# Chunyuan Li

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### **Research Interests**

My recent research focuses on deep learning and probabilistic modeling with large-scale datasets/training. These tools have been applied to various domains, including natural language processing, computer vision and deep reinforcement learning etc.

### **Education**

• Duke University, Durham, NC

2014 - 2018

Ph.D., Electrical and Computer Engineering, GPA: 3.9/4.0.

Bayesian and Modern Statistics Statistical Computation
Probabilistic & Advanced Machine Learning Information Theory

Discrete Optimization Graphical Models & Inference

• Concordia University, Montreal, Canada

09/2011 - 2013

M.S., Quality System Engineering, University Merit Award (top 0.5%)

• Huazhong University of Science and Technology, Wuhan, China

09/2007 - 06/2011

B.E., Electronics and Information Engineering, Excellent Undergraduate Thesis (top 1%)

# **Research Experiences**

Microsoft Research, Redmond, WA

2018 - present

Senior Researcher, deep learning team at MSR AI

Self-supervised Representation Learning & Generative Pre-training Models via Large-scale Data & Training, with demonstrated project experience/papers in natural language modeling, image generation, vision-and-language, dialog tasks.

• Information Initiative at Duke (iiD)

2014 - 2018

Research assistant. Advisor: Prof. Lawrence Carin

- (*i*) **Bayesian Deep Learning**: Scalable Bayesian learning methods for the weight uncertainty of deep neural networks, e.g., SG-MCMCs
- (ii) **Deep Bayesian Learning**: Deep neural networks as flexible representation methods in Bayesian models, e.g., GANs and VAEs.
- Uber AI Labs, San Francisco, CA

Summer, 2017

Research Scientist Intern. Mentor: Jason Yosinski

Subspace training of neural networks; one paper & patent

• Adobe Research, San Jose, CA

Summer, 2016

Data Scientist Intern. Mentors: H. Bui, M. Ghavamzadeh and G. Theocharous

- (i) Product: Recurrent neural networks for digital market forecasting; one patent
- (ii) Science: Investigation of Bayesian deep reinforcement learning
- National Institute of Standards and Technology, MD

09/2013 - 08/2014

Organized and participated shape retrieval contests in Eurographics 2014

• Geometrica Group of INRIA Saclay, France

Summer, 2013

Research intern. Mentors: Maks Ovsjanikov and Frederic Chazal

Developed algorithms for object recognition via topological persistence

Concordia University, Montreal, Canada
 Deformable 3D shape analysis via spectral geometry

09/2011 - 04/2013

Ankon International Summer, 2011
 Developed novel online redundant image elimination algorithms for wireless capsule endoscopy

Huazhong University of Science and Technology
 Worked on algorithms for 2D shape analysis and classification

2009 - 2011

# Publications [Citations=3041, h-index=31, i10-index=45]

### **Preprint**

1. B. Peng, C. Li, J. Li, S. Shayandeh, L. Liden, J. Gao Soloist: Few-shot Task-Oriented Dialog with A Single Pre-trained Auto-regressive Model

