

Self Energy effect in frequency dependent Vertex flow equation

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I. INTRODUCTION

Introduction bla bla

II. FORMALISM

A. Model

The Hubbard model¹ describes spin- $\frac{1}{2}$ fermions with a density-density interaction:

$$\mathcal{H} = \sum_{i,j,\sigma} t_{ij} c_{i,\sigma}^\dagger c_{j,\sigma} + U \sum_i n_{i,\uparrow} n_{i,\downarrow} \quad (1)$$

where $c_{i,\sigma}^\dagger$ and $c_{i,\sigma}$ are, respectively, creation and annihilation operators for fermions with spin $\sigma = \uparrow, \downarrow$. We consider the two-dimensional case with square lattice and repulsive interaction $U > 0$ at finite temperature T and in the SU(2) spin-symmetric phase. The hopping amplitude is restricted to $t_{ij} = t$ for nearest neighbors, $t_{ij} = t'$ for next-to-nearest neighbors. We take $t \equiv 1$ as energy unit.

B. Flow equations

In the following paragraph we will give some details about the functional renormalization group^{2,3}, and we will clarify some notation issue about the vertex.

Generally speaking, the fRG allows to use the renormalization group idea in the functional integral formalism.

This is done by endowing the action with an additional dependence on a scale-parameter Λ :^{2,3}

$$\mathcal{S}^\Lambda[\bar{\psi}, \psi] = -(\bar{\psi}, G_0^{\Lambda-1} \psi) + \mathcal{S}_{\text{int}}, \quad (2)$$

where \mathcal{S}_{int} is the interaction part, and $(\bar{\psi}, \psi)$ summarizes the summation over all the quantum numbers of the fermionic fields $\bar{\psi}$ and ψ . The scale dependence, acquired through the non-interacting propagator G_0^Λ , generates flow equations⁴ (with known initial conditions) for functional integrals, like the effective action, the effective interaction, or the generating functional for the connected Green's function. The final result is recovered for some final Λ -value so that: $G_0^{\Lambda_f} = G_0$, and the original action is restored.



Figure 1: Notation of the two-particle vertex. **placeholder**

We will apply this approach to the effective action, whose expansions into the fields generates the one-particle irreducible (1PI) functions. By expanding the functional flow equation, one obtains a hierarchy of flow equations for the 1PI functions, involving vertices of arbitrarily high orders. We will restrict ourselves to the two-particle level truncation by retaining only the two lowest nonvanishing orders in the expansion, i.e., we consider the flow of the self-energy Σ^Λ and of the two-particle 1PI vertex V^Λ , neglecting the effects of higher order vertices. This truncation restricts the applicability of the approach to the weak-to-moderate coupling regime⁵. It can be further shown that, at the two-particle level truncation, the fRG sums up efficiently, although approximately, the so-called parquet-diagrams⁶⁻⁸.

Due to translational invariance, we use the energy and momentum conservation to fix one of the arguments of the self-energy and of the vertex. Due to SU(2) symmetry, the self-energy is diagonal in spin-space:

$$\Sigma_{\sigma\sigma'}^\Lambda(k) = \Sigma(k) \delta_{\sigma,\sigma'}, \quad (3)$$

where $k = (\nu, \mathbf{k})$, ν is a fermionic Matsubara frequency and \mathbf{k} a momentum in the first Brillouin zone.

For the notation of the two-particle vertex function $V_{\sigma_1\sigma_2\sigma_3\sigma_4}(k_1, k_2, k_3)$ we refer to Fig. 1, where $k_i = (\nu_i, \mathbf{k}_i)$. The momentum $k_4 = k_1 + k_2 - k_3$ is fixed by conservation. Furthermore SU(2)-symmetry guarantees that the vertex does not vanish only for six spin combinations: $V_{\uparrow\uparrow\uparrow\uparrow}^\Lambda = V_{\downarrow\downarrow\downarrow\downarrow}^\Lambda$, $V_{\uparrow\downarrow\uparrow\downarrow}^\Lambda = V_{\downarrow\uparrow\downarrow\uparrow}^\Lambda$, and $V_{\uparrow\uparrow\downarrow\downarrow}^\Lambda = V_{\downarrow\downarrow\uparrow\uparrow}^\Lambda$. Finally, due to SU(2) symmetry and crossing relation one has:⁹

$$V_{\uparrow\uparrow\uparrow\uparrow}^\Lambda(k_1, k_2, k_3) = V_{\uparrow\downarrow\uparrow\downarrow}^\Lambda(k_1, k_2, k_3) - V_{\downarrow\uparrow\downarrow\uparrow}^\Lambda(k_1, k_2, k_1 + k_2 - k_3), \quad (4)$$

$$V_{\uparrow\downarrow\uparrow\downarrow}^\Lambda(k_1, k_2, k_3) = -V_{\downarrow\uparrow\downarrow\uparrow}^\Lambda(k_1, k_2, k_1 + k_2 - k_3). \quad (5)$$

This allows us to consider only one function of three arguments for the vertex: $V^\Lambda(k_1, k_2, k_3) \equiv V_{\uparrow\downarrow\uparrow\downarrow}^\Lambda(k_1, k_2, k_3)$,

all the others spin components being obtained by Eqns. (4-5).

With these considerations the flow equation for the self energy² reads:

$$\frac{d}{d\Lambda} \Sigma^\Lambda(k) = - \int_p S^\Lambda(p) [2V^\Lambda(k, p, p) - V^\Lambda(k, p, k)], \quad (6)$$

with $p = (\omega, \mathbf{p})$ and $k = (\nu, \mathbf{k})$. We use the notation

$\int_p = T \sum_\omega \int_{\mathbf{p}}$, $\int_{\mathbf{q}} = \int \frac{d\mathbf{q}}{4\pi^2}$ being the normalized integral over the first Brillouin zone.

$$S^\Lambda = \left. \frac{dG^\Lambda}{d\Lambda} \right|_{\Sigma=\text{const}} \quad (7)$$

is the single-scale propagator and $G^{\Lambda-1} = [(G_0^\Lambda)^{-1} - \Sigma^\Lambda]$ is the full propagator.

