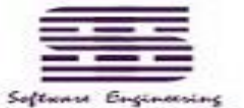


# Modern Cryptography and Its Applications

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# Grading Scheme

- Labs 50%
  - 5 lab
- Midterm Test / Paper: 10%
- Final Exam: 40%, half-open

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# 1 Introduction

- OSI Security Architecture focuses
  - security attacks
  - Services
  - mechanisms

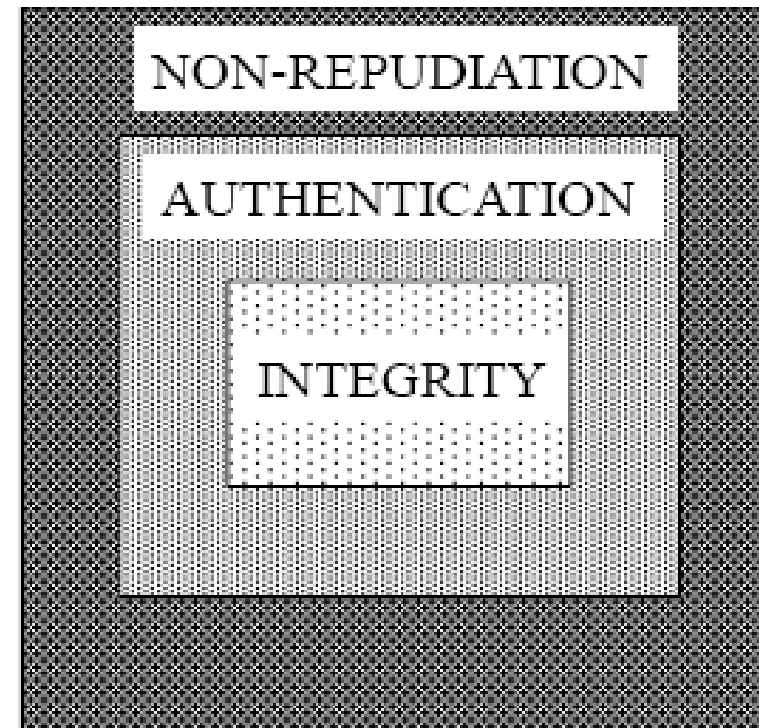
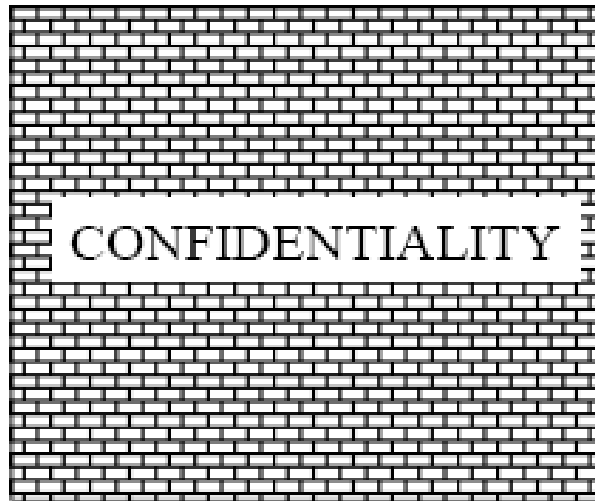
Table 1.4 Relationship Between Security Services and Mechanisms

SERVICE	MECHANISM							
	Encipherment	Digital signature	Access control	Data integrity	Authentication exchange	Traffic padding	Routing control	Notarization
Peer entity authentication	Y	Y			Y			
Data origin authentication	Y	Y						
Access control			Y					
Confidentiality	Y						Y	
Traffic flow confidentiality	Y					Y	Y	
Data integrity	Y	Y		Y				
Nonrepudiation		Y		Y				Y
Availability				Y	Y			

no single mechanism that will support all services required

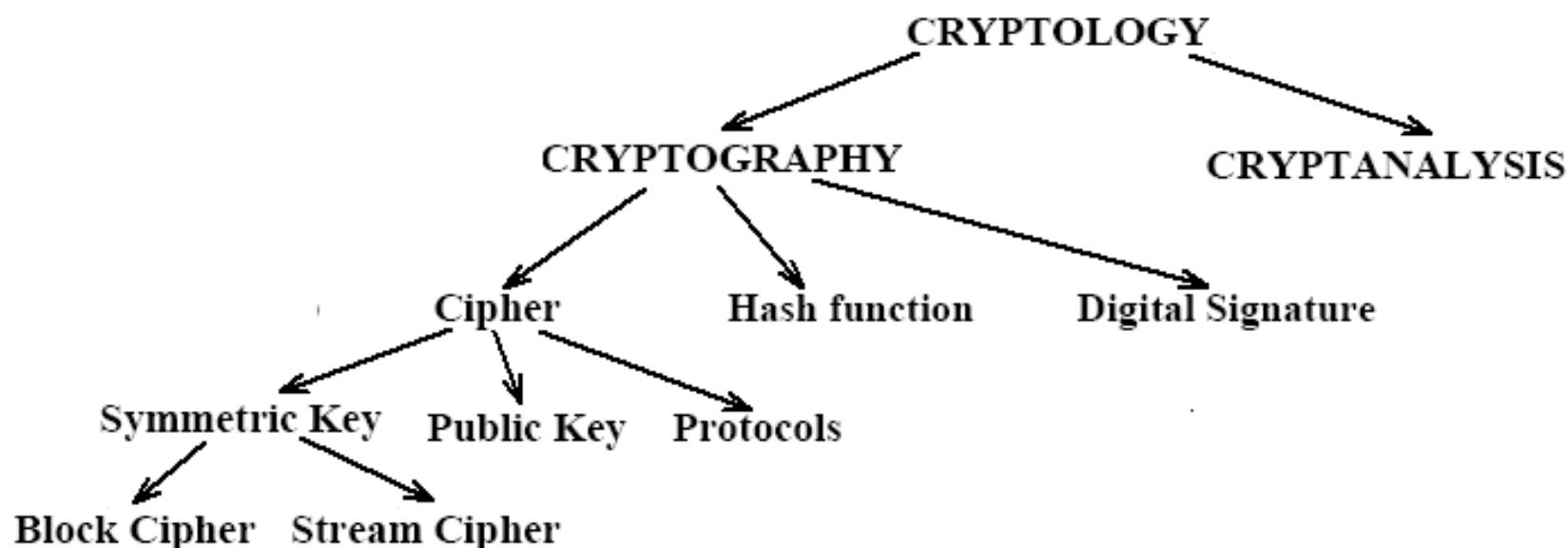


# Relations among security services



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- **Basic security mechanism**



# 2 Classical Encryption Techniques

- Basic Terminology

- **Cryptology**=Crypto(secret)-log(word)
- **Cryptography**=Crypto(secret)-graph(write)
  - symmetric encryption(block / stream), asymmetric encryption
- **Cryptanalysis (codebreaking)**
  - cryptanalytic attack: ciphertext only, known plaintext, chosen plaintext
  - brute-force(穷举) attack



- **Five Basic Elements**

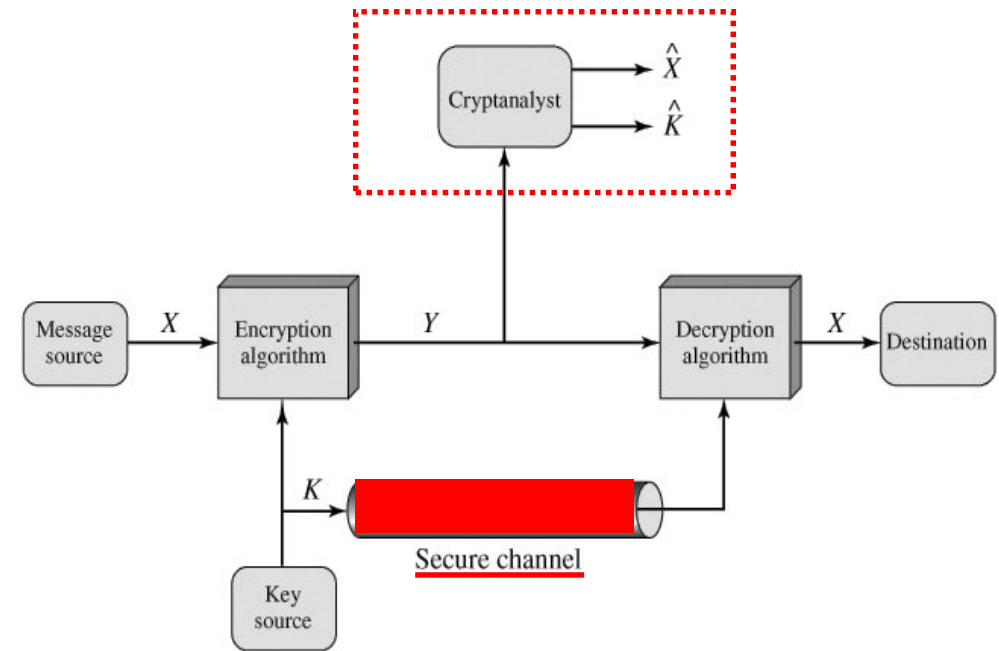
- plaintext, ciphertext, key
- Encipher, decipher

- **two requirements for secure use of symmetric encryption:**

- a strong encryption algorithm
- a secret key known only to sender / receiver

- **Kerckhoffs' principle**

- assume encryption algorithm is known
- implies a secure channel to distribute key



- unconditional security
  - no matter how much computer power or time is available, the cipher cannot be broken since the ciphertext provides insufficient information to uniquely determine the corresponding plaintext
- computational security
  - given limited computing resources, the cipher cannot be broken
    - cost needed for calculations exceeds ciphertext value
    - time needed for calculations exceeds valid lifetime of ciphertext





- **Classical Cryptology:**

- Transposition / Permutation -> Substitution**

- No key -> have key

- Substitution:

- **Mon-alphabetic Substitution(单表替换)**

- Caesar Cipher:  $c_i = f(m_i) = m_i + 3 \bmod 26$ ,

NO KEY!!

