

## 1 Free Group

The free group is implemented in Lean as the set of reduced words. An element of the free group over a type  $S$  of letters is a list of pairs  $S \times \mathbb{Z}$ , the letter and the exponent. A list if the exponent part of every element of the list is non zero, and no two adjacent elements of the list have the same letter. The free group is the set of reduced lists.

Multiplication of elements of the free group is implemented by appending the lists whilst replacing any adjacent occurrences of  $(s, m)$  and  $(s, n)$  with  $(s, m + n)$ , and removing any occurrence of  $(s, 0)$ . Inversion is given by reversing the list and negating the exponent part of every pair. The identity is given by the empty list.

**Definition 1.1** (Length). The length of a word  $w$  in the free group is the sum of the absolute values of the exponent parts of each element of the corresponding reduced list.

## 2 HNN Extensions

**Definition 2.1** (HNN Extension). Given a group  $G$  and subgroups  $A$  and  $B$  of  $G$ , and an isomorphism  $\phi : A \rightarrow B$ , we can define the *HNN extension* relative to  $\phi$  of  $G$ . Let  $\langle t \rangle$  be a multiplicative group isomorphic to  $\mathbb{Z}$ , generated by  $t$ . The HNN extension is the coproduct of  $G$  and  $\langle t \rangle$  quotiented by the normal closure of the set  $\{tat^{-1}\phi(a^{-1}) | a \in A\}$

**Definition 2.2** (HNN normal form). Let  $w = g_0 t^{k_1} g_1 t^{k_2} g_2 \cdots t^{k_n} g_n \in G * \langle t \rangle$ . Then  $w$  is in *HNN normal form* if or every  $i$ ,  $k_i \neq 0$ ,  $k_i > 0$  implies  $g_i \notin A$  and  $k_i < 0$  implies  $g_i \notin B$ .

Note that the HNN normal form is not unique, two words  $w, v \in G * \langle t \rangle$  that are equal after mapping into the HNN extension and both in normal form might not be equal as elements of  $G * \langle t \rangle$ . However if  $w \in G * \langle t \rangle$  maps to 1 in the HNN extension then the following lemma tells us that the unique HNN normal form for  $w$  is 1.

**Theorem 2.3** (Britton's Lemma). Let  $w \in G * \langle t \rangle$ . If  $w$  is in HNN normal form then  $w$  and  $w$  contains a  $t$ , then  $w \neq 1$  cite (On Britton's theorem Charles Miller)

**Corollary 2.3.1.** If a word  $w$  meets the same conditions as in the statement of Britton's Lemma, then  $w$  cannot be written as a  $t$  free word.

*Proof of Corollary 2.3.1* Suppose  $w = g$  with  $g \in G$ , then  $g^{-1}w$  also meets the conditions in Theorem 2.3, and therefore  $gw^{-1} \neq 1$ , contradicting  $w = g$ .

The HNN normalization process given a word  $w \in G * \langle t \rangle$  replaces any occurrences of  $ta$  with  $\phi(a)t$  when  $a \in A$ , and any occurrence of  $t^{-1}b$  with  $\phi^{-1}(b)t^{-1}$  when  $b \in B$ . Applying this rewriting procedure will always produce a word  $w'$  in HNN normal form, such that  $w$  and  $w'$  are equal after quotienting by the defining relations of the HNN extension,  $\{tat^{-1}\phi(a^{-1}) | a \in A\}$ .

The HNN normalization process describes an algorithm for deciding equality if two words  $w, v \in G * \langle t \rangle$  are equal after mapping into the HNN extension. You can check is  $wv^{-1}$  maps to 1 in the HNN extension by applying the rewriting procedure to  $wv^{-1}$ . In order to compute this algorithm, it is necessary to also have an algorithm for checking equality of elements of  $G$  and to be able to check whether an element of  $G$  is in either of the subgroups  $A$  or  $B$ , and to be able to compute  $\phi$ .

### 3 The Proof Certificate

An element of a group  $G$  is equal to 1 in the quotient by the normal closure of a relation  $r$  if and only if it can be written as a product of conjugates of  $r$  and  $r^{-1}$ . More precisely, there is a group homomorphism  $Eval : F(G) \rightarrow G$ , from the free group over  $G$  into  $G$  that sends a basis element of  $F(G)$ ,  $g \in G$  to  $grg^{-1} \in G$ . The image of this map is exactly the kernel of the quotient map. Therefore an element  $p$  of  $F(G)$  such that  $Eval(p) = w$  can be seen as a witness that  $w$  is in the kernel of the quotient map.

