# Chapter 4: Multithreaded Programming

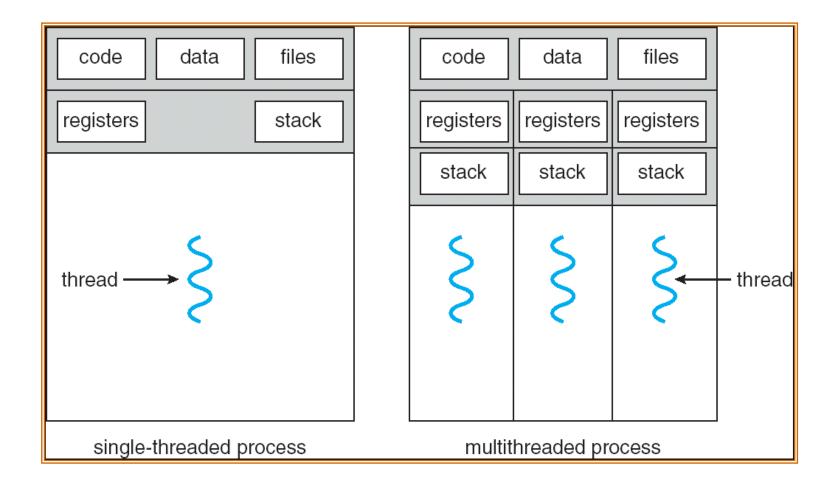
Prof. Li-Pin Chang CS@NYCU

## Chapter 4: Multithreaded Programming

- Overview
- Multithreading Models
- Thread Libraries
- Threading Issues
- Operating-System Examples

# **OVERVIEW**

## Single and Multithreaded Processes

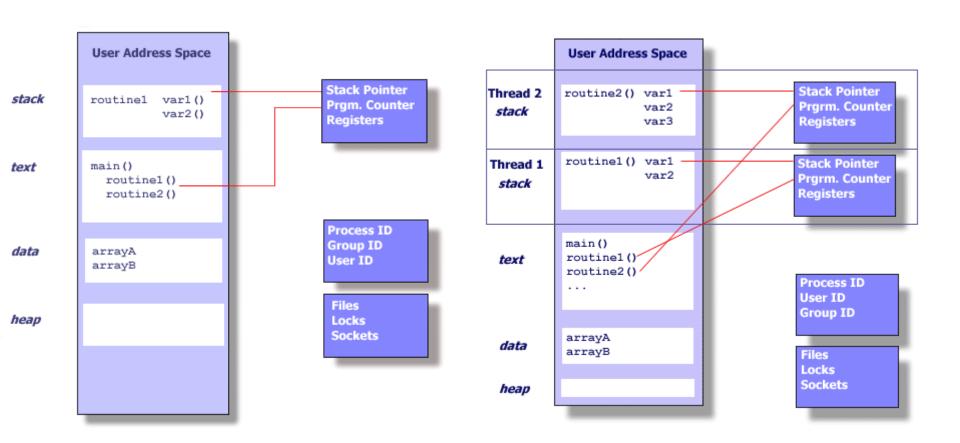


•A process is a "container" of all its threads

#### Benefits

- Responsiveness
  - A thread accepts UI inputs while another does computation
- Resource Sharing
  - To share code and most of the data structures
- Economy
  - A thread is a lightweight process
- Utilization of MP Architectures
  - To utilize multiple cores or to improve ILP

In Solaris it is 5 times slower to context switch a process than to context switch a thread, and 13 times slower for creation



