# An Introduction to **PGRdup** package

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# Introduction

**PGRdup** is an R package to facilitate the search for probable/possible duplicate accessions in Plant Genetic Resources (PGR) collections using passport databases. Primarily this package implements a workflow (Fig. 1) designed to fetch groups or sets of germplasm accessions with similar passport data particularly in fields associated with accession names within or across PGR passport databases. It offers a suite of functions for data pre-processing, creation of a searchable Key Word in Context (KWIC) index of keywords associated with accession records and the identification of probable duplicate sets by fuzzy, phonetic and semantic matching of keywords. It also has functions to enable the user to review, modify and validate the probable duplicate sets retrieved.

The goal of this document is to introduce the users to these functions and familiarise them with the workflow intended to fetch probable duplicate sets. This document assumes a basic knowledge of R programming language.

The functions in this package are primarily built using the R packages data.table, igraph, stringdist and stringi.



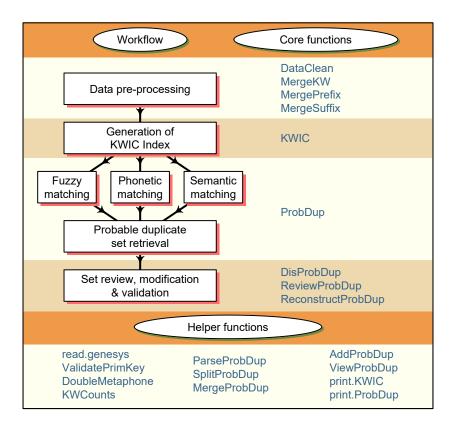


Fig. 1. PGRdup workflow and associated functions

# **Version History**

The current version of the package is 0.2.3.3. The previous versions are as follows.

Table 1. Version history of PGRdup R package.

Version	Date
0.2	2015-04-14
0.2.1	2015-07-23
0.2.2	2016-03-05
0.2.2.1	2016-03-09
0.2.3	2017-02-01
0.2.3.1	2017-03-15

To know detailed history of changes use news(package='PGRdup').

# Installation

The package can be installed using the following functions:

```
# Install from CRAN
install.packages('PGRdup', dependencies=TRUE)
```

Uninstalled dependencies (packages which PGRdup depends on *viz-* data.table, igraph, stringdist and stringi are also installed because of the argument dependencies=TRUE.

Then the package can be loaded using the function

```
library(PGRdup)
```

# **Data Format**

The package is essentially designed to operate on PGR passport data present in a data frame object, with each row holding one record and columns representing the attribute fields. For example, consider the dataset GN1000 supplied along with the package.

```
library(PGRdup)

# Load the dataset to the environment
data(GN1000)

# Show the class of the object
class(GN1000)
```

[1] "data.frame"

```
# View the first few records in the data frame head(GN1000)
```

```
DonorID
  CommonName
                BotanicalName NationalID
                                                        CollNo
                                EC100277 Shulamith/ NRCG-14555
1 Groundnut Arachis hypogaea
                                                                ICG-4709
2 Groundnut Arachis hypogaea
                                EC100280
                                                            NC
                                                                  ICG5288
3 Groundnut Arachis hypogaea
                                EC100281
                                                       MALIMBA
                                                                  ICG5289
4 Groundnut Arachis hypogaea
                                EC100713
                                                    EC 100713;
                                                                  ICG5296
  Groundnut Arachis hypogaea
                                EC100715
                                                     EC 100715
                                                                  ICG5298
  Groundnut Arachis hypogaea
                                EC100716
                                                                ICG-3150
  OtherID1 OtherID2 BioStatus
                                          SourceCountry TransferYear
            U4-47-12 Landrace
1
                                                 Israel
                                                                2014
2
      NCS
                NC 5 Landrace United States of America
                                                                2004
3
           EC 100281 Landrace
                                                                2004
                                                 Malawi
               STARR Landrace United States of America
                                                                2004
4
               COMET Landrace United States of America
5
                                                                2004
6
           ARGENTINE Landrace United States of America
                                                                 2014
```

If the passport data exists as an excel sheet, it can be first converted to a comma-separated values (csv) file or tab delimited file and then easily imported into the R environment using the base functions read.csv and read.table respectively. Similarly read\_csv() and read\_tsv() from the readr package can also be used. Alternatively, the package readxl can be used to directly read the data from excel. In case of large csv files, the function fread in the data.table package can be used to rapidly load the data.

If the PGR passport data is in a database management system (DBMS), the required table can be imported as a data frame into R. using the appropriate R-database interface package. For example dbConnect for MySQL, ROracle for Oracle etc.

The PGR data downloaded from the genesys database as a Darwin Core - Germplasm zip archive can be imported into the R environment as a flat file data.frame using the read.genesys function.

# **Data Pre-processing**

[1] "26631"

Data pre-processing is a critical step which can affect the quality of the probable duplicate sets being retrieved. It involves data standardization as well as data cleaning which can be achieved using the functions DataClean, MergeKW, MergePrefix and MergeSuffix.

DataClean function can be used to clean the character strings in passport data fields (columns) specified as the input character vector  $\mathbf{x}$  according to the conditions specified in the arguments.

Commas, semicolons and colons which are sometimes used to separate multiple strings or names within the same field can be replaced with a single space using the logical arguments fix.comma, fix.semcol and fix.col respectively.

```
x <- c("A 14; EC 1697", "U 4-4-28; EC 21078; A 32", "PI 262801:CIAT 9075:GKP 9553/90",
       "NCAC 16049, PI 261987, RCM 493-3")
[1] "A 14; EC 1697"
                                        "U 4-4-28; EC 21078; A 32"
[3] "PI 262801:CIAT 9075:GKP 9553/90"
                                        "NCAC 16049, PI 261987, RCM 493-3"
# Replace ',', ':' and ';' with space
DataClean(x, fix.comma=TRUE, fix.semcol=TRUE, fix.col=TRUE,
          fix.bracket=FALSE, fix.punct=FALSE, fix.space=FALSE, fix.sep=FALSE,
          fix.leadzero=FALSE)
[1] "A 14 EC 1697"
                                        "U 4-4-28 EC 21078 A 32"
[3] "PI 262801 CIAT 9075 GKP 9553/90" "NCAC 16049 PI 261987 RCM 493-3"
Similarly the logical argument fix.bracket can be used to replace all brackets including parenthesis, square
brackets and curly brackets with space.
x <- c("(NRCG-1738)/(NFG649)", "26-5-1[NRCG-2528]", "Ah 1182 {NRCG-4340}")
[1] "(NRCG-1738)/(NFG649)" "26-5-1[NRCG-2528]"
                                                 "Ah 1182 {NRCG-4340}"
# Replace parenthesis, square brackets and curly brackets with space
DataClean(x, fix.comma=FALSE, fix.semcol=FALSE, fix.col=FALSE,
          fix.bracket=TRUE,
          fix.punct=FALSE, fix.space=FALSE, fix.sep=FALSE, fix.leadzero=FALSE)
[1] "NRCG-1738 / NFG649" "26-5-1 NRCG-2528"
                                               "AH 1182 NRCG-4340"
The logical argument fix.punct can be used to remove all punctuation from the data.
x \leftarrow c("#26-6-3-1", "Culture No. 857", "U/4/47/13")
[1] "#26-6-3-1"
                      "Culture No. 857" "U/4/47/13"
# Remove punctuation
DataClean(x, fix.comma=FALSE, fix.semcol=FALSE, fix.col=FALSE, fix.bracket=FALSE,
          fix.punct=TRUE,
          fix.space=FALSE, fix.sep=FALSE, fix.leadzero=FALSE)
```

"CULTURE NO 857" "U44713"

fix.space can be used to convert all space characters such as tab, newline, vertical tab, form feed and carriage return to spaces and finally convert multiple spaces to single space.

```
[1] "RS 1" "GKSPSCGB 208 PI 475855"
```

fix.sep can be used to merge together accession identifiers composed of alphabetic characters separated from a series of digits by a space character.

```
x <- c("NCAC 18078", "AH 6481", "ICG 2791")
x
```

```
[1] "NCAC 18078" "AH 6481" "ICG 2791"
```

```
[1] "NCAC18078" "AH6481" "ICG2791"
```

fix.leadzero can be used to remove leading zeros from accession name fields to facilitate matching to identify probable duplicates.

```
x <- c("EC 0016664", "EC0001690")
x
```

[1] "EC 0016664" "EC0001690"

```
[1] "EC 16664" "EC1690"
```

This function can hence be made use of in tidying up multiple forms of messy data existing in fields associated with accession names in PGR passport databases (Table 1).

```
[1] "S7126" "ICG3505" "U44718 EC21127" "AH6481" [5] "RS1" "AK1224" "25 NRCG4053" "T78 MWITUNDE" [9] "ICG3410" "6484 GWALIOR" "TG4 U44713" "EC21003"
```

Table 2. Data pre-processing using DataClean.

