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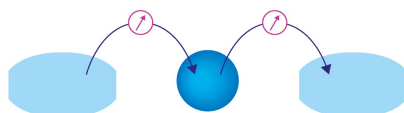
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# Conduction mechanism of resistive switching films in MgO memory devices

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In this work, nonpolar resistance switching behavior was demonstrated in Pt/MgO/Pt structure. The resistance ratio of high resistance state (HRS) and low resistance state (LRS) is about on the order of  $10^5$  for the compliance current ( $I_{\text{comp}}$ ) of 1 mA at 300 K. Using enough  $I_{\text{comp}}$  ( $\geq 0.5$  mA) during SET processes, the LRS resistances reach a minimum of about  $10^2$ – $10^3$   $\Omega$  and the RESET currents reach a maximum of about  $10^{-4}$ – $10^{-3}$  A. Experimental results indicate that the conduction mechanism in MgO films is dominated by the hopping conduction and the Ohmic conduction in HRS and LRS, respectively. Therefore, the electrical parameters of trap energy level, trap spacing, Fermi level, electron mobility, and effective density of states in conduction band in MgO films were obtained. © 2012 American Institute of Physics. [<http://dx.doi.org/10.1063/1.4712628>]

## I. INTRODUCTION

Because traditional Flash memory devices are approaching physical limitations, the developments of next generation nonvolatile memory (NVM) devices are in urgent need. Recently, resistance random access memory (RRAM) devices, one of the novel memory devices, have been a promising alternative for the next generation NVM applications.<sup>1</sup> The most attraction of RRAM technology is its good compatibility with the complimentary metal-oxide-semiconductor (CMOS) process,<sup>2</sup> which indicates that the scaling merit will work in terms of the low power consumption of the RRAM operation and then will bring a strong cost-competitiveness to RRAM. Additionally, the merits of RRAM include high switching speed, high durability, small cell size, simple cell structure, and multi-state switching.<sup>1–4</sup> There are several types of materials used in RRAM, such as perovskite-type oxides,<sup>1,3,5</sup> binary metal oxides,<sup>2–4,6</sup> organic compounds,<sup>7,8</sup> amorphous Si,<sup>9</sup> and solid-state electrolytes.<sup>4</sup> Among the RRAM materials being studied, binary metal oxides are most favorable because of their simple constituents, compatible with CMOS processes, and resistive to thermal/chemical damages.<sup>2,4,6,10</sup>

Magnesium oxide (MgO) is a chemically inert material which has a large band gap (7–8 eV), a high dielectric constant (6–10), a high thermal conductivity, and a high breakdown field ( $\sim 12$  MV/cm).<sup>11</sup> Therefore, MgO thin films may have the potential to ensure adequate band offsets and to reduce leakage currents in device applications. Recently, MgO thin films were used to study the applications of RRAM devices.<sup>11–15</sup> Although the resistance switching characteristics and thin film reliability were studied, the current conduction mechanisms in MgO films have not been addressed in detail. In this work, MgO thin films were deposited by radio frequency (rf) magnetron sputtering. The behavior of nonpolar resistance switching in Pt/MgO/Pt metal-insulator-metal (MIM) structure was demonstrated. The high resistance state (HRS)/low resistance state (LRS) resistance ratio is about on

the order of  $10^5$  at room temperature and increases with increasing temperature. Experimental results show that the dominant conduction mechanism in MgO films is the hopping conduction and the Ohmic conduction in HRS and LRS, respectively. At room temperature the trap energy level, the trap spacing, and the effective density of states in conduction band in MgO films were determined to be about 0.7 eV, 1.0 nm, and  $1.9 \times 10^{15}$  cm<sup>-3</sup>, respectively.

