#### Data-driven Methods: Faces

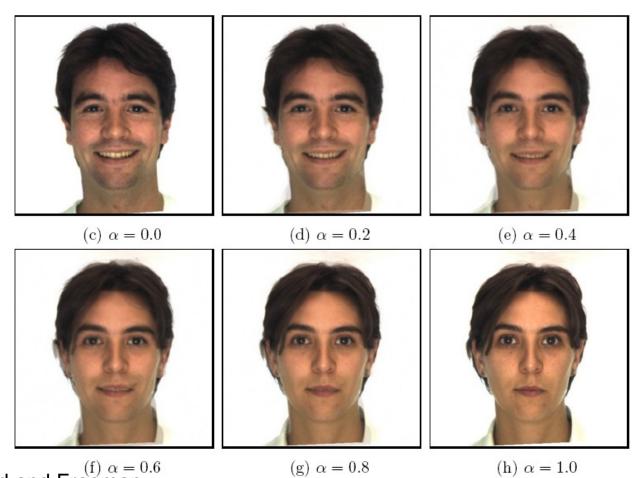


Portrait of Piotr Gibas © Joaquin Rosales Gomez (2003)

CS194: Intro to Computer Vision and Comp. Photo Alexei Efros, UC Berkeley, Fall 2022

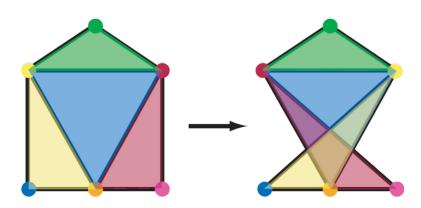
#### Morphing & matting

# Extract foreground first to avoid artifacts in the background



Slide by Durand and Freeman

#### Other Issues



#### Beware of folding

You are probably trying to do something 3D-ish

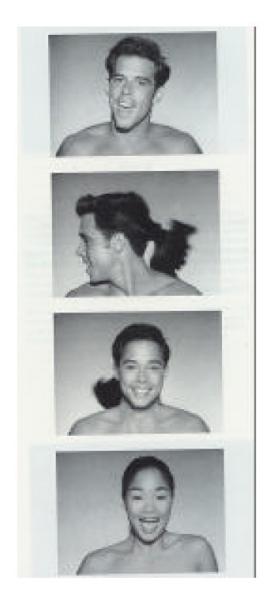
#### Morphing can be generalized into 3D

If you have 3D data, that is!

#### Extrapolation can sometimes produce interesting effects

Caricatures

#### Dynamic Scene ("Black or White", MJ)



http://www.youtube.com/watch?v=R4kLKv5gtxc

## The Power of Averaging



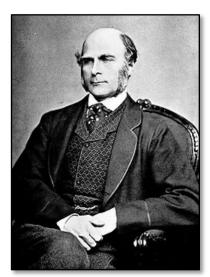


## 8-hour exposure



© Atta Kim

#### Image Composites



Sir Francis Galton 1822-1911



Multiple Individuals



Composite

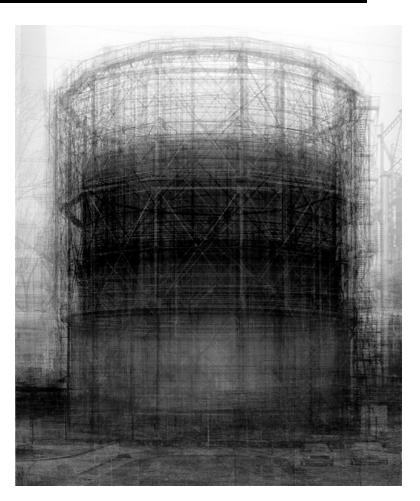
[Galton, "Composite Portraits", Nature, 1878]

#### Average Images in Art



"60 passagers de 2e classe du metro, entre 9h et 11h" (1985)