- Shift Cipher:  $c_i = f(m_i) = m_i + k \bmod 26$ ,

26 Keys

- general Monalphabetic substitution ciphers:

26! Keys

- **Poly-alphabetic Substitution(多表替换): Vigenere cipher**

$$c_i = f_{i \bmod d}(m_i) = m_i + k_{i \bmod d} \bmod 26$$

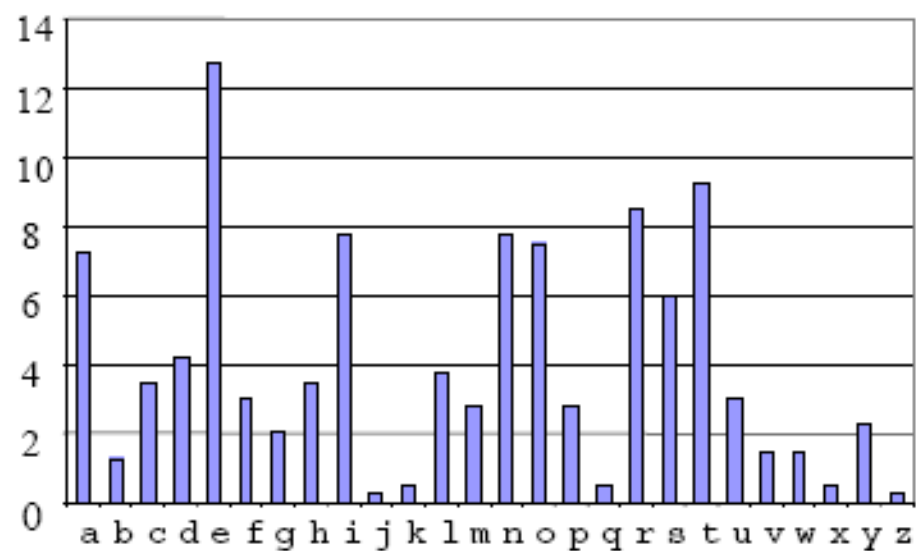
- **Combined with product: Rotor machines**

$$m_i = f_{i \bmod d}^{-1}(c_i) = m_i - k_{i \bmod d} \bmod 26$$

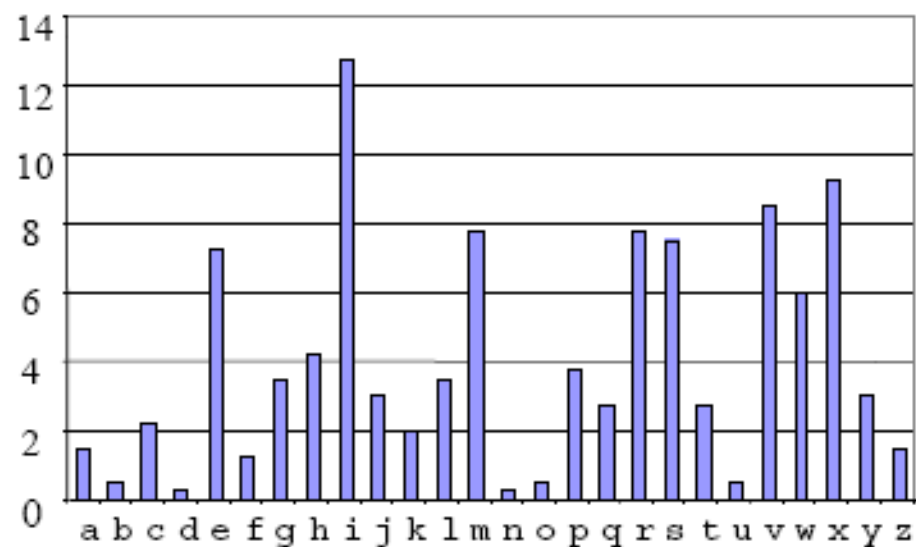
$$\text{Key} = k_0, k_1, \dots, k_{d-1}$$

Number of keys for a given period  $d = (26)^d$



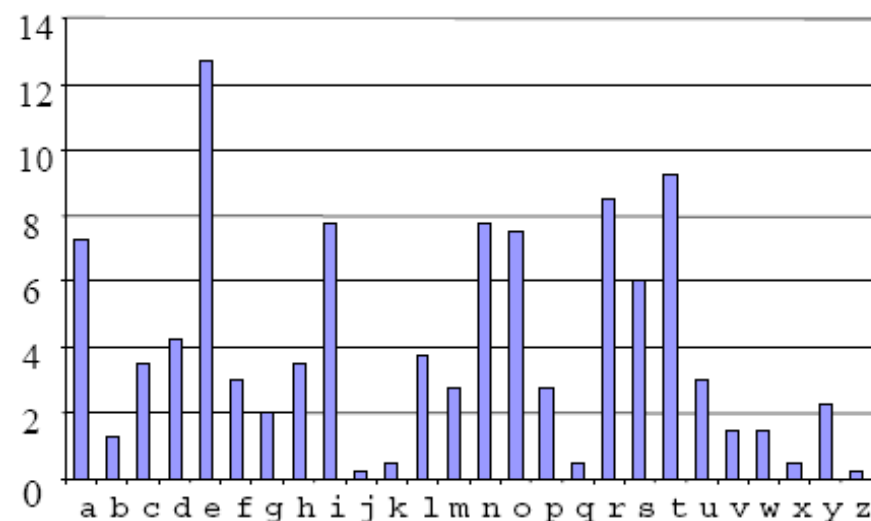


Character frequency  
in a long English  
plaintext

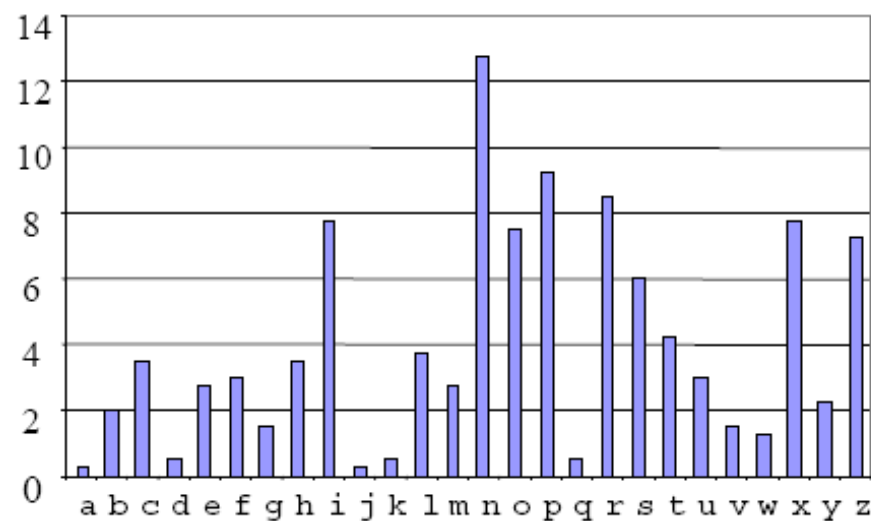


Character frequency  
in the corresponding  
ciphertext  
for a shift cipher





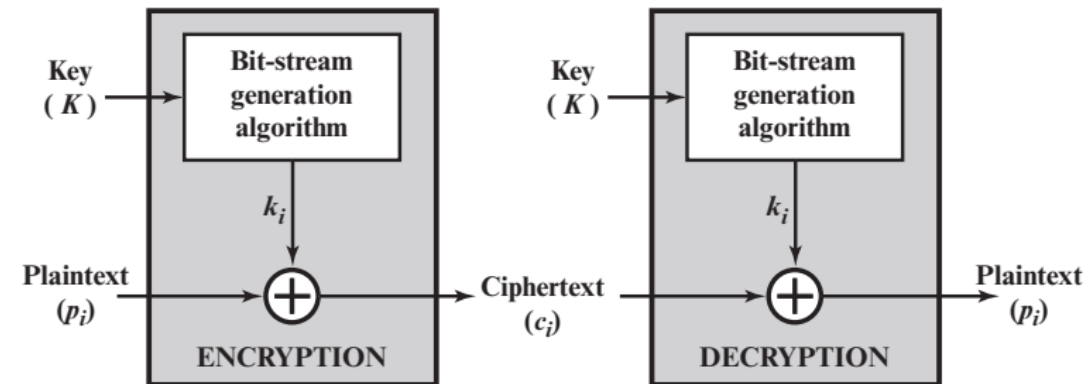
Character frequency  
in a long English  
plaintext



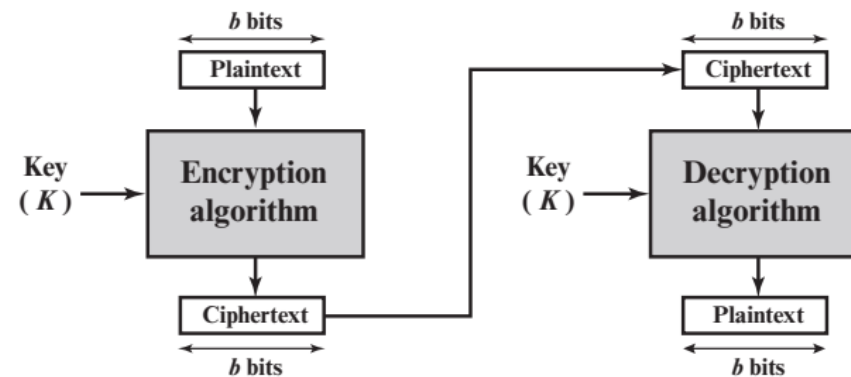
Character frequency  
in the corresponding  
ciphertext  
for a general  
monoalphabetic  
substitution cipher



# 3 Block Ciphers and the Data Encryption Standard



(a) Stream cipher using algorithmic bit-stream generator



(b) Block cipher

Figure 4.1 Stream Cipher and Block Cipher

- Computationally secure ciphers based on the idea of confusion and diffusion
- **Diffusion(扩散)** – spreading influence of one **plaintext** letter to many **ciphertext** letters
  - E.g. through the use of permutations and linear substitutions
- **Confusion(混淆)** – makes relationship between **ciphertext** and **key** as complex as possible
  - E.g. through the use of non-linear substitutions