The vertex flow equation^{2,10} can be written as:

$$\frac{d}{d\Lambda} V^\Lambda(k_1, k_2, k_3) = \mathcal{T}_{\text{pp}}^\Lambda(k_1, k_2, k_3) + \mathcal{T}_{\text{ph}}^\Lambda(k_1, k_2, k_3) + \mathcal{T}_{\text{phc}}^\Lambda(k_1, k_2, k_3), \quad (8)$$

where:²¹

$$\begin{aligned} \mathcal{T}_{\text{pp}}^\Lambda(k_1, k_2, k_3) = & -\frac{1}{2} \int_p \mathcal{P}^\Lambda(p, k_1 + k_2 - p) \left\{ V^\Lambda(k_1, k_2, k_1 + k_2 - p) V^\Lambda(k_1 + k_2 - p, p, k_3) \right. \\ & \left. + V^\Lambda(k_1, k_2, p) V^\Lambda(p, k_1 + k_2 - p, k_3) \right\}; \end{aligned} \quad (9)$$

$$\begin{aligned} \mathcal{T}_{\text{ph}}^\Lambda(k_1, k_2, k_3) = & - \int_p \mathcal{P}^\Lambda(p, k_3 - k_1 + p) \left\{ 2V^\Lambda(k_1, k_3 - k_1 + p, k_3) V^\Lambda(p, k_2, k_3 - k_1 + p) \right. \\ & \left. - V^\Lambda(k_1, k_3 - k_1 + p, p) V^\Lambda(p, k_2, k_3 - k_1 + p) - V^\Lambda(k_1, k_3 - k_1 + p, k_3) V^\Lambda(k_2, p, k_3 - k_1 + p) \right\}; \end{aligned} \quad (10)$$

$$\mathcal{T}_{\text{phc}}^\Lambda(k_1, k_2, k_3) = \int_p \mathcal{P}^\Lambda(p, k_2 - k_3 + p) V^\Lambda(k_1, k_2 - k_3 + p, p) V^\Lambda(p, k_2, k_3). \quad (11)$$

Here we have defined the quantity:

$$\mathcal{P}^\Lambda(p, Q + p) = G^\Lambda(p) S^\Lambda(Q + p) + G^\Lambda(p + Q) S^\Lambda(p), \quad (12)$$

which is the scale-derivative, at fixed self energy, of a Green's function bubble with frequency and momentum transfer Q .

III. VERTEX APPROXIMATION

In order to deal with the frequency and momentum dependence of the vertex, we start by decomposing it as follows:

$$V^\Lambda(k_1, k_2, k_3) = U - \phi_{\text{p}}^\Lambda(k_1 + k_2; k_1, k_3) + \phi_{\text{m}}^\Lambda(k_3 - k_1; k_1, k_2) + \frac{1}{2} \phi_{\text{m}}^\Lambda(k_2 - k_3; k_1, k_2) - \frac{1}{2} \phi_{\text{c}}^\Lambda(k_2 - k_3; k_1, k_2), \quad (13)$$

Substituting Eq. (13) in Eq. (8) we obtain:

$$\begin{aligned} -\dot{\phi}_{\text{p}}^\Lambda(k_1 + k_2; k_1, k_3) + \dot{\phi}_{\text{m}}^\Lambda(k_3 - k_1; k_1, k_2) + \frac{1}{2} \dot{\phi}_{\text{m}}^\Lambda(k_2 - k_3; k_1, k_2) - \frac{1}{2} \dot{\phi}_{\text{c}}^\Lambda(k_2 - k_3; k_1, k_2) = \\ \mathcal{T}_{\text{pp}}^\Lambda(k_1, k_2, k_3) + \mathcal{T}_{\text{ph}}^\Lambda(k_1, k_2, k_3) + \mathcal{T}_{\text{phc}}^\Lambda(k_1, k_2, k_3). \end{aligned} \quad (14)$$

We associate the momentum transfer argument of \mathcal{P}^Λ in Eqns. (9-11) to the momentum transfer argument of the ϕ_{x} on the right hand side of Eq. 13. This way, it is easy to attribute $\mathcal{T}_{\text{pp}}^\Lambda$ to the flow equation of the only function in Eq. (14) that depends explicitly on $k_1 + k_2$: $-\dot{\phi}_{\text{p}}^\Lambda = \mathcal{T}_{\text{pp}}^\Lambda$. The same is true for the particle hole crossed

channel: $\mathcal{T}_{\text{phc}}^\Lambda = \dot{\phi}_{\text{m}}^\Lambda$. We associate to the particle-hole diagram the third and fourth term on the left hand side of Eq. (14): $\mathcal{T}_{\text{ph}}^\Lambda(k_1, k_2, k_3) = \frac{1}{2} \dot{\phi}_{\text{m}}^\Lambda(k_2 - k_3; k_1, k_2) - \frac{1}{2} \dot{\phi}_{\text{c}}^\Lambda(k_2 -$

$k_3; k_1, k_2$). Hence the flow equations for ϕ then read:

$$\dot{\phi}_p^\Lambda(Q; k_1, k_3) = -\mathcal{T}_{pp}^\Lambda(k_1, Q - k_1, k_3), \quad (15)$$

$$\dot{\phi}_c^\Lambda(Q; k_1, k_2) = -2\mathcal{T}_{ph}^\Lambda(k_1, k_2, Q + k_1) + \mathcal{T}_{phc}^\Lambda(k_1, k_2, Q + k_1), \quad (16)$$

$$\dot{\phi}_m^\Lambda(Q; k_1, k_2) = \mathcal{T}_{phc}^\Lambda(k_1, k_2, Q + k_1), \quad (17)$$

where $Q = (\Omega, \mathbf{Q})$ is a frequency and momentum transfer.