Krzysztof Pruszkowski



"Spherical type gasholders" (2004) Idris Khan

#### "100 Special Moments" by Jason Salavon



## Object-Centric Averages by Torralba (2001)



Manual Annotation and Alignment



Average Image

Slide by Jun-Yan Zhu

#### **Computing Means**

#### Two Requirements:

- Alignment of objects
- Objects must span a subspace

#### Useful concepts:

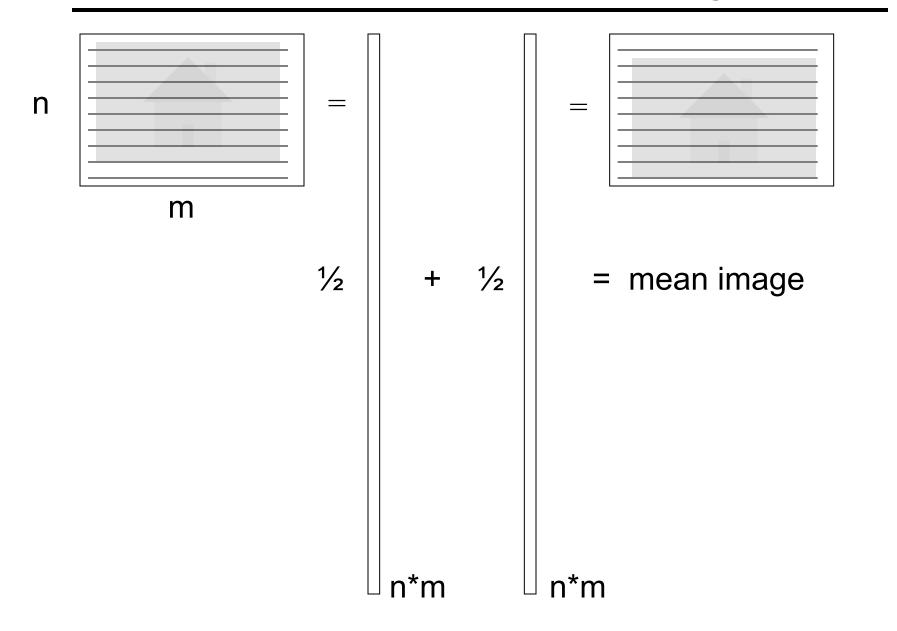
- Subpopulation means
- Deviations from the mean

## Images as Vectors

n \_\_\_\_\_ =

n\*m

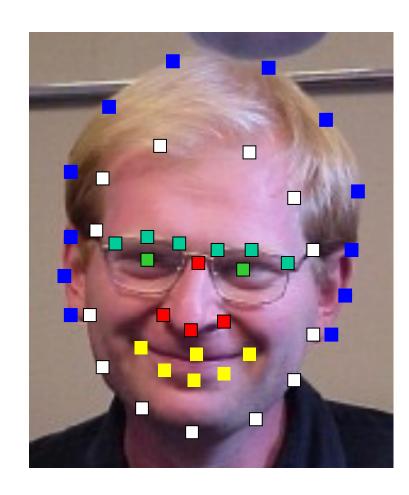
## Vector Mean: Importance of Alignment



## How to align faces?



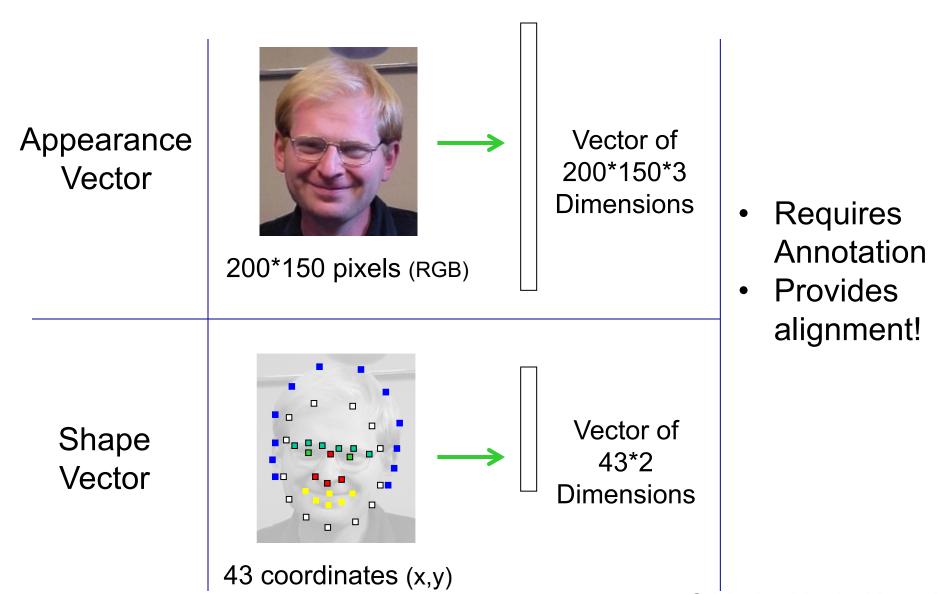
## Shape Vector



=

Provides alignment!