## II. EXPERIMENT

In this work, Pt/MgO/Pt MIM diodes were fabricated. The MgO films of 60 nm were deposited on Pt/Ti/SiO<sub>2</sub>/Si substrates using rf magnetron sputtering in Ar ambient at room temperature. The MgO target was used. The rf power density was 1.1 W/cm<sup>2</sup>. The flow rate of argon was 13.5 sccm. The pressure during deposition was 50 mTorr. A thermal treatment by rapid thermal annealing was performed at 400 °C for 60 s in nitrogen ambient. To achieve the MIM structure, a Pt top electrode was deposited by dc magnetron sputtering with a round area of  $3.14 \times 10^{-4}$  cm<sup>2</sup> patterned by the shadow mask process. The thickness of Pt top electrodes is 100 nm. The current-voltage (*I*-*V*) characteristics of the fabricated MgO-based RRAM devices were measured by Agilent 4156C semiconductor parameter analyzer. During the measurement in voltage sweeping mode, the bias voltage was applied on the top electrode with the bottom electrode grounded. All the measurements were performed under dark condition.

## III. RESULTS AND DISCUSSION

A typical current density-electric field (*J*-*E*) switching characteristic in the Pt/MgO/Pt structure is shown in Fig. 1. The symmetric *J*-*E* curves are found in the Pt/MgO/Pt structure. In this work, when a sweep voltage is applied from 0 to +10 or -10 V with a current compliance of 1 mA, an abrupt increase of the device current takes place at a threshold voltage. The threshold voltage triggers the memory cell from a HRS to a LRS, which is defined as the “SET” process.

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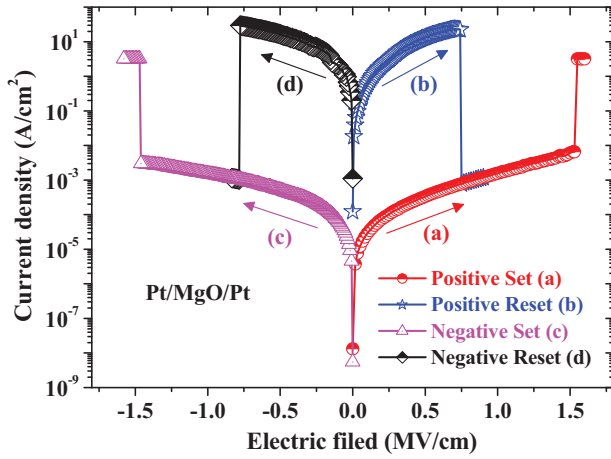


FIG. 1. Typical nonpolar  $J$ - $E$  switching characteristics of Pt/MgO/Pt memory cells.

Hence, the threshold voltage is named as set voltage ( $V_{\text{set}}$ ). On the other hand, by re-sweeping the voltage in positive or negative side without a current compliance, the device current decreases suddenly at a reset voltage ( $V_{\text{reset}}$ ) and the device is switched from LRS to HRS. In this event, it is defined as the “RESET” process. The RESET into the HRS takes place at a higher current and a voltage smaller than the SET voltage. Based on the  $J$ - $E$  switching characteristic in Fig. 1, the MgO RRAM device may be electroforming-free or the electroforming process is accompanied with the first SET process by considering the SET voltages at the range of 10 V. This result may come from the relatively high density of defects produced in the MgO films during the process of *rf* magnetron sputtering. This clear reversible and reproducible switching between LRS and HRS is observed at temperatures ranging from 300 to 450 K. Figure 2 shows that the SET and RESET fields are around 1.5 MV/cm and 0.7 MV/cm, respectively. Both the SET and RESET processes are independent of voltage polarity in this work. Hence, the RS in Pt/MgO/Pt structure is nonpolar. The nonpolar RS shows the coexistence of unipolar and bipolar RS characteristics. Reports show that

