# Query Optimisation Tutorial

**SWEN304/SWEN439** 

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**Engineering and Computer Science** 





## Query Computation Costs for Unary Operators

- selection  $\sigma_{\rm C}$  is linear in the size number n of tuples of the involved relation
  - scan the relation one tuple after the other
  - check for each tuple, whether the condition C is satisfied or not
  - keep exactly those tuples satisfying C
- projection  $\pi_{\mathsf{AL}}$  is in  $O(n \cdot \log n)$  with the number n of tuples
  - order the relation according to the attributes in AL (this is the most costly part leading to the complexity in  $O(n \cdot \log n)$ )
  - scan the relation one tuple after the other
  - project each tuple to the attributes in AL and check, whether result is the same as for previous tuple (duplicate elimination)
  - Note: SQL does not eliminate tuples, i.e. costs of projection are in O(n), but DISTINCT needs the ordering
- renaming  $\delta_f$  can be neglected



## Query Computation Costs for Binary Operators

- join  $\bowtie$  is in  $O(n \cdot \log n)$  with  $n = n_1 + n_2$ , where  $n_i$  are the respective numbers of tuples in the two relations involved
  - the easiest idea is to use a nested loop:
    - scan the first relation one tuple after the other
    - for each tuple scan the second relation to find matching tuples, i.e., those coinciding with the given tuple on the common attributes
    - in case tuples match, take the joined tuple into the result relation
  - more efficient is the merge join:
    - sort both relations (this is the most costly part)
    - scan both relations simultaneously to find matching tuples
    - in case tuples match, take the joined tuple into the result relation
- union  $\cup$  is in  $O(n \cdot \log n)$  with  $n = n_1 + n_2$ , where  $n_i$  are the respective numbers of tuples in the two relations involved (analogously for difference -)
  - sort both relations as for the merge join
  - scan simultaneously to detect duplicates



#### Estimating the Size of Relations

- let  $R = \{A_1, \dots, A_k\}$  be a relation schema
- determine the size of a relation r over R:
  - ullet let n denote the average number of tuples in the relation r
  - let  $\ell_j$  denote the the average space (e.g., in bits) for attribute  $A_j$  in a tuple in r
  - then  $n \cdot \sum\limits_{j=1}^k \ell_j$  is the space needed for the relation r
- determine the size of intermediate relations in a query using the query tree:
  - ullet assign the size of the relation to each leaf node R
  - ullet for a renaming node the assigned size is exactly the size s assigned to the successor



- for a selection node  $\sigma_{\rm C}$  the assigned size is  $a_{\rm C} \cdot s$ , where s is the size assigned to the successor and  $100 \cdot a_{\rm C}$  is the average percentage of tuples satisfying C
- for a projection node  $\pi_{R_i}$  the assigned size is  $(1-C_i)\cdot s\cdot \frac{r_i}{r}$ , where  $r_i$  (r) is the average size of a tuple in a relation over  $R_i$  (R), s is the size assigned to the successor and  $C_i$  is the probability that two tuples coincide on  $R_i$

 $(1-C_i)\cdot s\cdot \frac{r_i}{r}=(1-C_i)\cdot n\cdot r_i$  where n is average number of tuples in

R-relation

Natural join needs to remove duplicate attributes For equi-join, r = 0

- for a join node the assigned size is  $\frac{s_1}{r_1} \cdot p \cdot \frac{s_2}{r_2} \cdot (r_1 + r_2 r)$ , where  $s_i$  are the sizes of the successors,  $r_i$  are the corresponding tuple sizes, r is the size of a tuple over the common attributes and p is the matching probability
- for a union node the assigned size is  $s_1 + s_2 p \cdot s_1$  with the probability p for tuple of  $R_1$  to coincide with a tuple over  $R_2$
- ullet for a difference node the assigned size is  $s_1\cdot (1-p)$  where (1-p) is probability that tuple from  $R_1$ -relation does not occur as tuple in  $R_2$ -relation



- Person = {Name, Age, Address} with minimal key {Name, Address}
- Customer = {CustNo, CustName, CustAddress} with minimal key {CustNo} and foreign key [CustName, CustAddress] ⊆ Person[Name, Address]