#### Appearance Vectors vs. Shape Vectors



Slide by Kevin Karsch

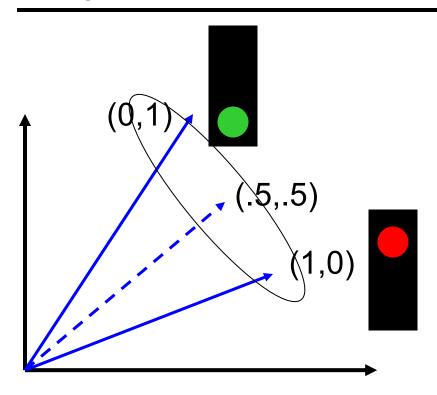
#### Average Face



- 1. Warp to mean shape
- 2. Average pixels



## Objects must span a subspace



## Example







mean

#### Does not span a subspace

#### Subpopulation means

#### Examples:

- Male vs. female
- Happy vs. said
- Angry Kids
- People wearing glasses
- Etc.
- http://www.faceresearch.org



Average kid



Average happy male

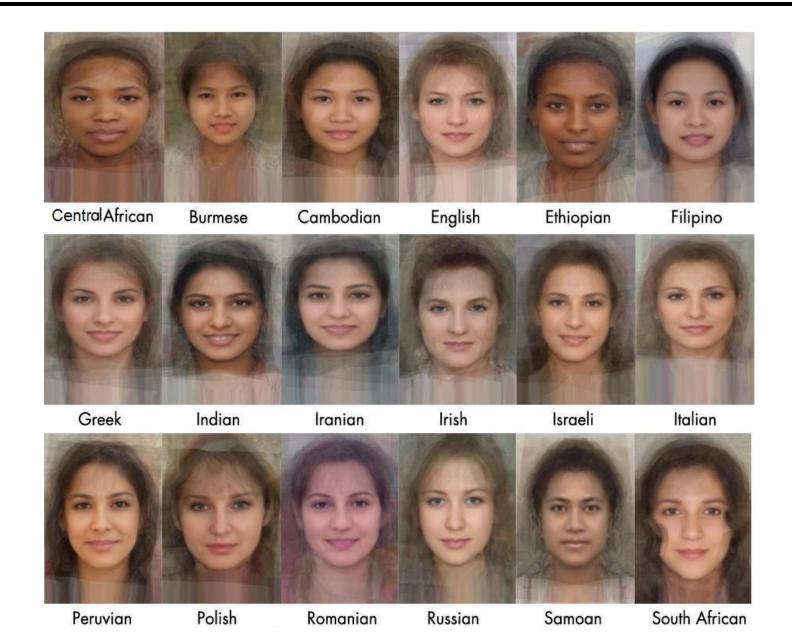


