

# Wettbewerb künstliche Intelligenz in der Medizin

## ECG-DualNet



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### Attention Is All You Need

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## 1. Introduction

- Problem Setting
- Motivation
- Related Work

## 2. Method

- ECG-DualNet
- Augmentation Pipeline
- Training & Validation

## 3. Experiments

- Datasets
- ECG-DualNet Results
- Ablation Study

## 4. Conclusion & Discussion

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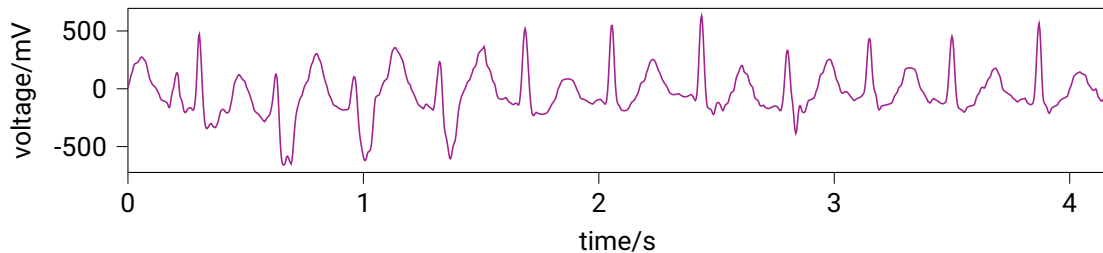
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### Classify single-lead ECG signals with variable length.



**Figure:** ECG signal of the 2017 PhysioNet/CinC Challenge dataset [Clifford et al., 2017] labeled as AF.



- Atrial fibrillation (AF) dangerous and often undetected
- AF one of the most common heart arrhythmia's
- AF can lead to strokes, dementia, and heart failure
- Increasing amount of single-lead ECG edge devices available
  - No expert knowledge typically available
  - Need for automated classification of AF

[Becker, 2006]

[Herold, 2019]

# Introduction

## Motivation



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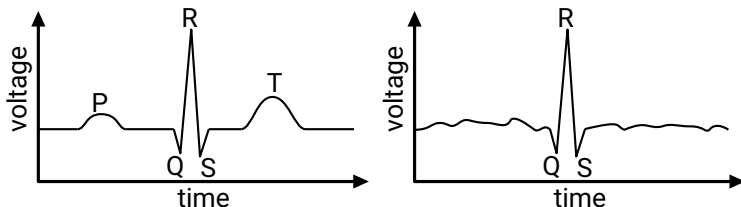


Figure: Regular heart beat left and atrial fibrillation on the right.

# Introduction

## Related Work

**Transitional ML approaches**

**Deep learning approaches**



### Transitional ML approaches

- Preprocessing & Feature extraction
  - Data augmentation
  - ECG timing features
  - Robust interval features
  - Waveform features
- Learnable classifier
  - Random forest
  - Support vector machines
  - XGBoost
- [Hoog Antink et al., 2017,  
Smíšek et al., 2017]

### Deep learning approaches





### Transitional ML approaches

- Preprocessing & Feature extraction
  - Data augmentation
  - ECG timing features
  - Robust interval features
  - Waveform features
- Learnable classifier
  - Random forest
  - Support vector machines
  - XGBoost
- [Hoog Antink et al., 2017, Smíšek et al., 2017]

### Deep learning approaches

- Preprocessing
  - Data augmentation
  - Data conversion (Spectrogram)
- Deep learning classifier
- [Zihlmann et al., 2017, Mousavi et al., 2019, Mashrur et al., 2019, Khriji et al., 2020, Nonaka and Seita, 2020]

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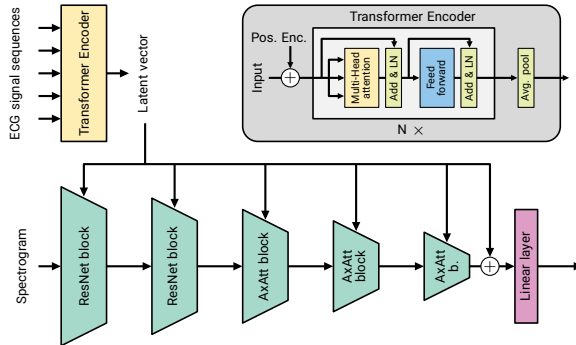
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# Method

