSA)	100'000	100'000
<b>3199 Sub Total Training</b>	<b>472'500</b>	<b>472'500</b>
<i>3200 Group Training</i>		
3201 Regional / Sub-Regional Workshops and Seminars (1 per region per year)	157'500	157'500
3202 Technology Centres (12 Centres)	400'000	400'000
3203 Assistance to Developing Countries in Development of Information System (26 Countries)	260'000	260'000
<b>3299 Sub-total Group Training</b>	<b>817'500</b>	<b>817'500</b>
<i>3300 Meetings &amp; Conferences</i>		
3301 Sixth Conference of Parties	200,000	200,000
3302 Committee on Implementation (ex. Open-ended Ad Hoc Committee)	105,000	105,000
3303 3 Meetings per biennium - Technical Working Group	150,000	150,000
3304 3 Meetings per biennium - Informal Legal Working Group	75,000	75,000
<b>3299 Sub-total Group Training</b>	<b>530,000</b>	<b>530,000</b>
<b>3999 TOTAL MEETINGS AND CONFERENCES</b>	<b>1,820,000</b>	<b>1,820,000</b>
<b>99 TOTAL OPERATION COST</b>	<b>1,820,000</b>	<b>1,820,000</b>
<b>GRAND TOTAL OPERATIONAL COSTS</b>	<b>1,820,000</b>	<b>1,820,000</b>
13% Programme Support Costs	236,600	236,600
<b>TOTAL BUDGET OF THE TRUST FUND</b>	<b>2,056,600</b>	<b>2,056,600</b>