

學號：b10705025 系級：資管三 姓名：彭鈞道

我因為最好的model跟訓練參數忘記存下來，所以Kaggle競賽上最後選的是用public score 0.774的模型，檢查過了對分數沒有影響，再請助教檢查，謝謝。造成困擾非常抱歉。模型的連結在 <https://drive.google.com/file/d/1Kh6EKQSnrutE4Cf7MrROMqTXgbTsK15e/view?usp=sharing>

Kaggle Competetion

1. (1%) 請附上你在 kaggle 競賽上表現最好的降維以及分群方式，並條列**五種**不同降維維度的設定對應到的表現(public / private accuracy)

註1: *auto-encoder* 和 *PCA* 只要任一維度不一樣即可算是一種組合。

註2: 不限於以上方法，同學也可以使用任何其他 *embedding algorithm* 實現降維。

Auto-encoder dimension: 32, PCA: 12

 pred (38).csv Complete (after deadline) · 2m ago	0.75666	0.77088
--	----------------	----------------

Auto-encoder dimension: 32, PCA: 8

 pred (39).csv Complete (after deadline) · now	0.74933	0.76711	<input type="checkbox"/>
---	----------------	----------------	--------------------------

Auto-encoder dimension: 24, PCA: 8

 pred (40).csv Complete (after deadline) · now	0.75955	0.77666
---	----------------	----------------

Auto-encoder dimension: 24, PCA: 6

 pred (41).csv Complete (after deadline) · now	0.75644	0.77422
---	----------------	----------------

Auto-encoder dimension: 32 PCA: 6

 pred (42).csv Complete (after deadline) · now	0.75377	0.76844
---	----------------	----------------

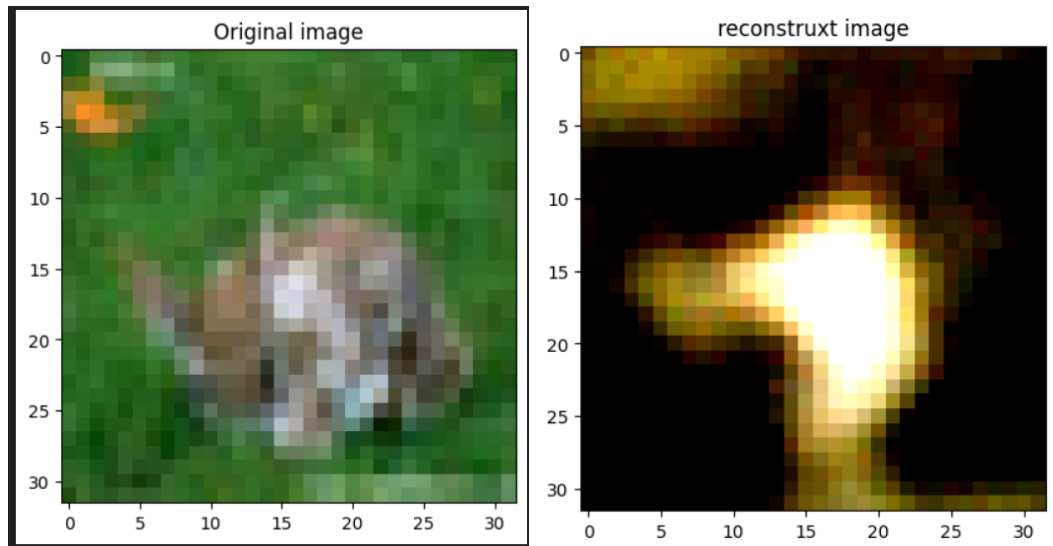
最好的當初是用Auto-encoder dimension: 24, PCA: 6，但之後寫report才發現Auto-encoder dimension: 24, PCA: 8比較好。

2. (1%) 從 trainX.npy 選出不同類別的 2 張圖，貼上原圖以及你的 autoencoder reconstruct 的圖片。用 Mean Square Error 計算這兩張圖的 reconstruction error, 並說明該 error 與 kaggle score 的關係。

