

# VARIATIONAL AUTO-ENCODERS FOR TEXT GENERATION

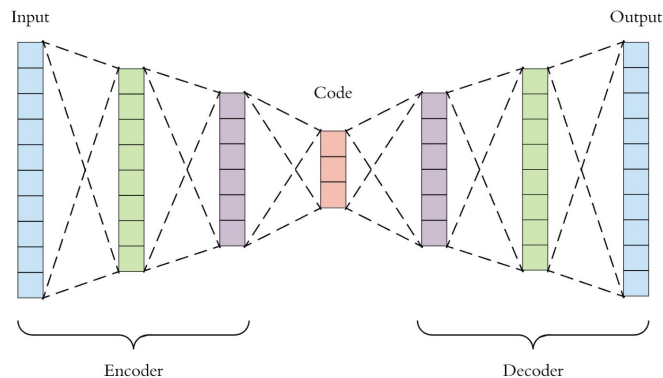
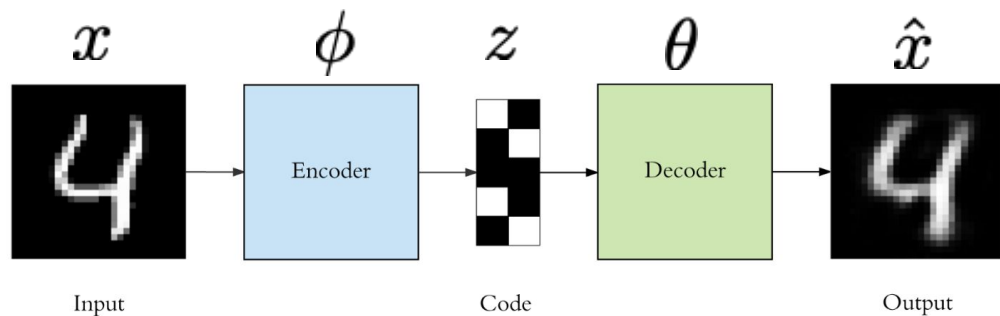
**Stéphane d'Ascoli**

# ROADMAP

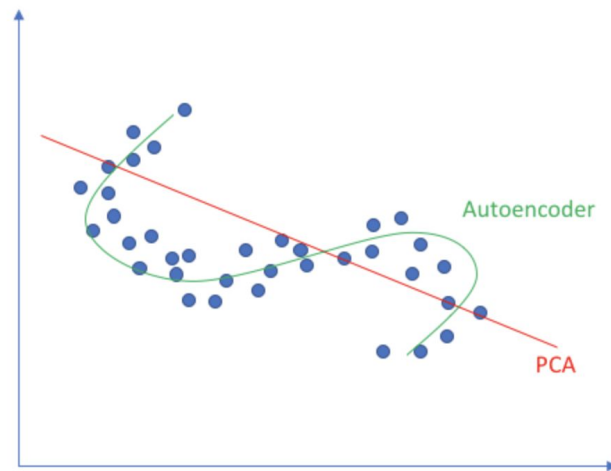
1. A reminder on auto-encoders
  - a. Basics
  - b. Denoising and sparse encoders
  - c. Why do we need VAEs ?
2. Understanding variational auto-encoders
  - a. Key ingredients
  - b. The reparametrization trick
  - c. The underlying math
3. More on VAEs
  - a. Adding a discrete condition
  - b. Applications
  - c. Comparison with GANs
4. Do it yourself in PyTorch
  - a. Build a basic denoising encoder
  - b. Build a conditional VAE