names	DataClean(names)
S7-12-6	S7126
ICG-3505	ICG3505
U 4-47-18;EC 21127	U44718 EC21127
AH 6481	AH6481
RS 1	RS1
AK 12-24	AK1224
2-5 (NRCG-4053)	25 NRCG4053
T78, Mwitunde	T78 MWITUNDE
ICG 3410	ICG3410
#648-4 (Gwalior)	6484 GWALIOR
TG4;U/4/47/13	TG4 U44713
EC0021003	EC21003

Several common keyword string pairs or keyword prefixes and suffixes exist in fields associated with accession names in PGR passport databases. They can be merged using the functions MergeKW, MergePrefix and MergeSuffix respectively. The keyword string pairs, prefixes and suffixes can be supplied as a list or a vector to the argument y in these functions.

```
names <- c("Punjab Bold", "Gujarat- Dwarf", "Nagpur.local", "SAM COL 144",
           "SAM COL--280", "NIZAMABAD-LOCAL", "Dark Green Mutant",
           "Dixie-Giant", "Georgia- Bunch", "Uganda-erect", "Small Japan",
           "Castle Cary", "Punjab erect", "Improved small japan",
           "Dark Purple")
names
 [1] "Punjab Bold"
                             "Gujarat- Dwarf"
                                                    "Nagpur.local"
 [4] "SAM COL 144"
                             "SAM COL--280"
                                                    "NIZAMABAD-LOCAL"
 [7] "Dark Green Mutant"
                            "Dixie-Giant"
                                                    "Georgia- Bunch"
                                                    "Castle Cary"
[10] "Uganda-erect"
                            "Small Japan"
[13] "Punjab erect"
                             "Improved small japan" "Dark Purple"
# Merge pairs of strings
y1 <- list(c("Gujarat", "Dwarf"), c("Castle", "Cary"), c("Small", "Japan"),
           c("Big", "Japan"), c("Mani", "Blanco"), c("Uganda", "Erect"),
           c("Mota", "Company"))
names <- MergeKW(names, y1, delim = c("space", "dash", "period"))</pre>
# Merge prefix strings
y2 <- c("Light", "Small", "Improved", "Punjab", "SAM", "Dark")
names <- MergePrefix(names, y2, delim = c("space", "dash", "period"))</pre>
# Merge suffix strings
y3 <- c("Local", "Bold", "Cary", "Mutant", "Runner", "Giant", "No.",
        "Bunch", "Peanut")
names <- MergeSuffix(names, y3, delim = c("space", "dash", "period"))</pre>
names
```

```
[1] "PunjabBold"
                           "GujaratDwarf"
                                                 "Nagpurlocal"
 [4] "SAMCOL 144"
                           "SAMCOL--280"
                                                 "NIZAMABADLOCAL"
 [7] "DarkGreenMutant"
                                                 "GeorgiaBunch"
                           "DixieGiant"
[10] "Ugandaerect"
                                                 "CastleCary"
                           "SmallJapan"
[13] "Punjaberect"
                           "Improvedsmalljapan" "DarkPurple"
These functions can be applied over multiple columns (fields) in a data frame using the lapply function.
# Load example dataset
GN <- GN1000
# Specify as a vector the database fields to be used
GNfields <- c("NationalID", "CollNo", "DonorID", "OtherID1", "OtherID2")
head(GN[GNfields])
  NationalID
                             CollNo
                                      DonorID OtherID1 OtherID2
    EC100277 Shulamith/ NRCG-14555
                                      ICG-4709
                                                         U4-47-12
2
                                      ICG5288
                                                    NCS
                                                              NC 5
    EC100280
                                 NC
3
    EC100281
                            MALIMBA
                                      ICG5289
                                                        EC 100281
4
    EC100713
                         EC 100713;
                                      ICG5296
                                                             STARR
5
    EC100715
                          EC 100715
                                      ICG5298
                                                             COMET
6
   EC100716
                                      ICG-3150
                                                        ARGENTINE
# Clean the data
GN[GNfields] <- lapply(GN[GNfields], function(x) DataClean(x))</pre>
y1 <- list(c("Gujarat", "Dwarf"), c("Castle", "Cary"), c("Small", "Japan"),
c("Big", "Japan"), c("Mani", "Blanco"), c("Uganda", "Erect"),
c("Mota", "Company"))
y2 <- c("Dark", "Light", "Small", "Improved", "Punjab", "SAM")
y3 <- c("Local", "Bold", "Cary", "Mutant", "Runner", "Giant", "No.",
        "Bunch", "Peanut")
GN[GNfields] <- lapply(GN[GNfields],</pre>
                        function(x) MergeKW(x, y1, delim = c("space", "dash")))
GN[GNfields] <- lapply(GN[GNfields],</pre>
                        function(x) MergePrefix(x, y2, delim = c("space", "dash")))
GN[GNfields] <- lapply(GN[GNfields],</pre>
                        function(x) MergeSuffix(x, y3, delim = c("space", "dash")))
head(GN[GNfields])
  NationalID
                           CollNo DonorID OtherID1
                                                     OtherID2
   EC100277 SHULAMITH NRCG14555 ICG4709
                                                       U44712
1
2
   EC100280
                               NC ICG5288
                                                NCS
                                                          NC5
3
   EC100281
                          MALIMBA ICG5289
                                                     EC100281
4
    EC100713
                         EC100713 ICG5296
                                                        STARR
5
   EC100715
                         EC100715 ICG5298
                                                        COMET
6
    EC100716
                                  ICG3150
                                                    ARGENTINE
```

# Generation of KWIC Index

The function KWIC generates a Key Word in Context index (Knüpffer 1988; Knüpffer, Frese, and Jongen 1997) from the data frame of a PGR passport database based on the fields(columns) specified in the argument fields along with the keyword frequencies and gives the output as a list of class KWIC. The first element of the vector specified in fields is considered as the primary key or identifier which uniquely identifies all rows in the data frame.

This function fetches keywords from different fields specified, which can be subsequently used for matching to

identify probable duplicates. The frequencies of the keywords retrieved can help in determining if further data pre-processing is required and also to decide whether any common keywords can be exempted from matching (Fig. 2).

```
# Load example dataset
GN <- GN1000
# Specify as a vector the database fields to be used
GNfields <- c("NationalID", "CollNo", "DonorID", "OtherID1", "OtherID2")</pre>
# Clean the data
GN[GNfields] <- lapply(GN[GNfields], function(x) DataClean(x))</pre>
y1 <- list(c("Gujarat", "Dwarf"), c("Castle", "Cary"), c("Small", "Japan"),
c("Big", "Japan"), c("Mani", "Blanco"), c("Uganda", "Erect"),
c("Mota", "Company"))
y2 <- c("Dark", "Light", "Small", "Improved", "Punjab", "SAM")
y3 <- c("Local", "Bold", "Cary", "Mutant", "Runner", "Giant", "No.",
        "Bunch", "Peanut")
GN[GNfields] <- lapply(GN[GNfields],</pre>
                       function(x) MergeKW(x, y1, delim = c("space", "dash")))
GN[GNfields] <- lapply(GN[GNfields],</pre>
                       function(x) MergePrefix(x, y2, delim = c("space", "dash")))
GN[GNfields] <- lapply(GN[GNfields],</pre>
                       function(x) MergeSuffix(x, y3, delim = c("space", "dash")))
# Generate the KWIC index
GNKWIC <- KWIC(GN, GNfields, min.freg = 1)</pre>
class(GNKWIC)
[1] "KWIC"
GNKWIC
KWIC fields: NationalID CollNo DonorID OtherID1 OtherID2
Number of keywords: 3893
Number of distinct keywords: 3109
# Retrieve the KWIC index from the KWIC object
KWIC <- GNKWIC[[1]]</pre>
KWIC <- KWIC[order(KWIC$KEYWORD, decreasing = TRUE),]</pre>
head(KWIC[,c("PRIM_ID", "KWIC_L", "KWIC_KW", "KWIC_R")], n = 10)
      PRIM_ID
                                                   KWIC_L KWIC_KW
550 EC490380
                         EC490380 = ICG1122 = IIN
                                                              YUCH
435
     EC36893
                                                              YUAN
                                               EC36893 =
     EC36893
                                          EC36893 = YUAN
                                                             YOUNG
434
1287 EC613524
                    EC613524 = NRCG9225 = PEI KANGPE
                                                            YOUDON
1703 IC113088
                                    IC113088 = = = SB
                                                                XΙ
1741 IC296965 IC296965 = SB X11 X V11 = ICG1769 = = SB
                                                                XΙ
3385 IC445197
                                              IC445197 =
                                                           X144B28
3483 IC494754
                             IC494754 = ICG7686 = =
                                                           X144B28
                 IC304018 = 144B19B NRCG = ICG1561 = = X144B19B
2090 IC304018
1735 IC296965
                                           IC296965 = SB
                                                               X11
                                KWIC_R
550
                                  TSAO
435
      YOUNG TOU = ICG5241 = EC36893
            TOU = ICG5241 = EC36893
434
```

```
1
    OVERO
2
       S1
             19
3
             11
         Α
4
      RED
             11
     OVER
             10
5
6 PURPLE
             10
```