Following Refs. 10,11, we address first the momentum dependence. To this end, we introduce a decomposition of the unity by means of a set of orthonormal form factors for the two fermionic momenta $\{f_l(\mathbf{k})\}$ obeying the completeness relation:

$$\int_{\mathbf{k}} f_l(\mathbf{k}) f_m(\mathbf{k}) = \delta_{l,m}. \quad (18)$$

The procedure outlined here is described in detail, e.g., in Ref. 12.

We can then project each channel on a subset of form factors, whose choice is physically motivated¹⁰. Let us stress that if one could keep all the form factors the expansion would be exact.

For the pairing channel we keep only $f_s(\mathbf{k}) = 1$ and $f_d(\mathbf{k}) = \cos k_x - \cos k_y$:

$$\phi_p^\Lambda(Q; k_1, k_3) = \mathcal{S}_Q^{\Omega; \nu_1, \nu_3} + f_d\left(\frac{\mathbf{Q}}{2} - \mathbf{k}_1\right) f_d\left(\frac{\mathbf{Q}}{2} - \mathbf{k}_3\right) \mathcal{D}_Q^{\Omega; \nu_1, \nu_3}. \quad (19)$$

The divergence in the channel \mathcal{S} is associated to the emergence of s -wave superconductivity, while \mathcal{D} to d -wave superconductivity.^{2,3}

For the charge and magnetic channels we restrict ourselves to $f_s(\mathbf{k}) = 1$ only:

$$\phi_c^\Lambda(Q; k_1, k_2) = \mathcal{C}_Q^{\Omega; \nu_1, \nu_2}, \quad (20)$$

$$\phi_m^\Lambda(Q; k_1, k_2) = \mathcal{M}_Q^{\Omega; \nu_1, \nu_2}, \quad (21)$$

corresponding to instabilities in the charge and magnetic channels, respectively (for notation simplicity we omit the Λ -dependencies of the channel functions $\mathcal{S}, \mathcal{D}, \mathcal{C}$ and \mathcal{M}).

Let us stress that for each channel in Eq. (13) we have defined *its own* frequency notation, consisting of one transfer frequency in the specific channel and two remaining independent fermionic frequencies. At finite temperature these frequency transfer is a bosonic Matsubara frequency.

The choice of the mixed notation is the most natural¹³ since the transferred momentum and frequency play a special role in the diagrammatics. Indeed, it is the only dependence generated in second order perturbation theory and the main dependence in finite order perturbation theory. This notation is also convenient to express the Bethe-Salpeter equations⁹, which are deeply related to parquet-approximations and fRG.

Although one expects a leading dependence in the bosonic frequency, in particular in the weak coupling regime, we will see that in some cases the dependence on fermionic frequencies can become strong and not negligible.

In Refs. 10, with a simplified frequency dependence, the channel functions above are interpreted as bosonic exchange propagators. Such an interpretation is missing with full frequency-dependence.

The flow equations for the channels $\mathcal{S}, \mathcal{D}, \mathcal{C}$ and \mathcal{M} can be derived from the projection onto form factors of Eq. (9)-(11):

$$\dot{\mathcal{S}}_Q^{\Omega; \nu_1, \nu_3} = - \int_{\mathbf{k}_1, \mathbf{k}_3} \mathcal{T}_{pp}(k_1, Q - k_1, k_3); \quad (22)$$

$$\dot{\mathcal{D}}_Q^{\Omega; \nu_1, \nu_3} = - \int_{\mathbf{k}_1, \mathbf{k}_3} f_d\left(\frac{\mathbf{Q}}{2} - \mathbf{k}_1\right) f_d\left(\frac{\mathbf{Q}}{2} - \mathbf{k}_3\right) \mathcal{T}_{pp}(k_1, Q - k_1, k_3); \quad (23)$$

$$\dot{\mathcal{C}}_Q^{\Omega; \nu_1, \nu_2} = \int_{\mathbf{k}_1, \mathbf{k}_2} \mathcal{T}_{phc}(k_1, k_2, Q + k_1) - 2\mathcal{T}_{ph}(k_1, k_2, k_2 - Q); \quad (24)$$

$$\dot{\mathcal{M}}_Q^{\Omega; \nu_1, \nu_2} = \int_{\mathbf{k}_1, \mathbf{k}_2} \mathcal{T}_{phc}(k_1, k_2, Q + k_1). \quad (25)$$

The final equations are then obtained by substituting the decomposition (13) into the equations above, and using trigonometric equalities. As an example we report here the equation for the magnetic channel, while the expression for the other channels are reported in the Appendix:

$$\dot{\mathcal{M}}_Q^{\Omega; \nu_1, \nu_2} = \sum_{\nu} L_m^{\Omega; \nu_1, \nu} P_Q^{\Omega, \nu} L_m^{\Omega; \nu, \nu_2 - \Omega}, \quad (26)$$

with:

$$P_Q^{\Omega; \omega} = \int_{\mathbf{p}} G_{\mathbf{p}, \omega}^\Lambda S_{\mathbf{Q}+\mathbf{p}, \Omega+\omega}^\Lambda + G_{\mathbf{Q}+\mathbf{p}, \Omega+\omega}^\Lambda S_{\mathbf{p}, \omega}^\Lambda, \quad (27)$$

and:

$$\begin{aligned} L_m^{\Omega; \nu_1, \nu_2} &= U + \mathcal{M}_Q^{\Omega; \nu_1, \nu_2} \\ &+ \int_{\mathbf{p}} \left\{ -\mathcal{S}_{\mathbf{p}}^{\nu_1 + \nu_2; \nu_1, \nu_1 + \Omega} \right. \\ &- \frac{1}{2} \mathcal{D}_{\mathbf{p}}^{\nu_1 + \nu_2; \nu_1, \nu_1 + \Omega} [\cos(Q_x) + \cos(Q_y)] \\ &\left. + \frac{1}{2} \left[\mathcal{M}_{\mathbf{p}}^{\nu_2 - \nu_1 - \Omega; \nu_1, \nu_2} - \mathcal{C}_{\mathbf{p}}^{\nu_2 - \nu_1 - \Omega, \nu_1, \nu_2} \right] \right\} \end{aligned} \quad (28)$$

