

Protocol Standard for GetStock
Fall 2015 Class Project for CNT 4004
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DOCUMENT HISTORY

- 1.0 (October 22, 2015) - First version
- 1.0.1 (October 24, 2015) - Added additional specifications from proposal presentation - sections 1, 2, 4, 5, 6
- 1.1 (October 26, 2015) - Updated message syntax with proposed changes from group discussion - sections 4, 5
- 1.2 (October 27, 2015) - Changed message syntax to comma separated values terminating with semicolon and added return value for nonexistent stock name - section 5
- 1.3 (November 3, 2015) - Added additional clarification for the conditions in which return codes would be received, as well as timeout behavior, to section 6.
- 1.3.1 (November 4, 2015) - Added timing diagrams to section 6.
- 1.3.2 (November 6, 2015) - Clarified sections 5, 6.
- 1.3.3 (November 11, 2015) - Editorial changes and minor clean-up by KJC.
- 1.4 (November 16, 2015) - Appendix added with examples, design criteria added to section 5, syntax and editorial errors corrected.
- 1.5 (November 18, 2015) - Corrections from comments.
 - 1 - Reordered commands in section 4.2.
 - 2 - Added item 5 to section 5 in Design Criteria.
 - 3 - Corrected ranges for valid user names in section 6.
 - 4 - Reordered commands in section 6 and added note at the end.
 - 5 - Added item 5 to section 6 for multiple error clarification.
 - 6 - Added item 4 to section 6 for port number
 - 7 - Corrected invalid parameters examples in section 8.

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1. SERVICE

The service to be provided is an application layer protocol to get stock values from a server using UDP and the least possible overhead. [1] The protocol also provides registration and unregistration capabilities for users.

2. ASSUMPTIONS

The original assumptions [1] for GetStock are:

- 1) May only use UDP (TCP and HTTP may not be used).
- 2) The server and client are reachable from each other.
- 3) The GetStock server contains a table of stock names and values.

Additional assumptions for GetStock are [2]:

- 1) Round Trip Time for communications is no greater than 5 seconds.

3. REQUIREMENTS

The original requirements [1] that GetStock must meet are:

- 1) A user must be able to register with the server using a user name.
 - a) If a user attempts to register with a user name already registered, then a suitable error message must be returned.
- 2) A user must be able to unregister with the server using their user name.
- 3) A registered user must be able to reliably get a single or multiple stock values from the server.
- 4) If a registered user requests a stock value for a stock name that does not exist in the server stock table then a suitable error message must be returned.
- 5) If an unregistered user requests a stock value then a suitable error message must be returned.
- 6) The GetStock protocol must use the least possible bandwidth within reasonable means.

4. LIST OF MESSAGES

1. Messages from client to server:

REG for registering a user name
UNR for unregistering a user name
QUO to request a list of stock quotes from the server

2) Messages from server to client:

ROK for request okay
INC for invalid command
INP for invalid parameters
UAE for user already exists
UNR for user not registered
INU for invalid user name

5. SYNTAX OF MESSAGES

Design Criteria [2]:

- 1) Commands shall be 3 characters long from section 4 part 1.
- 2) Status codes shall be 3 characters long from section 4 part 2.
- 3) User names will be case insensitive alphanumeric characters with ASCII decimal values in the ranges 48-57, 65-90 and 97-122 and be no longer than 32 characters. Examples in section 8 part 3.
- 4) All fields shall be comma delimited, terminating in a semicolon.
- 5) The Server shall return a value of -1 for an invalid quote id request from Client for that stock id only. See example in section 8 part 2.

Client to Server:

Definitions:

command: Message from client to server (list in section 4).

user_name: User name used to register, authenticate request, or unregister.

stock_list: Comma separated list of alphanumeric stock names to get quotes for. Stock names can be from 2-5 characters.

Register User Name (REG):

```
+-----+-----+
| command | user_name |
+-----+-----+
command = REG
```

Unregister User (UNR):

```
+-----+-----+
| command | user_name |
+-----+-----+
command = UNR
```

Request List of Stock Quotes (QUO):

```
+-----+-----+-----+
| command | user_name | stock_list |
+-----+-----+-----+
Command = QUO
```

Server to Client:

Definitions:

status_code: Message from server to client (list in section 4).

quote_list: Comma separated list of ASCII encoded stock quotes for each requested symbol.

Response message for QUO Message:

```
+-----+-----+
| status_code | quote_list |
+-----+-----+
```

Response message for REG and UNR Messages:

```
+-----+
| status_code |
+-----+
```

6. MESSAGE RULES

Design Criteria:

- 1) Users (client) remember the order and quantity of requested stocks.
- 2) Usernames and stock names are case-insensitive.
- 3) Port 1050 will be used for all messages.
- 4) In the case of multiple errors in a message, it is undefined which error code (of the applicable error codes for the errors in the message) should be returned. A single valid error code must be returned by the server.

