

**Development of a Secure Raspberry Pi Based Home Surveillance System**

A dissertation submitted in partial fulfilment of the requirements of Glasgow Caledonian University for the degree of Master of Science in Big Data Technologies

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**“Except where explicitly stated, all work in this report, including the appendices, is my own original work and has not been submitted elsewhere in fulfilment of the requirement of this or any other award”**

**Signed: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date: \_\_\_\_\_\_\_\_**

# Abstract

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# Acknowledgments

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# 1.0 Introduction

## 1.1 Background

Smart security is growing at an exponential rate with an expected revenue forecast of 28 million by the end of 2023 as shown in Figure 1. Although such technology poses many advantages over traditional non-internet based security, cybersecurity has been a rising concern with small Internet of Things-based devices. A recent report by HP highlighted that out of the ten home security systems analysed, 100% of them had security vulnerabilities such as not requiring strong passwords and only one offered two-factor authentication (HP, 2015).

This is concerning enough that the UK Government poses to introduce new legislation based on Internet of Things (IoT) security, requiring products to pass security requirements before being sold and introducing mandatory labelling schemes for each product, showing how secure they are (Ashford, 2019). This is further highlighted with recent media scares such as baby monitors being hacked with the hacker being able to speak through the baby monitor and threatening the parents (Wang, 2018).

With mainstream IoT devices being consistently hosted on the cloud, this offers individuals with malicious intent another method in taking down or accessing IoT devices. Recently, Google Cloud, one of the cloud vendor giants was taken down in a possible cyber-attack (Merriman, 2019), highlighting the potentially unreliability of Cloud hosted services. Organisations that depended on Googles Cloud, including security company Nest had their services taken down for over 4 hours. This is problematic in an area such as face-recognition based CCTV, meaning if data cannot be accessed from the Cloud, the whole system becomes non-functional.

As an alternative to the current mainstream method of hosting on the cloud, a Raspberry Pi-based security system utilising local storage is proposed. The Raspberry Pi, being a small credit card sized computer, has near unlimited capabilities in home smart technology, only confined to its processing speed and memory. Building a custom made home surveillance system poses various security challenges similar to all Internet of Things devices. By investigating best security practices and covering every layer of the IoT architecture, a model can be proposed to to build future similar systems.



Figure : Smart Home - revenue forecast for the segment Security worldwide\* from 2017 to 2023 (in million U.S. dollars) (source: [www.statista.com](http://www.statista.com))

## 1.2 Problem Description

Modern IoT-based security systems pose various security risks to the individual. With the majority of these services using cloud technology, there is a larger scope of security concerns covering the full system stack. The development of a home security system using a Raspberry Pi model is proposed to create a system with a smaller scope of security vulnerabilities using local data storage to reduce information exposure over the system. The Raspberry Pi will interact with a smart phone app, with each family member having access to the application. This means security can be focused down to the perception, network and application layers of the IoT architecture.

This project aims to tackle the following areas of security:

* Authorised access to the Raspberry Pi (authentication)
* Integrated authentication for the smart phone app and IoT platform
* Explore security through restricting port access
* Storage security through encryption and reducing exposure of data to the network

These will be created in accordance with the best practices outlined by the IoT security foundation (IoT Security Compliance Framework, 2018). If time permits, a separate machine can be set up with the Kali Linux operating system, an operating system used in cyber security and digital forensics. The machine can perform various cyber-attacks (sniffing, MITM, DoS) and evaluate how the system performs against them. A recent paper demonstrates various types of DoS attacks were carried out in a test bed environment against an IoT device, resulting in a success attack against the network it was hosted on (Liang et al., 2016).

## 1.3 Project objectives

This project aims to achieve the following objectives:

1. Identify from the available literature, state of the art guidelines for an Internet of Things-based home surveillance system. With multiple network and communication protocols being available for IoT devices, it is important to determine the most secure and standard for this type of home system.
2. Review the Internet of Things architecture to understand security and design flaws for each layer. The perception, network and application layer currently pose various security challenges for IoT and must be addressed individually.
3. Determine the most appropriate data exchange format. With there being multiple data formats available for application communication between devices, the most suitable is selected, being determined from variables such as serialization time, deserialization time and message size.
4. Ensure the security standards are in alignment with the guidelines set by IoT security foundation (IoT Security Compliance Framework, 2018). By comparing the system to the best practices standard, it can be ensured that the system meets security requirements.
5. Identify commonly security threats to Internet of Things based devices. It is important to understand the most prominent cyber-attacks carried out on these devices to prepare defence against them.
6. With devices such as the Raspberry Pi being limited by its computational power, it is important to determine the most appropriate face recognition methodology suitable for a small device such as the Raspberry Pi.

# 2.0 Literature Review

This section covers the overall Internet of Things architecture and the current security issues present in each layer. State of the art network and communication protocols are reviewed as well as security protocols to determine the most suitable protocols for the proposed system. Various security threats are reviewed as well as forms of cryptography to determine best practices for the proposed system.

## 2.1 Internet of Things Architecture

IoT security faces three levels of architecture that can be attacked with malicious intent. As detailed in a recent 2018 paper, the most basic agreed upon architecture consists of three layers: Perception Layer, Network Layer and Application Layer (Burhan et al., 2018). For cloud based systems, an additional Processing layer is also considered (Aziz and Haq, 2018).

### 2.1.1 Perception Layer

This layer consists of the physical sensors which collect information and identify objects. Commonly used sensors include RFID, barcode & cameras. In this experiment the security camera is the main focus of this layer and can pose multiple potential security issues. As highlighted in the article mentioned previously, eavesdropping is definitely the most concerning security issue in this layer. Having unauthorised access to video footage is a serious breach of privacy. This is accomplished by taking advantage of insecure modes of transmission, granting access to a third party without authorisation.

### 2.1.2 Network Layer

The network layer is responsible for the transmission of data, acting as a sort of bridge between the perception and application layer. This involves carrying and transmitting information using through a wireless network which poses a set of security challenges. The layer acts as a sort of Central Nervous System for the whole network. In this scenario, the network layer consists of a standard wireless home network.

### 2.1.3 Application Layer

This layer is used to define all applications that use IoT technology. Common examples of this layer include smart homes, smart cities, smart health etc. This layer utilises the data gained from the previous layers and allows the user to use the application and enjoy its benefits. In this experiment the application layer would consist of the smart phone app used to operate the door and receive images/information from the security camera.