**Definition 3.1.** (Eval)  $Eval(r)$  is a map  $F(G) \rightarrow G$ , sending a basis element  $g \in G$  to  $grg^{-1}$ .

**Definition 3.2** (P functor). We define a group structure on this set of pairs. For any  $g \in G$  define an automorphism  $MulFree(g)$  of  $F(G)$ , by sending a basis element  $h \in G$  to  $gh$ . This defines a left action of  $G$  on  $F(G)$ . The group structure is given by the semidirect product. Define the group  $P(G)$  to be

$$P(G) := F(G) \rtimes_{MulFree} G \quad (1)$$

This group has multiplication given by  $(a, b)(a', b') = (aMulFree(b)(a'), bb')$

**Definition 3.2.1** (lhs and rhs). We define two group homomorphisms from  $P$  into  $G$ .  $rhs$  is the obvious map sending  $(a, b)$  to  $b$ .  $lhs$  is the map sending  $(a, b)$  to  $Eval(a)b$ . Since  $Eval(a)$  is in the kernel of the quotient map, for any  $p \in P(G)$ ,  $lhs(p)$  and  $rhs(p)$  are equal in the quotient by  $r$ . Therefore an element  $p$  of  $P(G)$  can be regarded as a certificate of the congruence  $lhs(p) \equiv rhs(p) \pmod{r}$ .

Because both  $lhs$  and  $rhs$  are group homomorphisms, if  $p \in P(G)$  is a certificate of the congruence  $a \equiv b \pmod{r}$ , and  $q$  is a certificate of the congruence  $c \equiv d \pmod{r}$ , then  $pq$  is a certificate of the congruence  $ac \equiv bd \pmod{r}$ . Similarly  $p^{-1}$  is a certificate of the congruence  $a^{-1} \equiv b^{-1} \pmod{r}$ .

**Definition 3.2.2.** ( $P$  is functorial). Given a homomorphism  $f : G \rightarrow H$ , functoriality of the free group gives a natural map  $F(f) : F(G) \rightarrow F(H)$ . Define the map  $P(f) : P(G) \rightarrow P(H)$  to send  $(p, b) \in P(G)$  to  $(F(f)(p), f(b)) \in P(H)$ . Given a certificate of the congruence  $a \equiv b \pmod{r}$ , this map returns a certificate of the congruence  $f(a) \equiv f(b) \pmod{f(r)}$ .

**Definition 3.2.3.** (Trans) Given  $p, q \in P(G)$  such that  $p$  is a certificate of the congruence  $a = b \pmod{r}$ , and  $q$  is a certificate of the congruence  $b = c \pmod{r}$ , then it is possible to define  $Trans(p, q)$  such that  $Trans(p, q)$  is a certificate of the congruence  $a = c \pmod{r}$ . If  $p = (p_1, p_2)$ , and  $q = (q_1, q_2)$ , then  $Trans(p, q) = (p_1q_1, q_2)$ .

**Definition 3.2.4.** (Refl) Given  $a \in G$ ,  $(1, a)$  is a certificate of the congruence  $a = a \pmod{r}$ . Call this  $Refl(a)$ .

It is also possible to define  $Symm$ , such that  $lhs(Symm(p)) = rhs(p)$  and vice versa, but this is not used in the algorithm.

**Definition 3.2.5.** (ChangeRel) Given a certificate  $p$  of the congruence  $a \equiv b \pmod{r}$ , then it is possible to make a certificate of the congruence  $a \equiv b \pmod{grg^{-1}}$  for any  $g \in G$ . Let for any  $g \in G$  let  $\phi(g) : F(G) \rightarrow F(G)$  be the map sending  $h \in G$  to  $hg$ . The  $ChangeRel(g, (p_1, p_2))$  is defined to be  $(\phi(g)(p_1), p_2)$  for  $g \in G$  and  $(p_1, p_2) \in P(G)$ .

## 4 Magnus' Method

We describe an algorithm to check whether an element  $w$  of a one relator group is in the subgroup generated by a set of letters. It also writes  $w$  as an element in terms as a word using only those letters.

### 4.1 Base Case

The base case is the case where the relation  $r$  is of the form  $a^n$  with  $n \in \mathbb{Z}$ , and  $a$  a letter in  $S$ . It is straightforward to decide the word problem in this group, since  $F(S)/a^n$  is isomorphic to the binary coproduct of  $F(S \setminus \{a\})$  and  $\mathbb{Z}/n\mathbb{Z}$ .

### 4.2 Case 1: Letter with exponent sum zero

There are two cases to consider, the first case is when there is a letter  $t$  with exponent sum equal to zero in  $r$ .