# AUTO-ENCODERS

# BASICS



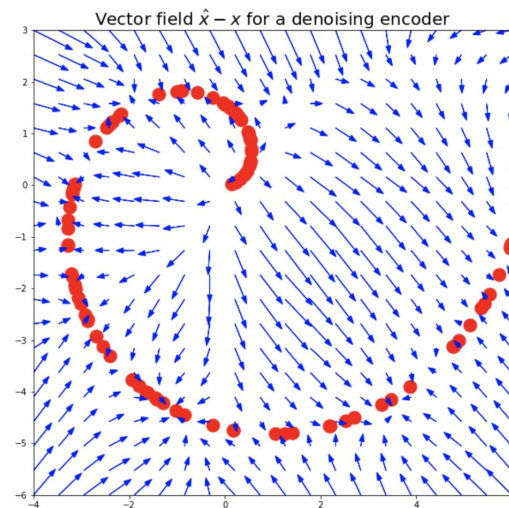
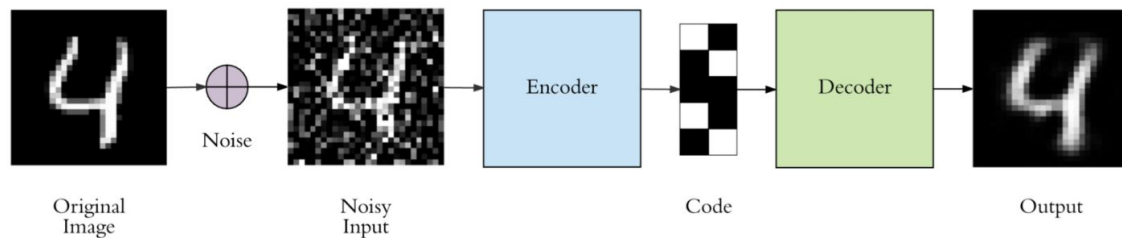
Linear vs nonlinear dimensionality reduction



$$\mathcal{L}(x) = \frac{1}{2} (x - \theta(\phi(x)))^2$$

# DENOISING AND SPARSE AUTO-ENCODERS

Denoising :



Sparse : enforces specialization of hidden units

$$\mathcal{L}(x, \hat{x}) + \lambda \sum_i |a_i^{(h)}|$$

Contractive : enforces that close inputs give close outputs

$$\mathcal{L}(x, \hat{x}) + \lambda \sum_i \left\| \nabla_x a_i^{(h)}(x) \right\|^2$$

# WHY DO WE NEED VAE ?

VAE's are used as generative models : sample a latent vector, decode and you have a new sample

Q : Why can't we use normal auto-encoders ?

A : If we choose an arbitrary latent vector, we get garbage

Q : Why ?

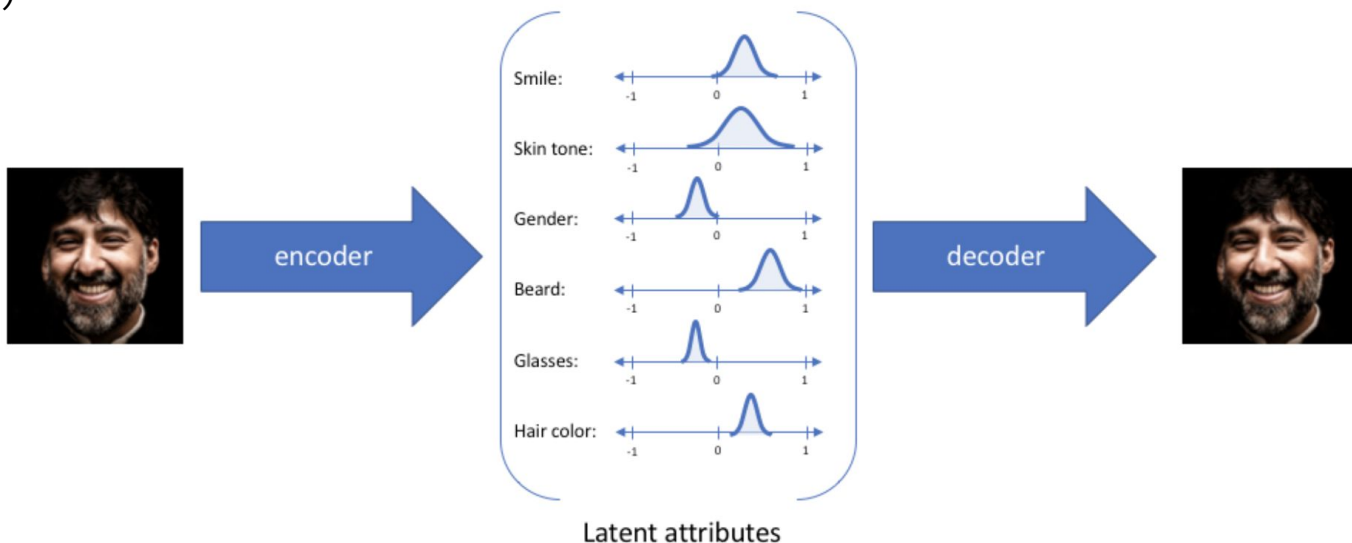
A : Because latent space has no structure !

