

# **CS 5003: Parameterized Algorithms**

**Lectures 36-39**

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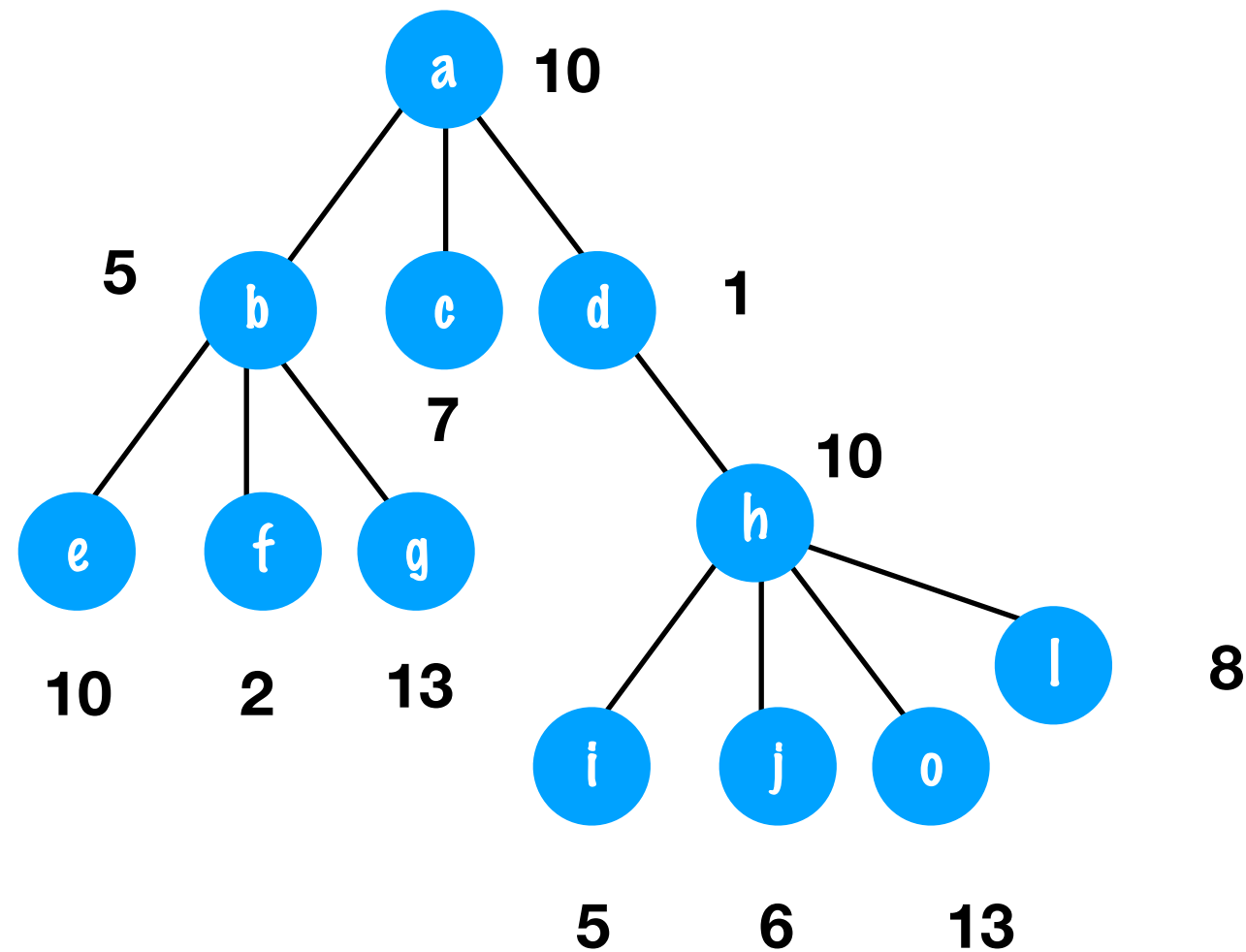
**Reference Books: Parameterized Algorithms by Cygan et al. and Kernelization by Fomin et al.**

# Independent Set on Trees

## Weighted Independent Set

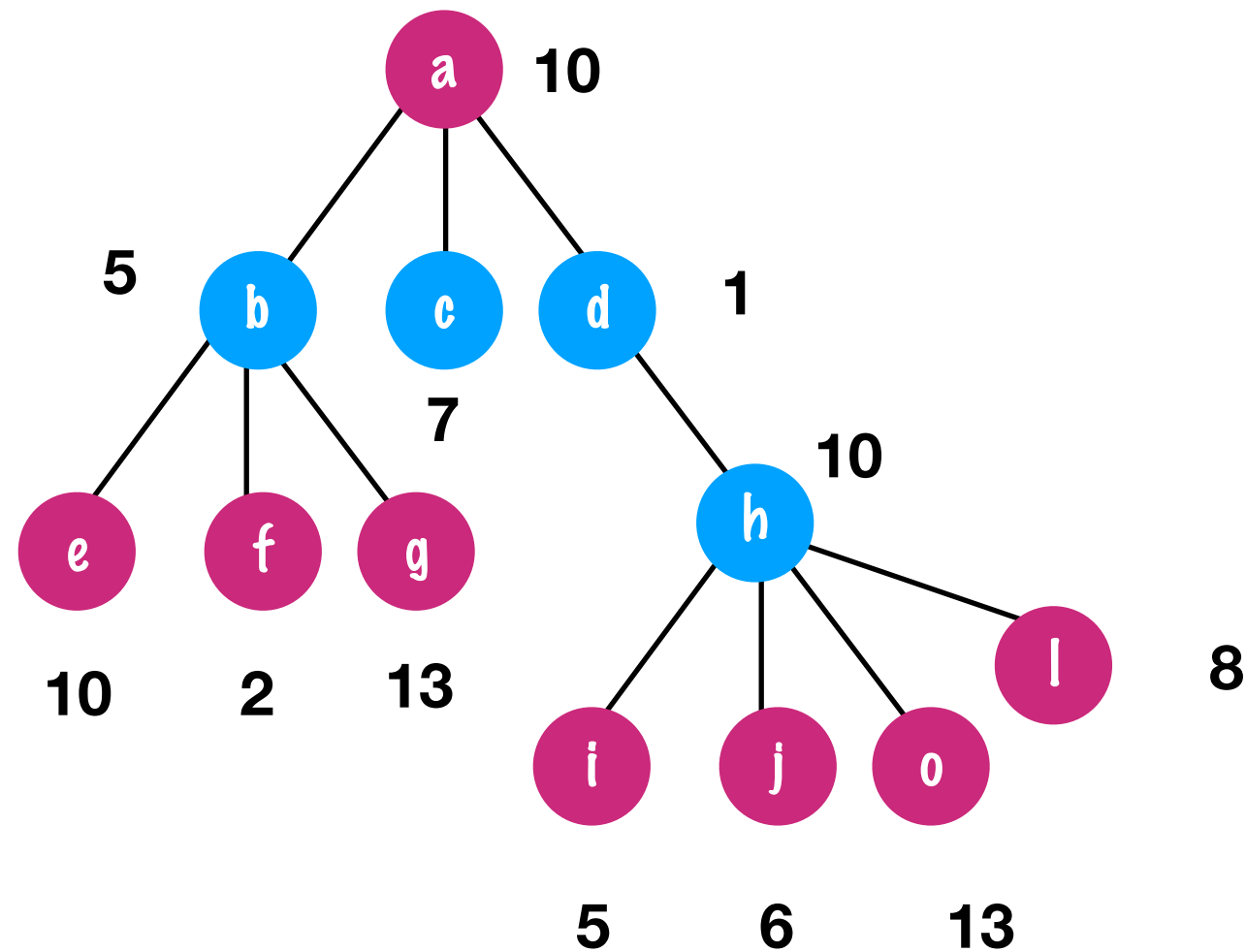
Instance: A tree  $T$  with positive integral weights on its vertices and an integer  $k$