For this case apply the map  $\text{AddSubscripts}(t)$  (Definition 4.2) to  $r$ . Since the exponent sum of  $t$  is equal to zero,  $\text{AddSubscripts}(t)(r)$  is of the form  $(r', 0_{\mathbb{Z}})$ . The length (Definition 1.1) of the relation  $r' \in F(S \times \mathbb{Z})$  is less than the length of  $r$ . If  $t \notin T$  and the exponent sum of  $t$  in  $w$  is not zero, then  $w$  can not be written as a word using letters in  $T$ . If  $t \in T$ , then  $w$  can be written in the form  $w't^n$  where  $t$  has exponent sum zero in  $w'$ , and  $w'$  is a word in  $T$  if and only if  $w$  is a word in  $T$ .

A naive approach would be to apply  $\text{AddSubscripts}(t)$  to  $w'$ , and solve the word problem in  $F(S \times \mathbb{Z})$  with respect to  $r'$ . However, this approach does not work because the image of the normal closure of  $r'$  under  $\text{AddSubscripts}(t)$  restricted to  $F(S \times \mathbb{Z})$  is not the normal closure of  $r'$ , it is the normal closure of the set of all relations of the form  $\text{ChangeSubscript}(n)(r')$  for every  $n$ .

We can assume  $r$  is *cyclically reduced* (ref Freiheitssatz), meaning the first and last letter of  $r$  are different, and conjugate  $r$  if this is not the case.

Pick  $x \in S$  such that  $x \neq t$  and if  $t \in T$  then  $x \notin T$ .  $x$  must also be a letter in  $r$ . We can assume that the first letter of  $r$  is  $x$ , since if it is not it can be  $r$  can be conjugated until the first letter is  $x$ . Let  $a$  and  $b$  be respectively the smallest and greatest subscript of  $x$  in  $r'$ . Let  $S'$  be the set  $\{i \in S \setminus \{t\} \times \mathbb{Z} \mid i \neq x \vee a \leq i \leq b\}$ .

Define two subsets of  $S'$ ,  $A := S' \setminus \{x_b\}$ , and  $B := S' \setminus \{x_a\}$ . Then there is an isomorphism  $\phi$  between these two subgroups given by  $\text{ChangeSubscript}(1)$ . The group  $F(S)/r$  is isomorphic to the HNN extension of  $F(S')/r'$  relative to  $\phi$ .

The isomorphism  $\alpha$  from  $F(S)$  to the HNN extension sends a letter  $s \in S \setminus \{t\}$  to  $s_0$  and the letter  $t$  to the stable letter  $t$  of the HNN extension. Since  $ts_it^{-1} = s_{i+1}$  in the HNN extension for  $s_i \in S'$ ,  $r$  is sent to  $r' = 1$  by this map so  $\alpha$  is well defined on the quotient.

$\beta$  sends  $s_i \in S'$  to  $t^i s t^{-i}$  and the stable letter  $t$  to  $t$ . Again,  $r'$  is sent to  $r$  by  $\beta$ , and  $\beta(ts_it^{-1}) = t^{i+1} s t^{-(i+1)} = \beta(\phi(s_i))$  so  $\beta$  preserves the defining relations of the HNN extension and it is well defined. It can be checked  $\beta$  is a two sided inverse to  $\alpha$  and so  $\alpha$  is an isomorphism.

We then apply the HNN normalization procedure, described in detail in Section 4.5. We chose  $x$  and  $t$  such that either  $x \notin T$  or  $t \notin T$ . In either case if  $w$  can be written as a word in  $T$ , then an HNN normal form of  $w$  will be of the form  $gt^n$  with  $g \in F(S')/r'$ . In the case  $x \notin T$ , then because any word in  $F(S')$  not containing  $x_i$  must be in  $A \cap B$ , there can be no occurrence of  $tg$  with  $g \notin A$  or  $t^{-1}g$  if  $t \notin T$ , then it must be possible to write  $w$  without  $t$ , so in fact it can be normalized to  $g \in F(S')/r'$ . We can check whether any words in  $F(S')/r'$  are in the subgroups generated by  $A$  or  $B$  using Magnus' method again for the shorter relation  $r'$ , and rewrite these words using the letters in  $A$  or  $B$  when possible.

