# Forest water and energy balance (practice)

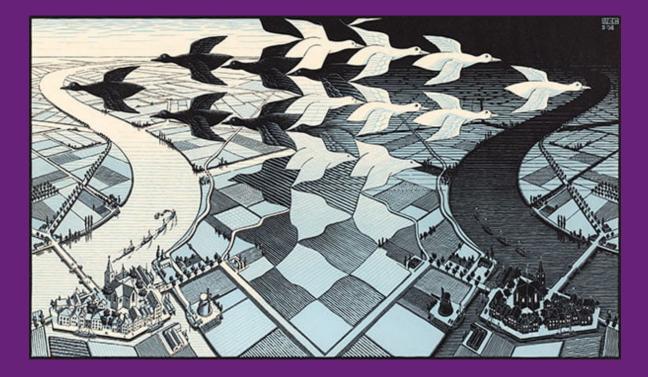
Miquel De Cáceres, Rodrigo Balaguer

Ecosystem Modelling Facility, CREAF



# Outline

- 1. Water balance input object
- 2. Basic water balance
- 3. Evaluating model performance
- 4. Advanced water/energy balance
- 5. Modifying model inputs



M.C. Escher - Night and day, 1938



# 1. Water balance input object



## Creating the water balance input object

We assume we have an appropriate forest object and species parameter data frame:

```
1 data(exampleforest)
2 data(SpParamsMED)
```

### a soil description data frame:

```
1 examplesoil <- defaultSoilParams(4)
```

#### and a simulation control list:

```
1 control <- defaultControl(transpirationMode = "Granier", soilDomains = "buckets")</pre>
```

## ! Important

- Plant transpiration (transpirationMode) should be "Granier" (basic), "Sperry" (advanced with Sperry) or "Sureau" (advanced with Sureau-ECOS).
- Soil water movement (soilDomains) should be "buckets" (multi-bucket), "single" (single-domain) or "dual" (dual-permeability).

With these four elements we can build our input object for function spwb():

```
1 x <- spwbInput(exampleforest, examplesoil, SpParamsMED, control)</pre>
```



## Structure of the water balance input object (1)

The water balance input object is a list with several elements:

```
1 \quad names(x)
 [1] "control"
                                                        "snowpack"
                               "soil"
 [4] "canopy"
                              "herbLAI"
                                                        "herbLAImax"
[7] "cohorts"
                              "above"
                                                        "below"
[10] "belowLayers"
                              "paramsPhenology"
                                                        "paramsAnatomy"
[13] "paramsInterception"
                              "paramsTranspiration"
                                                        "paramsWaterStorage"
[16] "internalPhenology"
                              "internalWater"
                                                        "internalFCCS"
[19] "internalCommunication"
```

Element soil contains the (initialized) soil data frame:

```
1 x$soil
  widths sand clay
                        usda om nitrogen bd rfc macro
                                                            Ksat VG_alpha
     300
           25
                25 Silt loam NA
                                             25 0.0485 5401.471 89.16112
    700
                25 Silt loam NA
                                             45 0.0485 5401.471 89.16112
           25
               25 Silt loam NA
   1000
                                             75 0.0485 5401.471 89.16112
                25 Silt loam NA
    2000
                                      NA 1.5 95 0.0485 5401.471 89.16112
      VG_n VG_theta_res VG_theta_sat W Temp
1 1.303861
                  0.041
                            0.423715 1
2 1.303861
                  0.041
                            0.423715 1
3 1.303861
                  0.041
                            0.423715 1
4 1.303861
                  0.041
                            0.423715 1
```

Element cohorts contains the species identity of each cohort:

```
SP Name
T1_148 148 Pinus halepensis
T2_168 168 Quercus ilex
S1_165 165 Quercus coccifera
```



## Structure of the water balance input object (2)

Element above contains above-ground description of vegetation:

```
1 x$above

H CR LAI_live LAI_expanded LAI_dead ObsID

T1_148 800 0.6605196 0.84874773 0.84874773 0 <NA>

T2_168 660 0.6055642 0.70557382 0.70557382 0 <NA>

S1_165 80 0.8032817 0.03062604 0.03062604 0 <NA>
```

Element below contains below-ground description of vegetation:

```
1 x$below

Z50 Z95 Z100

T1_148 100 600 NA

T2_168 300 1000 NA

S1_165 200 1000 NA
```