Question: Does there exist an independent set of  $T$  of weight at least  $k$ ?



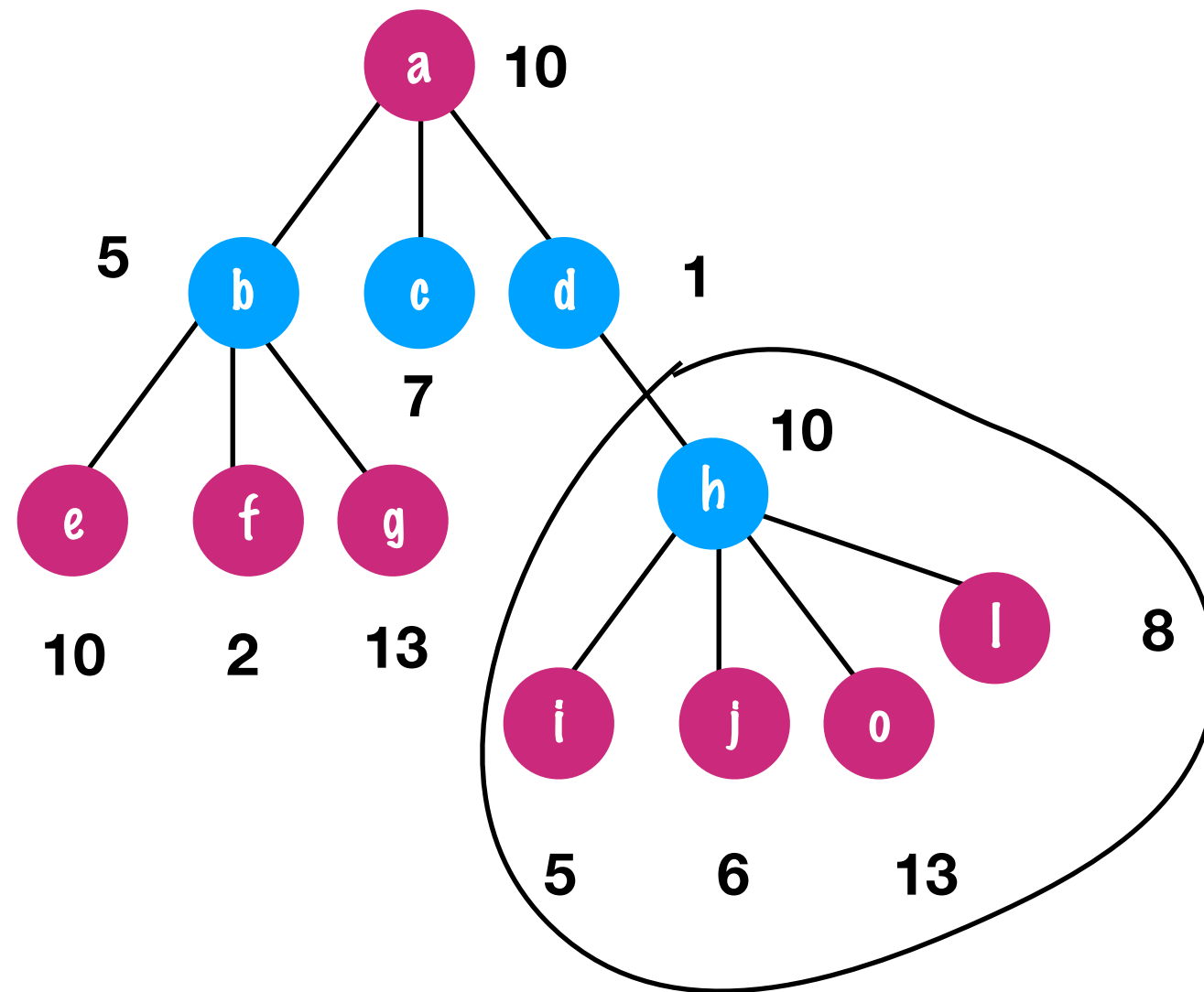
# Independent Set on Trees

- \* Root  $T$  at an arbitrary vertex
- \* For a vertex  $v$ , let  $T_v$  denote the subtree of  $T$  rooted at  $v$