# VARIATIONAL AUTO-ENCODERS

# KEY INGREDIENTS

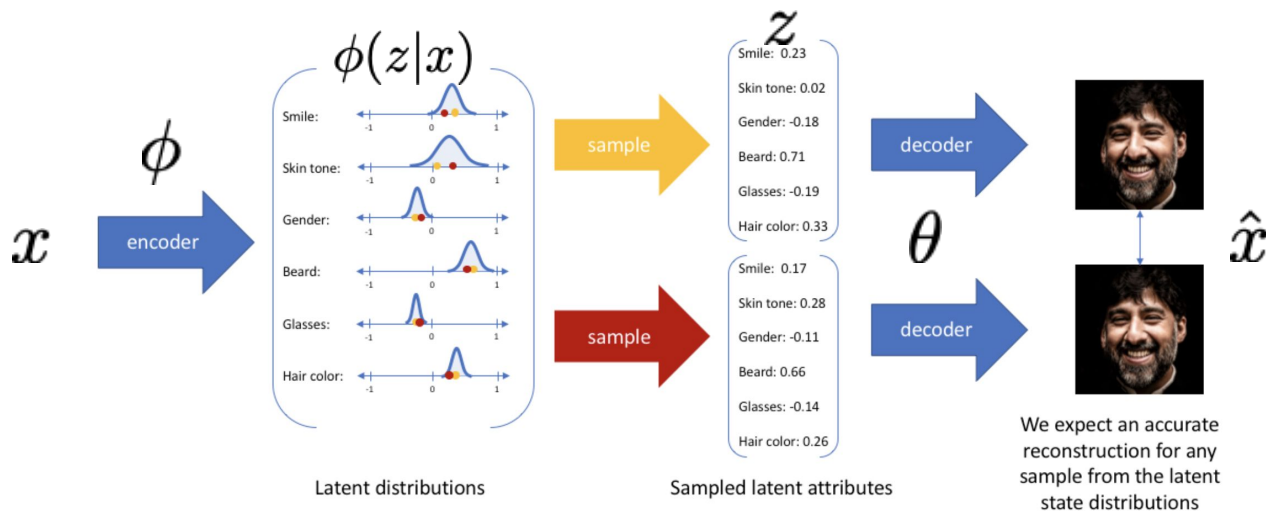
**Generative models** : unsupervised learning, aim to learn the distribution underlying the input data

**VAEs** : Map the complicated data distribution to a simpler distribution (encoder) we can sample from (Kingma & Welling 2014) to generate images (decoder)





# FIRST INGREDIENT : ENCODE INTO DISTRIBUTIONS



Q : Why encode into distributions rather than discrete values ?

A : To impose that close values of  $z$  give close values of  $x$  : latent space becomes more meaningful

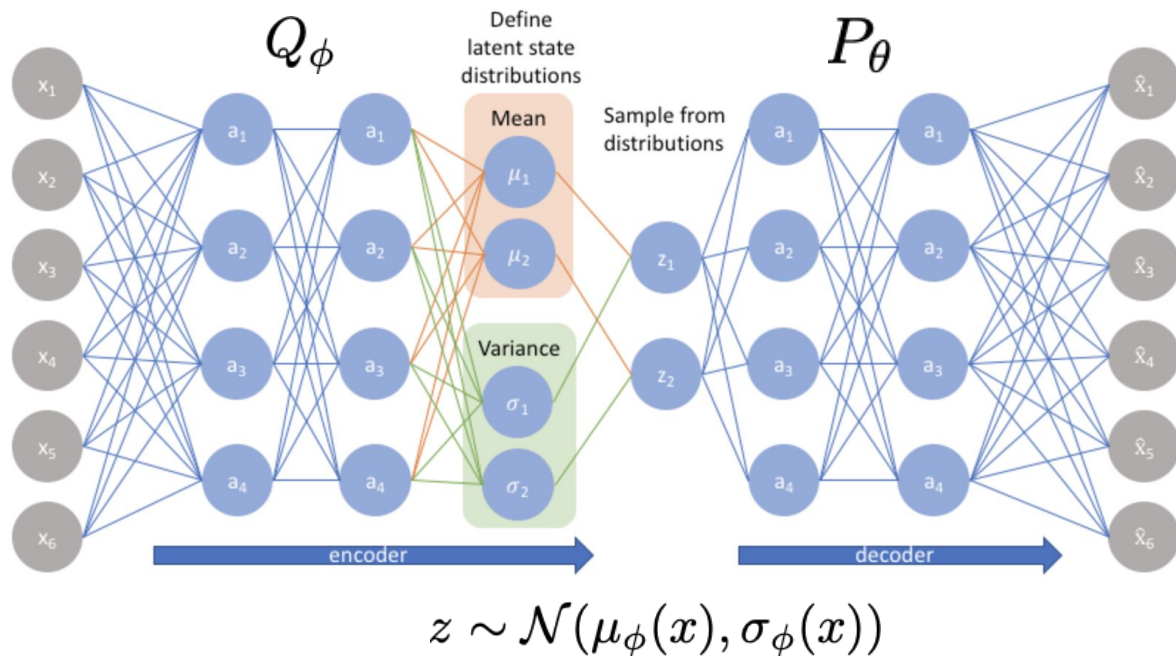
Now if we sample  $z$  anywhere inside the distribution obtained with  $x$ , we reconstruct  $x$ . But we want to generate new images !

