

**Mean-Independent Noise Control of Cell Fates via Intermediate States**  
--Manuscript Draft--

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<b>Abstract:</b>	Stochasticity affects accurate signal detection and robust generation of correct cell fates. While many known regulatory mechanisms may reduce fluctuations in signals, most simultaneously influence their mean dynamics, leading to unfaithful cell fates. Through analysis and computation, we demonstrate that a reversible signaling mechanism acting through intermediate states can reduce noise while maintaining the mean. This mean-independent noise control (MINC) mechanism is investigated in the context of an intracellular binding protein that regulates retinoic acid (RA) signaling during zebrafish hindbrain development. By comparing our models with experimental data, we find that a MINC mechanism allows for sharp boundaries of gene expression without sacrificing boundary accuracy. In addition, this MINC mechanism can modulate noise to levels that we show are beneficial to spatial patterning through noise-induced cell fate switching. These results reveal a design principle that may be important for noise regulation in many systems that control cell fate determination.

January 13, 2017

Dear iScience Editor(s),

Please find attached our manuscript “Mean-Independent Noise Control via Intermediate States” to consider for publication in iScience.

In many biological systems, concentrations of signaling molecules control cell fates. However, these biochemical interactions are intrinsically noisy, raising the question of how robust fate decisions can occur. Changes to the signaling pathway are likely to change not only the amount of noise in the signal but also its mean value. Thus, the ability to control signaling noise in a general regulatory network while maintaining the correct fate decisions is difficult if not impossible. Here we identify a mechanism that functions through intermediate states that act naturally as a mean-independent noise control (MINC) mechanism. We show that this extends to multiple different models, both with and without feedback, and does not require specific parameters, demonstrating that it is potentially a general mechanism of noise control in many biological and synthetic networks.

During our analyses of MINC properties, we have developed a computational tool for relating changes between mean and variance. We use this to show that our models accurately recapitulate the mean/variance relationships we obtained in our previous experimental measurements of retinoic acid (RA) concentrations in the developing zebrafish hindbrain (Sosnik et al. Elife, 5, 2016). We demonstrate that models which have similar behavior in the mean can have distinct mean/variance relationships and develop a method to identify the dominant noise source in the RA signaling network. Additionally, this new noise control principle helps explain how sharp segmental boundaries of gene expression form despite the inherently noisy spatial gradient of RA. Previous results described a noise-induced switching mechanism for sharpening in of RA-induced gene expression boundaries in the hindbrain (e.g. Zhang et al. MSB, 8:613, 2012). Here we show that MINC mechanism regulates noise in RA to the proper levels that enable effective noise-induced switching needed to sharpen these boundaries. In particular, we show that changes in the cellular RA-binding protein, Crabbp2a, directly alter the spatial noise without displacing the boundary, and we previously showed that a knockdown of Crabbp2a disrupts hindbrain patterning. We bring this all together to show that a specific range of signaling noise and Crabbp2a is required to achieve proper patterning. To our knowledge, this is the first direct connection between a stochastic spatial phenotype and noise, demonstrating how developmental processes have evolved to overcome inherent biochemical stochasticity and achieve complex spatial phenotypes.

### Suggested Reviewers

William Holmes (U. of Vanderbilt, [william.holmes@vanderbilt.edu](mailto:william.holmes@vanderbilt.edu)) - an expert on modeling signaling networks, development and noise.

Wei Lin (Fudan University, [wlin@fudan.edu.cn](mailto:wlin@fudan.edu.cn)) - an expert on dynamic systems and stochastic analysis.

Jin Wang ([jin.wang.1@stonybrook.edu](mailto:jin.wang.1@stonybrook.edu)) SUNY Stony Brook. an expert on gene regulatory networks and noise

Tim Elston ([telston@ad.unc.edu](mailto:telston@ad.unc.edu)) University of North Carolina, an expert on gene regulatory network and signal transduction

We look forward to hearing from you!

Sincerely Yours

A handwritten signature in black ink, appearing to read "Nie Qij".

Qing Nie

Professor of Mathematics, Developmental and Cell Biology, and  
Biomedical Engineering

RE: Manuscript ISCIENCE-D-18-00009

Dear Dr. Tonzani,

On behalf of all authors, please find attached a revised version of our manuscript "**Mean-Independent Noise Control via Intermediate States**" - ISCIENCE-D-18-00009. We thank you for your attention to our manuscript and the reviewers for their comments. We have edited the manuscript accordingly. Below we provide a point-by-point response to the reviewer comments.

Here is a brief overview of the major changes:

1. We clarified the motivation and background for RMF and made it more precise on how the model incorporates RA-RAR and downstream regulations of Crabsps.
2. We added an analytical calculation of the master equation formulation for SM to show the mean-independent noise control mechanisms remain valid beyond the SDE approach.
3. We added the suggested citations by the Reviewer 2, and clarified several points suggested by the Reviewer 1.

We hope that these revisions satisfactorily address all of the comments made by the reviewers. We thank you for your attention on our manuscript.

Sincerely yours,

Qing Nie

Departments of Mathematics, Biomedical Engineering, and Developmental and Cell Biology.  
University of California, Irvine

## Responses to Reviewer 1

*Point 1: The authors propose a mechanism, independent of the concentration of RA, which is able to generate an intermediate state that reduces the RA noise. This mechanism relies on the modulation of crabp-activity. They provide theoretical models concluding that proportional-reversibility enables mean-independent noise in a short time-lapse (100s). Although this is sound, it is a bit difficult to understand how this would biologically work. How a tissue under continuous challenges such as cell proliferation and morphogenesis can maintain such a refined control of crabp-activity over time? Do cells continuously compute crabp-activity? What is the minimum crabp-activity required?*

**Response:** Thank you for pointing this out. There are two Crabps (Crabp1 and Crabp2) in mammals and four in zebrafish (Crabp1a and b; Crabp2a and b). Some work in cultured cells suggests that the two Crabps in mammals serve at least partially different functions, both transport RA within the cell but Crabp1 may serve more to hold it in the cytoplasm while Crabp2 transports it into the nucleus. Our experimental results (Cai et al. 2012) have shown that only one of the four genes in zebrafish, Crabp2a, is induced by RA and appears to be required for robustness and noise attenuation. So cells can control Crabp2a production based on the RA levels they detect. This regulation is incorporated into the RMF model for which mean-independent noise control is shown to hold. We have clarified our introduction of the RMF model in the last paragraph of section one to highlight this point. There is also the dynamic on-off rate of Crabp binding to RA and its regulation, but little is known about that mechanistically and as far as we know the minimum Crabp activity required is unknown.

*Point 2: In the same line than before, which are the candidate regulators of crabp-activity? Eph/Ephrin has been extensively reported to play an important role in the sharpening of gene expression boundaries. Is crabp-activity downstream of Eph/Ephrin? Or the other way around?*

**Response:** RA regulates Crabp2a. Eph/Ephrins are initially downstream of Crabps but certain Crabps do become localized to specific rhombomeres (see Cai et al., 2012) and at later stages may also be required for Eph/Ephrin expression to remain restricted to those segments. However, this regulation would be indirect. The RMF model shows that the mean-independent noise control property is preserved when downstream signals regulate Crabps. We're aware that Eph/Ephrin mediated cell-cell sorting is an important element in boundary sharpening as well. In the revision, we cited Wang et al. 2017 to note this point in the last paragraph of Page 8.

*Point 3: Considering that crabp proteins are expressed in a non-restricted manner in the hindbrain and RA concentration changes along the AP axis, how the authors can explain the robustness of the system in the generation of sharp gene expression in all the boundaries along the whole antero-posterior axis?*

**Response:** That is a good point. There are other factors involved, particularly for more anterior rhombomeres (r1-3) where RA levels are low and the gradient is shallow. In addition, expression of some Crabps does eventually become restricted to particular rhombomeres. We know the most about the r4/5 boundary and this is where we have looked at noise attenuation. We added a discussion point about the limitations of the model to the end of the second paragraph of the discussion section which highlights these points.

*Point 4: In Figure 3, the authors propose that changes in the amount of BP affect the sharpness of the RA signaling boundary w/o changing its location. Does this approach account for cell division? We know that at these stages cells undergo extensive proliferation. How does dynamics of cell proliferation impact in the position of the landmark locations? Can they include this analysis?*

**Response:** Thank you for pointing this out. Cell cycle length has been shown to average 3-4 hours around the time of boundary sharpening (Kimmel et al., 1994). Our model in Figure 3 looks at the variance in a 100 second snapshot of the formed gradient to match the experimental setup of Sosnik et al. 2016. The gene regulatory noise in this system is caused by quick transient interactions and thus acts on a much faster time scale than the full developmental process. To make this point clearer, we clarified the setup of this investigation in the Supplementary Material (SM) section titled “Numerical Parameter Search in Spatial Knockdown Experiments”.

## Responses to Reviewer 2

*Point 1: The first simple model is presented using a SDE framework. Since the system is linear and a more general master equation framework should also be applicable. It would be of value to showcase that the proposed hypothesis still holds under a more general master equation framework (and I think it should be). In X Wang, B Errede, TC Elston Biophysical journal 94 (6), 2017-2026, it is shown that mean, variance , and covariance can be calculated.*

**Response:** Thanks for the suggestion. In the revision we have added analytical calculations for the mean, variance, and covariance of the master equation form of SM and show that the mean-independent noise control mechanism remains true. We added this calculation in the Transparent Method File with a new subsection, along with a note in the revised main text.

*Point 2: More recent work on noise enabled state transitions in multistable systems should be acknowledged:Wu et al, PNAS 110 (26), 10610-10615 (2013); F Wu, RQ Su, YC Lai, X Wang, eLife 6 (2017)*

**Response:** Thank you for pointing us to these references. These citations have been added to the Introduction section as an additional motivation for studying noise control.

# Mean-Independent Noise Control of Cell Fates via Intermediate States

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## Summary

Stochasticity affects accurate signal detection and robust generation of correct cell fates. While many known regulatory mechanisms may reduce fluctuations in signals, most simultaneously influence their mean dynamics, leading to unfaithful cell fates. Through analysis and computation, we demonstrate that a reversible signaling mechanism acting through intermediate states can reduce noise while maintaining the mean. This mean-independent noise control (MINC) mechanism is investigated in the context of an intracellular binding protein that regulates retinoic acid (RA) signaling during zebrafish hindbrain development. By comparing our models with experimental data, we find that a MINC mechanism allows for sharp boundaries of gene expression without sacrificing boundary accuracy. In addition, this MINC mechanism can modulate noise to levels that we show are beneficial to spatial patterning through noise-induced cell fate switching. These results reveal a design principle that may be important for noise regulation in many systems that control cell fate determination.

## Introduction

Stochasticity is prevalent in cell signaling networks, yet organisms manage to determine cell fates and coordinate their development in response to signals robustly in spite of this noise. Stochasticity has been directly observed in gene expression (Elowitz, Levine, Siggia, & Swain, 2002; Singh, Razooky, Dar, & Weinberger, 2012) and identified as a cause of cell-to-cell variation (Marinov et al., 2014; Raj & van Oudenaarden, 2008). The amount of stochasticity in gene expression can change qualitative features of the system, such as introducing bistability in a deterministically monostable system and vice versa (Kepler & Elston, 2001), or by allowing switching between stable states (Paul, 2017; Koseska, Zaikin, Kurths, & Garcia-Ojalvo, 2009; Wu et al., 2013; Wu et al., 2017). Noise can have adverse effects by distorting downstream signals (Filippi et al., 2016) and by disrupting entrainment of biochemical oscillators (Gupta, Hepp, & Khammash, 2016), suggesting the existence of noise control mechanisms to ensure robustness. However, these mechanisms are not well understood.

There is increasing evidence that such systems of noise control exist in signaling pathways. Differences in regulatory network architecture correlate with both the amplification and attenuation of expression noise (Chalancon et al., 2012; Paulsson, 2004), and increased complexity of a biochemical network has been shown to correlate with reduced noise (Cardelli et al., 2016). For one autoregulatory protein it has been suggested that negative feedback decreases system noise (Thattai & van Oudenaarden, 2001). In addition, increased growth rates in a single-celled organism (yeast) can increase noise in gene expression (Keren et al., 2015), and studies in Drosophila suggest that these principles apply to noise in spatial signals in a multicellular (albeit syncytial) context (Gregor, Tank, Wieschaus, & Bialek, 2007). Multiple binding sites for the Bicoid morphogen in the Hunchback promotor buffer spatial noise in the Bicoid morphogen

gradient (Holloway et al., 2011). In addition, specific noise levels are advantageous for establishing population heterogeneity (Kaern, Elston, Blake, & Collins, 2005), and noise can facilitate sharp segmental boundaries of gene expression in the zebrafish hindbrain, but only within a limited range of levels of signaling noise (Zhang et al., 2012). It has become increasingly clear that noise not only needs to be attenuated but also that the appropriate levels of noise are critical for accurate cellular responses to a signal.

One example of noise regulation occurs in the developing vertebrate hindbrain, which is patterned by a retinoic acid (RA) morphogen gradient. RA is a well-known signaling molecule (Niederreither & Dolle, 2008) that controls the formation of hindbrain segments (Sirbu, Gresh, Barra, & Duester, 2005), as well as patterning and differentiation of many other cell types and tissues. Models of morphogen gradients suggest that they can cause all-or-none regulatory responses (Meinhardt, 2009; Wartlick, Kicheva, & Gonzalez-Gaitan, 2009), even in the presence of stochasticity, and consistent with this RA signaling specifies segmental patterns of hindbrain gene expression despite substantial levels of noise (Schilling, Nie, & Lander, 2012). We used fluorescence lifetime imaging microscopy (FLIM) to measure stochasticity in the RA gradient and directly demonstrated the existence of large fluctuations in the gradient (Sosnik et al., 2016).

One of the four cellular RA-binding proteins (Crabp2a) in zebrafish is essential for robust patterning in the developing hindbrain (Cai et al., 2012). Experiments increasing or decreasing Crabp2a levels show that it acts to attenuate noise in RA while leaving the mean unchanged (Sosnik et al., 2016), pointing to the existence of a mechanism that allows for the desired noise levels to be achieved without shifting the gradient.

Using the RA signaling network in zebrafish hindbrain development as an example, we investigate design principles for controlling noise in the signal without affecting mean levels.