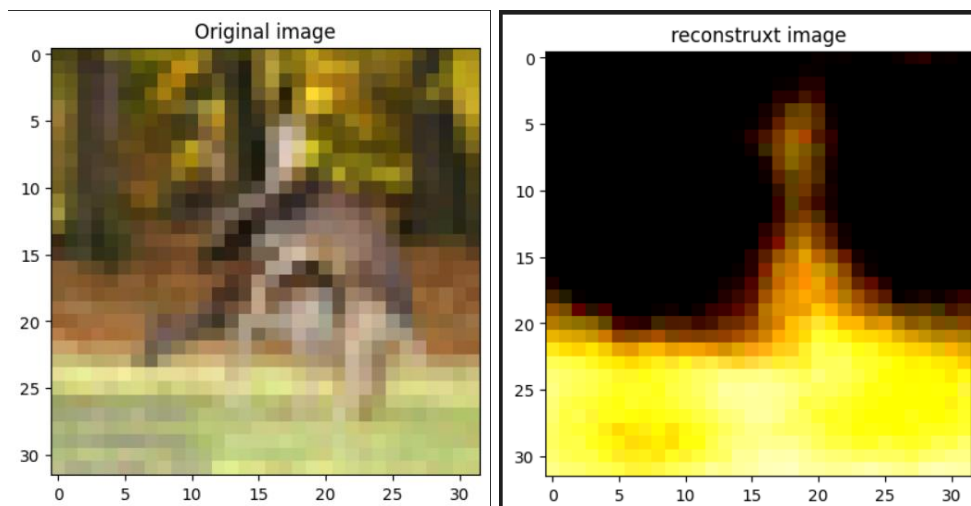
註1: 所以一共要貼上4張圖片。

註2: 原圖請貼上做 augmentation 之前的版本。

id = 0, label = 0, error : 0.5516



id = 32, label = 1, error : 0.2425



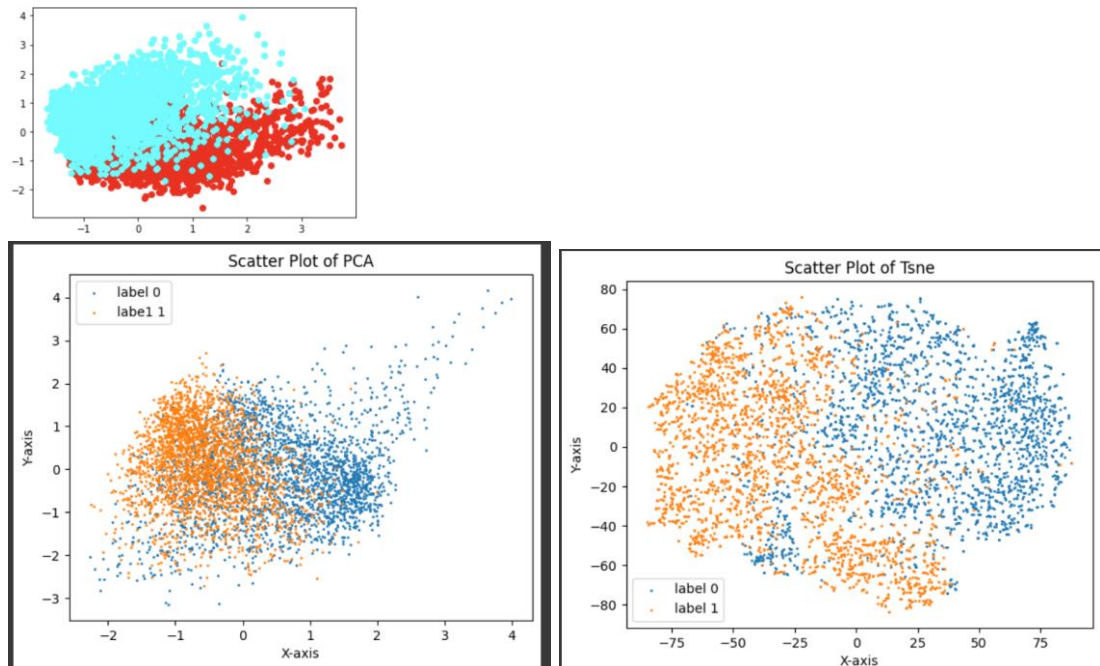
其中error是將圖片標準化後的結果，可以發現error較低的被分到label 1，error較高的被分到label 0，代表kaggle score取決於model對每張圖片的error。