Let us notice that after the momentum integrals in P and L are performed, the right hand side can be expressed as a matrix-matrix multiplication in frequency space, where Ω and \mathbf{Q} appear as parameters.

After this decomposition, the evaluation of vertex-flow equation, depending on six arguments, is reduced to the flow of the four functions $\mathcal{S}, \mathcal{D}, \mathcal{C}, \mathcal{M}$ each of them depending on three frequencies and one momentum only. In order to compute these equations numerically we needed to discretize the momentum dependence on patches covering the Brillouin zone and to truncate the frequency

dependence to some maximal frequency value. More details about this are given in the Appendix.

Let us stress that, while the form-factor projection procedure is well defined in momentum space, a similar approximation for the frequency, i.e., reducing the vertex frequency dependence to a frequency transfer only^{11,14,15}, is more problematic. Indeed this projection in frequency space requires that the two remaining frequencies are chosen arbitrarily. This choice affects quantitatively and qualitatively the results, for reasons that are clear looking at the frequency structure of the vertex, discussed below.

A. Cutoff scheme

To use the flow equations defined above we need to specify the Λ -dependence of the non interacting propagator G_0^Λ , often referred to as *cutoff scheme*, in connection to the scale-separation of the renormalization group. In the rest of the paper we have used two different cutoffs.

For most our calculations we have used the *Interaction cutoff*, introduced in Ref. 16:

$$G_0^\Lambda(k) = \Lambda G_0(k) = \frac{\Lambda}{i\nu - \mu - \varepsilon_{\mathbf{k}}}, \quad (29)$$

Where the scale-parameter Λ flows from 0 to 1, and with $\varepsilon_{\mathbf{k}} = -2t[\cos(k_x) + \cos(k_y)] - 4t' \cos(k_x) \cos(k_y)$. μ is the chemical potential needed to fix the occupation at the desired value n . ν is a fermionic Matsubara-frequency: $\nu = \frac{\pi}{\beta}(2m+1)$, $m \in \mathbb{Z}$. $\beta = 1/T$ is the inverse temperature. Correspondingly the interacting Green's function reads:

$$G^\Lambda(k) = \frac{\Lambda}{i\nu - \varepsilon_{\mathbf{k}} - \mu - \Lambda \Sigma^\Lambda(k)} \quad (30)$$

We have introduced a Λ -dependent chemical potential to maintain the occupation fixed during the flow. The chemical potential becomes a functional of the flowing self-energy, $\mu = \mu[\Sigma^\Lambda]$, whose value is found by solving the equation:

$$n = n^\Lambda(\mu) \equiv \int_{\mathbf{k}} \frac{e^{i\nu 0^+}}{i\nu - \varepsilon_{\mathbf{k}} - \mu - \Lambda \Sigma^\Lambda(k)}. \quad (31)$$

for μ .

The main advantage of the interaction cutoff is that the Λ -dependent action can be interpreted¹⁶ as the physical action of the system with rescaled interaction $\tilde{U}^\Lambda = \Lambda^2 U$.

Since T acts as an infrared cutoff, for our purposes we do not need to worry about the fact that this cutoff is not scale-selective, and hence does not regularize possible divergences in the bubbles. Furthermore it has been shown in Ref. 17 that, in the context of the single-impurity Anderson model, the vertex-structures do not depend qualitatively on the cutoff-choice, and the specific effect of the interaction-cutoff on the vertex frequency structure has been studied in detail.

As a benchmark for the robustness of our results on the cutoff-choice, we have used a soft version of the *frequency selective cutoff* defined¹⁸ by:

$$G_0^\Lambda(k) = \frac{1}{i \text{sign} \sqrt{\nu^2 + \Lambda^2} - \mu - \varepsilon_{\mathbf{k}}}, \quad (32)$$

with $\Lambda_0 = \infty$ and $\Lambda_f = 0$. Also in this case we have performed our calculations at fixed occupation.

For both our cutoff choices at the beginning of the flow one has $G_0^{\Lambda_0} = 0$, corresponding to a initial conditions for the self-energy and the vertex defined by, $\Sigma^{\Lambda_0} = 0$ and $V^{\Lambda_0} = U$.

IV. RESULTS

A. Frequency dependence of Vertex

While much of the weak coupling momentum structure of the vertex (for the fermionic Hubbard model) is known by means of fRG, its frequency structure has been investigated much less. In recent years several results have been obtained for the single impurity Anderson model vertex, both on its own and as essential ingredient for diagrammatic extensions of DMFT. Citare: Rohringer, Kinza, Hafermann, Karrasch, Wentzell (and references therein) for the SIAM. Extensions of DMFT: DGA, DF, DMF2RG, Trilex, Quadrilex. However a systematic study keeping into account the full frequency dependence and a physically motivated approximation for the momentum dependence, and including fluctuations in all channels is still lacking.