We find that a coupling of reversible reactions gives rise to mean-independent noise control (MINC), and this coupling naturally arises in complex systems through the presence of an intermediate state. In the example of spatial patterning of hindbrain segments, a MINC mechanism involving Crabp2a enables mean-independent sharpening of gene expression boundaries in the correct locations in response to RA. In addition, we find that the degree to which mean and variance are coupled distinguishes between different noise origins, and when analyzed with the FLIM data obtained from zebrafish embryos, our results suggest that the dominant noise source is endogenous to the RA signaling pathway.

## Results

### Proportional-Reversibility Enables Mean-Independent Noise Control

First, we considered a simplification of the differential equation model (Schilling et al., 2012) to capture the essential qualitative features. In the retinoic acid (RA) signaling pathway, extracellular RA enters the cell and binds to an intermediate (CRABP) which shuttles it to the nucleus to bind to a receptor (RAR) and form a compound (RA-RAR) which binds to the DNA to both signal downstream targets and produce a protein (CYP) which in turn inactivates RA (depicted in Figure 1A). The basic interaction (denoted as the Simple Model, SM) can be modeled as a two-state stochastic differential equation (SDE):

$$\begin{aligned} d[RA] &= (\beta + \delta[RA - RAR] - (\gamma + \eta)[RA])dt + \sigma dW_t, \\ d[RA - RAR] &= (\gamma[RA] - \delta[RA - RAR])dt, \end{aligned}$$

where the deterministic portion of the equation is due to mass-action laws and the additional term ( $\sigma dW_t$ ) describes stochasticity in the production and degradation of RA (schematized in Figure 1B). The steady-state mean values are

$$E[RA] = \frac{\beta}{\eta},$$

$$E[RA - RAR] = \frac{\beta\gamma}{\delta\eta},$$

and the steady-state variances are

$$Var[RA] = \frac{(\delta + \eta) \sigma^2}{2\eta(\gamma + \delta + \eta)},$$

$$Var[RA - RAR] = \frac{\gamma^2 \sigma^2}{2\delta\eta(\gamma + \delta + \eta)}$$

(see the Transparent Methods for details of the derivation). Assume that the rates for the reversible binding and unbinding of the morphogen to its receptor are coupled via a constant  $C$ :

$$\delta = C\gamma.$$

Under this coupling assumption, the steady-state values become proportional,

$$E[RA] = \frac{\beta}{\eta}$$

$$E[RA - RAR] = (\beta)(C\eta) = \frac{E[RA]}{C},$$

with the mean concentrations determined solely by the production rate  $\beta$  and decay rate  $\eta$  of RA. However, under the same conditions,

$$Var[RA] = \frac{(C\gamma + \eta)\sigma^2}{2(1+C)\gamma\eta + 2\eta^2}$$

the variance directly depends on  $\gamma$ , the rate of conversion from RA to RA-RAR. Note that increasing  $\gamma$  attenuates noise in the RA concentration (derived in the Transparent Methods). Thus, with this coupling assumption, changing the reaction rates of RA binding and dissociation from its receptor has no effect on steady-state mean concentrations but has a direct and directional effect on their variances. Comparing the temporal dynamics of the system to a setup with reduced binding rates suggests that this mean-independent variance effect is due to changes in the binding rates (Figure 1C).

The mean amounts of the proteins are left unchanged by the coupled reaction rates since the amount of RA that leaves its unbound state increases by the same amount that leaves its bound state due to the coupling assumption. To intuit why the variance decreases, we compute

$$Cov([RA], [RA - RAR]) = \frac{\gamma\sigma^2}{2\eta((1+C)\gamma + \eta)}$$

which is an increasing function in  $\gamma$ . Thus when the binding and unbinding rates are higher, the concentrations of RA and RA-RAR tend to be in sync (Figure 1D). Therefore if  $[RA]$  is above its steady state and the binding/unbinding rates are high, then it is highly likely that  $[RA - RAR]$  will also be above its steady state levels. One can heuristically understand that when random fluctuations cause an excess of morphogen, the natural force towards steady state will drive the excess morphogen toward degradation. If  $[RA]$  is below its steady state levels but  $[RA - RAR]$  is even lower, the system could push some of the morphogen to its receptor-bound state even if the total morphogen in the system is lower than its equilibrium value, thus decreasing the total pull towards steady state. Therefore the total pull towards equilibrium is greatest when the correlation

is highest, which explains why the variance decreases and saturates to a constant as  $\gamma \rightarrow \infty$  leads to near perfect correlation. This intuition suggests that this feature is a property of the coupling of the reversibility. To see if this extends to more complex systems, we note that  $[RA - RAR]$  enhances the production of a protein Cyp26a1, which in turn degrades  $[RA]$  (White et al., 2007; White & Schilling, 2008). We show that when incorporating this nonlinearity into the previous model, the essential feature of mean-independence from the reversible reaction rates holds under the same coupling assumptions (see the Transparent Methods). Additionally, the mean-independence, along with the noise attenuating and increase in covariance due to  $\gamma$ , holds for the general master equation formulation of the model (see the Transparent Methods).

The previous results rely on the assumption that the binding and unbinding rates are proportional. However, next we asked if this coupling naturally occurs in the presence of an intermediate state. The RA signaling network includes an intermediate state RA-BP where RA is bound to its binding protein Crabbp2a (BP) which shuttles RA to the nucleus where it binds to its receptor to form RA-RAR (Cai et al., 2012). Adding this interaction to the previous model gives the Intermediate Model (IM). Notice that in this model the flux into  $[RA - RAR]$  at steady state is  $v[RA - BP]_{ss}$  while the internal flux back to  $[RA]$  is  $\delta[RA - BP]_{ss}$ . Therefore, near steady state,  $[RA - BP]$  is a natural coupling constant between the influx of RA and the influx of RA-RAR, suggesting a generalization of the proportional-reversibility mechanism. The heuristic derivation is confirmed since one can show that a proportional coupling of the rate from  $[RA]$  to  $[RA - BP]$  and the rate from  $[RA - RAR]$  to  $[RA - BP]$  produces the same mean-independence and variance-dependence on the coupled reaction rates behavior as in the previous model, and that  $E[RA - BP]$  is proportional to the proportionality constant (see the Transparent Methods for details). Additionally, we can extend the signaling pathway by adding an intermediate unbinding

in the nucleus step or model Crabp2a's shuttling of RA to Cyp26a1 as a separate pool of RA and in this extended model the MINC property still holds (see the Transparent Methods).

We build upon this initial analysis to generate a more mechanistic model that fully incorporates the BP and receptor concentrations, which we refer to as the Retinoic Acid Model (RM) (Figure 1E). In this model we derive the same natural coupling via  $E[RA - BP]$ , but also show that  $E[RA - BP]$  is proportional to  $E[BP]$ , which in turn are directly determined by the production and degradation rates of BP (see the Transparent Methods section for details of the derivation). Therefore production and degradation rates of BP have no effect on the mean amounts of  $[RA]$  and  $[RA - RAR]$  while directly affecting variance. Comparisons of the concentration of  $[RA]$ ,  $[RA - BP]$  and  $[RA - RAR]$ , respectively, for two separate trajectories of the system show that the means of  $[RA]$  and  $[RAR]$  are unchanged by perturbations in  $[BP]$  but variance changes (Figures 1F-H). Perturbations in  $[Cyp]$  change the mean as well as the variance, but the latter to a much lesser extent.

Lastly, we note the experimental evidence that RA-RAR upregulates the production of Crabp2a but no other Crabps (Cai et al., 2012; Schilling et al., 2012). To simulate the effect of RA-RAR signaling on Crabp production, along with other possibly indirect downstream effects such as Eph and Ephrins on the RA signaling pathway (Wang et al., 2017), we introduce the Retinoic Acid Model with Feedback (RMF) by adding upregulation of BP by  $[RA - RAR]$  to RM. We derive that the mean-independent variance control via a coupling related to  $E[BP]$  still holds in the RMF model. This shows that by incorporating the dynamics of an intermediate state in the model we find a natural and controllable coupling of mean reaction rates that allows for the amount of intermediate to attenuate the system noise without changing mean levels of the signal.

## Active Intermediate States Naturally Lead to Proportional-Reversibility Control

The previous results were determined by linearizations of stochastic models around steady-state values. In order to establish the mean-independent noise attenuation property directly on nonlinear complex models, we performed simulations. First, we introduced a Mean-Variance Dependence Index (MVDI),

$$\zeta = \frac{\% \Delta Variance}{\% \Delta Mean + \% \Delta Variance},$$

to encapsulate the relation of mean and variance changes into a single non-dimensionalized value. If the variance changes but the mean does not,  $\zeta$  is exactly 1. If the mean changes but the variance does not, then  $\zeta$  is 0. Thus the MVDI  $\zeta$  offers a measure of the degree of isolation of the mean relative to variance changes with respect to a perturbation. Four separate models of the RA signaling pathway (SM, IM, RM, and RMF) were simulated in order to determine the robustness of the mean-independent noise attenuation property to the network topology for RA alone (Figure 2A-D) or bound to RAR (Figure 2E-H). Each model was simulated using random reaction rates 100,000 times and  $\zeta$ 's calculated from reductions in BP and Cyp (Table 1). Over 85% of the simulations resulted in a  $\zeta > 0.9$  with a decrease in BP, indicating that for most parameter sets the relative change in variance was much larger than the relative change in mean. In addition, when the same computational experiment was applied with multiplicative noise, over 90% of the simulations resulted in a  $\zeta > 0.9$  with BP knockdown (Figure S1). We note that from the experimental data (Sosnik et al., 2016) we estimate that the true  $\zeta$  after depleting BP is  $\zeta = 0.97$ . This shows that in almost all of the trajectories in each model, the variance changes with only minor changes in the mean, confirming that proportional-reversibility and intermediate

states naturally give rise to mean-independent noise control. Additionally, over 90% of simulations result in  $\zeta < 0.6$  with reductions of Cyp under both additive and multiplicative noise, showing that this property is specific to manipulation of BP levels.

## Spatial MINC Enables Accurate Specification of Landmark Locations

We hypothesize that the mean-independent variance attenuation property of BP should locally smooth spatial gradients. To study the MINC property in spatial signaling, we first extended RMF to a two-dimensional stochastic reaction-diffusion system with space-time white noise where the extracellular RA,  $RA_{out}$ , diffuses throughout space. This model, RMFS, is thus given by a stochastic partial differential equation (SPDE) (defined in the Transparent Methods). As counterparts to the mean and variance in space, we measured the mean and variance of the location where the gradient hits specific values. To determine if the same mean/variance relationship is associated with these properties of the morphogen gradient, we simulated BP depletion experiments on the RMFS model with random parameters (Figure S2 and detailed in the Transparent Methods). Gradients of  $[RA]_{in}$  and  $[RA - RAR]$  exhibit less noise in wildtype than after BP depletion (Figure 3A-D). Given that the average size of cells in the zebrafish hindbrain is around 10 $\mu\text{m}$ , our simulations suggest that changes in the amount of BP change the sharpness of the RA signaling boundary without changing its mean location by more than one cell diameter over 90% of the tested parameters (Figure 3E-F). In addition, the mean shift in the RA-RAR gradient with decreased Cyp shifts the threshold approximately 4 cell diameters,

showing that spatial MINC is a special feature that does not extend to other interactions (Figure S3B).

The receptor-bound RA signal induces expression of Hoxb1a and Krox20 which mutually repress each other (Zhang et al., 2012), which we include downstream in the RMFS model (depicted in Figure S3A). By preferentially upregulating Krox20, the boundary between rhombomeres 4 and 5 (r4/5) is established at a threshold where the RA gradient is sufficiently high enough for the initial Hoxb1a expression to be replaced by Krox20. Stochasticity in the Hox-Krox interaction allows for the initial r4/5 boundary to sharpen in the wildtype organism (Figures 4A-D). In addition, reducing BP disrupts the sharpening of the r4/5 boundary without moving its location, while reducing Cyp causes a shift in the segmental boundary position. Over time the number of predominantly Hox-expressing cells displaced in the wild-type saturates to approximately 3, while with depletion of BP the number of displaced cells saturates to approximately 10 (Figure 4E). On average a stochastic trajectory of the wild-type has a maximal displacement of predominantly Hox-expressing cells by 1-2 cell diameters, whereas with reduced BP there is a maximal displacement of predominantly Hox-expressing cells of around 4 cell diameters. Segmental sharpening in terms of a previously-defined sharpening index (SI) from (Zhang et al., 2012) reveals a similar disruption of the sharpening mechanism under this measurement (Figure S3C). Together these results show that the loss of BP disrupts downstream segmental sharpening, consistent with the previous *in vivo* experimental observations in zebrafish (Sosnik et al., 2016).

## **Noise Levels are Regulators for Patterning and Indicative of the Sources of Stochasticity**

To further investigate the significance of noise levels in RA signaling, we next investigated requirements for an optimal range of noise levels in gene expressions, and we explored the relationship between the noise level and the origins of the stochasticity. To establish a direct relationship between the noise levels controlled via BP and successful segmentation of the zebrafish hindbrain, we defined the effective noise in the  $[RA - RAR]$  signal as a measure of the variance of the gradient (described in the Transparent Methods). We simulated the full spatial model RMFS with varied [BP] and Hox-Krox signaling noise to determine if noise levels affected the ability to sharpen the r4/5 boundary. A successful sharpening event was defined as having less than or equal to 3 cells displaced by more than one cell diameter. All of the successful sharpening events had Hox-Krox noise levels in the range (0.175 – 0.275) with an upper limit on the effective  $[RA - RAR]$  signaling noise of approximately  $10^{-3}$  (Figure 5A). Similar qualitative results were obtained when successful sharpening events were defined instead in terms of a threshold on mean displacement and maximal displacement (Figure S4). This shows that an optimal range for the effective noise is required for segmental patterning to occur, indicating the necessity of noise control mechanisms for properly regulating downstream signals.

$\zeta$  calculations for changes in BP do not distinguish between common noise types such as multiplicative or additive noise, but the probability distribution of  $\zeta$  with respect to changes in the amount of Cyp strongly depends on the choice of the noise term (compare Figure 2 with Figure S1). Therefore, we investigated the validity of particular noise terms by comparing these probability distributions to experimental data. We examined data collected from free intracellular RA in the zebrafish hindbrain morphogen gradients with a morpholino knockdown of Cyp26a1

(Sosnik et al., 2016). The methodology for the analysis (see Transparent Methods) estimates the average experimental value as  $\zeta \approx 0.62$ .

