Understanding Metal Oxide RRAM Current Overshoot and Reliability Using Kinetic Monte Carlo Simulation

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Abstract - A Kinetic Monte Carlo (KMC) numerical simulator is developed for metal oxide resistive random access memory (RRAM). In this work, substantial improvements are made on the stochastic model in [1] by including multiple conduction mechanisms, local field and local temperature profile, and tracking of the individual oxygen migration path. The improved simulator shows extended capability to study a full set of RRAM characteristics such as set/forming current overshoot, endurance, and retention, etc. The simulations suggest that 1) eliminating the forming process and decreasing the parasitic capacitance is required for minimizing the overshoot effect and reducing the reset power consumption; 2) the degradation of endurance can be explained by oxygen escaping from the electrode during cycling; 3) the oxygen migration barrier can be extracted from the retention baking test over a suitable temperature range.

I. Introduction

Metal oxide RRAM is a promising candidate for future non-volatile memory application [2-5]. The switching mechanism has been attributed to the formation/rupture of conductive filament (CF) with oxygen vacancies [6-9]. To study the substantial switching variability of HfO_x RRAM, we developed a stochastic model in [1], and suggested that the variability originates from the random oxygen vacancy (Vo) generation/recombination and oxygen ion (O2-) Besides the variability issue, migration processes. understanding the set/forming current overshoot [10] and retention/endurance degradation [11] mechanisms are also required for optimizing RRAM characteristics. In this work, we further improve our stochastic model by taking more physical effects into account, and aim to gain insights on the evolution of CF configuration during the overshoot transient period and during the endurance cycling and retention baking tests.

II. Model and Simulation Description

For the typical bipolar switching RRAM, during the forming/set process (transition from high resistance state (HRS) to low resistance state (LRS)), O²⁻ are pulled out from lattice and Vo are generated and form CF connecting both electrodes (Fig.1). During the reset process (transition from LRS to HRS), CF is ruptured by the recombination of Vo with the O²⁻ that migrate from the oxygen reservoir at the electrode/oxide interface (Fig. 2), thus a tunneling gap is

formed between the electrode and the residual CF. The stochastic model [1] previous considers trap-assisted-tunneling (TAT) is the dominant conduction mechanism at low bias regime for the high resistance state (HRS) in HfO_x RRAM, in which case that the electrons are in the localized states where Vo are far away from each other. In this work, two more conduction mechanisms are added into the simulator (Fig. 3): the FN tunneling at high bias regime for HRS, and the metallic conduction at low resistance state (LRS) in which case that the electrons are partially in the extended states where Vo are very close to each other. The metallic conduction is implemented by a resistor network model [12] composed of non-linear resistors with resistance that exponentially depends on the distance between adjacent Vo. Fig. 4 shows the KMC simulation flow. Given a CF configuration, the current is first calculated. Then the local field and local temperature is given by the resistor network and the Fourier heat transfer equation, respectively. Finally, the CF configuration is updated using a KMC method [1] by calculating the event probability of the Vo generation/recombination and O² migration based on the activation energy of these processes. The local temperature and local field play an important role for determining the CF configuration because the event probability is both field and temperature dependent. The evolution path of every Vo and O²- in the oxide matrix is traced. The simulations are performed on a 2D matrix. Fig. 5 shows the I-V curve of the simulated device with gap ~1nm. Multiple conduction mechanisms contribute to the total current, and the total current exponentially decreases with increasing the gap distance (Fig. 6). Fig. 7 shows an example of the simulated local electric potential in HRS with a gap between top electrode and residual CF. The field is enhanced at the gap region, thus the CF tends to reconnect there during the next set process. Fig. 8 shows an example of the simulated local temperature in LRS when CF connects both electrodes. The raised temperature enhances the O²- migration during the next reset process. The raised temperature is only noticeable in LRS because the heat generation in HRS is negligible.