# Independent Set on Trees

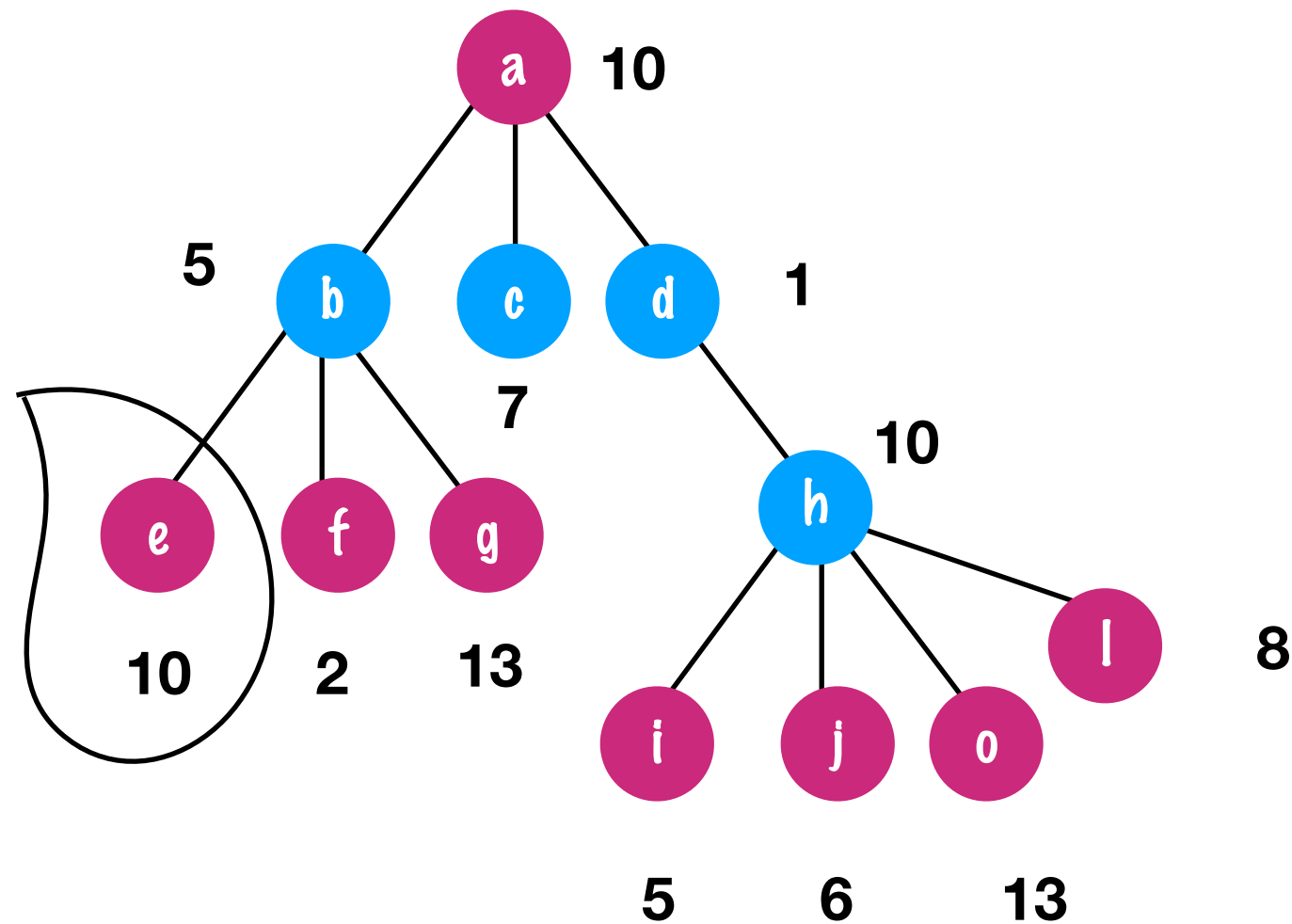
- \* Root  $T$  at an arbitrary vertex
- \* For a vertex  $v$ , let  $T_v$  denote the subtree of  $T$  rooted at  $v$



- \*  $\Lambda(h) = \max$  possible wt of an IS in  $T_h$  that does not contain  $h$

# Independent Set on Trees

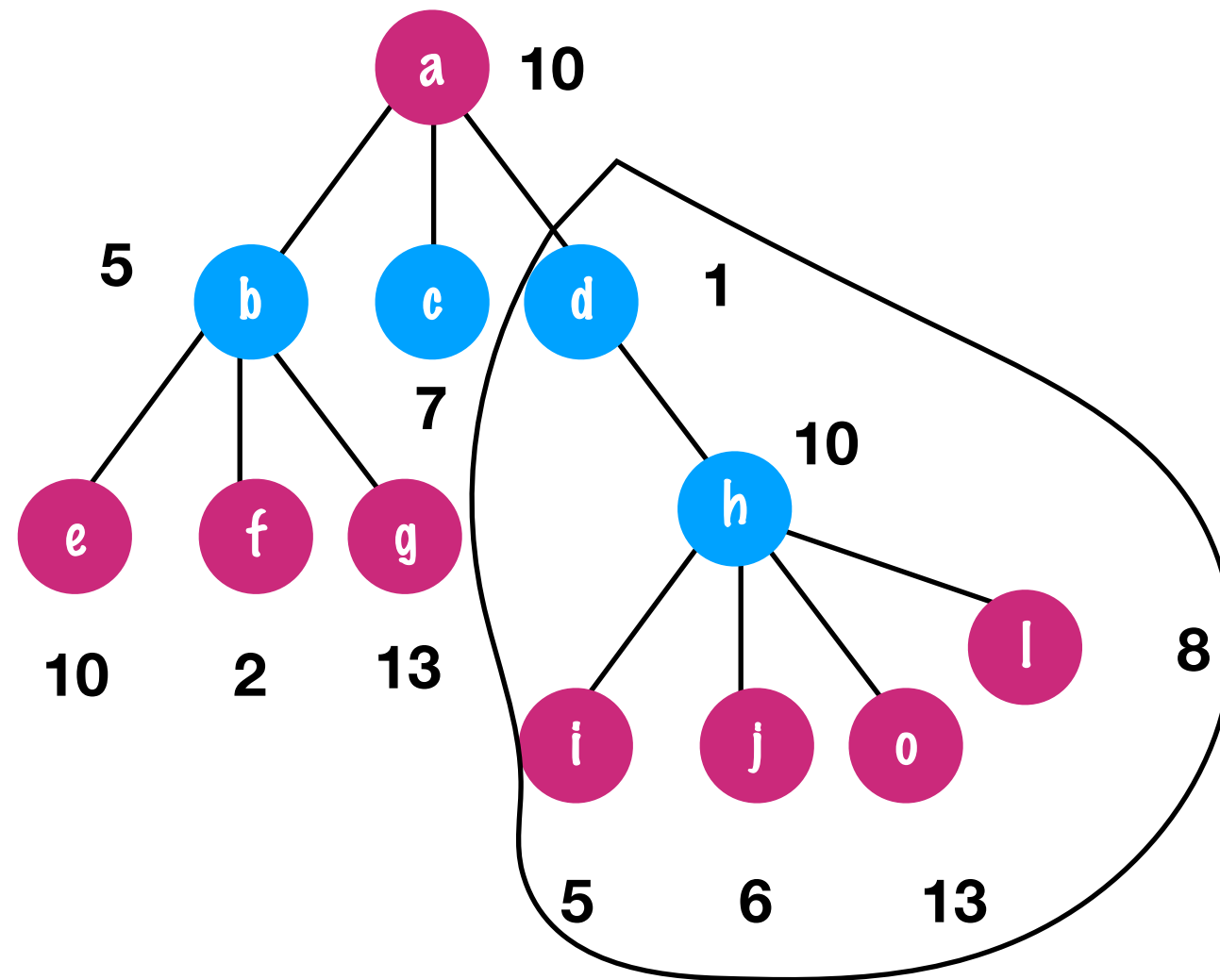
- \* Root  $T$  at an arbitrary vertex
- \* For a vertex  $v$ , let  $T_v$  denote the subtree of  $T$  rooted at  $v$