Problem : if we sample  $z$  elsewhere, we get garbage...

# SECOND INGREDIENT : IMPOSE STRUCTURE

Q : How can we make the images generated look realistic *whatever* the sampled  $z$  ?

A : Make sure that  $Q(z|x)$  for different  $x$ 's are close together !



# SECOND INGREDIENT : IMPOSE STRUCTURE

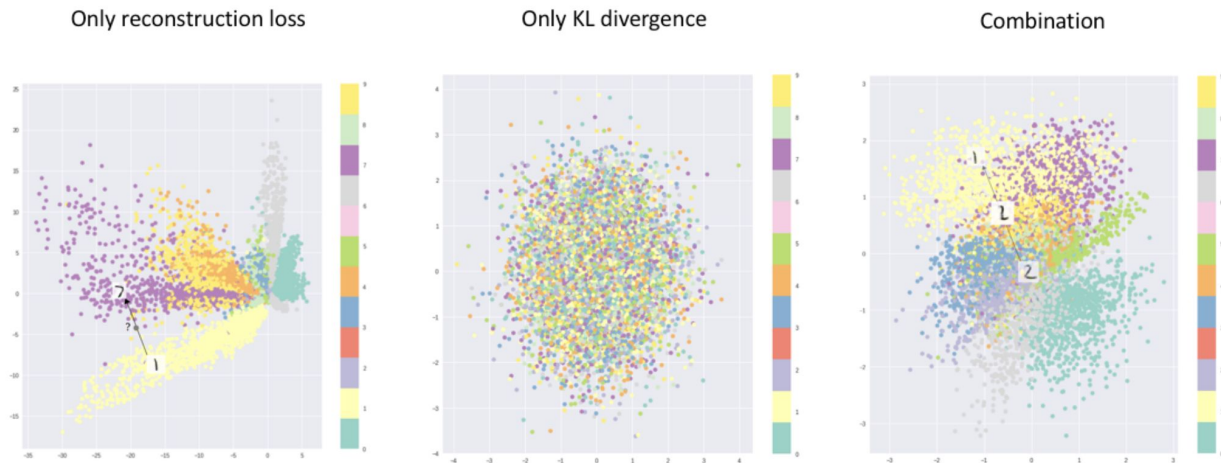
Q : How do we keep the distributions close together ?

A : By enforcing the overall distribution in latent space to follow a standard Gaussian prior

Q : How ?

A : KL divergence !

