

1. Prove that for finite dimensional vector spaces  $U$  and  $V$  over  $F$ , and  $\varphi \in \text{End}(U)$ ,  $\psi \in \text{End}(V)$ , we have

$$\det(\varphi \oplus \psi) = \det(\varphi) \det(\psi).$$

[Hint: Explain why  $(\varphi \oplus \text{id})(\text{id} \oplus \psi) = \varphi \oplus \psi$  and  $\det(\varphi \oplus \text{id}) = \det(\varphi)$ . Recall that while determinant is independent of choice of basis, choosing a basis helps us actually compute it.]

*Proof.* We have that,

$$\varphi \oplus \text{id} = (\varphi(u), v)$$

for any  $u \in U$  and  $v \in V$ . Moreover, we have,

$$(\text{id} \oplus \psi) = (u, \psi(v))$$

for any  $u \in U$  and  $v \in V$ . Hence, multiplying these two elements of  $U \oplus V$  yields,

$$\begin{aligned} (\varphi \oplus \text{id})(\text{id} \oplus \psi) &= (\varphi \cdot \text{id}, \text{id} \cdot \psi) \\ &= \varphi(\text{id}(u)), \text{id}(\psi(v)) \\ &= (\varphi(u), \psi(v)) \end{aligned}$$

for all  $u \in U$  and  $v \in V$ .

□

2. Let  $X \in M_n(\mathbb{C})$ , let  $\Lambda$  be the set of eigenvalues for  $X$ , and let  $m_\lambda$  be the multiplicity of  $\lambda \in \Lambda$ . For any of the following, do not assume that  $X$  is in Jordan form, but you may use the *existence* of Jordan form over  $\mathbb{C}$ .

- (i) Show that  $\{\lambda^k \mid \lambda \in \Lambda\}$  are the eigenvalues of  $X^k$ .

*Proof.* Fix  $\lambda \in \Lambda$ . Then there exists a nonzero  $v \in \mathbb{C}$  such that

$$Xv = \lambda v$$

Now consider  $X^k v$ . We have that,

$$\begin{aligned} X^k v &= (X^{k-1} X)v \\ &= X^{k-1}(Xv) \\ &= X^{k-1}(\lambda v) \\ &= \lambda(X^{k-1}v) \end{aligned}$$

Proceeding inductively, we get,

$$X^k v = \lambda^k v$$

Hence,  $\lambda^k$  is an eigenvalue of  $X^k$ . Now suppose there exists an eigenvalue  $\alpha$  of  $X^k$  which is not in the set  $\{\lambda^k \mid \lambda \in \Lambda\}$ . □

- (ii) If  $X^k = I$ , what are the possible eigenvalues of  $X$ ?

*Proof.* If  $X^k = I$ , we must have that for any eigenvalue  $\lambda$  of  $X$ , there exists a nonzero  $v \in \mathbb{C}$  such that,

$$\begin{aligned} X^k v &= Iv \\ &= v \\ &= \lambda v \end{aligned}$$

That is,

$$v = \lambda v$$

Multiplying by  $v^{-1}$  on the right on both sides of the equality yields

$$1 = \lambda$$

Since by part (i) we have that all of the eigenvalues of  $X^k$  are characterized by the eigenvalues of  $X$  raised to the  $k$ th power, this must be the only possible eigenvalue of  $X$ .  $\square$

(iii) Show

$$\text{tr}(X) = \sum_{\lambda \in \Lambda} \lambda m_\lambda \quad \text{and} \quad \det(X) = \prod_{\lambda \in \Lambda} \lambda^{m_\lambda}.$$

*Proof.* Let us denote  $X$  in Jordan form by the matrix  $Y$ . We know that the eigenvalues will be placed along the diagonal of  $Y$ .  $\square$

3. Let  $F$  be a field. The (*first*) Weyl algebra  $W$  is the  $F$ -algebra generated by  $a$  and  $b$ , with the relation

$$ba = ab - 1. \tag{1}$$

Specifically, this means start with the (free) monoid generated by  $a$  and  $b$ ,

$$\langle\langle a, b \rangle\rangle = \{1, a, b, a^2, ab, b^2, a^3, a^2b, aba, ab^2, ba^2, bab, \dots\},^1$$

use it to build the *monoid algebra* (just like the group algebra, only spanned by a monoid)

$$F\langle\langle a, b \rangle\rangle = \left\{ \sum_{\substack{w \in \langle\langle a, b \rangle\rangle \\ (\text{fin.})}} \alpha_w w \mid \alpha_w \in F \right\},$$

and finally, impose the additional relation  $ba = ab - 1$  (which is equivalent to taking a quotient by the principal ideal  $(ab - ba - 1)$ ). Some examples of elements of this algebra include

$$1, \quad 32 + a - 17ab, \quad \text{and} \quad a + a^2 + a^{52} - b - 8abab^{10}.$$

However, in the presence of the relation (1), there may be more than one way to write any given element (i.e.  $\langle\langle a, b \rangle\rangle$  is a basis of  $F\langle\langle a, b \rangle\rangle$  and spans  $W$ , but is not a basis of  $W$  because it's not linearly independent). For example,

$$ba = ab - 1 \quad \text{and} \quad bab = (ba)b = (ab - 1)b = ab^2 - b. \tag{2}$$

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<sup>1</sup>Being the monoid generated by  $a$  and  $b$ , rather than the group generated by  $a$  and  $b$ , means that we don't include **inverses** by default.

- (a) **Claim:**  $W$  is spanned (over  $F$ ) by the set  $S = \{a^m b^n \mid m, n \in \mathbb{Z}_{\geq 0}\}$ .<sup>2</sup>

The main idea of the proof is that we can use the relation (1) to rewrite any element of  $W$  as a linear combination of terms of the form  $a^m b^n$  (where  $a^0 = b^0 = 1$ ), just like we did in (2).