Once in the form  $gt^n$  with  $g \in F(S')/r'$ , it is enough to check that  $\text{RemoveSubscript}(g)$  can be written as a word in  $T$ . If  $t \in T$  then this amounts to solving the word problem for  $r'$  and the set  $T' := \{s_i \in S' | s \in T, i \in \mathbb{Z}\}$ . If  $t \notin T$ , this amounts to checking that  $n = 0$  and solving the word problem for  $r'$  and the set  $T' := \{s_0 \in S' | s \in T\}$ .

### 4.3 Case 2: No Letter with exponent sum zero

If there is no letter  $t$  in  $r$  with exponent sum zero, then choose  $y$  and  $t$  such  $y \neq t$  and such that if  $t \notin T$  then  $y \notin T$ . Let  $\alpha$  be the exponent sum of  $t$  in  $r$ , and  $\beta$  the exponent sum of  $y$ .

Then define the map  $\psi$  on  $F(S)$  defined for  $s \in S$  by

$$\psi(s) = \begin{cases} t^\beta & \text{if } s = t \\ yt^{-\alpha} & \text{if } s = y \\ s & \text{otherwise} \end{cases} \quad (2)$$

The map  $\psi$  can be descended to a map  $\bar{\psi} : F(S)/r$  to  $F(s)/\psi(r)$ . The map  $\psi$  is equal to  $\psi_1 \circ \psi_2$ , where  $\psi_2$  and  $\psi_1$  are defined as follows.

$$\psi_1(s) = \begin{cases} yt^{-\alpha} & \text{if } s = y \\ s & \text{otherwise} \end{cases} \quad (3)$$

$$\psi_2(s) = \begin{cases} t^\beta & \text{if } s = t \\ s & \text{otherwise} \end{cases} \quad (4)$$

$\bar{\psi}_1 : F(S)/r \rightarrow F(r)/\psi_1(r)$  is an isomorphism, the inverse given by sending  $y$  to  $yt^\alpha$ .  $\bar{\psi}_2 : F(S)/r$  to  $F(r)/\psi_2(r)$  is also injective. This is proven constructively in Section 4.12. So  $\bar{\psi} : F(S)/r$  to  $F(r)/\psi(r)$  is injective.

The image of the subgroup generated by  $T$  under  $\psi$  might not be the subgroup generated by a set of letters, but it is always contained in the subgroup generated by  $T$ . By the Freiheitsatz if  $\psi(w)$  can be written as a word  $w'$  using letters in  $T$  then this solution is unique. Therefore, to check if  $\psi(w)$  is in the subgroup generated by  $\psi(T)$ , you can first write it as a word in  $w' \in \langle T \rangle$  if possible, and then check if  $w'$  is in  $\psi(T)$ . The exponent sum of  $t$  in  $\psi(r)$  is 0, so the problem of checking if  $\psi(w)$  can be written as a word in  $T$  can be solved using the method described in Section 4.2.

If  $t \in T$  then the subgroup generated by  $\psi(T)$  is generated by  $T' := T \setminus \{t\} \cup t^\beta$ . By the Freiheitsatz if  $\psi(w)$  can be written as a word  $w'$  using letters in  $T$  then this solution is unique.

Therefore, to check if  $\psi(w)$  is in the subgroup generated by  $T'$ , you can first write it as a word in  $w'inT$  if possible, and then check that for every occurrence of  $t^k$  in  $w'$ ,  $k$  is a multiple of  $\alpha$ .

If  $t \notin T$ , then the subgroup generated by  $\psi(T)$  is  $T$ .

#### 4.4 Adding and Removing Subscripts

Given a letter  $t$  in the free group over a set  $S$ , we can define a map into a semidirect product.

**Definition 4.1** (ChangeSubscript). Define a homomorphism *ChangeSubscript* from  $\mathbb{Z}$  to the automorphism group of  $F(S \times \mathbb{Z})$ . If  $(x, n) \in S \times \mathbb{Z}$  is a basis element of the free group, then  $\text{AddBasis}(m)(x, n) = (x, m + n)$ .

**Definition 4.2** (AddSubscripts). There is a homomorphism *AddSubscripts*( $t$ ) from  $F(S)$  into  $F(S \times \mathbb{Z}) \rtimes_{\text{ChangeSubscript}} \mathbb{Z}$  sending a basis element  $s \in S$  to  $(s, 0) \in F(S \times \mathbb{Z})$ , when  $s \neq t$  and sending  $t$  to  $(1, 1_{\mathbb{Z}}) \in F(S \times \mathbb{Z}) \rtimes \mathbb{Z}$ . Loosely, this map replace occurrence of  $t^n a t^{-n}$  with  $a_t$

The map *AddSubscripts* is only used during the algorithm on words  $w$  when the sum of the exponents of  $t$  in  $w$  is zero, meaning the result will always be of the form  $(w', 0_{\mathbb{Z}})$ .