### Selected Journal and Conference

- 1. **C. Li**, X. Gao, Y. Li, X. Li, B. Peng, Y. Zhang, J. Gao Optimus: Organizing Sentences via Pre-trained Modeling of a Latent Space *Empirical Methods in Natural Language Processing* (**EMNLP**) 2020
- 2. B. Peng, C. Zhu, C. Li, X. Li, J. Li, M. Zeng, and J. Gao Few-shot Natural Language Generation for Task-Oriented Dialogue Empirical Methods in Natural Language Processing (EMNLP) 2020
- 3. Y. Zhang\*, G. Wang\*, C. Li, Z. Gan, C. Brockett, B. Dolan Pointer: Constrained Text Generation via Insertion-based Generative Pre-training *Empirical Methods in Natural Language Processing* (EMNLP) 2020
- 4. B. An, J. Lyu, Z. Wang, C. Li, C. Hu, F. Tan, R. Zhang, Y. Hu and C. Chen Repulsive Attention: Rethinking Multi-head Attention as Bayesian Inference *Empirical Methods in Natural Language Processing* (EMNLP) 2020
- 5. J. Li\*, C. Li\*, G. Wang\*, H. Fu, Y. Lin, L. Chen, Y. Zhang et al Improving Text Generation with Student-Forcing Optimal Transport Empirical Methods in Natural Language Processing (EMNLP) 2020
- 6. S. Yuan, K. Bai, L. Chen, Y. Zhang, C. Tao, C. Li, G. Wang, R. Henao, L. Carin Weakly Supervised Cross-domain Alignment with Optimal Transport *British Machine Vision Conference* (BMVC) 2020 Oral Presentation
- 7. X. Li, X. Yin, C. Li, P. Zhang, X. Hu, L. Zhang, H. Hu, L. Dong, F. Wei, Y. Choi and J. Gao Oscar: Object-Semantics Aligned Pre-training for Vision-Language Tasks *European Conference on Computer Vision* (ECCV) 2020
- 8. P. Yu, Y. Zhao, C. Li, J. Yuan and C. Chen Structure-Aware Human-Action Generation *European Conference on Computer Vision* (ECCV) 2020
- 9. Y. Zhao\*, C. Li\*, J. Gao, and C. Chen (\* Equal contribution) Feature Quantization Improves GAN Training International Conference on Machine Learning (ICML) 2020
- 10. W. Hao\*, C. Li\*, X. Li, L. Carin and J. Gao (\* Equal contribution) Towards Learning a Generic Agent for Vision-and-Language Navigation via Pre-training Conference on Computer Vision and Pattern Recognition (CVPR) 2020
- R. Zhang, C. Li, J. Zhang, C. Chen, and A. G. Wilson Cyclical Stochastic Gradient MCMC for Bayesian Deep Learning International Conference on Learning Representations (ICLR) 2020 Oral Presentation, acceptance rate 1.8%
- 12. S. Lobel\*, C. Li\*, J. Gao, and L. Carin (\* Equal contribution)

  Towards Amortized Ranking-Critical Training for Collaborative Filtering

  International Conference on Learning Representations (ICLR) 2020

- 13. Y. Li, C. Li<sup>†</sup>, Y. Zhang, X. Li, G. Zheng, L. Carin and J. Gao (<sup>†</sup> Corresponding author) Complementary Auxiliary Classifiers for Label-Conditional Text Generation *AAAI Conference on Artificial Intelligence* (**AAAI**) 2020
- 14. P. Chapfuwa, **C. Li**, N. Mehta, L. Carin, and R. Henao Survival Cluster Analysis ACM Conference on Health, Inference, and Learning (**CHIL**) 2020
- 15. M Gong, Y Xu, C. Li, K Zhang, K Batmanghelich Twin Auxiliary Classifiers GAN Neural Information Processing Systems (NeurIPS) 2019 Spotlight Presentation, acceptance rate 2.4%
- 16. Robust Navigation with Language Pre-training and Stochastic Sampling X Li, C. Li, Q Xia, Y Bisk, A Celikyilmaz, J Gao, NA Smith, Y Choi *Empirical Methods in Natural Language Processing* (EMNLP) 2019
- 17. L Fang, C. Li, J Gao, W Dong, C Chen Implicit Deep Latent Variable Models for Text Generation Empirical Methods in Natural Language Processing (EMNLP) 2019
- 18. H, Fu\*, C. Li\*, X. Liu, J. Gao, A. Celikyilmaz, and L. Carin (\* Equal contribution) "Cyclical Annealing Schedule: A Simple Approach to Mitigating KL Vanishing" North American Association for Computational Linguistics (NAACL) 2019, Oral Presentation
- 19. **C. Li**, K. Bai, J. Li, G. Wang, C. Chen, and L. Carin "Adversarial Learning of a Sampler Based on an Unnormalized Distribution" *Artificial Intelligence and Statistics* (**AISTATS**) 2019
- 20. **C. Li**, C. Chen, Y. Pu, R. Henao and L. Carin "Communication-efficient Stochastic Gradient MCMC for Neural Networks" *AAAI Conference on Artificial Intelligence* (**AAAI**) 2019
- 21. **C. Li**, H. Farkhoor, R. Liu and J. Yosinski "Measuring the Intrinsic Dimension of Objective Landscapes" *International Conference on Learning Representations* (**ICLR**) 2018
- 22. C. Chen, **C. Li**, L. Chen, W. Wang, Y. Pu and L. Carin "Continuous-Time Flows for Efficient Inference and Density Estimation" *International Conference on Machine Learning* (**ICML**) 2018
- 23. R. Zhang, C. Chen, C. Li, and L. Carin "Policy Optimization as Wasserstein Gradient Flows" *International Conference on Machine Learning* (ICML) 2018
- 24. P. Chapfuwa, C. Tao, C. Li, C. Page, B. Goldstein, L. Carin, R. Henao "Adversarial Time-to-Event Modeling"

