QUIZ4

1. **Neural Network and Deep Learning**
   A fully connected Neural Network has $L = 2$; $d^{(0)} = 5$, $d^{(1)} = 3$, $d^{(2)} = 1$. If only products of the form $w_{ij}^{(\ell)} x_i^{(\ell-1)}$, $w_{ij}^{(\ell+1)} \delta_j^{(\ell+1)}$, and $x_i^{(\ell-1)} \delta_j^{(\ell)}$ count as operations (even for $x_0^{(\ell-1)} = 1$), without counting anything else, which of the following is the total number of operations required in a single iteration of backpropagation (using SGD on one data point)?

   - √ **47**
   - ○ 43
   - ○ 53
   - ○ 59
   - ○ none of the other choices

2. Consider a Neural Network without any bias terms $x_0^{(\ell)}$. Assume that the network contains $d^{(0)} = 10$ input units, 1 output unit, and 36 hidden units. The hidden units can be arranged in any number of layers $\ell = 1, \cdots, L-1$, and each layer is fully connected to the layer above it. What is the minimum possible number of weights that such a network can have?

   - √ **46**
   - ○ 44
   - ○ none of the other choices
   - ○ 43
   - ○ 45

3. Following Question 2, what is the maximum possible number of weights that such a network can have?

   - ○ 510
   - ○ 520
   - √ **none of the other choices**
   - ○ 500
   - ○ 490

4. **Autoencoder**
   Assume an autoencoder with $\tilde{d} = 1$. That is, the $d \times \tilde{d}$ weight matrix W becomes a $d \times 1$ weight vector $\mathbf{w}$, and the linear autoencoder tries to minimize

$$E_{in}(\mathbf{w}) = \frac{1}{N} \sum_{n=1}^{N} \|\mathbf{x}_n - \mathbf{w}\mathbf{w}^T \mathbf{x}_n\|^2.$$

   We can solve this problem with stochastic gradient descent by defining

$$\text{err}_n(\mathbf{w}) = \|\mathbf{x}_n - \mathbf{w}\mathbf{w}^T \mathbf{x}_n\|^2$$

   and calculate $\nabla_{\mathbf{w}} \text{err}_n(\mathbf{w})$. What is $\nabla_{\mathbf{w}} \text{err}_n(\mathbf{w})$?

○ $(4\mathbf{x}_n - 4)(\mathbf{w}^T\mathbf{w})$

○ none of the other choices

○ $(4\mathbf{w} - 4)(\mathbf{x}_n^T\mathbf{x}_n)$

√ $2(\mathbf{x}_n^T\mathbf{w})^2\mathbf{w} + 2(\mathbf{x}_n^T\mathbf{w})(\mathbf{w}^T\mathbf{w})$

○ $2(\mathbf{x}_n^T\mathbf{w})^2\mathbf{x}_n + 2(\mathbf{x}_n^T\mathbf{w})(\mathbf{w}^T\mathbf{w})\mathbf{w} - 4(\mathbf{x}_n^T\mathbf{w})\mathbf{w}$

5. Following Question 4, assume that noise vectors $\boldsymbol{\epsilon}_n$ are generated i.i.d. from a zero-mean, unit variance Gaussian distribution and added to $\mathbf{x}_n$ to make $\tilde{\mathbf{x}}_n = \mathbf{x}_n + \boldsymbol{\epsilon}_n$, a noisy version of $\mathbf{x}_n$. Then, the linear denoising autoencoder tries to minimize

$$E_{in}(\mathbf{w}) = \frac{1}{N}\sum_{n=1}^{N} \|\mathbf{x}_n - \mathbf{w}\mathbf{w}^T(\mathbf{x}_n + \boldsymbol{\epsilon}_n)\|^2$$

For any fixed $\mathbf{w}$, what is $\mathcal{E}\left(E_{in}(\mathbf{w})\right)$, where the expectation $\mathcal{E}$ is taken over the noise generation process?

○ $\frac{1}{N}\sum_{n=1}^{N} \|\mathbf{x}_n - \mathbf{w}\mathbf{w}^{T^2}\mathbf{x}_n\|^2$

○ $\frac{1}{N}\sum\limits_{n=1}^{N} \mathbf{x}_n - \mathbf{w}\mathbf{w}^T\mathbf{x}_n{}^2 + d(\mathbf{w}^T\mathbf{w})^2$

○ none of the other choices

√ $\frac{1}{N}\sum_{n=1}^{N} \|\mathbf{x}_n - \mathbf{w}\mathbf{w}^T\mathbf{x}_n\|^2 + (\mathbf{w}^T\mathbf{w})^2$

○ $\frac{1}{N}\sum_{n=1}^{N} \|\mathbf{x}_n - \mathbf{w}\mathbf{w}^T\mathbf{x}_n\|^2 + \frac{1}{d}(\mathbf{w}^T\mathbf{w})^2$

6. **Nearest Neighbor and RBF Network**
Consider getting the 1 Nearest Neighbor hypothesis from a data set of two examples $(\mathbf{x}_+, +1)$ and $(\mathbf{x}_-, -1)$. Which of the following linear hypothesis $g_{LIN}(\mathbf{x}) = \text{sign}(\mathbf{w}^T\mathbf{x} + b)$ (where $\mathbf{w}$ does not include $b = w_0$) is equivalent to the hypothesis?