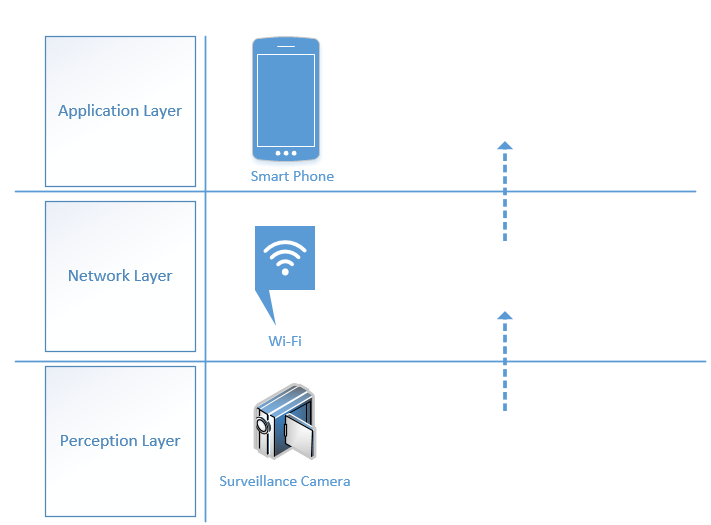


Figure : IoT 3 layered architecture in relation to a home surveillance system

## 2.2 Network Communication Protocols

Internet of Things devices differ to traditional computing devices in terms of network communication, utilising additional communication technologies such as ZigBee and Bluetooth, compared to traditional computers solely relying on Wi-Fi based communication.

The network communication protocol to be used will have the following attributes:

* An adequate range to reach the Raspberry Pi carrying out the surveillance
* Commonplace in home, to increase scalability
* High levels of security capability (encryption & authentication)
* Capable of fast data transmission (50mb/s+)

### 2.2.1 Bluetooth

Bluetooth is used to communicate between two devices within a short distance. It provides a layer of encryption by converting a message or data into cipher text before sending it to the receiver device. This message, cannot be understood by other devices except those which have the rights to see the message. The sender must always get permission rights from the receiving device before the message can be sent. This is done through the sender device requesting permission to send data to the received device, once agreed to, the devices can then communicate within the short distance. Bluetooth has a max signal rate of 1mb/s and an average range of 10 meters (Lee, Su and Shen, 2007).

### 2.2.2 Wi-Fi

Wi-Fi is a wireless communication network that transmits communication in the form of radio signalling. This is the most common type of communication used in modern homes which is problematic as it provides multiple security vulnerabilities. The main issue being, by default, there is no encryption mechanism. This leaves the network prone to MITM attacks, sniffing etc. It is well documented that Wi-Fi is one of the most commonly used network protocols in smart homes (Alam, Reaz and Ali, 2012). Wi-Fi has the added benefit of being the fastest of all common communication technologies with a recent report showing the average speed being 16.51Mbps (Cable, 2019). The average range of a Wi-Fi connection is around 100 meters (Lee, Su and Shen, 2007).

WPA2 is the most widely spread security protocol for Wi-Fi based communication. WPA2 accomplishes Authentication and Key Agreement via two different modes. For most personal networks, this involves using a Pre-Shared Key (PSK). AES is typically used for encryption, which is a symmetric-key algorithm that uses the one key both encryption and decryption. The initial cryptographic keys for both authentication and data encryption is initiated by both the client and access point knowing the shared passphrase, allowing access to the network. This is then used with additional variables such as Service Set Identifier (SSID) and SSID length to produce a Pairwise Master Key (PMK).

### 2.2.3 ZigBee

ZigBee is a communication protocol, which utilises small, low-power and cost radios. It is frequently utilised in the smart homes in conjunction with hubs such as the Amazon Echo (ZigBee Alliance, 2019). The technology is very limited in terms of signal rate with a rate of 250Kb/s (Lee, Su and Shen, 2007), making it unsuitable for video transmission. The transmission range is rated between 10 and 100 meters. In the paper (Romashchenko, Brutscheck and Chmielewski, 2018), a secure surveillance system was created based on ZigBee and AES encryption, showing success against brute force attacks.

### 2.2.4 HART

Highway Addressable Remote Transducer or Hart for short is a communication protocol used primarily in an industrial setting due to its ability to accurately encode and decode communication signals in settings with noisy or harsh environments (Treacy, 2019). It Is considered the global standards for digital communication across analog wires between smart devices and monitoring/control systems (Instrumentation and Control Engineering, 2018).

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Requirement | Bluetooth | Wi-Fi | ZigBee | Hart |
| High range |  |  |  |  |
| Commonplace in home |  |  |  |  |
| Security |  |  |  |  |
| Fast Data Transmission |  |  |  |  |

Table : Comparison table of common IoT Network Communication Protocols

## 2.3 Application Communication Protocols

### 2.3.1 Message Queue Telemetry Transport

MQTT is the most commonly used application layer protocol, being light weight and using a publish-subscribe model. The protocol is mainly used where a small code footprint is required i.e. sensor data and where bandwidth is limited. With the maximum amount of data being transferred 256MB (Rastovich, 2015); speed is the priority with this protocol. It is based on the client/server architecture, the server being responsible for handling the clients request of receiving or sending data between each other. This means when a device sends data to the broker (client) it is ‘publishing’ data for any ‘subscribers’ listening to that particular topic.

It supports three levels of Quality of Service (QoS), with each having different volumes of packets exchanged. QoS Level 0 sends a message once and does not check if the message arrived to its given destination. Level 1 improves upon this by sending the message at least once and checks the delivery status using PUBACK, a status check message. If the PUBACK is lost, this can result in the same message being sent twice, due to no confirmation of the original message. Finally, Level 2 sends the message exactly once by utilising a 4-way handshake between the client and broker. This has the benefit of there being no possibility of the message being lost, but can cause additional delays. In a correlation analysis measuring lost and delay of MQTT QoS levels, it was found that for Levels 0 and 1, the average packet loss was around 0.90% to 1.40% whereas packet loss only occurred at maximum, 0.18% with QoS level 2 (Lee et al., 2013). Although QoS level 2 did have the highest end-to-end delay, this was at maximum one second, suggesting QoS Level 2 would be most suitable for the proposed system. Sharing the same attributes as MQTT, SMQTT introduces an encryption/decryption protocol to enhance security (Singh et al., 2015).

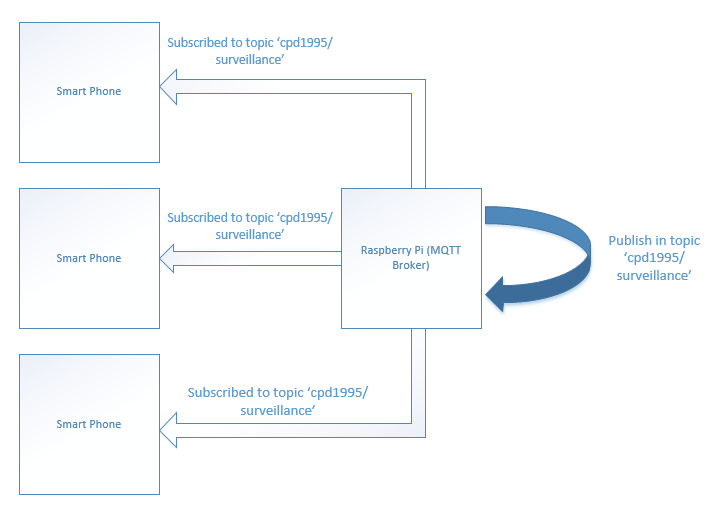


Figure : MQTT Architecture using a Raspberry Pi as both a Publisher and Broker

### 2.3.2 Advanced Message Queueing Protocol

AMQP is an open standard application layer protocol for middleware messaging. It has additional features such as message orientation, switching, reliability and queueing. Both request/response and publisher subscriber models are available with this protocol. Communication via this protocol is done through either the publisher or consumer creating an “exchange” with a given name, that name can then be broadcasted for both publishers and consumers to discover each other. Along with this exchange, the consumer creates a “queue”, attaching it to the exchange. Messages that are received by the exchange are matched to the queue via a binding process. AMQP offers the most flexibility when it comes to message exchange, offering multiple methods such as message by topic (similar to MQTT), directly, based on headers or in a fan-out form. In terms of Quality of Service, AMQP offers two types of delivery of messages: Unsettle Format and Settle Format, being similar to MQTT’s Level 0 and 1.