- \*  $\Gamma(e) = \max$  possible wt of an IS in  $T_e$

# Independent Set on Trees

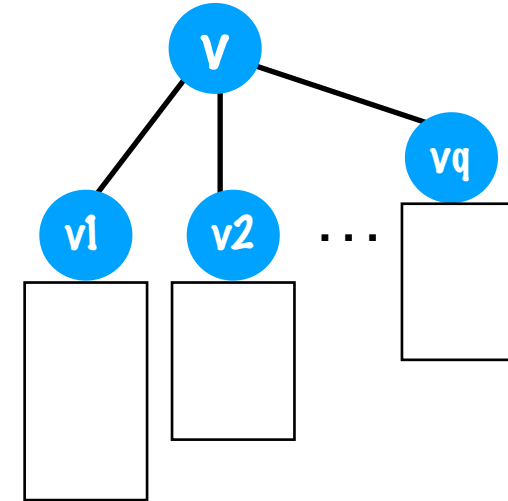
- \* Root  $T$  at an arbitrary vertex
- \* For a vertex  $v$ , let  $T_v$  denote the subtree of  $T$  rooted at  $v$



- \*  $\Gamma(d)$  = max possible wt of an IS not containing  $d$  in  $T_d$

# Independent Set on Trees

- \* Suppose  $v$  has  $v_1, v_2, \dots, v_q$  as its children



- \*  $\Gamma(v) = \text{max possible wt of an IS in } T_v$
- \*  $\Lambda(v) = \text{max possible wt of an IS in } T_v \text{ that does not contain } v$ 
  - \*  $\Lambda(v) = \Gamma(v_1) + \dots + \Gamma(v_q)$
  - \*  $\Gamma(v) = \max \{ \Lambda(v), w(v) + \Lambda(v_1) + \dots + \Lambda(v_q) \}$
- \* Computing  $\Lambda(v)$  and  $\Gamma(v)$  for leaves is easy

Linear time algorithm

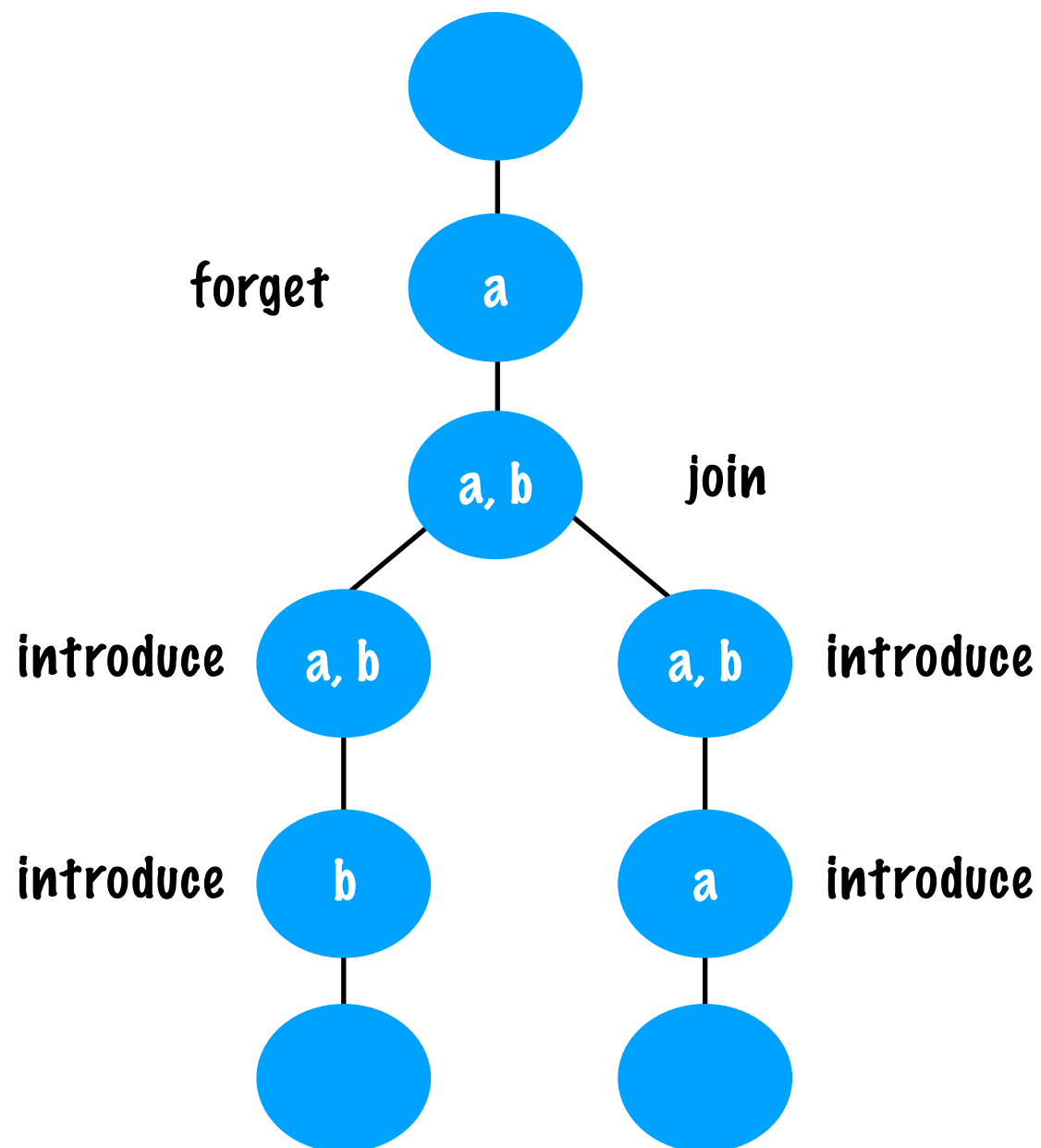
# Independent Set on Nice Tree Decompositions

## Weighted Independent Set

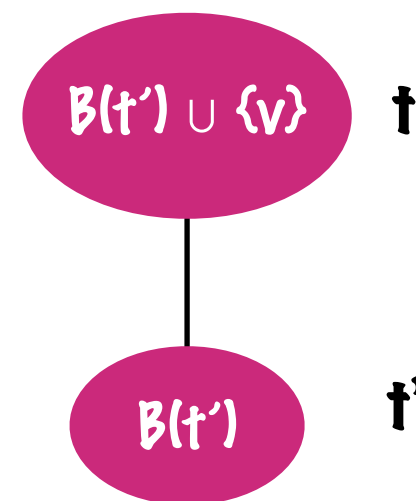
Instance: A graph  $G$  with positive integral weighting on its vertices, a nice tree decomposition  $(T, B)$  of  $G$  and an integer  $k$

Question: Does there exist an independent set of  $G$  of weight at least  $k$ ?