In this perspective we will present, in the next section, our results obtained by means of fully frequency dependent fRG. From the methodologic point of view, these results have to be considered as a proof of principle of the feasibility, and in some respects of the necessity, of a complete treatment of the frequency dependence of the vertex, with an impact on methods that aim at the study of strong coupling. From a more physical perspective we will confirm some results already foreseen by 11 with a simpler frequency parametrization. However the study of the frequency dependence of the vertex will allow us to gain a deeper understanding in these results, in particular the appearance of a *scattering instability*, and a sensitive reduction of the *d-wave* channel.

Furthermore, a frequency dependent vertex also allows us to compute the frequency dependent self energy, a task that, within fRG, requires heavier approximations whenever one restricts himself to a static vertex. We will show that the self-energy feedback in the flow equations is essential to guarantee the consistency between vertex and propagators in the flow equations. In fact, it turns out that even a Fermi-liquid self-energy can qualitatively change the physical results.

Numerical implementation We have implemented numerically the flow equations reported in the appendix.

Due to the different nature of the momentum arguments of self-energy and vertex we have defined two different patching of the irreducible Brillouin zone. Similarly to what is done in Ref. 10, the vertex patching describes more accurately the corners around $(0,0)$ and (π,π) , where, for the cases that we will consider, the instability vectors are located.

The situation is completely different for the self-energy, for which the most relevant physics happens in the vicinity of the Fermi surface, at least in the weak coupling regime. Therefore we chose to concentrate the patches along the Fermi surface and in its immediate vicinity, with some further care close to the antinodal points near $(\pi,0)$, relevant for the physics of antiferromagnetism and pseudogap. The representative points are visualized in Fig. (occupation).

In the calculations presented in the following we have used 29 patches for the vertex and 44 for the self-energy.

For the practical implementation of the frequency dependence we found convenient to rewrite \mathcal{S} , \mathcal{D} , \mathcal{C} and \mathcal{M} as function of three bosonic frequencies. For each frequency argument we restricted ourselves to at least 40 positive and 40 negative Matsubara frequencies. We stress that the number of Matsubara frequencies that can be taken into account in the calculation sets the lowest reachable temperature.

B. Instabilities analysis

The fRG flow equations are often used to perform an instability analysis of the system: for some value of the cutoff Λ some of the channels show a divergence. The cutoff scale Λ_c for which this happens is called *critical scale*, and the channel that diverges one can evince the leading instability of the system. In Fig. 2 we show the critical scale $1 - \Lambda_c$ as a function of the doping $x = 1 - n$ with and without self-energy feedback, for $T = 0.08t$, $t' = -0.32t$ and $U = 4t$. In order to be consistent with previous fRG literature, we show our result as function of $1 - \Lambda$, which vanishes at the end of the flow. For the physical interpretation, we can refer, instead, to the rescaled interaction \tilde{U}^Λ .

The critical value has been defined as the scale for which the value of the larger channels exceeds $200t$. We have checked that for these stopping values the flow of the largest channel becomes to increase stronger than exponentially. These results are also consistent with an instability analysis based on the susceptibilities.

The presence of the divergence signals a symmetry breaking at finite temperature, not compatible with the Mermin-Wagner theorem¹⁹ since in our truncation-scheme we do not include the bosonic-fluctuations of the order parameter²⁰, essential to obtain a vanishing critical temperature. The presence of a finite critical scale in the pure fermionic fRG signals the appearance of strong bosonic fluctuations that cannot be treated within the framework we are using. Even though the flow cannot

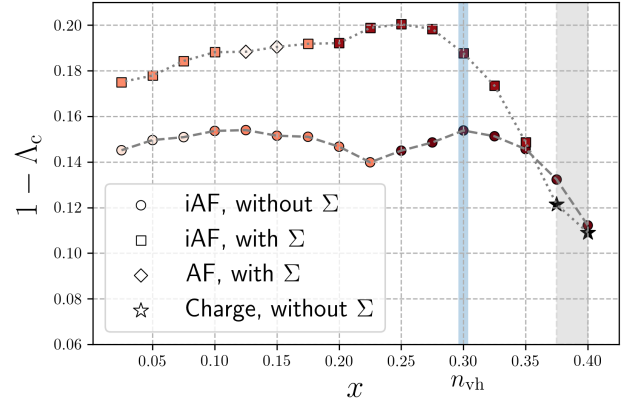


Figure 2: Critical scale $1 - \Lambda_c$ as a function of the doping x , for $T = 0.08t$, $t' = -0.32t$ and $U = 4t$. Square symbols and circles refer to incommensurate antiferromagnetism (iAF), respectively without and with self-energy feedback. The black stars refer to a divergence in the charge channel (forward scattering). The color of squares and circles encodes distance of the incommensurability vector from (π, π) : darker color corresponds to higher incommensurability.

be continued beyond the critical scale, from the analysis of vertex and self-energy at this scale we can identify the fluctuations that dominate the physics at low temperature.

In the specific case of the interaction cutoff we can interpret the rescaled interaction \tilde{U}^Λ as the critical interaction at a given temperature.

For the parameter set shown in Fig. 2 and without self-energy feedback, there are two possible instabilities. For a doping smaller than 0.35 the leading fluctuations of the system are either commensurate antiferromagnetic or incommensurate antiferromagnetic. The incommensurability vector defined as $\mathbf{Q} = (\pi, \pi - \delta)$ corresponds to the momentum value for which the magnetic channel \mathcal{M}^Λ has its maximum. The value of δ is encoded in the color of the symbols, where a darker color corresponds to a larger δ , reaching the value of $\delta = 1.13$ for a doping x between 0.25 and 0.35. The region of commensurate antiferromagnetism for $0.125 \leq x \leq 0.150$ has to be attributed to the presence of a large plateau around (π, π) in the bare bubble. Correspondingly the magnetic susceptibility is enhanced in all the region around (π, π) , and the commensurate peak coexists with an incommensurate one, almost of the same magnitude.