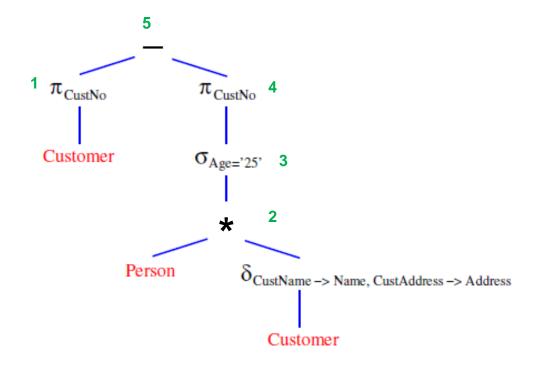
attribute	domain	average length
Name	VARCHAR(30)	15
Address	VARCHAR(50)	30
CustName	VARCHAR(30)	15
CustAddress	VARCHAR(50)	30

# Assumptions

- Assume that the fixed number of bits for storing the age of a person is 8,
  - For values up to  $2^8 = 256$
- assume to have 1000 customers in our database, and 1010 different people
- assume that there are exactly 5% of customers aged '25', the value for  $a_c$  is 0.05,



•  $\pi_{\text{CustNo}}(\text{Customer})$  -  $\pi_{\text{CustNo}}(\sigma_{\text{Age} = `25'}(\text{Person *} \delta_{\text{CustName} \rightarrow \text{Name},\text{CustAddrss} \rightarrow \text{Address}}(\text{Customer})))$ 





Compute the size of tuptle of Customer

$$r_{customer} = 15 \cdot 8 + 10 + 30 \cdot 8 = 370 \text{ bits}$$

- *Note*: we need 10 bits to store the customer number, if there are 1,000 customers ( $2^{10} = 1,024$ )
- Average size of relation Customer

$$S_{customer} = 1,000 \cdot 370 = 370,000 \text{ bits}$$

Computer the size of tuple Person

$$r_{person} = 15 \cdot 8 + 8 + 30 \cdot 8 = 368 \text{ bits}$$

Average size of a relation over Person:

$$S_{person} = 1,010 \cdot 368 = 371,680 \text{ bits}$$



- For the join node (**node 2**), the probability  $p = \frac{1}{1010}$
- The attributes of the relation resulted from the join are:
- {Name, Address, Age, Customer}

$$r_2 = r_{\text{Name}} + r_{\text{Address}} + r_{\text{Age}} + r_{\text{Customer}}$$
  
=15 \cdot 8 + 30 \cdot 8 + 8 + 10 = 378 bits

Average size of the relation of the join node

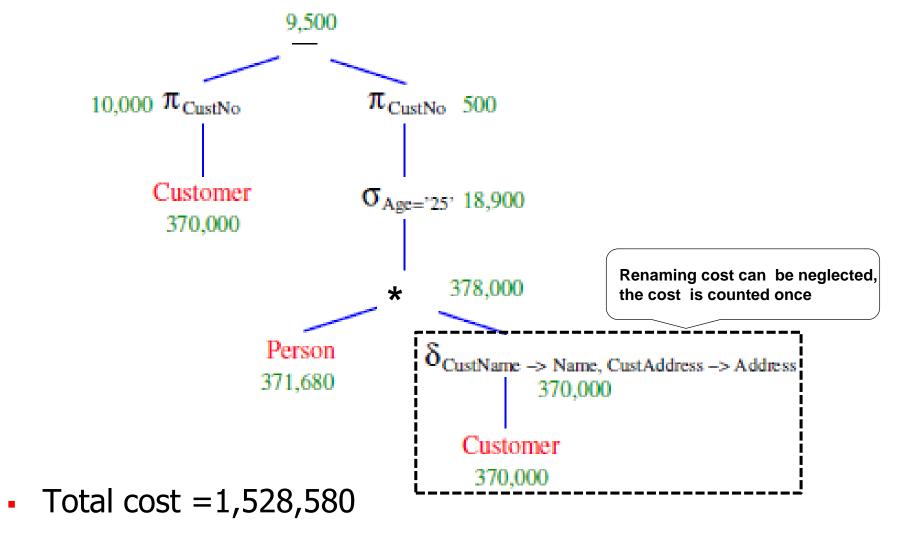
$$S_2 = \frac{S_{person}}{r_{person}} \cdot \frac{1}{1010} \cdot \frac{S_{customer}}{r_{customer}}$$
  $r_2 = 1000 \cdot 378 = 378,000$ bits

- For selection node  $\sigma_{Age = `25'}$  (node 3),  $a_c = 5\%$  $S_3 = 0.05 \cdot 378,000 = 18,900$  bits
- For project node  $\pi_{\text{CustNo}}$  (node 4), C = 0% $S_4 = 18,900 \cdot 10/378 = 500 \text{ bits}$