**Definition 4.3** (RemoveSubscripts). *RemoveSubscripts* send a basis element of  $F(S \times \mathbb{Z})$ ,  $(s, n) \in S \times \mathbb{Z}$  to  $t^n s t^{-n}$ .

*RemoveSubscripts* is a group homomorphism and if  $r$  is a word such that of  $\text{Addsubscripts}(r)$  is of the form  $(r', 0_{\mathbb{Z}})$ , then  $\text{RemoveSubscripts}(r') = r$ .

#### 4.5 HNN normalization

We first present a simplified version of the HNN normalization that does not compute the proof certificates, and then explain how to compute the certificates at the same time as normalization.

To compute the HNN normalized term, first compute the following isomorphism from  $F(S)$  into the binary coproduct  $F(S') * \langle t' \rangle$ .  $\langle t' \rangle$  is a cyclic multiplicative group isomorphic to  $\mathbb{Z}$  and generated by  $t'$ .

**Definition 4.4.** Define a map on a basis element  $i$  as follows

$$\begin{cases} i_0 \in S' & i \neq t \\ t' & i = t \end{cases} \quad (5)$$

It is important that  $a \leq 0 \leq b$ , to ensure that this map does map into  $F(S' \times \langle t' \rangle)$ .

Then apply the HNN normalization procedure. For this particular HNN extension  $\phi$  is *ChangeSubscript*. For each occurrence of  $t'w$ , we can use *Solve* to check whether  $w$  is equal to a word  $a \in A$  in the quotient  $F(S')/r'$ , and if it is equal to some word  $a$ , rewrite  $t'w$  to  $\text{ChangeSubscript}(1)(a)t'$ . Similarly, For each occurrence of  $wt'^{-1}$ , use *Solve* to check whether  $w$  is equal to a word  $b \in B$  in the quotient  $F(S')/r'$ , and if it is equal to some word  $b$  rewrite  $t'^{-1}$  to  $\text{ChangeSubscript}(-1)(b)t'^{-1}$ .

#### 4.5.1 Computing Proof Certificates

To compute proof certificates a slightly modification of the procedure described in Section 4.5 is used.

First define a modification of Definition 4.4, from  $F(S)$  into the binary coproduct  $P(F(S \times \mathbb{Z})) * \langle t' \rangle$ .

**Definition 4.5.** Define a map on the basis as follows

$$\begin{cases} \text{Refl}(i, t^0) \in F(S' \times \langle t' \rangle) & i \in S \text{ and } i \neq t \\ t' & i = t \end{cases} \quad (6)$$

There is also a map  $Z$  from  $P(F(S \times \mathbb{Z})) * \langle t' \rangle$  into  $P(F(S))$ . This map is not computed as part of the algorithm, but is useful to define anyway.

**Definition 4.6.** The map  $Z$  sends  $t' \in \langle t' \rangle$  to  $\text{Refl}(t) \in P(F(S))$ . It sends  $p \in P(F(S \times \mathbb{Z}))$  to  $P(\text{RemoveSubscripts})(p) \in P(F(S))$

The aim is to define a normalization process into that turns a word  $w \in F(S)$  into word  $n \in P(F(S \times \mathbb{Z})) * \langle t' \rangle$ , such that after applying  $rhs$ , the same word is returned as in the normalization process described in Section 4.5. We also want  $\text{lhs}(Z(n))$  to be equal to  $w$ , so we end up with a certificate that  $w$  is equal to some normalized word.

**Definition 4.7.** ( $\text{conjP}$ ) Let  $(p, a) \in P(F(S \times \mathbb{Z}))$  and  $k \in \mathbb{Z}$ . Define  $\text{ConjP}$  to map into  $P(F(S \times \mathbb{Z}))$

$$\text{ConjP}(k, (p, a)) = (\text{MulFree}((t, 0)^k, p), \text{ChangeSubscript}(k, a)) \quad (7)$$

$\text{conjP}$  has the property that  $\text{lhs}(Z(\text{conjP}(k, p))) = t^k \text{lhs}(Z(p)) t^{-k}$ , and similarly for  $rhs$ . Note that  $\text{conjP}$  maps into  $P(F(S \times \mathbb{Z}))$ , and not  $P(F(S'))$ , although  $rhs$  of every word computed will be in  $F(S')$ .

The procedure described in Section 4.5 replaced each occurrence of  $wt'^{-1}$  with  $t'^{-1} \text{ChangeSubscript}(-1)(a)$ , where  $a \in A$  was a word equal to  $w \in F(S')$  in the quotient  $F(S')/r'$ .