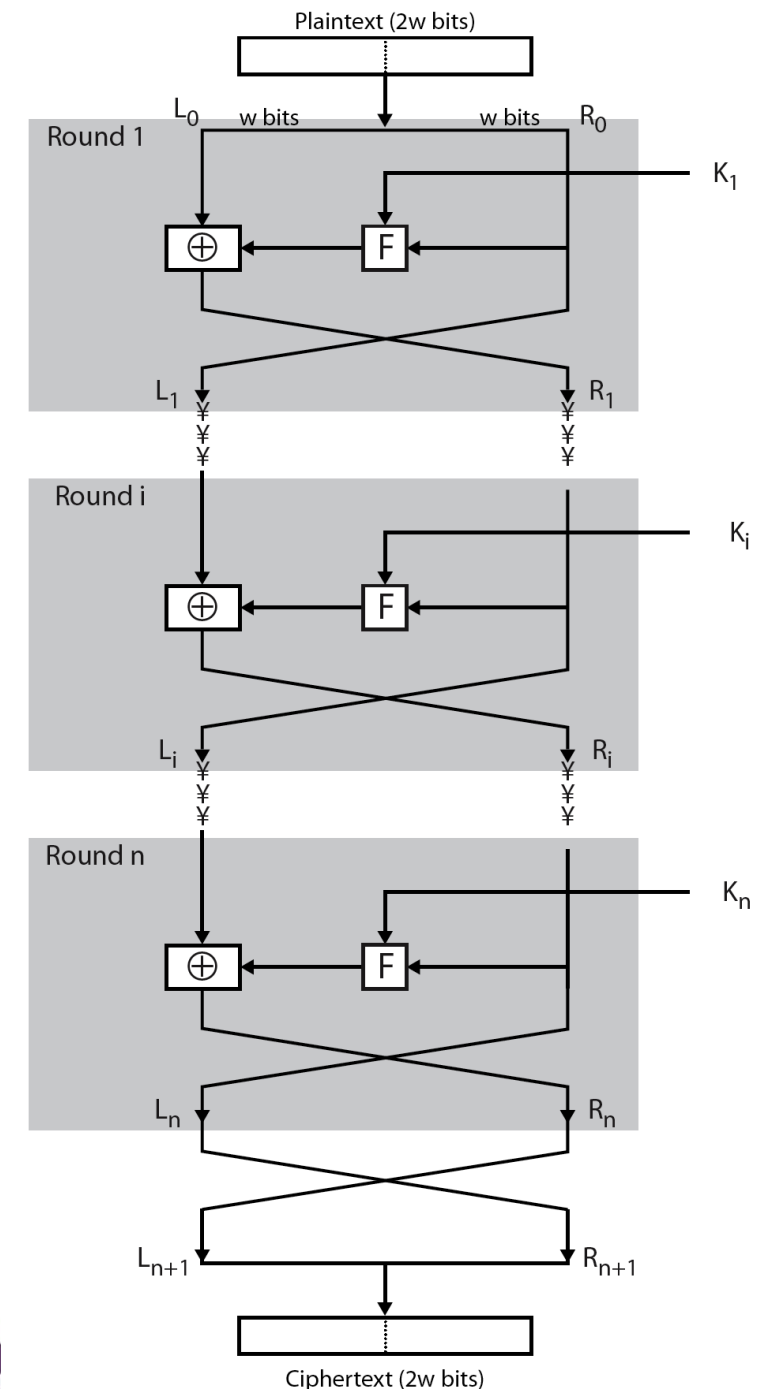


# • Feistel cipher(对合&不可逆)

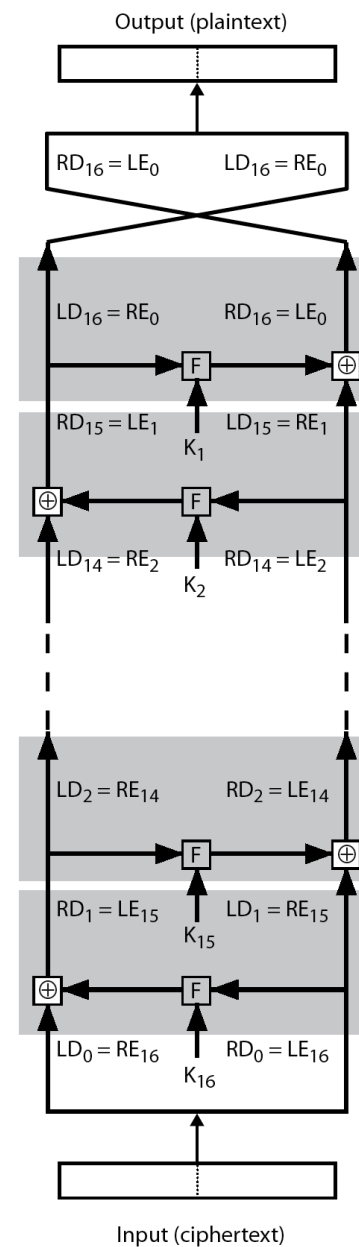
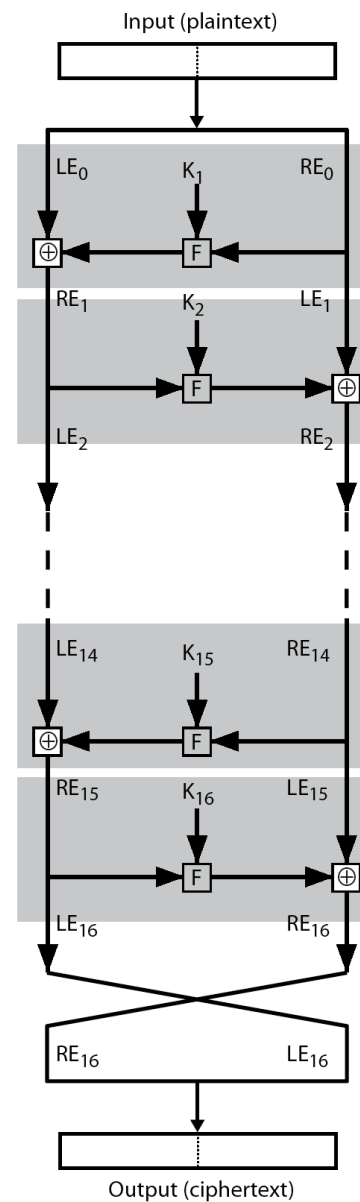
- process through **multiple rounds**
- For each round,
  - **partitions** input block into two halves
  - perform a **substitution** on left data half based on the round function of last right half & subkey
  - then have **permutation** swapping halves

## • Feistel Cipher Design Elements

- block size, key size, number of rounds
- subkey generation algorithm
- round function (**no invertible requirements**)
- fast software en/decryption
- ease of analysis

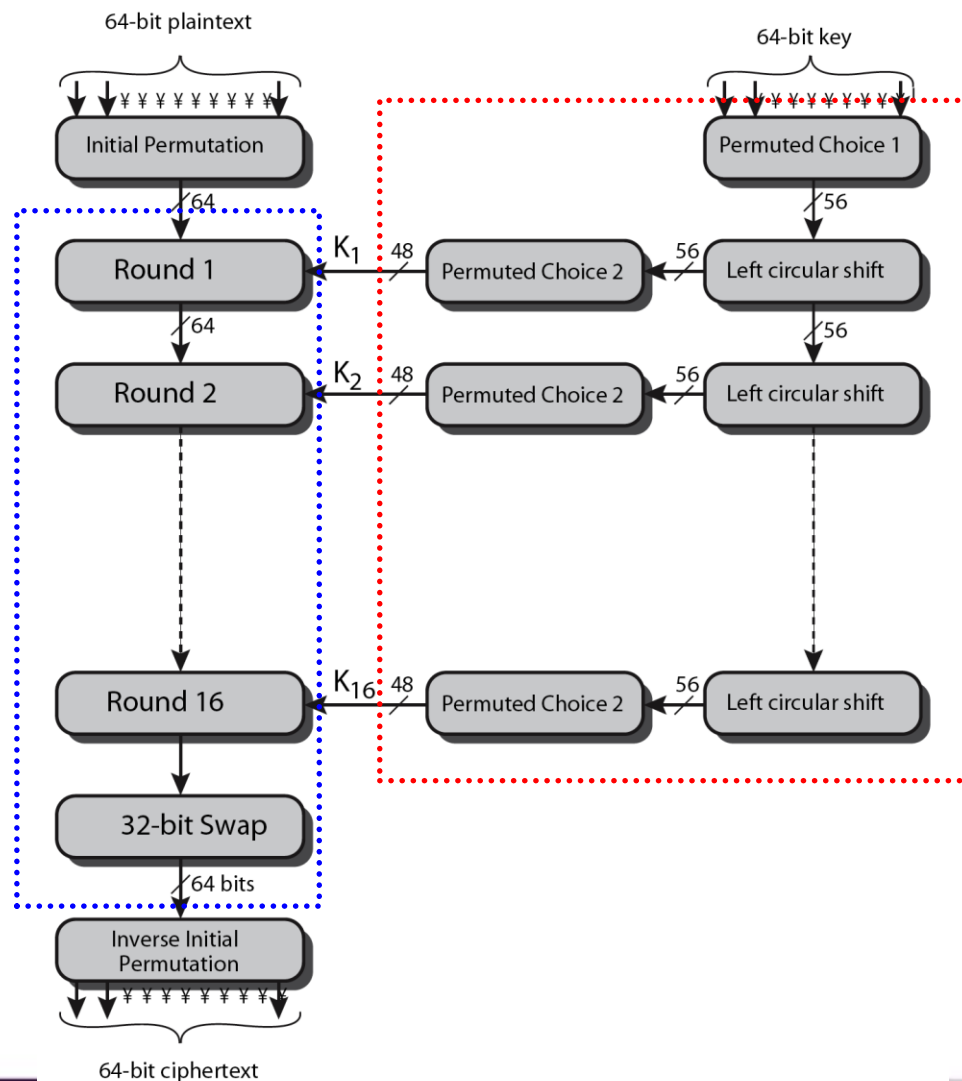


- Feistel Cipher Encryption & Decryption
- 对合 & 不可逆



# DES Encryption Overview

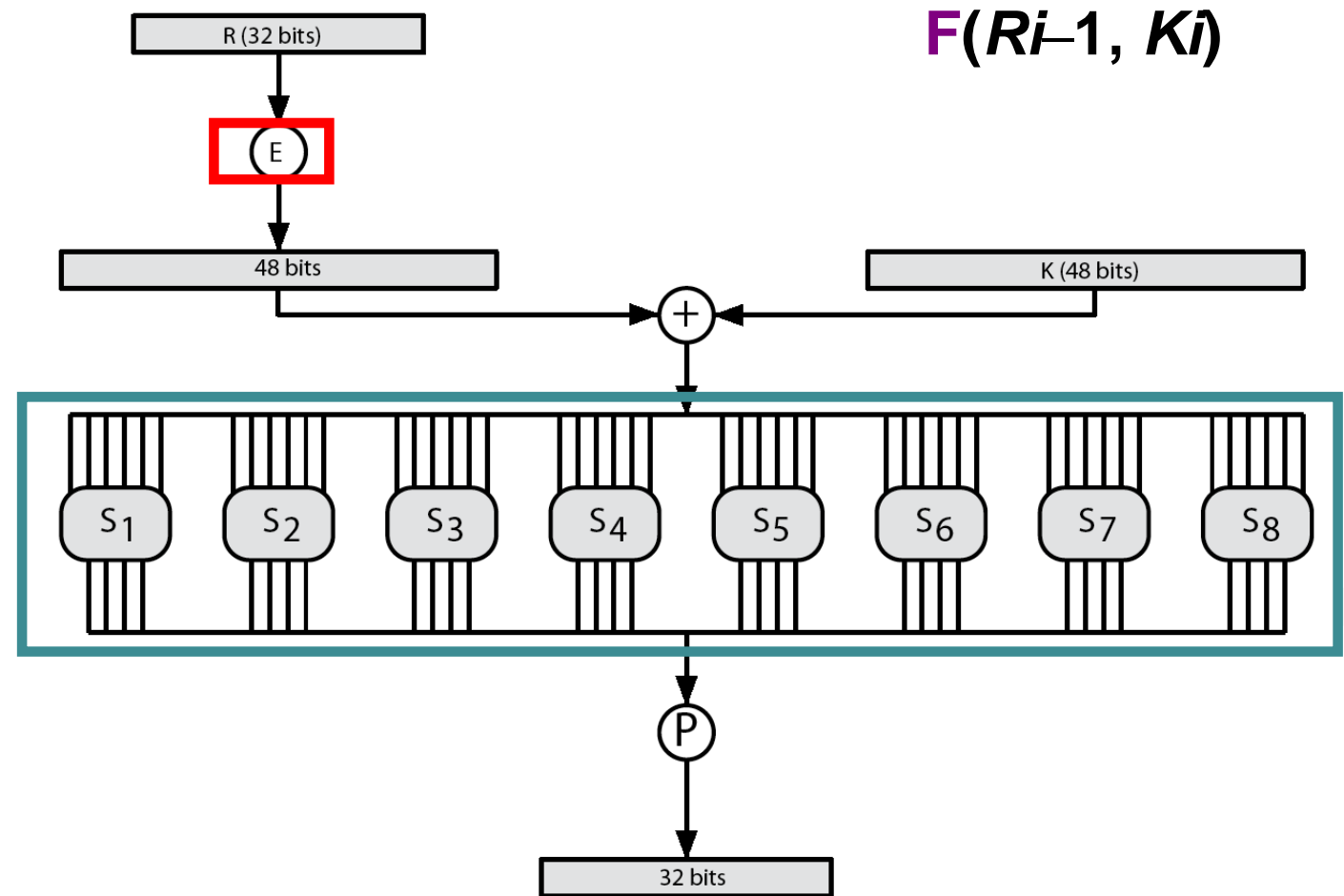
- 明/密文分组长度为**64bits**，密钥长度为**56bits**
- 是**Feistel**结构
- exhibits strong **avalanche**(雪崩)
- brute-force attack to DES: 平均情况下， $O(2^{55})$





# DES Round Structure

- **F** takes 32-bit R half and 48-bit subkey:
  - ✓ expands 32-bit R to 48-bits using perm **E**
  - ✓ adds to subkey using **XOR**
  - ✓ passes through 8 **S-boxes** to get 32-bit result
  - ✓ finally permutes using 32-bit perm **P**



# 4 triple-DES and AES

- **Need a replacement for DES**
  - potential vulnerability of DES to a brute-force attack:  
 $O(2^{55})$
  - $O(2^{54})$  under the chosen plaintexts  
(if  $C=E_K(P)$  , then  $\bar{C}=E_{\bar{K}}(\bar{P})$  )
- **Two alternatives**
  - design a completely new algorithm: AES
  - use multiple encryption with DES and multiple keys to preserve the existing investment in software and equipment: Triple-DES



