Binary Semantic Segmentation Lab2 Report

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Lab 2 Introduction

Binary Semantic Segmentation models typically classify each pixel in an image into two categories: 'foreground' and 'background'. They are commonly used in applications where a clear distinction between target objects and non-target areas is required, such as lesion segmentation in medical images or road area recognition.

In this assignment, the task is to identify the locations of animals in images. The model's prediction is a matrix containing only 0s and 1s, where 1 indicates that the model judges the location as an object and 0 represents the background.

In this experiment, two Binary Semantic Segmentation models (UNet and ResNet34+UNet) are trained using the Oxford-IIIT Pet Dataset. The Dice Score is used as the accuracy metric — the higher the score, the more precise the prediction.

1 Implementation Details

1.1 Training, Evaluation, and Inference Process

1.1.1 Training Process

During the training phase, the training and validation datasets are created using the <code>load_dataset</code> function in <code>oxford_pet.py</code> and processed in batches using <code>DataLoader</code>. The program first declares the model architecture (UNet or ResNet34_UNet) based on the selection, then employs the Adam optimizer, loss functions (Binary Cross Entropy combined with Dice Loss or Tversky Loss), and tools such as <code>pandas</code> and <code>tqdm</code> to record the training progress.

For each epoch, the loss and Dice score are computed and accumulated. During the validation phase, the model weights corresponding to the highest Dice Score are saved, and the training and validation results are recorded in an Excel file (model_performence.xlsx) for later graph analysis.

Train.py code is shown in Figure 1.

```
def train(args):
    # Load datasets
    train_dataset = load_dataset(args.data_path, mode="train")
    valid_dataset = load_dataset(args.data_path, mode="valid")
    train_loader = DataLoader(train_dataset, batch_size=args.batch_size, shuffle=True)
    valid_loader = DataLoader(valid_dataset, batch_size=1, shuffle=False)

# Build model
    if args.model = "unet":
        | model = UNetModel(in_channels=3, out_channels=1).to(args.device)
        else:
        | model = ResNet34_UNet(in_channels=3, out_channels=1).to(args.device)

        optimizer = optim.Adam(model.parameters(), lr=args.learning_rate)

# Create a list to record performance per epoch
        performance_records = []
```

```
proch_losses, epoch_dices = [], []
epoch_start_time = time.time()
progress_bar = tqdm(enumerate(train_loader), total=len(train_loader), bar_format='{l_bar}{bar}')
             for i, batch in progress_bar:
    images = batch["image"].to(args.device)
    masks = batch["mask"].to(args.device)
    optimizer.zero_grad()
                            loss = compute_loss(outputs, masks, loss_type=args.loss_type) loss.backward()
                            epoch_losses.append(loss.item())
                          progress_bar.set_description(
                                            f"Epoch \{epoch\}/\{args.epochs\} \mid Loss: \{loss.item():.4f\} \mid Dice: \{epoch\_dices[-1]:.4f\} \mid Iter: \{i+1\}/\{len(train\_loader)\} \mid Loss: \{loss.item():.4f\} \mid Dice: \{epoch\_dices[-1]:.4f\} \mid Iter: \{i+1\}/\{len(train\_loader)\} \mid Loss: \{loss.item():.4f\} \mid Dice: \{epoch\_dices[-1]:.4f\} \mid Iter: \{i+1\}/\{len(train\_loader)\} \mid Loss: \{loss.item():.4f\} \mid Dice: \{epoch\_dices[-1]:.4f\} \mid Iter: \{i+1\}/\{len(train\_loader)\} \mid Dice: \{epoch\_dices[-1]:.4f\} \mid Iter: \{i+1\}/\{len(train\_loader)\} \mid Dice: \{epoch\_dices[-1]:.4f\} \mid Iter: \{i+1\}/\{len(train\_loader)\} \mid Dice: \{epoch\_dices[-1]:.4f\} \mid Dice: \{epoch\_dices[-1]:.
 val_losses, val_dices = evaluate(model, valid_loader, args.device, loss_type=args.loss_type)
avg_train_loss = np.mean(epoch_losses)
avg_val_loss = np.mean(val_losses)
 avg train dice = np.mean(epoch dices)
  print(f"Epoch {epoch} => Train Loss: {avg_train_loss:.4f}, Train Dice: {avg_train_dice:.4f} | Valid Loss: {avg_val_loss:.4f}, Valid Dice: {avg_val_dice:.4f}")
                "Epoch": epoch,
"Train Loss": avg_train_loss,
"Train Dice": avg_train_dice,
"Valid Loss": avg_val_loss,
"Valid Dice": avg_val_dice
              avg_val_dice > 0.9 and avg_val_dice > 0est_dice
best_dice = avg_val_dice
save_dir = os.path.join("..", "saved_models")
if not os.path.exists(save_dir):
               model_path = os.path.join(save_dir, f"{args.model}.pth")
torch.save(model.state_dict(), model_path)
   outputs_dir = "outputs
         not os.path.exists(outputs_dir):
os.makedirs(outputs_dir)
 new columns = {
                          _conumns = {
"Train Loss": "ResNet34_Unet Train Loss",
"Train Dice": "ResNet34_Unet Train Dice",
"Valid Loss": "ResNet34_Unet Val Loss",
"Valid Dice": "ResNet34_Unet Val Dice"
df_new = df_new.rename(columns=new_columns)
df_new.set_index("Epoch", inplace=True)
   if os.path.exists(output_excel):

df_existing = pd.read_excel(output_excel)

df_existing.set_index("Epoch", inplace=True)

advans_corresponding to the curi
             for col in new_columns.values():
df_existing[col] = df_new[col]
            # Also add new epochs if any exist in df_new but not in df_existing df_merged = df_existing.combine_first(df_new)
 df_merged.reset_index(inplace=True)
df_merged = df_merged[desired_columns
```