To compute the proof certificate suppose there is an occurrence of  $pt'^{-1}$  with  $p \in P(F(S \times \mathbb{Z}))$ . Use  $\text{Solve}$  to check whether  $\text{rhs}(p)$  is equal to a word  $a \in A$ . Suppose  $q \in P(F(S'))$  is the certificate of this congruence. Then substitute  $pt'^{-1}$  with  $t'^{-1} \text{ConjP}(1, \text{Trans}(p, q))$ .

Similarly replace for every occurrence of  $pt'$ , with  $\text{rhs}(p)$  equal to some word  $b \in B$ , and  $q$  a certificate of this congruence, replace  $pt'$  with  $t' \text{ConjP}(-1, \text{Trans}(p, q))$ .

#### 4.5.2 Performance

The order in which the rewriting rules are applied can have a big effect on the performance of the algorithm.

**Example 4.7.1.** As an example, suppose  $r' = x_1 y_1 x_0 y_0^{-2}$ , and  $w = t^n x_1 y_1 t y_0^{-1} x_0^{-1}$ , where  $n > 0$ . Then  $S'$  is the set  $\{x_0, x_1\} \cup \{y_i | i \in \mathbb{Z}\}$ ,  $A$  is the subgroup generated by  $S' \setminus x_1$  and  $B$

the subgroup generated by  $S' \setminus x_0$ . Suppose I first make the substitution  $ty_0^{-1}x_0^{-1}$  to  $y_1^{-1}x_1^{-1}t$ , then  $w$  becomes  $t^n x_1 y_1 y_1^{-1} x_1^{-1} t = t^{n+1}$ . This is in HNN normal form.

Now consider trying the HNN normalization process from the left. For any  $m \in \mathbb{Z}$ ,  $(x_1 y_1)^m = (x_0 y_0)^{2m}$ , so the HNN normalization process will rewrite  $t(x_1 y_1)^m t$  to  $(x_1 y_1)^{2m} t$ . Therefore  $t^n x_1 y_1$  will be rewritten to  $(x_1 y_1)^{2^n} t^n$ . So  $w$  gets rewritten to  $(x_1 y_1)^{2^n} t^{n+1} y_0^{-1} x_0^{-1}$ , which then will eventually be rewritten to  $t^{n+1}$ . The maximum length of  $w$  during the normalization process became was greater than  $2^n$ .

**Example 4.7.2.** There are also examples of where starting on the left is more effective. Suppose  $r'$  is the same by  $w$  is now equal to  $t x_0 t^{-1} (x_0 y_0)^2$ . Starting on the left by rewriting  $t x_0$  to  $x_1 t$ ,  $w$  will be rewritten to  $x_1 t t^{-1} (x_0 y_0)^2 = x_1 (x_0 y_0)^2$ . This was put into normal form without ever having to rewrite a word in  $F(S')$ .

Starting on the right is more complicated;  $t^{-1} (x_0 y_0)^2$  must first be rewritten to  $t^{-1} x_1 y_1$  and then  $x_0 y_0 t^{-1}$ . After this  $w$  becomes  $t x_0^2 y_0 t^{-1}$ . This is then rewritten to  $x_1^2 y_1$ . Starting on the right required having to rewrite  $(x_0 y_0)^2$  to  $x_1 y_1$ , invoking a recursive call to *Solve*.

Applying one rewrite rule first might mean that another rewrite is unnecessary, or a call to *Solve* is given an easier problem. It is therefore important to have sensible heuristics to apply the HNN normalization rules in a sensible order.

Normalizing left to right was found to have better performance than normalizing right to left, probably because the cancellation of  $t$ 's in Example 4.7.2 is more likely than cancellation of words in  $F(S')$  as in Example 4.7.1.

**Example 4.7.3.** There are occasions when it is impossible that a rewrite might become easier or unnecessary if other rewrites are applied first. For example consider a word  $twtv$ , with  $w, v \in F(S')$ . Then rewriting  $tw$  to  $\phi(a)t$  where  $a = w$  in  $F(S')/r'$  does not make the second problem any easier, I will still have to check if  $v$  is in  $A$ . However, starting with rewriting  $tv$  to  $\phi(a')t$  with  $a' = v$  in  $F(S')/r'$ , changes the first problem to  $tw\phi(a')$ . Checking  $w\phi(a') \in A$  might be an easier problem than checking  $w \in A$  and  $\phi(a') \in A$ , and will never be a harder problem. So in this example it makes sense to attempt the right hand rewrite first.