- Double-DES? 112 bits key

- “meet-in-the-middle” attack:  $O(2^{56})$

- Assume pair (P,C), have  $C = E_{K2} E_{K1} (P)$

- $X = E_{K1} (P) = D_{K2} (C)$

- attack by encrypting P with all keys and store

- then decrypt C with keys and match X value

- two blocks of **known plaintext-ciphertext** will succeed against double DES

- Triple-DES

- use three stages of encryption

- cost of the **known-plaintext** “meet-in-the-middle” attack:  $O(2^{112})$

- Two forms:

- use two keys with E-D-E sequence

- use Three Keys with E-D-E sequence



# Groups, Rings, and Fields

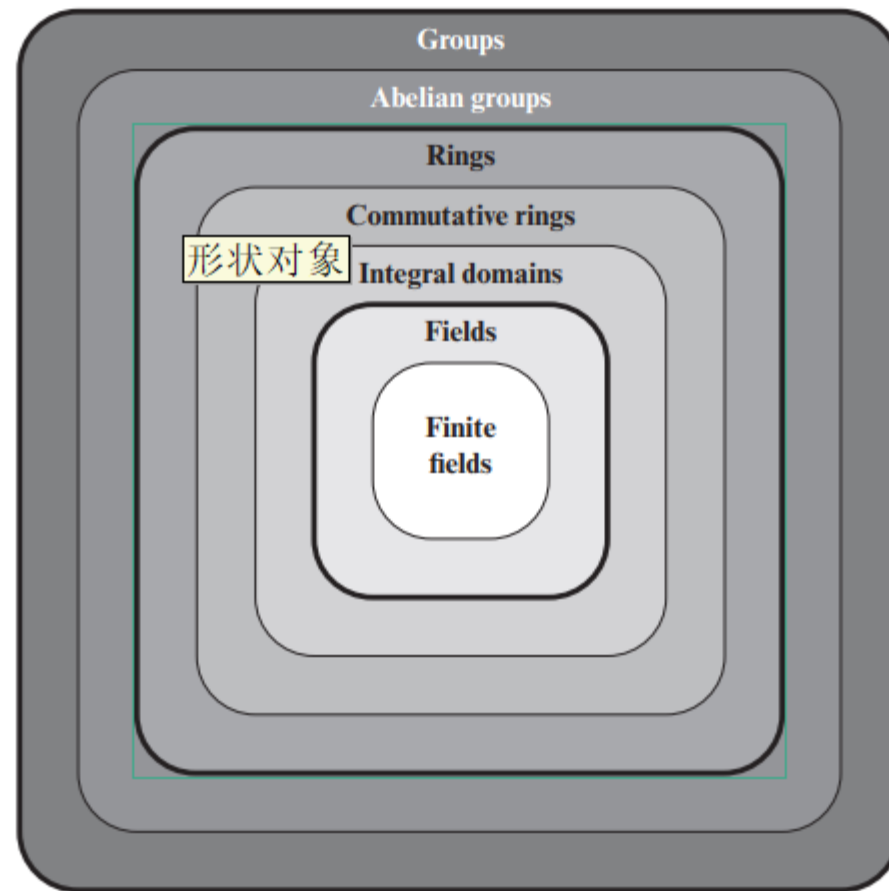


Figure 5.1 Groups, Rings, and Fields



# Groups, Rings, and Fields

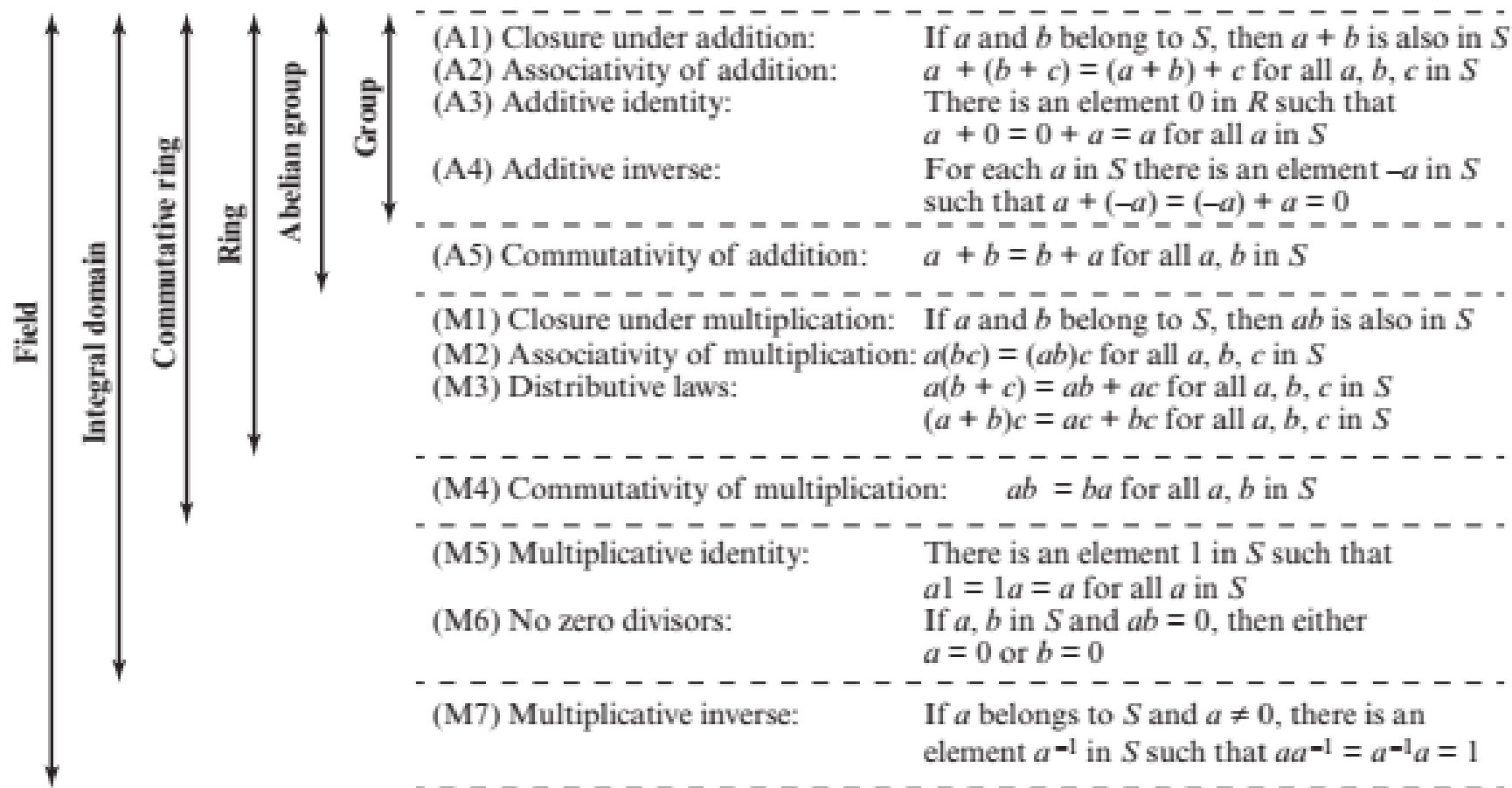


Figure 5.2 Properties of Groups, Rings, and Fields



# Galois Fields

- finite fields play a key role in cryptography
- in particular often use the fields:
  - $\text{GF}(p)$ :
    - $p$  is a prime
    - number of elements in a finite field is  $P$
  - $\text{GF}(2^n)$ 
    - number of elements in a finite field must be a power of a prime,  $p^n$
    - known as Galois fields, denoted  $\text{GF}(p^n)$



# Finite Fields of the form GF(p)

- **GF(7)**: using modular arithmetic modulo 7

+	0	1	2	3	4	5	6
0	0	1	2	3	4	5	6
1	1	2	3	4	5	6	0
2	2	3	4	5	6	0	1
3	3	4	5	6	0	1	2
4	4	5	6	0	1	2	3
5	5	6	0	1	2	3	4
6	6	0	1	2	3	4	5

(d) Addition modulo 7

×	0	1	2	3	4	5	6
0	0	0	0	0	0	0	0
1	0	1	2	3	4	5	6
2	0	2	4	6	1	3	5
3	0	3	6	2	5	1	4
4	0	4	1	5	2	6	3
5	0	5	3	1	6	4	2
6	0	6	5	4	3	2	1

(e) Multiplication modulo 7

w	0	1	2	3	4	5	6
-w	0	6	5	4	3	2	1
w <sup>-1</sup>	—	1	4	5	2	3	6

(f) Additive and multiplicative inverses modulo 7



# Example GF(2<sup>3</sup>)

Table 4.6 Polynomial Arithmetic Modulo ( $x^3 + x + 1$ )

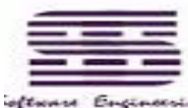
		000	001	010	011	100	101	110	111
	+	0	1	$x$	$x+1$	$x^2$	$x^2+1$	$x^2+x$	$x^2+x+1$
000	0	0	1	$x$	$x+1$	$x^2$	$x^2+1$	$x^2+x$	$x^2+x+1$
001	1	1	0	$x+1$	$x$	$x^2+1$	$x^2$	$x^2+x+1$	$x^2+x$
010	$x$	$x$	$x+1$	0	1	$x^2+x$	$x^2+x+1$	$x^2$	$x^2+1$
011	$x+1$	$x+1$	$x$	1	0	$x^2+x+1$	$x^2+x$	$x^2+1$	$x^2$
100	$x^2$	$x^2$	$x^2+1$	$x^2+x$	$x^2+x+1$	0	1	$x$	$x+1$
101	$x^2+1$	$x^2+1$	$x^2$	$x^2+x+1$	$x^2+x$	1	0	$x+1$	$x$
110	$x^2+x$	$x^2+x$	$x^2+x+1$	$x^2$	$x^2+1$	$x$	$x+1$	0	1
111	$x^2+x+1$	$x^2+x+1$	$x^2+x$	$x^2+1$	$x^2$	$x+1$	$x$	1	0

(a) Addition

		000	001	010	011	100	101	110	111
	×	0	1	$x$	$x+1$	$x^2$	$x^2+1$	$x^2+x$	$x^2+x+1$
000	0	0	0	0	0	0	0	0	0
001	1	0	1	$x$	$x+1$	$x^2$	$x^2+1$	$x^2+x$	$x^2+x+1$
010	$x$	0	$x$	$x^2$	$x^2+x$	$x+1$	1	$x^2+x+1$	$x^2+1$
011	$x+1$	0	$x+1$	$x^2+x$	$x^2+1$	$x^2+x+1$	$x^2$	1	$x$
100	$x^2$	0	$x^2$	$x+1$	$x^2+x+1$	$x^2+x$	$x$	$x^2+1$	1
101	$x^2+1$	0	$x^2+1$	1	$x^2$	$x$	$x^2+x+1$	$x+1$	$x^2+x$
110	$x^2+x$	0	$x^2+x$	$x^2+x+1$	1	$x^2+1$	$x+1$	$x$	$x^2$
111	$x^2+x+1$	0	$x^2+x+1$	$x^2+1$	$x$	1	$x^2+x$	$x^2$	$x+1$

(b) Multiplication

choose an irreducible polynomial of degree 3: ( $x^3 + x + 1$ )





# Table 5.1. Arithmetic Modulo 8

+	0	1	2	3	4	5	6	7
0	0	1	2	3	4	5	6	7
1	1	2	3	4	5	6	7	0
2	2	3	4	5	6	7	0	1
3	3	4	5	6	7	0	1	2
4	4	5	6	7	0	1	2	3
5	5	6	7	0	1	2	3	4
6	6	7	0	1	2	3	4	5
7	7	0	1	2	3	4	5	6

