### Qbasis Manual

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### **Contents**

1	Intr	oduction	2
2	Mod	lel representation	3
	2.1	Matrix representation of local Hilbert Space	3
	2.2	Lin table	5
3	Fini	te size cluster	6
4	Sym	nmetry	7
	4.1	Translation symmetry	7
	4.2	Weisse table	7
5	Eige	envalue problem	8
	5.1	Lanczos algorithm	8
		5.1.1 Description	8
		5.1.2 Implementation	9
6	Cod	e examples	10
	6.1	Exact diagonalization	10

## Introduction

### **Model representation**

#### 2.1 Matrix representation of local Hilbert Space

#### Fermi-Hubbard Model

$$\mathcal{H} = -t \sum_{\langle ij \rangle} \sum_{\sigma} (c_{i\sigma}^{\dagger} c_{j\sigma} + h.c.) + U \sum_{i} n_{i\uparrow} n_{i\downarrow}. \tag{2.1.1}$$

Local Hilbert space is 4-dimensional:

$$\{|0\rangle, |\uparrow\rangle, |\downarrow\rangle, |\uparrow\downarrow\rangle\}.$$
 (2.1.2)

In this basis:

$$c_{\uparrow} = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \end{pmatrix}, \tag{2.1.3a}$$

$$c_{\downarrow} = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}. \tag{2.1.3b}$$

From these two opeators as input, the code is able to automatically derive the following operators:

$$c_{\uparrow}^{\dagger} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{pmatrix}, \tag{2.1.4a}$$

$$c_{\downarrow}^{\dagger} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix}, \tag{2.1.4b}$$

$$c_{\downarrow}^{\dagger} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix}, \tag{2.1.4b}$$

$$n_{\uparrow} = \begin{pmatrix} 0 & & & \\ & 1 & & \\ & & 0 & \\ & & & 1 \end{pmatrix}, \tag{2.1.4c}$$

$$n_{\downarrow} = \begin{pmatrix} 0 & & & \\ & 0 & & \\ & & 1 & \\ & & & 1 \end{pmatrix}. \tag{2.1.4d}$$

#### t-J Model

$$\mathcal{H} = -t \sum_{\langle ij \rangle} \sum_{\sigma} (c_{i\sigma}^{\dagger} c_{j\sigma} + h.c.) + J \sum_{\langle ij \rangle} \left[ \frac{S_i^{+} S_j^{-} + S_i^{-} S_j^{+}}{2} + S_i^{z} S_j^{z} - \frac{1}{4} n_i n_j \right],$$
(2.1.5)

where

$$S_i^+ = c_{i\uparrow}^\dagger c_{i\downarrow}, \tag{2.1.6a}$$

$$S_i^- = c_{i\downarrow}^{\dagger} c_{i\uparrow}, \tag{2.1.6b}$$

$$S_i^z = \frac{1}{2} \left( c_{i\uparrow}^{\dagger} c_{i\uparrow} - c_{i\downarrow}^{\dagger} c_{i\downarrow} \right). \tag{2.1.6c}$$

Local Hilbert space is 3-dimensional:

$$\{|0\rangle, |\uparrow\rangle, |\downarrow\rangle\}.$$
 (2.1.7)

In this basis:

$$c_{\uparrow} = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \tag{2.1.8a}$$

$$c_{\downarrow} = \begin{pmatrix} 0 & 0 & 1\\ 0 & 0 & 0\\ 0 & 0 & 0 \end{pmatrix}, \tag{2.1.8b}$$

and all other derived operators can be derived automatically by the code.

#### **Spinless Fermion**

$$\mathcal{H} = -t \sum_{\langle ij \rangle} (c_i^{\dagger} c_j + h.c.) + V_1 \sum_{\langle ij \rangle} n_i n_j. \tag{2.1.9}$$

Local Hilbert space is 2-dimensional:

$$\{|0\rangle, |1\rangle\}. \tag{2.1.10}$$

In this basis:

$$c = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}. \tag{2.1.11}$$

#### **Bose-Hubbard Model**

$$\mathcal{H} = -t \sum_{\langle ij \rangle} (b_i^{\dagger} b_j + h.c.) + \frac{U}{2} \sum_i n_i (n_i - 1)$$
 (2.1.12)

Local Hilbert space restricted to at most  $N_{max}$  bosons:

$$\{|0\rangle, |1\rangle, \dots, |N_{max}\rangle\}. \tag{2.1.13}$$

In this basis:

$$b = \begin{pmatrix} 0 & \sqrt{1} & 0 & 0 & 0 \\ 0 & 0 & \sqrt{2} & 0 & 0 \\ 0 & 0 & 0 & \ddots & 0 \\ 0 & 0 & 0 & \ddots & \sqrt{N_{max}} \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix}, \tag{2.1.14}$$

and other operators should be automatically derived.

#### 2.2 Lin table

### Finite size cluster

# **Symmetry**

- 4.1 Translation symmetry
- 4.2 Weisse table

### Eigenvalue problem

#### 5.1 Lanczos algorithm

#### 5.1.1 Description

The m-step Lanczos algorithm performs a factorization in the Krylov subspace:

$$HV = VT + b_m \mathbf{v}_m \mathbf{e}_m^T, \tag{5.1.1}$$

where H is the  $N \times N$  matrix of our problem,  $V = (v_0, v_1, \dots, v_{m-1})$  is  $N \times m$  matrix formed by the basis in the Krylov subspace, T is the tridiagonal Hessenberg matrix

$$T = \begin{pmatrix} a_0 & b_1 \\ b_1 & a_1 & \ddots \\ & \ddots & \ddots & b_{m-1} \\ & & b_{m-1} & a_{m-1} \end{pmatrix}, \tag{5.1.2}$$

and  $\boldsymbol{e}_m^T = (0,0,\dots,0,1)$  has only one non-zero element.