  International Conference on Machine Learning (ICML) 2018
- 25. G. Wang, C. Li<sup>†</sup>, W. Wang Y. Zhang, D. Shen, and L. Carin (<sup>†</sup> Corresponding author) "Joint Word and Label Embeddings for Text Classification" *Annual Meeting of the Association for Computational Linguistics* (ACL) 2018
- 26. D. Shen, G. Wang, W. Wang, M. Min, Q. Su, Y. Zhang, C. Li, R. Henao and L. Carin "On Simple Word-Embedding-Based Models and Associated Pooling Mechanisms" *Annual Meeting of the Association for Computational Linguistics* (ACL) 2018
- 27. R. Zhang, C. Li, C. Chen, and L. Carin "Learning Structural Weight Uncertainty for Sequential Decision-Making" *Artificial Intelligence and Statistics* (AISTATS) 2018
- 28. L. Chen, S. Dai, Y. Pu, C. Li, Q. Su, and L. Carin "Symmetric Variational Autoencoder and Connections to Adversarial Learning" *Artificial Intelligence and Statistics* (AISTATS) 2018
- J. Lu, C. Li, J. Singh-Alvarado, Z. Zhou, F. Frohlich, R. Mooney and F. Wang "MIN1PIPE: A Miniscope 1-photon-based Calcium Imaging Signal Extraction Pipeline" Cell Report 2018 (Impact factor: 8.282)

- 30. C. Li, H. Liu, C. Chen, Y. Pu, L. Chen, R. Henao and L. Carin "ALICE: Towards Understanding Adversarial Training for Joint Distribution Matching" Neural Information Processing Systems (NIPS) 2017
- 31. Y. Pu, Z. Gan, R. Henao, **C. Li**, S. Han and L. Carin "VAE Learning via Stein Variational Gradient Descent" *Neural Information Processing Systems* (**NIPS**) 2017
- 32. Y. Pu, W. Wang, R. Henao, L. Chen, Z. Gan, C. Li, and L. Carin "Adversarial Symmetric Variational Autoencoder", Neural Information Processing Systems (NIPS) 2017
- 33. Z. Gan, L. Chen, W. Wang, Y. Pu, Y. Zhang, H. Liu, C. Li, and L.Carin "Triangle Generative Adversarial Networks",

  Neural Information Processing Systems (NIPS) 2017
- 34. Z. Gan\*, C. Li\*, C. Chen, Q. Su, Y. Pu, and L. Carin (\* Equal contribution)

  "Scalable Bayesian Learning of Recurrent Neural Networks for Language Modeling"