Additionally if the right hand rewrite fails, i.e.  $v \notin A$ , then it will not be possible to put the word in the form  $gt^n$  with  $g \in F(S')$ . This means we need not attempt to normalize the rest of the word since we are only interested in the result of HNN normalization if the word can be put into the form  $gt^n$ .

The case in Example 4.7.3 can be generalized. Consider a word  $t^{n_0} w_0 \dots t^{n_{k-2}} w_{k-2} t^{n_{k-1}} w_{k-1} t^{n_k} w_k t^d$ . If  $n_k > 0$  and for every  $m > 0$ ,  $\sum_{i=0}^m n_{k-i} \geq 0$  then in order to put the word in the form  $gt^n$  with  $g \in F(S')$ , then it will definitely be necessary to check  $w_k$  is equal to a word  $a \in A$  in the quotient  $F(S')/r'$  and rewrite  $tw_k$  to  $\phi(a)t$  so this rewrite should be attempted first. There is a similar condition when  $n_k < 0$ .

Consider a word  $t^{n_0} w_0 \dots t^{n_{k-2}} w_{k-2} t^{n_{k-1}} w_{k-1} t^{n_k} w_k t^d$ , then if  $n_i > 0$  and for every  $m > 0$ ,  $\sum_{j=i}^m n_j$  is positive and  $i < k$ , we say the pair  $t^{n_i} w_i$  satisfies Condition 2. When  $t^{n_i} w_i$  satisfies Condition 2, normalizing this pair first will not make any of the rewrites to the right of  $w_i$  any easier; there will be no cancellation of  $ts$ , so this rewrite should be attempted after anything to the right of it.

The exponential behaviour in Example 4.7.1 can be mitigated if the "easiest" rewrites are attempted first. An approximate measure of how easy it is to normalize a pair  $t^{n_i} w_i$  is to count

the number of letters in  $w_i \in F(S')$  that are not the corresponding subgroup,  $A$  if  $n_i > 0$ ,  $B$  if  $n_i < 0$ ; the less letters not in  $A$  (or  $B$  if  $n_i < 0$ ), the easier the problem is.

**Definition 4.8** (thingy). For a pair  $t^{n_i}w_i$ , where  $n_i > 0$  we define  $\text{thingy}(n_i, w_i)$  to be the number of occurrences of letters in  $w_i$  but not in  $A$ , if  $n_i < 0$  it is the number of occurrences of letters in  $w_i$  but not in  $B$ . The number of occurrences to be the sum of the absolute value of the exponents of these letters.

The rewrites are applied with the following priority

- Any pairs  $t_n w_n$  satisfying Condition 1
- Of the pairs that do not satisfy Condition 2, priority is given to the pairs  $t_i^n w_i$  with the smallest value of  $\text{thingy}(n_i, w_i)$ .
- Out of the pairs that have the equal least value of thingy, then attempt to rewrite the leftmost pair first.

#### 4.6 Injectivity

The correctness of the algorithm relies on the fact that the map  $\psi_2$  is an injective map. Since  $\psi_2$  is injective, if  $p \in P(F(S))$  is a witness of the congruence  $\psi_2(a) \equiv \psi_2(b) \pmod{\psi_2(r)}$ , then there must exist a certificate  $q$  of the congruence  $a = b \pmod{r}$ . The question is how to compute this. The proof of this congruence relies on the fact that the canonical maps into an amalgamated product of groups are injective. However this proof relies on the law of the excluded middle, so it cannot be translated into an algorithm to compute  $q$ . (Cite proof of amalgamated product).

Suppose  $p \in P(F(S))$  is a witness of the congruence  $\psi_2(a) = \psi_2(b) \pmod{\psi_2(r)}$ . It is not necessarily the case that  $k$  is a multiple of  $n$  in every occurrence of  $t^k$  in  $p$ . For example  $p := ([t][tr^{-1}t^{-1}][t]^{-1}, 1) \in P(F(S))$  is a witness of the congruence  $r = 1$ . Both  $\text{lhs}(p)$  and  $\text{rhs}(p)$  are in the image of  $\psi_2$  for  $n = 2$ , when  $r$  is in the image of  $\psi_2$ , but  $p$  is not in the image of  $P(\psi_2)$ . However where there are occurrences of  $t$ , they are all cancelled after  $\text{lhs}$  is applied, in fact you could remove every occurrence of  $t$  from  $p$  and still have a certificate of the same congruence.