A process

Two threads in a process

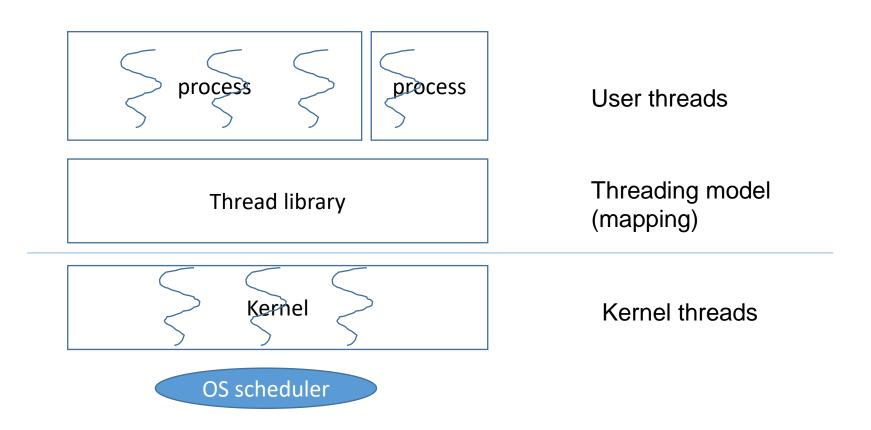
- This independent flow of control is accomplished because a thread maintains its own:
  - Stack pointer
  - Registers
  - Scheduling properties (such as policy or priority)
  - Set of pending and blocked signals
  - Thread specific data.

## MULTITHREADING MODELS

## Multithreading Models

- User threads are supported above the kernel and are managed without kernel support, while
- kernel threads are supported and managed directly by the operating system
- Mapping of user threads to kernel threads:
  - Many-to-One
  - One-to-One
  - Many-to-Many

## Multithreading Models



## Specification vs. Implementation

- Major thread libraries
  - Pthreads
  - Win32 threads
  - Java threads

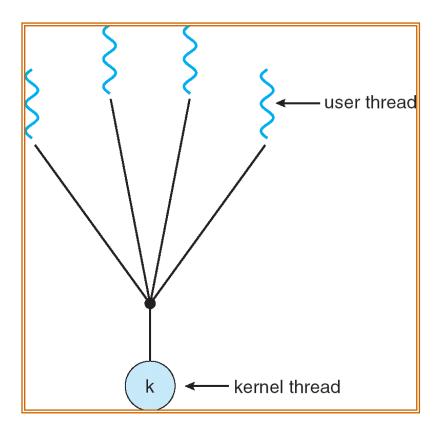
- Pthread is a specification (part of POSIX)
  - Implement all the mandatory thread APIs
  - The the threadig model is, however, not part of the Pthread specification
  - Pthead can be implemented using 1-1, M-1, or M-M

#### Many-to-One

 Many user-level threads mapped to single kernel thread

- Examples:
- Solaris Green Threads
  - For JDK. Options: green or native
- GNU Portable Threads
  - An implementation of the Pthread specification

#### Many-to-One Model



- •If one single thread issues a blocking system call, then the entire collection of threads become blocked
- •Cannot enjoy the physical parallelism of multicore architecture

## Many-to-One Model

- Use special wrapper functions to prevent blocking (sync) calls from stalling all user threads
- For example, with GNU portable threads, instead of read(), we should use

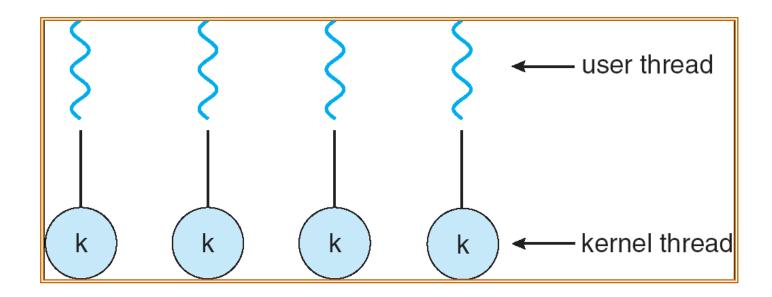
ssize t pth\_read(int fd, void \*buf, size t nbytes)