## ECG-DualNet(++)

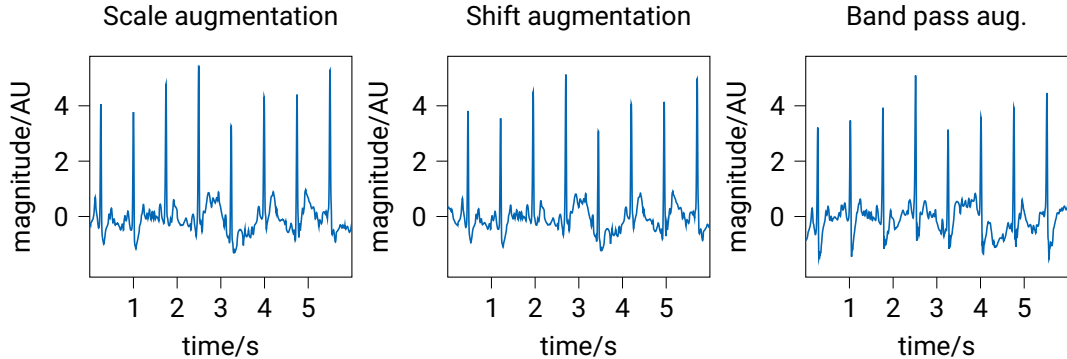


[Vaswani et al., 2017]  
[Wang et al., 2020]  
[Dosovitskiy et al., 2020]  
[de Vries et al., 2017]

Figure: ECG-DualNet++ architecture with signal and spectrogram encoder

# Method

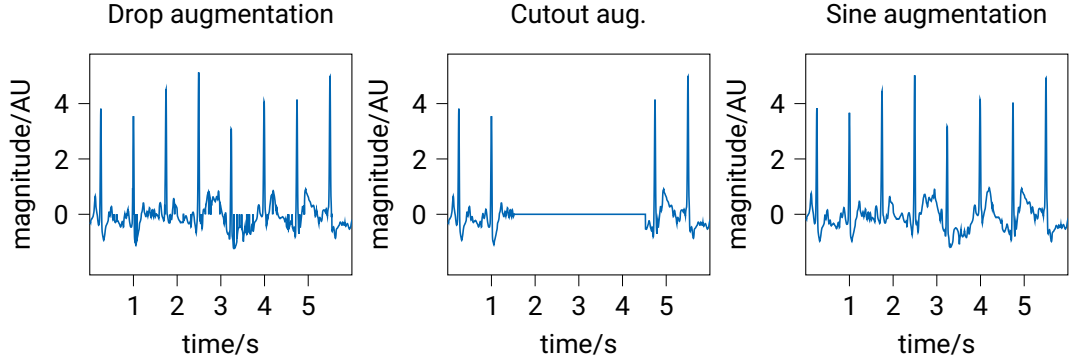
## Augmentation Pipeline



**Figure:** Different augmentations applied to ECG signal of the 2017 PhysioNet/CinC Challenge dataset [Clifford et al., 2017].

# Method

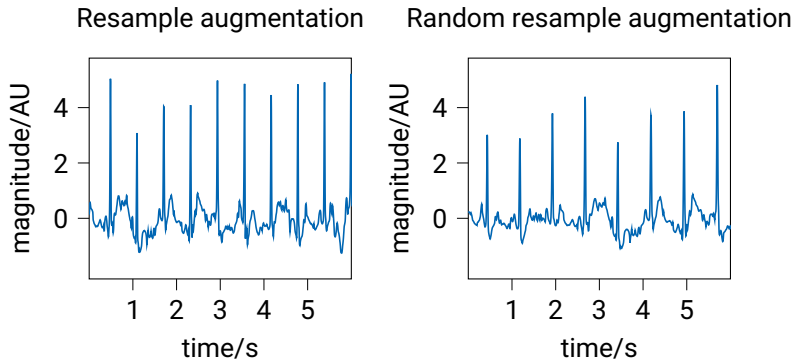
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**Figure:** Different augmentations applied to ECG signal of the 2017 PhysioNet/CinC Challenge dataset [Clifford et al., 2017].

# Method

## Augmentation Pipeline



**Figure:** Different augmentations applied to ECG signal of the 2017 PhysioNet/CinC Challenge dataset [Clifford et al., 2017]. Random resample aug. inspired by 2D random elastic aug. [Simard et al., 2003].



### Training loss (weighted cross entropy loss)

$$\mathcal{L} = -\frac{1}{N} \sum_{j=1}^N \sum_{i=1}^4 \alpha_i y_{ji} \log(\hat{y}_{ji})$$

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### Validation metrics (accuracy & F1)

$$\text{ACC} = \frac{1}{n} \sum_{j=1}^n \delta(\arg \max(\mathbf{y}_j), \arg \max(\hat{\mathbf{y}}_j)), \quad \text{F1} = \frac{1}{4} \sum_{i=1}^4 \frac{2\text{TP}_i}{2\text{TP}_i + \text{FP}_i + \text{FN}_i}$$



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### 2017 PhysioNet/CinC Challenge dataset [Clifford et al., 2017]

- Single-lead ECG signals with variable length (2714 - 18286 samples)
- 8529 publically available data samples (7000 train & 1528 val.)
- Labels include four classes (normal, AF, other & noisy)