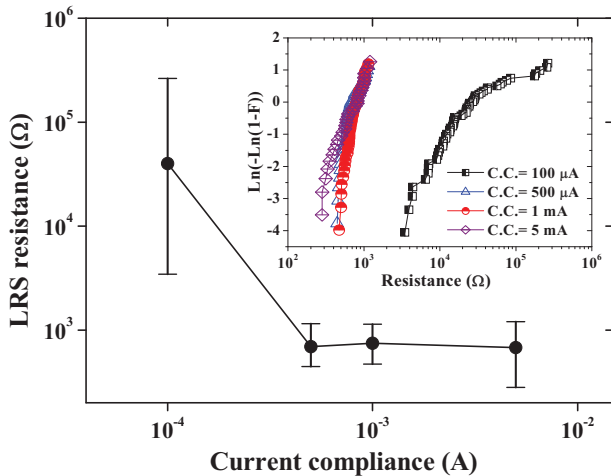


FIG. 2. Dependence of current compliance on LRS resistances ( $R_{\text{LRS}}$ ) after the SET processes. Inset graph shows the cumulative probability distributions of  $R_{\text{LRS}}$  as a function of  $I_{\text{comp}}$ .

the nonpolar switching characteristic is also observed in the RRAM memory devices using the dielectrics of  $\text{TiO}_2$ ,<sup>16</sup>  $\text{NiO}$ ,<sup>17</sup>  $\text{CoO}$ ,<sup>17</sup>  $\text{Fe}_2\text{O}_3$ ,<sup>17</sup> V-doped  $\text{SrZrO}_3$ ,<sup>5</sup> Cu-doped  $\text{SiO}_2$ ,<sup>18</sup> and Cu-doped  $\text{ZrO}_2$ .<sup>19,20</sup>

During the dc voltage sweep measurements for the SET process, the current is required to be limited by a compliance current ( $I_{\text{comp}}$ ) for protecting the RRAM devices from the permanent damage. Figure 2 shows the dependence of  $I_{\text{comp}}$  on LRS resistances ( $R_{\text{LRS}}$ ) after the SET processes in different Pt/MgO/Pt memory devices. The cumulative probability distribution of  $R_{\text{LRS}}$  as a function of  $I_{\text{comp}}$  is made by the Weibull plot, as shown in the inset of Fig. 2. When the  $I_{\text{comp}}$  is not less than 0.5 mA, the  $R_{\text{LRS}}$  values are approximately centered on the order of  $10^2$ – $10^3$   $\Omega$  and show slight variations. The same phenomenon was also observed in the CoFeB/MgO/CoFeB structure.<sup>12</sup> Report indicates that the reset current ( $I_{\text{RESET}}$ ) increases as the filament diameter increases.<sup>21</sup> This implies that using enough  $I_{\text{comp}}$  ( $\geq 0.5$  mA) during SET process, a maximum size of built filamentary channels in MgO films seems to be approached in this work. Therefore, the approximately constant  $R_{\text{LRS}}$  is obtained. On the other hand, for  $I_{\text{comp}}$  smaller than 0.5 mA during SET process, the built filamentary channels are incomplete, which makes the LRS resistances large and variational. Figure 3 shows the dependence of  $I_{\text{comp}}$  on  $I_{\text{RESET}}$ . The cumulative probability distribution of  $I_{\text{RESET}}$  is shown in the inset of Fig. 3. The  $I_{\text{RESET}}$  values are approximately centered on the order of  $10^{-4}$ – $10^{-3}$  A when  $I_{\text{comp}} \geq 0.5$  mA. This suggests that the rupture of the uniformly built filamentary channels can be done by the satisfied  $I_{\text{RESET}}$ . According to Figs. 2 and 3,  $R_{\text{LRS}}$  and  $I_{\text{RESET}}$  are approximately independent of  $I_{\text{comp}}$  as  $I_{\text{comp}} \geq 0.5$  mA because the sizes of built filamentary channels are about the same in MgO thin films. This result is a new finding for RRAM devices. It is worthy to note that a serious  $I_{\text{comp}}$  overshoot problem may be observed during SET process in 1R-architecture TaN/Cu<sub>x</sub>O/Cu RRAM device in the case of small  $I_{\text{comp}}$ .<sup>22</sup> Wan *et al.* indicated that  $R_{\text{LRS}}$  has a negative relationship with  $I_{\text{comp}}$  when  $I_{\text{comp}} \geq 1$  mA. However, when  $I_{\text{comp}}$  is below 1 mA,  $R_{\text{LRS}}$  is independent of  $I_{\text{comp}}$  because of the effect of parasitic