#### One-to-One

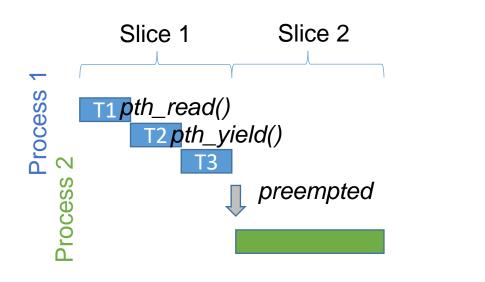
Each user-level thread maps to kernel thread

- Examples
  - Windows NT/XP/2000
  - Linux Pthread
  - Solaris 9 and later

#### One-to-one Model

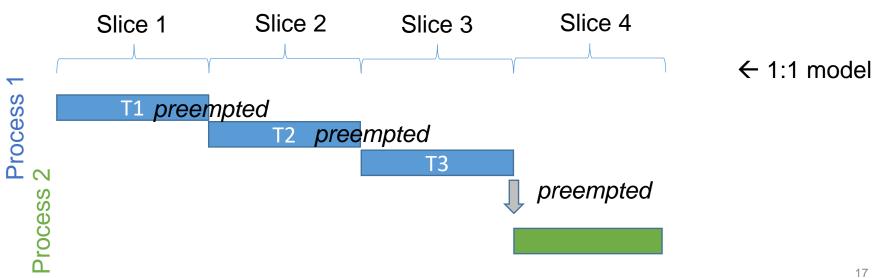


- •Require kernel support; less portable
- •Can exploit the parallelism of multicore architecture
- A blocking call will not block the whole thread set



← M:1 model

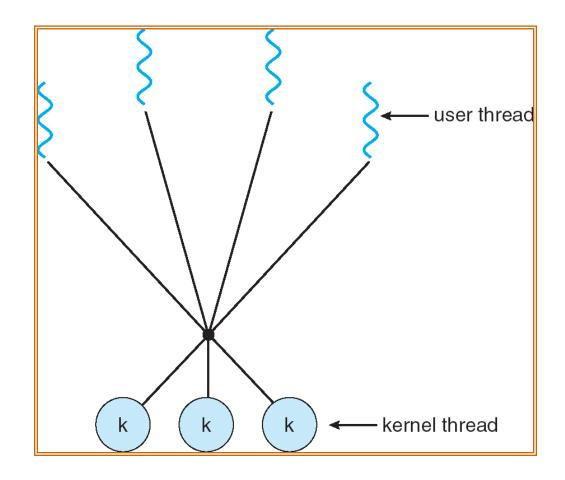
Time slice = the time unit of time-sharing scheduling



## Many-to-Many Model

- Allows many user level threads to be mapped to many kernel threads
  - One thread won't block the entire process
- Allows the operating system to create a sufficient number of kernel threads
  - More economic than 1-1 model
- Solaris prior to version 9
- Windows NT/2000 with the ThreadFiber package

## Many-to-Many Model

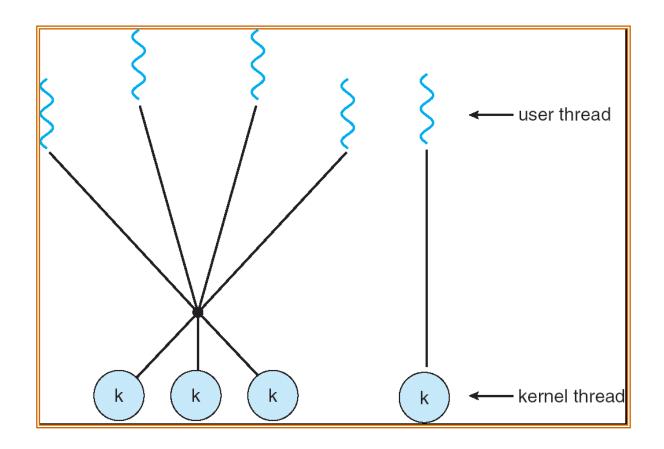


#### Two-level Model

 Similar to M:M, except that static binding between user threads and kernel threads is permitted