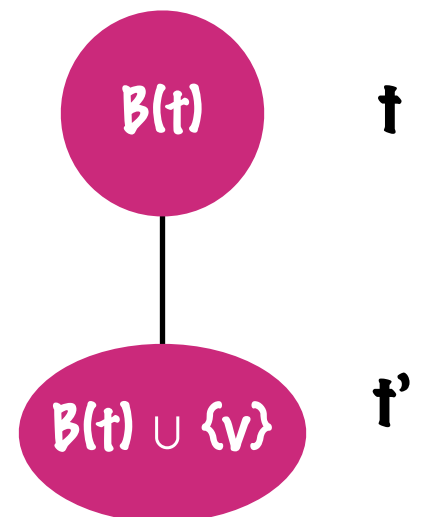
Parameter:  $w(T)$



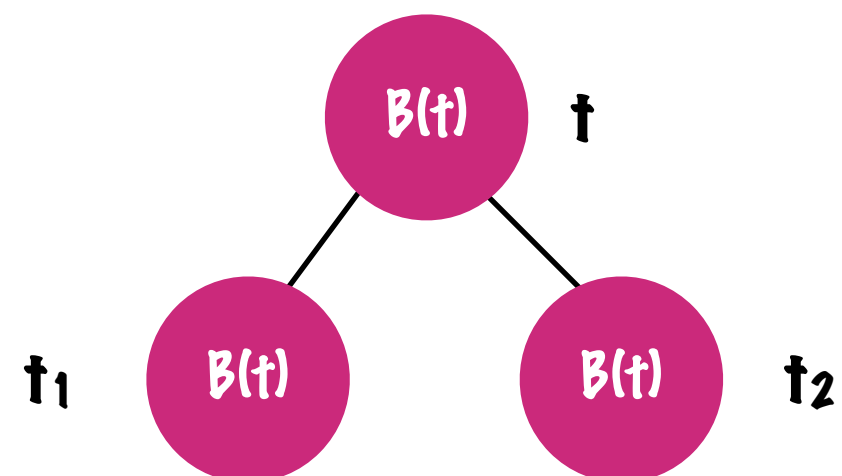
Introduce Node



Forget Node



Join Node





# Independent Set on Nice Tree Decompositions

- \* For a node  $t$  in  $T$ 
  - \* Let  $V_t$  be the union of all bags in the subtree of  $T$  rooted at  $t$
- \* For every  $t$  in  $T$  and every  $S \subseteq B(t)$ 
  - \* Let  $\Gamma(t, S)$  denote the max possible wt of an IS  $S^*$  s.t.
    - \*  $S \subseteq S^* \subseteq V_t$
    - \*  $S^* \cap B(t) = S$
  - \* If  $S^*$  does not exist then  $\Gamma(t, S) = -\infty$

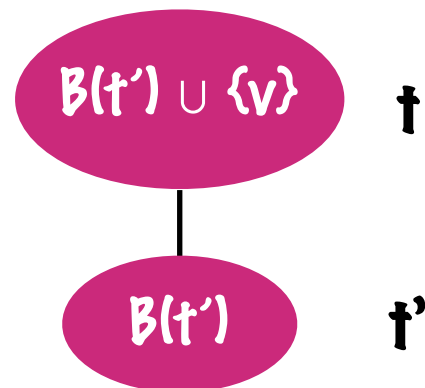
# Independent Set on Nice Tree Decompositions

Leaf node:



$$\Gamma(t, \emptyset) = 0$$

Introduce node:

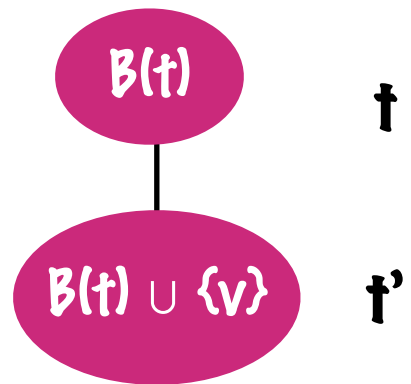


$$\Gamma(t, S) = \Gamma(t', S) \text{ if } v \text{ is not in } S$$

$$\Gamma(t, S) = w(v) + \Gamma(t', S \setminus \{v\}) \text{ if } v \text{ is in } S$$

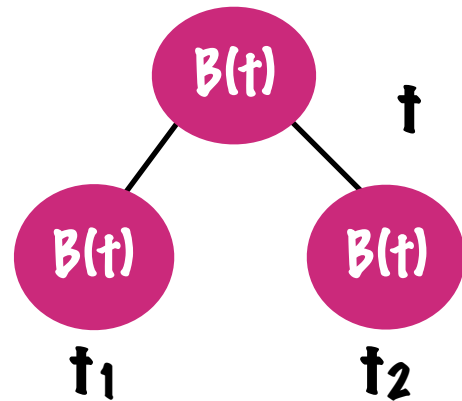
# Independent Set on Nice Tree Decompositions

Forget node:



$$\Gamma(t, S) = \max \{ \Gamma(t', S), \Gamma(t', S \cup \{v\}) \}$$

Join node:



$$\Gamma(t, S) = \Gamma(t_1, S) + \Gamma(t_2, S) - w(S)$$

# Independent Set on Nice Tree Decompositions

## Analysis:

- \* For any node  $t$  in  $T$ ,  $|B(t)| \leq w(T) + 1$
- \* At node  $t$ , we compute  $2^{|B(t)|} \leq 2^{(w(T) + 1)}$  values of  $\Gamma(t, \cdot)$ 
  - \* For a fixed  $S$ , computing  $\Gamma(t, S)$  is polynomial time
- \* No. of nodes in  $T$  is  $O(w(T) \cdot n)$
- \*  $\Gamma(\text{root}, \emptyset)$  is the required answer

