# Introduction to Residual Neural Network (ResNet)

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- Background
- ► Residual neural network
- ► Variants of residual blocks
- Some analysis

# Why Deep Neural Networks?

- Shallow NNs (single hidden layer)
  - ▶ ¹Universal approximation theorem (uniformly)
  - $ightharpoonup^{2} \epsilon^{-d/n}$  neurons can approximate any  $C^n$ -function on a compact set in  $\mathbb{R}^d$  with error  $\epsilon$
- Deep NNs: better than shallow NNs (of comparable size)
  - <sup>3</sup>Exists a function expressible by a 3-layer NN, which cannot be approximated by any 2-layer network (unless exponentially large)
  - $\qquad \qquad 4 \frac{\text{size}_{\text{deep}}}{\text{size}_{\text{shallow}}} \approx \epsilon^{d}$

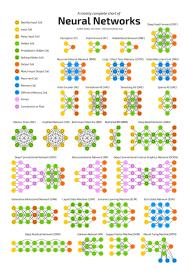
<sup>&</sup>lt;sup>1</sup>Cybenko, Math. Control Signals Syst., 1989; Hornik et al., Neural Netw., 1989

<sup>&</sup>lt;sup>2</sup>Mhaskar, Neural Comput., 1996.

<sup>&</sup>lt;sup>3</sup>Eldan & Shamir, COLT, 2016.

<sup>&</sup>lt;sup>4</sup>Mhaskar & Poggio, Anal. Appl., 2016; Mhaskar et al., AAAI, 2017; Poggio et al., IJAC, 2017.

"In theory, theory and practice are the same. In practice, they are not." — Albert Einstein?



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#### Residual Neural Network<sup>5</sup>

- ▶ Deep networks are hard to train: vanishing gradients
- Core idea: "identity shortcut connection" that skips one or more layers
- ► Widely used: simple & powerful

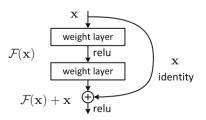
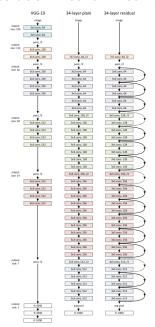


Figure 1: A residual block

<sup>&</sup>lt;sup>5</sup>He et al., CVPR, 2016.

#### Residual Neural Network



#### Residual Neural Network

- $\blacktriangleright \ \mathcal{H}(\mathbf{x}) = \mathcal{F}(\mathbf{x}) + \mathbf{x} \Rightarrow \mathcal{F}(\mathbf{x}) = \mathcal{H}(\mathbf{x}) \mathbf{x} \text{ (residual)}$
- ▶ Hypotheses: the residual may be an easier function to fit

#### $\mathcal{F}$ ?

- ▶ If  $\mathcal{F}$  has two layers,  $\mathcal{F}(\mathbf{x}) = W_2 \sigma(W_1 \mathbf{x})$
- If  $\mathcal{F}$  has one layers,  $\mathcal{F}(\mathbf{x}) = W_1 \mathbf{x}$ ,  $\mathcal{H}(\mathbf{x}) = W_1 \mathbf{x} + \mathbf{x} = (W_1 + 1)\mathbf{x}$ . No advantage!

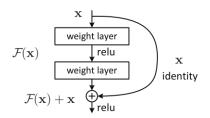
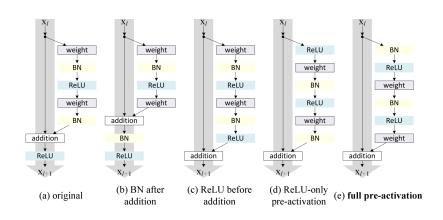


Figure 2: A residual block

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## Variants of Residual Blocks<sup>6</sup>



<sup>&</sup>lt;sup>6</sup>He et al., ECCV, 2016.

#### Variants of Residual Blocks

Accuracy can be gained more efficiently by increasing the cardinality than by going deeper or wider.