- Examples
  - IRIX
  - HP-UX
  - Tru64 UNIX
  - Solaris 8 and earlier

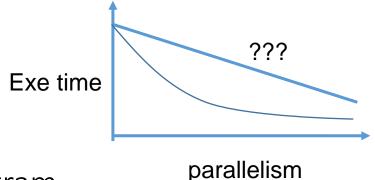
## Two-level Model



## Threading Models

- Specifications do not cover threading model
  - Pthread may be many-to-one, one-to-one, or many-tomany
  - Java green threads are many-to-one but Java threads are not defined
- Different thread library may adopt different threading models
  - GNU Portable Thread is many-to-one
  - Linux Pthread?
- Check the programmers' manual first, or write a small test program to make sure

#### Amdahl's Law



- P: parallelizable portion of a program
- S: non-parallelizable portion of a program
- [-----S(8)----]
- Deg. Of parallelism=2
- [-----P(8)-----] : speedup=1.5
- Deg. Of parallelism=16
- [P(1)][----S(8)----] : speedup=2.66
- Deg. Of parallelism → infinity
- $[\rightarrow 0][----S(8)----]$  : speedup=3

From: Wikipedia

#### Definition [edit]

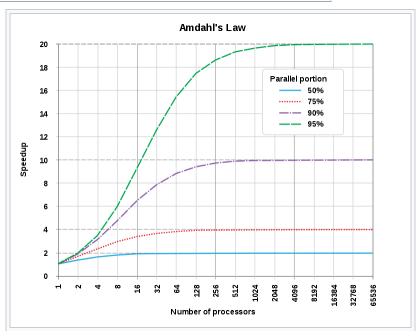
Amdahl's law can be formulated in the following way:

$$S_{ ext{latency}}(s) = rac{1}{(1-p) + rac{p}{s}}$$

If 30% of the execution time may be the subject of a speedup, *p* will be 0.3; if the improvement makes the affected part twice as fast, *s* will be 2. Amdahl's law states that the overall speedup of applying the improvement will be:

$$S_{\text{latercy}} = \frac{1}{1 - p + \frac{p}{s}} = \frac{1}{1 - 0.3 + \frac{0.3}{2}} = 1.18.$$

[Notice] In real cases, as there are synchronization overhead among processes/threads, the speedup degrades when # of processes/threads is very large



# THREAD LIBRARIES

#### Pthread

- = POSIX thread
- Pthread is a "specification", not an implementation
- Implementations:
  - GNU portable thread (M-1)
  - Linux Pthread (1-1)
  - Mac OS X Pthread (?)

```
#include <pthread.h>
#include <stdio.h>
int sum; /* this data is shared by the thread(s) */
void *runner(void *param); /* the thread */
int main(int argc, char *argv[])
  pthread t tid; /* the thread identifier */
  pthread_attr_t attr; /* set of thread attributes */
  if (argc != 2) {
     fprintf(stderr, "usage: a.out <integer value>\n");
     return -1;
  if (atoi(argv[1]) < 0) {
     fprintf(stderr, "%d must be >= 0\n", atoi(argv[1]));
     return -1;
  /* get the default attributes */
  pthread_attr_init(&attr);
  /* create the thread */
 pthread_create(&tid,&attr,runner,argv[1]);
  /* wait for the thread to exit */
  pthread_join(tid,NULL);
  printf("sum = %d\n", sum);
/* The thread will begin control in this function */
void *runner(void *param)
  int i, upper = atoi(param);
  sum = 0;
  for (i = 1; i \le upper; i++)
     sum += i;
  pthread_exit(0);
```