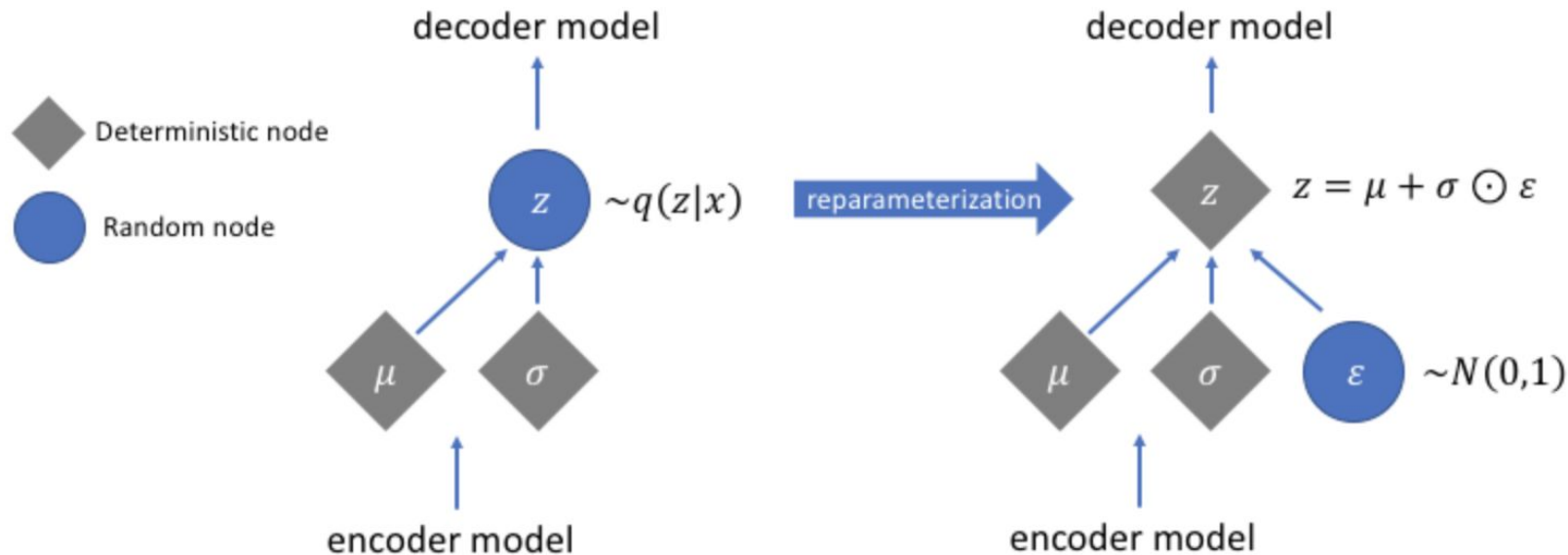
$$\mathcal{L}_{KL} = \mathbb{E}_{x \sim \text{dataset}} [D_{KL}\{Q_{\phi}(z|x) || p(z)\}], p \sim \mathcal{N}(0, 1)$$



# THE REPARAMETRIZATION TRICK

Q : How can we backpropagate when one of the nodes is non-deterministic ?

A : Use the reparameterization trick !



# THE UNDERLYING INFORMATION THEORY

Q : Why “variational” auto-encoders ?

A : Relies on a variational method

$$P(z|x) = \frac{P(x|z)P(z)}{P(x)} = \frac{P(x|z)P(z)}{\sum_z P(x|z)P(z)}$$

Consider a tractable distribution Q instead

Intractable !

$$\begin{aligned} D_{KL}(Q(z|x)||P(z|x)) &= \sum_z Q(z|x) \log \frac{Q(z|x)}{P(z|x)} \\ &= \log P(x) + \sum_z Q(z|x) \log \frac{Q(z|x)}{P(z)} - \sum_z Q(z|x) \log P(x|z) \\ &= \log P(x) + \underbrace{D_{KL}(Q(z|x)||P(z))}_{\text{Regularizer} \quad -\mathcal{L}} - \underbrace{\mathbb{E}_{z \sim Q} \log P(x|z)}_{\text{Reconstruction loss}} \end{aligned}$$

$$\mathbb{E}_{x \sim \mathcal{D}} \log P(x) = \mathbb{E}_{x \sim \mathcal{D}} [D_{KL}(Q(z|x)||P(z|x)) + \mathcal{L}]$$

ELBO

# DISENTANGLEMENT : BETA-VAE

We saw that the objective function is made of a reconstruction and a regularization part.

$$\mathcal{L} = \mathbb{E}_{z \sim Q} \log P(x|z) - \beta D_{KL}(Q(z|x) || P(z))$$

By adding a tuning parameter we can control the tradeoff.

If we increase beta:

- The dimensions of the latent representation are more disentangled
- But the reconstruction loss is less good

# GENERATING CONDITIONALLY : CVAES

Add a one-hot encoded vector to the latent space and use it as categorical variable, hoping that it will encode discrete features in data (number in MNIST)

Q : The usual reparametrization trick doesn't work here, because we need to sample discrete values from the distribution ! What can we do ?