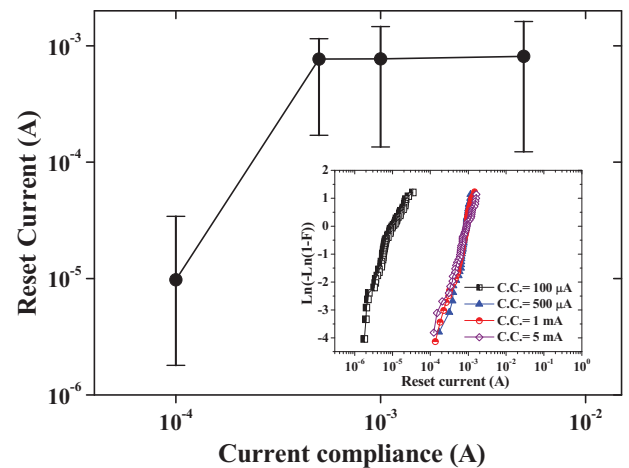


FIG. 3. Dependence of current compliance on RESET current ( $I_{\text{RESET}}$ ). Inset graph shows the cumulative probability distributions of  $I_{\text{RESET}}$  as a function of  $I_{\text{comp}}$ .

capacitance in 1R architecture. Owing to the excellent control of  $I_{\text{comp}}$  with the aid of gate voltage of the transistor, the  $I_{\text{comp}}$  overshoot problem can be solved by using 1T1R architecture. In this work,  $R_{\text{LRS}}$  is independent of  $I_{\text{comp}}$  when  $I_{\text{comp}} \geq 0.5$  mA in Pt/MgO/Pt devices. This phenomenon should not come from the  $I_{\text{comp}}$  overshoot problem but the saturated filament size. The same conclusion is also suitable for the  $I_{\text{RESET}}$  in Pt/MgO/Pt devices.

Since various conduction mechanisms<sup>23</sup> depend on temperature in different ways, measuring the conduction current as a function of temperature will provide very useful information on the constitution of the conduction currents. In this work, the conduction mechanisms of Schottky emission, Poole-Frenkel emission, Fowler-Nordheim tunneling, direct tunneling, space-charge-limited conduction, Ohmic conduction as well as hopping conduction were studied for the Pt/MgO/Pt structure.<sup>23</sup> In general, the dominant conduction mechanism can be deduced from the typical plots of the  $J$ - $E$  characteristics for each conduction mechanism. Hence, the temperature dependence of  $J$ - $E$  characteristics both in HRS and LRS were measured to identify the current conduction mechanism in the Pt/MgO/Pt structure. Figure 4 shows the  $J$ - $E$  characteristics at temperatures ranging from 300 K to 425 K under the condition of 1 mA compliance current. An interesting observation is found, i.e., a lower current density is obtained in a higher temperature. This finding is far different from the normal  $J$ - $E$  characteristics of dielectrics in which the higher current density is obtained in a higher temperature. To investigate the physical mechanism, a simulation work was adopted. The simulation results show that experimental data match the theory of hopping conduction very well when the electric field is larger than about 0.25 MV/cm, as shown in Fig. 4. In hopping conduction, the carrier energy is lower than the maximum energy of the potential barrier between two trapping sites, as depicted in the inset of Fig. 5. Thus, the carrier transportation in MgO is with the aid of tunneling effect in HRS. The hopping conduction can be expressed as<sup>23</sup>