The most striking feature is the presence of a divergence of the charge channel \mathcal{C}^Λ for larger values of doping, marked in Fig. 2 by a black star. This feature was already observed by Husemann *et al.* in Ref. 10, where it was named *forward scattering instability*. The charge channel \mathcal{C}^Λ diverges for a finite frequency transfer $\Omega = 2\pi/\beta$, which makes the interpretation of the divergence in terms of a physical instability not obvious. The frequency structure of the divergent charge channel \mathcal{C}^Λ ,

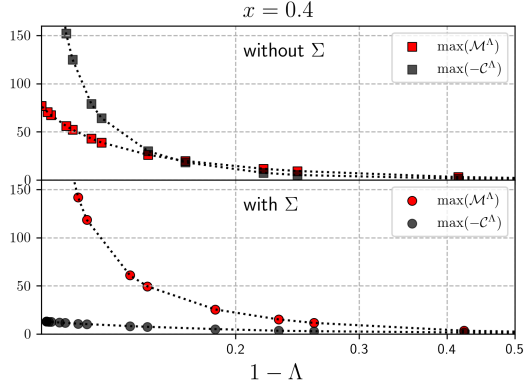


Figure 3: Flow of the maximal value of the charge channel $\mathcal{C}_{\Omega, Q}^{\Lambda}(\nu_1, \nu_2)$ and of the magnetic channel $\mathcal{M}_{\Omega, Q}^{\Lambda}(\nu_1, \nu_2)$ as a function of Λ , for $x = 0.4$, $t' = -0.32$, $U = 4t$ and $T = 0.08t$, without self-energy feedback (top) and with self-energy feedback (bottom).

images/self_energy_effect.png

Figure 4: Flow of the maximal value the magnetic channel $\mathcal{M}_{\Omega, Q}^{\Lambda}(\nu_1, \nu_2)$ as a function of Λ , for $t' = -0.32$, $U = 4t$ and $T = 0.08t$ without self-energy feedback and with self-energy feedback. Top $x = 0.025$, bottom $x = 0.375$.

together with the one of the magnetic channel \mathcal{M}^{Λ} is shown in Fig. 5, and will be discussed further in paragraph **addpara**.

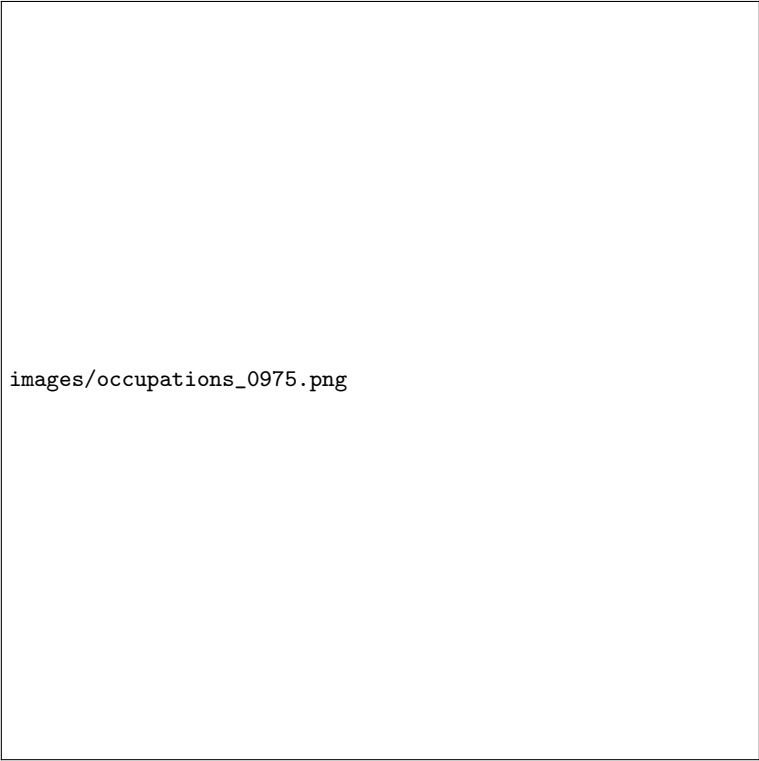
Including the self-energy feedback results in three effects, as can be seen from Fig. 2. First, $1 - \Lambda_c$ is decreased. Second, the incommensurability vector is also affected, the region of commensurate antiferromagnetism disappears, and one can observe a more regular trend of increasing δ as the doping is increased. Finally, the divergence in the charge channel is completely suppressed, and the leading instability in the larger doping region

images/Phi_color_NOSE_fill10600.png

Figure 5: Frequency structure of the charge channel $\mathcal{C}_{\Omega, Q}^{\Lambda}(\nu_1, \nu_2)$ (on the left) and of the magnetic channel $\mathcal{M}_{\Omega, Q}^{\Lambda}(\nu_1, \nu_2)$ (on the right), for $x = 0.4$, $t' = -0.32$, $U = 4t$ and $T = 0.08t$ and without self-energy, corresponding to the rightmost point in Fig. 2. Both channels are plotted for the frequency and momentum transfer for which they have their maximum. Note that the frequency transfer for $\mathcal{C}_{\Omega, Q}^{\Lambda}$ is the first bosonic Matsubara frequency $2\pi/\beta$. The value of δ is 1.13.