3. (2%) 請使用 pca 以及 tsne 兩種方法, 將 visualization.npy 的圖片經過 autoencoder 降維後得到之 latent vector，進一步降維至二維平面並作圖。並說明兩張圖之差異。

註1: visualization.npy 前 2500 張 label 為 0；後 2500 張 label 為 1

註2: 一共要貼上2張圖片。

註3: 範例圖片如下 (顏色、分佈不用完全一樣)



可以發現PCA的降維可以找到軸線來分割，且降維的分佈可能就是由兩個PCA AXIS 來決定。TSNE的降維結果也可以大致用一條直線分割，但是分佈沒有PCA來的分散，圖片也較近似圓形(獲曲面)。

4. (6%) Refer to math problem :

hw3 1.

$$z = w \cdot x + b \quad z^f = w_f \cdot x + b_f$$

$$z^i = w_i \cdot x + b_i \quad z^o = w_o \cdot x + b_o$$

$$f(z) = \frac{1}{1+e^{-z}}, \quad g(z) = z, \quad h(z) = z$$

$$w = [0, 0, 1, 0], \quad b = 0$$

$$w_i = [50, 50, 0, 0], \quad b_i = -5$$

$$w_f = [-50, -50, 0, 0], \quad b_f = 120$$

$$w_o = [0, 0, 200, 0], \quad b_o = -30$$

$$x^1 = [0, 0, 1, 3] \Rightarrow z = 1 + 0 = 1, \quad z^i = -5$$

$$z^f = 120, \quad z^o = 200 - 30 = 170$$

$$c' = f(-5) \cdot g(z) + c f(z^f)$$

initial $c = 0$

$$= f(-5) \cdot 1 = \frac{1}{1+e^5}$$

$$y_1 = f(z^o) \cdot h(c')$$

$$= \frac{1}{1+e^{-170}} \cdot \frac{1}{1+e^5}$$

$$x^2 = [0, 1, -1, 2] \Rightarrow z = -1, \quad z^i = 45$$

$$z^f = -120, \quad z^o = -230$$

$$c' = f(z^i) g(z) + c f(z^f)$$

$$= \frac{1}{1+e^{-45}} \cdot -1 + \frac{1}{1+e^5} \cdot \frac{1}{1+e^{-170}}$$

$$= \frac{1}{1+e^5} \cdot \frac{1}{1+e^{-170}} - \frac{1}{1+e^{-45}}$$

$$y_2 = \frac{1}{1+e^{-230}} \cdot \left(\frac{1}{1+e^5} \cdot \frac{1}{1+e^{-170}} - \frac{1}{1+e^{-45}} \right)$$

hwl.

$$X^3 = [2, 1, 3, 4] \Rightarrow z = 3, z^i = 145$$

$$z^f = -30, z_0 = 570$$

$$c' = f(z^i)g(z) + cf(z^f)$$

$$= \frac{1}{1te^{-145}} \cdot 3 + \left(\frac{1}{1te^5} \cdot \frac{1}{1te^{-70}} - \frac{1}{1te^{-45}} \right) \cdot \frac{1}{1te^{30}}$$

$$y_3 = \frac{1}{1te^{-570}} \cdot \left(\frac{3}{1te^{145}} + \left(\frac{1}{1te^{30}} \cdot \left(\frac{1}{1te^5} \cdot \frac{1}{1te^{-70}} - \frac{1}{1te^{-45}} \right) \right) \right)$$

$$X_4 = [0, 1, 0, 0] \Rightarrow z = 0, z^i = 45$$

$$z^f = 110, z_0 = -30$$

$$c' = cf(z^f)$$

$$= \left(\frac{3}{1te^{145}} + \frac{1}{1te^{30}} \left(\frac{1}{1te^5} \cdot \frac{1}{1te^{-70}} - \frac{1}{1te^{-45}} \right) \right) \cdot \frac{1}{1te^{-70}}$$