$$J = qanv \exp \left[ \frac{qaE}{kT} - \frac{\Phi_t}{kT} \right], \quad (1)$$

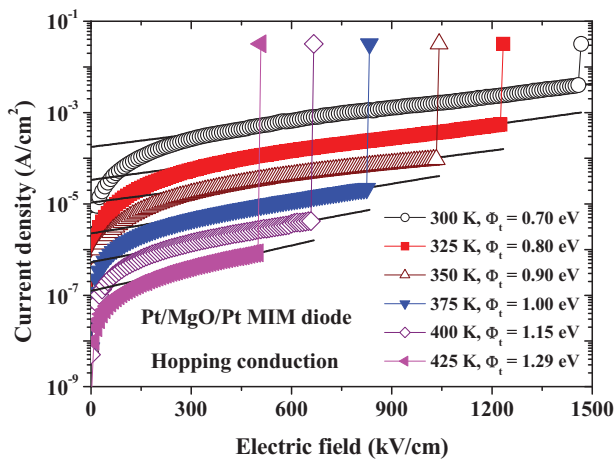


FIG. 4. Experimental data and simulation curves of hopping conduction in HRS in Pt/MgO/Pt memory cells.

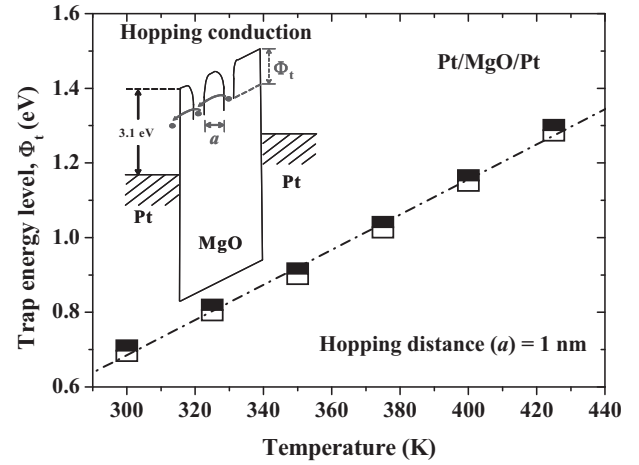


FIG. 5. Temperature dependence of the trap energy levels in HRS. Inset graph shows the band diagram of hopping conduction in Pt/MgO/Pt memory cells.

where  $q$  is the electronic charge,  $a$  is the mean spacing between trap sites (i.e., the hopping distance),  $n$  is the electron concentration in the conduction band of the dielectric,  $v$  is the frequency of thermal vibration of electrons at trap sites,  $E$  is the applied electric field,  $T$  is the absolute temperature,  $k$  is Boltzmann's constant, and  $\Phi_t$  is the energy level from the trap states to the bottom of conduction band ( $E_C$ ). According to Eq. (1), the trap spacing can be extracted by the slope of linear part of  $\log(J)$  versus  $E$ . Therefore, the trap spacing in MgO is determined to be about 1.0 nm from Fig. 4. In addition, the temperature dependence of the trap energy levels in MgO is obtained, as shown in Fig. 5. The trap energy levels increase with increasing temperature. This result indicates that the defects with deeper level are activated by the elevated temperature. Hence, the deeper trap level activated at higher temperature leads to the exponential decrease in current density. These trap levels in MgO films determined in this work may come from the F centers which are the deep electron traps.<sup>24</sup> At 300 K, the trap level is about 0.7 eV. It is noted that no dominant conduction mechanism is found for the electric field smaller than about 0.25 MV/cm. Report showed that the conduction band offset ( $\Delta E_C$ ) between MgO and Si is 1.5 eV.<sup>6</sup> In addition, the electron affinity of Si and the workfunction of Pt is 4.05<sup>25</sup> and 5.65 eV,<sup>26</sup> respectively. Accordingly, the  $\Delta E_C$  between MgO and Pt is 3.1 eV which is a considerably high barrier for carrier transport through the electrode-dielectric interface. This implies that the dominant conduction mechanism should not be the electrode-limited conduction mechanism but the bulk-limited conduction mechanism. The bulk-limited conduction mechanism depends only on the properties of the dielectric itself. In this work, the dominant conduction mechanism in HRS is the hopping conduction which belongs to the bulk-limited conduction mechanism. Based on the electrical analyses in HRS, the band diagram of carrier transportation in hopping conduction is shown in the inset of Fig. 5.