  Annual Meeting of the Association for Computational Linguistics (ACL) 2017, Oral Presentation
- 35. Z. Gan, Y. Pu, R. Henao, C. Li, X. He and L. Carin "Learning Generic Sentence Representations using Convolutional Neural Networks" Empirical Methods on Natural Language Processing (EMNLP) 2017, Oral Presentation
- 36. Q. Su, X. Liao, C. Li, and Z. Gan, L. Carin "Restricted Truncated Gaussian Graphical Models" AAAI Conference on Artificial Intelligence (AAAI) 2017, Oral Presentation
- 37. C. Li, A. Stevens, C. Chen, Y. Pu, Z. Gan and L. Carin "Learning Weight Uncertainty with Stochastic Gradient MCMC for Shape Classification" Computer Vision and Pattern Recognition (CVPR) 2016,

  Spotlight Presentation, acceptance rate 9.7%
- 38. **C. Li**, C. Chen, D. Carlson and L. Carin
  "Preconditioned Stochastic Gradient Langevin Dynamics for Deep Neural Networks" *AAAI Conference on Artificial Intelligence* (**AAAI**) 2016, **Oral Presentation**
- 39. **C. Li**, C. Chen, K. Fan and L. Carin "High-Order Stochastic Gradient Thermostats for Bayesian Learning of Deep Models" *AAAI Conference on Artificial Intelligence* (**AAAI**) 2016
- 40. C. Chen, N. Ding, C. Li, Y. Zhang and L. Carin "Stochastic Gradient MCMC with Stale Gradients" *Neural Information Processing Systems* (NIPS) 2016
- 41. Y. Pu, Z. Gan, R. Henao, Y. Xin, C. Li, A Stevens, and L. Carin "Variational Autoencoder for Deep Learning of Images, Labels and Captions" Neural Information Processing Systems (NIPS) 2016
- 42. K. Fan, **C. Li**, and K. Heller "Hierarchical Graph-Coupled HMM with an Application to Influenza Infection" *AAAI Conference on Artificial Intelligence* (**AAAI**) 2016
- 43. Y. Zhang, R. Henao, C. Li and L. Carin "Bayesian Dictionary Learning with Gaussian Processes and Sigmoid Belief Networks" Int. Joint Conference on Artificial Intelligence (IJCAI) 2016
- 44. C. Chen, D. Carlson, Z. Gan, C. Li and L. Carin
  "Bridging the Gap Between Stochastic Gradient MCMC and Stochastic Optimization"

  Artificial Intelligence and Statistics (AISTATS) 2016,

  Oral Presentation, acceptance rate 6.5%
- 45. Y. Pu, X. Yuan, A. Stevens, **C. Li** and L. Carin "A Deep Generative Deconvolutional Image Model" *Artificial Intelligence and Statistics* (**AISTATS**) 2016
- 46. D. Pickup, X. Sun, P. L. Rosin, R. R. Martin, C. Li et al. "Shape Retrieval of Non-Rigid 3D Human Models", *Int. Journal of Computer Vision* (IJCV) 2016

- Z. Gan, C. Li, R. Henao, D. Carlson and L. Carin
   "Deep Temporal Sigmoid Belief Networks for Sequence Modeling", Neural Information Processing Systems (NIPS) 2015
- 48. B. Li, Y. Lu, C. Li, A. Godil, T. Schreck, et al.

  "A Comparison of 3D Shape Retrieval Methods: A Benchmark with Multimodal Queries",

  Computer Vision and Image Understanding (CVIU) 2015
- 49. C. Li, M. Ovsjanikov and F. Chazal "Persistence-based Structural Recognition" Computer Vision and Pattern Recognition (CVPR) 2014
- Z. Ren, J. Yuan, C. Li and W. Liu "Minimum Near-Convex Decomposition for Shape Representation" International Conference on Computer Vision (ICCV) 2011
- International Conference on Computer Vision (ICCV) 2011
  51. C. Li and A. Ben Hamza
- sive Comparison", *Multimedia Systems*, 2014
  52. **C. Li** and A. Ben Hamza

"Spatially Aggregating Spectral Descriptors for Non-Rigid 3D Shape Retrieval: A Comprehen-