Elements params\* contain cohort-level parameters, for example...

```
1 x$paramsTranspiration
                          Tmax_LAIsq Psi_Extract Exp_Extract VCleaf_c
          Gswmin Tmax_LAI
T1 148 0.003086667 0.1869849 -0.008372458 -0.9218219
                                                1.504542 11.137050
T2_168 0.004473333 0.1251027 -0.005601615 -1.9726871
                                                1.149052 1.339370
S1_165 0.010455247 0.1340000 -0.006000000 -2.1210726
                                                1.300000 2.254991
       VCleaf_d VCstem_c VCstem_d
                                    WUE
                                        WUE_par
                                                   WUE_co2
                                                            WUE_vpd
T1_148 -2.380849 12.709999 -5.290000 8.525550 0.5239136 0.002586327 -0.2647169
S1 165 -3.133381 3.095442 -7.857378 7.900000 0.3643000 0.002757000 -0.4636000
```



# 2. Basic water balance



## Water balance run

Let us assume we have an appropriate weather data frame:

```
1 data(examplemeteo)
```

The call to function <code>spwb()</code> needs the water balance input object, the weather data frame, latitude and elevation:

```
1 S <- spwb(x, examplemeteo, latitude = 41.82592, elevation = 100)
Initial plant water content (mm): 4.73001
Initial soil water content (mm): 290.875
Initial snowpack content (mm): 0
Performing daily simulations
[Year 2001]:.....
Final plant water content (mm): 4.7285
Final soil water content (mm): 274.723
Final snowpack content (mm): 0
Change in plant water content (mm): -0.00151775
Plant water balance result (mm): -0.00151775
Change in soil water content (mm): -16.1521
Soil water balance result (mm): -16.1521
Change in snowpack water content (mm): 0
Snowpack water balance result (mm): -7.10543e-15
Water balance components:
 Precipitation (mm) 513 Rain (mm) 462 Snow (mm) 51
 Interception (mm) 92 Net rainfall (mm) 370
 Infiltration (mm) 400 Infiltration excess (mm) 21 Saturation excess (mm) 0 Capillarity rise (mm) 0
 Soil evaporation (mm) 26 Herbaceous transpiration (mm) 14 Woody plant transpiration (mm) 249
 Plant extraction from soil (mm) 249 Plant water balance (mm) -0 Hydraulic redistribution (mm) 5
  Runoff (mm) 21 Deep drainage (mm) 128
```



# Water balance output object (1)

Function spwb() returns an object of class with the same name, actually a list:

```
1 class(S)
[1] "spwb" "list"
```

It is interesting to inspect the list element names:

1 names(S)		
[1] "latitude" "topography" "weather" [6] "WaterBalance" "Soil" "Snow"	"spwbInput" "Stand"	"spwb0utput" "Plants"
Elements		Information
latitude, topography, weather, spwbInput		Copies of the information used in the call to spwb()
spwb0utput		State variables at the end of the simulation (can be used as input to a subsequent one)
WaterBalance, Soil, Snow, Stand, Plants		Daily outputs



## Water balance output object (2)

Daily outputs are data. frame objects with dates as row names and variables in columns, for example:

```
1 head(S$WaterBalance, 2)
                 PET Precipitation
                                        Rain Snow NetRain Snowmelt Infiltration
2001-01-01 0.8828475
                          4.869109 4.869109
                                                0 3.424180
                                                                         3.424180
2001-01-02 1.6375337
                          2.498292 2.498292
                                                0 1.071747
                                                                         1.071747
           InfiltrationExcess SaturationExcess Runoff DeepDrainage
2001-01-01
                            0
                                                          2.7617207
                            0
                                              0
                                                     0
2001-01-02
                                                          0.1895324
           CapillarityRise Evapotranspiration Interception SoilEvaporation
2001-01-01
                                      2.107388
                                                   1.444929
                                                                   0.4478948
2001-01-02
                                      2.324525
                                                   1.426545
                                                                   0.5000000
           HerbTranspiration PlantExtraction Transpiration
2001-01-01
                  0.01102343
                                    0.2035406
                                                  0.2035406
2001-01-02
                  0.02044661
                                    0.3775336
                                                  0.3775336
           HydraulicRedistribution
2001-01-01
2001-01-02
```

Soil is itself a list with several data frames with different results by soil layer:

Likewise, Plants is itself a list with several data frames with different results by cohort:



## Accessing and summarizing model result

## **Summary function**

The package provides a summary() function for objects of class spwb. It can be used to extract/summarize the model's output at different temporal steps (i.e. weekly, annual, ...).