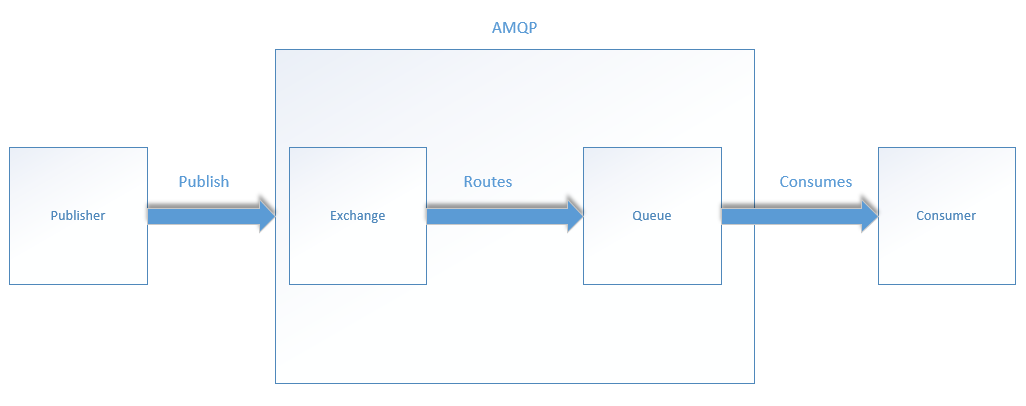


Figure : Block diagram showing basic AMQP protocol process

### 2.3.3 Constrained Application Protocol

CoAP is a stateless client/server application protocol based on the REST framework. This is based on a request/response model using the request types ‘GET’, ‘POST’, ‘PUT’ and ‘DELETE’, being similar to the standard HTTP protocol. CoAP uses Universal Resource Identifier (URI) instead of topics seen in protocols such as MQTT. This works using a similar publish/subscribe method. The publisher sends new data to the URI, and all the subscribers are notified about the new value indicated by the URI, similar to MQTT. CoAP utilises UDP as a transport protocol and DTLS for security (Ludovici, Moreno and Calveras, 2013). Using UDP instead of TCP does create less reliability as there is no guaranteed delivery of a packet. To combat this; there is the option of “confirmable” and “non-confirmable” messages to provide a Quality of Service architecture. Confirmable messages work by being acknowledged by the receiver via an ACK packet. Non-confirmable messages have no such system in place.

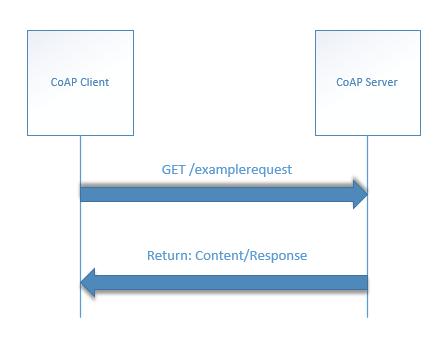


Figure : Block diagram showing a basic GET/Response between a CoAP Client & Server

### 2.3.4 REdis Serialization Protocol

RESP is an application protocol designed specifically for the Redis key-value database (Redis.io, n.d.). The main feature of this protocol is its ability to serialize data types including strings, integers and arrays. Similar to MQTT & AMQP, it is primarily used with a TCP connection. A request/response model is also used and functions by sending commands to the server. This command is then received and once processed, a response is sent back to the client. RESP has a unique feature in which responses can be scheduled; a client can send multiple commands at once and wait for a response at a later time. Another area where this protocol differs is when a client subscribes to a public/subscribe channel, it becomes a push protocol, in which the client will automatically receive messages without having to send commands.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Feature | MQTT | CoAP | AMQP | RESP |
| Header Size | 2 Byte | 4 Byte | 8 Byte | N/A |
| Architecture | Client/Broker | Client/Server or Client/Broker | Client/Server or Client/Broker | Client/Server |
| Abstraction | Publish/Subscribe | Request/Response or Publish/Subscribe | Request/Response or Publish/Subscribe | Request/Response |
| Message Size | 256mb Max | Small & Undefined | Negotiable & Undefined |  |
| QoS / Reliability | QoS 0 – At most once  QoS 1 – At least once,  QoS 2 – Exactly once | Confirmable or Non-Confirmable Message | Settle Format or Unsettle Format |  |
| Transport Protocol | TCP | UDP | TCP, SCTP | TCP |
| Default Port | 1883/8883 (TSL/SSL) | 5683 (UDP) | 5671/5672 (TLS/SSL) | 6379 |

Table : Table highlighting features of most common IoT Application Communication Protocols

As shown in table 2, MQTT seems to offer the most in terms of reliability of message delivery. Utilising QoS Level 2 has the guarantee the message is not lost, whereas the other protocols offer no such service, with CoAP and AMQP only offering the equivalent to a Level 1 QoS service. Transport protocol is also a consideration when selecting a communication protocol, as TCP is much more reliable than its UDP counterpart. It was also shown when it comes to sending small bytes of data similar to the payloads of most IoT devices, MQTT appears to perform better with overall less latency compared to its AMQP counterpart (Sreeraj and Kumar, 2018). In contrast, a recent comparison study found that out of all the common IoT communication protocols, MQTT was scored on the lowest in terms of security (Naik, 2017). This suggests that that SMQTT is the preferred choice for a home security system, as this protocol would meet all the given requirements.

## 2.4 Data Exchange Formats

Due to the Internet of Things still being in its infancy, there is little standardisation in most of its areas. This holds true for data exchange (Al-Fuqaha et al., 2015), with there being multiple data formats currently being used. This section aims to review the current data exchange formats and suggest the most suitable format for the proposed system.

Data serialization is the process of writing the state of an object to a stream and rebuilding this object when received on the other end. This is a way of sending and receiving data over a network. When choosing an appropriate data exchange format, the following must be considered:

* Message Size
* Serialization Time
* Deserialization Time

### 2.4.1 XML

XML, published in 1996, is one of the oldest data exchange formats created by W3C. It was designed to be used over the internet and human legible. It is text based, which can be problematic in terms of speed, as it will also need to be parsed character by character.



Figure : Code Snippet showing basic XML Schema

### 2.4.2 JSON

JSON or JavaScript Object Notation, is a message format that arose as a subset of the JavaScript programming language. It holds a similar structure to XML, being human readable with a similar schema, but with less overhead (as shown in Figure 7). In AJAX applications, JSON and XML were compared, with JSON outperforming XML in terms of data size occupancy and transmission speed (Lin et al., 2012).