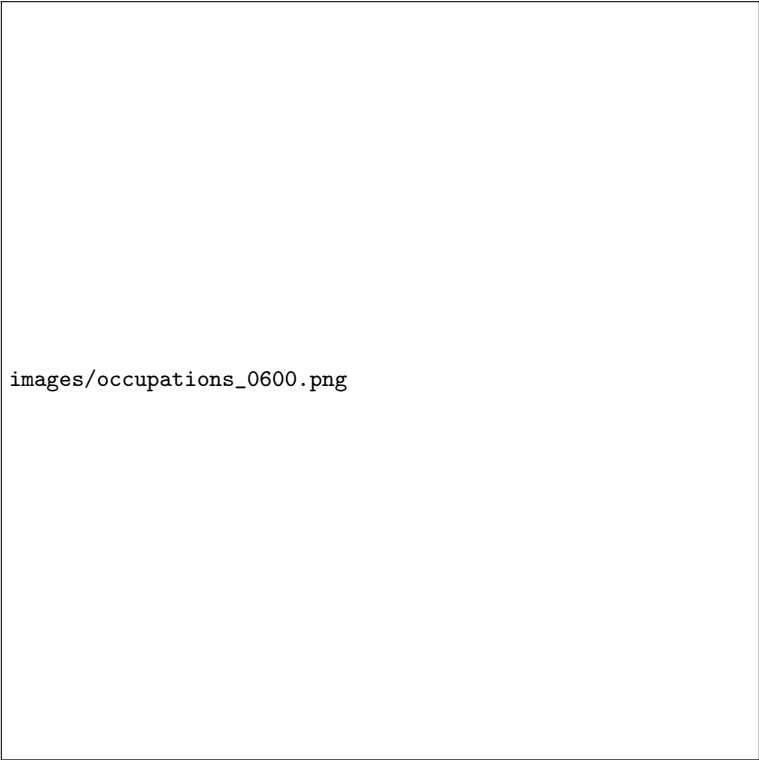
studied $0.4 > x > 0.375$ remains of the incommensurate antiferromagnetic type. This can be also be seen from Fig. 6, where we compare the flow of the maximum of the absolute value of magnetic and charge channel with and without the self-energy feedback. Without self-energy feedback, the charge channel rapidly starts to increase, taking large and negative values. Probably due to its feedback in the magnetic channel the latter never becomes particularly large. The effect of the self-energy feedback to the charge channel is dramatic: not only it does not diverge during the flow, but also it never exceeds a value of $15t$. On the other hand, due to the suppression of \mathcal{C}^{Λ} the magnetic channel appears enhanced. Hence the self-energy can affect the magnetic channel either directly, reducing the particle-hole bubble, or indirectly through the feedback of other channels. As we can see from the occupation-plot shown in Fig. ??, the effect of the self-energy on the Fermi surface is moderate, but enough to suppress the forward scattering instability.

All these considerations suggest that the divergence of the charge channel is rather an artefact of fRG without self-energy, arising from a lack of consistence between the vertex and the Green's function in the flow equations. In the next section we further substantiate this conclusion, by explaining the mathematical origin of the feature. The self-energy has qualitative and quantitative effects on the flow equations: quantitatively




images/occupations_0975.png

Figure 6: **placeholder**



images/occupations_0600.png

Figure 7: **placeholder**



images/Self_energy_from_ciro_script.png

Figure 8: Frequency dependent self-energy for parameter set... . The location of the \mathbf{k} -point in the Brillouin zone is color coded in the inset.

- interpretazione scala divergenza
- notare charge problem con riferimento MS
- self energy vs no self energy
- interaction

C. Forward scattering problem

- Introduce perpendicular ladder (PL) for charge.
- Colorplot of charge in PL.
- Discuss the role of the Bubble at $\mathbf{Q} = (0,0)$ and plot it as a function of ν .

D. Self energy effects

- With self energy feedback, we didn't find any charge instability problem for any parameters range studied.
- Plot of the Fermi surface based patch scheme.
- Plot of $\Sigma(i\omega)$ at $\mathbf{k} = (\pi, 0)$, $\mathbf{k} = \mathbf{k}_{HS}$ and $\mathbf{k} = (\pi/2, \pi/2)$ in frequency space.
- Plot of $Z_{\mathbf{k}}$
- Plot of occupation with and without Σ

V. CONCLUSIONS

Conclusions...

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VI. APPENDICES

A. Flow equations

For practical reason, from now on, we omit the explicit Λ dependencies. The flow equation for the \mathcal{S} channel read:

$$\dot{S}_{\mathbf{Q}}^{\Omega;\nu_1,\nu_3} = \frac{1}{2} \sum_{\nu} L_{s\mathbf{Q}}^{\Omega;\nu_1,\nu} P_{s,\mathbf{Q}}^{\Omega,\nu} L_{s\mathbf{Q}}^{\Omega;\nu,\Omega-\nu_3} + \frac{1}{2} \sum_{\nu} L_{s\mathbf{Q}}^{\Omega;\Omega-\nu_1,\nu} P_{s,\mathbf{Q}}^{\Omega,\nu} L_{s\mathbf{Q}}^{\Omega;\nu,\nu_3}, \quad (33)$$

with:

$$P_{\mathbf{Q}}^{\Omega;\omega} = \int_{\mathbf{p}} G_{\mathbf{p},\omega} S_{\mathbf{Q}-\mathbf{p},\Omega-\omega} + G_{\mathbf{Q}-\mathbf{p},\Omega-\omega} S_{\mathbf{p},\omega}, \quad (34)$$

and:

$$L_{s\mathbf{Q}}^{\Omega;\nu_1,\nu_3} = U - S_{\mathbf{Q}}^{\Omega;\nu_1,\nu_3} + \int_{\mathbf{p}} \left[\mathcal{M}_{\mathbf{p}}^{\nu_3-\nu_1;\nu_1,\Omega-\nu_1} + \frac{1}{2} \mathcal{M}_{\mathbf{p}}^{\Omega-\nu_1-\nu_3;\nu_1,\Omega-\nu_1} - \frac{1}{2} \mathcal{C}_{\mathbf{p}}^{\Omega-\nu_1-\nu_3;\nu_1,\Omega-\nu_1} \right]. \quad (35)$$