Figure 1: Train.py code.

1.1.2 Evaluation Process

In evaluation, the model is set to evaluation mode (which turns off BatchNorm updates and dropout), and torch.no_grad() is used to save memory.

During evaluation, loss (using BCE combined with Dice or Tversky loss) and Dice scores are computed for each batch, and the final performance indicator is the average of these scores.

```
mport numpy as np
import torch
import torch.nn as nn
from utils import compute_dice_score, compute_loss
def evaluate(model: nn.Module, dataloader, device: torch.device, loss_type: str = 'bce_dice'):
   model.eval()
   losses, dice_scores = [], []
           images = batch["image"].to(device)
           masks = batch["mask"].to(device)
           pred_masks = model(images)
            loss = compute_loss(pred_masks, masks, loss_type=loss_type)
            losses.append(loss.item())
           dice_scores.append(compute_dice_score(pred_masks, masks))
   avg_loss = np.mean(losses)
    avg_dice = np.mean(dice_scores)
    return losses, dice_scores
```

Figure 2: Evaluation process.

1.1.3 Inference Process

During inference, based on the called model name ('unet.pth' or 'resnet34_unet.pth'), the corresponding pretrained model is loaded. Then, inference is performed on the test dataset by calculating the Dice score for each sample, and finally, the average Dice score for the test set is output.

Figure 3: Inference process.

1.2 Model Architecture and Design Details

1.2.1 UNet Model

The UNet architecture adopts a classic encoder–decoder structure. The encoder consists of multiple layers of double convolution blocks, as shown in Figure 4.

Figure 4: Double convolution blocks.

There are a total of four blocks, with downsampling performed between layers via max pooling. The bottleneck layer in the middle further abstracts high-level features.

The decoder uses transposed convolutions for upsampling and fuses these with the corresponding skip connections. Finally, a 1×1 convolution outputs the segmentation mask, and a Sigmoid function maps the values to the [0,1] range. UNet model process is shown in Figure 5.

```
self.encoders.append(ConvBlock_Double(in_channels, feature))
       in_channels = feature # update in_channels for the next layer
   reversed_features = features[::-1]
   for feature in reversed_features:
       self.decoders.append(
          nn.ConvTranspose2d(feature * 2, feature, kerne1_size=2, stride=2)
       self.decoders.append(ConvBlock_Double(feature * 2, feature))
self.final = nn.Sequential(
    nn.Conv2d(features[0], out_channels, kernel_size=1),
    nn.Sigmoid()
skip_connections = []
for encoder in self.encoders:
    x = encoder(x)
    skip_connections.append(x)
    x = self.pool(x)
x = self.bottleneck(x)
for decoder in self.decoders:
        x = decoder(x)
        x = torch.cat((x, skip_connections.pop()), dim=1)
return x
```

Figure 5: UNet.