Figure : Code snippet showing basic JSON Schema

### 2.4.3 Protocol Buffers

ProtoBuf is a form of protocol buffer developed by Google which utilises binary instead of text to perform fast serialization and deserialization. When compared to JSON, Protobuf outperformed JSON in both message size and speed in both Java to Java communication and Java to JavaScript, showing promising results (Krebs, 2017). The author claims Protobuf can perform up to six times faster than JSON. It should be noted that this test only tested these environments and should not be used as a whole representative for the two data exchange formats.

In a comparison study, the serialization size, average serialization time and average deserialization time were compared between XML, JSON and ProtoBuf (Sumaray and Makki, 2012). The protocol buffer outperformed both JSON and XML with XML performing the worse in every scenario (shown in Tables 3, 4 & 5). This held true regardless of the size of the object (large or small) and highlights the efficiency of the protocol buffer. Although this study was carried out on a mobile platform, it gives a clear perspective on the performance of each of the data exchange formats available for IoT communication. It can be concluded that the main advantage of using JSON is its human readable interface, which is not necessary for IoT based systems. Therefore, ProtoBuf is the most suitable due to its performance in the aforementioned qualities.

|  |  |  |  |
| --- | --- | --- | --- |
|  | XML | JSON | ProtoBuf |
| Book | 873 | 781 | 687 |
| Video | 231 | 139 | 59 |

Table : Serialized size in bytes (Sumaray and Makki, 2012)

|  |  |  |  |
| --- | --- | --- | --- |
|  | XML | JSON | ProtoBuf |
| Book | 22.284 | 4.177 | 2.339 |
| Video | 17.884 | 4.097 | 1.800 |

Table : Average serialization time in ms (Sumaray and Makki, 2012)

|  |  |  |  |
| --- | --- | --- | --- |
|  | XML | JSON | ProtoBuf |
| Book | 7.908 | 1.199 | 0.298 |
| Video | 6.742 | 0.755 | 0.197 |

Table : Average deserialization time in ms (Sumaray and Makki, 2012)

## 2.5 Security Threats

This section highlights potential security threats relating to IoT devices. The aim of this section is to uncover the most frequent security vulnerabilities present within the IoT architecture. A recent 2018 paper highlighted Denial of Service, Man in the Middle and Address Resolution Protocol poisoning being the main cyber threats to the Internet of Things (Gao et al., 2018).

### 2.5.1 DOS Attack

Denial of Service attacks are used to prevent access to devices or network resources, essentially taking the network offline. It is accomplished by flooding a network with packets and redundant requests to the point of the network being unable to be accessed by authentic users (Prabhakar, 2017).

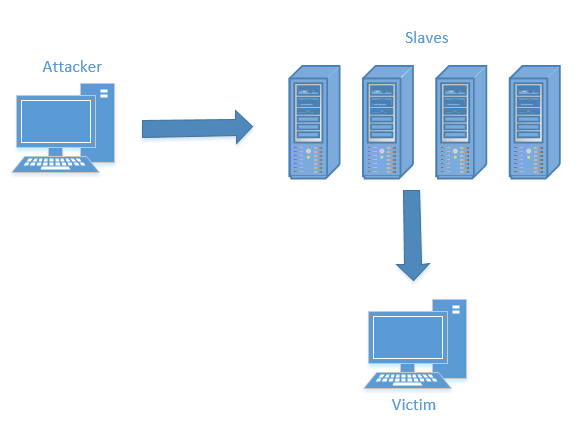


Figure : Diagram showing a DDoS attack using infected PCs (slaves)

### 2.5.2 Man in The Middle Attack

The man-in-the-middle has the ability to capture all messages between a server and IoT device via network spoofing. Using this spoofing strategy, it can assume identification of both the IoT device and server and trick both devices into believing they are still communicating with each other (Conti, Dragoni and Lesyk, 2016). ARP is a form of MITM attack that uses TCP/IP protocol used to acquire physical addresses (MAC) based on the IP addresses of nodes within the network (Abad and Bonilla, 2007). This is done through broadcasting an ARP request on the network, requesting access to the hosts IP address. When this malicious node is on the network, the node being attacked matches its physical address with the IP address of the original communication node. This is done through sending a fraudulent message to the node attacked and recording the error pairing in an APR cache table. The victim node will then communicate with the attacker node by misinterpreting the node as normal communication, sending all data (packets) towards the malicious node.

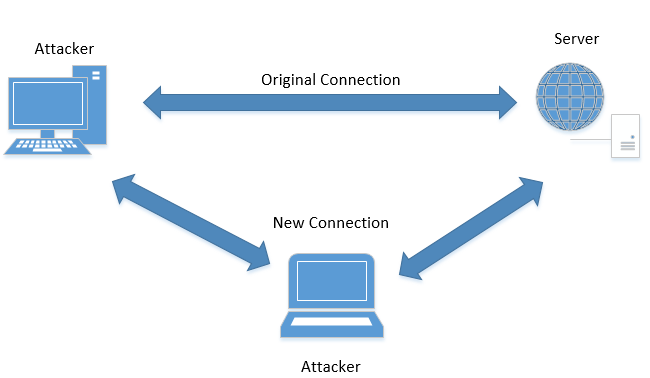


Figure : Man in The Middle Attack showing an intercepted connection from a malicious attacker

### 2.5.3 Malicious Code Attack

This is code in any part of the software intended to cause undesirable effects and potentially causing the system to malfunction. This cannot be detected by security tools such as anti-viruses as it not detected as a virus or malware.

### 2.5.4 Physical Tampering

Physical manipulation of a device could occur. This is when the device is modified to act in unexpected ways by someone with malicious intent. It is safe to assume that if this happens the intruder is already in the individual’s home and there is not much that can be done. A way to protect the user’s data if this occurred would be full encryption of the storage and 2-factor authentication to prevent users from accessing the micro SD that is present in the device.

### 2.5.5 Botnet

A botnet is a collection of computers performing repetitive tasks to keep websites going (Uk.norton.com, n.d.). The problem arises when this architecture is used for malicious intent, harvesting a machines power to be used in assisting in DDoS attacks. Although traditionally used against computers, IoT devices are starting to be the main target, due to their lack of security. In 2017, it was that the ‘Rakos’ botnet consisted of nearly half its entire collection being Raspberry Pi models. In 2017, it was discovered that there were various botnets designed specifically to infect IoT devices (Krebs, 2017), namely ‘Mirai’, ‘Reaper’ and ‘IoTroop’, further adding to the problem.