$2^{w(t)} n^{O(1)}$  time algorithm

**Theorem:** Weighted Independent Set parameterized by the treewidth of the input graph is FPT.

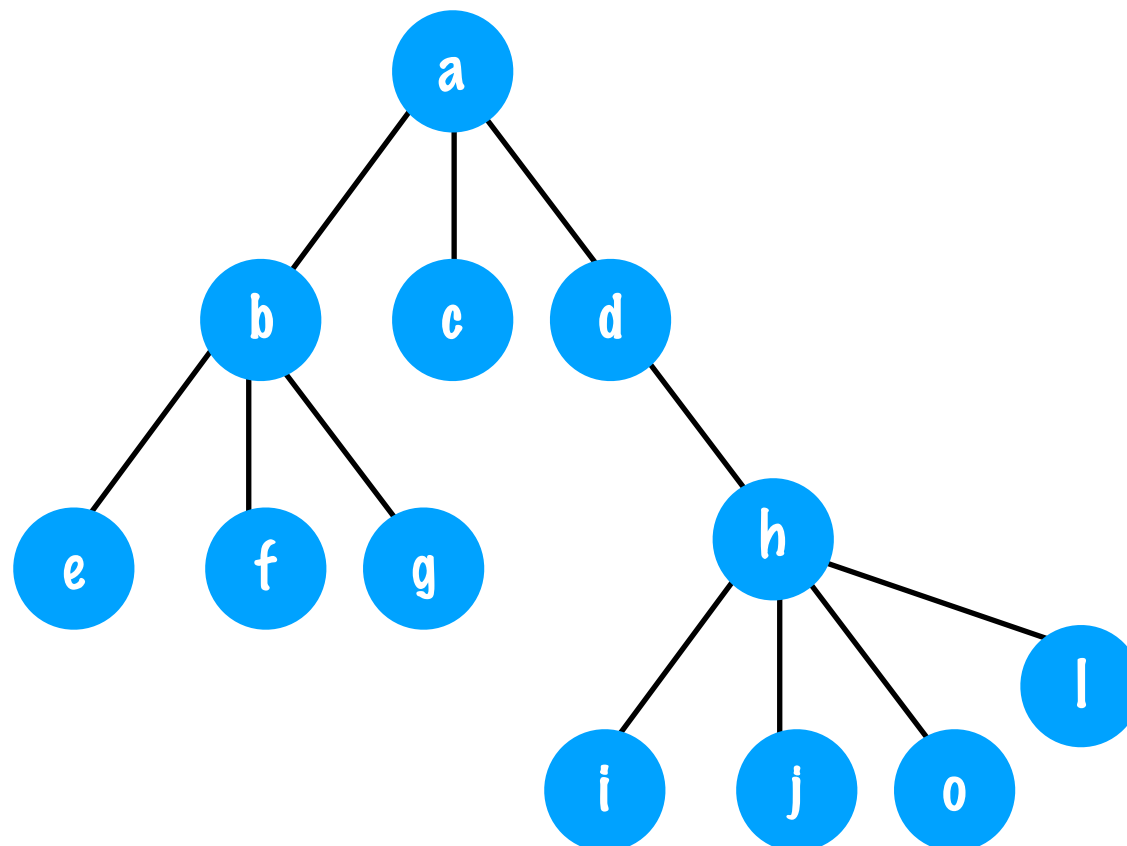
# Dominating Set on Trees

**Definition:** A dominating set in  $G$  is a set  $S$  of vertices such that  $N[S] = V(G)$

## Dominating Set

Instance: A tree  $T$  and an integer  $k$

Question: Does there exist a dominating set of  $T$  of size at most  $k$ ?



# Dominating Set on Trees

- \* Root  $T$  at an arbitrary vertex
- \* For a vertex  $v$ , let  $T_v$  denote the subtree of  $T$  rooted at  $v$
- \* Suppose  $v$  has  $v_1, v_2, \dots, v_q$  as its children
  - \* Let  $\Gamma(v)$  denote the min possible size of a Dom Set in  $T_v$
  - \* Let  $\Lambda(v)$  denote the min possible size of a set in  $T_v$  that dominates every vertex in  $T_v - v$
  - \* Let  $\Delta(v)$  denote the min possible size of a Dom Set in  $T_v$  that contains  $v$ 
    - \*  $\Delta(v) = 1 + \Lambda(v_1) + \dots + \Lambda(v_q)$
  - \*  $\Lambda(v) = \min \{ \Gamma(v_1) + \dots + \Gamma(v_q), 1 + \Lambda(v_1) + \dots + \Lambda(v_q) \}$
  - \*  $\Gamma(v) = \min \{ 1 + \Lambda(v_1) + \dots + \Lambda(v_q), \min \{ \Delta(v_i) + \sum_{j \neq i} \Gamma(v_j) : i \in [q] \} \}$
- \* Computing  $\Lambda(\cdot)$ ,  $\Delta(\cdot)$  and  $\Gamma(\cdot)$  for leaves is easy

Linear time algorithm

# Dominating Set on Nicer Tree Decompositions

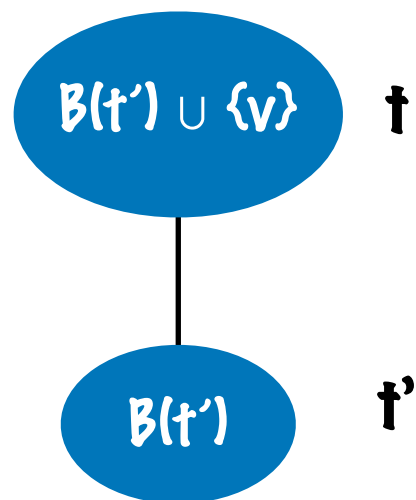
## Dominating Set

Instance: A graph  $G$ , a **nicer tree decomposition**  $(T, B)$  of  $G$  and an integer  $k$

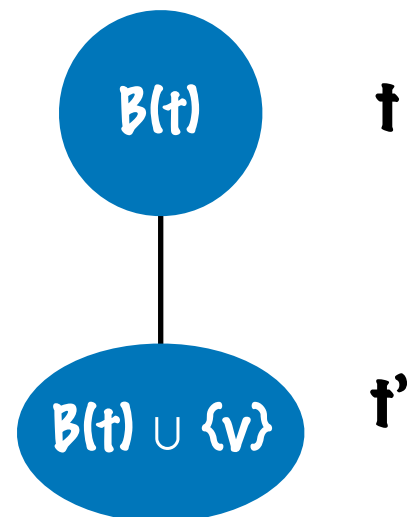
Question: Does there exist a dominating set of  $T$  of size at most  $k$ ?