In LRS, the current density increases with increasing temperature. The  $J$ - $E$  curves are shown in Fig. 6 in a double-logarithmic plot. Combined with the data in HRS, the HRS/LRS resistance ratio is about on the order of  $10^5$  at 300 K



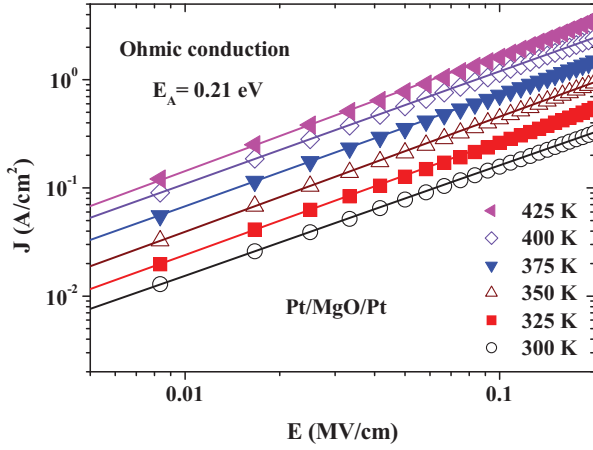


FIG. 6. Experimental data and simulation curves of Ohmic conduction in LRS in Pt/MgO/Pt memory cells.

and increases with increasing temperature in the Pt/MgO/Pt structure. Figure 6 shows the linear relationship between current density and electric field, which matches the Ohmic conduction very well in a low electric field ( $<0.2$  MV/cm) because the slopes are very close to 1. The Ohmic conduction can be expressed as<sup>23</sup>

$$J = \sigma E = q\mu N_C E \exp\left[\frac{-(E_C - E_F)}{kT}\right], \quad (2)$$

where  $\sigma$  is the electrical conductivity,  $\mu$  is the electron mobility,  $N_C$  is the effective density of states of the conduction band, and  $E_F$  is the Fermi energy level; the other terms are as defined above. Fig. 7 shows the linear relationship between electrical conductivity and inverse temperature in LRS. According to the Arrhenius plot,  $E_F$  in LRS is determined to be about 0.21 eV below  $E_C$ , as shown in the inset of Fig. 7. Accordingly, the product of  $\mu$  and  $N_C$  at each temperature can be extracted by the combination of  $E_F$  and  $\sigma$ . In addition,  $N_C$  is a function of temperature, which is proportional to  $\beta T^{3/2}$ , where  $\beta$  is a constant.<sup>25</sup> The electron mobility ( $\mu$ ) in MgO at room temperature is  $20 \text{ cm}^2/\text{V s}$ .<sup>27</sup> Therefore, the temperature

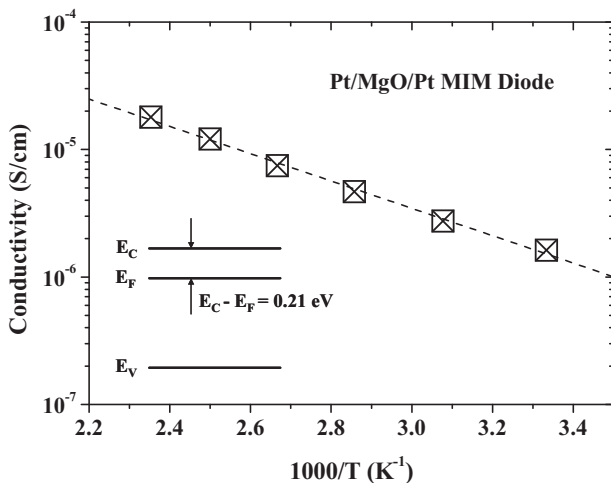


FIG. 7. Temperature dependence of the electrical conductivity in LRS. The inset graph shows the location of Fermi level in Ohmic conduction.