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- Single-lead ECG signals with variable length (2714 - 18286 samples)
- 8529 publically available data samples (7000 train & 1528 val.)
- Labels include four classes (normal, AF, other & noisy)

### Icentia11k [Tan et al., 2019]

- Single-lead ECG signals of 11k patients.
- 550k data samples with a length of 1h
- Sparse six class rhythm labels including AF
- Cropped and resampled to match target dataset

# Results

## ECG-DualNet Results

**Table:** Classification results of our proposed approaches and baselines on the 2017 PhysioNet validation set.

| Model                                  | ACC $\uparrow$ | F1 $\uparrow$      | # Parameters |
|--|----------------|--------------------|--------------|
| CNN baseline* [Zihlmann et al., 2017]  | 0.812          | 0.790 <sup>†</sup> | ~ 3.5M       |
| CRNN baseline* [Zihlmann et al., 2017] | 0.823          | 0.792 <sup>†</sup> | ~ 3.5M       |
| ECG-DualNet S                          | 0.8527         | 0.8049             | 1.8M         |
| ECG-DualNet M                          | 0.8560         | 0.7938             | 4.3M         |
| ECG-DualNet L                          | 0.8514         | 0.8038             | 6.2M         |
| ECG-DualNet XL                         | <b>0.8612</b>  | <b>0.8164</b>      | 20.7M        |
| ECG-DualNet++ S                        | 0.8174         | 0.7291             | 1.8M         |
| ECG-DualNet++ M                        | 0.8259         | 0.7730             | 2.6M         |
| ECG-DualNet++ L                        | 0.8449         | 0.7859             | 3.7M         |
| ECG-DualNet++ XL                       | 0.8593         | 0.8051             | 8.2M         |
| ECG-DualNet++ 130M                     | 0.8534         | 0.7963             | 128M         |

\* Reported literature values (private PhysioNet test set utilized).

<sup>†</sup> F1 score computed over three classes, thus not directly comparable.

# Results

## ECG-DualNet Results Pre-Training

**Table:** Classification results of our proposed approaches on the lcentia11k validation set. Only a single training for each model run was conducted.

| Model            | ACC $\uparrow$ | F1 $\uparrow$ |
|------------------|----------------|---------------|
| ECG-DualNet XL   | 0.8989         | 0.5135        |
| ECG-DualNet++ XL | 0.8899         | 0.5017        |

# Results

## ECG-DualNet Results Pre-Training

**Table:** Classification results of our proposed approaches on the Icentia11k validation set. Only a single training for each model run was conducted.

| Model            | ACC $\uparrow$ | F1 $\uparrow$ |
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| ECG-DualNet XL   | 0.8989         | 0.5135        |
| ECG-DualNet++ XL | 0.8899         | 0.5017        |

**Table:** Classification results of our proposed approaches on the 2017 PhysioNet validation set and pre-trained on the Icentia11k dataset. Differences to values of no pre-training results in red.

| Model            | ACC $\uparrow$                | F1 $\uparrow$                 |
|------------------|-------------------------------|-------------------------------|
| ECG-DualNet XL   | 0.8468 ( $\downarrow$ 0.0144) | 0.8014 ( $\downarrow$ 0.0150) |
| ECG-DualNet++ XL | 0.8481 ( $\downarrow$ 0.0112) | 0.7817 ( $\downarrow$ 0.0234) |

# Results

## Ablation Study



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**Table:** Classification results on the 2017 PhysioNet validation for different ablations. ECG-DualNet L configuration utilized.

| Data aug. & dropout | Signal encoder | Spectrogram encoder | ACC ↑         | F1 ↑          |
|---------------------|----------------|---------------------|---------------|---------------|
| ✗                   | ✓              | ✓                   | 0.8272        | 0.7493        |
| ✓                   | ✗              | ✓                   | 0.8440        | 0.7855        |
| ✓                   | ✓              | ✗                   | 0.7264        | 0.5813        |
| ✓                   | ✓              | ✓                   | <b>0.8560</b> | <b>0.7938</b> |

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## Achievements

- Presented the novel ECG-DualNet for ECG classification
- Proposed an advanced augmentation pipeline
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## Observations

- Highly overparameterized models (ECG-DualNet++ 130M) does not overfit in the classical sense → Deep Double Descent [[Nakkiran et al., 2020](#)] ?
- Spectrogram encoder is the most crucial part of ECG-DualNet
- Extensive pre-training on the lcentia11k does not lead to performance benefits on the target 2017 PhysioNet dataset



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Code, weights, and paper are available at:  
[github.com/ChristophReich1996/ECG\\_Classification](https://github.com/ChristophReich1996/ECG_Classification)