Note that  $v_i$  are orthonormal, i.e.

$$V^{\dagger}V = 1. \tag{5.1.3}$$

We can diagonalize the Hessenberg matrix T, giving eigen-pair  $(\theta_i, s_i)$  satisfying

$$Ts_i = s_i \theta_i, (5.1.4)$$

With such information, we can form the Ritz pair  $(\theta_i, y_i)$  which is a good approximation of the eigenvalues and eigenvectors of the original problem H, where

$$y_i \equiv V s_i. \tag{5.1.5}$$

To estimate the error of the eigenvalues, let's first roughly assume  $y_i$  is indeed the true eigenvector with eigenvalue  $E_i$ , such that  $Hy_i = E_i y_i$ . Then  $||Hy_i - \theta_i y_i|| =$ 

 $|E_i - \theta_i|$ , which shows that  $||Hy_i - \theta_i y_i||$  is a good estimate of the error of eigenvalue  $E_i$ . Now we relax the assumption about  $y_i$ , and calculate  $||Hy_i - \theta_i y_i||$  directly:

$$||H\boldsymbol{y}_{i} - \boldsymbol{\theta}_{i}\boldsymbol{y}_{i}|| = ||HV\boldsymbol{s}_{i} - V\boldsymbol{s}_{i}\boldsymbol{\theta}_{i}|| = ||HV\boldsymbol{s}_{i} - VT\boldsymbol{s}_{i}||$$

$$= ||(HV - VT)\boldsymbol{s}_{i}|| = ||\boldsymbol{b}_{m}\boldsymbol{v}_{m}\boldsymbol{e}_{m}^{T}\boldsymbol{s}_{i}||$$

$$= \boldsymbol{b}_{m}|\boldsymbol{e}_{m}^{T}\boldsymbol{s}_{i}|, \qquad (5.1.6)$$

which shows that we can use  $b_m \left| e_m^T s_i \right|$  as the error estimation for the Lanczos procedure.

#### 5.1.2 Implementation

The triditional 3-vector version:

```
Algorithm 5.1 Simple Lanczos algorithm, 3-vector vesion
Require: Space for 3 vectors in RAM: v_0, v_1, v_2
Require: An array of pointers \{\tilde{\boldsymbol{v}}_i \to \boldsymbol{v}_{i \bmod 3}\}
Require: v_0 normalized, v_1 = v_2 = 0, b_0 = 0.
  1: for j = 0, 1, \dots, m do
           \tilde{\boldsymbol{v}}_{j+1} = -b_j \tilde{\boldsymbol{v}}_{j-1} \text{ (copy from } \tilde{\boldsymbol{v}}_{j-1})
           \tilde{\boldsymbol{v}}_{j+1} = \tilde{\boldsymbol{v}}_{j+1} + H\tilde{\boldsymbol{v}}_j
  4:
           a_j = (\tilde{\boldsymbol{v}}_{j+1}, \tilde{\boldsymbol{v}}_j)
           \tilde{\boldsymbol{v}}_{j+1} = \tilde{\boldsymbol{v}}_{j+1} - a_j \tilde{\boldsymbol{v}}_j
  5:
           b_{j+1} = \|\tilde{\boldsymbol{v}}_{j+1}\|
  6:
           calculate \{\theta_i, s_i\}
            for the smallest \theta_i, calculate b_{j+1}|e_{j+1}^Ts_i|. Stop if small enough
  9:
            \tilde{\boldsymbol{v}}_{j+1} = \tilde{\boldsymbol{v}}_{j+1}/b_{j+1}
 10: end for
```

To save memory, we can actually use just 2 vectors:

```
Algorithm 5.2 Simple Lanczos algorithm, 2-vector vesion
Require: Space for 2 vectors in RAM: v_0, v_1
Require: An array of pointers \{\tilde{v}_i \rightarrow v_{i \bmod 2}\}
Require: v_0 normalized, v_1 = 0, b_0 = 0.
  1: for j = 0, 1, \dots, m do
          \tilde{v}_{j+1} = -b_j \tilde{v}_{j-1} (rescale itself, since \tilde{v}_{j+1} and \tilde{v}_{j-1} overlap in RAM)
           \tilde{\boldsymbol{v}}_{j+1} = \tilde{\boldsymbol{v}}_{j+1} + H\tilde{\boldsymbol{v}}_j
          a_j = (\tilde{\boldsymbol{v}}_{j+1}, \tilde{\boldsymbol{v}}_j)
  4:
       \tilde{\boldsymbol{v}}_{j+1} = \tilde{\boldsymbol{v}}_{j+1} - a_j \tilde{\boldsymbol{v}}_j
  5:
          b_{j+1} = \|\tilde{\boldsymbol{v}}_{j+1}\|
  6:
          calculate \{\theta_i, s_i\}
  7:
          for the smallest \theta_i, calcualte b_{j+1}|e_{j+1}^Ts_i|. Stop if small enough
  9:
           \tilde{\boldsymbol{v}}_{j+1} = \tilde{\boldsymbol{v}}_{j+1}/b_{j+1}
10: end for
```

# **Code examples**

6.1 Exact diagonalization