1.2.2 ResNet34_UNet Model

In my ResNet34_UNet model, I first use the basic module from ResNet34, called BasicBlock, as the core building block to form the encoder. Each BasicBlock consists of two 3×3 convolutional layers, with each convolution followed by batch normalization and a ReLU activation. Since ResNet34 requires downsampling in some layers, whenever the input and output sizes or the number of channels do not match, the BasicBlock applies a 1×1 convolution (with batch normalization) as a shortcut to reduce the dimensions. This shortcut connection helps prevent the vanishing gradient problem and captures multi-scale features more effectively.

```
__init__(self, in_channels, out_channels, stride=1):
                          padding=1, bias=False)
    self.bn1 = nn.BatchNorm2d(out_channels)
                          padding=1, bias=False)
    self.bn2 = nn.BatchNorm2d(out_channels)
    self.downsample = None
    if stride != 1 or in_channels != out_channels:
        self.downsample = nn.Sequential
           nn.Conv2d(in_channels, out_channels, kernel_size=1, stride=stride, bias=False),
            nn.BatchNorm2d(out_channels)
def forward(self, x):
   identity = x
   out = self.relu(out)
   out = self.bn2(out)
    if self.downsample is not None:
       identity = self.downsample(x)
```

Figure 6: BasicBlock in ResNet34_UNet model.

Next, I define a function called make_layer to stack multiple BasicBlocks. In this function, the first BasicBlock uses a larger stride (for example, stride = 2) to perform downsampling, while the remaining blocks use a stride of 1, focusing only on convolution operations. This approach follows the original ResNet34 configuration (with 3, 4, 6, and 3 BasicBlocks in each respective layer) to build the encoder.

```
def make_layer(block, in_channels, out_channels, blocks, stride):
   layers = []
   layers.append(block(in_channels, out_channels, stride))
   for _ in range(1, blocks):
       layers.append(block(out_channels, out_channels, stride=1))
   return nn.Sequential(*layers)
class ConvBlock_Double(nn.Module):
   def __init__(self, in_channels, out_channels):
       self.double_conv = nn.Sequential(
          nn.Conv2d(in_channels, out_channels, kernel_size=3, padding=1, bias=False),
          nn.BatchNorm2d(out_channels),
          nn.ReLU(inplace=True),
          nn.Conv2d(out_channels, out_channels, kernel_size=3, padding=1, bias=False),
          nn.BatchNorm2d(out_channels),
           nn.ReLU(inplace=True)
       return self.double_conv(x)
```

Figure 7: The subfunction.

In the initial part of ResNet34_UNet, a 7×7 convolution (stride = 2, padding = 3) is used first, followed by batch normalization, ReLU, and a 3×3 max pooling. This section serves as the pre-module of ResNet34 for initial low-level feature extraction and spatial downsampling.

Then, the model moves into the encoder section, which mainly uses the BasicBlock. As mentioned before, when the sizes or channels do not match between convolution layers, a 1×1 convolution is applied to ensure that the features can be properly added together. The encoder is divided into four levels according to the ResNet34 configuration. The first level keeps the same size and channel count, while the subsequent levels progressively reduce the spatial dimensions and increase the number of channels, ultimately reducing the image to an 8×8 size with 512 channels.

```
class UpBlock(nn.Module):
    def __init__(self, in_channels, skip_channels, out_channels):
        super(UpBlock, self).__init__()
        # Transposed convolution to upsample the feature map
        self.up = nn.ConvTranspose2d(in_channels, out_channels, kernel_size=2, stride=2)
        # Double convolution after concatenating the skip connection
        self.conv = ConvBlock_Double(out_channels + skip_channels, out_channels)

def forward(self, x, skip):
        x = self.up(x)
        x = torch.cat([skip, x], dim=1)
        x = self.conv(x)
        return x
```