III. Simulation of Current Overshoot

Current overshoot in RRAM refers to the experimental observation that the reset current is larger than the forming/set compliance current [10]. Fig. 9 shows the simulated I-V with overshoot effect for three cases: 1)

forming with a RC time constant τ =100 ns; 2) forming with τ =10 ns; 3) set with τ =100 ns. (Note 1 pF parasitic capacitance and 100 k Ω R_{on} can lead to 100 ns $\tau).$ Due to the parasitic capacitance, the voltage dropped on RRAM cannot follow the abrupt resistance change. Instead, it exponentially decays after the compliance current is reached (Fig. 10). Therefore, the transient current may achieve a level higher than the pre-defined compliance current (Fig. 11). It is noted that the overshoot is most remarkable for case 1): forming with larger τ (Fig. 11). To understand what happens inside the RRAM cell during the overshoot period, the CF configurations of the three cases are tracked: For a fresh cell, a few intrinsic Vo (in pink color) exist at the grain boundary [13] (Fig. 12). At the onset moment for case 1), the O^{2} (in blue color) start migrating towards the top electrode which is positively biased (Fig. 13). At the end of the overshoot period, the CF grows wider laterally (Fig. 14), suggesting that more Vo are undesirably generated due to the high voltage and high temperature during the overshoot period. Fig. 15 & 16 show the CF configuration at the onset moment and at the end of the overshoot period for case 2): the smaller τ as compared to case 1) effectively shortens the high voltage and high temperature period, thus the overshoot is suppressed with limited CF lateral growth. Fig. 17 shows the CF configuration after the reset with the case 1): the O^{2-} at the interface migrate back and partially rupture CF. Fig. 18 & 19 show the CF configuration at the onset moment and at the end of the overshoot period for case 3): only a portion of the CF tip is connected to the electrode during the next set process, and the overshoot is also suppressed as compared with the initial forming process due to a lower voltage during the overshoot transient period, although the overshoot period length is the same as case 1). Experimentally [14], the reset current after the 1st set are reduced as compared with the reset current right after the forming (Fig. 20). This is because of the reduced overshoot effect in the set as compared to the overshoot of the forming process. To summarize, eliminating the forming process and decreasing the parasitic capacitance by integrating the RRAM cell with a selection transistor or a current limiter with a saturating I-V characteristics is helpful for overcoming the overshoot problem.

IV. Simulation of Reliability

Our simulator can continuously cycle the device, and the DC I-V curves, resistance distribution and switching voltage distribution during the cycling as shown in Fig. 21, 22 & 23 aiming at reproducing the experimental data in [14]. During the endurance test [14], the HRS resistance decreases with the cycling (Fig. 24). To shorten the simulation time, the endurance simulation is performed at 125 °C (Fig. 25), which results in a faster degradation of HRS as compared with the endurance simulation at room temperature (Fig. 26).

The number of available O²⁻ in the cell keeps decreasing during the cycling due to an enhanced escaping rate out of the cell top boundary at 125 °C (Fig. 27), and eventually there are insufficient O²⁻ for recombining Vo, and the device gets stuck at LRS (Fig. 28). The O²- that leak to the top electrode such as TiN may bond with the TiN to form TiON layer [15] thus cannot migrate back. Our simulator can also project the retention behavior versus time (Fig. 29). The LRS resistance increases gradually during a high temperature baking test. The LRS failure time distribution at three baking temperatures is shown in Fig. 30. The slope of extracted mean failure time vs. 1/kT agrees well with O2- migration barrier parameter (~1.3 eV) used in the simulation (Fig. 31), which suggests that the LRS retention failure is caused by the O²- migration from the interfacial oxygen reservoir. When the LRS fails, the tip of CF is ruptured by O² migration back from the interface (Fig. 32).

V. Conclusion

The key achievements in this work include: 1) the evolution of CF configuration during the current overshoot period, endurance cycling and retention baking tests is investigated; 2) eliminating the forming process and decreasing the parasitic capacitance is suggested to be beneficial for minimizing the current overshoot effect; 3) the degradation of endurance is attributed to the oxygen escaping from the electrode; 4) the oxygen migration barrier can be extracted from the retention tests performed at various temperatures. This paper provides new understandings of the current overshoot problem and reliability degradation mechanism, and sets up a platform to study a full set of RRAM characteristics including the variability in the DC sweep, pulse transient, endurance, and retention properties.