## Atrial Fibrillation Classification in Electrocardiography using Deep Learning

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Technische Universität Darmstadt  
<sup>1</sup>christoph.reich@ies.tu-darmstadt.de

**Abstract**—The evaluation of electrocardiogram recordings is the most common approach to diagnose and monitor cardiac arrhythmias such as atrial fibrillation. The electrocardiogram evaluation typically requires expert knowledge, which is not always available. We present a novel approach for the automated classification of atrial fibrillation in electrocardiogram recordings with variable length. Our deep learning approach utilizes both input data in the time and frequency domains. The performance of the proposed dual network for ECG classification (ECG-DualNet) is showcased on the 2017 PhysioNet/Challenge dataset. ECG-DualNet outperforms recent Convolutional Neural Network approaches in terms of classification accuracy. Code and trained models are available at [https://github.com/ChristophReich1996/ECG\\_Classification](https://github.com/ChristophReich1996/ECG_Classification).

**Index Terms**—deep learning, attention, arrhythmia classification, atrial fibrillation classification, electrocardiography.

### I. INTRODUCTION

Electrocardiography (ECG) is the most important tool for the diagnosis and the monitoring of cardiac arrhythmias [1]–[3]. The first recorded human heartbeat dates back to the late 19th century [4]. Today 12-lead ECGs are the common standard [5]. The analysis of ECG recordings, especially the detection of cardiac arrhythmia [1]. However, in recent years edge devices like smart watches became popular. These devices must often include a single-lead ECG sensor. Analyzing such signals requires expert knowledge, which is typically not available as an edge device settings. Since a fast and accurate diagnosis of cardiac arrhythmia in single-lead ECG signals can positively affect a patient's chance of survival, an increasing interest in the automated detection of cardiac arrhythmia occurs [1], [6].

The most common human cardiac arrhythmia is atrial fibrillation (AF) (Fig. 1) [7]. AF mostly affects patients at an

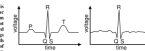


Fig. 1. Repetitive heart beats with QRS complex, P wave, and T wave on the left and atrial fibrillation on the right. AF can be detected by the missing ECGs in the P and T wave regions. Please note that this is only a theoretical illustration. Real ECGs of AF can be seen in the appendix.

proposed ECG-DualNet surpasses the classification accuracy of recent convolutional neural network (CNN) approaches which only utilize input data from the frequency domain [5].

### II. RELATED WORK

Recent approaches for the task of AF classification in ECG recordings can be clustered into two groups. First classical machine learning approaches that typically extract features, first and classify them in a second learnable step [4], [8]. Hong Kattala et al. [8] proposed an approach that first extracts ECG timing features, robust interval features, and waveform features [4]. All extracted features are fed into a learned random forest for classification [4]. Other approaches perform similar feature extractions but utilize different learnable classification methods, such as support vector machines [9]. These approaches, however, require a lot of domain knowledge to extract relevant features. Additionally, hand-crafted feature extraction approaches are often complicated and error-prone to implement.

# Challenge Submission

## Backup

- ECG-DualNet XL utilized
- Pre-training on Icentia11k
- Optimized training (8000 samples) and validation (528 samples) split used

**Table:** Classification results of ECG-DualNet XL pre-trained on the Icentia11k dataset and fine-tuned on the PhysioNet dataset with optimized submission split. Metric computed on the small validation set. Four class results on the top and two class results below.

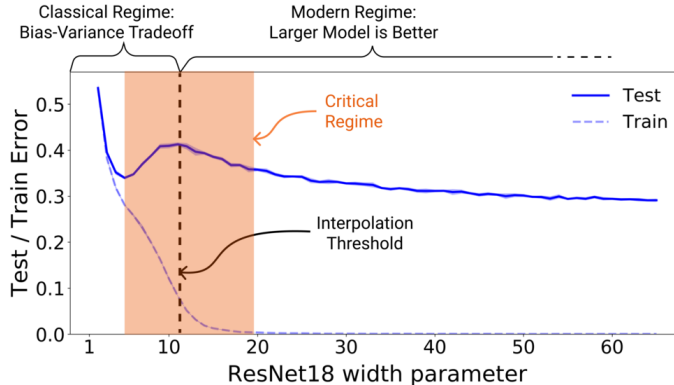
| Model                    | ACC ↑  | F1 ↑   |
|--------------------------|--------|--------|
| ECG-DualNet XL (4 class) | 0.8840 | 0.8549 |

| Model                    | ACC ↑  | F1 ↑   |
|--------------------------|--------|--------|
| ECG-DualNet XL (2 class) | 0.9867 | 0.9684 |

# Deep Double Decent

## Backup



**Figure:** Illustration of the deep double decent phenomenon in image classification (CIFAR-10 & 15% label noise). Image taken from [Nakkiran et al., 2020].