To determine the likelihood that this system uses one type of noise versus another, we utilized the same parameter search scheme as previously described to calculate probability distribution for  $\zeta$  with different noise types and depletion of Cyp on the model RMF (Figure 5B). An experimental  $\zeta$  was calculated pairwise between each wild-type and Cyp-deficient embryo since there does not exist a canonical pairing, thus giving a distribution of 27 experimental  $\zeta$  values which center around  $\zeta = 0.6$  with a tail towards zero.  $\zeta$  was less than the mean experimental  $\zeta$  for >90% of the parameters when the noise was additive for  $[RA]_{in}$  or multiplicative for  $[RA - RAR]$ . In contrast, the  $\zeta$  distributions with multiplicative noise for  $[RA]_{in}$ ,  $[RA - BP]$ , and  $[RA]_{out}$  all peak around the mean  $\zeta$  value. Similar results were obtained for the cumulative distribution of  $\zeta$  (Figure S5). Because of this reliance of the noise on the levels of  $[RA]_{in}$  itself (all of these concentrations are directly linked), this strongly suggests that the dominant form of noise in the zebrafish hindbrain signaling network is intrinsic to stochastic processes related to  $[RA]_{in}$  and establishes that exogenous noise is not likely to fit the data.

## Discussion

Stochasticity in gene regulatory networks naturally exists (Elowitz et al., 2002), and noise attenuation or control is needed to enable proper biological functions. For example, in the zebrafish hindbrain, noise regulation is required for subsequent boundary sharpening processes to occur properly (Zhang et al., 2012). Here we uncover a mean-independent noise control (MINC) mechanism that can tune the level of noise in the downstream components of a gene regulatory network (GRN), without affecting the mean of the signal. In the zebrafish hindbrain

system, this MINC mechanism provides a way (through Crabp2a) to achieve the required noise levels in the RA morphogen without disturbing other aspects of its spatial gradient. Together, we directly link the preservation of a stochastic spatial phenotype to a noise control mechanism, demonstrating a potential path through which developmental processes could have evolved to overcome inherent biochemical stochasticity in order to achieve robust spatial patterning.

The robustness in the choice of biologically-reasonable parameters needed to achieve such a mechanism indicate that it is an intrinsic property of the GRN topology. The core feature underlying this is a coupling assumption that arises naturally with the existence of intermediate states under mass action assumptions. Furthermore, we obtain similar results with five separate models, all with this same coupling. This suggests that MINC may be a general phenomenon related to the existence of intermediate states and probably exists in other GRNs. Computational simulations of epithelial-mesenchymal transitions (EMT) recently has shown that increased numbers of intermediate states attenuates noise in cellular fate decisions (Ta, Nie, & Hong, 2016), which is analogous to our predictions of how pooling in the intermediate state reduces noise. Primed lineages in hematopoietic stem cells can be represented as an intermediate state with reversible changes, which could explain data showing mean-independent noise attenuation due to a lineage commitment factor (van Galen et al., 2014). However, we note that the spatial control results were only tested in the areas of the RA gradient where the concentration is sufficiently high. There may be other factors required for robust noise control and boundary sharpening in anterior rhombomeres (r1-3) where the RA concentration is low and the gradient is shallow. Additionally, our models do not take into account the effects of cell proliferation. While the cell cycle rapidly increases to 4 hours around the time of boundary sharpening (Kimmel et

al., 1994) which is a much slower time scale than the noise processes, further research could better quantify the effects of cell divisions on the spatial noise.

Furthermore, our methods uncover a novel relationship between the noise source, the network topology, and the relationship between the mean and the variance using the perturbation data in experiments. Our analysis suggests intrinsic noise due to RA as the most likely dominant noise source in the zebrafish hindbrain given the known GRN topology and mean-variance relationship. With the increasing precision in experimental quantification of variance changes, this methodology could be used to identify noise sources and provide further evidence for/against GRN topologies. For example, microfluidic measurements have accurately measured noise dynamics in individual aging yeast cells (Liu, Song, and Acar 2017) and a similar dynamic analysis to the one shown here could restrain the possible GRNs by requiring that not only the mean but also the noise dynamics match the data. Most importantly, this approach may be used to distinguish between models that have similar qualitative behavior with respect to the mean, thereby providing a new way to uncover details of biochemical networks from the noise in gene knockdown experiments.

## Acknowledgments

This work was partially supported by NIH grants R01GM107264 and P50GM76516 and NSF grants DMS1562176 and DMS1161621. This material is based upon work supported by the National Science Foundation Graduate Research Fellowship under Grant No. DGE-1321846, the National Academies of Science, Engineering, and Medicine via the Ford Foundation, and the National Institutes of Health Award T32 EB009418. Its contents are solely the responsibility of the authors and do not necessarily represent the official views of the NIH.

## Author Contributions

Q. N. and C.R. conceived the work and interpreted the results. C.R. carried out the mathematical analysis, wrote the Mathematica scripts, performed the numerical simulations, and conducted the data analysis. Q.N. supervised the work. C.R., T. S. and Q.N. wrote the paper.

## Declaration of Interests

The authors declare no competing interests.

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## Figure Legends

**Figure 1: The Proportional-Reversibility Strategy for Noise Attenuation.** **(A)** A diagram of the retinoic acid (RA) signaling network. RA (shown in red) enters the cell to bind with the cellular retinoic acid binding proteins (CRABP, shown in green), which shuttles RA into the nucleus. There the RA binds with its receptor (RAR, shown in purple) to produce Cyp26 (shown in pink). The Cyp26 proteins in turn deactivate the RA signaling proteins. **(B)** A schematic depiction of the two-state simplified model (SM) of the RA network. The red node in the graph is the concentration of RA, and the blue node is RA bound to its receptor (RA-RAR). Birth and death of RA is allowed, along with reversible binding/unbinding with RAR. **(C)** Representative time-series solutions to SM. These were obtained using the Euler-Maruyama method. (I) shows the solution using parameters from Supplementary Table 1 while (II) uses the same parameters with  $\gamma$  and  $\delta$  decreased by a factor of 0.005. Both trajectories start from the expected value of 10. Notice that in (I) the blue line is mostly covered by the orange line. **(D)** The covariances from experiments (I) and (II). **(E)** A schematic depiction of the full RA model RM. The red node depicts the concentration of RA, which binds with the binding protein (BP, shown in teal) to form the RA-BP intermediate complex (shown in green). From there, the RA binds to its

receptor RAR (shown in pink) to form RA-RAR (shown in purple). This causes the transcription of Cyp26a1, which degrades RA. **(F,G,H)** Representative time-series solutions to RM. The parameters for the model were chosen randomly according to the method described in the Transparent Methods. The resulting stochastic differential equations were solved using the Euler-Maruyama method for 100 seconds to give the blue line for the wild-type value. The value  $\gamma$  was reduced by 90% and the simulation was re-solved to give the orange line. The value for  $\gamma$  was reset, and the value for  $\alpha$  was reduced by 90%, and the simulation was re-solved to give the green line.

**Figure 2: Mean and Variance Knockdown Distributions. (A)-(H)** Histograms depicting the  $\zeta$  distribution due to additive noise. The models were solved using the Euler-Maruyama method on the timespan of  $t = [0,200]$ , and re-solved with a 90% knockdown to the associated parameter. The value  $\zeta$  was calculated from these two series, and this was repeated 100,000 times. More details outlining the experiments are found in the Transparent Methods. **(A)-(D)**  $\zeta$ 's calculated for  $[RA]$  on the respective models. **(E)-(H)**  $\zeta$ 's calculated for  $[RA - RAR]$  on the respective models.

**Figure 3: Location-Independent Boundary Sharpening. (A)-(D)** Representative solution of RMFS. Shown are the two-dimensional gradients of intracellular  $[RA_{in}]$  (plots **A** and **C**) and  $[RA - RAR]$  (plots **B** and **D**). Random parameters were chosen according to the Transparent Methods. The x-axis runs from the anterior to the posterior of the zebrafish hindbrain. The simulations were run to determine the steady-state gradients, and then were run for 100 more seconds to give a snapshot of the spatial stochasticity. The top row depicts the wild-type results for a given parameter set, and the bottom row depicts the results when simulated again but with the parameter for binding protein (BP) reduced by 90%. The color levels are fixed between the

wild-type and BP-deficient plots to provide accurate comparisons. **(E)** Scatter plot of the percent change in variance versus the change in the average boundary location ( $\mu m$ ). 100 parameter sets were chosen and for each one the threshold concentration was taken to be 60% of the maximum value. The mean and the variance of the boundary location was calculated, the simulation was solved once more using the BP-deficient value, and the mean and variance of the boundary location were calculated using the same threshold. **(F)** Histogram of the number of simulations with a given change in mean boundary location ( $\mu m$ ).

**Figure 4: Disruption of Downstream Boundary Sharpening Due to Perturbations in Binding Protein (BP) and Cyp26 Concentration.** **(A)-(D)** Representations of the rhombomere 4/5 segmental boundary at 10 hours post-fertilization (hpf), 10.5 hpf, 11 hpf, and 12 hpf respectively. The trajectories were calculated according to the RMFS model with the Hox-Krox extension (described in the Transparent Methods). The color corresponds to the concentration of Hox at a particular region in the Anterior-Posterior versus the Left-Right plane. The top panels correspond to the wild-type model, the middle panels are the models with reduced BP, and the bottom panels are the models with reduced Cyp26. **(E)** Number of displaced cells over time. The y-axis corresponds to the number of displaced cells, calculated as a predominantly Hox-expressing cell lying one cell length posterior to a predominantly Krox-expressing cell. The x-axis shows time in terms of hpf. Each condition was run 10 times and the results were averaged. **(F)** Maximum displacement over time. The y-axis corresponds to the maximum displacement, calculated as the maximum distance between a predominantly Hox-expressing cell that is posterior to a predominantly Krox-expressing cell. The axis is in cell diameters which correspond to  $10 \mu m$ . The x-axis shows time in terms of hpf. Each condition was run 10 times and the results were averaged.

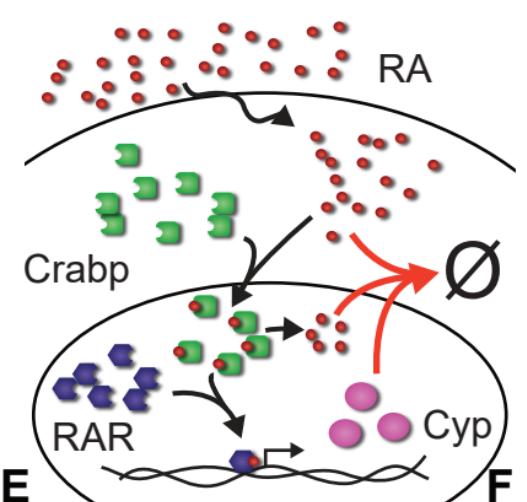
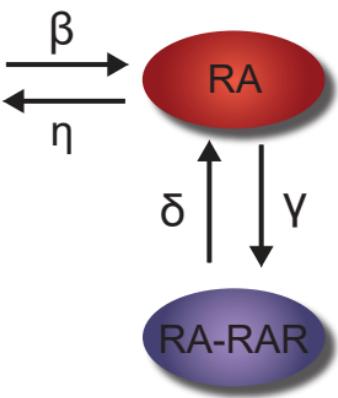
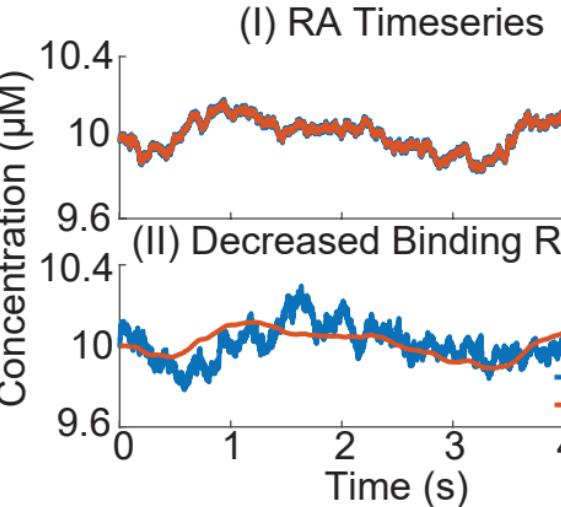
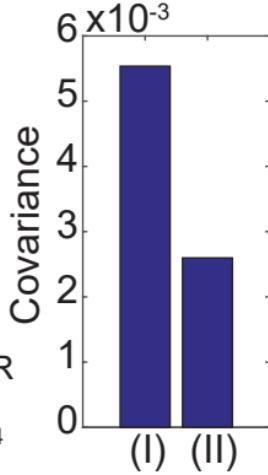
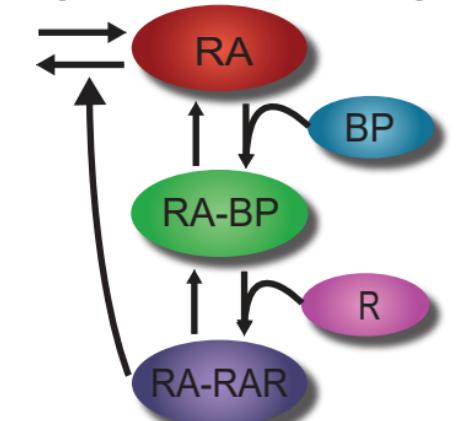
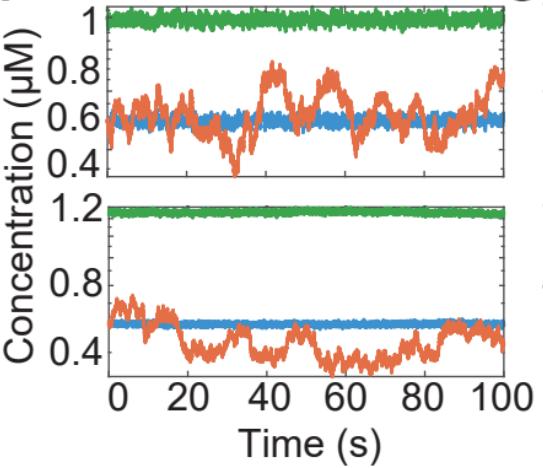
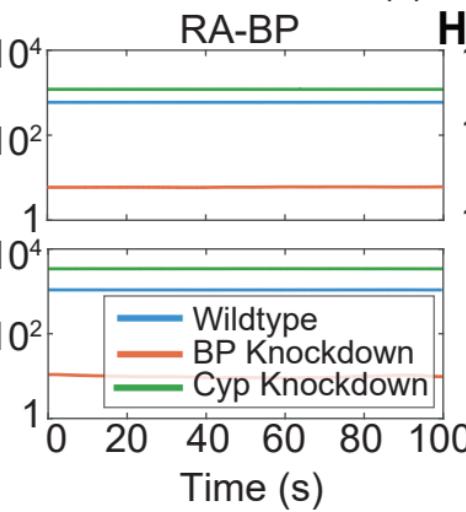
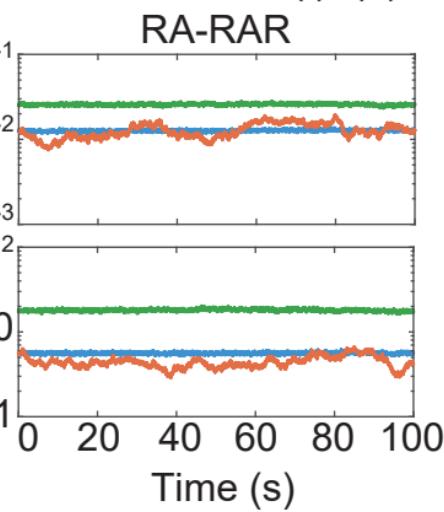
### **Figure 5: Noise Levels Distinguish Between Models and Developmental Phenotypes. (A)**