(a) Addition modulo 8

×	0	1	2	3	4	5	6	7
0	0	0	0	0	0	0	0	0
1	0	1	2	3	4	5	6	7
2	0	2	4	6	0	2	4	6
3	0	3	6	1	4	7	2	5
4	0	4	0	4	0	4	0	4
5	0	5	2	7	4	1	6	3
6	0	6	4	2	0	6	4	2
7	0	7	6	5	4	3	2	1

(b) Multiplication modulo 8

$w$	$-w$	$w^{-1}$
0	0	—
1	7	1
2	6	—
3	5	3
4	4	—
5	3	5
6	2	—
7	1	7

(c) Additive and multiplicative inverses modulo 8

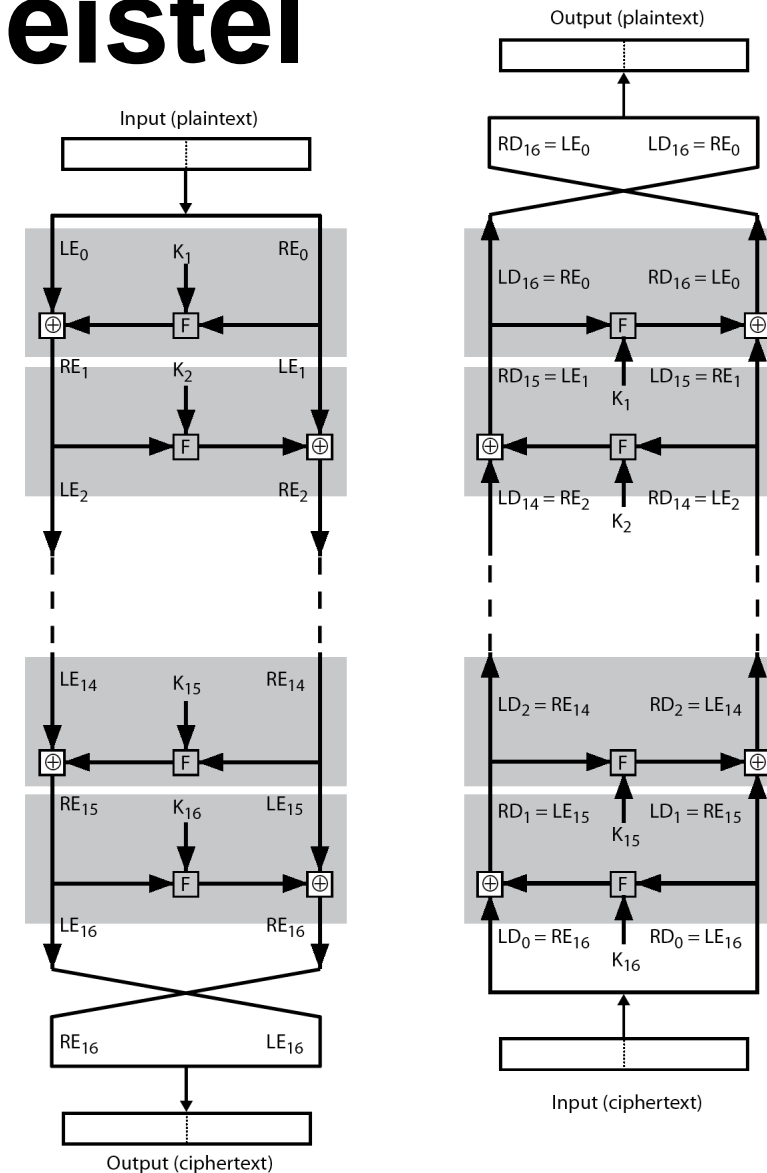
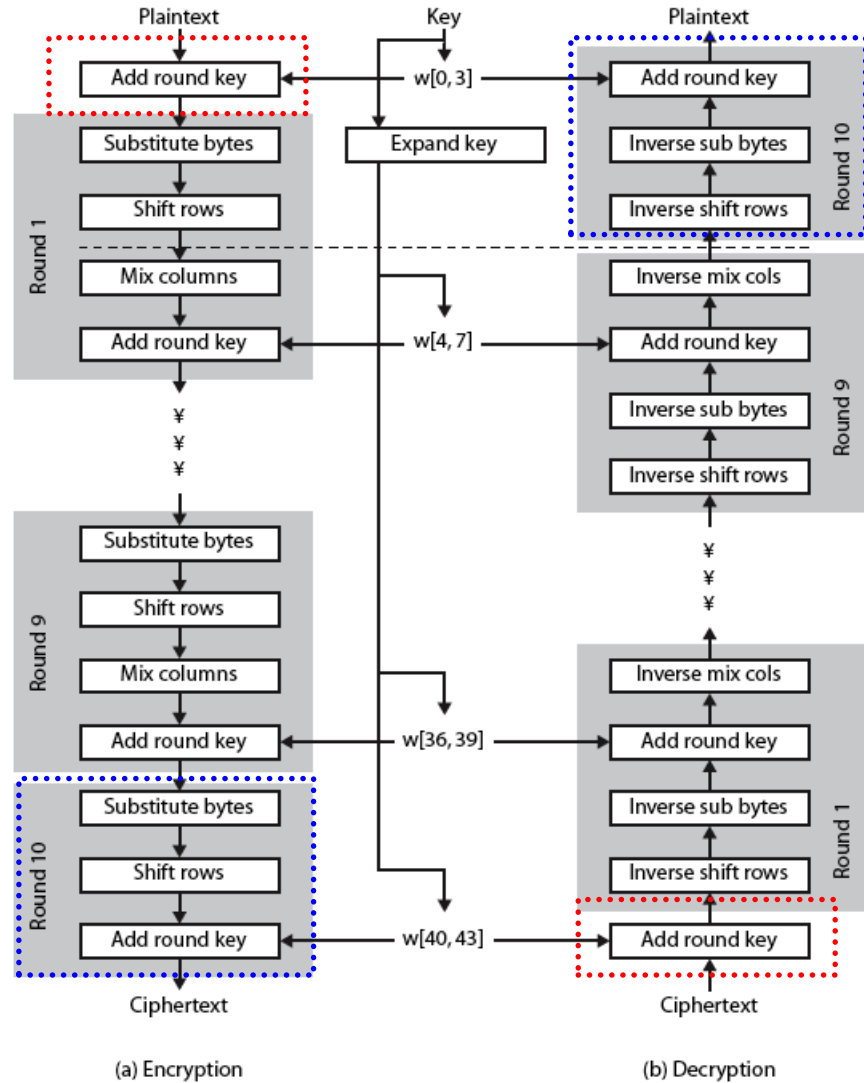


# Summary: Operations of $GF(2^n)$

- Any element of  $GF(2^n)$  is represented as a polynomial
  - E.g. 1100:  $x^3 + x^2$
- +(addition)
  - polynomial notation: adding corresponding coefficients based on modulo 2
  - binary notation: a bitwise XOR operation.
- ·(multiplication)
  - polynomial notation: perform the ordinary rules of polynomial arithmetic and Arithmetic on the coefficients is performed modulo 2, then modulo some irreducible polynomial  $m(x)$  of degree
  - binary notation: multiplication is shift & XOR

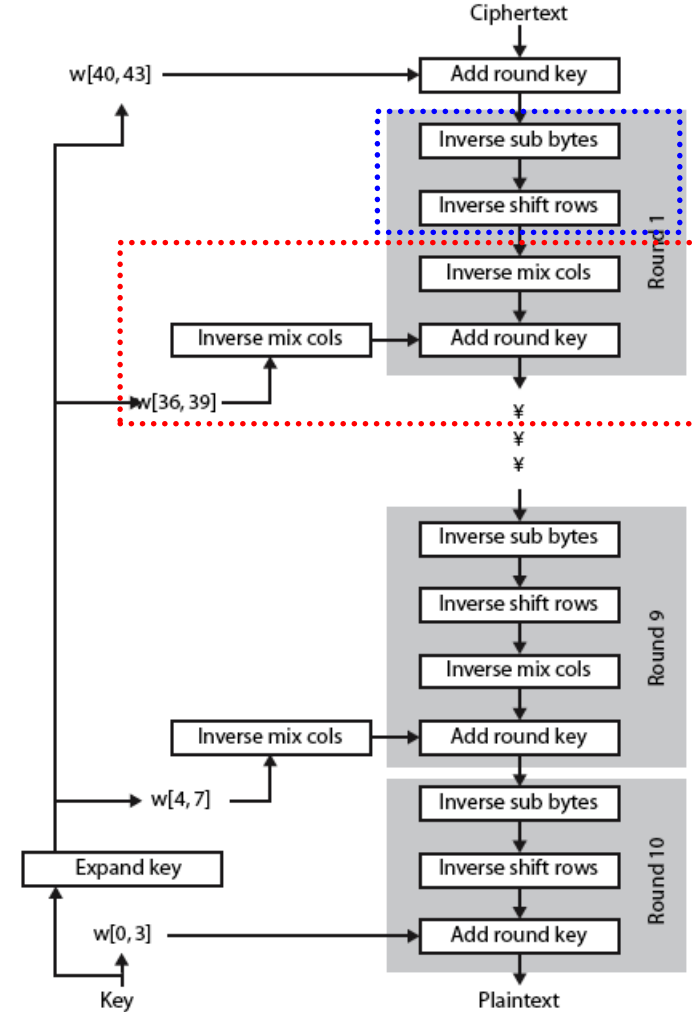
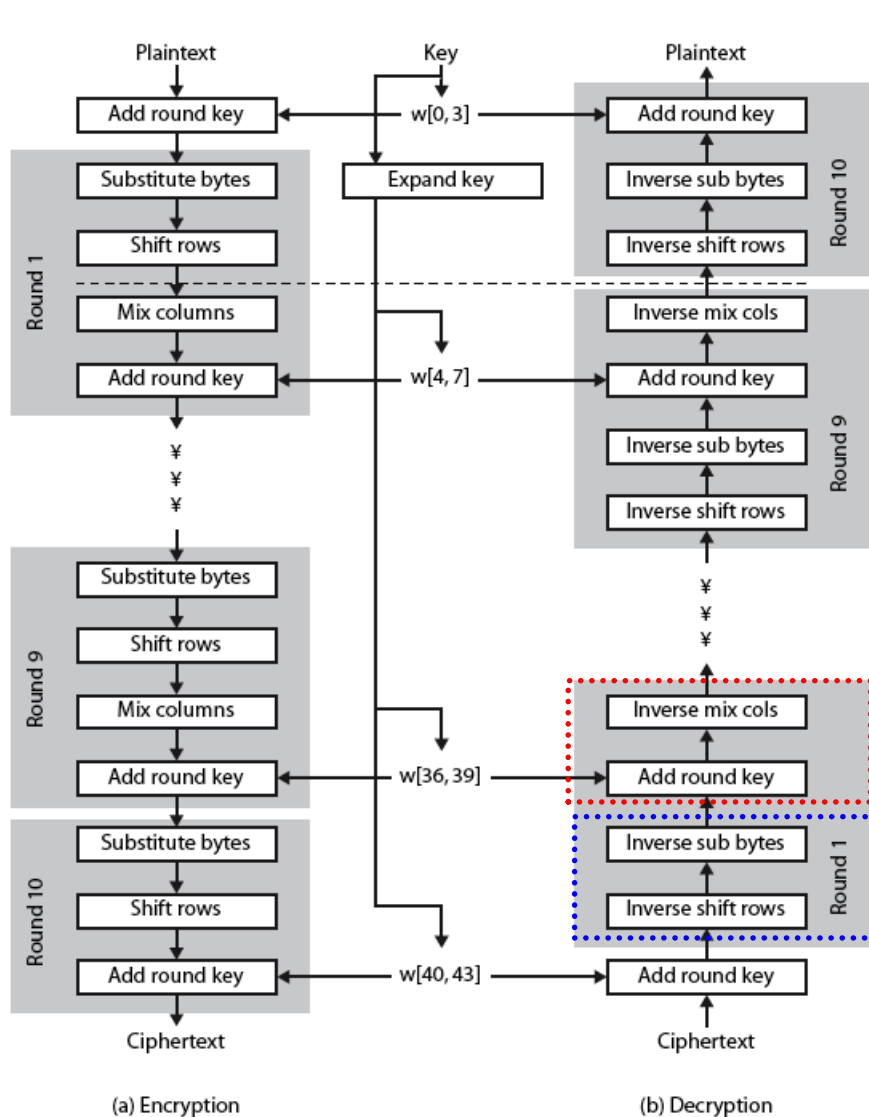