For example, to aggregate water balance results by months one can use:

```
1 summary(S, freq="months", FUN=sum, output="WaterBalance")
```

Parameter output indicates the element of the spwb object for which we desire a summary. Similarly, it is possible to calculate the average stress of plant cohorts by months:

```
1 summary(S, freq="months", FUN=mean, output="PlantStress")
```

#### **Extraction function**

Post-processing is much more convenient using function extract() which extracts model results in a format compatible with tidyverse manipulation.

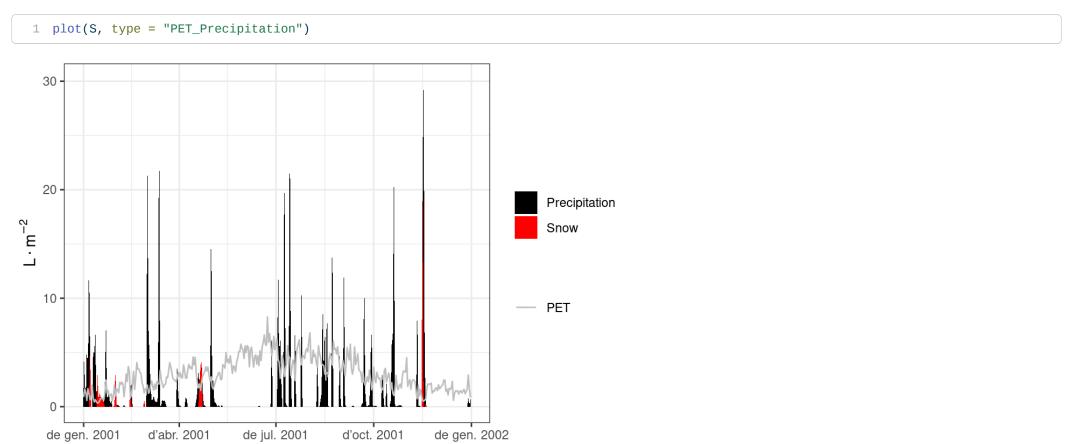
For example, the following returns all stand level results by date:

```
1 extract(S, level = "forest")
```



# **Plotting**

The package provides a plot() function for objects of class spwb. It can be used to show weather inputs and different components of the water balance, for example:



The help page of ?plot.spwb lists all the possible plots...

... but instead of typing all plots, we can explore them all by calling the interactive function shinyplot():

1 shinyplot(S)



3. Evaluating model performance



## Observed data and evaluation metrics

The package provides functions to compare predictions with observations (use ?evaluation for details on how observations should be arranged).

The package includes a (fake) example data set of observed data:

```
dates
                   SWC
                                              E_T2_168 FMC_T1_148 FMC_T2_168
                                   E T1 148
1 2001-01-01 0.3007733 2.2436218 0.09187857 0.14142950
                                                          125.9071
                                                                     93.07915
2 2001-01-02 0.3091627 2.3236565 0.26480973 0.19095008
                                                         125.9137
                                                                     93.07863
3 2001-01-03 0.2996498 0.7409083 0.15345643 0.17546363
                                                         125.8760
                                                                     93.10512
4 2001-01-04 0.3042764 1.7173522 0.23470647 0.04643454
                                                          125.8643
                                                                     93.07022
5 2001-01-05 0.3054886 2.0002562 0.37687792 0.10623552
                                                         125.8493
                                                                     93.08487
6 2001-01-06 0.3062005 2.0722706 0.16342360 0.05550329
                                                         125.9367
                                                                     93.07343
    BAI T1 148 BAI T2 168
                             DI T1 148 DI T2 168
1 6.222625e-06
                        0 9.948881e-08
2 3.091274e-10
                        0 1.071090e-11
3 1.298482e-13
                        0 0.000000e+00
4 2.886195e-11
                        0 5.552753e-13
5 1.287020e-03
                        0 1.367289e-05
6 1.471202e-03
                        0 1.000411e-05
```

Note the observation dates in dates column. The remaining variables are observations to be matched with simulation results.