### 2.5.6 Brute Force Attacks

Brute force attacks consist of using software to guess as many passwords as possible while attempting to login into a system until the correct password is guessed. This could occur at device level (attempting to login to the device remotely) and at network level e.g. guessing the passphrase of a Wi-Fi router. WAP2, being the most common form of security for personal Wi-Fi routers, is susceptible to these attacks. A recent paper concluded that this protocol is especially vulnerable to dictionary based attacks and key reinstallation attacks (Abo-Soliman and Azer, 2017), which are forms of brute force attacks.

https://ieeexplore.ieee.org/stamp/stamp.jsp?tp=&arnumber=8567540

## 2.6 Security Guidelines for Internet of Things

This section highlights recommended security measures for Internet of Things-based development. In accordance with the best practices outlined by the IoT security foundation (IoT Security Compliance Framework, 2018), the following security measures have been highlighted relating to the project:

|  |  |  |
| --- | --- | --- |
| *Req. No* | *Requirement* | *Section* |
| 2.4.5.1 | Device has measures to prevent unauthenticated software and files being loaded onto it. | Software |
| 2.4.5.2 | Regarding remote software updates, software images are digitally signed and approved by the appropriate signing authority. | Software |
| 2.4.5.5 | Device disables any virtual ports not required for normal operation, the ports are disabled or only allowed to communicate with authenticated devices | Software |
| 2.4.5.6 | Watchdog timer is present and cannot be disabled to prevent stalling or disruption of operations | Software |
| 2.4.5.8 | Devices protects against unauthorised reversion of software to earlier & potentially insecure version | Software |
| 2.4.5.21 | Devices communicates over TCP, device software uses cryptography to protect data | Software |
| 2.4.6.1 | OS is implemented with relevant security updates prior to release | Process |
| 2.4.6.3 | All unnecessary logins or accounts are disabled at end of software development lifecycle | Software |
| 2.4.6.4 | Minimum access privileges required to function are assigned to relevant files, directories and data | Software |
| 2.4.6.5 | If passwords are stored on device, password files are only accessible by most privileged accounts | Software |
| 2.4.6.6 | All non-essential services have been removed from devices software | Software |
| 2.4.6.7 | All OS command line access to most privileged accounts have been removed from OS | Software |
| 2.4.6.8 | Devices OS Kernel cannot be called by external product level interfaces or unauthorised products | Software |
| 2.4.6.9 | Applications are operated at lowest privilege level and only have access to necessary resources | Software |
| 2.4.6.10 | All supported security features available on OS are enabled | Software |
| 2.4.6.11 | OS is separate from application & is only accessible via defined secure interfaces | Software |
| 2.4.7.1 | Devices prevents unauthorised connections to it, i.e. firewall enabled & internet layer protocol | Software |
| 2.4.7.4 | Device only supports versions of application layer protocols with no known vulnerabilities | Process |
| 2.4.7.5 | If unauthorised change is detected, device should alert admin | Software |
| 2.4.7.6 | All unused ports are closed & only required ports are active | Process |
| 2.4.7.10 | For any Wi-Fi connection using WPA2, secure encryption is used and WPA is disabled | Software |
| 2.4.7.13 | When a TCP protocol such as MQTT is used, it is protected by a TLS connection | Software |
| 2.4.7.18 | Device only enables network, application protocols and network services appropriate for device | Policy |
|  |  |  |
| 2.4.8.4 | Device does not accept null or blank passwords | Software |
| 2.4.8.5 | Device does not allow new passwords containing the users username | Software |
| 2.4.8.6 | Passwords entry follows industry standard | Software |
| 2.4.8.7 | Device has defence against brute force attacks | Software |
| 2.4.8.8 | Device securely stores passwords using industry standard cryptography | Software |
| 2.4.8.11 | Product only allows controlled user account access, no guest user accounts are supported | Software |
| 2.4.8.12 |  |  |

Table : Relevant IoT best practices in relation to the project

### 2.6.1 Machine-To-Machine Communication

Often when it comes to small board computers, they are difficult to access physically. In terms of the proposed system, the small device used as a surveillance system will be difficult to access without remote capabilities. A solution to this is the Secure Shell protocol or SSH as its commonly referred to. It is a method to remotely access one computing from another securely (Ylonen, 1996). Based on client-server model, a connection is established by the SSH client by connecting to the SSH server (see Figure 8). The client then uses public key cryptography to verify the identity of the SSH server. For data exchange, the protocol uses symmetric encryption and hashing algorithms, ensuring the privacy and integrity of the data sent between the two devices. Although SSH will be utilised very frequently during development, it is not necessary to have after development, as the whole system is automated. Therefore, it is recommended to disable SSH and close its associated port to help in the preventing of unauthorised access.

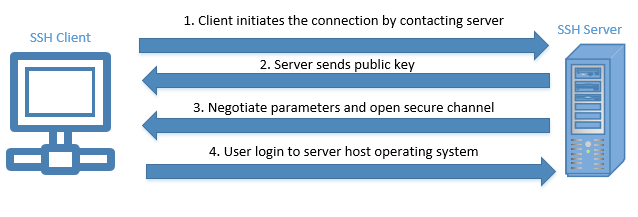


Figure : Diagram detailing the SSH Protocol for Machine-To-Machine Communication (ssh.com, 2019)

### 2.6.2 Firewall Protection

A firewall is used to enforce a set of rules regarding packet transfer and network communication. Having the ability to block suspicious traffic in an essential countermeasure against network related attacks such as Denial of Service (see 2.5.1). A recent 2018 paper highlights a rule based approach (firewall) showing successful mitigation of multiple forms of DDoS attacks against a Raspberry Pi model, suggesting this approach being highly effective (Patel and Upadhyay, 2018).

### 2.6.3 Password Handling Best Practices

With there being various different methods of retrieving an individual’s password such as keylogging, insecure password storage and brute-force attacks, this section aims to establish the correct methodology in dealing with those threats. The UK government has provided a manual for password handling to establish best practices (ukgov, 2015):

1. Change all default passwords. When it comes to small devices like the Raspberry Pi the default username is always ‘pi’ with the password being ‘raspberry’. These should both be changed, as an unauthorised user using a remote connection such as SSH will have to guess the username as well.
2. Prioritise administrator and remote user accounts. Good practices involve not using administrator accounts for day-to-day activities with a separate account being established for this purpose. If remote access to the Raspberry Pi were granted, limits should be set on the account along with two-factor authentication to verify who is accessing the device remotely.
3. Implement a form of account lockout. As mentioned previously, brute force attacks are a large security threat to IoT devices (see 2.5.6). The UK government recommends a maximum of 10 login attempts before locking out the account.
4. Do not store passwords as plan text. If a password was stored within a database in plain text, that database being compromised leaves the users vulnerable to their details being stolen. It is recommended that passwords be stored in a form of hashing and salt combination.

### 2.6.4 Asymmetric Cryptography

RSA works on a public-private key basis which is a form of asymmetric encryption. The public key is available to everyone, i.e. placed on the server (Raspberry Pi) whereas the private key is used to ‘unlock’ that specific public key. This gives an added layer of security as the data can only be decrypted using the individuals private key. The private key is usually stored on a client device e.g. computer or mobile phone (Goshwe, 2013). This form of encryption was used successfully in the creation of a payment terminal using a Raspberry Pi, TSL and AES based encryption, highlighting its efficiency (Kakar, 2016). This can be used in conjunction with SSH to allow remote access to only those users that have the private key in relation to the public key, aiding in the prevention of brute force attacks.