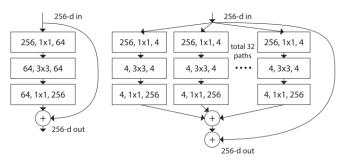


Figure 3: ResNeXt<sup>7</sup>: split-transform-merge

<sup>&</sup>lt;sup>7</sup>Xie et al., CVPR, 2017.

#### Variants of Residual Blocks

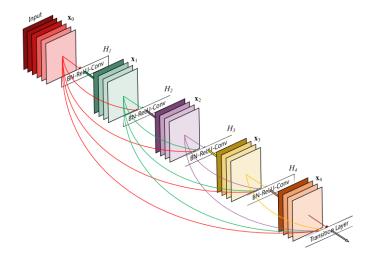


Figure 4: DenseNet<sup>8</sup>

<sup>&</sup>lt;sup>8</sup>Huang et al., CVPR, 2017.

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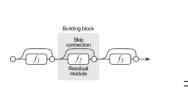
# Unraveled View<sup>9</sup>

$$y_3 = y_2 + f_3(y_2)$$

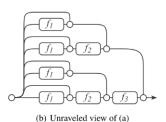
$$= [y_1 + f_2(y_1)] + f_3(y_1 + f_2(y_1))$$

$$= [y_0 + f_1(y_0) + f_2(y_0 + f_1(y_0))] + f_3(y_0 + f_1(y_0) + f_2(y_0 + f_1(y_0)))$$

#### 2<sup>n</sup> paths connecting input to output layers



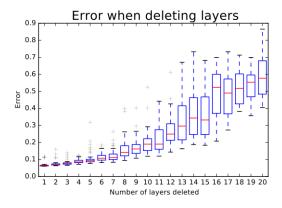
(a) Conventional 3-block residual network



<sup>&</sup>lt;sup>9</sup>Veit et al., NIPS, 2016.

## Ensemble-like Behavior<sup>10</sup>

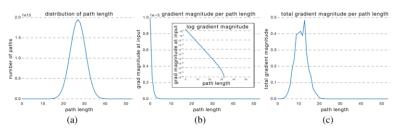
- Lesion study: randomly deleting several modules
- ▶ Paths do not strongly depend on each other



<sup>&</sup>lt;sup>10</sup>Veit et al., NIPS, 2016.

# Vanishing Gradients?<sup>11</sup>

- The effective paths are relatively shallow
- Only the short paths contribute gradients
- ResNet does not resolve vanishing gradients by preserving gradient flow throughout the entire network. Rather, they enable very deep networks by shortening the effective paths.



<sup>&</sup>lt;sup>11</sup>Veit et al., NIPS, 2016.

# Universal Approximation

#### Recall<sup>12</sup>:

lackbox To approximate any continuous function  $[0,1]^d o \mathbb{R}$  by ReLU NN: minimal width is d+1

ResNet with one hidden neuron:

$$\mathcal{H}(\mathbf{x}) = \mathbf{W}_2 \text{ReLU}(\mathbf{W}_1 \cdot \mathbf{x} + b) + \mathbf{x}$$

ldentity map (d dim) + one hidden neuron = d + 1 units

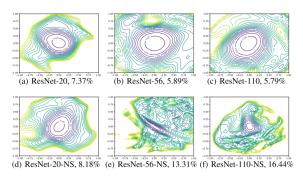
ResNet with one neuron per hidden layer: universal approximation (in  $L^1$ ) for any Lebesgue-integrable function as the depth  $\to \infty$ .<sup>13</sup>

<sup>&</sup>lt;sup>12</sup>Hanin et al., arXiv, 2017.

<sup>&</sup>lt;sup>13</sup>Lin & Jegelka, NIPS, 2018.

# Why Easier to Train?

- 2D visualization of the loss surface by "filter normalization" method<sup>14</sup>
- BoostResNet<sup>15</sup>: a training algorithm (non-differentiable), training error decays exponentially with depth



<sup>&</sup>lt;sup>14</sup>Li et al., NIPS, 2018.

<sup>&</sup>lt;sup>15</sup>Huang et al., ICML, 2018.

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#### Cerebral Cortex

- Cajal Ramon (the father & the mother of modern neuroscience)
- ► Pyramidal cells (1888)