Average female

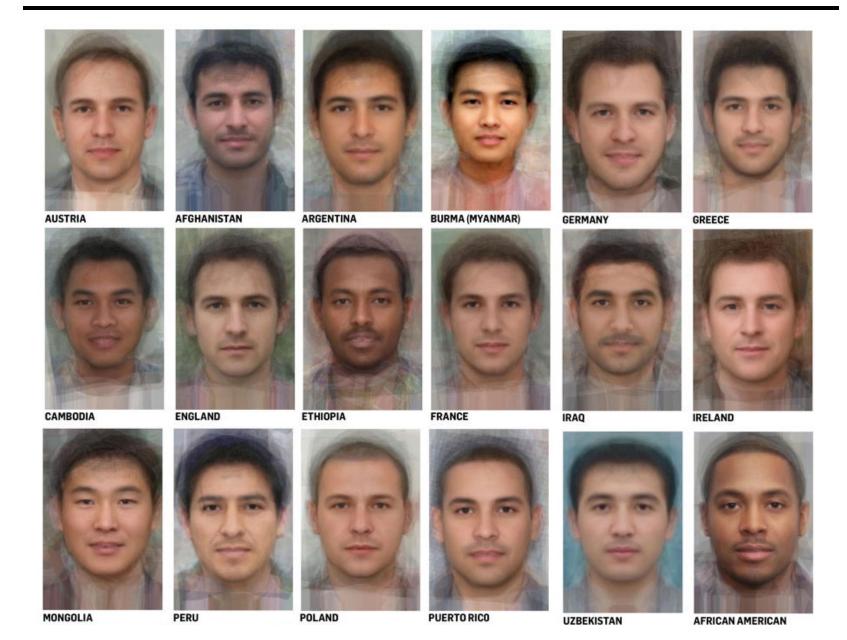


Average male

#### Average Women of the world



## Average Men of the world



#### Deviations from the mean



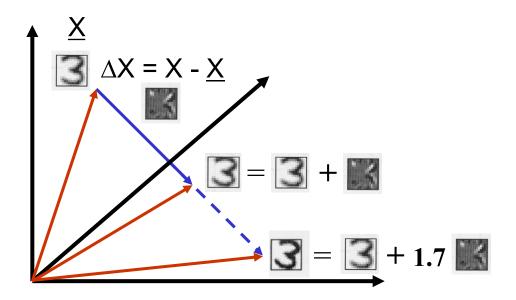


Image X Mean X



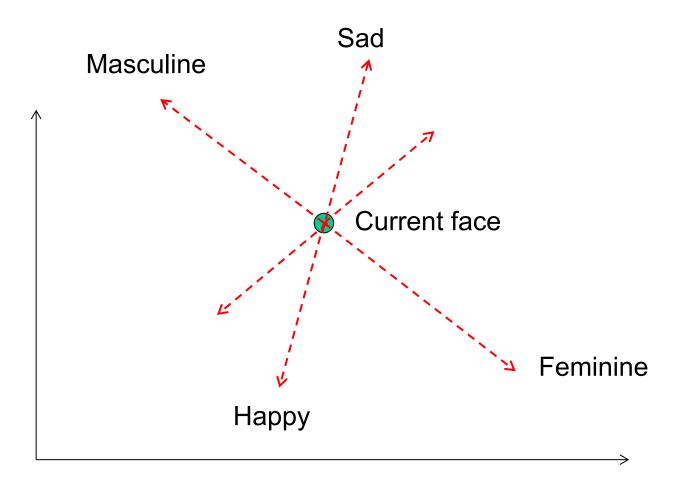
$$\Delta X = X - \underline{X}$$

#### Deviations from the mean



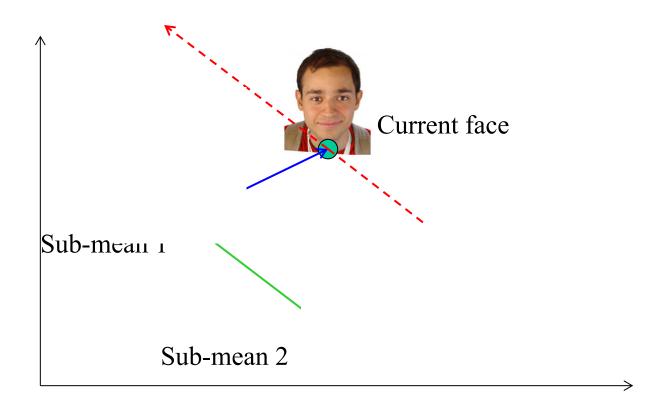
#### Extrapolating faces

We can imagine various meaningful <u>directions</u>.