Fig. 2. Word cloud of keywords retrieved

The function will throw an error in case of duplicates or NULL values in the primary key/ID field mentioned.

```
GN <- GN1000
GN[GNfields] <- lapply(GN[GNfields], function(x) DataClean(x))
# Generate dummy duplicates for illustration
GN[1001:1005,] <- GN[1:5,]
# Generate dummy NULL values for illustration
GN[1001,3] <- ""
GN[1002,3] <- ""
GN[1005,]</pre>
```

```
CommonName
                 BotanicalName NationalID
                                                     CollNo DonorID
1001 Groundnut Arachis hypogaea SHULAMITH NRCG14555 ICG4709
1002 Groundnut Arachis hypogaea
                                                        NC ICG5288
1003 Groundnut Arachis hypogaea EC100281
                                                   MALIMBA ICG5289
1004 Groundnut Arachis hypogaea EC100713
                                                 EC100713 ICG5296
1005 Groundnut Arachis hypogaea EC100715
                                                  EC100715 ICG5298
    OtherID1 OtherID2 BioStatus SourceCountry TransferYear
1001
              U44712 Landrace
                                               Israel
                                                             2014
         NCS
                 NC5 Landrace United States of America
                                                             2004
1002
1003
            EC100281 Landrace
                                                             2004
                                               Malawi
               STARR Landrace United States of America
1004
                                                             2004
1005
               COMET Landrace United States of America
                                                             2004
GNKWIC <- KWIC(GN, GNfields, min.freq=1)</pre>
```

Error in KWIC(GN, GNfields, min.freq = 1) :

Primary key/ID field should be unique and not NULL

Use PGRdup::ValidatePrimKey() to identify and rectify the aberrant records first

The erroneous records can be identified using the helper function ValidatePrimKey.

```
# Validate the primary key/ID field for duplication or existence of NULL values
ValidatePrimKey(x = GN, prim.key = "NationalID")
```

#### \$message1

[1] "ERROR: Duplicated records found in prim.key field"

## \$Duplicates

_	${\tt CommonName}$	Botar	nicalName	Nationa	alID			(	CollNo	${\tt DonorID}$
1001	Groundnut	${\tt Arachis}$	hypogaea		Sl	HULAN	HTI	NRC	14555	ICG4709
1002	Groundnut	${\tt Arachis}$	hypogaea						NC	ICG5288
3	Groundnut	${\tt Arachis}$	hypogaea	EC100	281			MA	ALIMBA	ICG5289
1003	Groundnut	${\tt Arachis}$	hypogaea	EC100	281			MA	ALIMBA	ICG5289
4	Groundnut	${\tt Arachis}$	hypogaea	EC100	713			EC1	100713	ICG5296
1004	Groundnut	${\tt Arachis}$	hypogaea	EC100	713			EC1	100713	ICG5296
5	Groundnut	${\tt Arachis}$	hypogaea	EC100	715			EC1	100715	ICG5298
1005	Groundnut	${\tt Arachis}$	hypogaea	EC100	715			EC1	100715	ICG5298
	OtherID1 Ot	therID2 H	BioStatus		S	ource	Coun	try	Transi	ferYear
1001		U44712	Landrace				Isr	ael		2014
1002	NCS	NC5	Landrace	United	States	s of	Amer	ica		2004
3	EC	C100281	Landrace				Mal	awi		2004
1003	EC	C100281	Landrace				Mal	awi		2004
4		STARR	Landrace	United	States	s of	Amer	ica		2004
1004		STARR	Landrace	United	States	s of	Amer	ica		2004
5		COMET	Landrace	United	State	s of	Amer	ica		2004
1005		COMET	Landrace	United	States	s of	Amer	ica		2004

#### \$message2

[1] "ERROR: NULL records found in prim.key field"

## \$NullRecords

	CommonName	Botar	nicalName	NationalID		CollNo	DonorID
1001	Groundnut	Arachis	hypogaea		SHULAMITH	NRCG14555	ICG4709
1002	Groundnut	Arachis	hypogaea			NC	ICG5288

```
OtherID1 OtherID2 BioStatus
                                             SourceCountry TransferYear
1001
                U44712 Landrace
                                                     Israel
                                                                    2014
1002
                                                                    2004
          NCS
                   NC5 Landrace United States of America
     primdup
1001
        TRUE
1002
        TRUE
# Remove the offending records
GN \leftarrow GN[-c(1001:1005),]
# Validate again
ValidatePrimKey(x = GN, prim.key = "NationalID")
$message1
[1] "OK: No duplicated records found in prim.key field"
$Duplicates
NULL
$message2
[1] "OK: No NULL records found in prim.key field"
$NullRecords
NULL
```

# Retrieval of Probable Duplicate Sets

Once KWIC indexes are generated, probable duplicates of germplasm accessions can be identified by fuzzy, phonetic and semantic matching of the associated keywords using the function ProbDup. The sets are retrieved as a list of data frames of class ProbDup.

Keywords that are not to be used for matching can be specified as a vector in the excep argument.

# Methods

The function can execute matching according to either one of the following three methods as specified by the method argument.

1. **Method "a"**: Performs string matching of keywords in a single KWIC index to identify probable duplicates of accessions in a single PGR passport database.

```
function(x) MergeKW(x, y1, delim = c("space", "dash")))
GN[GNfields] <- lapply(GN[GNfields],</pre>
                      function(x) MergePrefix(x, y2, delim = c("space", "dash")))
GN[GNfields] <- lapply(GN[GNfields],</pre>
                      function(x) MergeSuffix(x, y3, delim = c("space", "dash")))
# Generate the KWIC index
GNKWIC <- KWIC(GN, GNfields)</pre>
# Specify the exceptions as a vector
exep <- c("A", "B", "BIG", "BOLD", "BUNCH", "C", "COMPANY", "CULTURE",
        "DARK", "E", "EARLY", "EC", "ERECT", "EXOTIC", "FLESH", "GROUNDNUT",
        "GUTHUKAI", "IMPROVED", "K", "KUTHUKADAL", "KUTHUKAI", "LARGE",
        "LIGHT", "LOCAL", "OF", "OVERO", "P", "PEANUT", "PURPLE", "R",
        "RED", "RUNNER", "S1", "SAM", "SMALL", "SPANISH", "TAN", "TYPE",
        "U", "VALENCIA", "VIRGINIA", "WHITE")
# Fetch fuzzy duplicates by method 'a'
GNdup <- ProbDup(kwic1 = GNKWIC, method = "a", excep = exep, fuzzy = TRUE,</pre>
              phonetic = FALSE, semantic = FALSE)
Fuzzy matching
  |----
                                                                 | 25%
Block 1 / 4 |
  |-----
                                                                  | 50%
Block 2 / 4 |
 |-----
                                                                 1 75%
Block 3 / 4 |
Block 4 / 4 |
class(GNdup)
[1] "ProbDup"
GNdup
Method: a
KWIC1 fields : NationalID CollNo DonorID OtherID1 OtherID2
               No..of.Sets
                              No..of.Records
FuzzyDuplicates
                     378
                       378 745(Distinct:745)
Total
# Fetch phonetic duplicates by method 'a'
GNdup <- ProbDup(kwic1 = GNKWIC, method = "a", excep = exep, fuzzy = FALSE,</pre>
                phonetic = TRUE, semantic = FALSE)
```

Phonetic matching

```
|-----
                                          25%
Block 1 / 4 |
 |-----
                                          50%
Block 2 / 4 |
 1 75%
Block 3 / 4 |
Block 4 / 4 |
class(GNdup)
[1] "ProbDup"
GNdup
```

Method: a

KWIC1 fields : NationalID CollNo DonorID OtherID1 OtherID2

No..of.Sets No..of.Records PhoneticDuplicates 260 Total 99 260(Distinct:260)