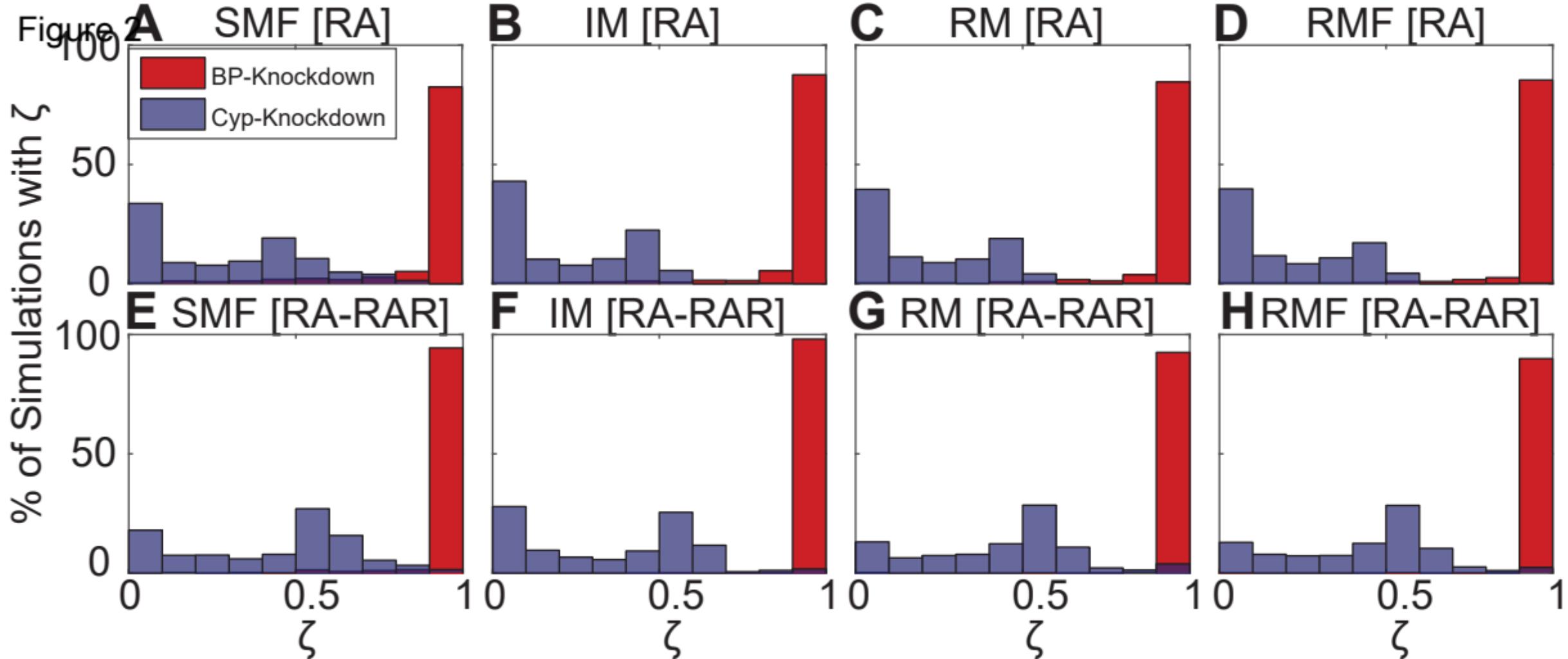
Scatter plot of the successful and unsuccessful sharpening events. BP production was taken as 5, 15, ..., 105 and Hox-Krox regulatory noise was taken as 0.1, 0.125, ..., 0.3 and each pairing in the grid was solved once. The effective RA noise was calculated according to the measure from the Transparent Methods and a sharpening event was declared successful if after 2 hours less than 3 cells were displaced by more than a one cell diameter. **(B)** Depiction of the probability distribution for  $\zeta$  according to the parameter search scheme from the parameter search scheme on RMF. The simulations which produced these distributions are discussed in the Transparent Methods. Shown are the kernel density estimates from the  $\zeta$  values from the stochastic simulations. The different colored lines show the distributions for different noise types. The red circles depict experimental values for  $\zeta$  computed pairwise.

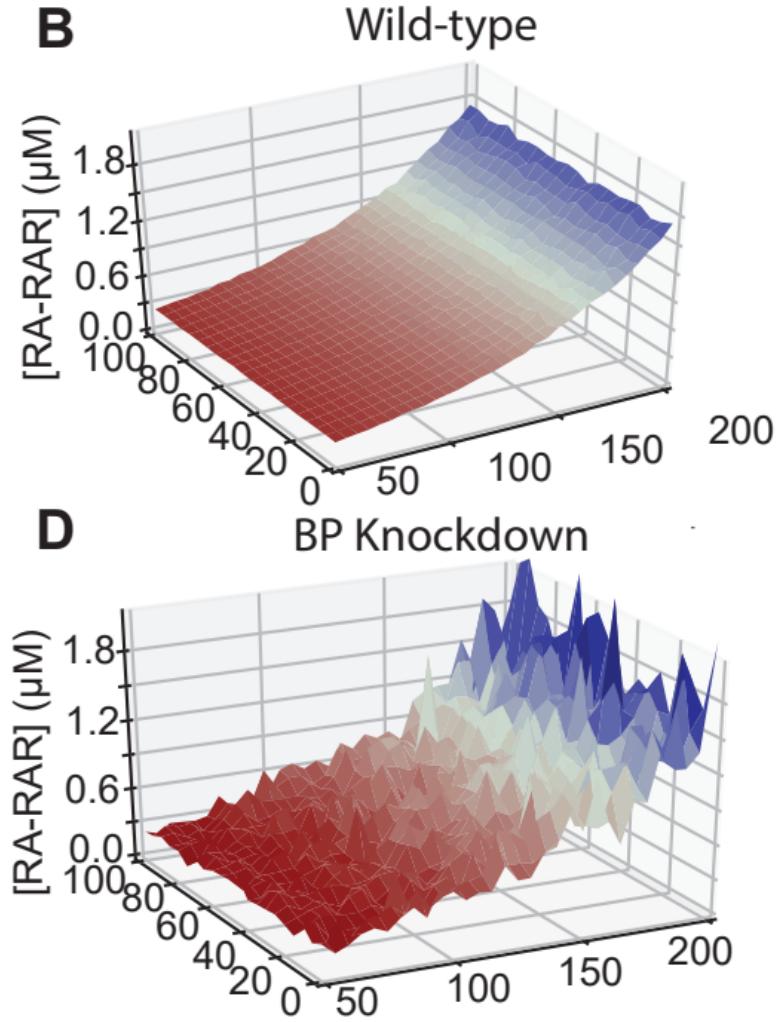
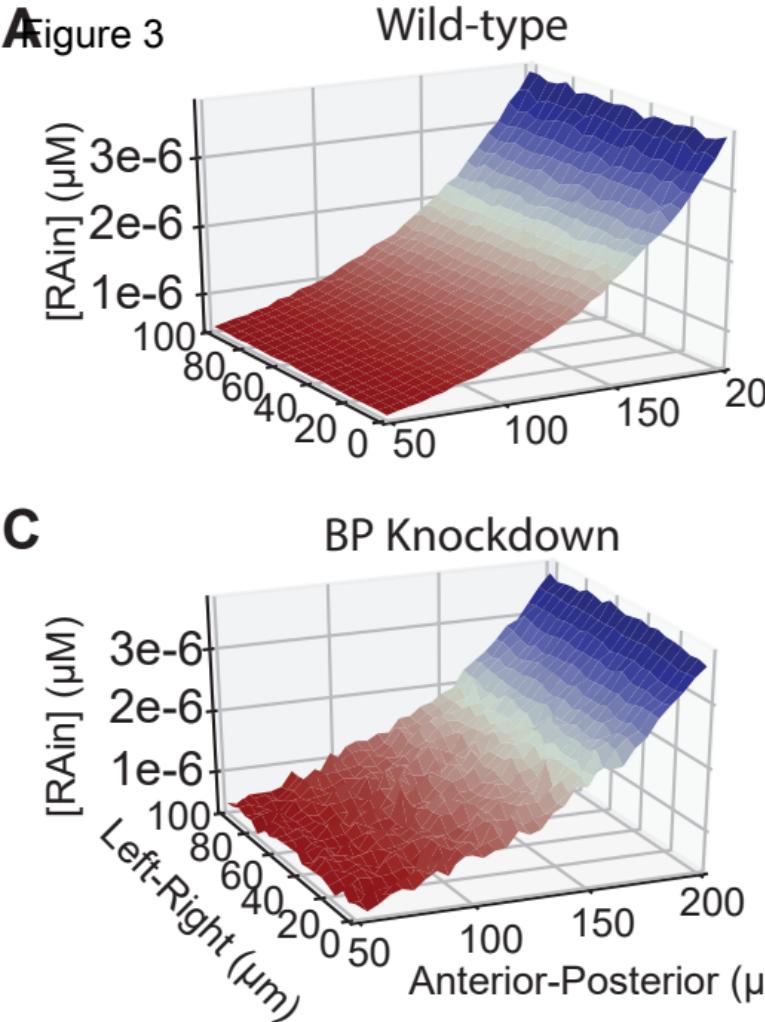
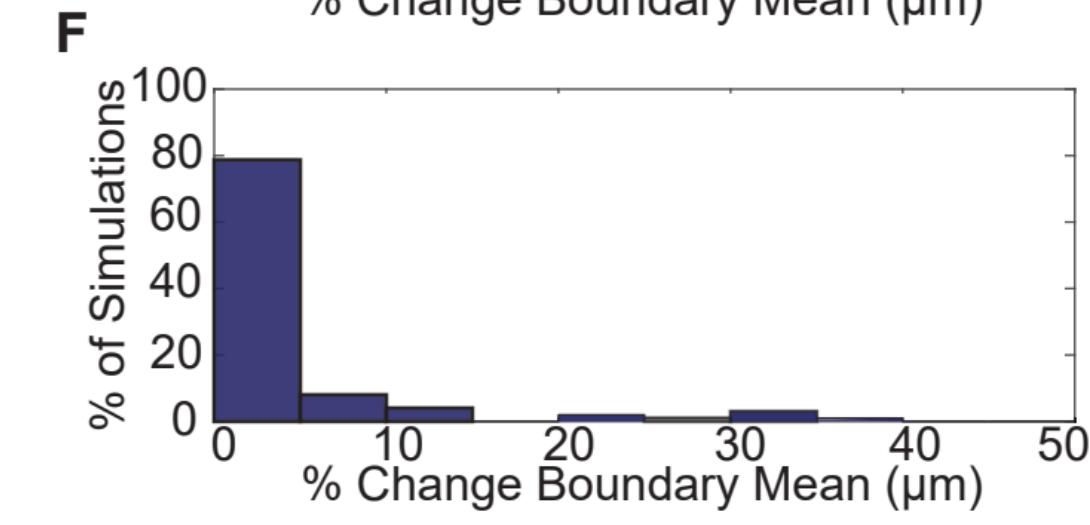
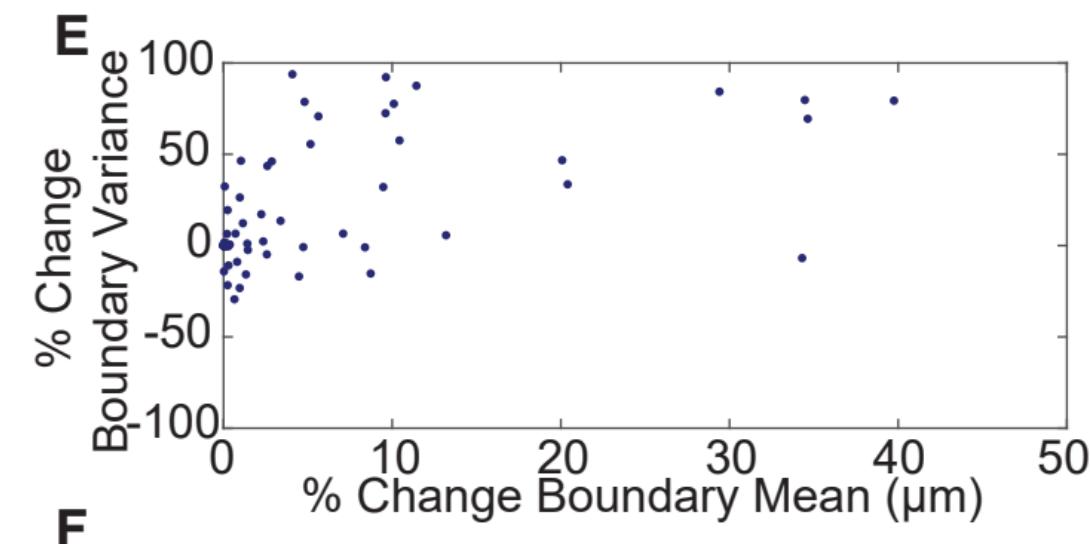
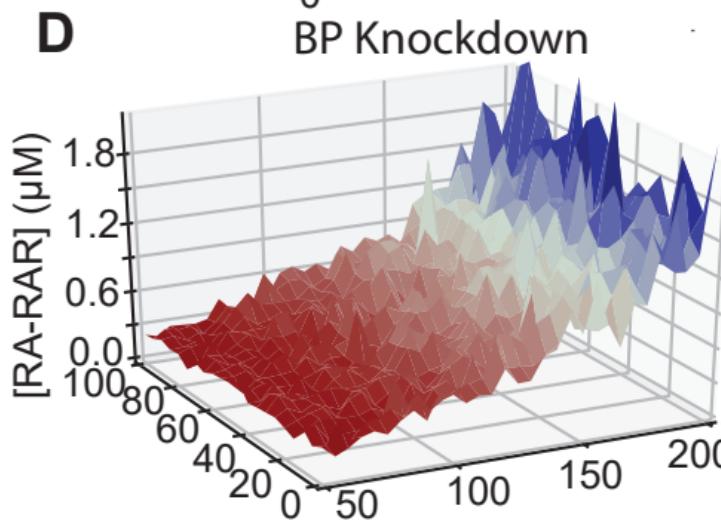
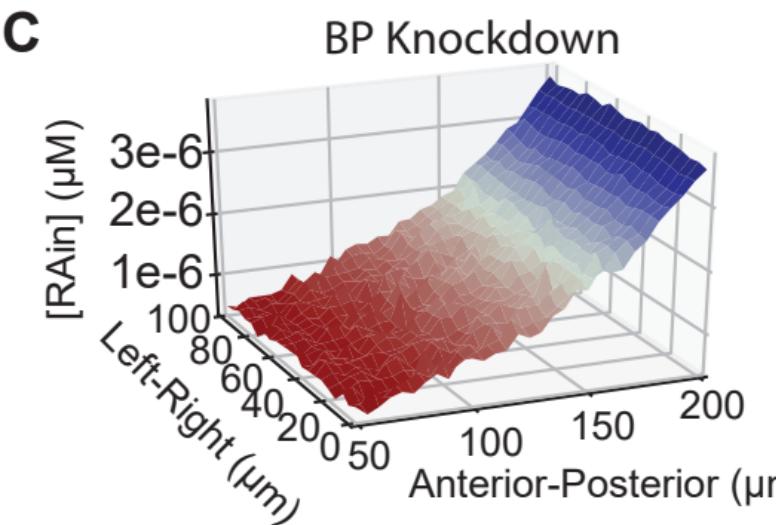
## **Table Legends**