# Structure of AES vs. Feistel



**AES is an iterative rather than feistel cipher**

$$AE \left\{ \text{InvMixColumns} (S_i \oplus w_j) = [\text{InvMixColumns} (S_i)] \oplus [\text{InvMixColumns} (w_j)] \right.$$



## Equivalent Decryption

# 5 Modes of Operation and RC4

- **modes of operation**: some way to en/decrypt **arbitrary amounts** of data in practise
  - **Block modes**: may need padding the last block
    - Electronic Codebook Book (ECB)
    - **Cipher Block Chaining (CBC)**
  - **Stream modes**: Encryption function also used for decryption
    - Cipher Feedback (CFB)
    - Output Feedback (OFB)
    - **Counter (CTR)**



- **Compare five modes of operation**
  - How to work in encryption and decryption
  - Properties(优?劣?)
    - Same plaintext blocks (under the same key) result in same ciphertext blocks?
    - Error propagation: 会影响到后续多少个分组的解密?
    - Self-synchronizing: 能否?
    - High speed?
  - Traditional application

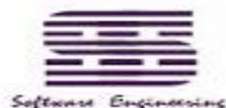


Mode	Description	Typical Application
Electronic Codebook (ECB)	Each block of 64 plaintext bits is encoded independently using the same key.	<ul style="list-style-type: none"> <li>Secure transmission of single values (e.g., an encryption key)</li> </ul>
Cipher Block Chaining (CBC)	The input to the encryption algorithm is the XOR of the next 64 bits of plaintext and the preceding 64 bits of ciphertext.	<ul style="list-style-type: none"> <li>General-purpose block-oriented transmission</li> <li>Authentication</li> </ul>
Cipher Feedback (CFB)	Input is processed $j$ bits at a time. Preceding ciphertext is used as input to the encryption algorithm to produce pseudorandom output, which is XORed with plaintext to produce next unit of ciphertext.	<ul style="list-style-type: none"> <li>General-purpose stream-oriented transmission</li> <li>Authentication</li> </ul>
Output Feedback (OFB)	Similar to CFB, except that the input to the encryption algorithm is the preceding DES output.	<ul style="list-style-type: none"> <li>Stream-oriented transmission over noisy channel (e.g., satellite communication)</li> </ul>
Counter (CTR)	Each block of plaintext is XORed with an encrypted counter. The counter is incremented for each subsequent block.	<ul style="list-style-type: none"> <li>General-purpose block-oriented transmission</li> <li>Useful for high-speed requirements</li> </ul>

A simple rule of thumb(常用规则) is, unless you have to use CBC mode, choose CTR instead.



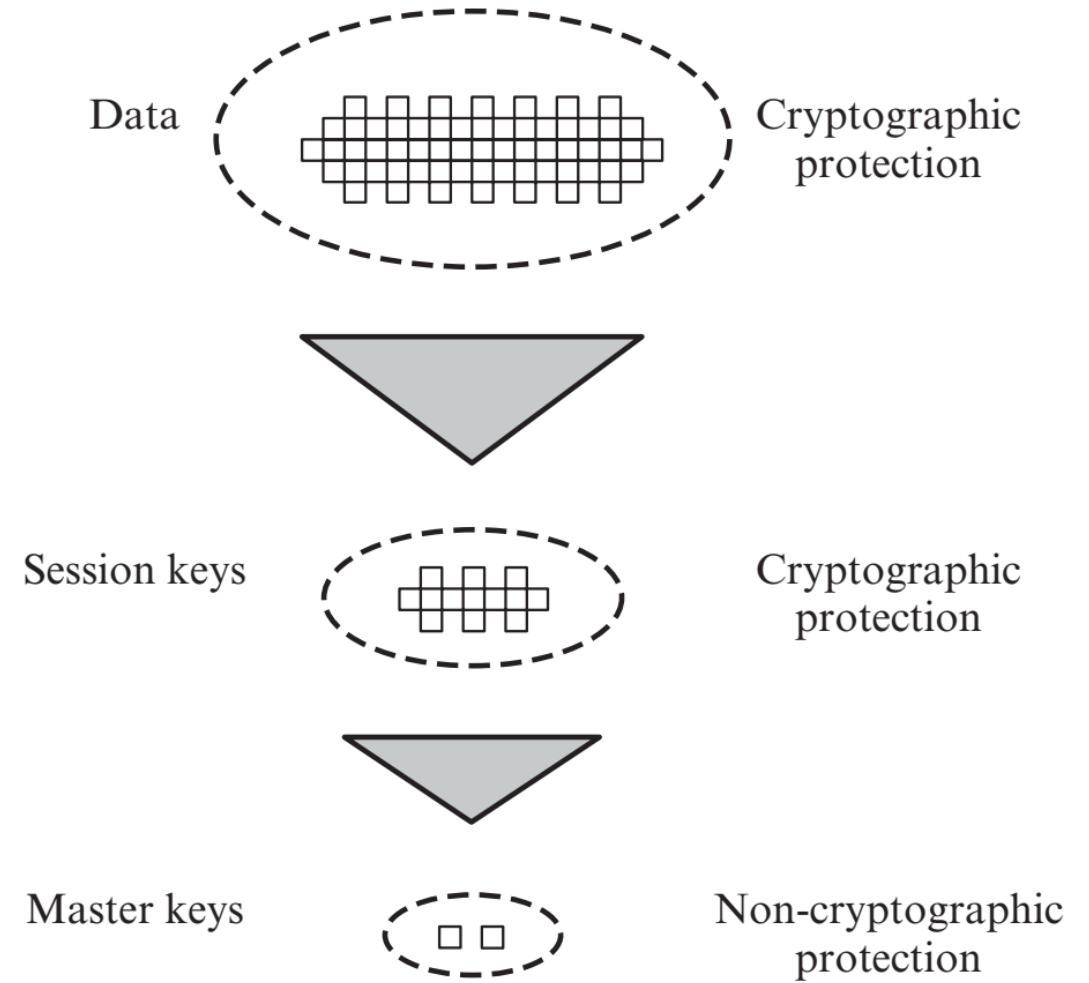
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# 6 Secure Communication

## ——Confidentiality Using Symmetric Encryption

- **Key Hierarchy(层次结构)**
  - **session(会话) key**
    - temporary key
    - used for encryption of data between users
    - for one logical session then discarded
  - **master(主) key**
    - used to encrypt session keys
    - shared by user & key distribution center for long time



**Figure 14.2** The Use of a Key Hierarchy



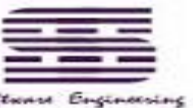
- **Given parties A and B have various key distribution alternatives:**

1. A can select key and physically deliver to B
2. third party can select & deliver key to A & B
3. **Decentralized Key Control:** if A & B have communicated previously, they can use previous key to encrypt a new key
4. **Centralized Key Control:** if A & B have secure communications with a third party C, C can relay(传达) key between A & B
  - ✓ **Needham-Schroeder Shared-key Protocol** & improvements
  - ✓ Kerberos
5. **Use public encryption to protect secret key shared by both**
  - ✓ Simple Secret Key Distribution: Man-in-the-middle Attack
  - ✓ Secret Key Distribution with Confidentiality and Authentication
6. **Key Pre-distribution Schemes( no key required)**
  - ✓ Anonymous Diffie-Hellman: (base Diffie-Hellman algorithm) -> STS
  - ✓ Shamir's no-key protocol

Use  
master  
key



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- **session key**
  - **temporary** key
  - used for encryption of **data** between users
  - for one logical session then discarded
  - By symmetric or public encryption
- **master key**
  - used to encrypt **session** keys
  - shared by user & key distribution center for long time
  - Generated by Key Pre-distribution, public encryption, password



# 7 Public-Key Cryptography

## • Evolution of Cryptography

- Before 1976, all cryptographic systems have been based on the elementary tools of substitution and permutation
  - **by hand** -> **rotor** encryption/decryption **machine** -> With the availability of **computers**: DES, AES.....
- 1976, the concept of public-key cryptography is developed by Diffie and Hellman.
  - public-key algorithms are based on mathematical functions rather than on substitution and permutation
    - RSA: **factoring large numbers**
    - ElGamal: **discrete logarithms problem**
  - developed to address two key issues:
    - **key distribution** – how to have secure communications in general without having to trust a KDC with your key
    - **digital signatures** – how to verify a message comes intact(完整的) from the claimed sender



- **public-key/two-key/asymmetric cryptography involves the use of two keys:**
  - a public-key, which may be known by **anybody**, and can be used to **encrypt messages**, and **verify signatures**
  - a private-key, known **only to the recipient**, used to **decrypt messages**, and **sign (create) signatures**
- **Public-Key Applications:**
  - encryption/decryption (provide secrecy)
  - digital signatures (provide authentication)
  - key exchange (of session keys)

Algorithm	Encryption/Decryption	Digital Signature	Key Exchange
RSA	Yes	Yes	Yes
Elliptic Curve	Yes	Yes	Yes
Diffie-Hellman	No	No	Yes
DSS	No	Yes	No



## • Requirements for Public-Key Cryptography

- ① computationally **easy** to generate a pair ( $PU_b$  and  $PR_b$ ).
- ② computationally **easy** to compute cipher-text for a sender A knowing the public key and the plain-text  $M$  :  $C = E(PU_b, M)$
- ③ computationally **easy** to recover the original message for the receiver B knowing cipher-text and private key :  $M = D(PR_b, C)$
- ④ computationally **infeasible** for an adversary, knowing the public key  $PU_b$ , to determine private key  $PR_b$ .
- ⑤ computationally **infeasible** for an adversary, knowing the public key  $PU_b$  and a cipher-text  $C$ , to recover  $M$ .
- ⑥  $M = D[PU_b, E(PR_b, M)] = D[PR_b, E(PU_b, M)]$  (not necessary)



- trap-door one-way function

$Y = f_k(X)$       easy, if  $k$  and  $X$  are known

$X = f_k^{-1}(Y)$       easy, if  $k$  and  $Y$  are known

$X = f_k^{-1}(Y)$       infeasible, if  $Y$  is known but  $k$  is not known



# Concepts from number theory

- Prime number: (c.f. Section 2.4 in textbook)
  - is an integer that can only be divided without remainder by positive and negative values of itself and 1.
- Greatest Common Divisor: (c.f. Section 2.2 in textbook)
  - $\gcd[a(x), b(x)]$  is the polynomial of maximum degree that divides both  $a(x)$  and  $b(x)$ .
- Euler's totient function(欧拉函数)  $\phi(n)$  (c.f. Section 2.5 in textbook)
  - $\phi(n)$  defined as the number of positive integers less than  $n$  and relatively prime to  $n$
- Euler's Theorem(欧拉定理) (c.f. Section 2.5 in textbook)
  - $a^{\phi(n)} \bmod n = 1$  where  $\gcd(a, n) = 1$ .
- Fermat's Theorem(费马定理)(c.f. Section 2.5 in textbook)
  - $a^{p-1} \bmod p = 1$ , where  $p$  is prime and  $a$  is a positive integer not divisible by  $p$ .
- Chinese remainder theorem(CRT)(中国剩余定理)(c.f. Section 2.7)
  - provides a way to manipulate (potentially very large) numbers mod  $M$  in terms of tuples of smaller numbers.
- extended Euclid's algorithm

Euclidean algorithm:  $\gcd(a, b) = \gcd(b, a \bmod b)$  (if  $a > b$ )

- **Modular arithmetic** exhibits the following properties:  
(c.f. Section 2.3 in textbook)

- $[(a \bmod n) + (b \bmod n)] \bmod n = (a + b) \bmod n$
- $[(a \bmod n) - (b \bmod n)] \bmod n = (a - b) \bmod n$
- $[(a \bmod n) \times (b \bmod n)] \bmod n = (a \times b) \bmod n$

- E.g.