○ none of the other choices

○ $\mathbf{w} = 2(\mathbf{x}_+ - \mathbf{x}_-)$, $b = +\mathbf{x}_+^T\mathbf{x}_-$

○ $\mathbf{w} = 2(\mathbf{x}_- - \mathbf{x}_+)$, $b = +\|\mathbf{x}_+\|^2 - \|\mathbf{x}_-\|^2$

○ $\mathbf{w} = 2(\mathbf{x}_- - \mathbf{x}_+)$, $b = -\mathbf{x}_+^T\mathbf{x}_-$

√ $\mathbf{w} = 2(\mathbf{x}_+ - \mathbf{x}_-)$, $b = -\|\mathbf{x}_+\|^2 + \|\mathbf{x}_-\|^2$

7. Consider an RBF Network hypothesis for binary classification

$$g_{RBFNET}(\mathbf{x}) = \text{sign}\left(\beta_+ \exp(-\|\mathbf{x} - \boldsymbol{\mu}_+\|^2) + \beta_- \exp(-\|\mathbf{x} - \boldsymbol{\mu}_-\|^2)\right)$$

and assume that $\beta_+ > 0 > \beta_-$. Which of the following linear hypothesis $g_{LIN}(\mathbf{x}) = \text{sign}(\mathbf{w}^T\mathbf{x} + b)$ (where $\mathbf{w}$ does not include $b = w_0$) is equivalent to $g_{RBFNET}(\mathbf{x})$?

√ $\mathbf{w} = 2(\boldsymbol{\mu}_+ - \boldsymbol{\mu}_-)$, $b = \ln\left|\frac{\beta_+}{\beta_-}\right| - \|\boldsymbol{\mu}_+\|^2 + \|\boldsymbol{\mu}_-\|^2$

○ $\mathbf{w} = 2(\boldsymbol{\mu}_- - \boldsymbol{\mu}_+)$, $b = \ln\left|\frac{\beta_-}{\beta_+}\right| + \|\boldsymbol{\mu}_+\|^2 - \|\boldsymbol{\mu}_-\|^2$

○ $\mathbf{w} = 2(\beta_+\boldsymbol{\mu}_+ + \beta_-\boldsymbol{\mu}_-)$, $b = -\beta_+\|\boldsymbol{\mu}_+\|^2 + \beta_-\|\boldsymbol{\mu}_-\|^2$

○ $\mathbf{w} = 2(\beta_+\boldsymbol{\mu}_+ + \beta_-\boldsymbol{\mu}_-)$, $b = +\beta_+\|\boldsymbol{\mu}_+\|^2 - \beta_-\|\boldsymbol{\mu}_-\|^2$

○ none of the other choices

8. Assume that a full RBF network (page 9 of class 214) using $\text{RBF}(\mathbf{x}, \boldsymbol{\mu}) = [[\mathbf{x} = \boldsymbol{\mu}]]$ is solved for squared error regression on a data set where all inputs $\mathbf{x}_n$ are different. What are the optimal coefficients β_n for each $\text{RBF}(\mathbf{x}, \mathbf{x}_n)$?

√ y_n

○ $\|\mathbf{x}_n\|^2 y_n^2$

○ none of the other choices

○ $\|\mathbf{x}_n\| y_n$

○ y_n^2

9. **Matrix Factorization**
Consider matrix factorization of $\tilde{d} = 1$ with alternating least squares. Assume that the $\tilde{d} \times N$ user factor matrix V is initialized to a constant matrix of 1. After step 2.1 of alternating least squares (page 10 of lecture 215), what is the optimal w_m, the $\tilde{d} \times 1$ movie 'vector' for the m-th movie?

√ **the average rating of the m-th movie**

○ the total rating of the m-th movie

○ the maximum rating of the m-th movie

○ the minimum rating of the m-th movie

○ none of the other choices

10. Assume that for a full rating matrix R, we have obtained a perfect matrix factorization $\mathrm{R} = \mathrm{V}^T\mathrm{W}$. That is, $r_{nm} = \mathbf{v}_n^T \mathbf{w}_m$ for all n,m. Then, a new user $(N+1)$ comes. Because we do not have any information for the type of the movie she likes, we initialize her feature vector $\mathbf{v}_{N+1}$ to $\frac{1}{N}\sum_{n=1}^{N} \mathbf{v}_n$, the average user feature vector. Now, our system decides to recommend her a movie m with the maximum predicted score $\mathbf{v}_{N+1}^T \mathbf{w}_m$. What would the movie be?