**Definition 4.9.** Given a word  $w \in F(S)$ , define the set of partial exponent sums of a letter  $t \in S$  to be the set of exponent sums of all the initial words of  $w$ . For example, the partial exponent sums of  $t$  in  $t^n a t$  are the exponent sums of  $t$  in  $t^n$ ,  $t^n a$  and  $t^n a t$ .

**Definition 4.10.** (PowProofAux)  $\text{PowProofAux}$  is a map  $F(S) \rightarrow F(S \cup \{t'\})$ , where  $t'$  is some letter not in  $S$ .  $\text{PowProofAux}$  replaces every occurrence of  $t^k$  with  $t'^a t^b$  in such a way that  $a + nb = k$ , and every partial exponent sum of  $t'$  in  $\text{PowProofAux}(w)$  is either not a multiple of  $n$ , or it is zero.

**Definition 4.11.** (PowProof)  $\text{PowProof}$  is a map  $F(S) \rightarrow F(S)$ .  $\text{PowProof}(w)$  is defined to be  $\text{PowProofAux}(w)$ , but with every occurrence of  $t'$  replaced with 1.

**Theorem 4.12.** For any  $p \in F(F(S))$  if  $\text{Eval}(\psi_2(r))(p) = \psi_2(w)$ , then  $\text{Eval}(r)(F(\text{PowProof})(p)) = w$ .

**Lemma 4.12.1.** Consider  $\prod_{i=1}^a s_i^{k_i}$ , as an element of the  $F(S \cup \{t'\})$  with  $s_i \in S \cup \{t'\}$  (Note that this is not necessarily a reduced word  $k_i$  may be zero and  $s_i$  may be equal to  $s_{i+1}$ ). Suppose



every partial product  $\prod_{i=1}^b s_i^{k_i}$ , with  $b \leq a$  has the property that if the exponent sum of  $t'$  is a multiple of  $n$ , then it is zero. Suppose also that  $\prod_{i=1}^b s_i^{k_i}$  has the property that for every occurrence of  $t'^k$  in the reduced product,  $k$  is a multiple of  $n$ . Then the reduced word  $\prod_{i=1}^a s_i^{k_i}$  can be written without an occurrence  $t'$ .

**Proof of Lemma 4.12.1.**  $\prod_{i=0}^a s_i^{k_i}$  can be written as a reduced word  $\prod_{i=1}^c u_i^{k'_i}$  such that  $k'_i$  is never equal to zero and  $u_i \neq u_{i+1}$  for any  $i$ . The set of partial products of this  $\prod_{i=1}^c u_i^{k'_i}$  is a subset of the set of partial products of  $\prod_{i=1}^a s_i^{k_i}$ , therefore the exponent sum of  $t'$  in every partial product of  $\prod_{i=1}^a s_i^{k_i}$ , is either 0 or not a multiple of  $n$ . However, by assumption every occurrence  $t'^k$  in  $\prod_{i=0}^a s_i^{k_i}$ ,  $k$ , is a multiple of  $n$ , so the exponent sum of  $t'$  in every partial product is 0. So  $\prod_{i=1}^a s_i^{k_i}$  does not contain  $t'$ .

**Proof of Theorem 4.12**

If  $\text{Eval}(\psi_2(r))(p)$  is in the image of  $\psi_2$ , then  $\text{Eval}(r)(\text{PowProofAux}(p))$  has the property that for every occurrence of  $t'^k$ ,  $k$  is a multiple of  $n$ . If  $p' := \text{PowProofAux}(p)$ , then  $\text{Eval}(r)(p')$  can be written as a product of the form in Lemma 4.12.1. If  $r' = \prod_i u_i^{l_i}$ , then to write  $\text{Eval}(r)(p')$  in this form, send  $\left( \prod_i \left[ \prod_{j=1}^a s_{ij}^{k_j} \right], \prod_i v_i^{m_i} \right) \in P(F(S \cup \{t'\}))$ , to

$$\left( \prod_i \left( \prod_{j=1}^a s_{ij}^{k_j} \right) \left( \prod_j u_j^{l_j} \right) \left( \prod_{j=1}^a s_{i(a-j)}^{-k_{a-j}} \right) \right) \prod_i v_i^{m_i} \quad (8)$$

If all the nested products in Equation 8 are appended into one long product, then the product has the form in Lemma 4.12.1. Therefore when the word is reduced it will not contain  $t'$ . This means that deleting all occurrences of  $t'$  will in  $p'$  will not change  $\text{Eval}(r)(p')$ , and therefore  $\text{Eval}(r)(\text{PowProof}(p)) = \text{Eval}(r)(p')$ .