$$y_4 = \frac{1}{1te^{30}} \cdot \frac{1}{1te^{-70}} \left(\frac{3}{1te^{145}} + \frac{1}{1te^{30}} \left(\frac{1}{1te^5} \cdot \frac{1}{1te^{-70}} - \frac{1}{1te^{-45}} \right) \right) \cdot \frac{1}{1te^{-70}}$$

hw3 2.1

$$W = \begin{bmatrix} 0 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \end{bmatrix}$$

$$D = \text{diag}(3, 3, 2, 2, 2, 1, 2, 3, 2, 2)$$

$$L = \begin{bmatrix} 3 & -1 & -1 & -1 & 0 & 0 & 0 & 0 & 0 & 0 \\ -1 & 3 & 0 & -1 & 0 & 0 & 0 & -1 & 0 & 0 \\ -1 & 0 & 2 & 0 & 0 & 0 & 0 & -1 & 0 & 0 \\ -1 & -1 & 0 & 2 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 2 & -1 & -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & -1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & -1 & -1 & 0 & 0 & 0 & 0 & -1 & -1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 3 & -1 & 0 & -1 \\ 0 & 0 & 0 & 0 & 0 & 0 & -1 & 2 & 0 & -1 \\ 0 & 0 & 0 & 0 & 0 & 0 & -1 & 0 & -1 & 2 \end{bmatrix}$$

2-5 $L = (D - W)$

$$\Rightarrow L_{ij} = \frac{\sum_{j=1}^n W_{ij} + W_{ji}}{2} \quad \text{if } i \neq j \quad (W_{ij} = 0)$$

$$= -W_{ij} \quad \text{if } i \neq j$$

since $W^T = W$, $L_{ij} = \sum_{k=1}^n W_{ik} W_{kj}$ if $i \neq j$

the sum of a row $\sum_{j=1}^n L_{ij} = \sum_{j=1}^n W_{ij} - \sum_{j=1}^n W_{ij} = 0$
since $\mathbf{1}^T \mathbf{L} = \mathbf{0}^T$

since $L^T = L$, sum of a column = 0

so vector $\begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}$ is obvious an eigenvector with eigenvalue 0 (add on column's and row's sum will result in $\begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$, so $\begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}$ is the eigenvector.

Math 2.3

先利用PCA算出降維的axis

```
from sklearn.decomposition import PCA
num_components = 10
pca = PCA(n_components=num_components)
pca.fit(L)
transformed_matrix = pca.transform(L*np.linalg.inv(D))
```

transformed_matrix

```
array([[ 0.44057589,  0.57969109, -0.3618034 , -0.16008427,  0.22250433,
         0.08679345,  0.1381966 , -0.200428 , -0.31146667, -0.31622777],
       [-0.54221265,  0.03268234, -0.3618034 ,  0.5059795 , -0.24971223,
        -0.16861949,  0.1381966 , -0.14826893, -0.29351261, -0.31622777],
       [-0.3458209 , -0.17045596,  0.1381966 , -0.18271555,  0.30114772,
         0.62882139, -0.3618034 , -0.06919254, -0.27762167, -0.31622777],
       [ 0.03460475, -0.33673606,  0.4472136 , -0.29675578,  0.05000608,
        -0.35330707,  0.4472136 , -0.255985 , -0.32325305, -0.31622777],
       [ 0.0128974 , -0.23004797, -0.3618034 , -0.42163549, -0.482972 ,
         0.23046679,  0.1381966 , -0.18298997,  0.44639991, -0.31622777],
       [-0.00327588,  0.08161909,  0.1381966 ,  0.19469785,  0.31278713,
        -0.29993088, -0.3618034 , -0.50524978,  0.51219985, -0.31622777],
       [-0.03460475,  0.33673606,  0.4472136 ,  0.29675578, -0.05000608,
         0.35330707,  0.4472136 ,  0.255985 ,  0.32325305, -0.31622777],
       [ 0.57512624, -0.26970735,  0.1381966 ,  0.37305549, -0.3863563 ,
         0.05884181, -0.3618034 ,  0.1061755 , -0.20811191, -0.31622777],
       [-0.22602946,  0.35854423,  0.1381966 , -0.38503778, -0.22757855,
        -0.38773232, -0.3618034 ,  0.46826682, -0.02646627, -0.31622777],
       [ 0.08873936, -0.38232545, -0.3618034 ,  0.07574026,  0.5101799 ,
        -0.14864075,  0.1381966 ,  0.53168691,  0.15857937, -0.31622777]])
```