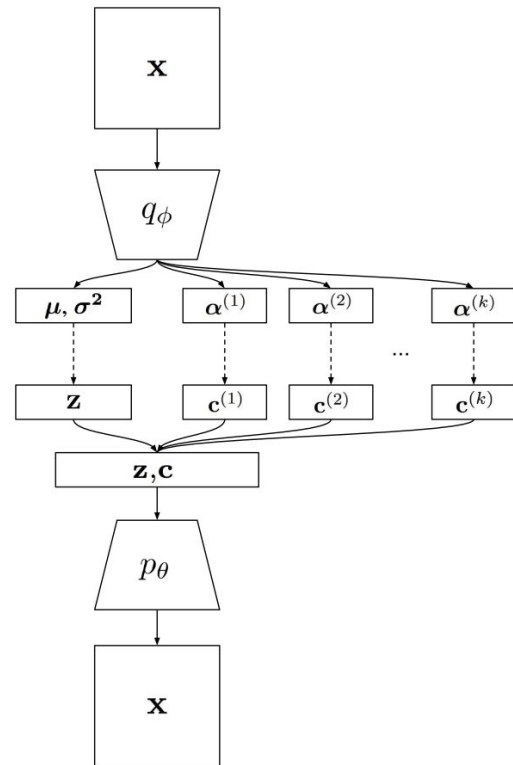
A : Gumbel-Max trick

Q : How do I balance the regularization terms for the continuous and discrete parts ?

A : Control the KL divergences independently

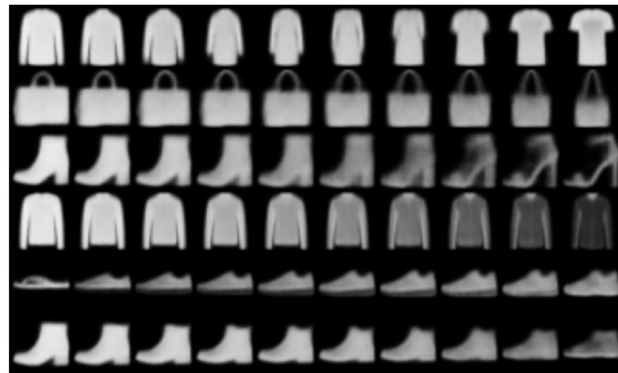
$$\mathcal{L}(\theta, \phi) = \mathbb{E}_{q_{\phi}(\mathbf{z}, \mathbf{c}|\mathbf{x})} [\log p_{\theta}(\mathbf{x}|\mathbf{z}, \mathbf{c})].$$

$$-\gamma |D_{KL}(q_{\phi}(\mathbf{z}|\mathbf{x}) \parallel p(\mathbf{z})) - C_z| - \gamma |D_{KL}(q_{\phi}(\mathbf{c}|\mathbf{x}) \parallel p(\mathbf{c})) - C_c|$$



# APPLICATIONS

Image generation : Dupont et al. 2018



Text generation : Bowman et al. 2016

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**“ i want to talk to you . ”**

*“i want to be with you . ”*

*“i do n’t want to be with you . ”*

*i do n’t want to be with you .*

**she did n’t want to be with him .**

---

**he was silent for a long moment .**

*he was silent for a moment .*

*it was quiet for a moment .*

*it was dark and cold .*

*there was a pause .*

**it was my turn .**

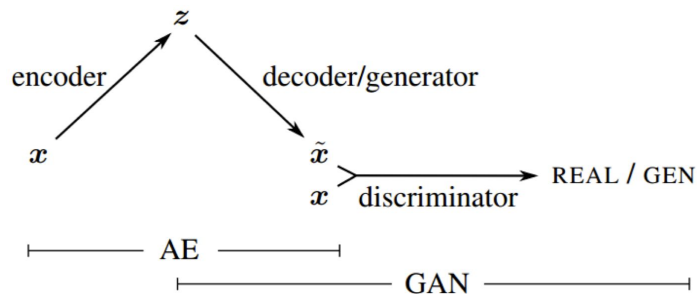
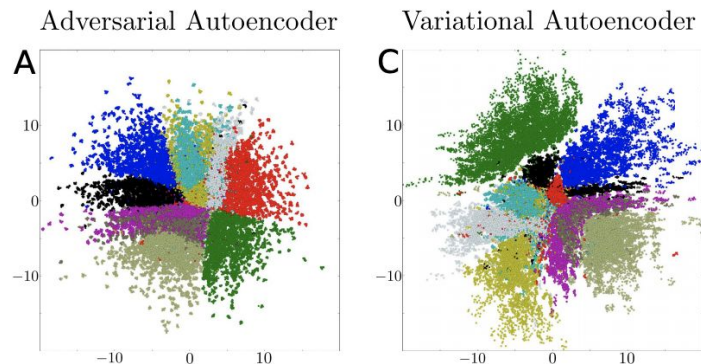
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# COMPARISON WITH GANS