#### Manipulating faces

- How can we make a face look more female/male, young/old, happy/sad, etc.?
- http://www.faceresearch.org/demos/transform



# Manipulating Facial Appearance through Shape and Color

Duncan A. Rowland and David I. Perrett

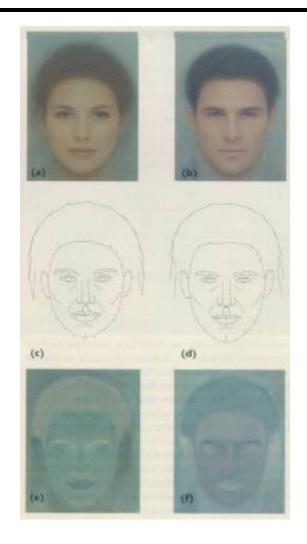
St Andrews University

IEEE CG&A, September 1995

#### Face Modeling

Compute average faces (color and shape)

Compute deviations
between male and
female (vector and color
differences)



#### Changing gender

Deform shape and/or color of an input face in the direction of "more female"

original

(a) (b)

shape

color



both

## Enhancing gender



more same original androgynous more opposite

## Changing age

Face becomes "rounder" and "more textured" and "grayer"

original

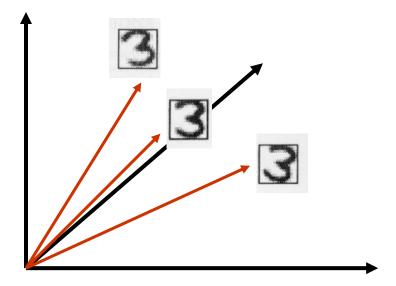
color



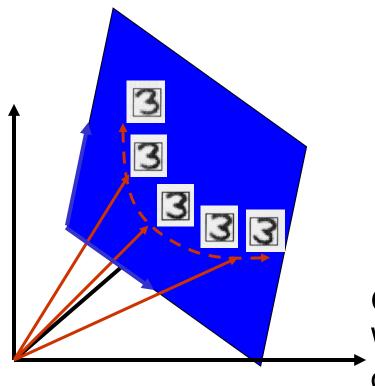
shape

both

## Back to the Subspace



#### Linear Subspace: convex combinations



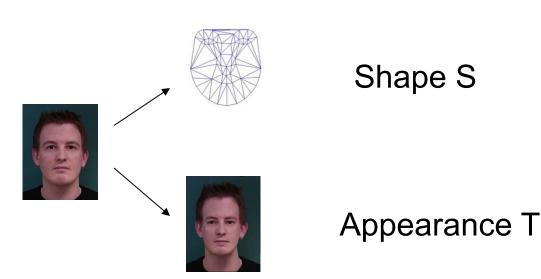
Any new image X can be obtained as weighted sum of stored "basis" images.

$$X = \sum_{i=1}^{m} a_i X_i$$

Our old friend, change of basis! What are the new coordinates of X?

#### The Morphable Face Model

The actual structure of a face is captured in the shape vector  $\mathbf{S} = (x_1, y_1, x_2, ..., y_n)^T$ , containing the (x, y) coordinates of the n vertices of a face, and the appearance (texture) vector  $\mathbf{T} = (R_1, G_1, B_1, R_2, ..., G_n, B_n)^T$ , containing the color values of the mean-warped face image.



#### The Morphable face model

Again, assuming that we have m such vector pairs in full correspondence, we can form new shapes  $S_{model}$  and new appearances  $T_{model}$  as:

$$\mathbf{S}_{model} = \sum_{i=1}^{m} \alpha_{i} \mathbf{S}_{i} \qquad \mathbf{T}_{model} = \sum_{i=1}^{m} b_{i} \mathbf{T}_{i}$$

$$s = \alpha_{1} \cdot \mathbf{P} + \alpha_{2} \cdot \mathbf{P} + \alpha_{3} \cdot \mathbf{P} + \alpha_{4} \cdot \mathbf{P} + \dots = \mathbf{S} \cdot \mathbf{a}$$

$$t = \beta_{1} \cdot \mathbf{P} + \beta_{2} \cdot \mathbf{P} + \beta_{3} \cdot \mathbf{P} + \beta_{4} \cdot \mathbf{P} + \dots = \mathbf{T} \cdot \mathbf{B}$$



If number of basis faces m is large enough to span the face subspace then: Any new face can be represented as a pair of vectors  $(\alpha_1, \alpha_2, ..., \alpha_m)^T \text{ and } (\beta_1, \beta_2, ..., \beta_m)^T!$ 

#### Issues:

- 1. How many basis images is enough?
- 2. Which ones should they be?
- 3. What if some variations are more important than others?
  - E.g. corners of mouth carry much more information than haircut