- 2. Method "b": Performs string matching of keywords in the first KWIC index (query) with that of the keywords in the second index (source) to identify probable duplicates of accessions of the first PGR passport database among the accessions in the second database.
- 3. Method "c": Performs string matching of keywords in two different KWIC indexes jointly to identify probable duplicates of accessions from among two PGR passport databases.

```
# Load PGR passport databases
GN1 <- GN1000[!grepl("^ICG", GN1000$DonorID), ]</pre>
GN1$DonorID <- NULL</pre>
GN2 <- GN1000[grepl("^ICG", GN1000$DonorID), ]
GN2$NationalID <- NULL</pre>
# Specify database fields to use
GN1fields <- c("NationalID", "CollNo", "OtherID1", "OtherID2")
GN2fields <- c("DonorID", "CollNo", "OtherID1", "OtherID2")</pre>
# Clean the data
GN1[GN1fields] <- lapply(GN1[GN1fields], function(x) DataClean(x))</pre>
GN2[GN2fields] <- lapply(GN2[GN2fields], function(x) DataClean(x))</pre>
y1 <- list(c("Gujarat", "Dwarf"), c("Castle", "Cary"), c("Small", "Japan"),
c("Big", "Japan"), c("Mani", "Blanco"), c("Uganda", "Erect"),
c("Mota", "Company"))
y2 <- c("Dark", "Light", "Small", "Improved", "Punjab", "SAM")
y3 <- c("Local", "Bold", "Cary", "Mutant", "Runner", "Giant", "No.",
        "Bunch", "Peanut")
GN1[GN1fields] <- lapply(GN1[GN1fields],</pre>
                          function(x) MergeKW(x, y1, delim = c("space", "dash")))
GN1[GN1fields] <- lapply(GN1[GN1fields],</pre>
```

```
function(x) MergePrefix(x, y2, delim = c("space", "dash")))
GN1[GN1fields] <- lapply(GN1[GN1fields],</pre>
                       function(x) MergeSuffix(x, y3, delim = c("space", "dash")))
GN2[GN2fields] <- lapply(GN2[GN2fields],</pre>
                       function(x) MergeKW(x, y1, delim = c("space", "dash")))
GN2[GN2fields] <- lapply(GN2[GN2fields],</pre>
                       function(x) MergePrefix(x, y2, delim = c("space", "dash")))
GN2[GN2fields] <- lapply(GN2[GN2fields],</pre>
                       function(x) MergeSuffix(x, y3, delim = c("space", "dash")))
# Remove duplicated DonorID records in GN2
GN2 <- GN2[!duplicated(GN2$DonorID), ]</pre>
# Generate KWIC index
GN1KWIC <- KWIC(GN1, GN1fields)</pre>
GN2KWIC <- KWIC(GN2, GN2fields)</pre>
# Specify the exceptions as a vector
exep <- c("A", "B", "BIG", "BOLD", "BUNCH", "C", "COMPANY", "CULTURE",
        "DARK", "E", "EARLY", "EC", "ERECT", "EXOTIC", "FLESH", "GROUNDNUT",
        "GUTHUKAI", "IMPROVED", "K", "KUTHUKADAL", "KUTHUKAI", "LARGE",
        "LIGHT", "LOCAL", "OF", "OVERO", "P", "PEANUT", "PURPLE", "R",
        "RED", "RUNNER", "S1", "SAM", "SMALL", "SPANISH", "TAN", "TYPE",
        "U", "VALENCIA", "VIRGINIA", "WHITE")
# Fetch fuzzy and phonetic duplicate sets by method b
GNdupb <- ProbDup(kwic1 = GN1KWIC, kwic2 = GN2KWIC, method = "b",</pre>
                 excep = exep, fuzzy = TRUE, phonetic = TRUE,
                 encoding = "primary", semantic = FALSE)
Fuzzy matching
  Block 1 / 1 |
Phonetic matching
  |-----| 100%
Block 1 / 1 |
class(GNdupb)
[1] "ProbDup"
GNdupb
Method : b
KWIC1 fields : NationalID CollNo OtherID1 OtherID2
KWIC2 fields : DonorID CollNo OtherID1 OtherID2
                  No..of.Sets No..of.Records
```

```
FuzzyDuplicates
                      107
                                      353
PhoneticDuplicates
                       41
                                      126
Total
                      148 479(Distinct:383)
# Fetch fuzzy and phonetic duplicate sets by method c
GNdupc <- ProbDup(kwic1 = GN1KWIC, kwic2 = GN2KWIC, method = "c",
               excep = exep, fuzzy = TRUE, phonetic = TRUE,
               encoding = "primary", semantic = FALSE)
Fuzzy matching
 |-----
                                                            33%
Block 1 / 3 |
                                                           67%
Block 2 / 3 |
 |-----| 100%
Block 3 / 3 |
Phonetic matching
 |-----
                                                            33%
Block 1 / 3 |
 67%
Block 2 / 3 |
Block 3 / 3 |
class(GNdupc)
[1] "ProbDup"
GNdupc
Method : c
KWIC1 fields: NationalID CollNo OtherID1 OtherID2
KWIC2 fields : DonorID CollNo OtherID1 OtherID2
                No..of.Sets
                            No..of.Records
FuzzyDuplicates
                      363
                                      724
PhoneticDuplicates
                       98
                                      257
Total
                      461 981(Distinct:741)
```

## **Matching Strategies**

1. Fuzzy matching or approximate string matching of keywords is carried out by computing the generalized levenshtein (edit) distance between them. This distance measure counts the number of deletions, insertions and substitutions necessary to turn one string to another.

```
# Load example dataset
GN <- GN1000
# Specify as a vector the database fields to be used
GNfields <- c("NationalID", "CollNo", "DonorID", "OtherID1", "OtherID2")</pre>
# Clean the data
GN[GNfields] <- lapply(GN[GNfields], function(x) DataClean(x))</pre>
y1 <- list(c("Gujarat", "Dwarf"), c("Castle", "Cary"), c("Small", "Japan"),
c("Big", "Japan"), c("Mani", "Blanco"), c("Uganda", "Erect"),
c("Mota", "Company"))
y2 <- c("Dark", "Light", "Small", "Improved", "Punjab", "SAM")
y3 <- c("Local", "Bold", "Cary", "Mutant", "Runner", "Giant", "No.",
        "Bunch", "Peanut")
GN[GNfields] <- lapply(GN[GNfields],</pre>
                       function(x) MergeKW(x, y1, delim = c("space", "dash")))
GN[GNfields] <- lapply(GN[GNfields],</pre>
                       function(x) MergePrefix(x, y2, delim = c("space", "dash")))
GN[GNfields] <- lapply(GN[GNfields],</pre>
                       function(x) MergeSuffix(x, y3, delim = c("space", "dash")))
# Generate the KWIC index
GNKWIC <- KWIC(GN, GNfields)</pre>
# Specify the exceptions as a vector
exep <- c("A", "B", "BIG", "BOLD", "BUNCH", "C", "COMPANY", "CULTURE",
         "DARK", "E", "EARLY", "EC", "ERECT", "EXOTIC", "FLESH", "GROUNDNUT",
         "GUTHUKAI", "IMPROVED", "K", "KUTHUKADAL", "KUTHUKAI", "LARGE",
         "LIGHT", "LOCAL", "OF", "OVERO", "P", "PEANUT", "PURPLE", "R", "RED", "RUNNER", "S1", "SAM", "SMALL", "SPANISH", "TAN", "TYPE",
         "U", "VALENCIA", "VIRGINIA", "WHITE")
# Fetch fuzzy duplicates
GNdup <- ProbDup(kwic1 = GNKWIC, method = "a", excep = exep,
                 fuzzy = TRUE, max.dist = 3,
                 phonetic = FALSE, semantic = FALSE)
Fuzzy matching
  |=========
                                                                      | 25%
Block 1 / 4 |
                                                                      1 50%
Block 2 / 4 |
                                                                      | 75%
Block 3 / 4 |
 1
  |-----| 100%
Block 4 / 4 |
GNdup
```

#### Method: a

KWIC1 fields: NationalID CollNo DonorID OtherID1 OtherID2

```
No..of.Sets No..of.Records FuzzyDuplicates 378 745 (Distinct:745)
```

The maximum distance to be considered for a match can be specified by max.dist argument.

Fuzzy matching

#### Method: a

KWIC1 fields : NationalID CollNo DonorID OtherID1 OtherID2

```
No..of.Sets No..of.Records FuzzyDuplicates 288 679 (Distinct:679)
```

Exact matching can be enforced with the argument force.exact set as TRUE. It can be used to avoid fuzzy matching when the number of alphabet characters in keywords is lesser than a critical value (max.alpha). Similarly, the value of max.digit can also be set according to the requirements to enforce exact matching. The default value of Inf avoids fuzzy matching and enforces exact matching for all keywords having any numerical characters. If max.digit and max.alpha are both set to Inf, exact matching will be enforced for all the keywords.

When exact matching is enforced, for keywords having both alphabet and numeric characters and with the number of alphabet characters greater than max.digit, matching will be carried out separately for alphabet and numeric characters present.

Fuzzy matching

I

Method: a

KWIC1 fields: NationalID CollNo DonorID OtherID1 OtherID2

No..of.Sets No..of.Records FuzzyDuplicates 378 745 (Distinct:745)

2. **Phonetic matching** of keywords is carried out using the Double Metaphone phonetic algorithm which is implemented as the helper function DoubleMetaphone, (Philips 2000), to identify keywords that have the similar pronunciation.

Phonetic matching

Method : a

KWIC1 fields: NationalID CollNo DonorID OtherID1 OtherID2

No..of.Sets No..of.Records
PhoneticDuplicates 99 260
Total 99 260(Distinct:260)

Either the primary or alternate encodings can be used by specifying the encoding argument.

Phonetic matching

Method: a

KWIC1 fields : NationalID CollNo DonorID OtherID1 OtherID2

```
No..of.Sets No..of.Records
PhoneticDuplicates 98 263
Total 98 263(Distinct:263)
```

The argument phon.min.alpha sets the limits for the number of alphabet characters to be present in a string for executing phonetic matching.