Response code conditions:

ROK for request OK. Delivered when client message syntax is OK for all command types.

INC for invalid command. Delivered in response to any client-to-server command other than the ones defined above

INP for invalid parameters. Delivered when parameters are not sent in comma-separated format, when additional parameters beyond a username are given in REG or UNR commands, or when no stocks are provided in a QUO command.

UAE for user already exists. Delivered in response to a REG request in which the user name provided has already been registered.

UNR for user not registered. Delivered in response to a UNR or QUO command when the provided user_name parameter does not exist on the server.

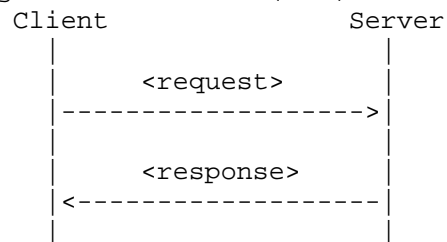
INU for invalid user name. Delivered in response to a REG command where the user_name parameter is longer than 32 characters or includes non-ASCII characters.

Note: All message must be checked for invalid command (INC) first. If this test passes, check for invalid parameter (INP).

Timing diagrams for message flows:

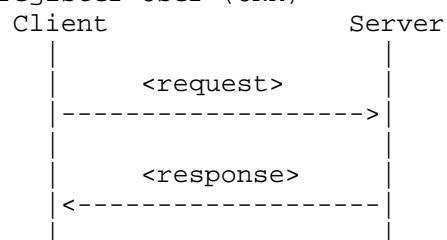
Successful Send and Replies Between Client and Server:

Register User Name (REG):



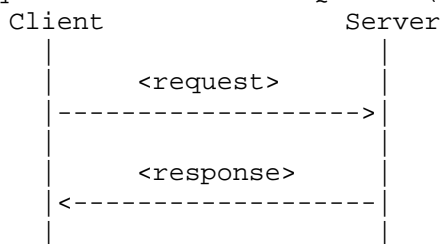
The <request> is a REG command with a valid user name.
 The <reponse> can be ROK, UAE or INU based on the conditions.
 Examples in section 8 part 1.

Unregister User (UNR):



The <reponse> can be ROK or UNR based on the conditions.

Request List of Stock Quotes (QUO):



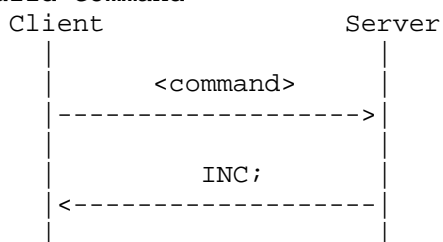
The <request> is a QUO command followed by a comma separated list of valid stock identifiers.

The <reponse> can be ROK,<quote_list>, INP, or UNR based on the conditions.

Examples in section 8 part 2.

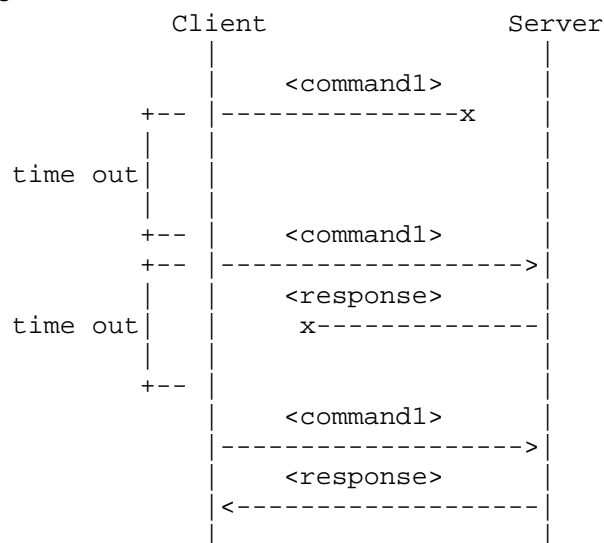
Unsuccessful Send and Replies between Client and Server:

Invalid Command:



The <command> is anything other than the valid commands listed in section 4.

Timeouts:



The <command1> is any valid commands listed in section 4.

The <response> is any response from the server listed in section 4.

Client will send its request, and wait 5 seconds for a response. If it fails to receive a response within that time frame, it will attempt to resend this request two more times, waiting for 5 seconds in each case.