- For the projection  $\pi_{\text{CustNo}}$  (**node 1**), C = 0% $s_1 = 370,000 \cdot 10/370 = 10,000 \text{ bits}$
- For the difference (**node 5**), p = 5% $s_5 = 10,000 \cdot (1 - 0.05) = 10,000 \cdot 0.95 = 9,500$  bits







## Cost Related Catalog Content

- For the purpose of a query cost estimate, a Catalog should contain following information for each base relation:
  - Number of tuples (= records) n
  - Number of blocks b
  - Blocking factor f (= the number of tuples that fit into one block
  - Available access methods and access attributes:
    - Access methods: sequential, indexed, hashed
    - Access attributes: primary key, indexing attributes,
  - The number of levels h of each index
  - The number of distinct values d of each attribute



#### **Cost Functions of Select Operation**

#### Remark:

Linear search (neither indexes nor hash functions provided)

$$C = b + \lceil s / f \rceil$$
, hence O(n)

- Unique key index (B+-tree):
  - If selection condition is K = k:

$$C = h + 1 + \lceil 1 / f \rceil$$

Hence  $O(\log n)$  – index height h is proportional to  $\log r$ 

If selection condition is  $k_1 \le K \le k_2$  and suppose  $s \le n$  tuples satisfy the condition:

```
Hence O(max{log n, s})
```



## **Cost Functions of Select Operation**

- Secondary index (B+-tree) on secondary key Y
  - $s \le d(Y)$  random tuples satisfy condition Y = y
  - each Y value has a pointer to a sequence of blocks containing up to p pointers to tuples in the data area
  - the height h of the tree is proportional to log (d(Y))

$$C = h + \lceil s/p \rceil + s + \lceil s/f \rceil,$$

Hence O(s)

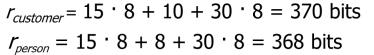
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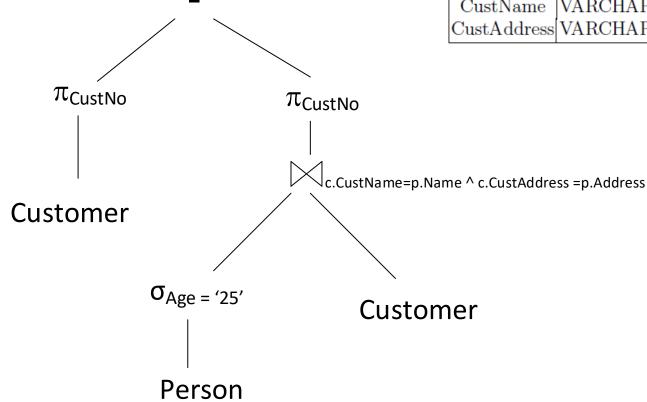
#### **Exercise**

#### Compute the total cost of the following query tree

- Person = {Name, Age, Address} with minimal key {Name, Address}
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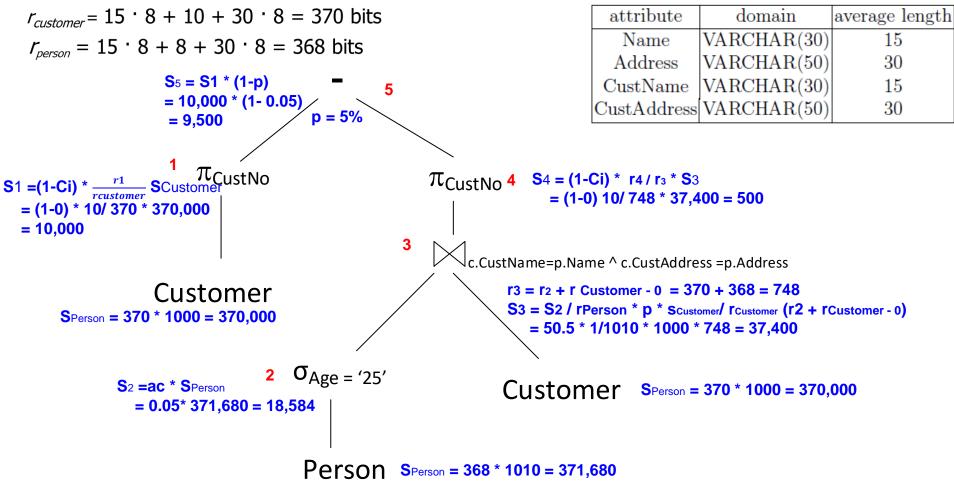




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Total = 1,187,664