Figure 8: Up block.

```
class ResNet34_UNet(nn.Module):
    def __init__(self, in_channels=3, out_channels=1):
        super(ResNet34_UNet, self).__init__()
        # Initial convolutional layer: 7x7 conv with stride 2 then maxpool
        # Input: 256x256 -> convl output: 128x128 with 64 channels
        self.convl = nn.Conv2d(in_channels, 64, kernel_size=7, stride=2, padding=3, bias=False)
        self.bnl = nn.BatchNorm2d(64)
        self.relu = nn.ReLU(inplace=True)
        # MaxPool reduces the resolution to 64x64
        self.maxpool = nn.MaxPool2d(kernel_size=3, stride=2, padding=1)

# Encoder: ResNet layers
        self.layer1 = make_layer(BasicBlock, 64, 64, blocks=3, stride=1) # Output: 64, 64x64
        self.layer2 = make_layer(BasicBlock, 64, 128, blocks=4, stride=2) # Output: 128, 32x32
        self.layer3 = make_layer(BasicBlock, 128, 256, blocks=6, stride=2) # Output: 256, 16x16
        self.layer4 = make_layer(BasicBlock, 256, 512, blocks=3, stride=2) # Output: 512, 8x8
```

Figure 9: Encoder structure.

After the encoder, a ConvBlock_Double is used to further process the feature map from layer4, keeping the channel number at 512. This helps to lower the computational load while integrating high-level features.

In the decoder, UpBlock modules are used (as shown in Figure 8). Each UpBlock first upsamples the feature map using a transposed convolution and then directly concatenates it with the corresponding features from the encoder. After concatenation, a double convolution block is applied to fuse the features, gradually restoring the resolution. This process is repeated until the original input size is reached. Finally, a 1×1 convolution is used to generate the segmentation mask, and a Sigmoid function maps the output to the range [0,1] for the final segmentation result.

Figure 10: Architecture in ResNet34_UNet model.

1.2.3 Loss Function

In the experiments, BCE combined with Dice Loss or Tversky Loss is used as the loss function to balance the imbalance between foreground and background. Experiments showed that for this dataset, BCE with Dice Loss works better, which may be related to the larger proportion of objects present.

This loss design considers both pixel-level accuracy and region-level overlap, effectively addressing the severe imbalance between foreground and background.

Figure 11: Loss function: BCE with Dice Loss or Tversky Loss.

```
def compute_tversky_loss(pred_mask: torch.Tensor, gt_mask: torch.Tensor, alpha: float = 0.5, beta: float = 0.5, eps: float = 1e-6) -> torch.Tensor: pred_flat = pred_mask.view(-1) gt_flat = gt_mask.view(-1) gt_flat * gt_flat).cum() flat = gt_mask.view(-1) gt_flat * gt_flat).cum() flat = (1 - gt_flat) * pred_flat).sum() flat = (1 - gt_flat) sum() flat = (1 - g
```

Figure 12: Choose: BCE with Dice Loss or Tversky Loss.

1.2.4 Model Performance Chart (graph.py)

During training, the code records the performance of each epoch and finally outputs the results to an Excel file (model_performence.xlsx). This file is then used by graph.py to generate trend charts for loss and accuracy (Dice score) and to calculate the minimum, maximum, initial, and final percentage changes of the metrics.

2 Data Preprocessing

2.1 Data Augmentation and Transformation

I used the transforms provided by the torchvision package for data augmentation, which integrates well with the PyTorch ecosystem, is highly efficient, and supports parallel processing. However, since torchvision lacks direct support for synchronous transformation of images and masks, I customized two transformation functions (train_transform and valid_transform) using torchvision.transforms.functional to manually perform geometric transformations, ensuring that the image and mask are transformed in sync. Note that color adjustments (e.g., ColorJitter) are applied only to the image.

Training Phase (train_transform):

- Convert the numpy array to a PIL image for further processing.
- Apply random horizontal flip, and random resizing with cropping (RandomResizedCrop) to enhance
 the model's robustness to scale and position changes.
- Apply random affine transformations (simulating shift, scale, and rotation) to further increase data diversity.
- Use Color Jitter to adjust the image's brightness, contrast, saturation, and hue.
- Finally, convert the image to a tensor and perform normalization (based on ImageNet's mean and standard deviation), and convert the mask to a tensor while adding a channel dimension.