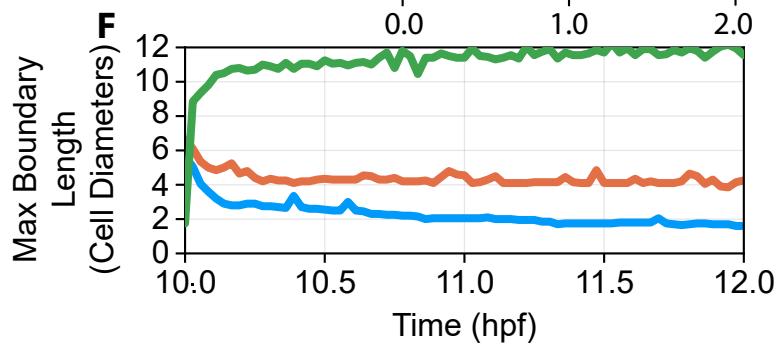
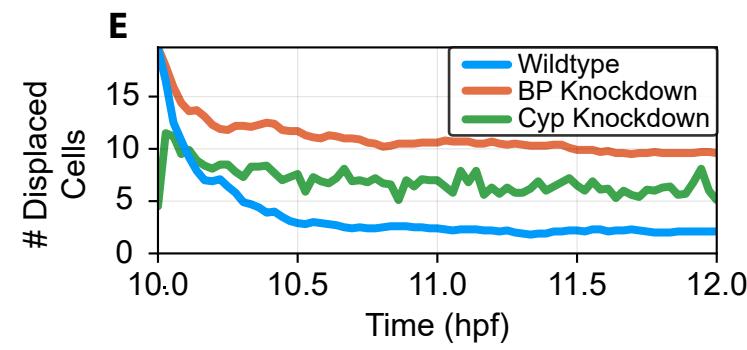
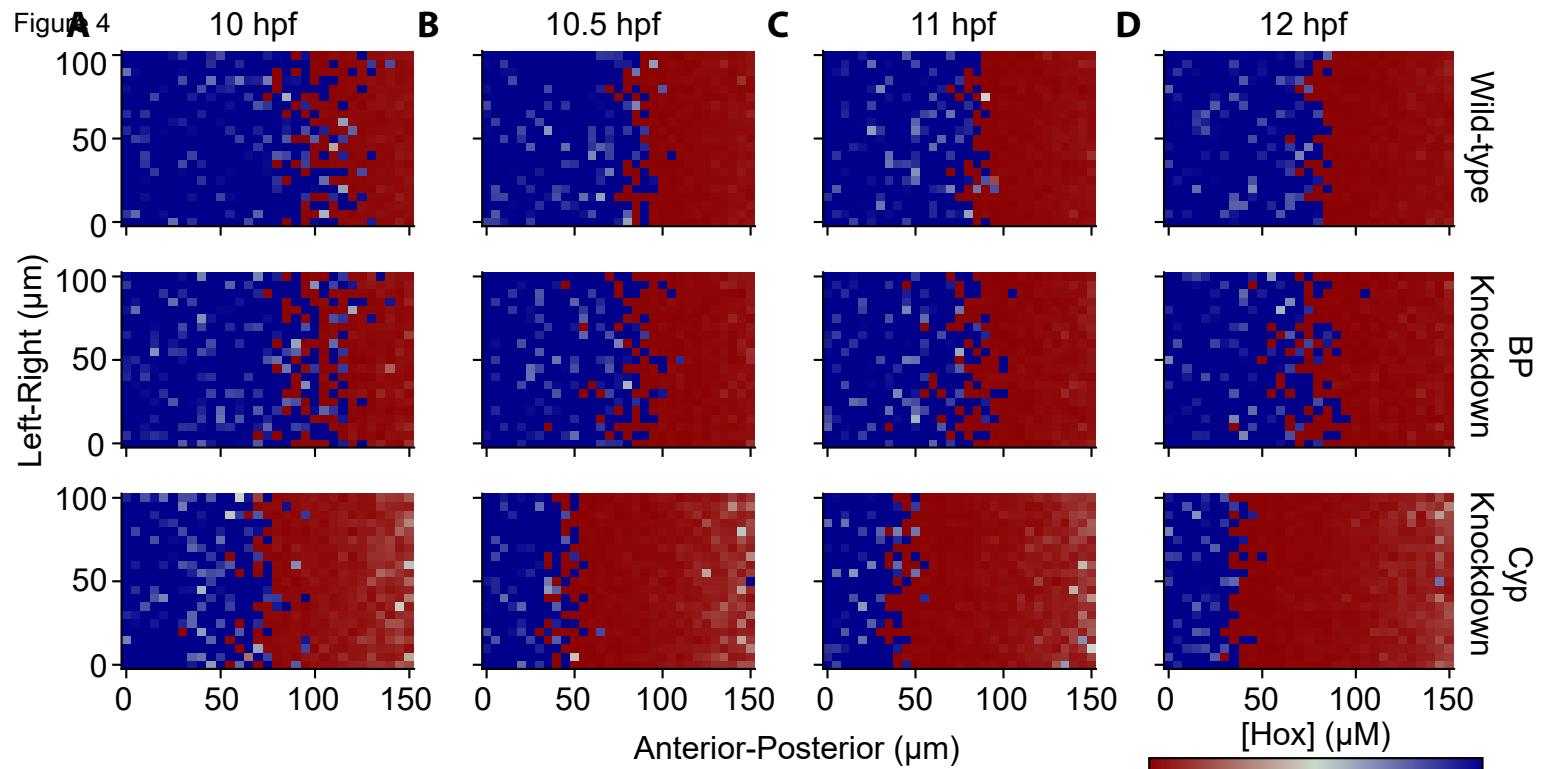
**Table 1: Numerical Knockdown Experiment Base Exponents.** Each parameter was chosen by taking  $x_p$  uniformly from [-2,2] and calculating  $p = 10^{-x_p - baseExp_p}$ . On the left is the parameter and on the right is the corresponding  $baseExp_p$  value. All values not listed in the table had the value  $baseExp_p = 3$ .

Figure 1

**B****C****D****E****F****G****H**



**Figure 3****C**



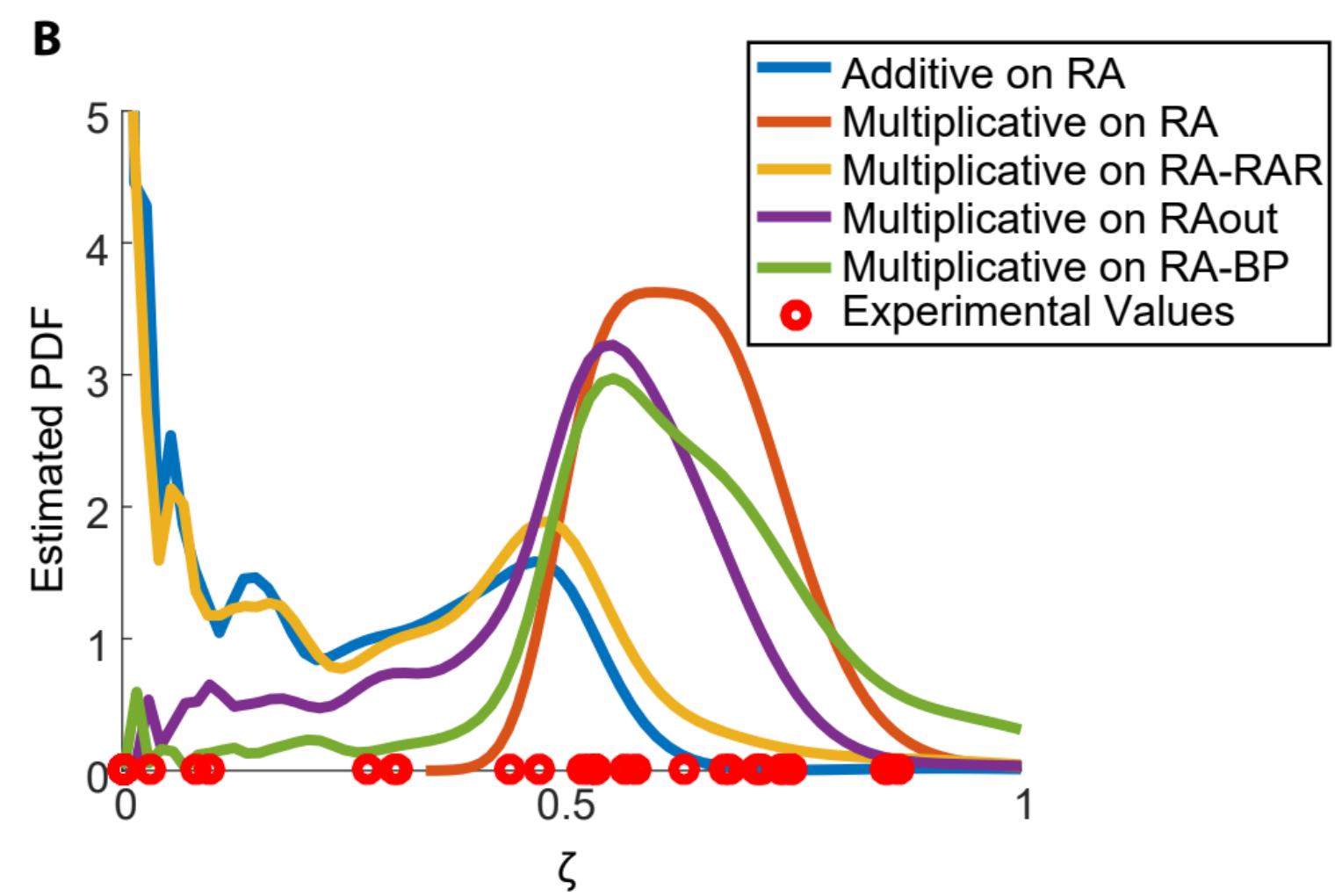
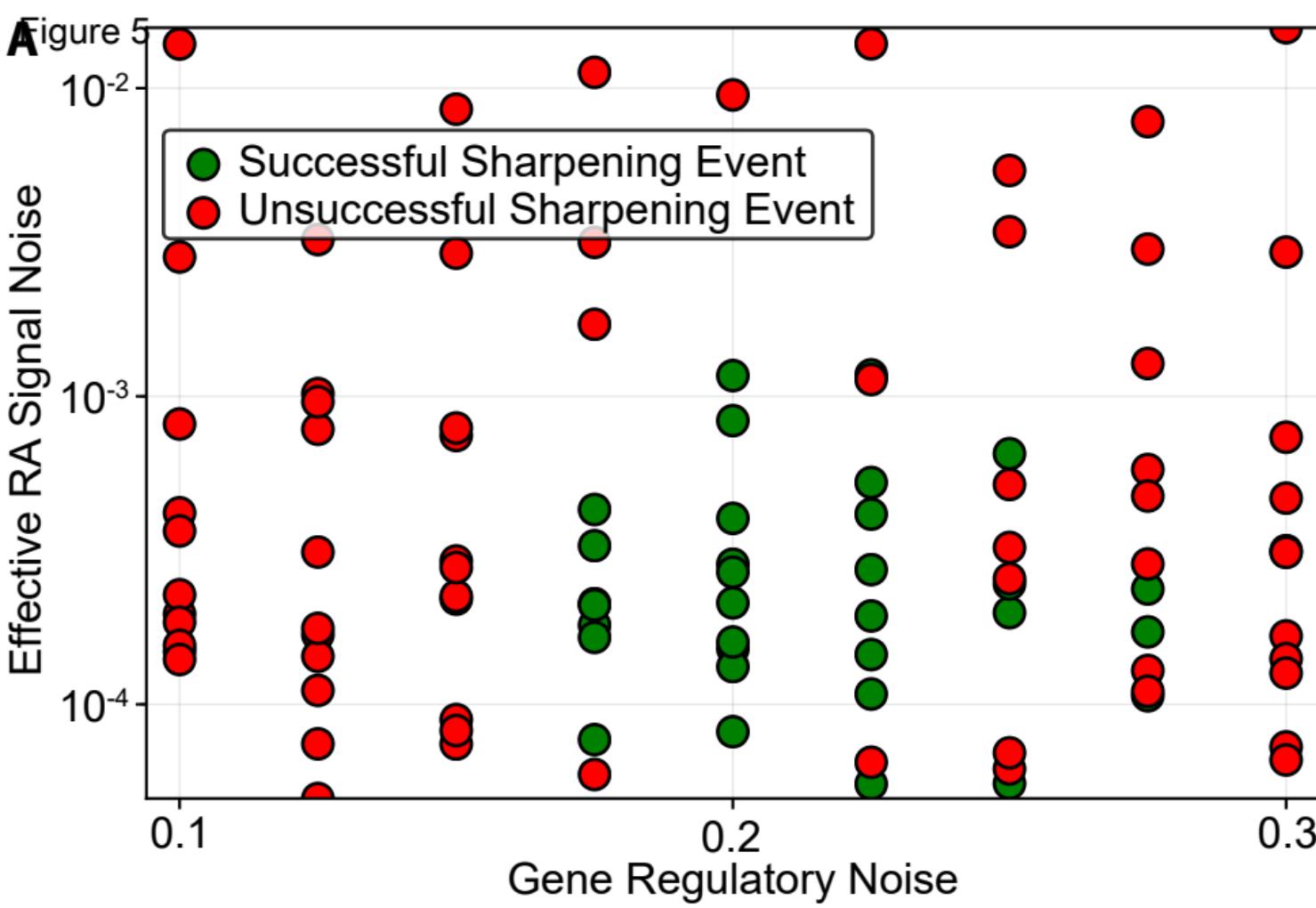
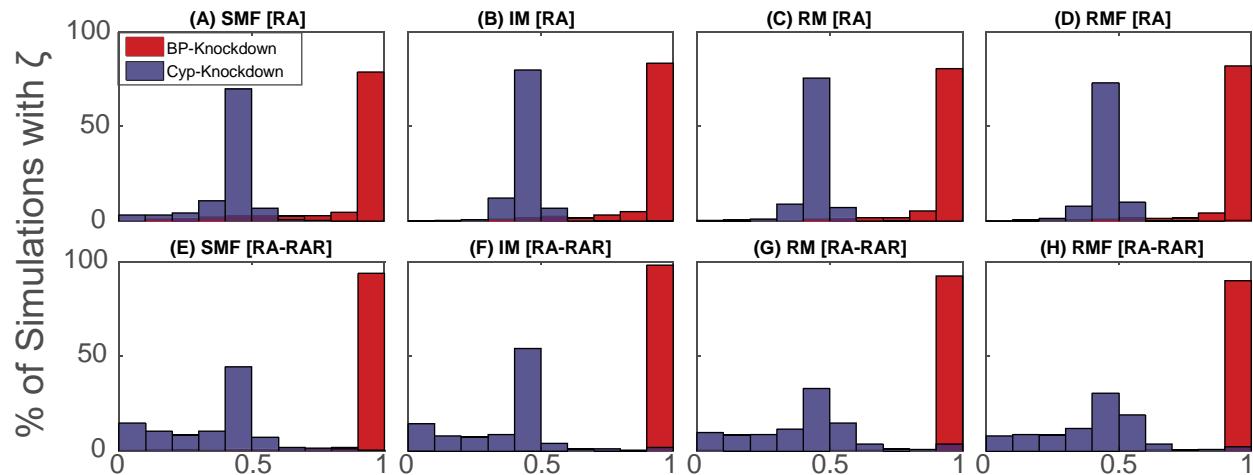