Phonetic matching

Method: a

KWIC1 fields: NationalID CollNo DonorID OtherID1 OtherID2

```
No..of.Sets No..of.Records
PhoneticDuplicates 304 451
Total 304 451(Distinct:451)
```

Similarly min.enc sets the limits for the number of characters to be present in the encoding of a keyword for phonetic matching.

Phonetic matching

Method: a

KWIC1 fields: NationalID CollNo DonorID OtherID1 OtherID2

```
No..of.Sets No..of.Records
PhoneticDuplicates 59 156
Total 59 156(Distinct:156)
```

3. Semantic matching matches keywords based on a list of accession name synonyms supplied as list with character vectors of synonym sets (synsets) to the syn argument. Synonyms in this context refer to interchangeable identifiers or names by which an accession is recognized. Multiple keywords specified as members of the same synset in syn are matched. To facilitate accurate identification of synonyms from the KWIC index, identical data standardization operations using the Merge\* and DataClean functions for both the original database fields and the synset list are recommended.

```
Semantic matching
```

Method: a

KWIC1 fields: NationalID CollNo DonorID OtherID1 OtherID2

No..of.Sets No..of.Records SemanticDuplicates 2 5 (Distinct:5)

# Memory and Speed Constraints

As the number of keywords in the KWIC indexes increases, the memory consumption by the function also increases proportionally. This is due to the reason that for string matching, this function relies upon creation of a  $n \times m$  matrix of all possible keyword pairs for comparison, where n and m are the number of keywords in the query and source indexes respectively. This can lead to cannot allocate vector of size... errors in case of large KWIC indexes where the comparison matrix is too large to reside in memory. In such a case, the chunksize argument can be reduced from the default 1000 to get the appropriate size of the KWIC index keyword block to be used for searching for matches at a time. However a smaller chunksize may lead to longer computation time due to the memory-time trade-off.

The progress of matching is displayed in the console as number of keyword blocks completed out of the total number of blocks, the percentage of achievement and a text-based progress bar.

In case of multi-byte characters in keywords, the speed of keyword matching is further dependent upon the useBytes argument as described in help("stringdist-encoding") for the stringdist function in the namesake package (van der Loo 2014), which is made use of here for string matching.

The CPU time taken for retrieval of probable duplicate sets under different options for the arguments chunksize and useBytes can be visualized using the microbenchmark package (Fig. 3).

```
# Load example dataset
GN <- GN1000

# Specify as a vector the database fields to be used
GNfields <- c("NationalID", "CollNo", "DonorID", "OtherID1", "OtherID2")

# Clean the data
GN[GNfields] <- lapply(GN[GNfields], function(x) DataClean(x))
y1 <- list(c("Gujarat", "Dwarf"), c("Castle", "Cary"), c("Small", "Japan"),
c("Big", "Japan"), c("Mani", "Blanco"), c("Uganda", "Erect"),</pre>
```

```
c("Mota", "Company"))
y2 <- c("Dark", "Light", "Small", "Improved", "Punjab", "SAM")
y3 <- c("Local", "Bold", "Cary", "Mutant", "Runner", "Giant", "No.",
        "Bunch", "Peanut")
GN[GNfields] <- lapply(GN[GNfields],</pre>
                       function(x) MergeKW(x, y1, delim = c("space", "dash")))
GN[GNfields] <- lapply(GN[GNfields],</pre>
                       function(x) MergePrefix(x, y2, delim = c("space", "dash")))
GN[GNfields] <- lapply(GN[GNfields],</pre>
                       function(x) MergeSuffix(x, y3, delim = c("space", "dash")))
# Generate the KWIC index
GNKWIC <- KWIC(GN, GNfields)</pre>
# Specify the exceptions as a vector
exep <- c("A", "B", "BIG", "BOLD", "BUNCH", "C", "COMPANY", "CULTURE",</pre>
         "DARK", "E", "EARLY", "EC", "ERECT", "EXOTIC", "FLESH", "GROUNDNUT",
         "GUTHUKAI", "IMPROVED", "K", "KUTHUKADAL", "KUTHUKAI", "LARGE",
         "LIGHT", "LOCAL", "OF", "OVERO", "P", "PEANUT", "PURPLE", "R",
         "RED", "RUNNER", "S1", "SAM", "SMALL", "SPANISH", "TAN", "TYPE",
         "U", "VALENCIA", "VIRGINIA", "WHITE")
# Specify the synsets as a list
syn <- list(c("CHANDRA", "AH 114"), c("TG-1", "VIKRAM"))</pre>
syn <- lapply(syn, DataClean)</pre>
timings <- microbenchmark::microbenchmark(</pre>
  # Fetch duplicate sets with default chunk.size
 t1 = ProbDup(kwic1 = GNKWIC, method = "a", excep = exep,
                                      chunksize = 1000, useBytes = TRUE,
                                     fuzzy = TRUE, phonetic = TRUE,
                                      semantic = TRUE, syn = syn),
  # Fetch duplicate sets chunk.size 2000
 t2 = ProbDup(kwic1 = GNKWIC, method = "a", excep = exep,
                                      chunksize = 2000, useBytes = TRUE,
                                      fuzzy = TRUE, phonetic = TRUE,
                                      semantic = TRUE, syn = syn),
  # Fetch duplicate sets chunk.size 100
 t3 = ProbDup(kwic1 = GNKWIC, method = "a", excep = exep,
                                     chunksize = 100, useBytes = TRUE,
                                      fuzzy = TRUE, phonetic = TRUE,
                                      semantic = TRUE, syn = syn),
  # Fetch duplicate sets useBytes = FALSE
 t4 = ProbDup(kwic1 = GNKWIC, method = "a", excep = exep,
                                      chunksize = 1000, useBytes = FALSE,
                                      fuzzy = TRUE, phonetic = TRUE,
                                      semantic = TRUE, syn = syn),
times = 10)
plot(timings, col = c("#1B9E77", "#D95F02", "#7570B3", "#E7298A"),
    xlab = "Expression", ylab = "Time")
legend("topright", c("t1 : chunksize = 1000,\n
                                                  useBytes = T (default) n'',
         "t2 : chunksize = 2000,\n useBytes = T\n",
         "t3 : chunksize = 500,\n useBytes = T\n",
```

```
"t4 : chunksize = 1000,\n useBytes = F\n"),
bty = "n", cex = 0.6)
```

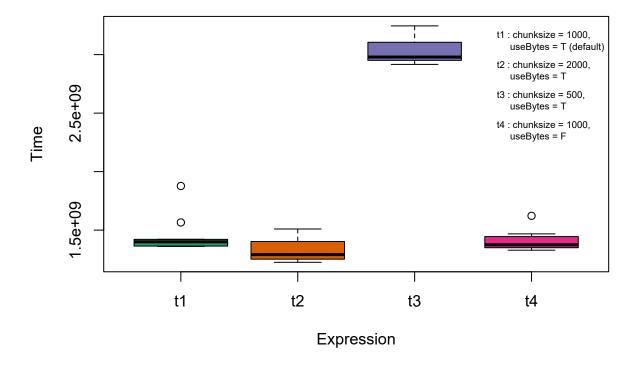


Fig. 3. CPU time with different ProbDup arguments estimated using the microbenchmark package.

# Set Review, Modification and Validation

The initially retrieved sets may be intersecting with each other because there might be accessions which occur in more than duplicate set. Disjoint sets can be generated by merging such overlapping sets using the function DisProbDup.