Validation and Testing Phase (valid_transform):

• Only adjust the image size and perform normalization.

2.2 What Makes Your Method Unique?

Among the unique approaches, I customized a synchronous augmentation function that ensures the image and its corresponding mask remain aligned during geometric transformations. Additionally, I added ColorJitter to increase data diversity by adjusting the image's HSI without changing the positions of objects, improving the model's generalization ability.

3 Analyze the Experiment Results

3.1 Experiment Setup and Hyperparameter Tuning

Experiment model hyperparameters:

• Epochs: Preset to 200.

• Batch size: Set based on GPU memory (e.g., 32).

• Learning rate: Set to 1×10^{-3} .

• Loss function: Choose 'bce dice' or 'bce tversky' depending on the experiment.

3.2 Observations and Analysis

From the experimental results, it can be seen that ResNet34_UNet improves more rapidly in the early stages of training, indicating that its structure helps quickly capture multi-scale features. However, in the later stages, UNet shows a slightly higher Mean Dice Score on the training dataset, with the difference between the two being about 1%, while on the validation set the difference is minimal. This suggests that ResNet34_UNet may have better generalization ability.

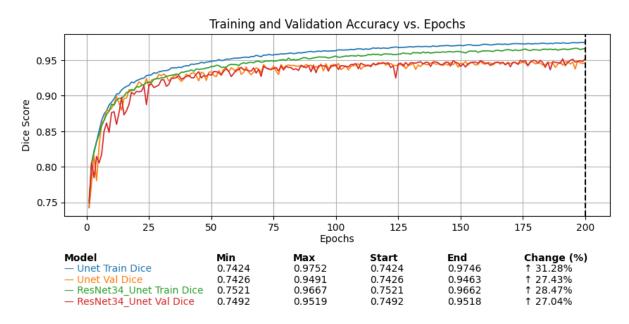


Table 1: Observations from dice chart.

Furthermore, as shown in Table 2, UNet generally outperforms ResNet34_UNet in terms of training loss, but during the later validation stages an upward trend is observed — overfitting appears around epoch 120. This aligns with our inference that the generalization ability of the ResNet34_UNet architecture might be better.

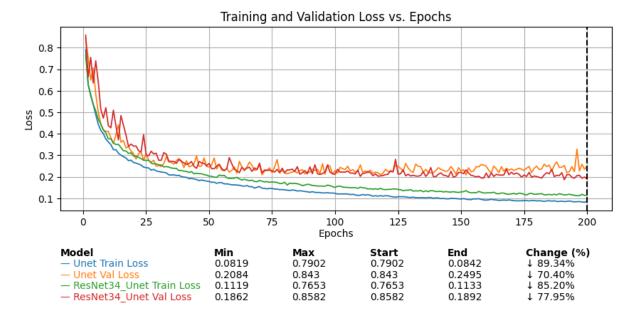


Table 2: Observations from loss chart.

3.3 Inference Results

The experimental results are presented in Table 3. We can observe that the difference in performance on the testing dataset between the two models is minimal, with both achieving a Mean Dice Score of about 0.94, although ResNet34_UNet performs slightly better during testing.

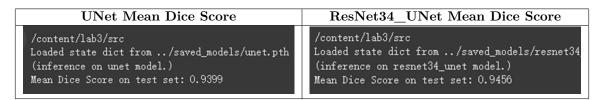


Table 3: Inference results on testing dataset.

3.4 Further Observations and Analysis

The experimental results in the table above show that each model has its own advantages and disadvantages. When the target object and background have similar tones, the UNet model tends to mistakenly classify that area as background; in contrast, ResNet34_UNet is better at fully recognizing and covering target objects that have tones similar to the background. Additionally, ResNet34_UNet does not perform as well as UNet for irregular objects, but it shows relatively better performance for smoother objects.