A single evaluation metric for soil water content can be calculated using:

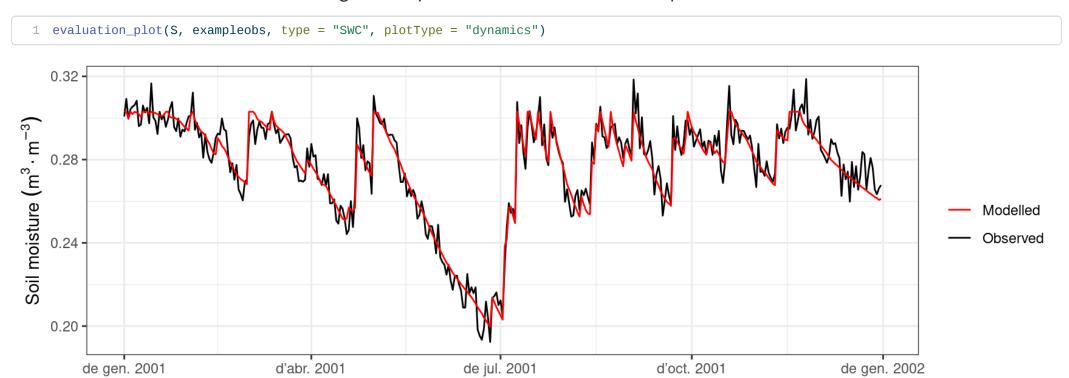
```
1 evaluation_metric(S, exampleobs, type = "SWC", metric = "MAE")
[1] 0.005002766
```

### or many of them:



## **Evaluation plots and interactive evaluation**

Evaluation functions also allow visualizing the comparison as time series or scatter plots:



Alternatively, the observed data can be supplied as an additional parameter to shinyplot() for interactive graphics including model evaluation:

```
1 shinyplot(S, exampleobs)
```



4. Advanced water/energy balance



## Creating an input object for the advanced model

The most important step to run the advanced model is to specify the appropriate transpiration mode in the control parameters:

```
1 control <- defaultControl("Sperry")</pre>
```

If we want to plot sub-daily results, we must specify it as follows:

```
1 control$subdailyResults <- TRUE
```

We can build our input object for function spwb() using the same function as before:

```
1 x_adv <- spwbInput(exampleforest, examplesoil, SpParamsMED, control)</pre>
```

The water balance input object contains the same elements...

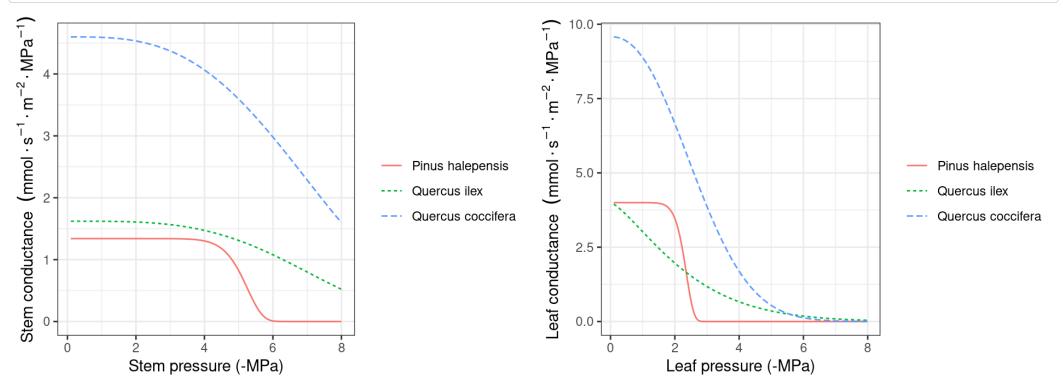
```
1 names(x_adv)
 [1] "control"
                              "soil"
                                                      "snowpack"
                                                      "herbLAImax"
 [4] "canopy"
                              "herbLAI"
    "cohorts"
                              "above"
                                                      "below"
[10] "belowLayers"
                              "paramsPhenology"
                                                      "paramsAnatomy"
[13] "paramsInterception"
                             "paramsTranspiration"
                                                      "paramsWaterStorage"
[16] "internalPhenology"
                              "internalWater"
                                                      "internalFCCS"
[19] "internalCommunication"
```



# Vulnerability curves and supply functions

We can inspect *hydraulic vulnerability curves* (i.e. how hydraulic conductance of a given segment changes with the water potential) for each plant cohort and each of the different segments of the soil-plant hydraulic network:

```
1 g1 <- hydraulics_vulnerabilityCurvePlot(x_adv, type="stem", speciesNames = TRUE)
2 g2 <- hydraulics_vulnerabilityCurvePlot(x_adv, type="leaf", speciesNames = TRUE)
3 cowplot::plot_grid(g1, g2, ncol = 2)</pre>
```