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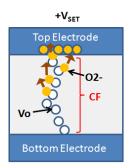
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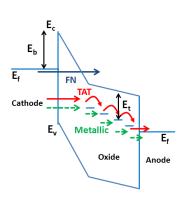
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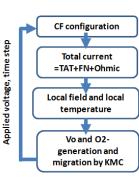
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Top Electrode **Bottom Electrode**



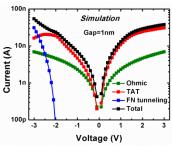


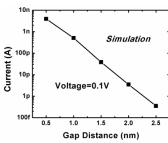
migrate towards interface and Vo of Vo with the O2- that migrate the connecting both electrodes.

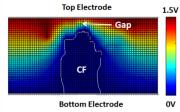
Fig. 1. During the set process, O²⁻ Fig. 2. During the reset process, Fig. reservoir, and a tunneling gap is tunneling,

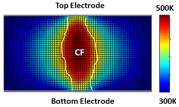
3 Multiple are pulled out from lattice and CF is ruptured by recombination conduction mechanisms through Monte Carlo (KMC) simulator. It RRAM oxide are generated and form CF from the interfacial oxygen Trap-assisted-tunneling (TAT), FN field and temperature solver, and and conduction

electronic Fig. 4 Flow chart of the Kinetic stack: has the current solver, the local metallic the Vo and O² evolution tracker by KMC.







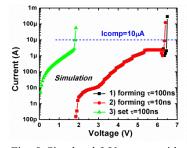


1nm tunneling gap. KMC Vo and and the electrode. O² tracker is turned off.

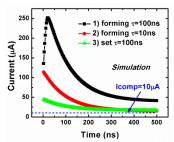
Fig. 5 Conduction components of Fig. 6 The simulated dependence Fig. 7 The simulated local electric Fig. 8 The simulated local the simulated I-V curve of a single of total current on the tunneling potential in HRS with a gap temperature by solving the Fourier 1D Vo chain in RRAM cell with gap distance between the CF tip between top electrode and residual heat conduction equation in LRS

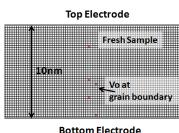
CF. The field is enhanced at the when CF connects both electrodes. gap region, thus the CF tends to The raised temperature enhances reconnect there during the set.

the O²⁻ migration during the reset.



/oltage on RRAM (V) 1) forming τ=100ns - 2) forming τ=10ns - 3) set τ=100ns Simulation 400 500 200 300 Time (ns)



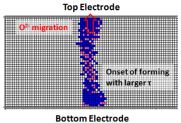


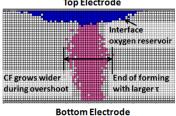
with τ =10ns; 3) set with τ =100ns.

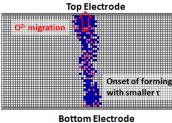
same cases as in Fig. 9.

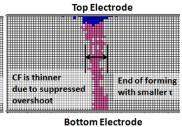
Fig. 9 Simulated I-V curves with Fig. 10 Simulated voltage drop on Fig. 11 Simulated current during Fig. 12 Initial Vo distribution overshoot effect for 3 cases: 1) the RRAM as a function of time the overshoot period for the same along a grain boundary in the forming with τ=100ns; 2) forming during the overshoot period for the cases as in Fig. 9. Overshoot is as-fabricated RRAM cell. Pink observed for case 1).

dots are Vo.









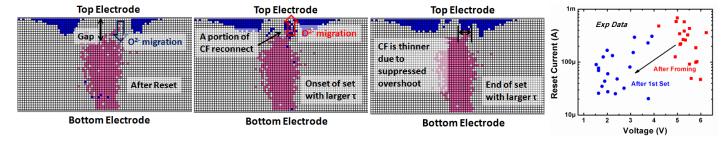
in Fig. 9.