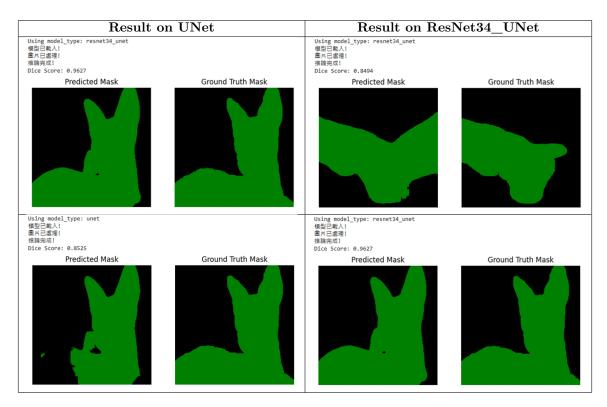


Table 4: Inference results comparison.

3.3 Controversies Regarding Deep Residual Networks (ResNet)

In the field of deep learning, ResNet (Deep Residual Learning for Image Recognition) has rapidly become a mainstream model in many image tasks since its proposal in 2015. However, despite its significant practical achievements, there remain many controversies in both academia and industry regarding its internal mechanisms.

- Some researchers believe that ResNet's ability to train very deep neural networks is mainly due to its 'residual connections', which improve gradient propagation. According to this view, in backpropagation, traditional deep networks may struggle to update parameters due to gradients diminishing (or exploding) layer by layer; residual connections provide a shortcut for gradients to pass directly to shallower layers, thereby improving training stability.
- However, the original ResNet paper indicates that thanks to the use of Batch Normalization (BN), the signals in both forward and backward propagation remain within a healthy range. Thus, the degradation problem is not solely due to vanishing gradients. Simply stating that 'residual connections improve gradient flow' does not fully explain why deeper networks achieve better performance.

My view is that while residual connections do help alleviate gradient issues to a certain extent, this is only part of the explanation. In fact, they also change the network's loss landscape, making the optimization process less likely to get stuck in poor local minima. In other words, the residual structure not only helps the gradient 'flow back' but also makes it easier for the network to learn subtle adjustments — learning the 'residual' rather than directly approximating the target mapping.

Although there is still some debate about the detailed internal mechanisms, both engineering practice and extensive experimental results have fully demonstrated the value of residual connections. This structure allows very deep networks to be successfully trained and to outperform traditional models in various applications. In the future, as theoretical research advances, we may be able to understand these phenomena more comprehensively from a mathematical and physical perspective, but for now, the empirical results have clearly proven the value of residual connections.

4 Execution Steps

4.1 Execution Commands and Parameter Settings (Training)

• model: unet or resnet34_unet

• device: cpu or cuda

• data_path: '../dataset/oxford-iiit-pet/' (default)

• epochs: 200 (default)

• batch_size: 32 (default)

• learning_rate: 1×10^{-3} (default)

• loss_type: bce_dice or bce_tversky

4.2 Execution Commands and Parameter Settings (Testing)

• model: unet.pth or resnet34 unet.pth

• device: cpu or cuda

• data_path: '../dataset/oxford-iiit-pet/' (default)

• batch size: 1 (default)

• learning_rate: 1×10^{-3} (default)

5 Discussion

5.1 Exploration of Alternative Architectures

In the future, besides the current UNet and ResNet34_UNet architectures, alternative architectures such as DeepLabv3, PSPNet, or Attention UNet can be explored. DeepLabv3/DeepLabv3+ employ atrous convolution to capture a broader receptive field, enabling more effective multi-scale feature learning; PSPNet utilizes a Pyramid Pooling Module to extract global features, which helps mitigate the lack of contextual information in images; and Attention UNet leverages an attention mechanism to automatically emphasize important target regions, thereby further enhancing segmentation accuracy and performance.

5.2 Potential Research Directions

Regarding data augmentation, more advanced techniques such as CutMix, MixUp, or GAN-based data generation methods could be introduced to further improve the model's generalization ability. In addition, exploring model fusion and ensemble learning strategies—by integrating predictions from different architectures—may further enhance the stability and accuracy of segmentation results. Moreover, incorporating attention mechanisms or designing more refined multi-scale feature fusion strategies could enable the model to capture more detailed information, ultimately improving segmentation performance, particularly along boundary regions.

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