### 2.7.5 Symmetric Cryptography

Advance Encryption Standard is symmetric form of encryption, supporting key lengths of 127, 192 and 256 bits. In a comparison study against 4 different algorithms, it was shown that AES has the highest avalanche effect, which is recorded as how much change there is in an output based on the change of an input. Scoring high in the avalanche effect reflects a high level of cryptographic strength. This suggests when confidentially and integrity are the highest priority, AES should be used (Patil et al., 2016).

## 2.7 Face Recognition

This section covers the numerous options of face recognition algorithms. As face recognition in generally is computationally expensive, limits are imposed in terms of computational power as the Raspberry Pi’s processing power is limited.

### 2.7.1 Haar Cascade

The Haar Cascade method is a form of object detection algorithm primarily used in face detection. The purpose of the algorithm is to identify objects within a video or image based on feature selection. The algorithm is trained via positive and negative images and once trained, is then used to detect objects in other images. Each image is broken up into ‘Haar-Features’ which can be used to detect specific features of an image, the most common being edge, line and four rectangle features, the latter being used to detect slanted lines (as seen in Figure 9). Each calculated feature results in a single value by summing both rectangles and subtracting the sum of the white rectangle from the sum of the black rectangle. Although possible to calculate every single feature, there is very computationally expensive (Docs.opencv.org, n.d.).

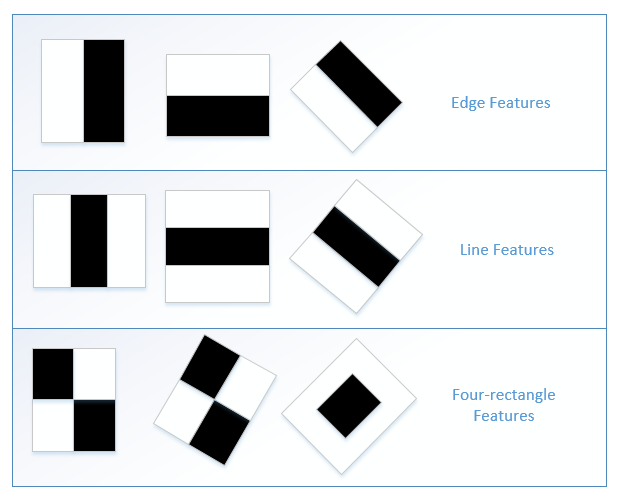


Figure : Diagram showing the most commonly detect features in the Haar Cascade algorithm

To remedy this, Adaboost is normally implemented. When it comes to training, every feature is applied on every training image. Adaboost then finds the best threshold for each feature, determining which features contain the minimum error rate. This is done through classification and is continually readjusted until the desired error rate is achieved. The resulting final classifier is a weighted sum of these weak classifiers. The term ‘weak’ is used because these features detected cannot classify an image, but when the selected features are used together, it gives strong classification results.

### 2.7.2 Histogram of Oriented Gradients

HOG is a feature descriptor used to detect objects/faces in the field of computer vision and image processing. The algorithm works by dividing the image into smaller parts via edge detection technique, being highly dependent on the orientations of the edge. These smaller parts of the image are then further divided into small continuously connected regions called cells.

<https://ieeexplore.ieee.org/stamp/stamp.jsp?tp=&arnumber=8697464>

## 2.8 Related Works

Raspberry Pi based home surveillance systems are not a new concept and have been created before. In a recent 2018 paper (Pawar and Umale, 2018), a home surveillance system was created using the Raspberry Pi utilising a PIR sensor to detect movement and sending an email once movement was detected. The issue with this model is that there is no way to detect who is at the door and no categorisation of whether the individual is a threat or not. Animals or other movement could also trigger the sensor leading to a false alert. Finally, the system uses email as a form of an alert system which is problematic as email can be accessed anywhere and offers little or no form of way of verifying an individual is who they say they are. A similar system was designed using face recognition technology or a password to enter a home (Hussein and Al Mansoori, 2017). Again an email is sent when there is an unknown individual at the door, posing the same problems as the previously mentioned paper. The proposed system hopes to address these challenges by using secure transport methods, 2-factor authentication and limiting the amount of potential security vulnerabilities to a single smart phone application.

# 3.0 Technology Review

This section details the various technologies proposed to carry out the experiment. This includes hardware selection, programming language choices, operating systems, various tools for security, software libraries and frameworks.

## 3.1 Hardware Selection

The Raspberry Pi model 3b was selected as the main piece of hardware due to its low cost, operating system malleability and camera support. With support for Linux based operating systems and increased processing power compared to previous models, the board is more than capable of running computer vision libraries for the surveillance system. Border surveillance was carried out successfully using a Raspberry Pi in a recent paper, demonstrating the boards capabilities. (Abdalla and Veeramanikandasamy, 2017).



Figure : Raspberry Pi Model 3b

## 3.2 Operating System

While there are multiple available OS systems available for the Raspberry Pi, Raspbian is currently the most recommend, having been designed specifically for the Raspberry Pi model (Raspbian.org, n.d.). Raspbian is an operating system based on the Linux distribution Debian, meaning it has all the features of a standard Linux operating system while being lightweight and designed for the Pi.

## 3.3 Programming Language Selection

*Raspberry Pi Development*

Development on the Raspberry Pi has limitations in terms of programming language choice, with platform specific languages such as C# being severely limited due to having little support for non-Windows platforms. Python is a programming language which offers tremendous support in terms of Computer Vision, granting access to libraries OpenCV and Dlib. The only alternative languages that support these packages are C and C++. Due to time constraints and the authors previous experience with Python, it has been selected for the Raspberry Pi-based development of this experiment.

*Application Development*

Xamarin has been selected as a framework to develop a hybrid mobile application which will receive images and interact with the Raspberry Pi. Xamarin is based on Visual Studio and the programming language C# and works on a Model-View-ViewModel architecture which allows for clean separation of application logic from its user interface. A recent comparative study showed this specific feature was the biggest advantage over other hybrid and native application approaches (Vishal and Kushwaha, 2018). This, along with the authors previous experience with the programming language C#, makes Xamarin the most suitable choice for application development.

## 3.4 Library Selection

This section details the various libriaries to be used in both the Raspberry Pi development and Xamarin smart phone application development. As both languages differ greatly, it is important to find the industry standard and more trustworthy packages for each language, as this will differ greatly between the two.

### 3.4.1 Python Library Selection

*OpenCV* is an open source library that offers a range of feature detecting and feature matching algorithms. It is shown that the majority of the algorithms used, detect thousands of features with seconds (Noble, 2016), making it suitable for a face detection system. To highlight the accuracy of this technology, a recent paper showed success in detecting eye fatigue in drivers using OpenCV (Manoharan and Chandrakala, 2015).

*Dlib* is another open source library containing support for deep learning and machine learning respectively. It has excellent image processing support, with support for SURF, HOG and FHOG algorithms and additional tools for frontal face detection (Dlib.net, n.d.).

*Imutils* is a support library for computer vision specialising in processing functions such as translation, rotation and resizing while holding support for both Python 2 and 3 respectively (GitHub, 2019). It will be used in conjunction with the computer vision OpenCV to assist in processing images.