挑出最後面的三個維度，並算出 ψ ，和 Z ，跟最小化的答案(0.52156)

```
eigen_last10= transformed_matrix[:,9]
eigen_last9= transformed_matrix[:,8]
eigen_last8= transformed_matrix[:,7]
a_inv = np.sqrt(np.linalg.inv(D))
```

```
posi = np.array([eigen_last10, eigen_last9, eigen_last8]).T
posi = np.matmul(a_inv, posi)
```

```
print(np.trace(np.matmul(np.matmul(posi.T,L),posi)))
```

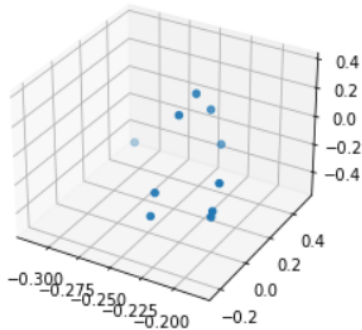
0.5215585365092121

```
z = posi.T
z
```

```
array([[ -0.18257419, -0.18257419, -0.2236068 , -0.2236068 , -0.2236068 ,
        -0.31622777, -0.2236068 , -0.18257419, -0.2236068 , -0.2236068 ],
       [-0.17982536, -0.16945958, -0.19630817, -0.22857442,  0.3156524 ,
         0.51219985,  0.22857442, -0.12015347, -0.01871448,  0.11213255],
       [-0.11571716, -0.08560311, -0.04892652, -0.18100873, -0.12939345,
        -0.50524978,  0.18100873,  0.06130045,  0.33111464,  0.37595942]])
```

作圖並檢查限制式

```
import matplotlib.pyplot as plt
fig = plt.figure()
ax = fig.add_subplot(projection='3d')
ax.scatter(z[0], z[1], z[2])
from numpy.linalg import matrix_rank
plt.show()
```



```
print(np.matmul(np.matmul(posi.T,D),posi))
[[ 1.00000000e+00 -1.76077312e-16 -2.07824327e-16]
 [-1.76077312e-16 1.00000000e+00 3.84196992e-16]
 [-2.07824327e-16 3.84196992e-16 1.00000000e+00]]
```

Math 2.4

把選取的維度改成第六第七第八，並重複動作

```
eigen_last10= transformed_matrix[:,6]
eigen_last9= transformed_matrix[:,7]
eigen_last8= transformed_matrix[:,8]
a_inv = np.sqrt(np.linalg.inv(D))
```

```
posi = np.array([eigen_last10, eigen_last9, eigen_last8]).T
posi = np.matmul(a_inv, posi)
```

```
print(np.trace(np.matmul(np.matmul(posi.T,L),posi)))
```

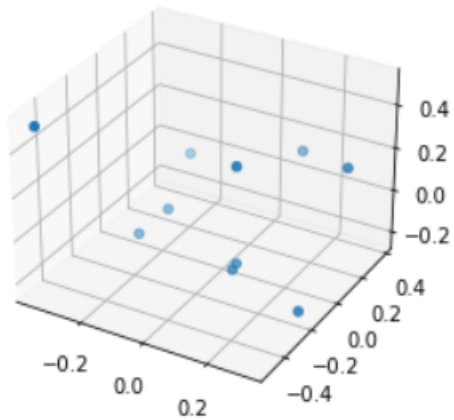
```
1.2484052511181407
```

```
z = posi.T
z
```

```
array([[ 0.07978784,  0.07978784, -0.25583364,  0.31622777,  0.09771975,
        -0.3618034 ,  0.31622777, -0.20888729, -0.25583364,  0.09771975],
       [-0.11571716, -0.08560311, -0.04892652, -0.18100873, -0.12939345,
        -0.50524978,  0.18100873,  0.06130045,  0.33111464,  0.37595942],
       [-0.17982536, -0.16945958, -0.19630817, -0.22857442,  0.3156524 ,
         0.51219985,  0.22857442, -0.12015347, -0.01871448,  0.11213255]])
```