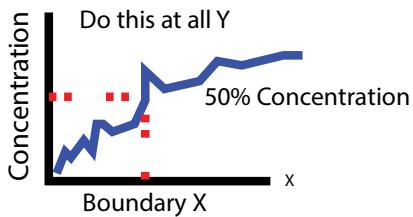
Table 1

Parameter	$baseExp_p$
$\beta$	2
$a$	0
$b$	1
$\alpha$	2
$u$	1
$\zeta$	0
$\eta$	1
$r$	1
All others	3

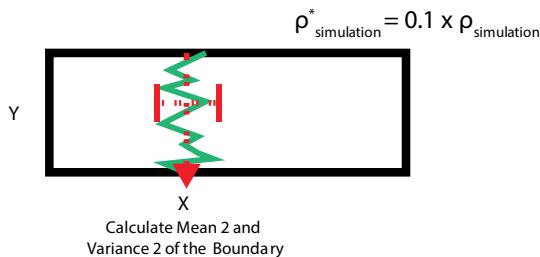


**Figure S1. Mean and Variance Knockdown Distributions with Multiplicative Noise, Related to Figure 2.** (A)-(H) Histograms depicting the  $\zeta$  distribution due to multiplicative noise. The models were solved using the Euler-Maruyama method on the timespan of  $t = [0, 200]$ , and re-solved with a 90% knockdown to the associated parameter. The value  $\zeta$  was calculated from these two series, and this was repeated 100,000 times. More details outlining the experiments are found in the Transparent Methods. (A)-(D)  $\zeta$ 's calculated for [RA] with the respective models. (E)-(H)  $\zeta$ 's calculated for [RA – RAR] with the respective models.

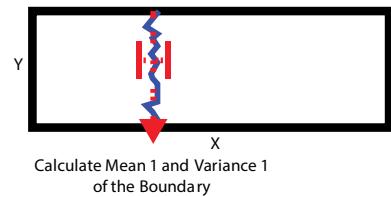
### Step 1: Solve Spatial Model



### Step 3: Knockdown, Repeat 1&2



### Step 2: Determine Boundary



### Step 4: Calculate $\zeta$

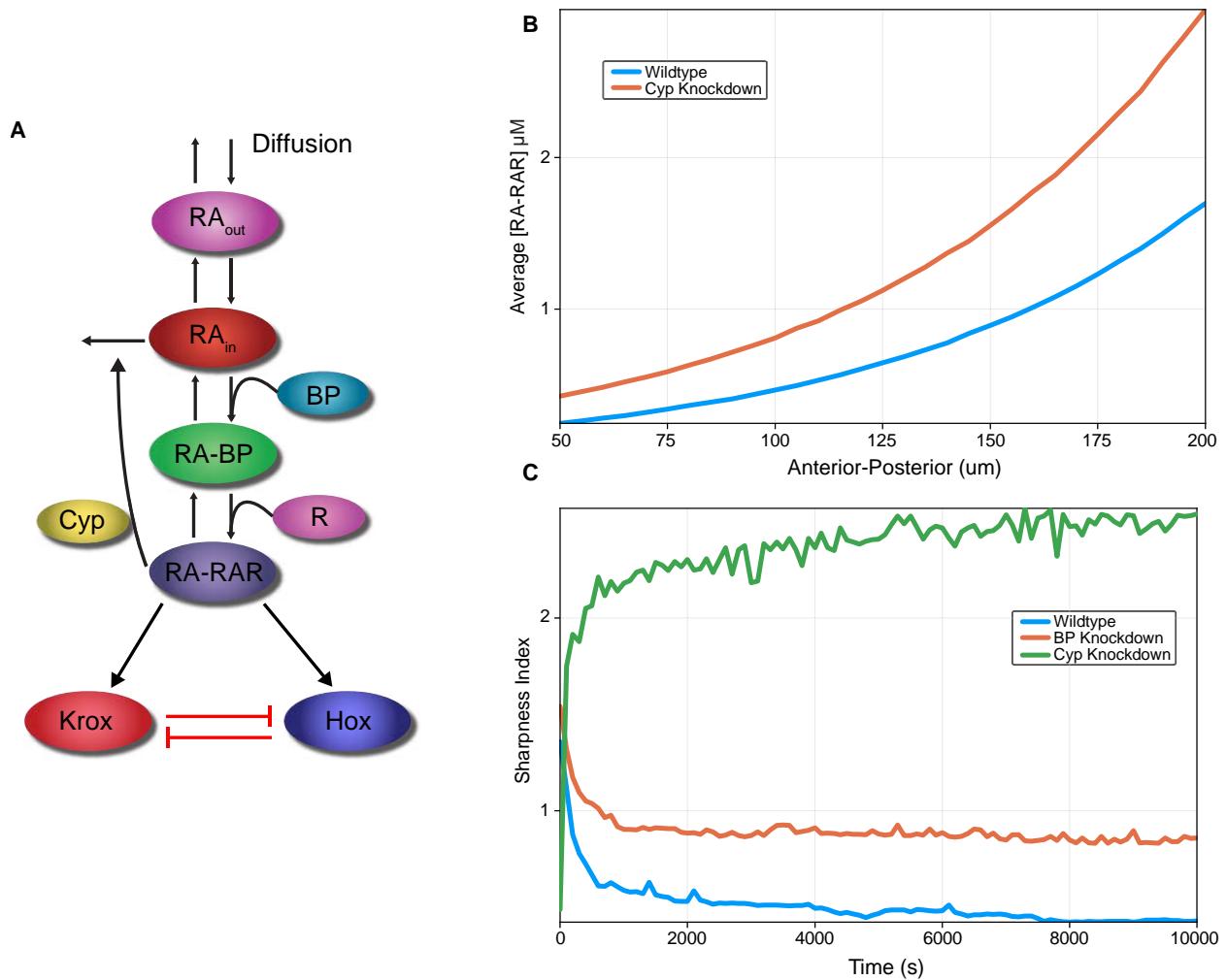
$$\zeta = \frac{\% \text{ Change in Variance}}{(\% \text{ Change in Variance}) + (\% \text{ Change in Mean})}$$

$\zeta = 1 \rightarrow$  Variance changed but mean did not

$\zeta = 0 \rightarrow$  Mean changed but variance did not

**Figure S2: Location-Independent Boundary Sharpening Experimental Diagram, Related to**

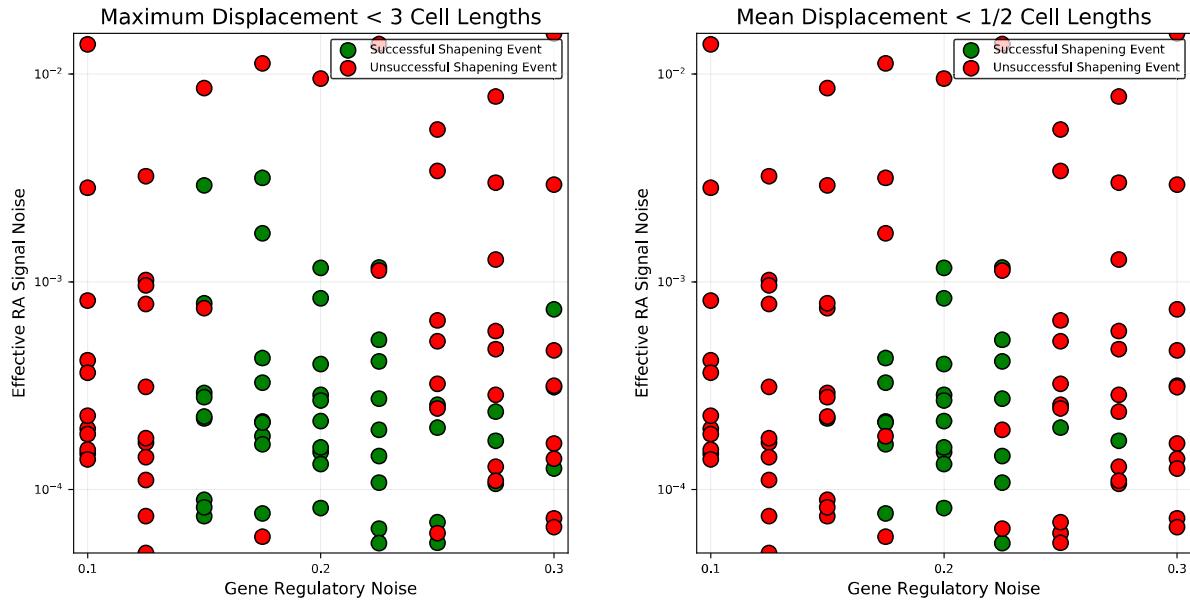
**Figure 3.** A diagram of the numerical scheme for the  $\zeta$  histogram experiments for spatial location versus threshold sharpness. The SPDE model is solved and the 50% concentration point at each location along the x-axis is found. Then the mean and the variance of these x locations are saved. This process is then repeated with reduced binding protein and the resulting mean and variance values are compared with the previous to get a value for  $\zeta$ .