*Pickle* is a Python library used for the serialization and deserialization of objects It is used to encode and save objects on a disk in a character stream format (GeeksforGeeks, n.d.). This stream contains all the information necessary to reconstruct the original object in a separate python script.

*Face\_Recogniton* is an open source Python library based on Dlib used to recognise and manipulate faces in a simplistic easy to used manner (GitHub, 2019). The library is used for quick face recognition and can be used in live video stream. Being compact and lightweight makes it ideal for a Raspberry Pi surveillance system.

## 3.5 Software Review

**Fail2Ban**: is a Python based open source software that interacts with the Raspberry Pi’s operating system’s firewall (Fail2ban.org, n.d.). Its main purpose is to ban IPs that show malicious intent. This includes too many password attempts, seeking for exploits, etc. It is recommended by the Raspberry Pi Foundation, giving it additional credibility (Raspberrypi.org, n.d.). The software is also claimed to help protect again DDoS attacks (George, 2019).

## 3.7 Simulating Cyber Attacks

*Kali Linux:* Currently, the standard operating system used in cyber security and digital forensics is Kali Linux, derived from the Linux distribution Debian (ref). The operating system contains over 300 programs and tools used in ethnical hacking, making it the gold standard for carrying out simulated attacks. In the 2016 paper (Liang et al., 2016), three different types of DoS attacks were carried out, resulting in all three being successful against a small IoT device.

# 4.0 System Requirements

This section details the hardware and software requirements required to carry out the project. Functional and non-functional requirements are highlighted along with each requirement having a priority level. This is important as criteria with the highest level of priority should always be carried out first, whereas non-functional requirements are additional and should be treated as such.

## 4.1 Hardware and Software Requirements

This section refers to both the software and hardware requirements required for the project. Hardware requirements (see Table 6) are established, with each hardware requirement having an additional backup in case of malfunction. Software requirements are also listed (see Table 7) to highlight the relevant programming languages & libraries required for the competition of this project.

|  |  |  |
| --- | --- | --- |
| # | Requirement | Priority |
| 1 | Raspberry Pi Model 3b | High |
| 2 | Raspberry Pi NoIR Camera | High |
| 3 | Computer | High |

Table : Hardware Requirements for project

|  |  |  |  |
| --- | --- | --- | --- |
| # | Requirement | Justification | Priority |
| 1 | Raspbian Operating System | Optimised operating system made specifically for the Raspberry Pi model | High |
| 2 | Python Programming Language | Has industry standard face recognition libraries and MQTT support | High |
| 3 | Python Library - OpenCV | Python library used for computer vision | High |
| 4 | Python Library - Face\_Recognition | Python library used for face recognition modules | High |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

Table : Software Requirements for project

## 4.2 Functional & Non Functional Requirements

This section highlights the functional & non-functional requirements for the development of this project. Development of the functional requirements is carried out first, with those of highest priority completed before other lower priority task. Once the core system is completed, all security related requirements are prioritised with the intention of making the system as secure as possible. Table 8 highlights the core functionality of the system with Table 9 referring to the non-functional requirements.

|  |  |  |
| --- | --- | --- |
| # | Requirement | Priority |
| 1 | The system allows for photos to be taken from the camera of an individual initially to train the model on recognising their faces | High |
| 2 | The system can encode each image to allow for training to occur | High |
| 3 | The system can use the trained model to identify family members during a live video stream | High |
| 4 | The system can identify individuals that are not recognised as family members during a live video stream | High |
| 5 | The system can capture an image when a person is detected but not identified as a family member | High |
| 6 | The system can label the image with the current date time | Medium |
| 7 | The system can store images into a database | High |
| 8 | The system can securely send the image with relevant details via MQTT | High |
| 9 | All smartphone devices subscribed to the topic can receive the image | High |

Table : Core Functional Requirements

|  |  |  |
| --- | --- | --- |
| # | Requirement | Priority |
| 1 | Raspberry Pi should have no open unused ports | High |
| 2 | When SSH port is open, only devices with the RSA private key in relation to the Raspberry Pi RSA public key | High |
| 3 | Both default username and password of the Raspberry Pi are changed, password requirements are in alignment with described in 2.6.3 | High |
| 4 | Access privileges are limited to accounts with SSH access | High |
| 5 | MQTT communication is done securely using Secure MQTT outlined in 2.3.1 | High |
| 6 | Raspberry Pi is encrypted at operating system level | Medium |
| 7 | Database storing image files is encrypted | High |
| 8 | Smartphone users have 2-factor authentication for verification | High |
| 9 | System has protection in place to deal with security threats outlined 2.5 | High |
| 10 | System periodically deletes images on all mobile devices within the smart phone app | Medium |
| 11 | UI of smartphone app is aesthetically pleasing | Low |

Table : Non-Functional Requirements

# 5.0 Methodology

## 5.1 Software Development Methodology

With there being three main areas of criteria with the outlined functional and non-functional requirements, an Incremental Development Lifecycle is proposed. With an incremental approach, each part of the product goes through a design, implementation and testing stage, known as a ‘build’. This project naturally falls into three parts, being Raspberry Pi (surveillance system) requirements, application development requirements and security specific non-functional requirements. With this approach, each area of the project can be handled separately and ensured that the system is fully functional before attempting to implement security practices. The following build stages is proposed:

1. Surveillance system development. This includes everything relating to the Raspberry Pi such as face recognition, model training, capturing and encoding images, database storage etc.
2. Application related development. Development of a smart phone app used to communicate with the system remotely and to receive images of possible intruders at the door. This includes the implementation of the application communication protocol MQTT.
3. Security related development. After the foundational functional requirements are met, security is incorporated into all three layers of the Internet of Things architecture (see 2.1), with the requirements with the highest priority being accomplished first.

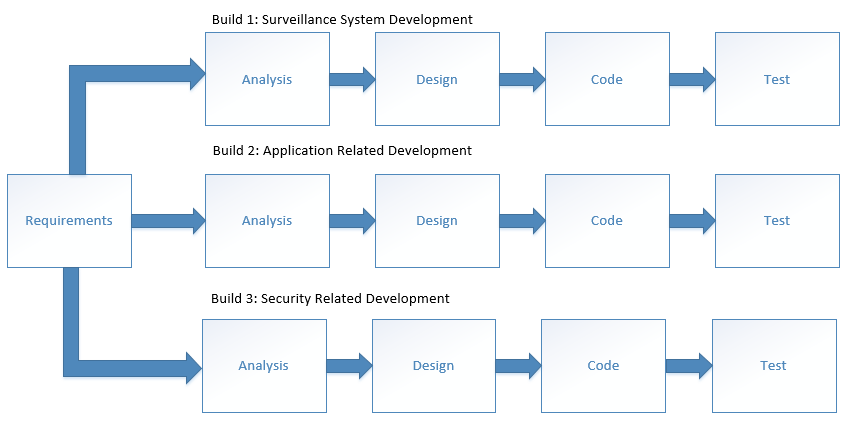


Figure : Incremental Software Development Lifecycle for a Home Surveillance System

## 5.2 Surveillance System Development

This section details the steps of development relating to the Raspberry Pi development of the incremental lifecycle. The section is further broken down into smaller components relating to creating a recognisable face dataset and constructing it into a machine readable format, carrying out the face recognition in real time and finally sending the image using MQTT as a mode of transport.

