# LINCS System Security Plan

# NIST SP 800-53 Revision 4 Privacy

## AR: Accountability, Audit, and Risk Management

### AR-1: GOVERNANCE AND PRIVACY PROGRAM

“The organization: a. Appoints a Senior Agency Official for Privacy (SAOP)/Chief Privacy Officer (CPO) accountable for developing, implementing, and maintaining an organization-wide governance and privacy program to ensure compliance with all applicable laws and regulations regarding the collection, use, maintenance, sharing, and disposal of personally identifiable information (PII) by programs and information systems; b. Monitors federal privacy laws and policy for changes that affect the privacy program; c. Allocates [Assignment: organization-defined allocation of budget and staffing] sufficient resources to implement and operate the organization-wide privacy program; d. Develops a strategic organizational privacy plan for implementing applicable privacy controls, policies, and procedures; e. Develops, disseminates, and implements operational privacy policies and procedures that govern the appropriate privacy and security controls for programs, information systems, or technologies involving PII; and f. Updates privacy plan, policies, and procedures [Assignment: organization-defined frequency, at least biennially].”

##### Privacy

LINCS does not collect or maintain PII and therefore does not directly address this control though it may address it indirectly.

### AR-2: PRIVACY IMPACT AND RISK ASSESSMENT

“The organization: a. Documents and implements a privacy risk management process that assesses privacy risk to individuals resulting from the collection, sharing, storing, transmitting, use, and disposal of personally identifiable information (PII); and b. Conducts Privacy Impact Assessments (PIAs) for information systems, programs, or other activities that pose a privacy risk in accordance with applicable law, OMB policy, or any existing organizational policies and procedures.”

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### AR-3: PRIVACY REQUIREMENTS FOR CONTRACTORS AND SERVICE PROVIDERS

“The organization: a. Establishes privacy roles, responsibilities, and access requirements for contractors and service providers; and b. Includes privacy requirements in contracts and other acquisition-related documents.”

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### AR-4: PRIVACY MONITORING AND AUDITING

“The organization monitors and audits privacy controls and internal privacy policy [Assignment: organization-defined frequency] to ensure effective implementation.”

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### AR-5: PRIVACY AWARENESS AND TRAINING

“The organization: a. Develops, implements, and updates a comprehensive training and awareness strategy aimed at ensuring that personnel understand privacy responsibilities and procedures; b. Administers basic privacy training [Assignment: organization-defined frequency, at least annually] and targeted, role-based privacy training for personnel having responsibility for personally identifiable information (PII) or for activities that involve PII [Assignment: organization-defined frequency, at least annually]; and c. Ensures that personnel certify (manually or electronically) acceptance of responsibilities for privacy requirements [Assignment: organization-defined frequency, at least annually].”

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### AR-6: PRIVACY REPORTING

The organization develops, disseminates, and updates reports to the Office of Management and Budget (OMB), Congress, and other oversight bodies, as appropriate, to demonstrate accountability with specific statutory and regulatory privacy program mandates, and to senior management and other personnel with responsibility for monitoring privacy program progress and compliance."

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### AR-7: PRIVACY-ENHANCED SYSTEM DESIGN AND DEVELOPMENT

Control:The organization designs information systems to support privacy by automating privacy controls.

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### AR-8: ACCOUNTING OF DISCLOSURES

“The organization: a. Keeps an accurate accounting of disclosures of information held in each system of records under its control, including: (1) Date, nature, and purpose of each disclosure of a record; and (2) Name and address of the person or agency to which the disclosure was made; b. Retains the accounting of disclosures for the life of the record or five years after the disclosure is made, whichever is longer; and c. Makes the accounting of disclosures available to the person named in the record upon request.”

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