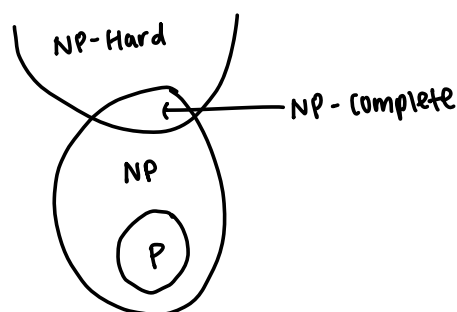


## Complexity Classes:

- P (polynomial): Solvable in polynomial time
- NP (nondeterministic polynomial): Solution can be verified in polynomial time
- NP-Hard: all problems in NP can reduce to an NP-hard problem
- NP-Complete: a problem in NP and NP-Hard

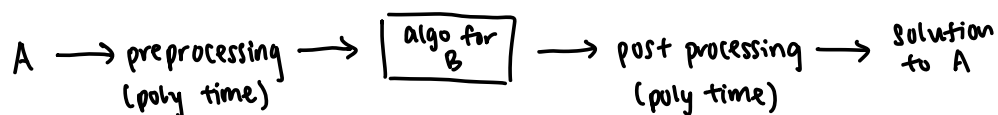


$$P \stackrel{?}{=} NP$$

## Reductions

$$A \rightarrow B$$

If A reduces to B in polynomial time, it means an algorithm for B can be used to solve A. B is at least as hard as A.



Must prove that:

1. Solution in A  $\rightarrow$  Solution in B  
Reduction incomplete if A has a solution but the algorithm for B can't find it.
2. Solution in B  $\rightarrow$  Solution in A  
Reduction incorrect if algorithm for B finds a solution that does not map to an actual solution in A.

## Showing NP-Completeness

To show a problem A is NP-Complete:

1. Show there is a polynomial verifier ( $A \in NP$ )
2. Reduce another NP-complete problem to A ( $A \in NP\text{-Hard}$ )

## 1 NP or not NP, that is the question

For the following questions, circle the (unique) condition that would make the statement true.

- (a) If  $B$  is **NP**-complete, then for any problem  $A \in \mathbf{NP}$ , there exists a polynomial-time reduction from  $A$  to  $B$ .

Always True

True iff  $\mathbf{P} = \mathbf{NP}$

True iff  $\mathbf{P} \neq \mathbf{NP}$

Always False

$A \rightarrow B$

by definition

- (b) If  $B$  is in **NP**, then for any problem  $A \in \mathbf{P}$ , there exists a polynomial-time reduction from  $A$  to  $B$ .

Always True

True iff  $\mathbf{P} = \mathbf{NP}$

True iff  $\mathbf{P} \neq \mathbf{NP}$

Always False

reduction can be just solving A

- (c) 2 SAT is **NP**-complete.

2SAT  $\notin \mathbf{P}$

if  $\mathbf{P} = \mathbf{NP}$ , 3SAT  $\rightarrow$  2SAT

Always True

True iff  $\mathbf{P} = \mathbf{NP}$

True iff  $\mathbf{P} \neq \mathbf{NP}$

Always False

- (d) Minimum Spanning Tree is in **NP**.

Always True

True iff  $\mathbf{P} = \mathbf{NP}$

True iff  $\mathbf{P} \neq \mathbf{NP}$

Always False

$\mathbf{P} \subseteq \mathbf{NP}$

can be checked in polynomial time

## 2 California Cycle

Prove that the following problem is NP-hard

**Input:** A directed graph  $G = (V, E)$  with each vertex colored blue or gold, i.e.,  $V = V_{\text{blue}} \cup V_{\text{gold}}$

**Goal:** Find a *Californian cycle* which is a directed cycle through all vertices in  $G$  that alternates between blue and gold vertices (Hint : Directed Rudrata Cycle)

To prove NP-hard: Directed Rudrata Cycle  $\rightarrow$  California Cycle  
Use California cycle to find rudrata cycle.

Rudrata cycle/Hamiltonian cycle: cycle in graph that starts and ends at vertex  $v$  and visits all other vertices exactly once

Reduction:

Given  $G = (V, E)$ , construct new graph  $G' = (V', E')$

For each  $v \in V$ , create blue node  $v_b$  with edge to gold node  $v_g$

• becomes  $\bullet \rightarrow \bullet$

For each edge  $(u, v) \in E$ , add edge  $(u_g, v_b)$  to  $E'$

$u \rightarrow v$  becomes  $u_b \rightarrow u_g \rightarrow v_b \rightarrow v_g$

proof:

1. rudrata cycle in  $G \rightarrow$  californian cycle in  $G'$   
for each edge  $(u, v) \in G$  that is in rudrata cycle, follow the path  
 $u_b \rightarrow u_g \rightarrow v_b \rightarrow v_g$  in  $G'$   
visit all vertices  $\checkmark$  alternate blue/gold  $\checkmark$
2. californian cycle in  $G' \rightarrow$  rudrata cycle in  $G$   
Each path  $u_b \rightarrow u_g \rightarrow v_b \rightarrow v_g$  in  $G'$  equivalent to  $u \rightarrow v$  in  $G$ .  
visit all vertices exactly once  $\checkmark$

### 3 NP Basics

$A \rightarrow B$

B at least as hard as A

Assume A reduces to B in polynomial time. In each part you will be given a fact about one of the problems. What information can you derive of the other problem given each fact? Each part should be considered independent; i.e., you should not use the fact given in part (a) as part of your analysis of part (b).

1. A is in P. **Nothing**
2. B is in P. **A in P**
3. A is NP-hard. **B is NP-Hard**
4. B is NP-hard. **Nothing**

## 4 Local Search for Max Cut Attendance: [tinyurl.com/disc10cs170](http://tinyurl.com/disc10cs170)

Sometimes, local search algorithms can give good approximations to NP-hard problems. In the Max-Cut problem, we have an unweighted graph  $G(V, E)$  and we want to find a cut  $(S, T)$  with as many edges "crossing" the cut (i.e. with one endpoint in each of  $S, T$ ) as possible. One local search algorithm is as follows: Start with any cut, and while there is some vertex  $v \in S$  such that more edges cross  $(S - v, T + v)$  than  $(S, T)$  (or some  $v \in T$  such that more edges cross  $(S + v, T - v)$  than  $(S, T)$ ), move  $v$  to the other side of the cut. Note that when we move  $v$  from  $S$  to  $T$ ,  $v$  must have more neighbors in  $S$  than  $T$ .

- (a) Give an upper bound on the number of iterations this algorithm can run for (i.e. the total number of times we move a vertex).

$|E|$

- each move must increase edges crossing the cut by at least 1
- cut size between 0 and  $|E|$

- (b) Show that when this algorithm terminates, it finds a cut where at least half the edges in the graph cross the cut.

$\delta_{in}(v)$ : # edges from  $v$  to vertices on same side of cut

$\delta_{out}(v)$ : # edges from  $v$  to vertices on other side of cut

$$\delta_{out}(v) \geq \delta_{in}(v)$$

$$\text{Total edges crossing cut: } \frac{1}{2} \sum_{v \in V} \delta_{out}(v)$$

$$\text{Total edges in graph: } \frac{1}{2} \sum_{v \in V} \delta_{in}(v) + \delta_{out}(v)$$

$$\frac{1}{2}|E| = \frac{1}{4} \sum_{v \in V} \delta_{in}(v) + \delta_{out}(v) \leq \frac{1}{4} \sum_{v \in V} \delta_{out}(v) + \delta_{out}(v) = \frac{1}{2} \sum_{v \in V} \delta_{out}(v)$$

## 5 Cycle Cover

In the cycle cover problem, we have a directed graph  $G$ , and our goal is to find a set of directed cycles  $C_1, C_2, \dots, C_k$  in  $G$  such that every vertex appears in exactly one cycle (a cycle cannot revisit vertices, e.g.  $a \rightarrow b \rightarrow a \rightarrow c \rightarrow a$  is not a valid cycle, but  $a \rightarrow b \rightarrow c \rightarrow a$  is), or declare none exists.

In the bipartite perfect matching problem, we have a undirected bipartite graph (a graph where the vertices can be split into  $L, R$ , and there are no edges between two vertices in  $L$  or two vertices in  $R$ ), and our goal is to find a set of edges in this graph such that every vertex is adjacent to exactly one edge in the set, or declare none exists.

Give a reduction from cycle cover to bipartite perfect matching. (Hint: In a cycle cover, every vertex has one incoming and one outgoing edge.)

cycle cover  $\rightarrow$  bipartite perfect matching

Given cycle cover graph  $G$ , create bipartite graph  $G'$

For every vertex  $v$  in  $G$ , create vertices  $v_L$  and  $v_R$ . Add edge  $(v_L, v_R)$  to  $G'$ .

$G$  has cycle cover  $\rightarrow G'$  has perfect matching



$G'$  has perfect matching  $\rightarrow G$  has cycle cover

If edges  $(a_L, b_R)$   $(b_L, c_R)$  ...  $(z_L, a_R)$  in  $G'$

$a \rightarrow b \rightarrow c \rightarrow \dots \rightarrow z \rightarrow a$  in  $G$

Since  $v_L$  and  $v_R$  are both adjacent to some edge, every vertex included in cycle cover