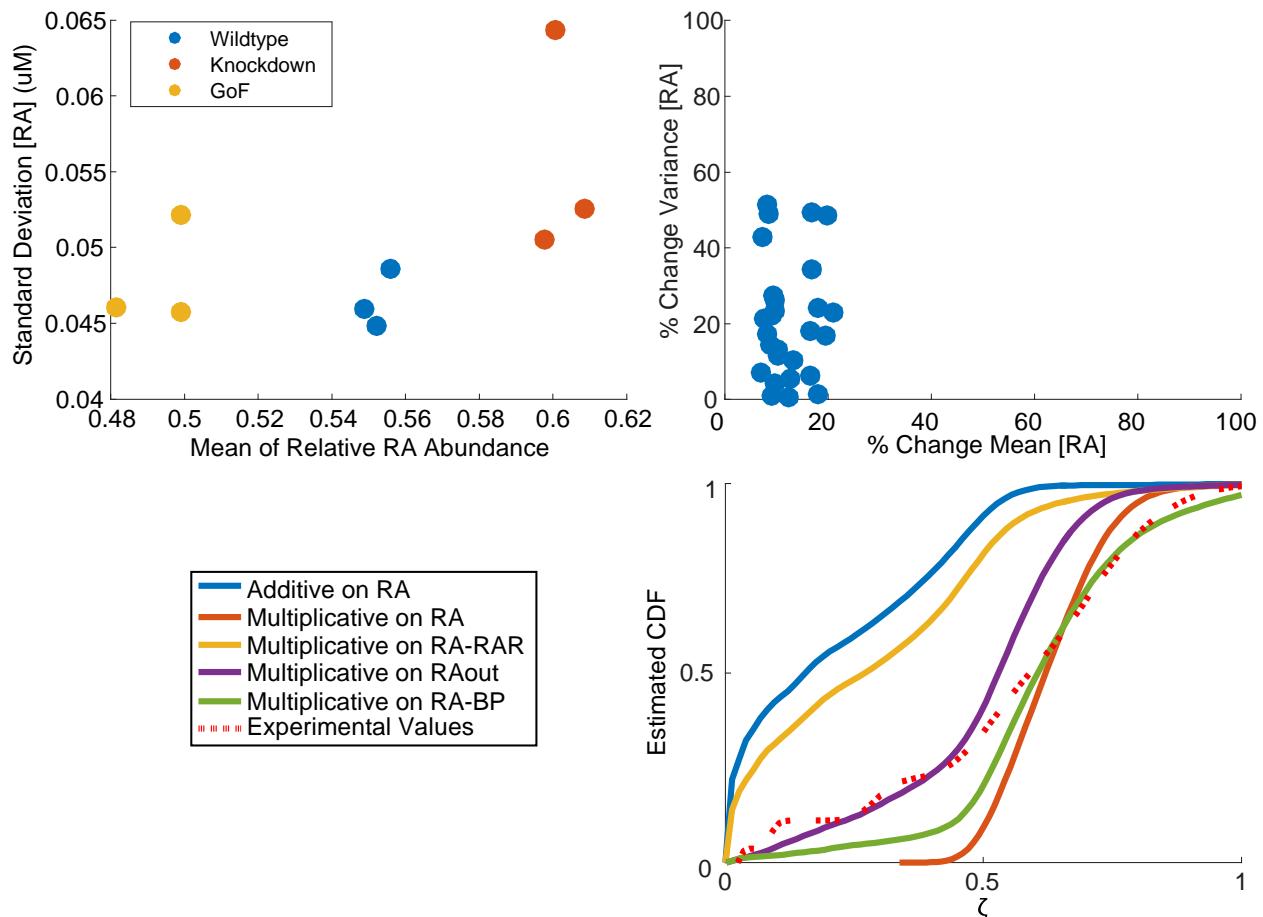
**Figure S3: Boundary Sharpening Disruption Extended Figures, Related to Figure 4. (A)**

Diagram of the extended retinoic acid (RA) model with downstream Hox-Krox signaling. The model starts with diffusive  $RA_{out}$  entering the cell to become  $RA_{in}$  and binding to  $BP$  to become  $RA - BP$ , which then binds  $RAR$  to produce  $RA - RAR$ . This  $RA - RAR$  induces  $Cyp$  which deactivates (and thus degrades) the intracellular  $RA_{in}$ . Additionally,  $RA - RAR$  acts as a signal to the downstream  $Hox$  and  $Krox$  transcription factors, which are mutually antagonistic. **(B)** Mean shift of the RAR signal due to Cyp knockdown. For the wildtype and Cyp setups in the extended RA model with Hox-Krox, the mean of the  $[RA - RAR]$  gradient was calculated between each of the 10 runs at the ending timepoint. **(C)** Sharpness Index. The y-axis

corresponds to the sharpness index defined in (Zhang et al., 2012). The x-axis shows time in terms of hours postfertilization (hpf) in zebrafish. Each condition was repeated 10 times and the results were averaged.



**Figure S4: Characterization of Successful Sharpening via Additional Measures, Related to Figure 5A.** Binding protein production taken as 5, 15, ..., 105 and Hox-Krox regulatory noise was taken as 0.1, 0.125, ..., 0.3 and each pairing in the grid was solved once. The effective RA noise was calculated according to the measure from the Transparent Methods section. In (A) a successful sharpening event was characterized by having the maximum displacement between Hox and Krox dominated cells of less than 3 cell diameters. In (B) a successful sharpening event was characterized by having the mean displacement between Hox and Krox dominated cells as less than half of a cell diameter.



**Figure S5: Characterization of  $\zeta$  applied to FLIM Data, Related to Figure 5B. (A)** Scatter plot of the data points from (Sosnik et al., 2016). **(B)** Pairwise Differences. The percent change in mean and variance was calculated pairwise between each pair of higher and lower data points. A scatter plot of the results is shown. **(C)** Estimated CDF. Depiction of the commutative probability distribution for  $\zeta$  according to the parameter search scheme from the parameter search scheme on RMF. The simulations that produced these distributions are discussed in the Transparent Methods. Shown are the kernel density estimates from the  $\zeta$  values from the stochastic simulations. The different colored lines show the distributions for different noise types. The red line depicts experimental CDF for  $\zeta$  computed using the pairwise data points.

Parameter	Value
$\sigma_{RA_{in}}, \sigma_{RA-RAR}, \sigma_{RA_{out}}$	$0.03 \mu\text{m}/\text{s}$
$b$	$.017 \mu\text{m}/\text{s}$
$\alpha$	$10000 \mu\text{m}/\text{s}$
$\beta_0$	$1 \mu\text{m}/\text{s}$
$c$	$0.1 \mu\text{m}/\text{s}$
$\omega$	$100 \mu\text{m}$
$\gamma$	$3.0 \mu\text{m}/\text{s}$
$\delta$	$0.0013 \mu\text{m}/\text{s}$
$\eta$	$0.0001 \mu\text{m}/\text{s}$
$r$	$0.0001 \mu\text{m}/\text{s}$
$\nu$	$0.85 \mu\text{m}/\text{s}$
$\lambda$	$0.85 \mu\text{m}/\text{s}$
$u$	$0.01 \mu\text{m}/\text{s}$
$d$	$0.1 \mu\text{m}/\text{s}$
$e$	$1 \mu\text{m}$
$a$	$1 \mu\text{m}/\text{s}$
$\zeta$	$0.02 \mu\text{m}/\text{s}$
$c_h$	$7.5 \mu\text{m}/\text{s}$
$c_k$	$3.0 \mu\text{m}/\text{s}$
$k_h$	$0.4 \mu\text{m}/\text{s}$
$k_k$	$4.0 \mu\text{m}/\text{s}$
$d_h, d_k$	$0.4 \mu\text{m}/\text{s}$
$a_h, a_k$	$0.2 \mu\text{m}/\text{s}$
$D$	$250.46 \mu\text{m}/\text{s}$

**Table S1: Disruption of Downstream Boundary Sharpening Parameters, Related to Figure**

**4.** Parameters correspond to RMFS with Hox-Krox interactions.

## Transparent Methods

### Data and Software Availability

Software and Algorithms	Source	Identifier
MATLAB 2015b	The MathWorks Inc. 2015	<a href="https://www.mathworks.com/products/matlab.html">https://www.mathworks.com/products/matlab.html</a>
Simulations made in MATLAB	This Paper  Github:  ChrisRackauckas/MINC	<a href="https://github.com/ChrisRackauckas/MINC">https://github.com/ChrisRackauckas/MINC</a>
Julia	Github: Julialang/julia	<a href="https://julialang.org/">https://julialang.org/</a>
DifferentialEquations.jl	Github:  JuliaDiffEq/DifferentialEquati ons.jl	<a href="https://github.com/JuliaDiffEq/DifferentialEquations.jl">https://github.com/JuliaDiffEq/DifferentialEquations.jl</a>
Simulations made in Julia with DifferentialEquations.jl	This Paper  Github:  ChrisRackauckas/MINC	<a href="https://github.com/ChrisRackauckas/MINC">https://github.com/ChrisRackauckas/MINC</a>
Plots.jl	Github: JuliaPlots/Plots.jl	<a href="https://github.com/JuliaPlots/Plots.jl">https://github.com/JuliaPlots/Plots.jl</a>

### Method Details

#### Steady State Analysis

For the SODE  $dX_t = f(X_t)dt + g(X_t)dW_t$ , we calculated the mean of  $X_t$  using a linearization of the drift term ( $f$ ) and solving for the unique positive steady state. To calculate the variance, we used the linearization of the Fluctuation-Dissipation Theorem where for the Jacobian of the drift at the steady-state  $J(X_{ss})$ , we have that

$$J(X_{ss})\Sigma(X_{ss}) + \Sigma(X_{ss})J^T(X_{ss}) = -g^2(X_{ss}),$$

where  $\Sigma(X_{ss})$  is the covariance matrix at the steady state  $X_{ss}$ , and thus its diagonal value in column  $i$  gives the variance of the  $i$ th component when near the steady state. These computations were performed using Mathematica.

### Monotonicity of Variance

Take the variance equation

$$Var[RA] = \frac{(C\gamma + \eta)\sigma^2}{2(1+C)\gamma\eta + 2\eta^2}.$$

Notice that

$$\frac{dVar[RA]}{d\gamma} = \frac{C\sigma^2}{2\eta(\gamma + \gamma C + \eta)} - \frac{(C+1)\sigma^2(\gamma C + \eta)}{2\eta(\gamma + \gamma C + \eta)^2}.$$

From Mathematica we see that  $\frac{dVar[RA]}{d\gamma} = 0$  if and only if  $\sigma = 0$ . Therefore  $Var[RA]$  is monotonic in  $\gamma$ . To see that it increases, we used the Mathematica Solve function to attempt to find values for which the derivative was negative. Mathematica could find no parameter regime where this was the case. As verification, we used the Mathematica Solve function to find the values for which the derivative was positive. The function returned no constraints, indicating that this always holds.

### Mathematical Models and Steady-State Results

#### General Master Equation (SM)

The SM model can be written in the general master equation framework as:

$$\frac{\partial p}{\partial t} = (E_1 - 1)\eta np + (E_1^{-1}E_2 - 1)m\delta p + (E_1E_2^{-1} - 1)n\gamma p + (E_1^{-1} - 1)\beta p,$$

where  $p = p(n, m; t)$  with  $n$  being the number of RA particles and  $m$  being the number of RA-RAR particles, and  $E_i$  being the step operators ( $Ef(n) = f(n+1)$ ), implying  $(E_1 - 1)$  is the annihilation of RA for RA and RA-RAR respectively. Following (Wang et al., 2008; Toral & Colet, 2014), we write the general master equation in the form:

$$\frac{\partial p}{\partial t} = \sum_{l_1, l_2} (E_1^{l_1} E_2^{l_2} - 1)[\Omega_{n \rightarrow n-l_1, m \rightarrow m-l_2} p],$$

where  $\Omega_{n \rightarrow n-l_1, m \rightarrow m-l_2}$  is a reaction rate for the operation of losing  $l_1$  RA and  $l_2$  RA-RAR, and calculate the identities via algebraic manipulation:

$$\frac{d\langle n \rangle}{dt} = - \sum_{l_1, l_2} \langle l_1 \Omega_{n \rightarrow n-l_1, m \rightarrow m-l_2} \rangle,$$

$$\frac{d\langle m \rangle}{dt} = - \sum_{l_1, l_2} \langle l_2 \Omega_{n \rightarrow n-l_1, m \rightarrow m-l_2} \rangle,$$

$$\frac{d\langle n^2 \rangle}{dt} = - \sum_{l_1, l_2} \langle l_1(l_1 - 2n) \Omega_{n \rightarrow n-l_1, m \rightarrow m-l_2} \rangle,$$

$$\frac{d\langle m^2 \rangle}{dt} = - \sum_{l_1, l_2} \langle l_2(l_2 - 2m) \Omega_{n \rightarrow n-l_1, m \rightarrow m-l_2} \rangle,$$

$$\frac{d\langle nm \rangle}{dt} = - \sum_{l_1, l_2} \langle (l_1 n + l_2 m - l_1 l_2) \Omega_{n \rightarrow n-l_1, m \rightarrow m-l_2} \rangle.$$

(For example: multiply by  $n$ , then re-define  $n$  to be shifted by  $l_1$  and simplify. The others follow from similar manipulations). This gives the system of ODEs:

$$\frac{d\langle n \rangle}{dt} = -(\eta + \gamma)\langle n \rangle + \delta\langle m \rangle,$$

$$\frac{d\langle m \rangle}{dt} = \gamma\langle n \rangle - \delta\langle m \rangle,$$

$$\frac{d\langle n^2 \rangle}{dt} = -(\eta + \gamma)\langle n(1 - 2n) \rangle - \delta\langle m(1 + 2n) \rangle - \beta\langle 1 + 2n \rangle,$$

$$\frac{d\langle m^2 \rangle}{dt} = -\delta\langle m(1 - 2m) \rangle - \gamma\langle n(1 + 2m) \rangle,$$

$$\frac{d\langle nm \rangle}{dt} = -\eta\langle n^2 \rangle + \delta\langle m(-n + m - 1) \rangle + \gamma\langle n(n - m - 1) \rangle + \beta\langle n \rangle.$$

Setting the derivatives to zero, we receive the steady-state values (calculations in the Mathematica notebooks):

$$E[RA] = \frac{\beta}{\eta},$$

$$Var[RA] = \frac{\beta(\gamma + \eta + c\eta)}{\eta(\gamma + \eta + 2c\eta)},$$

$$Cov([RA], [RA - RAR]) = -\frac{\beta(\gamma + \eta)}{\gamma(\gamma + \eta + 2\eta c)}.$$

We note that the derivatives of the variance and covariance equations by  $\gamma$  are non-zero for all positive parameter values. Thus both equations are increasing functions of  $\gamma$ .