- (i) Rewrite  $aba$ ,  $a^2bab$ , and  $ab^2a$  as a linear combination of terms of the form  $a^m b^n$ .

*Answer.* We have,

$$aba = a(ab - 1) = a^2b - a$$

$$a^2bab = a^2(ab - 1)b = a^3b^2 - b$$

$$ab^2a = ab(ba) = ab(ab - 1) = a(bab - b) = a((ab - 1)b - b) = a^2b^2 - 2ab$$

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- (ii) For any word  $w \in \langle\langle a, b \rangle\rangle$ , define the *length*  $\ell(w)$  as the number of terms in  $w$ ; e.g.  $\ell(aba) = \ell(b^2a) = 3$ ,  $\ell(1) = 0$ . Define the *height*  $\text{ht}(w)$  as the sum over the  $b$ 's in  $w$  of the number of  $a$ 's their right: for example,

$$\text{ht}(a^7ba^2ba) = 3 + 1 = 4, \quad \text{ht}(bab) = 1 + 0, \quad \text{and} \quad \text{ht}(1) = 0.$$
<sup>3</sup>

Verify that in each step of moving  $b$ 's to the right in your calculations in part (i) (i.e. replacing ' $ba$ ' with ' $ab - 1$ ' and expanding) that lengths of the corresponding terms weakly decreased and the heights strictly decreased.

*Answer.* We have,

$$\ell(aba) = 3$$

$$\ell(a^2b - a) = 4$$

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- (iii) Prove the claim.

[Hint: Since  $W$  is spanned by  $\langle\langle a, b \rangle\rangle$ , it suffices to show that any element  $w \in \langle\langle a, b \rangle\rangle$  can be expressed as a linear combination of terms in  $S$  of length less than or equal to the length  $\ell(w)$ . Prove this by induction on  $\ell(w)$  and  $\text{ht}(w)$ . Be careful not to get too bogged down in the details though!]

- (b) The definition of the Weyl algebra was motivated by studying endomorphisms polynomials,  $\text{End}(F[x]) = \text{End}_F(F[x])$  (thinking of  $F[x]$  as a vector space over  $F$ , not as a ring). In particular, define

$$L : F[x] \rightarrow F[x] \quad \text{by} \quad f(x) \mapsto xf(x)$$

and

$$D : F[x] \rightarrow F[x] \quad \text{by} \quad f(x) \mapsto f'(x) := \frac{d}{dx}f(x)$$

( $L$  for “left multiplication” and  $D$  for “derivative”).

- (i) Verify that  $L$  and  $D$  are both elements of  $\text{End}(F[x])$ . [Again, we're thinking of  $F[x]$  as a vector space, not as a ring, so your job is to prove that these are both linear.]

<sup>2</sup>In fact,  $S$  is a basis, but I won't make you prove linear independence.

<sup>3</sup>In  $a^7ba^2ba$ , the first  $b$  has 3  $a$ 's to its right in total, even though they're separated by another  $b$ .

*Answer.* Fix  $f, g \in F[x]$ . Then,

$$\begin{aligned} L(f(x) + g(x)) &= x(f(x) + g(x)) \\ &= xf(x) + xg(x) \\ &= L(f(x)) + L(g(x)) \end{aligned}$$

Now fix  $\alpha \in F$ . Then we have,

$$\begin{aligned} L(\alpha f(x)) &= x(\alpha f(x)) \\ &= (x\alpha)f(x) \\ &= (\alpha x)f(x) \\ &= \alpha(xf(x)) \\ &= \alpha L(f(x)) \end{aligned}$$

Hence,  $L \in \text{End}(F[x])$ .

Now let us consider  $D$ . We can verify that, for  $f, g \in F[x]$  and  $\alpha \in F$ , we get

$$\begin{aligned} D(f(x) + g(x)) &= \frac{d}{dx}(f(x) + g(x)) \\ &= \frac{d}{dx}f(x) + \frac{d}{dx}g(x) \\ &= D(f(x)) + D(g(x)) \end{aligned}$$

and,

$$\begin{aligned} D(\alpha f(x)) &= \frac{d}{dx}(\alpha f(x)) \\ &= \alpha \frac{d}{dx}f(x) \\ &= \alpha D(f(x)) \end{aligned}$$

Thus, we have that  $D \in \text{End}(F[X])$  as well.

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- (ii) Show that  $\varphi : W \rightarrow \text{End}(F[x])$  defined by  $a \mapsto D$  and  $b \mapsto L$  is an  $F$ -algebra homomorphism.<sup>4</sup> [Hint: As usual, if you want to show two maps in  $\text{End}(F[x])$  are equal, the best way to do this is point-wise, i.e. by applying them to the same polynomial.]

*Proof.* We need to show that  $\varphi$  is a homomorphism,  $\varphi$  maps  $1_W$  to  $1_{\text{End}(F[x])}$ , and that  $\varphi(W) \subset Z(\text{End}(F[x]))$ .  $\square$

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To receive credit for this assignment, include the following in your solutions [edited appropriately]:

<sup>4</sup>What is more is that  $\varphi$  is an isomorphism in the case where  $F$  is of characteristic 0. This, together with part (a), proves that  $\text{End}_F(F[x])$  is equal to the set of operators of the form

$$\sum_{\substack{f \in F[x] \\ n \in \mathbb{Z}_{\geq 0} \\ (\text{fin})}} f(L)D^n.$$

**Academic integrity statement:** I *did not violate* the CUNY Academic Integrity Policy in completing this assignment. *Christopher Hayduk*

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