# Water/energy balance run for a single day (1)

Since the model operates at a daily and sub-daily temporal scales, it is possible to perform soil water balance for one day only. First we need a weather vector:

```
1 d = 100
  2 meteovec <- unlist(examplemeteo[d, -1])</pre>
  3 meteovec
                                               Precipitation MinRelativeHumidity
     MinTemperature
                         MaxTemperature
          0.3881289
                              10.0320962
                                                   0.0000000
                                                                       42.0207334
MaxRelativeHumidity
                               Radiation
                                                   WindSpeed
         82.3036989
                              28.7201692
                                                   3.3228840
```

and a string with the target date:

```
[1] "2001-04-10"
```

At this point, we can call function spwb\_day()

## \_\_\_ Wa

#### Warning

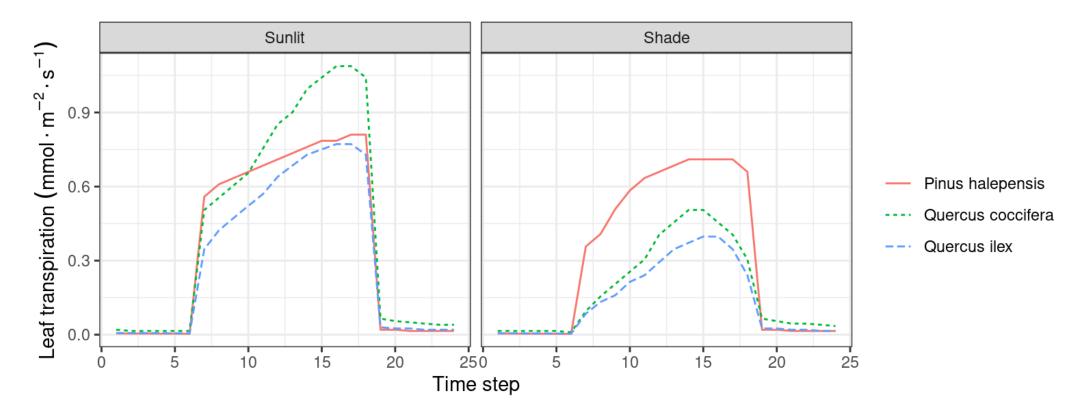
By default, a call to spwb\_day() will modify the input object. This behavior can be deactivated by using modifyInput = FALSE in the simulation call (see also? resetInputs).



# Water/energy balance run for a single day (2)

As with spwb(), there is a plot function for results of spwb\_day(). For example we can use:

```
1 plot(sd1, type = "LeafTranspiration", bySpecies = TRUE)
```



More conveniently, you can examine multiple plots interactively:

```
1 shinyplot(sd1)
```



## Water/energy balance run for multiple days

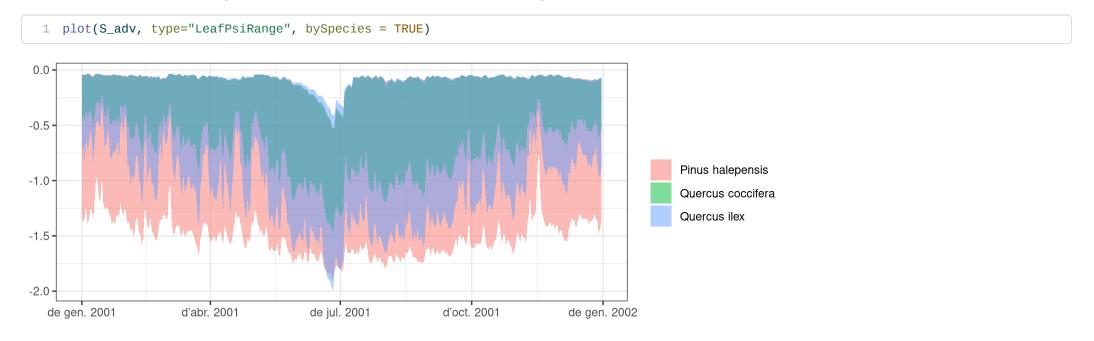
We can now run the advanced water balance model (which takes 15 sec. aprox.)

```
1 S_adv <- spwb(x_adv, examplemeteo, latitude = 41.82592, elevation = 100)
```

Function spwb() returns a list of class spwb, like the basic water balance model, but which contains more information:

```
[1] "latitude" "topography" "weather" "spwbInput"
[5] "spwbOutput" "WaterBalance" "EnergyBalance" "Temperature"
[9] "Soil" "Snow" "Stand" "Plants"
[13] "SunlitLeaves" "ShadeLeaves" "subdaily"
```

As before, post-processing of simulation results can be done using functions summary(), extract() or plot():



Alternatively, one can interactively create plots using function shinyplot(), e.g.:

**EXAMP** 

5. Modifying model inputs



## Modifying forest input data

Medfate uses allometric equations to estimate structural properties such as leaf area index (LAI) or the crown ratio (CR).