### Simple Model with Feedback (SMF)

$$d[RA] = \left( \beta + \delta[RA - RAR] - \left( \gamma + \eta + \frac{\alpha[RA - RAR]}{\omega + [RA - RAR]} \right) [RA] \right) dt + \sigma dW_t,$$

$$d[RA - RAR] = (\gamma[RA] - \delta[RA - RAR])dt,$$

$$E[RA] = \frac{\sqrt{2\beta C\omega(2\alpha + \eta) + \beta^2 + C^2\eta^2\omega^2} + \beta - C\eta\omega}{2(\alpha + \eta)},$$

$$E[RA - RAR] = \frac{\sqrt{4\beta c\omega(\alpha + \eta) + (\beta - c\eta\omega)^2} + \beta - c\eta\omega}{2c(\alpha + \eta)},$$

$Var[RA]$ :

$$\frac{\sigma^2(E[RA] + C\omega)(E[RA]^2(\alpha + \eta) + 2E[RA]C\omega(\alpha + \eta) + C\gamma(E[RA] + C\omega)^2 + C^2\eta\omega^2)}{2(E[RA]^2(\alpha + \eta) + 2E[RA]C\omega(\alpha + \eta) + C^2\eta\omega^2)(E[RA](\alpha + \eta) + (C + 1)\gamma(E[RA] + C\omega) + C\eta\omega)},$$

$Var[RA - RAR]$ :

$$\frac{\gamma\sigma^2(E[RA - RAR] + \omega)^3}{2(E[RA - RAR]^2C(\alpha + \eta) + E[RA - RAR]C\omega(\alpha + 2\eta) + \omega(\alpha + C\eta\omega))(E[RA - RAR](\alpha + \eta) + (C + 1)\gamma(E[RA - RAR] + \omega) + \eta\omega)}.$$

where  $\delta = C\gamma$ . Importantly we note that  $E[RA]$  and  $E[RA - RAR]$  are independent of  $\delta$  and  $\gamma$ .

### Intermediate Model (IM)

$$d[RA] = \left( \beta + \delta[RA - BP] - \left( \frac{\alpha[RA - RAR]}{\omega + [RA - RAR]} + \gamma + \eta \right) [RA] \right) dt + \sigma dW_t,$$

$$d[RA - BP] = (\gamma[RA] + \lambda[RA - RAR] - (\delta + \nu)[RA - BP])dt,$$

$$d[RA - RAR] = (\nu[RA - BP] - \lambda[RA - RAR])dt,$$

$$E[RA] = \frac{\sqrt{4\beta\gamma\delta\lambda\nu\omega(\alpha + \eta) + (\beta\gamma\nu - \delta\eta\lambda\omega)^2} + \beta\gamma\nu - \delta\eta\lambda\omega}{2\gamma\nu(\alpha + \eta)},$$

$$E[RA - BP] = \frac{\sqrt{4\beta\gamma\delta\lambda\nu\omega(\alpha + \eta) + (\beta\gamma\nu - \delta\eta\lambda\omega)^2} + \beta\gamma\nu - \delta\eta\lambda\omega}{2\delta\nu(\alpha + \eta)},$$

$$E[RA - RAR] = \frac{\sqrt{4\beta\gamma\delta\lambda\nu\omega(\alpha + \eta) + (\beta\gamma\nu - \delta\eta\lambda\omega)^2} + \beta\gamma\nu - \delta\eta\lambda\omega}{2\delta\gamma(\alpha + \eta)}.$$

The variance equations are too large to fit in normal text and are thus contained in the respective Mathematica notebooks. Notice that when  $\lambda = C\gamma$  or  $\nu = C\delta$ , the respective terms cancel out of  $E[RA]$  and  $E[RA - RAR]$

### **Intermediate Model (IM) with More Signaling Steps and a Separate Pool for Cyp Degradation**

$$d[RA] = (\beta + \delta[RA - BP] - (\gamma + \eta)[RA])dt + \sigma dW_t,$$

$$d[RA_2] = \left( \delta_2[RA - BP] - \left( \frac{\alpha[RA - RAR]}{\omega + [RA - RAR]} + \gamma_2 \right) [RA] \right) dt,$$

$$d[RA - BP] = (\gamma[RA] + \gamma_2[RA_2] + \lambda[RA_N] - (\delta + \delta_2 + \nu)[RA - BP])dt,$$

$$d[RA_N] = (\nu[RA - BP] + \Lambda[RA - RAR] - (\lambda + \Gamma)[RA_N])dt,$$

$$d[RA - RAR] = (\Gamma[RA_N] - \Lambda[RA - RAR])dt,$$

The mean and variance equations are too large to fit in normal text and are thus contained in the respective Mathematica notebooks. Notice that when  $\lambda = C\gamma = C_2\gamma_2$  or  $\nu = C\delta = C_2\delta_2$ , the respective terms cancel out of  $E[RA]$  and  $E[RA - RAR]$ .

### **Retinoic Acid Model (RM)**

$$d[RA_{out}] = (\beta - b[RA_{out}] + c[RA_{in}])dt,$$

$$d[RA_{in}] = \left( b[RA_{out}] + \delta[RA - BP] - \left( \gamma[BP] + \eta + \frac{\alpha[RA - RAR]}{\omega + [RA - RAR]} - c \right) [RA_{in}] \right) dt + \sigma dW_t,$$

$$d[RA - BP] = (\gamma[BP][RA_{in}] + \lambda[BP][RA - RAR] - (\delta + \nu[RAR])[RA - BP])dt,$$

$$d[RA - RAR] = (\nu[RA - BP][RAR] - \lambda[BP][RA - RAR])dt,$$

$$d[RAR] = (\zeta - \nu[RA - BP][RAR] + \lambda[BP][RA - RAR] - r[RAR])dt,$$

$$d[BP] = (a - \lambda[BP][RA - RAR] - \gamma[BP][RA_{in}] + (\delta + \nu[RAR])[RA - BP] - u[BP])dt,$$

$$E[RA_{out}] = \frac{\beta\gamma\zeta\nu(2(\alpha + \eta) + c) + c\sqrt{4\beta\gamma\delta\zeta\lambda\nu r\omega(\alpha + \eta) + (\beta\gamma\zeta\nu - \delta\eta\lambda r\omega)^2} + c\delta\eta\lambda(-r)\omega}{2b\gamma\zeta\nu(\alpha + \eta)},$$

$$E[RA_{in}] = \frac{\beta\gamma\zeta\nu + \sqrt{4\beta\gamma\delta\zeta\lambda\nu r\omega(\alpha + \eta) + (\beta\gamma\zeta\nu - \delta\eta\lambda r\omega)^2} - \delta\eta\lambda r\omega}{2\gamma\zeta\nu(\alpha + \eta)},$$

$$E[RA - BP] = \frac{a(\beta\gamma\zeta\nu + \sqrt{4\beta\gamma\delta\zeta\lambda\nu r\omega(\alpha + \eta) + (\beta\gamma\zeta\nu - \delta\eta\lambda r\omega)^2} - \delta\eta\lambda r\omega)}{2\delta\zeta\nu u(\alpha + \eta)},$$

$$E[RA - RAR] = \frac{\beta\gamma\zeta\nu + \sqrt{4\beta\gamma\delta\zeta\lambda\nu r\omega(\alpha + \eta) + (\beta\gamma\zeta\nu - \delta\eta\lambda r\omega)^2} - \delta\eta\lambda r\omega}{2\delta\lambda r(\alpha + \eta)},$$

$$E[RAR] = \frac{\zeta}{r},$$

$$E[BP] = \frac{a}{u}.$$

The variance equations are too large to fit in normal text and are thus contained in the respective Mathematica notebooks. Notice  $E[RA_{in}]$  and  $E[RA - RAR]$  are independent of  $a$ . By substitution we have that

$$E[RA - BP] = \frac{\beta\gamma\zeta\nu + \sqrt{4\beta\gamma\delta\zeta\lambda\nu r\omega(\alpha + \eta) + (\beta\gamma\zeta\nu - \delta\eta\lambda r\omega)^2} - \delta\eta\lambda r\omega}{2\delta\zeta\nu(\alpha + \eta)} E[BP].$$

### Retinoic Acid Model with Binding Protein Feedback (RMF)

The equations are the same as RM except for:

$$d[BP] = \left( a - \lambda[BP][RA - RAR] - \gamma[BP][RA_{in}] + (\delta + \nu[RAR])[RA - BP] - u[BP] + \frac{d[RA - RAR]}{e + [RA - RAR]} \right) dt.$$

The steady-state analysis results are too large to fit in normal text and are thus contained in the Mathematica notebooks.

### Spatial Retinoic Acid Model

The equations are the same as RM with BP feedback except

$$d[RA_{out}] = (\beta(x) + D\Delta[RA_{out}] - b[RA_{out}] + c[RA_{in}])dt + \sigma_{RA_{out}}[RA_{out}]dW_t^{out},$$

where  $\beta(x) = \beta_0 H(x - 40)$  where  $H$  is the Heaviside step function denoting  $x_0 = 40 \mu\text{m}$  is the edge of production,

$$d[RA_{in}] = \left( b[RA_{out}] + \delta[BP][RA - RAR] - \left( \gamma[BP] + \eta + \frac{\alpha[RA - RAR]}{\omega + [RA - RAR]} - c \right) [RA_{in}] \right) dt + \sigma_{RA}[RA_{in}]dW_t^{in},$$

and

$$d[RA - RAR] = (\nu[RA - BP][RAR] - \lambda[BP][RA - RAR])dt + \sigma_{RA-RAR}[RA - RAR]dW_t^{RA-RAR},$$

where each  $dW_t$  is an uncorrelated Gaussian white noise. The spatial domain was a two-dimensional box with the x-domain [-100,400] and the y-domain [0,50] with units of  $\mu\text{m}$ . The problem was discretized to ODEs via the method of lines with a second-order discretization of the Laplacian and  $dx = dy = 5 \mu\text{m}$ . For all sections, we fixed  $D = 25.46 \mu\text{m}^2/\text{s}$ . The boundary was reflective on all ends except the right boundary, which was leaky with parameter 0.002.

When the Hox-Krox interactions are included, those portions of the system are defined by:

$$dg_h = \frac{c_h g_h^2 + (\kappa_h[RA - RAR])^2}{1 + c_h g_h^2 + c_k g_k^2 + (\kappa_h[RA - RAR])^2} - d_h g_h + a_h g_h dW_t^h,$$

$$dg_k = \frac{c_k g_k^2 + (\kappa_k[RA - RAR])^2}{1 + c_h g_h^2 + c_k g_k^2 + (\kappa_h[RA - RAR])^2} - d_k g_k + a_k g_k dW_t^h.$$

### Numerical Parameter Search in Knockdown Experiments

The scheme is:

1. For every parameter  $p$ , take  $x_p \in [-5, 5]$  uniformly, and let  $p = 10^{-x_p - baseExp_p}$ .
2. Solve the model for 200 seconds with the initial condition at the steady-state value. Calculate the mean and variance.
3. Knock down the associated parameter by 90% and redo step 2.
4. Calculate the value  $\zeta$ .

The  $baseExp_p$  values are given in Table 1. 100,000 simulations were run per model. The simulation was solved using the Euler-Maruyama method with a  $dt = 10^{-4}$  and the mean/variance was calculated. For models without the explicit binding protein, the parameter  $\gamma$  was the one affected. For models with the explicit binding protein, the production parameter was the one affected. The simulation was solved using the same solver settings and the same Brownian path (the same Brownian path was used to simulate the embryo with the same conditions but with a different epigenetic makeup). Cyp was knocked down (from the parameter set that did not include the BP knockdown) by a 90% decrease to  $\alpha$ . The same solver settings and the same Brownian path were used and the mean/variance was calculated. Using the mean/variance calculations for these three runs, a  $\zeta$  was calculated for the BP-knockdown and a  $\zeta$  was calculated for the Cyp-knockdown. Note that the percentages were calculated relative to the larger quantity, e.g.

$$\% \Delta \text{Mean} = \frac{\text{abs}(\text{Mean}_1 - \text{Mean}_2)}{\max(\text{Mean}_1, \text{Mean}_2)}$$

to ensure a value between 0 and 1.

### Numerical Parameter Search in Spatial Knockdown Experiments

100 simulations were run, with random parameter sets chosen by taking  $x_p$  uniformly from [-2,2] and letting  $p = 10^{-x_p - baseExp_p}$ .  $baseExp_p$  was chosen so that the parameter range covers all of the most likely parameters, but slightly biased in order to decrease the amount of time to steady state to make the problem computationally feasible (boosting degradation, and increasing production so as to keep the total concentrations at reasonable levels). The simulations were accelerated using NVIDIA GTX 970 and GTX 980Ti GPUs via MATLAB's CUDA interface. The base values are given in Table 1.

The simulation was first solved to steady-state without noise at the highest possible  $dt$ , and then the model was solved using a more stable variant of a second order Runge-Kutta method via a method of lines discretization with  $dt = 5 \times 10^{-5}$  s for 100 seconds, roughly matching the experimental setup of Sosnik et al. 2016. We note that the results are robust to the choice of final time point being an order magnitude less or greater, indicating convergence of the stochastic model to a quasi-steady distribution. The model was first solved using  $x_p$  and then with a 90% knockdown of  $a$ . At the end of each run, the 60% threshold from the non-knockdown control was used to set the

boundary location. For each  $y$ , the lowest  $x$  above the threshold was chosen as the boundary location. The mean and the variance of these  $x$  values was used as the boundary mean and variance. This scheme is diagrammed in Figure S2.

### **Spatial Boundary Sharpening Experiments**

The boundary sharpening experiments were solved using a method of lines approach. The steady-state gradient was first established by turning off the noise and solving the discretized PDE using an adaptive second order Rosenbrock method from DifferentialEquations.jl. Then the SPDE was solved for 500 seconds using the adaptive SRIW1 method from (Rackauckas and Nie 2017). After that, the Hox-Krox interactions were initiated, starting with a random steady state where Krox was zero and Hox started with each point in space having  $0.1605 + 0.2X$  where  $X$  is a uniform random number. This was solved to steady state using the Tsit5 algorithm from DifferentialEquations.jl and then solved with noise for 10,000 seconds using the adaptive SRIW1 method.

For the boundary sharpening experiments, parameters were chosen to conform to regimes specified in previous models. The parameters were chosen as detailed in Table S1. From the results, the effective RA noise was calculated as the variance of RA at  $x = 125 \mu m$ , which was the Hox-Krox boundary in the absence of noise.

### **$\zeta$ Determination From Data**

To determine  $\zeta$  from the data of Sosnik et al., 2016, the relative concentration values for free intracellular RA had to be converted to a sensible absolute concentration value in some arbitrary units by determining a 0. This background was discarded by subtracting out the mean relative abundance of the control experiment, which was .3132. The 0-adjusted values are given in the accompanying MATLAB script. Since the embryos have no preferred pairing, a separate  $\zeta$  was estimated from each pairwise interaction between knockdowns.