○ the movie with the largest maximum rating

○ none of the other choices

○ the movie with the smallest rating variance

○ the movie with the largest minimum rating

√ **the movie with the largest average rating**

11. **Experiment with Backprop neural Network**

Implement the backpropagation algorithm (page 16 of lecture 212) for d-M-1 neural network with tanh-type neurons, **including the output neuron**. Use the squared error measure between the output $g_{NNET}(\mathbf{x}_n)$ and the desired y_n and backprop to calculate the per-example gradient. Because of the different output neuron, your $\delta_1^{(L)}$ would be different from the course slides! Run the algorithm on the following set for training (each row represents a pair of $(\mathbf{x}_n, y_n)$; the first column is $(\mathbf{x}_n)_1$; the second one is $(\mathbf{x}_n)_2$; the third one is y_n):
hw4_nnet_train.dat
and the following set for testing:
hw4_nnet_test.dat

Fix $T = 50000$ and consider the combinations of the following parameters:

- the number of hidden neurons M
- the elements of $w_{ij}^{(\ell)}$ chosen independently and uniformly from the range $(-r, r)$
- the learning rate η

Fix $\eta = 0.1$ and $r = 0.1$. Then, consider $M \in \{1, 6, 11, 16, 21\}$ and repeat the experiment for 500 times. Which M results in the lowest average E_{out} over 500 experiments?

○ 11

○ 16

○ 1

○ 21

√ **6**

12. Following Question 11, fix $\eta = 0.1$ and $M = 3$. Then, consider $r \in \{0, 0.001, 0.1, 10, 1000\}$ and repeat the experiment for 500 times. Which r results in the lowest average E_{out} over 500 experiments?

    ○ 0

    √ **0.1**

    ○ 0.001

    ○ 10

    ○ 1000

13. Following Question 11, fix $r = 0.1$ and $M = 3$. Then, consider $\eta \in \{0.001, 0.01, 0.1, 1, 10\}$ and repeat the experiment for 500 times. Which η results in the lowest average E_{out} over 500 experiments?

    √ **0.01**

    ○ 0.001

    ○ 10

    ○ 0.1

    ○ 1

14. Following Question 11, deepen your algorithm by making it capable of training a d-8-3-1 neural network with tanh-type neurons. Do not use any pre-training. Let $r = 0.1$ and $\eta = 0.01$ and repeat the experiment for 500 times. Which of the following is true about E_{out} over 500 experiments?

    √ $0.02 \le E_{out} < 0.04$

    ○ none of the other choices

    ○ $0.04 \le E_{out} < 0.06$

    ○ $0.06 \le E_{out} < 0.08$

    ○ $0.00 \le E_{out} < 0.02$

15. **Experiment with 1 Nearest Neighbor**
    Implement any algorithm that 'returns' the 1 Nearest Neighbor hypothesis discussed in page 8 of lecture 214.

    $$g_{\text{nbor}}(\mathbf{x}) = y_m \text{ such that } \mathbf{x} \text{ closest to } \mathbf{x}_m$$

    Run the algorithm on the following set for training:
    hw4_knn_train.dat
    and the following set for testing:
    hw4_knn_test.dat
    Which of the following is closest to $E_{in}(g_{\text{nbor}})$?

    ○ 0.2

    ○ 0.3

    √ **0.0**

    ○ 0.1

    ○ 0.4

16. Following Question 15, which of the following is closest to $E_{out}(g_{\text{nbor}})$?

    ○ 0.30

    ○ 0.28

√ **0.34**
○ 0.32
○ 0.26

17. Now, implement any algorithm for the k Nearest Neighbor with $k = 5$ to get $g_{\text{5-nbor}}(\mathbf{x})$. Run the algorithm on the same sets in Question 15 for training/testing. Which of the following is closest to $E_{in}(g_{\text{5-nbor}})$?

○ 0.1
√ **0.2**
○ 0.3
○ 0.4
○ 0.0

18. Following Question 17, Which of the following is closest to $E_{out}(g_{\text{5-nbor}})$

○ 0.28
○ 0.26
○ 0.34
√ **0.32**
○ 0.30

19. **Experiment with k-Means** Implement the k-Means algorithm (page 16 of lecture 214).Randomly select k instances from $\{\mathbf{x}_n\}$ to initialize your $\boldsymbol{\mu}_m$ Run the algorithm on the following set for training:
hw4_kmeans_train.dat
and repeat the experiment for 500 times. Calculate the clustering E_{in} by $\frac{1}{N}\sum_{n=1}^{N}\sum_{m=1}^{M}[[\mathbf{x}_n \in S_m]]\|\mathbf{x}_n - \boldsymbol{\mu}_m\|^2$
as described on page 13 of lecture 214 for $M = k$.
For $k = 2$, which of the following is closest to the average E_{in} of k-Means over 500 experiments?

○ 0.5
○ 1.0
√ **2.5**
○ 1.5
○ 2.0

20. For $k = 10$, which of the following is closest to the average E_{in} of k-Means over 500 experiments?

○ 1.0
√ **1.5**
○ 2.0
○ 0.5
○ 2.5