### 5.2.1 Gathering Face Dataset

As mentioned in the Literature Review, face recognition algorithms need to be trained with data (images) in order to recognise an individual’s face. With there being multiple different ways of retrieving images such as using family photos or images already taken, a solution of using the Pi camera has been proposed. The former recommended way of retrieving images can be problematic as there could be multiple people within the photos and the individual must be facing the camera directly to train the frontal view. Using the Raspberry Pi camera, a script is run with can capture images when pressing the Q image, allowing for as many images to be taken as need be.

### 5.2.2 Encoding Face Dataset

For the face images to be read and recognised by a face recognition algorithm, serialization must occur. Serialization is done via the Pickle class which serialises an object before writing it to a file. To reduce strain on the computer processing unit (CPU), the Face\_Recognition class is utilised before encoding the image files. This library can easily detect the face within the image before writing the encoding, meaning only the actual face of the individual will be encoded rather than the full image. As this is a one-time process and not done in real time, a Convolutional Neural Network approach is used. This, being a form of deep learning, is the most computationally expensive approach to face recognition, though most accurate as shown in Section 2.7.

### 5.2.3 Real Time Face Recognition

As discussed previously, Deep Learning approaches are very computationally expensive and function best running on graphics card instead of a central processing unit (CPU). This suggests this form of face recognition is not suitable for being ran on a Raspberry Pi board in real time, suggesting a different approach is to be taken. Histogram of Oriented Gradients, while shown as less accurate, is still more than capable for the objective of this paper. The theoretical of compiling the recognised faces using a Convolutional Neural Network approach and then comparing them in real time using the HOG approach could net greater accuracy without the compromise of computational expensiveness.

### 5.2.4 MQTT Communication

As discussed in Section 2.2, MQTT has been determined as the most suitable and reliable approach to communication between the app and the Raspberry Pi. Once an unrecognised face appears on the video stream, an image could be captured, and a separate script could be executed used for sending the file via MQTT. In terms of image formatting, JPG is the most suitable. Small sacrifices in image quality compared to its PNG format counterpart are a suitable trade-off for its significantly smaller size. All smart phone apps connected to the topic, could then receive the image and be notified via push notification.

## 5.3 Application Development

As concluded in the Technology Review section, a hybrid application development approach is taken due to ease of developments and time constraints on the project.

### 5.3.1 Receiving Images MQTT

The fundamental and most important part of the application development is its ability to communicate with the Raspberry Pi. Once an image is sent via MQTT, the image must be able to reconstructed in a format supported by Xamarin.

### 5.3.2 Database Development

For the images to be stored and viewed by the user, SQLite has been proposed to store the image data along with the timestamp of when it was received. The image, encoded in Base64, can be stored in that format and reconstructed when requested by the user.

## 5.4 Raspberry Pi Specific Security

This section details security measures specific to the Raspberry Pi 3b board along with its predominately used operating system, Raspbian. According to the Raspberry Pi official documentation, the following are considered best practices (Raspberrypi.org, n.d.) for securing the device:

1. Change both the default username and password. Protocols such as SSH require both a username and password to access a remote device. The default username and password for the Raspberry Pi is ‘pi’ and ‘raspberry’ for all devices. By changing these we add another layer of security by limiting the amount of information an individual with malicious intent has.
2. Sudo command should require a password. Sudo in Linux operating systems allows commands to be run with admin privileges. By doing this a user must know the password required in order to execute commands at a higher level.
3. Ensure latest security fixes. This means having the most recent up-to-date version of the operating system and ensuring SSH is up to date.
4. Use key-based authentication. As discussed in the Cryptography section there are various ways of encrypting a service. By forcing an encryption based authentication, an additional layer of security is added as now there are two factors required to login.
5. Firewall installation. By default, the firewall available on the Raspbian operating system is not configured and must be setup by the user.

## 5.4 Security Best Practices

In accordance with the best practices outlined by the IoT security foundation (IoT Security Compliance Framework, 2018), the following security measures have been highlighted relating to the project:

|  |  |
| --- | --- |
| *Req. No* | *Solution* |
| 2.4.5.1 |  |
| 2.4.5.2 |  |
| 2.4.5.5 |  |
| 2.4.5.6 |  |
| 2.4.5.8 |  |
| 2.4.5.21 | Device will utilise MQTT for communication & use cryptography outlined in 2.5.3.1 |
| 2.4.6.1 |  |
| 2.4.6.3 |  |
| 2.4.6.4 |  |
| 2.4.6.5 |  |
| 2.4.6.6 |  |
| 2.4.6.7 |  |
| 2.4.6.8 |  |
| 2.4.6.9 |  |
| 2.4.6.10 |  |
| 2.4.6.11 |  |
| 2.4.7.1 |  |
| 2.4.7.4 |  |
| 2.4.7.5 |  |
| 2.4.7.6 |  |
| 2.4.7.10 |  |
| 2.4.7.13 |  |
| 2.4.7.18 |  |
|  |  |
| 2.4.8.4 | Ref. to 2.5.4 |
| 2.4.8.5 | Ref. to 2.5.4 |
| 2.4.8.6 | Ref. to 2.5.4 |
| 2.4.8.7 | Ref. to 3.3.1 |
| 2.4.8.8 | Ref. to 2.5.3 |
| 2.4.8.11 | No guest user accounts will be created |
| 2.4.8.12 |  |

Table : Proposed solutions for best practices

# 6.0 System Design

The main functionality of the system is based on two common scenarios, one being an identified (family or friend) enters the premises and no alert is send to the family members. Another is if the person is unidentified, then an image is captured and sent via MQTT to each the users containing the application (See Figure $).

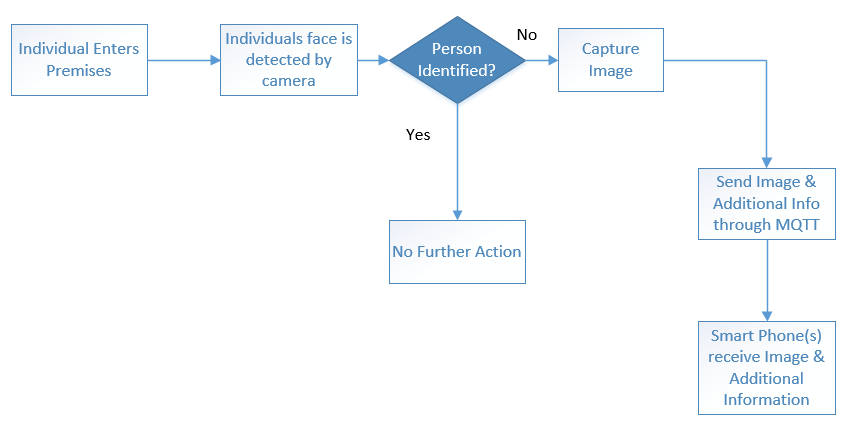


Figure : Diagram showing the main flow of the system

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