$11 \bmod 8 = 3; 15 \bmod 8 = 7$

$[(11 \bmod 8) + (15 \bmod 8)] \bmod 8 = 10 \bmod 8 = 2$

$(11 + 15) \bmod 8 = 26 \bmod 8 = 2$

$[(11 \bmod 8) (15 \bmod 8)] \bmod 8 = 4 \bmod 8 = 4$

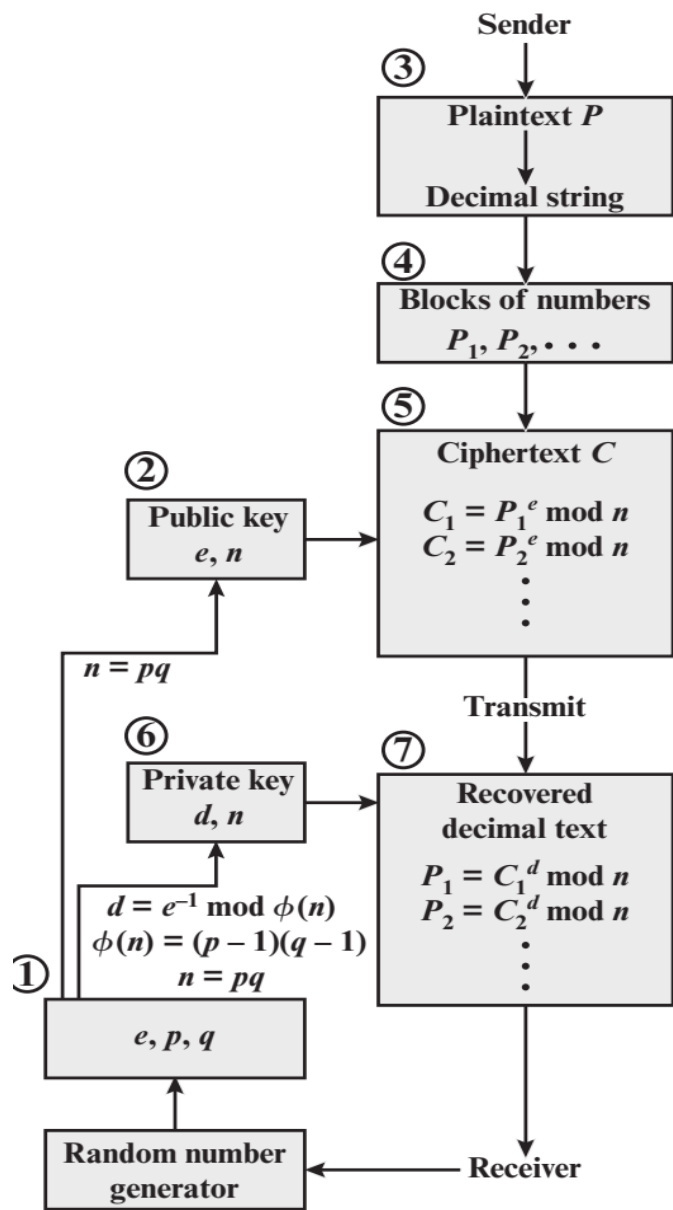
$(11 \times 15) \bmod 8 = 165 \bmod 8 = 5$

$[(11 \bmod 8) \times (15 \bmod 8)] \bmod 8 = 21 \bmod 8 = 5$

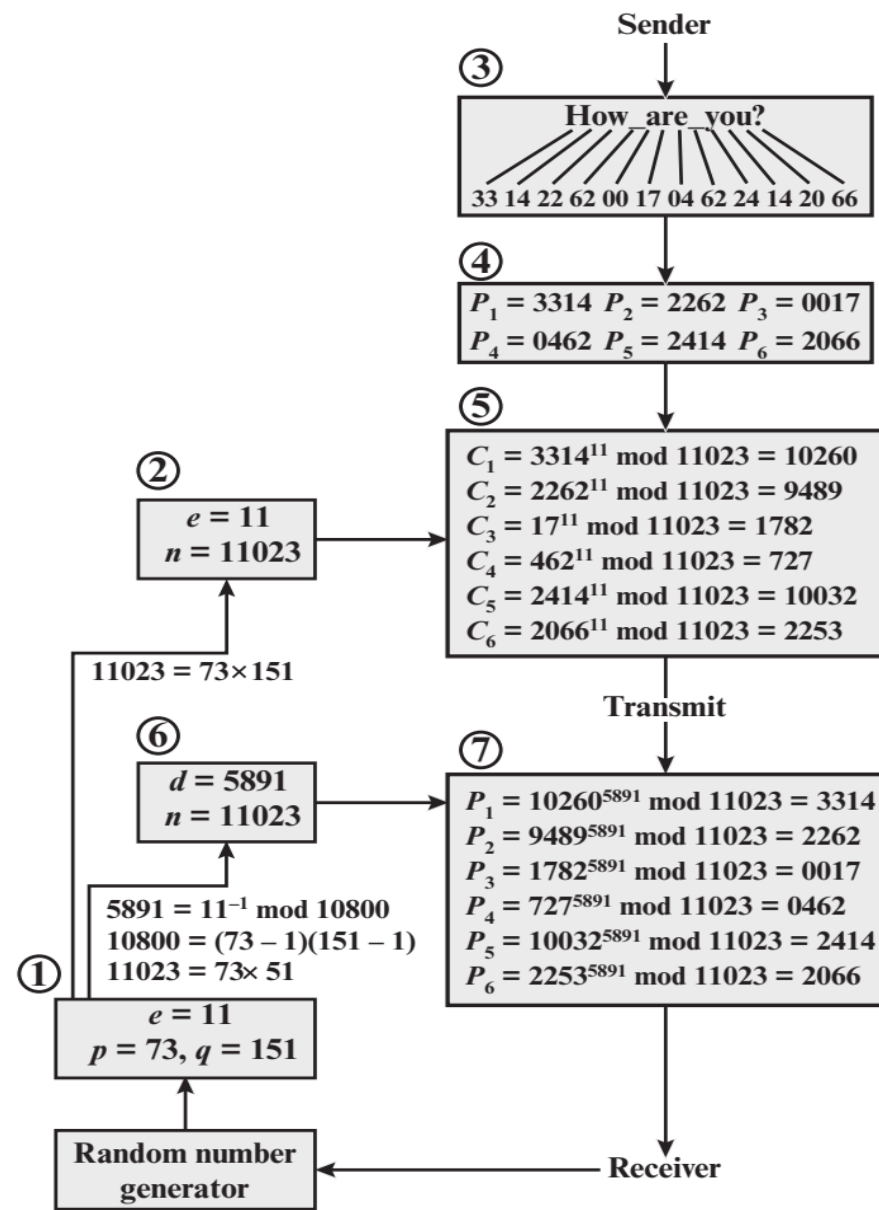
$(11 \times 15) \bmod 8 = 165 \bmod 8 = 5$







(a) General approach



(b) Example

Figure 9.7 RSA Processing of Multiple Blocks

# ■ Computational Aspects of RSA

- Exponentiation in Modular Arithmetic
  - can use the Square and Multiply Algorithm
- Efficient Operation Using Public Key  $e$ 
  - ensure  $\gcd(e, \phi(n))=1$
  - If  $e$  has small number of 1 bits, encryption will be faster
    - $e=3$ ,  $e=0x10001$
  - If  $e=3$ , can attack using Chinese remainder theorem
    - use the Chinese Remainder Theorem (CRT)
- Efficient Operation Using Private Key  $d$ 
  - $d$  may have many 1 bits
  - use the CRT
- Key Generation: use the extended Euclid's algorithm



- $M = C^d \bmod n$
- Using CRT,  $M = (V_p X_p + V_q X_q) \bmod n$ 
  - $V_p = C^d \bmod p$
  - $V_q = C^d \bmod q$
  - $X_p = q \times (q^{-1} \bmod p)$
  - $X_q = p \times (p^{-1} \bmod q)$
- $X_p$  and  $X_q$  can be precalculated



- If  $\gcd(C, p) = 1$ 
  - Using Fermat's Theorem,  $C^{(p-1)} \bmod p = 1$ . For some  $k_1$  and  $k_2$ ,  $d = k_1 * (p-1) + k_2$  where  $d > (p-1)$ , hence
  - $V_p = C^d \bmod p = C^{k_1 * (p-1) + k_2} \bmod p = (C^{(p-1)})^{k_1} * C^{k_2} \bmod p$   
 $= 1 * C^{k_2} \bmod p = C^{d \bmod (p-1)} \bmod p$
  - Compute  $V_q$  in two case
    - Case  $\gcd(C, q) \neq 1$ ,  $V_q = 0$ .
    - Case  $\gcd(C, q) = 1$ ,  $V_q = C^d \bmod q = C^{d \bmod (q-1)} \bmod q$ .
- Else  $\gcd(C, p) \neq 1$ 
  - //Assume  $C = k * p$ , then must have  $\gcd(C, q) = 1$  because  $p, q$  are prime and  $C < n = p * q$ .
  - $V_p = C^d \bmod p = (k * p)^d \bmod p = 0$
  - $V_q = C^d \bmod q = C^{d \bmod (q-1)} \bmod q$



- RSA is vulnerable to a Chosen Ciphertext Attack (CCA)
  - $E(PU, M1) * E(PU, M2) = E(PU, M1 * M2)$
- Countermeasures:
  - counter with random pad of plaintext
$$E(PU, P(M1)) * E(PU, P(M2)) = E(PU, P(M1) * P(M2)) \\ \neq E(PU, P(M1 * M2))$$
  - Pad M to EM by using Optimal Asymmetric Encryption Padding (OAEP)
  - Then Encrypt EM by RSA algorithm.



- **Distribution of Public Keys:**
  - public announcement(发布)
  - publicly available directory(目录)
  - public-key authority(授权)
  - public-key certificates(证书)



# Requirements on Public-Key Certificates

- Any participant can read a certificate to determine the name and public key of the certificate's owner.
- Any participant can verify that the certificate originated from the certificate authority and is not counterfeit.
- Only the certificate authority can create and update certificates.