Parameter:  $w(T)$

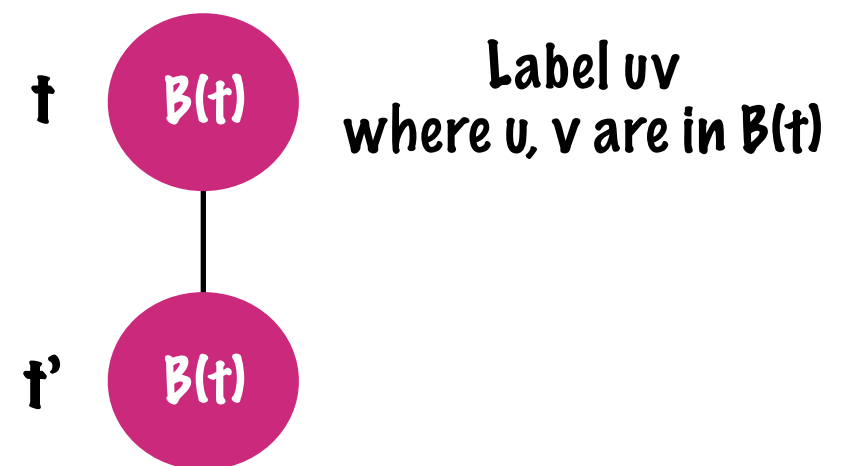
Introduce Node



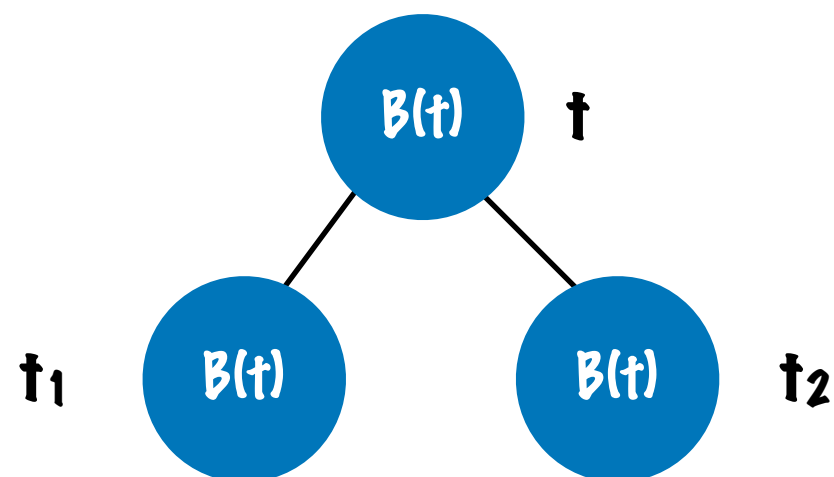
Forget Node



Introduce Edge Node



Join Node



- \* For every edge  $\{u, v\}$  in  $G$ , there is exactly one introduce edge node with label  $uv$

# Dominating Set on Nicer Tree Decompositions

- \* For a node  $t$  in  $T$ ,
  - \* Let  $V_t$  be the union of all bags in the subtree of  $T$  rooted at  $t$
  - \* Let  $E_t$  be the edges in  $G[V_t]$  introduced in the subtree of  $T$  rooted at  $t$
  - \* Let  $G_t$  denote the subgraph of  $G$  with vertex set  $V_t$  and edge set  $E_t$
- \* For a node  $t$  in  $T$  and a partition of  $B(t)$  into 3 sets  $X$ ,  $Y$  and  $Z$ 
  - \* Let  $\Gamma(t, X, Y, Z)$  denote the min possible size of a set  $S^*$  in  $G_t$  s.t.
    - \*  $X \subseteq S^*$
    - \*  $S^*$  dominates every vertex in  $V_t \setminus Z$
    - \*  $Y \cap S^* = \emptyset$  and  $Z \cap S^* = \emptyset$



# Dominating Set on Nicer Tree Decompositions

Leaf node:



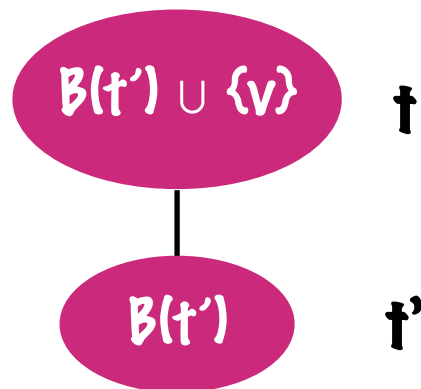
$t$

$$\Gamma(t, \emptyset, \emptyset, \emptyset) = 0$$

polynomial time

Introduce

vertex node:



$t$

$t'$

$$V_{t'} = V_t \setminus \{v\} \text{ and } E_{t'} = E_t$$

$v$  is isolated in  $G_t$

$$\Gamma(t, X, Y, Z) = \infty \text{ if } v \text{ is in } Y$$

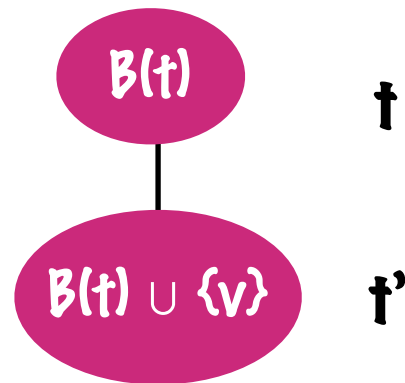
$$\Gamma(t, X, Y, Z) = \Gamma(t', X, Y, Z \setminus \{v\}) \text{ if } v \text{ is in } Z$$

polynomial time

$$\Gamma(t, X, Y, Z) = 1 + \Gamma(t', X \setminus \{v\}, Y, Z) \text{ if } v \text{ is in } X$$

# Dominating Set on Nicer Tree Decompositions

Forget node:



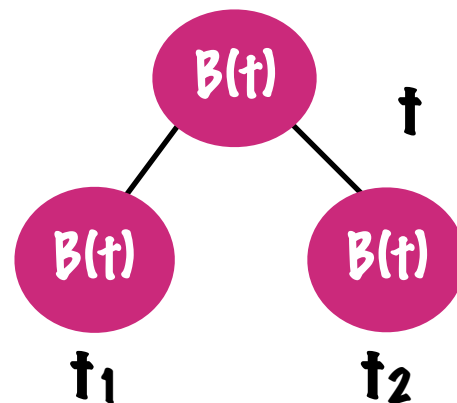
$v$  has to be dominated!