The flow for the \mathcal{D} channel read:

$$\dot{\mathcal{D}}_{\mathbf{Q}}^{\Omega;\nu_1,\nu_3} = \frac{1}{2} \sum_{\nu} L_{d\mathbf{Q}}^{\Omega;\nu_1,\nu} P_{d,\mathbf{Q}}^{\Omega,\nu} L_{d\mathbf{Q}}^{\Omega;\nu,\Omega-\nu_3} + \frac{1}{2} \sum_{\nu} L_{d\mathbf{Q}}^{\Omega;\Omega-\nu_1,\nu} P_{d,\mathbf{Q}}^{\Omega,\nu} L_{d\mathbf{Q}}^{\Omega;\nu,\nu_3}, \quad (36)$$

with:

$$P_{d,\mathbf{Q}}^{\Omega;\omega} = \int_{\mathbf{p}} f_d \left(\frac{\mathbf{Q}}{2} - \mathbf{p} \right)^2 [G_{\mathbf{p},\omega} S_{\mathbf{Q}-\mathbf{p},\Omega-\omega} + G_{\mathbf{Q}-\mathbf{p},\Omega-\omega} S_{\mathbf{p},\omega}], \quad (37)$$

and:

$$L_{d\mathbf{Q}}^{\Omega;\nu_1,\nu_3} = -\mathcal{D}_{\mathbf{Q}}^{\Omega;\nu_1,\nu_3} + \frac{1}{2} \int_{\mathbf{p}} (\cos p_x + \cos p_y) \left[\mathcal{M}_{\mathbf{p}}^{\nu_3-\nu_1;\nu_1,\Omega-\nu_1} + \frac{1}{2} \mathcal{M}_{\mathbf{p}}^{\Omega-\nu_1-\nu_3;\nu_1,\Omega-\nu_1} - \frac{1}{2} \mathcal{C}_{\mathbf{p}}^{\Omega-\nu_1-\nu_3;\nu_1,\Omega-\nu_1} \right]. \quad (38)$$

The flow for the \mathcal{C} channel read:

$$\dot{\mathcal{C}}_{\mathbf{Q}}^{\Omega;\nu_1,\nu_2} = \sum_{\nu} L_{c\mathbf{Q}}^{\Omega;\nu_1,\nu} P_{c,\mathbf{Q}}^{\Omega,\nu} L_{c\mathbf{Q}}^{\Omega;\nu,\nu_2-\Omega}, \quad (39)$$

with:

$$P_{c,\mathbf{Q}}^{\Omega;\omega} = \int_{\mathbf{p}} G_{\mathbf{p},\omega} S_{\mathbf{Q}+\mathbf{p},\Omega+\omega} + G_{\mathbf{Q}+\mathbf{p},\Omega+\omega} S_{\mathbf{p},\omega}, \quad (40)$$

and:

$$L_{c\mathbf{Q}}^{\Omega;\nu_1,\nu_2} = U - \mathcal{C}_{\mathbf{Q}}^{\Omega;\nu_1,\nu_2} + \int_{\mathbf{p}} \left[-2\mathcal{S}_{\mathbf{p}}^{\nu_1+\nu_2;\nu_1,\nu_2-\Omega} + \mathcal{S}_{\mathbf{p}}^{\nu_1+\nu_2;\nu_1,\Omega+\nu_1} \right. \quad (41)$$

$$\left. + \left(\mathcal{D}_{\mathbf{p}}^{\nu_1+\nu_2;\nu_1,\nu_2-\Omega} - \frac{1}{2} \mathcal{D}_{\mathbf{p}}^{\nu_1+\nu_2;\nu_1,\Omega+\nu_1} \right) [\cos(Q_x) + \cos(Q_y)] \right] \quad (42)$$

$$+ \frac{3}{2} \mathcal{M}_{\mathbf{p}}^{\nu_2-\nu_1-\Omega;\nu_1,\nu_2} + \frac{1}{2} \mathcal{C}_{\mathbf{p}}^{\nu_2-\nu_1-\Omega;\nu_1,\nu_2} \left. \right]. \quad (43)$$

The flow for the \mathcal{M} channel read:

$$\dot{\mathcal{M}}_{\mathbf{Q}}^{\Omega;\nu_1,\nu_2} = \sum_{\nu} L_{m\mathbf{Q}}^{\Omega;\nu_1,\nu} P_{m,\mathbf{Q}}^{\Omega,\nu} L_{m\mathbf{Q}}^{\Omega;\nu,\nu_2-\Omega}, \quad (44)$$

with:

$$P_{\mathbf{m},\mathbf{Q}}^{\Omega;\omega} = \int_{\mathbf{p}} G_{\mathbf{p},\omega} S_{\mathbf{Q}+\mathbf{p},\Omega+\omega} + G_{\mathbf{Q}+\mathbf{p},\Omega+\omega} S_{\mathbf{p},\omega}, \quad (45)$$

and:

$$L_{\mathbf{m}\mathbf{Q}}^{\Omega;\nu_1,\nu_2} = U + \mathcal{M}_{\mathbf{Q}}^{\Omega;\nu_1,\nu_2} + \int_{\mathbf{p}} \left\{ -\mathcal{S}_{\mathbf{p}}^{\nu_1+\nu_2;\nu_1,\nu_1+\Omega} - \frac{1}{2}\mathcal{D}_{\mathbf{p}}^{\nu_1+\nu_2;\nu_1,\nu_1+\Omega} [\cos(Q_x) + \cos(Q_y)] + \right. \quad (46)$$

$$\left. \frac{1}{2} [\mathcal{M}_{\mathbf{p}}^{\nu_2-\nu_1-\Omega;\nu_1,\nu_2} - \mathcal{C}_{\mathbf{p}}^{\nu_2-\nu_1-\Omega,\nu_1,\nu_2}] \right\} \quad (47)$$

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