Let's imagine one is not happy with a particular cohort parameter. For example, LAI estimates produced by <code>spwbInput()</code> do not match known values:

```
1 x_adv$above$LAI_live
[1] 0.84874773 0.70557382 0.03062604
```

One possibility is to specify LAI values directly in the forest object, as can be found in the example dataset:

```
1 exampleforest2
$treeData
           Species N DBH Height Z50 Z95 LAI CrownRatio
1 Pinus halepensis NA NA
                                      600 0.8
                                                    0.66
                             800 100
      Quercus ilex NA NA
                             660 300 1000 0.5
                                                    0.60
$shrubData
            Species Cover Height Z50 Z95 LAI CrownRatio
1 Quercus coccifera
                              80 200 1000 0.03
                      NA
                                                      0.8
$herbCover
[1] NA
$herbHeight
[1] 20
$herbLAI
[1] 0.25
$seedBank
[1] Species Percent
<0 files> (o «row.names» de longitud 0)
attr(,"class")
[1] "forest" "list"
```



## Modifying species and cohort parameters

### Species-level parameters

Advanced users may desire to have control on species-level parameter values used in simulation.

One can use function modifySpParams() to modify values in the species parameter table (we could also do it manually).

### **Cohort-level parameters**

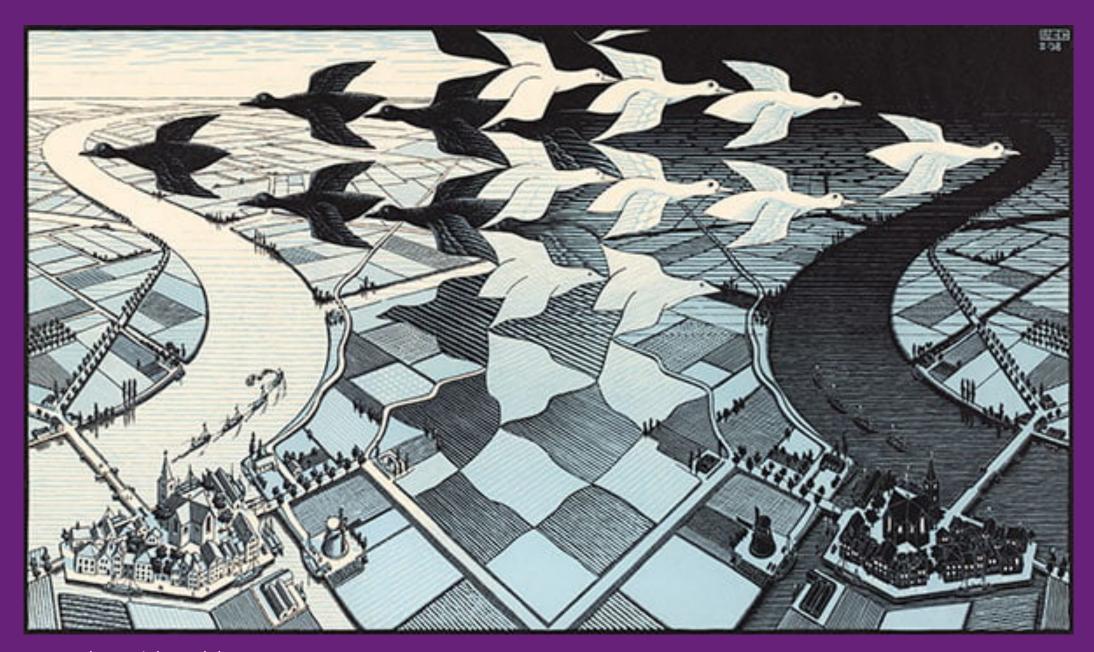
Cohort-level parameters may also be modified. However, one should not manually modify simulation input objects (e.g.  $x_{adv}$ ) because some parameters are related and we may break their relationships.

Instead, function modifyInputParams() is recommended:

```
1 x_mod <- modifyInputParams(x_adv, c("T2_168/VCstem_d" = -7.0))
```

which will display messages describing the parameters that are modified.





M.C. Escher - Night and day, 1938