$$Y = Y' \setminus \{v\}$$

$$\Gamma(t, X, Y, Z) = \min \{ \Gamma(t', X', Y', Z') : v \notin Z', X = X' \setminus \{v\}, Y \subseteq Y' \}$$

polynomial time

Join node:



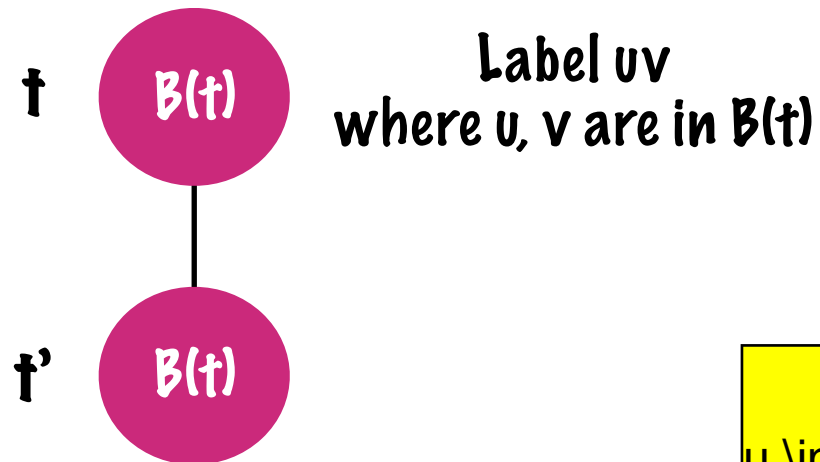
$2^{w(t)} n^{O(1)}$  time

$$\text{Actually, } Y = Y_1 \cup Y_2 \setminus Z_i = B(t) \setminus (X \cup Y_i)$$

$$\Gamma(t, X, Y, Z) = \min \{ \Gamma(t_1, X, Y_1, Z_1) + \Gamma(t_2, X, Y_2, Z_2) - |X| : Y \subseteq Y_1 \cup Y_2 \}$$

# Dominating Set on Nicer Tree Decompositions

Introduce edge  
node:



$$V_{t'} = V_t \text{ and } E_t = E_{t'} \cup \{\{u, v\}\}$$

$u \notin X \Rightarrow v$  is already dominated

If  $v \in Y$  and  $u \in X$  then,

$$\Gamma(t, X, Y, Z) = \min \{ \Gamma(t', X, Y, Z), \min \{ \Gamma(t', X, Y', Z') : Y \setminus \{v\} \subseteq Y', Z \subseteq Z' \} \}$$

If  $v \in X$  and  $u \in Y$  then,

$$\Gamma(t, X, Y, Z) = \min \{ \Gamma(t', X, Y, Z), \min \{ \Gamma(t', X, Y', Z') : Y \setminus \{u\} \subseteq Y', Z \subseteq Z' \} \}$$

Otherwise,

$$\Gamma(t, X, Y, Z) = \Gamma(t', X, Y, Z) \text{ if } v \in X \text{ and } u \in X \text{ or } v \notin X \text{ and } u \notin X$$

polynomial time

# Dominating Set on Nicer Tree Decompositions

## Analysis:

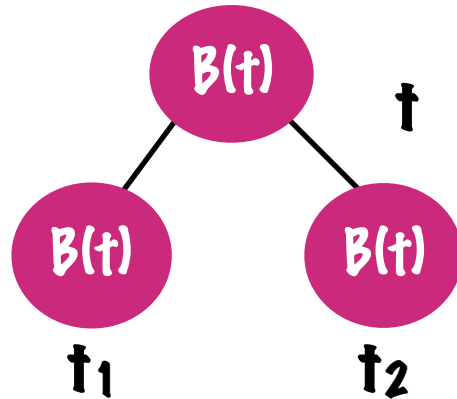
- \* For any node  $t$  in  $T$ ,  $|B(t)| \leq w(T) + 1$
- \* At node  $t$ , we compute  $3^{|B(t)|} \leq 3^{(w(T) + 1)}$  values of  $\Gamma(t, \cdot, \cdot, \cdot)$ 
  - \* For a fixed  $(X, Y, Z)$ , compute  $\Gamma(t, X, Y, Z)$  in
    - \*  $2^{w(t)}$  time if  $t$  is a join node
    - \* Polynomial time if  $t$  is not a join node

$3^{w(t)} 2^{w(t)} n^{O(1)}$  time algorithm

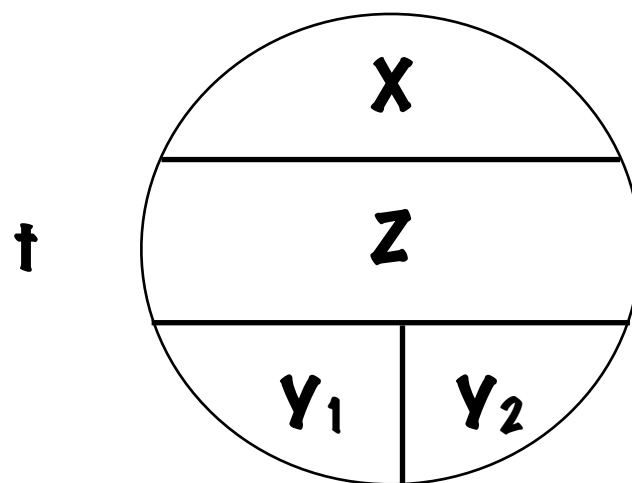
**Theorem:** Dominating Set parameterized by the treewidth of the input graph is FPT.

# Dominating Set on Nicer Tree Decompositions

Join node:



$$\Gamma(t, X, Y, Z) = \min \{ \Gamma(t_1, X, Y_1, Z_1) + \Gamma(t_2, X, Y_2, Z_2) - |X| : Y \subseteq Y_1 \cup Y_2 \}$$



The min of  $4^{w(t)}$  values is  $\Gamma(t, X, Y, Z)$

# Dominating Set on Nicer Tree Decompositions

## Analysis:

- \* For any node  $t$  in  $T$ ,  $|B(t)| \leq w(T) + 1$
- \* At a non-join node  $t$ , we compute  $3^{|B(t)|} \leq 3^{(w(T) + 1)}$  values of  $\Gamma(t, \cdot, \cdot, \cdot)$ 
  - \* For a fixed  $(X, Y, Z)$ , compute  $\Gamma(t, X, Y, Z)$  in polynomial time
- \* For a join node  $t$ ,  $4^{w(t)}$  time to compute  $\Gamma(t, \cdot, \cdot, \cdot)$

$4^{w(t)} n^{O(1)}$  time algorithm

**Theorem:** Dominating Set parameterized by the treewidth of the input graph is FPT.