算出來結果是1.2484不是1.098，接著作圖跟檢查限制


```
import matplotlib.pyplot as plt
fig = plt.figure()
ax = fig.add_subplot(projection='3d')
ax.scatter(z[0], z[1], z[2])
from numpy.linalg import matrix_rank
plt.show()
```



```
print(np.matmul(np.matmul(posi.T,D),posi))
```

```
[[ 1.00000000e+00 -3.29080263e-16  2.45306846e-16]
 [-3.29080263e-16  1.00000000e+00  3.84196992e-16]
 [ 2.38367952e-16  3.84196992e-16  1.00000000e+00]]
```

符合限制式

$$\begin{aligned}
2.6 \quad & \frac{1}{2} \sum_{i,j} (f_i - f_j)^2 w_{ij} \\
&= \frac{1}{2} \sum_{i,j} (f_i^2 + f_j^2 - 2f_i f_j) w_{ij} \\
&= \left(\sum_i f_i^2 D_{ii} + \sum_j f_j^2 D_{jj} - 2 \sum_{i,j} f_i f_j w_{ij} \right) \\
&= \frac{1}{2} (f^T D f + f^T D f - 2 f^T L f) \\
&= \frac{1}{2} \times 2 (f^T (D - L) f) = f^T L f
\end{aligned}$$

2.7 if f is an eigenvector of L eigenvalue $= 0$
 $f^T L f = f^T \cdot 0 f = 0$

2.8 G is connected, χ is the eigenvector of L of eigenvalue $= 0$.

$$L \chi = 0$$

$$\chi^T L \chi = \sum_{i,j \in \text{Graph}} (\chi(i) - \chi(j))^2 = 0$$

$\Rightarrow \chi(i) = \chi(j)$, since every pair i, j is connected, so χ should be a constant vector. \Rightarrow only one eigenvector for eigenvalue $= 0$

hw3

$$3. \quad g_{t+1} = g_t + \alpha f_t$$

$$f_t = \underset{f_t}{\operatorname{argmin}} \frac{\partial}{\partial \alpha} L(g_{t+1})$$

$$\frac{\partial}{\partial \alpha} L(g_{t+1}) = \frac{\partial}{\partial \alpha} \sum_{i=1}^m e^{\left(\frac{1}{k-1} \sum_{k \neq y_i} g_t^k(x_i) - g_t^{y_i}(x_i) + \alpha f_t^k(x_i) - \alpha f_t^{y_i}(x_i) \right)}$$

$$= \sum_{i=1}^m e^{\frac{1}{k-1} \sum_{k \neq y_i} g_t^k(x_i) - g_t^{y_i}(x_i) + \alpha f_t^k(x_i) - \alpha f_t^{y_i}(x_i)} \cdot \frac{1}{k-1} \sum_{k \neq y_i} (f_t^k(x_i) - f_t^{y_i}(x_i))$$

$$\left(\text{let } \bar{z}_t = \sum_{i=1}^m e^{\frac{1}{k-1} \sum_{k \neq y_i} g_t^k(x_i) - g_t^{y_i}(x_i)} \right)$$

$$= \bar{z}_t \sum_{i=1}^m \left[\frac{\exp\left(\frac{1}{k-1} \sum_{k \neq y_i} g_t^k(x_i) - g_t^{y_i}(x_i)\right)}{\bar{z}_t} \right] \frac{1}{k-1} \sum_{k \neq y_i} (f_t^k(x_i) - f_t^{y_i}(x_i))$$