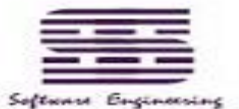


# 8 Hash Functions

- Definition
- Hash value vs. MAC



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# Requirements for Hash Functions P349

1. can be applied to any sized message  $M$
2. produces fixed-length output  $h$
3. is easy to compute  $h=H(M)$  for any message  $M$
4. One-way property: given  $h$  is infeasible to find  $x$  s.t.  $H(x)=h$  (满足)
5. Weak collision resistance: given  $x$  is infeasible to find  $y$  s.t.  $H(y)=H(x)$
6. Strong collision resistance: is infeasible to find any  $x, y$  s.t.  $H(y)=H(x)$

Basic  
Requirements



**Table 11.1** Requirements for a Cryptographic Hash Function  $H$

Requirement	Description
Variable input size	$H$ can be applied to a block of data of any size.
Fixed output size	$H$ produces a fixed-length output.
Efficiency	$H(x)$ is relatively easy to compute for any given $x$ , making both hardware and software implementations practical.
Preimage resistant (one-way property)	For any given hash value $h$ , it is computationally infeasible to find $y$ such that $H(y) = h$ .
Second preimage resistant (weak collision resistant)	For any given block $x$ , it is computationally infeasible to find $y \neq x$ with $H(y) = H(x)$ .
Collision resistant (strong collision resistant)	It is computationally infeasible to find any pair $(x, y)$ with $x \neq y$ , such that $H(x) = H(y)$ .
Pseudorandomness	Output of $H$ meets standard tests for pseudorandomness.



# Birthday Attack

*Appendix 11A P250*

**Q: How many students must be in a class so that there is a greater than 50% chance that**

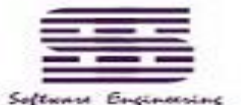
- 1. one of the students shares the teacher's birthday (up to the day and month)?**
- 2. any two of the students share the same birthday (up to the day and month)**

**366/2=183 Birthday paradox(悖论)**

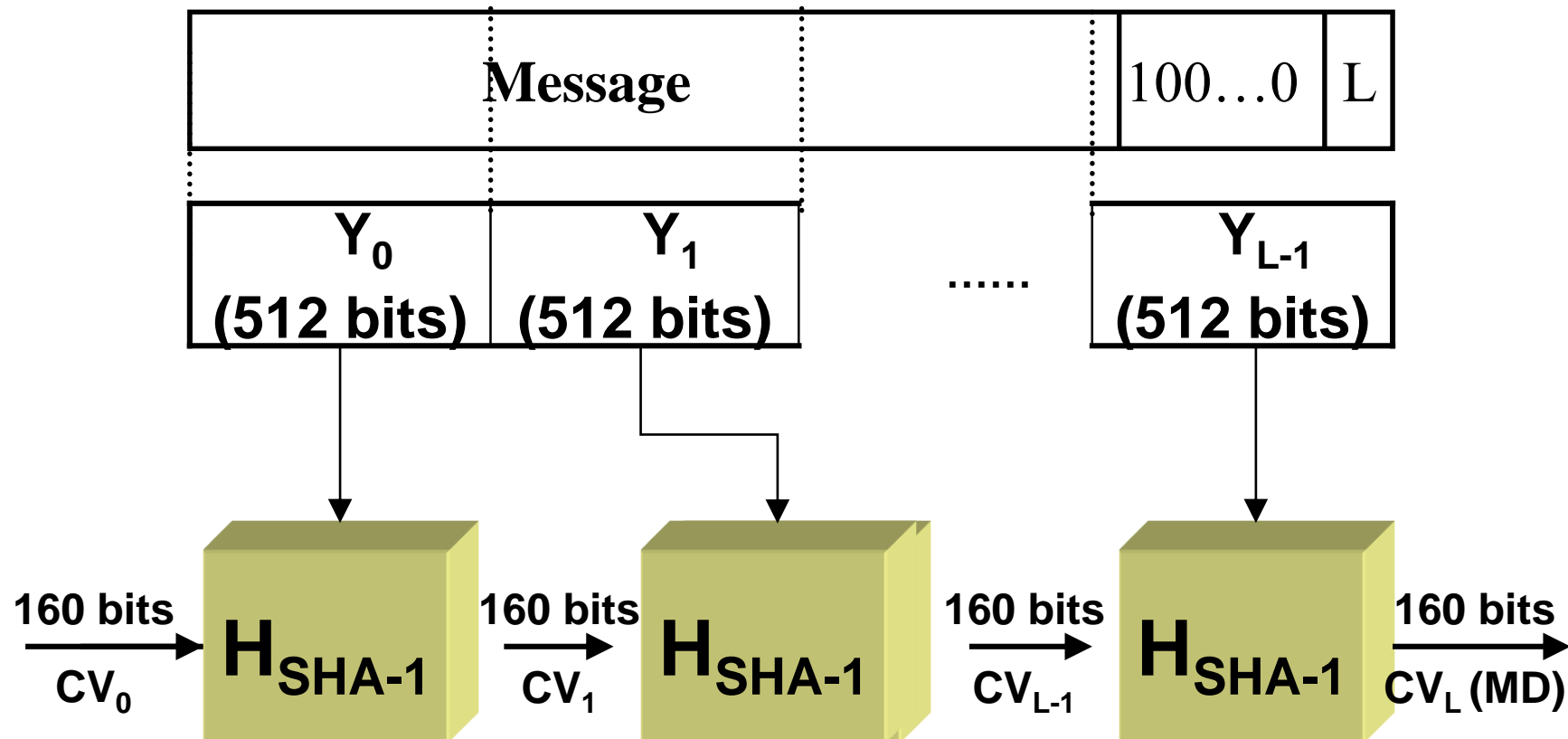
$$1.18 * (366)^{1/2} \approx 23$$



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$Y = Y_0 Y_1 \dots Y_{L-1}$  ( $Y_i$  has 16 words=512bits)  
 $CV_0$  (Initial Value of Buffer(ABCDE)) (5 word)  
 $CV_{q+1} = H_{SHA-1}(CV_q, Y_q)$   
 $MD = CV_{q+1}$  (Output)

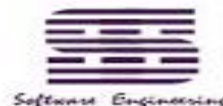


# 9 Message Authentication

- **Requirements for MACs** *P392*
  1. **knowing a message and MAC, is infeasible to find another message with same MAC**
    - infeasible to find collision
  2. **MACs should be uniformly distributed**
    - Resistance against brute-force attack based on chosen plaintext
  3. **MAC should depend equally on all bits of the message**
    - "weak spots" of message don't exist



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- **MACs Based On Hash Functions: HMAC**
  - original proposal:
    - KeyedHash= Hash (Key | Message) **forge?**
    - KeyedHash= Hash (Message | Key) **collision?**
- **MACs Based On Block Ciphers: DAA and CMAC**



# 10 Digital Signatures

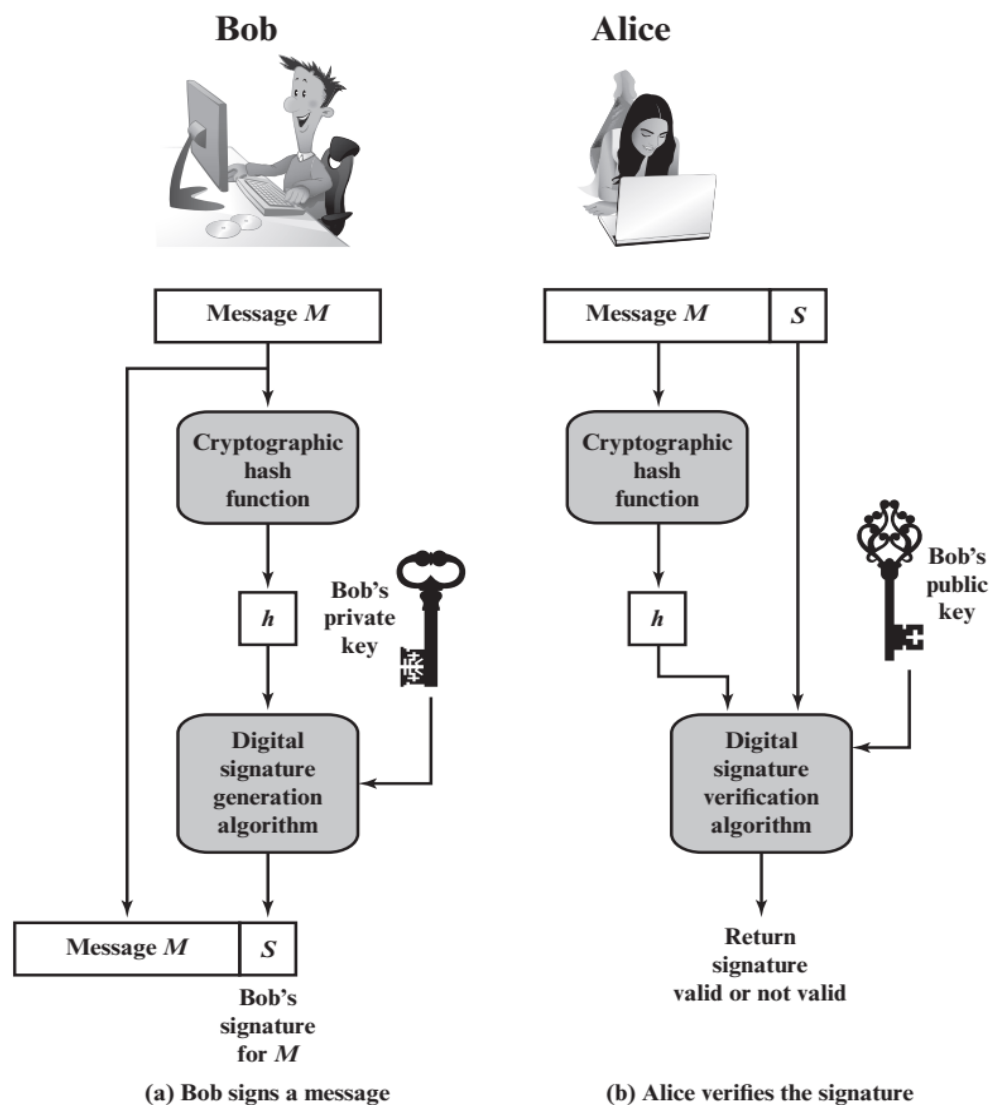


Figure 13.1 Simplified Depiction of Essential Elements of Digital Signature Process



# 15 User Authentication

- Entity authentication: is the process whereby one party(verifier) is assured of the identity of a second party(claimant) involved in a protocol, and that the second has actually participated.
- How?
  - Passwords: “Encrypted” password
  - Challenge-response Identification: Time-variant parameters
    - Challenge:  $Prover/Claimant \leftarrow Verifier$  (*not necessary*)
    - Response:  $Prover/Claimant \rightarrow Verifier$
  - Customized and Zero-knowledge Identification Protocols
    - $A \rightarrow B$  : (**public**) **witness** computed from a random element
    - $A \leftarrow B$  : **challenge** by selecting one question.
    - $A \rightarrow B$  : **response** by answering question (and further B judges by checking for its correctness).





# Objectives of identification protocols

- For **honest parties** A and B, A is able to **successfully authenticate(证明)** itself to B, i.e., B will complete the protocol having accepted A's identity
- (**No transferability**) B cannot reuse an identification exchange with A so as to successfully impersonate A to a third party C.
- (**No impersonation**) The probability of successful impersonation is negligible.



# Basis of Identification

- **something known.**
  - E.g., passwords, Personal Identification Numbers (PINs), and the secret or private keys.
- **something possessed:** typically a physical accessory.
  - E.g., magnetic-striped cards, *chipcards*, and hand-held customized calculators (*password generators*) which provide time-variant passwords.
- **something inherent** (to a human individual): use of human physical characteristics and involuntary actions (*biometrics*)
  - E.g., handwritten signatures, fingerprints, voice, retinal patterns, hand geometries, and dynamic keyboarding characteristics. (not discussed further here)