7. LIST OF REFERENCES

[1] K. Christensen, Project for CNT 4004, Fall 2015. URL:
<http://www.cse.usf.edu/~christen/class2/project2.html>

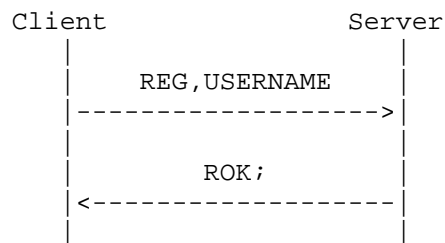
[2] Aziz Batihk and John Casey, Power Point Presentation, October 2015,
URL: <http://www.csee.usf.edu/~christen/class2/hidden/pitch2.pdf>

8. APPENDIX

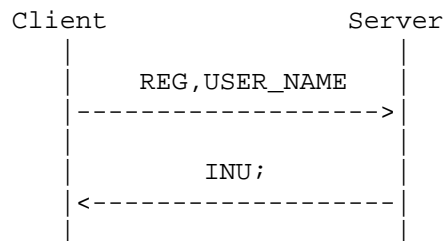
Examples

1. Registering User:

Valid User Name:

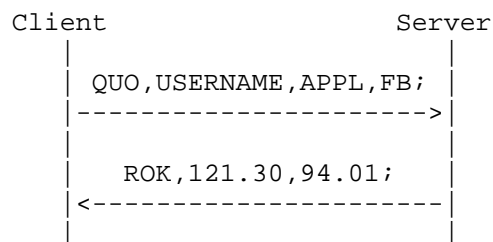
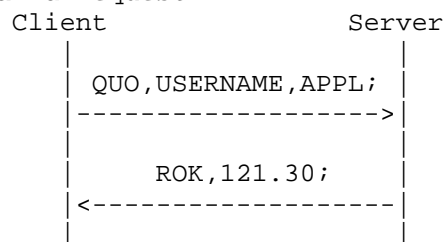


Invalid User Name:

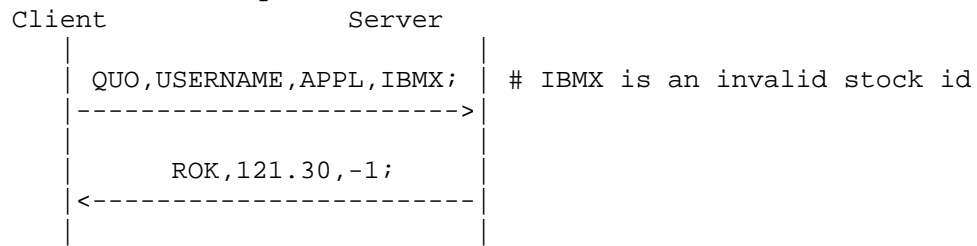


2. Request List of Stock Quotes:

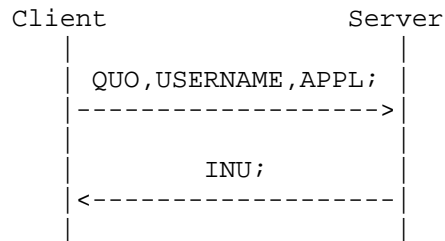
Valid Request:



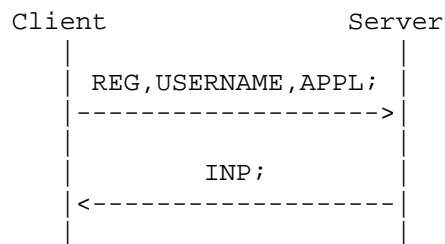
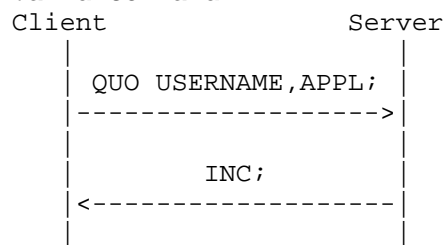
Valid & Invalid Request:



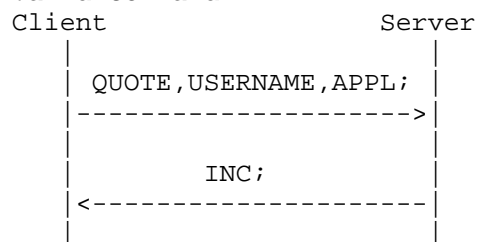
Invalid User Name:



Invalid Command:



Invalid Command:



3. User Names:

Valid	Invalid
JonhDoe	John Doe
DoeJohn	Doe,John
JDALE	JD@LE
3Pigs	

The following are equivalent

janeDoe = JaneDoe = JANEDOE = janedoe