Disjoint sets are retrieved either individually for each type of probable duplicate sets or considering all type of sets simultaneously. In case of the latter, the disjoint of all the type of sets alone are returned in the output as an additional data frame DisjointDupicates in an object of class ProbDup.

```
# Load example dataset
GN <- GN1000

# Specify as a vector the database fields to be used
GNfields <- c("NationalID", "CollNo", "DonorID", "OtherID1", "OtherID2")

# Clean the data
GN[GNfields] <- lapply(GN[GNfields], function(x) DataClean(x))
y1 <- list(c("Gujarat", "Dwarf"), c("Castle", "Cary"), c("Small", "Japan"),
c("Big", "Japan"), c("Mani", "Blanco"), c("Uganda", "Erect"),
c("Mota", "Company"))</pre>
```

```
y2 <- c("Dark", "Light", "Small", "Improved", "Punjab", "SAM")
y3 <- c("Local", "Bold", "Cary", "Mutant", "Runner", "Giant", "No.",
        "Bunch", "Peanut")
GN[GNfields] <- lapply(GN[GNfields],</pre>
                       function(x) MergeKW(x, y1, delim = c("space", "dash")))
GN[GNfields] <- lapply(GN[GNfields],</pre>
                       function(x) MergePrefix(x, y2, delim = c("space", "dash")))
GN[GNfields] <- lapply(GN[GNfields],</pre>
                       function(x) MergeSuffix(x, y3, delim = c("space", "dash")))
# Generate KWIC index
GNKWIC <- KWIC(GN, GNfields)</pre>
# Specify the exceptions as a vector
exep <- c("A", "B", "BIG", "BOLD", "BUNCH", "C", "COMPANY", "CULTURE",
         "DARK", "E", "EARLY", "EC", "ERECT", "EXOTIC", "FLESH", "GROUNDNUT",
         "GUTHUKAI", "IMPROVED", "K", "KUTHUKADAL", "KUTHUKAI", "LARGE",
         "LIGHT", "LOCAL", "OF", "OVERO", "P", "PEANUT", "PURPLE", "R",
         "RED", "RUNNER", "S1", "SAM", "SMALL", "SPANISH", "TAN", "TYPE",
         "U", "VALENCIA", "VIRGINIA", "WHITE")
# Specify the synsets as a list
syn <- list(c("CHANDRA", "AH114"), c("TG1", "VIKRAM"))</pre>
# Fetch probable duplicate sets
GNdup <- ProbDup(kwic1 = GNKWIC, method = "a", excep = exep, fuzzy = TRUE,</pre>
                 phonetic = TRUE, encoding = "primary",
                 semantic = TRUE, syn = syn)
# Initial number of sets
GNdup
Method: a
KWIC1 fields : NationalID CollNo DonorID OtherID1 OtherID2
                   No..of.Sets
                                    No..of.Records
FuzzyDuplicates
                           378
                                               745
PhoneticDuplicates
                            99
                                               260
SemanticDuplicates
                             2
Total
                           479 1010(Distinct:762)
# Get disjoint probable duplicate sets of each kind
disGNdup1 <- DisProbDup(GNdup, combine = NULL)</pre>
# # Number of sets after combining intersecting sets
disGNdup1
Method: a
KWIC1 fields : NationalID CollNo DonorID OtherID1 OtherID2
                   No..of.Sets
                                    No..of.Records
                                               745
FuzzyDuplicates
                           181
PhoneticDuplicates
                            80
                                               260
SemanticDuplicates
                             2
                                                 5
```

```
Total 263 1010(Distinct:762)
```

```
# Get disjoint probable duplicate sets combining all the kinds of sets
disGNdup2 <- DisProbDup(GNdup, combine = c("F", "P", "S"))
# Number of sets after combining intersecting sets
disGNdup2</pre>
```

Method: a

head (RevGNdup)

KWIC1 fields: NationalID CollNo DonorID OtherID1 OtherID2

No..of.Sets No..of.Records
DisjointDupicates 167 762
Total 167 762(Distinct:762)

Once duplicate sets are retrieved they can be validated by manual clerical review by comparing with original PGR passport database(s) using the ReviewProbDup function. This function helps to retrieve PGR passport information associated with fuzzy, phonetic or semantic probable duplicate sets in an object of class ProbDup from the original databases(s) from which they were identified. The original information of accessions comprising a set, which have not been subjected to data standardization can be compared under manual clerical review for the validation of the set. By default only the fields(columns) which were used initially for creation of the KWIC indexes using the KWIC function are retrieved. Additional fields(columns) if necessary can be specified using the extra.db1 and extra.db2 arguments.

When any primary ID/key records in the fuzzy, phonetic or semantic duplicate sets are found to be missing from the original databases specified in db1 and db2, then they are ignored and only the matching records are considered for retrieving the information with a warning.

This may be due to data standardization of the primary ID/key field using the function DataClean before creation of the KWIC index and subsequent identification of probable duplicate sets. In such a case, it is recommended to use an identical data standardization operation on the primary ID/key field of databases specified in db1 and db2 before running this function.

With R <= v3.0.2, due to copying of named objects by list(), Invalid .internal.selfref detected and fixed... warning can appear, which may be safely ignored.

The output data frame can be subjected to clerical review either after exporting into an external spreadsheet using write.csv function or by using the edit function.

The column DEL can be used to indicate whether a record has to be deleted from a set or not. Y indicates "Yes", and the default N indicates "No".

The column SPLIT similarly can be used to indicate whether a record in a set has to be branched into a new set. A set of identical integers in this column other than the default 0 can be used to indicate that they are to be removed and assembled into a new set.

```
SET NO TYPE K[a] PRIM ID IDKW DEL SPLI
```

```
F [K1] EC21118 [K1]EC21118:U44712
2
                                                                  3
3
            F [K1] IC494796 [K1] IC494796:U44712
                                                     N
                                                            0
                                                                  3
       1
4
      NA
              <NA>
                        <NA>
                                             <NA> <NA>
                                                           NA
                                                                 NA
5
                              [K1]EC100713:STARR
       1
            P [K1] EC100713
                                                            Λ
                                                                 14
                                                     N
6
       1
            P [K1] EC106985
                              [K1]EC106985:STARR
                                                     N
                                                            0
                                                                 14
                                K1 CollNo K1 DonorID K1 OtherID1
  K1 NationalID
       EC100277
                    Shulamith/ NRCG-14555
                                             ICG-4709
1
        EC21118 U 4-47-12; EC 21118; UKA
2
                                              ICG3265
3
       IC494796
                                U-4-47-12
                                             ICG-6890
4
           <NA>
                                      <NA>
                                                 <NA>
                                                              <NA>
5
       EC100713
                               EC 100713;
                                              ICG5296
6
       EC106985
                                              ICG3479
                                     Starr
   K1_OtherID2
                       K1X_SourceCountry K1X_TransferYear
1
      U4-47-12
                                   Israel
                                                      2014
2
 U44712 U K A
                               Australia
                                                       1989
3
        U44712
                                 Unknown
                                                       2010
4
                                     <NA>
          <NA>
                                                        NA
5
         STARR United States of America
                                                      2004
6
               United States of America
                                                      2001
# Examine and review the duplicate sets using edit function
RevGNdup <- edit(RevGNdup)</pre>
# OR examine and review the duplicate sets after exporting them as a csv file
write.csv(file="Duplicate sets for review.csv", x=RevGNdup)
```

After clerical review, the data frame created using the function ReviewProbDup from an object of class ProbDup can be reconstituted back to the same object after the review using the function ReconstructProbDup.

The instructions for modifying the sets entered in the appropriate format in the columns DEL and SPLIT during clerical review are taken into account for reconstituting the probable duplicate sets. Any records with Y in column DEL are deleted and records with identical integers in the column SPLIT other than the default 0 are reassembled into a new set.

```
# The original set data
subset(RevGNdup, SET_NO==13 & TYPE=="P", select= c(IDKW, DEL, SPLIT))
                                                IDKW DEL SPLIT
111
                              [K1] EC38607: MANFREDI1
                                                        N
                                                               0
112
                              [K1] EC420966: MANFREDI
                                                        Ν
                                                               0
113
                             [K1] EC42549:MANFREDI68
                                                        N
                                                               \cap
114
                               [K1] EC42550: MANFRED1
                                                        N
                                                               0
115 [K1] EC552714: CHAMPAQUI, [K1] EC552714: MANFREDI
                                                               0
                                                        N
116
                            [K1]EC573128:MANFREDI84
                                                        N
                                                               0
117 [K1]IC304523:CHAMPAGUE, [K1]IC304523:MANFREDI
                                                               0
                                                        N
# Make dummy changes to the set for illustration
RevGNdup[c(113, 116), 6] \leftarrow "Y"
RevGNdup[c(111, 114), 7] <- 1
RevGNdup[c(112, 115, 117), 7] \leftarrow 2
# The instruction for modification in columns DEL and SPLIT
subset(RevGNdup, SET_NO==13 & TYPE=="P", select= c(IDKW, DEL, SPLIT))
                                                IDKW DEL SPLIT
111
                              [K1] EC38607: MANFREDI1
                                                        N
                                                               1
                                                               2
112
                              [K1] EC420966: MANFREDI
                                                        N
                             [K1] EC42549: MANFREDI68
113
                                                        Y
                                                               0
```

```
115 [K1] EC552714: CHAMPAQUI, [K1] EC552714: MANFREDI
                                                       N
                                                             2
                           [K1]EC573128:MANFREDI84
                                                       Y
                                                             0
117 [K1] IC304523: CHAMPAGUE, [K1] IC304523: MANFREDI
                                                             2
                                                       N
# Reconstruct ProDup object
GNdup2 <- ReconstructProbDup(RevGNdup)</pre>
# Initial no. of sets
disGNdup1
Method: a
KWIC1 fields : NationalID CollNo DonorID OtherID1 OtherID2
                    No..of.Sets
                                     No..of.Records
FuzzyDuplicates
                                                745
                            181
                                                260
PhoneticDuplicates
                             80
SemanticDuplicates
                                                   5
```

N

[K1] EC42550: MANFRED1

263 1010(Distinct:762)

# No. of sets after modifications  $\operatorname{GNdup2}$ 

Method: a

Total

114

KWIC1 fields : NationalID CollNo DonorID OtherID1 OtherID2

	${\tt Noof.Sets}$	Noof.Records
FuzzyDuplicates	180	523
${\tt Phonetic Duplicates}$	81	258
${\tt SemanticDuplicates}$	2	5
Total	263	786(Distinct:674)

# Other Functions

The ProbDup object is a list of data frames of different kinds of probable duplicate sets *viz*-FuzzyDuplicates, PhoneticDuplicates, SemanticDuplicates and DisjointDuplicates. Each row of the component data frame will have information of a set, the type of set, the set members as well as the keywords based on which the set was formed. This data can be reshaped into long form using the function ParseProbDup. This function which will transform a ProbDup object into a single data frame.

```
# Convert 'ProbDup' object to a long form data frame of sets
GNdupParsed <- ParseProbDup(GNdup)
head(GNdupParsed)</pre>
```

	SET_NO	TYPE	K	PRIM_ID	IDKW	COUNT
1	1	F	[K1]	EC100277	[K1]EC100277:U44712	3
2	1	F	[K1]	EC21118	[K1]EC21118:U44712	3
3	1	F	[K1]	IC494796	[K1]IC494796:U44712	3
4	NA		<na></na>	<na></na>	<na></na>	NA
5	2	F	[K1]	EC100280	[K1]EC100280:NC5	3
6	2	F	[K1]	EC100721	[K1]EC100721:NC5	3

The prefix K\* here indicates the KWIC index of origin. This is useful in ascertaining the database of origin of the accessions when method "b" or "c" was used to create the input ProbDup object.