\Rightarrow (can be views as a probability distribution D_t)

$$= \bar{z}_t \mathbb{E}_{i \sim D_t} \left[\frac{1}{k-1} \sum_{k \neq y_i} f_t^k(x_i) - f_t^{y_i}(x_i) \right]$$

$$= \bar{z}_t \times \frac{1}{k-1} \mathbb{E}_{i \sim D_t} \left[\begin{matrix} k-1 & -k-1 \\ \text{if } f_t^k(x_i) \neq y_i & f_t(x_i) = y_i \end{matrix} \right]$$

$$= \bar{z}_t \mathbb{E}_{i \sim D_t} \left[2 \cdot \mathbb{1}_{f_t(x_i) \neq y_i} - 1 \right]$$

to minimize it, $f_t(x_i) \neq y_i$'s probability must be minimized $\Rightarrow f_t$ must be the classifier minimizes error rate

hw3-3. α_t is the minimal solution to

$$\sum_{i=1}^m \exp\left(\frac{1}{k-1} \sum_{k \neq y_i} g_t^k(x_i) - g_t^{y_i}(x_i) + \alpha f_t^k(x_i) - \alpha f_t^{\hat{y}_i}(x_i)\right)$$

$$= Z_t \sum_{i=1}^m D_t(i) \cdot \exp\left(\frac{\alpha}{k-1} \sum_{k \neq y_i} f_t^k(x_i) - f_t^{y_i}(x_i)\right)$$

$$= Z_t \sum_{i \in D_t} \left[e^{\frac{\alpha}{k-1} \sum_{k \neq y_i} f_t^k(x_i) - f_t^{y_i}(x_i)} \right]$$

$$= Z_t \sum_{i \in D_t} \left[\frac{1}{f_t(x_i) \neq y_i} \cdot e^{\frac{\alpha}{k-1} \cdot k-1} + \frac{1}{f_t(x_i) = y_i} \cdot e^{\frac{\alpha}{k-1} \cdot -(k-1)} \right]$$

$$= Z_t \sum_{i \in D_t} [\xi_t e^{\alpha} + (1-\xi_t) e^{-\alpha}]$$

take $\frac{\partial}{\partial \alpha}$

$$\frac{\partial}{\partial \alpha} Z_t [\xi_t e^{\alpha} + (1-\xi_t) e^{-\alpha}] = 0$$

$$Z_t [\xi_t e^{\alpha} - (1-\xi_t) e^{-\alpha}] = 0$$

$$Z_t \cdot e^{-\alpha} [\xi_t e^{2\alpha} - (1-\xi_t)] = 0$$

$$e^{\alpha} = \sqrt{\frac{1-\xi_t}{\xi_t}} \quad \alpha = \log \sqrt{\frac{1-\xi_t}{\xi_t}}$$

the same in 2 class adaboost

hw 3

$$4. \quad h_1 = \tanh(w_x x_1 + w_h h_0) = \tanh(w_x x_1)$$

$$h_2 = \tanh(w_x x_2 + w_h h_1)$$

$$= \tanh(w_x x_2 + w_h \tanh(w_x x_1))$$

$$\hat{y} = \frac{1}{1 + e^{-w_o h_2}}$$

$$\frac{\partial L(y, \hat{y})}{\partial w_o} = \frac{\partial L(y, \hat{y})}{\partial \hat{y}} \cdot \frac{\partial \hat{y}}{\partial w_o} = \frac{\partial L(y, \hat{y})}{\partial \hat{y}} \cdot \frac{\sigma(w_o h_2)}{\partial w_o}$$

$$= \frac{\partial L(y, \hat{y})}{\partial \hat{y}} \cdot \sigma(w_o h_2) \cdot (1 - \sigma(w_o h_2))$$

$$\frac{\partial L(y, \hat{y})}{\partial h_2} = \frac{\partial L(y, \hat{y})}{\partial \hat{y}} \cdot \frac{\partial \hat{y}}{\partial h_2} = \frac{\partial L(y, \hat{y})}{\partial \hat{y}} \cdot \frac{\sigma(w_o h_2)}{\partial h_2}$$

$$= \frac{\partial L(y, \hat{y})}{\partial \hat{y}} \cdot \sigma(w_o h_2)(1 - \sigma(w_o h_2)) \cdot w_o$$

$$\frac{\partial h_2}{\partial w_h} = \frac{\partial (\tanh(w_x x_2 + w_h h_1))}{\partial w_h}$$

$$= (1 - \tanh^2(w_x x_2 + w_h h_1)) \cdot h_1$$

$$\frac{\partial h_2}{\partial w_x} = \frac{\partial \tanh(w_x x_2 + w_h \tanh(w_x x_1))}{\partial w_x}$$