Need a way to obtain basis images automatically, in

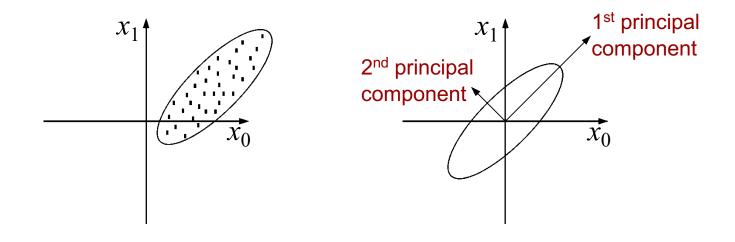
order of importance!

But what's important?

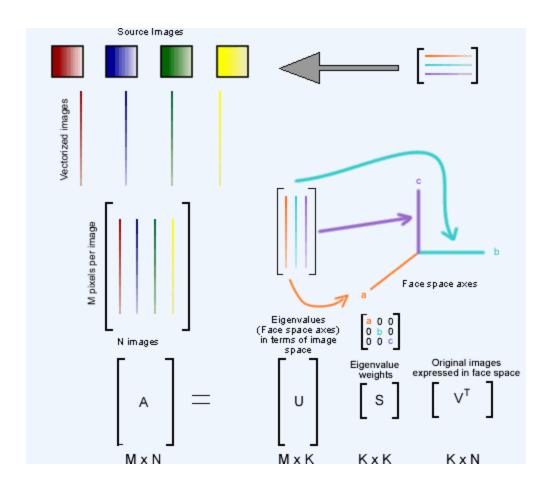
#### Principal Component Analysis

Given a point set  $\{\vec{\mathbf{p}}_j\}_{j=1...P}$ , in an M-dim space, PCA finds a basis such that

- coefficients of the point set in that basis are uncorrelated
- first r < M basis vectors provide an approximate basis that minimizes the mean-squared-error (MSE) in the approximation (over all bases with dimension r)



#### PCA via Singular Value Decomposition



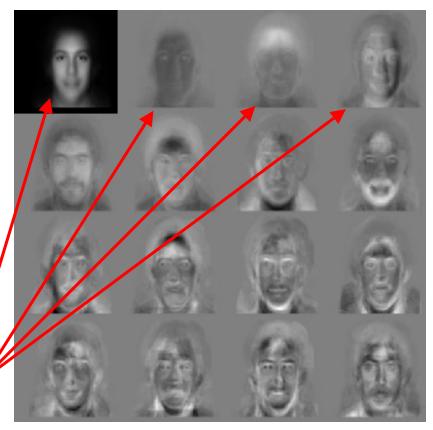
$$[u,s,v] = svd(A);$$

#### EigenFaces

First popular use of PCA on images was for modeling and recognition of faces [Kirby and Sirovich, 1990, Turk and Pentland, 1991]

- Collect a face ensemble
- Normalize for contrast, scale,& orientation.
- Remove backgrounds
- Apply PCA & choose the first N eigen-images that account for most of the variance of the data.
  mean
  face

lighting variation



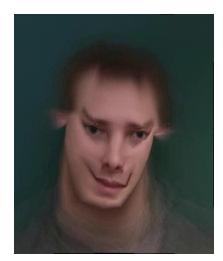
#### First 3 Shape Basis



Mean appearance





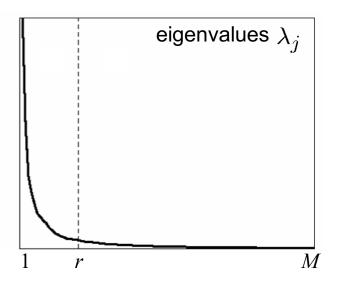


http://graphics.cs.cmu.edu/courses/15-463/2004\_fall/www/handins/brh/final/

#### Principal Component Analysis

## Choosing subspace dimension *r*:

- look at decay of the eigenvalues as a function of r
- Larger r means lower expected error in the subspace data approximation



#### Using 3D Geometry: Blanz & Vetter, 1999



http://www.youtube.com/watch?v=jrutZaYoQJo

## Pop Quiz!!



DSP: you can take 15 min more

https://tinyurl.com/2t3etz39