Once the sets are reviewed and modified, the validated set data fields from the ProbDup object can be added to the original PGR passport database using the function AddProbDup. The associated data fields such as SET\_NO, ID and IDKW are added based on the PRIM\_ID field(column).

```
# Loading original database
GN2 <- GN1000

# Add the duplicates set data to the original database
GNwithdup <- AddProbDup(pdup = GNdup, db = GN2, addto = "I")</pre>
```

In case more than one KWIC index was used to generate the object of class ProbDup, the argument addto can be used to specify to which database the data fields are to be added. The default "I" indicates the database from which the first KWIC index was created and "II" indicates the database from which the second index was created.

The function SplitProbDup can be used to split an object of class ProbDup into two on the basis of set counts. This is useful for reviewing separately the sets with larger set counts.

```
# Load PGR passport database
GN <- GN1000
# Specify as a vector the database fields to be used
GNfields <- c("NationalID", "CollNo", "DonorID", "OtherID1", "OtherID2")
# Clean the data
GN[GNfields] <- lapply(GN[GNfields], function(x) DataClean(x))</pre>
y1 <- list(c("Gujarat", "Dwarf"), c("Castle", "Cary"), c("Small", "Japan"),
c("Big", "Japan"), c("Mani", "Blanco"), c("Uganda", "Erect"),
c("Mota", "Company"))
y2 <- c("Dark", "Light", "Small", "Improved", "Punjab", "SAM")
y3 <- c("Local", "Bold", "Cary", "Mutant", "Runner", "Giant", "No.",
        "Bunch", "Peanut")
GN[GNfields] <- lapply(GN[GNfields],</pre>
                        function(x) MergeKW(x, y1, delim = c("space", "dash")))
GN[GNfields] <- lapply(GN[GNfields],</pre>
                        function(x) MergePrefix(x, y2, delim = c("space", "dash")))
GN[GNfields] <- lapply(GN[GNfields],</pre>
                        function(x) MergeSuffix(x, y3, delim = c("space", "dash")))
# Generate KWIC index
GNKWIC <- KWIC(GN, GNfields)</pre>
# Specify the exceptions as a vector
exep <- c("A", "B", "BIG", "BOLD", "BUNCH", "C", "COMPANY", "CULTURE",
         "DARK", "E", "EARLY", "EC", "ERECT", "EXOTIC", "FLESH", "GROUNDNUT",
         "GUTHUKAI", "IMPROVED", "K", "KUTHUKADAL", "KUTHUKAI", "LARGE",
         "LIGHT", "LOCAL", "OF", "OVERO", "P", "PEANUT", "PURPLE", "R",
         "RED", "RUNNER", "S1", "SAM", "SMALL", "SPANISH", "TAN", "TYPE",
         "U", "VALENCIA", "VIRGINIA", "WHITE")
# Specify the synsets as a list
syn <- list(c("CHANDRA", "AH114"), c("TG1", "VIKRAM"))</pre>
# Fetch probable duplicate sets
GNdup <- ProbDup(kwic1 = GNKWIC, method = "a", excep = exep, fuzzy = TRUE,</pre>
                 phonetic = TRUE, encoding = "primary",
```

```
semantic = TRUE, syn = syn)
# Split the probable duplicate sets
GNdupSplit <- SplitProbDup(GNdup, splitat = c(10, 10, 10))</pre>
GNdupSplit[[1]]
Method: a
KWIC1 fields: NationalID CollNo DonorID OtherID1 OtherID2
                    No..of.Sets
                                    No..of.Records
FuzzyDuplicates
                            338
                                                744
PhoneticDuplicates
                             99
                                                260
SemanticDuplicates
                              2
Total
                            439 1009(Distinct:762)
GNdupSplit[[3]]
Method: a
KWIC1 fields : NationalID CollNo DonorID OtherID1 OtherID2
                No..of.Sets
                                No..of.Records
FuzzyDuplicates
                          40
                                            136
Total
                          40 136(Distinct:136)
Alternatively, two different ProbDup objects can be merged together using the function MergeProbDup.
GNdupMerged <- MergeProbDup(GNdupSplit[[1]], GNdupSplit[[3]])</pre>
GNdupMerged
Method: a
KWIC1 fields: NationalID CollNo DonorID OtherID1 OtherID2
                   No..of.Sets
                                    No..of.Records
FuzzyDuplicates
                            378
                                                745
PhoneticDuplicates
                             99
                                                260
SemanticDuplicates
                              2
                                                  5
                            479 1010(Distinct:762)
Total
```

The summary of accessions according to a grouping factor field(column) in the original database(s) within the probable duplicate sets retrieved in a ProbDup object can be visualized by the ViewProbDup function. The resulting plot can be used to examine the extent of probable duplication within and between groups of accessions records.

```
# Load PGR passport databases
GN1 <- GN1000[!grepl("^ICG", GN1000$DonorID), ]
GN1$DonorID <- NULL
GN2 <- GN1000[grepl("^ICG", GN1000$DonorID), ]
GN2 <- GN2[!grepl("S", GN2$DonorID), ]
GN2$NationalID <- NULL
GN1$SourceCountry <- toupper(GN1$SourceCountry)
GN2$SourceCountry <- toupper(GN2$SourceCountry)</pre>
GN1$SourceCountry <- gsub("UNITED STATES OF AMERICA", "USA", GN1$SourceCountry)
```

```
GN2$SourceCountry <- gsub("UNITED STATES OF AMERICA", "USA", GN2$SourceCountry)
# Specify as a vector the database fields to be used
GN1fields <- c("NationalID", "CollNo", "OtherID1", "OtherID2")
GN2fields <- c("DonorID", "CollNo", "OtherID1", "OtherID2")</pre>
# Clean the data
GN1[GN1fields] <- lapply(GN1[GN1fields], function(x) DataClean(x))</pre>
GN2[GN2fields] <- lapply(GN2[GN2fields], function(x) DataClean(x))</pre>
y1 <- list(c("Gujarat", "Dwarf"), c("Castle", "Cary"), c("Small", "Japan"),
           c("Big", "Japan"), c("Mani", "Blanco"), c("Uganda", "Erect"),
           c("Mota", "Company"))
y2 <- c("Dark", "Light", "Small", "Improved", "Punjab", "SAM")
y3 <- c("Local", "Bold", "Cary", "Mutant", "Runner", "Giant", "No.",
        "Bunch", "Peanut")
GN1[GN1fields] <- lapply(GN1[GN1fields],</pre>
                          function(x) MergeKW(x, y1, delim = c("space", "dash")))
GN1[GN1fields] <- lapply(GN1[GN1fields],</pre>
                          function(x) MergePrefix(x, y2, delim = c("space", "dash")))
GN1[GN1fields] <- lapply(GN1[GN1fields],</pre>
                          function(x) MergeSuffix(x, y3, delim = c("space", "dash")))
GN2[GN2fields] <- lapply(GN2[GN2fields],</pre>
                          function(x) MergeKW(x, y1, delim = c("space", "dash")))
GN2[GN2fields] <- lapply(GN2[GN2fields],</pre>
                          function(x) MergePrefix(x, y2, delim = c("space", "dash")))
GN2[GN2fields] <- lapply(GN2[GN2fields],</pre>
                          function(x) MergeSuffix(x, y3, delim = c("space", "dash")))
# Remove duplicated DonorID records in GN2
GN2 <- GN2[!duplicated(GN2$DonorID), ]</pre>
# Generate KWIC index
GN1KWIC <- KWIC(GN1, GN1fields)</pre>
GN2KWIC <- KWIC(GN2, GN2fields)</pre>
# Specify the exceptions as a vector
exep <- c("A", "B", "BIG", "BOLD", "BUNCH", "C", "COMPANY", "CULTURE",
          "DARK", "E", "EARLY", "EC", "ERECT", "EXOTIC", "FLESH", "GROUNDNUT",
          "GUTHUKAI", "IMPROVED", "K", "KUTHUKADAL", "KUTHUKAI", "LARGE",
          "LIGHT", "LOCAL", "OF", "OVERO", "P", "PEANUT", "PURPLE", "R",
          "RED", "RUNNER", "S1", "SAM", "SMALL", "SPANISH", "TAN", "TYPE",
          "U", "VALENCIA", "VIRGINIA", "WHITE")
# Specify the synsets as a list
syn <- list(c("CHANDRA", "AH114"), c("TG1", "VIKRAM"))</pre>
GNdupc <- ProbDup(kwic1 = GN1KWIC, kwic2 = GN2KWIC, method = "c",</pre>
                  excep = exep, fuzzy = TRUE, phonetic = TRUE,
                  encoding = "primary", semantic = TRUE, syn = syn)
```