Fig. 13 Vo (pink) and O²⁻ (blue) Fig. 14 Vo (pink) and O²⁻ (blue) Fig. 15 Vo (pink) and O²⁻ (blue) Fig. 16 Vo (pink) and O²⁻ (blue) laterally grows due to the high in Fig. 9. voltage and high temperature in the overshoot period.

distribution at the onset moment distribution at the end of the distribution at the onset moment distribution at the end of the for case 1): forming with τ =100ns, overshoot period for case 1): for case 2): forming with τ =10ns, overshoot period for case 2): corresponding to the black symbol Compared with Fig. 13, CF corresponding to the red symbol Compared with Fig. 14, lateral

growth of CF is suppressed due to a shorter overshoot period caused by smaller RC delay.

26.1.3 IEDM12-587

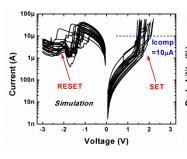


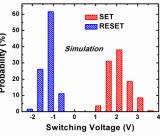
and a tunneling gap is formed.

Fig. 17 Vo (pink) and O²⁻ (blue) Fig. 18. Vo (pink) and O²⁻ (blue) Fig. 19 Vo (pink) and O²⁻ (blue) Fig. 20 Experimental data of distribution after the 1st reset distribution at the onset moment distribution at the end of the TiN/HfOx/Pt RRAM [14]: the applied on the cell in Fig. 14. O^{2-} for case 3): set with $\tau=100$ ns, overshoot period for case 3): reset currents after the 1st set are migrate back due to a reversed corresponding to the green symbol since set voltage is smaller, lateral reduced as compared with those electric field, and CF is ruptured case in Fig. 9. Only a portion of growth of CF is also suppressed after the forming because the the CF is reconnected.

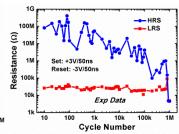
with the same overshoot period.

overshoot in set is suppressed.





HRS Cumulative Probability Resistance (Ω)

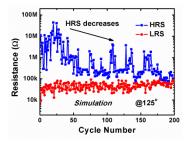


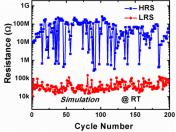
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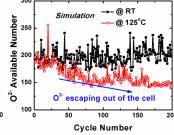
the RRAM cell.

repeated cycling of the RRAM voltage distribution during the distribution during the repeated TiN/HfOx/Pt RRAM [14]. During cell. Abrupt set, gradual reset, and repeated 200-cycle DC sweep of 200-cycle DC sweep of the the cycling, HRS decreases, and RRAM cell.

Fig. 21 Simulated I-V curves of Fig. 22 Simulated switching Fig. 23 Simulated resistance Fig. 24 Measured endurance of the final failure is at LRS.







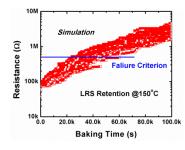
Top Electrode O2- escaping Endurance Failure: Stuck at LRS **Bottom Electrode**

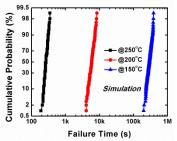
decreases, and the final failure is degrade at LRS.

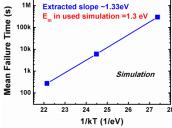
Fig. 25 Simulated endurance at Fig. 26 Simulated endurance at Fig. 27 Number of available O²⁻ at Fig. 28 Vo and O²⁻ distribution at 125 °C. Similar to Fig. 24, HRS room temperature. HRS does not the interfacial reservoir during the the endurance failure at the end of although variation is observable.

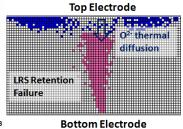
significant cycling for the case in Fig. 25 & cycling in Fig 25. Insufficient O² 26. High temperature accelerates at the interface makes the reset the leakage of O^{2-} out of the cell.

impossible for the RRAM cell.









150°C. The resistance gradually at different temperatures. increases due to the gradual dissolution of CF.

Fig. 29 Statistically simulated LRS Fig. 30 Statistically simulated Fig. 31 Extracted mean failure Fig. 32 Vo and O²⁻ distribution

retention of the RRAM cells @ distribution of failure time of LRS time (from Fig. 30) vs. 1/kT. The when the LRS failure occurs in a slope (~1.33 eV) agrees well with retention simulation. The CF is the migration barrier of O^{2-} (~1.3 ruptured by the O^{2-} that thermally eV) used in simulation.

diffuse back from the oxygen reservoir at the interface.

IEDM12-588 26.1.4