- "Symmetry Discovery and Retrieval of Nonrigid 3D Shapes using Geodesic Skeleton Paths", Multimedia Tools and Applications, 2014
- 53. **C. Li** and A. Ben Hamza
  "A Multi-Resolution Descriptor for Deformable 3D Shape Retrieval", *Visual Computer (Computer Graphics International, acceptance rate* 18%), 2013

### **Patents**

"Metric Forecasting Employing a Similarity Determination in a Digital Medium Environment" C. Li, H. Bui, M. Ghavamzadeh and G. Theocharous, US20180276691A1

"Generating Compressed Representation Neural Networks Having High Degree of Accuracy" Jason. Yosinski, C. Li, and Ruoqian Liu, US20190130272A1

# **Teaching Experiences**

Teaching assistant. Besides grading and office hours, I gave the following lectures.

STA571 Machine Learning: Design discussion material and lead the discussion lecture every week ECE681 Pattern Classification: Guest Lecture on *Introduction to Deep Neural Networks* 

### Students/Interns Mentored

- Yang Zhao, ICML 2020 paper, PhD student at University of Buffalo
- Paidamoyo Chapfuwa, CHIL 2020 paper, PhD student at Duke University
- Christy Li, AAAI 2020 paper, PhD student at Duke University
- Ruqi Zhang, ICLR 2020 paper, PhD student at Cornell University
- Le Fang, EMNLP 2019 paper, PhD student at University of Buffalo
- Hao Fu, NAACL 2019 paper, PhD student at Duke University
- Ke Bai, AISTATS 2019 paper, PhD student at Duke University
- Guoyin wang, ACL 2018 paper, PhD student at Duke University
- Ruiyi Zhang, AISTATS 2018 paper, PhD student at Duke University
- Sam Lobel, ICLR 2020 paper. Visiting student at Duke, now PhD student at Brown University
- Hao Liu, NIPS 2017 paper. Visiting student at Duke, now PhD student at Caltech

### **Academic Activities**

Senior PC: AAAI 2020/2021

#### Reviewer / PC:

- Natural Sciences and Engineering Research Council of Canada (NSERC)
- NIPS 2020/2019/2018/2016, ICML 2019/2018, ICLR 2020/2019/2018, AISTATS 2019/2018
- ICCV 2019, CVPR 2019/2018, ECCV 2020, ACCV 2018
- ACL 2020/2018, NAACL 2020, NAACL 2019
- IJCAI 2020/2019, AAAI 2019/2018
- IEEE Transactions on Pattern Analysis and Machine Intelligence
- International Journal of Computer Vision
- Computer Vision and Image Understanding
- Pattern Recognition
- IEEE Transactions on Neural Networks and Learning Systems

### Organizer:

- Weakly-supervised and Unsupervised Learning Workshop, SIAM SDM 2020
- Two SHREC 3D shape retrieval contests in Eurographics workshop on 3DOR 2014

#### **Talks**

- "Towards Better Representations with Deep/Bayesian Learning" Salesforce Research, Palo Alto, January 2018

  IBM Watson Research Center, Boston & Yorktown, April 2018

  Microsoft Research, Redmond, June 2018

  Google, Mountain View, August 2018
- "Scalable Bayesian Learning of Recurrent Neural Networks for Language Modeling" *ACL*, Vancouver, Canada, August 2017
- "Scalable Bayesian Methods for Deep Learning", OpenAI, San Francisco, Feb. 2017
- "Learning Weight Uncertainty with Stochastic Gradient MCMC for Shape Classification" Computer Vision and Pattern Recognition, Las Vegas, NV, June 2016
- "Preconditioned Stochastic Gradient Langevin Dynamics for Deep Neural Networks" AAAI Conference on Artificial Intelligence, Phoenix, AZ, Feb. 2016
- "Large-scale Comprehensive 3D Shape Retrieval" Eurographics workshop on 3DOR, Strasbourg, France, April 2014

#### **Software Skills**

Python (Pytorch, Tensorflow and Keras), Matlab, R and C