Fuzzy matching

Τ

30

```
1 33%
 |-----
Block 1 / 3 |
 |-----
                                                | 67%
Block 2 / 3 |
 |-----| 100%
Block 3 / 3 |
Phonetic matching
                                                1 33%
Block 1 / 3 |
 |-----
                                                | 67%
Block 2 / 3 |
 |-----| 100%
Block 3 / 3 |
Semantic matching
 |=============
                                                | 33%
Block 1 / 3 |
                                                | 67%
Block 2 / 3 |
 |-----| 100%
Block 3 / 3 |
# Get the summary data.frames and Grob
GNdupcView <- ViewProbDup(GNdupc, GN1, GN2, "SourceCountry", "SourceCountry",</pre>
                 max.count = 30, select = c("INDIA", "USA"), order = "type",
                 main = "Groundnut Probable Duplicates")
# View the summary data.frames
GNdupcView[[1]]
GNdupcView[[2]]
# Plot the summary visualization
library(gridExtra)
grid.arrange(GNdupcView[[3]])
```

## Total no. of sets = 160 Total no. of accessions = 984 60 of sets 40 Redundant Unique 49.39 % 50.61 % Š 20 200 No. of accessions Accession Accession Type Group INDIA Unique Others 100 Within USA 0 USA INDIA 400 Type of sets retrieved No. of accessions

**Groundnut Probable Duplicates** 

Fig. 5. Summary visualization of groundnut probable duplicate sets retrieved according to SourceCountry field.

The function KWCounts can be used to compute the keyword counts from PGR passport database fields(columns) which are considered for identification of probable duplicates. These keyword counts can give a rough indication of the completeness of the data in such fields (Fig. 3).

```
# Compute the keyword counts for the whole data
GNKWCouts <- KWCounts(GN, GNfields, exep)</pre>
# Compute the keyword counts for 'duplicated' records
GND <- ParseProbDup(disGNdup2, Inf, F)$PRIM ID
GNDKWCouts <- KWCounts(GN[GN$NationalID %in% GND, ], GNfields, exep)</pre>
# Compute the keyword counts for 'unique' records
GNUKWCouts <- KWCounts(GN[!GN$NationalID %in% GND, ], GNfields, exep)
# Plot the counts as barplot
par(mfrow = c(3,1))
bp1 <- barplot(table(GNKWCouts$COUNT),</pre>
               xlab = "Word count", ylab = "Frequency",
               main = "A", col = "#1B9E77")
text(bp1, 0, table(GNKWCouts$COUNT),cex = 1, pos = 3)
legend("topright", paste("No. of records =",
                    nrow(GN)), bty = "n")
bp2 <- barplot(table(GNDKWCouts$COUNT),</pre>
               xlab = "Word count", ylab = "Frequency",
```

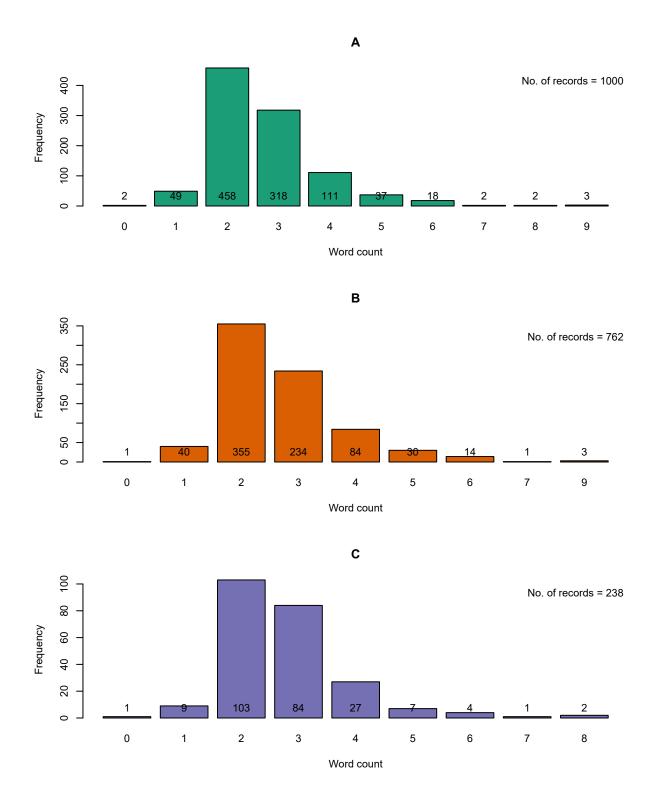


Fig. 6. The keyword counts in the database fields considered for identification of probable duplicates for A. the entire GN1000 dataset, B. the probable duplicate records alone and C. the unique records alone.

# Citing PGRdup

[4] pillar\_1.0.1

```
citation("PGRdup")
To cite the R package 'PGRdup' in publications use:
  Aravind, J., J. Radhamani, Kalyani Srinivasan, B. Ananda
  Subhash, and R. K. Tyagi (2018). PGRdup: Discover Probable
  Duplicates in Plant Genetic Resources Collections. R package
  version 0.2.3.3, https://cran.r-project.org/package=PGRdup,
  https://doi.org/10.5281/zenodo.841963.
A BibTeX entry for LaTeX users is
  @Manual{,
   title = {PGRdup: Discover Probable Duplicates in Plant Genetic Resources Collections},
   author = {{Aravind J} and {Radhamani J} and {Kalyani Srinivasan} and {Ananda Subhash B} and {Rishi I
   note = {R package version 0.2.3.3},
   note = {https://cran.r-project.org/package=PGRdup},
   note = {https://doi.org/10.5281/zenodo.841963},
   year = {2018},
  }
This free and open-source software implements academic research by
the authors and co-workers. If you use it, please support the
project by citing the package.
Session Info
sessionInfo()
R version 3.4.3 (2017-11-30)
Platform: x86_64-w64-mingw32/x64 (64-bit)
Running under: Windows >= 8 x64 (build 9200)
Matrix products: default
locale:
[1] LC_COLLATE=English_India.1252 LC_CTYPE=English_India.1252
[3] LC_MONETARY=English_India.1252 LC_NUMERIC=C
[5] LC_TIME=English_India.1252
attached base packages:
[1] stats
             graphics grDevices utils
                                            datasets methods
                                                                base
other attached packages:
[1] gridExtra_2.3
                       wordcloud_2.5
                                          RColorBrewer_1.1-2
[4] diagram_1.6.4
                      shape_1.4.3
                                          PGRdup_0.2.3.3
loaded via a namespace (and not attached):
 [1] Rcpp_0.12.14
                          highr_0.6
                                                   compiler_3.4.3
```

tools\_3.4.3

plyr\_1.8.4

	digest_0.6.13	evaluate_0.10.1	memoise_1.1.0
[10]	tibble_1.4.1	gtable_0.2.0	pkgconfig_2.0.1
[13]	rlang_0.1.6	igraph_1.1.2	rstudioapi_0.7
[16]	microbenchmark_1.4-2.1	commonmark_1.4	yaml_2.1.16
[19]	parallel_3.4.3	knitr_1.18	withr_2.1.1
[22]	stringr_1.2.0	roxygen2_6.0.1	xml2_1.1.1
[25]	desc_1.1.1	devtools_1.13.4	rprojroot_1.3-2
[28]	grid_3.4.3	data.table_1.10.4-3	R6_2.2.2
[31]	XML_3.98-1.9	rmarkdown_1.8	ggplot2_2.2.1
[34]	magrittr_1.5	htmltools_0.3.6	scales_0.5.0
[37]	backports_1.1.2	stringdist_0.9.4.6	assertthat_0.2.0
[40]	colorspace_1.3-2	labeling_0.3	stringi_1.1.6
[43]	lazyeval_0.2.1	munsell_0.4.3	$slam_0.1-42$
[46]	crayon_1.3.4		

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Philips, Lawrence. 2000. "The Double Metaphone Search Algorithm." C/C++ Users Journal 18 (6): 38–43. http://dl.acm.org/citation.cfm?id=349124.349132.

van der Loo, M. P. J. 2014. "The Stringdist Package for Approximate String Matching." *R Journal* 6 (1): 111–22. https://journal.r-project.org/archive/2014/RJ-2014-011/index.html.