VAE	GAN
Easy metric : reconstruction loss	Cleaner images
Interpretable and disentangled latent space	Low interpretability
Easy to train	Tedious hyperparameter searching
Noisy generation	Clean generation

# TOWARDS A MIX OF THE TWO ?



Query



**Prominent attributes:** White, Male, Curly Hair, Frowning, Eyes Open, Pointy Nose, Flash, Posed Photo, Eyeglasses, Narrow Eyes, Teeth Not Visible, Senior, Receding Hairline.

VAE



GAN



VAE/GAN



DO IT YOURSELF  
IN PYTORCH

# AUTO-ENCODER

## 1. Example: a simple fully-connected auto-encoder

```
loss_fn = torch.nn.MSELoss()

def train_model(model, loss_fn, data_loader=None, epochs=1, optimizer=None):
    model.train()
    for epoch in range(epochs):
        for batch_idx, (data, _) in enumerate(train_loader):

            data = data.view([-1, 784])
            optimizer.zero_grad()
            output = model(data)
            loss = loss_fn(output, data)
            loss.backward()
            optimizer.step()
            if batch_idx % 50 == 0:
                print('Train Epoch: {} [{}/{} ({:.0f}%)]\tLoss: {:.6f}'.format(
                    epoch, batch_idx * len(data), len(data_loader.dataset),
                    100. * batch_idx / len(data_loader), loss.data.item()))
```

```
class AutoEncoder(nn.Module):
    def __init__(self, input_dim, encoding_dim):
        super(AutoEncoder, self).__init__()
        self.encoder = nn.Linear(input_dim, encoding_dim)
        self.decoder = nn.Linear(encoding_dim, input_dim)

    def forward(self, x):
        encoded = F.relu(self.encoder(x))
        decoded = self.decoder(encoded)
        return decoded
```

## 2. DIY: implement a denoising convolutional auto-encoder for MNIST

# VARIATIONAL AUTO-ENCODER

## 1. Example: a simple VAE

```
def train(model, data_loader=data_loader, num_epochs=num_epochs):
    for epoch in range(num_epochs):
        for i, (x, _) in enumerate(data_loader):

            # Forward pass
            x = x.to(device).view(-1, image_size)
            x_reconst, mu, log_var = model(x)

            # Compute reconstruction loss and kl divergence
            reconst_loss = F.binary_cross_entropy(x_reconst, x, reduction='sum')
            kl_div = - 0.5 * torch.sum(1 + log_var - mu.pow(2) - log_var.exp())

            # Backprop and optimize
            loss = reconst_loss + kl_div
            optimizer.zero_grad()
            loss.backward()
            optimizer.step()
```

```
class VAE(nn.Module):
    def __init__(self, image_size=784, h_dim=400, z_dim=20):
        super(VAE, self).__init__()
        self.fc1 = nn.Linear(image_size, h_dim)
        self.fc2 = nn.Linear(h_dim, z_dim)
        self.fc3 = nn.Linear(h_dim, z_dim)
        self.fc4 = nn.Linear(z_dim, h_dim)
        self.fc5 = nn.Linear(h_dim, image_size)

    def encode(self, x):
        h = F.relu(self.fc1(x))
        return self.fc2(h), self.fc3(h)

    def reparameterize(self, mu, log_var):
        std = torch.exp(log_var/2)
        eps = torch.randn_like(std)
        return mu + eps * std

    def decode(self, z):
        h = F.relu(self.fc4(z))
        return torch.sigmoid(self.fc5(h))

    def forward(self, x):
        mu, log_var = self.encode(x)
        z = self.reparameterize(mu, log_var)
        x_reconst = self.decode(z)
        return x_reconst, mu, log_var
```

## 2. DIY: implement a conditional VAE for MNIST

THANK YOU !