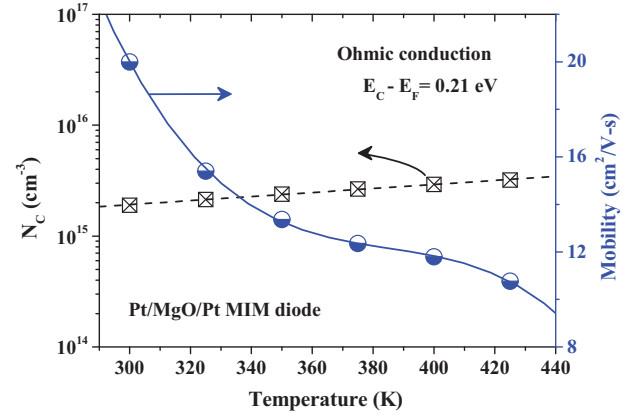


FIG. 8. Temperature dependence of the electron mobility and the effective density of states of the conduction band in LRS.

TABLE I. Electrical parameters of the nonpolar RS behavior in MgO films for the compliance current of 1 mA at 300 K.

Electrical parameter	Value
Mean SET field, $E_{on}$ (MV/cm)	$\pm 1.5$
Mean LRS <sup>a</sup> resistance, $R_{on}$ ( $\Omega$ )	$\sim 10^3$
Mean RESET field, $E_{off}$ (MV/cm)	$\pm 0.7$
Mean HRS <sup>b</sup> resistance, $R_{off}$ ( $\Omega$ )	$\sim 10^8$
HRS/LRS resistance ratio	$\sim 10^5$
Spacing between trap sites (nm)	1.0
Trap energy level, $E_C^c - E_t$ (eV)	0.70
Conduction band offset between Pt and MgO, $\Delta E_C$ (eV)	3.1
Fermi level in LRS in thermal equilibrium, $E_C - E_F$ (eV)	0.21
Electronic mobility in LRS, $\mu$ ( $\text{cm}^2/\text{V s}$ )	20
Effective density of states in conduction band in LRS, $N_C$ ( $\text{cm}^{-3}$ )	$1.9 \times 10^{15}$

<sup>a</sup>Low resistance state.

<sup>b</sup>High resistance state.

<sup>c</sup>Bottom of conduction band.

dependence of  $\mu$  and  $N_C$  in MgO can be obtained, as shown in Fig. 8. At 300 K  $N_C$  is about  $1.9 \times 10^{15} \text{ cm}^{-3}$ . Table I lists the electrical parameters of the nonpolar RS behavior in MgO films for the compliance current of 1 mA at 300 K. In this work, experimental results indicate that the dominant conduction mechanism in MgO films is the hopping conduction during SET process. The hopping conduction is associated with the carrier tunneling among traps in the dielectric bulk. In addition, the sudden current increase in SET process is due to the formation of the conductive filaments in dielectric bulk.<sup>2,6,22</sup> Therefore, the switching mechanism of MgO RRAM devices is associated with trap-related conductive filaments.

#### IV. CONCLUSIONS

For the applications of next generation NVM devices, RRAM cells are studied to overcome the physical limitations of traditional Flash memory devices. The electrical properties of RRAM devices using MgO thin films were investigated in this work. Nonpolar resistance switching behavior is found in the Pt/MgO/Pt structure. Under the condition of

$I_{\text{comp}} \geq 0.5$  mA in SET processes, the LRS resistances and the RESET currents will reach their minimum and maximum, respectively. The current transportation in MgO films is dominated by the hopping conduction and the Ohmic conduction in HRS and LRS, respectively. Accordingly, the trap spacing and the trap energy levels were obtained in HRS. In addition, the Fermi level as well as the temperature-dependent electron mobility and effective density of states in conduction band in MgO were also obtained.

## ACKNOWLEDGMENTS

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