$$= (1 - h_2^2) \cdot \left(x_2 + \frac{\partial w_h \cdot \tanh(w_x x_1)}{\partial w_x} \right)$$

$$= (1-h_2^2) \cdot (X_2 + W_h(1-h_1^2) \cdot X_1)$$

$$\frac{\partial L}{\partial W_0} = \frac{\partial L(y, \hat{y})}{\partial \hat{y}} \cdot \sigma(W_0 h_2) (1 - \sigma(W_0 h_2)) \cdot h_2$$

$$\frac{\partial L}{\partial W_h} = \frac{\partial L}{\partial h_2} \cdot \frac{\partial h_2}{\partial W_h} = \frac{\partial L(y, \hat{y})}{\partial \hat{y}} \cdot \sigma(W_0 h_2)$$

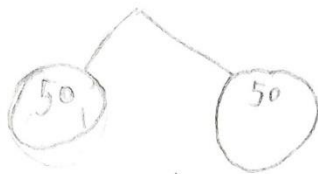
$$\cdot (1 - \sigma(W_0 h_2)) \cdot W_0 - (1 - h_2^2) \cdot h_2$$

$$\frac{\partial L}{\partial W_X} = \frac{\partial L}{\partial h_2} \cdot \frac{\partial h_2}{\partial W_X} = \frac{\partial L(y, \hat{y})}{\partial \hat{y}} \cdot \sigma(W_0 h_2) (1 - \sigma(W_0 h_2))$$

$$\cdot W_0 \cdot (1 - h_2^2) (X_2 + W_h(1 - h_1^2) \cdot X_1)$$

hw 3

5. (a)



(i)

$$N_{\text{left}} = 50, P^1_{\text{left}} = 0.8, P^2_{\text{left}} = 0.2, N_{\text{right}} = 50$$

$$P^1_{\text{right}} = 0.75, P^2_{\text{right}} = 0.25$$

$$\text{Gini} = \frac{1}{2} (1 - ((0.8)^2 + (0.2)^2)) + \frac{1}{2} (1 - ((0.75)^2 + (0.25)^2)) = 0.3475$$

$$\text{shannon information gain} = \frac{1}{2} (-0.8 \log_2 0.8 - 0.2 \log_2 0.2) + \frac{1}{2} (-0.75 \log_2 0.75 - 0.25 \log_2 0.25)$$

$$= 0.7666$$

(ii)



$$N_{\text{left}} = 80, P^1_{\text{left}} = 0, P^2_{\text{left}} = 100\%$$

$$N_{\text{right}} = 20, P^1_{\text{right}} = 0.9, P^2_{\text{right}} = 0.1$$

$$\text{Gini} = 0.8 \times (1 - 1^2) + 0.2 (1 - (0.1)^2 - (0.9)^2)$$

$$= 0.036$$

$$\text{shannon} = 0.8 (-1 \log_2 1 - 0 \log_2 0) + 0.2 (0.1 \log_2 0.1 - 0.9 \log_2 0.9)$$

$$= 0.09379$$

$$0.008638$$

$$0.02736$$

$$(iii) \quad N_{\text{left}} = 90, p'_{\text{left}} = 0.01, p^2_{\text{left}} = 0.99$$

$$N_{\text{right}} = 10, p'_{\text{right}} = 100\%$$

$$\text{Gini} = 0.9 \times (1 - (0.01)^2 - (0.99)^2)$$

$$= 0.01782$$

$$\text{Shannon} = 0.9 (-0.01 \log_2 0.01 - 0.99 \log_2 0.99) + 0$$

$$= 0.07271$$

(b) Assume for binary classification

choose left for negative and
right part for positive

only care about for false negative

Let Loss function

$$= \frac{N_{\text{left}}}{N} (1 - p_{\text{left}}^{\text{negative}})$$

$$\text{Loss of (i)} = \frac{1}{2} (1 - 0.2) = 0.4$$

$$\text{Loss of (ii)} = 0$$

$$\text{Loss of (iii)} = 0.9 (1 - 0.99) = 0.009$$