#### Win32 Thread

- Again Win32 thread is a specification, implementation varies among WinXP, Win7, etc.
- Win32 thread APIs are very similar to Pthread APIs
- Win32 threads are referred to as objects/handle
  - WaitForSingleObject
  - CloseHandle

```
#include <windows.h>
#include <stdio.h>
DWORD Sum; /* data is shared by the thread(s) */
/* the thread runs in this separate function */
DWORD WINAPI Summation (LPVOID Param)
  DWORD Upper = *(DWORD*)Param;
  for (DWORD i = 0; i \le Upper; i++)
     Sum += i:
  return 0;
int main(int argc, char *argv[])
  DWORD ThreadId:
  HANDLE ThreadHandle;
  int Param:
  /* perform some basic error checking */
  if (argc != 2) {
    fprintf(stderr, "An integer parameter is required\n");
    return -1;
  Param = atoi(argv[1]);
  if (Param < 0) {
     fprintf(stderr, "An integer >= 0 is required\n");
    return -1;
  // create the thread
  ThreadHandle = CreateThread(
    NULL, // default security attributes
    0, // default stack size
    Summation, // thread function
    &Param, // parameter to thread function
    0, // default creation flags
    &ThreadId); // returns the thread identifier
  if (ThreadHandle != NULL) {
    // now wait for the thread to finish
    WaitForSingleObject(ThreadHandle, INFINITE):
    // close the thread handle
    CloseHandle (ThreadHandle);
    printf("sum = %d\n",Sum);
```

# OPERATING-SYSTEM THREAD SUPPORT

#### Windows XP Threads

- Implements the one-to-one mapping
- Each thread contains
  - A thread id
  - Register set
  - Separate user and kernel stacks
  - Private data storage area
- The register set, stacks, and private storage area are known as the context of the threads
- The primary data structures of a thread include:
  - ETHREAD (executive thread block)
  - KTHREAD (kernel thread block)
  - TEB (thread environment block)

#### Linux Threads

- Linux refers to them as tasks rather than threads
- Thread creation is done through clone() system call
- clone() allows a child task to share the address space of the parent task (process)
  - But the stacks are separate
  - Different form vfork()

flag	meaning
CLONE_FS	File-system information is shared.
CLONE_VM	The same memory space is shared.
CLONE_SIGHAND	Signal handlers are shared.
CLONE_FILES	The set of open files is shared.

#### Java Threads

- Java threads are managed by the JVM
- Java threads may be created by:
  - Extending Thread class
  - Implementing the Runnable interface
- Threading model
  - Java green thread: many-to-one
  - Java (native) thread: many-to-many (old) or one-to-one (recent)

#### The JVM and the Host Operating System

The Java Virtual Machine (JVM) is generally built on top of a host operating system, which enables it to abstract the underlying operating system's complexities and offer a consistent environment for Java programs to run on any platform with JVM support. The JVM specification doesn't dictate how Java threads should be mapped to the operating system's threads, leaving that to the specific JVM implementation. For instance, on Windows XP, which uses a one-to-one threading model, each Java thread corresponds to a kernel thread. Conversely, operating systems that employ a many-to-many model, such as Tru64 UNIX, map Java threads accordingly. Solaris initially used a many-to-one model (via the green threads library) for JVM implementation, later adopting the many-to-many model, and starting with Solaris 9, moved to a one-to-one model. Additionally, the threading library used by the JVM might correspond to the thread library on the host OS; for example, a JVM on Windows might utilize the Win32 API for thread creation, while Linux, Solaris, and Mac OS X systems might use the Pthreads API.

-- Adapted from "Operating System Concepts"

# THREADING ISSUES

## Semantics of fork() and exec()

- Does fork() duplicate only the calling thread or all threads?
  - Undefined, but in many UNIX variants the entire process (including all its threads) is duplicated
- How about exec()?
  - Again undefined, but in many UNIX variants the entire process (including all its threads) is replaced

## Signal Handling

- Synchronous signal
  - Always delivered to the thread that causes the signal
  - E.g., access violation
- Asynchronous signal
  - Typically delivered to the first thread that dose not block the signal
  - E.g., process termination
- Varies from implementation to implementation

# End of Chapter 4