## Form 2013 R3.0: Chronic Lymphocytic Leukemia (CLL) Pre-Infusion Data Center **Key Fields** Sequence Number: Date Received: CIBMTR Center Number: CIBMTR Research ID: Event date: -HCT type: (check all that apply) Allogeneic, unrelated Allogeneic, related Product type: (check all that apply) ■Bone marrow ■ PBSC Single cord blood unit Multiple cord blood units Other product Specify: **Subsequent Transplant or Cellular Therapy** If this is a report of a second or subsequent transplant or cellular therapy for the same disease subtype and this baseline disease insert has not been completed for the previous transplant or cellular therapy (e.g. patient was on TED track for the prior HCT, prior HCT was autologous with no consent, prior cellular therapy was not reported to the CIBMTR), begin the form at question one. If this is a report of a second or subsequent transplant or cellular therapy for a different disease, begin the form at question one. Is this the report of a second or subsequent transplant or cellular therapy for the same disease? C Yes C No **Disease Assessment at Diagnosis** Questions: 1 - 21 1 What was the date of diagnosis? 2 Was documentation submitted to the CIBMTR? (e.g. pathology report used for diagnosis) Yes No 3 Did a histologic transformation occur at any time after CLL diagnosis? 🥏 yes 🦲 no 4 Date of transformation: \_\_\_\_\_ 5 Specify the disease classification after transformation C Diffuse large B-cell lymphoma (Richter syndrome) Also complete CIBMTR form 2018 - LYM C Other disease classification 6 Specify other disease classification: 7 Was documentation submitted to the CIBMTR? (e.g. pathology report at transformation) Autoimmune disorder(s) at diagnosis: 8 Immune hemolytic anemia C Yes No Unknown 9 Immune thrombocytopenia C Yes C No C Unknown 10 Other C Yes C No C Unknown 11 Specify other autoimmune disorder: 12 Rai stage (at diagnosis) Known Unknown 13 What was the Rai stage? (at diagnosis) Carree of the stage of the stag Stage I - Intermediate risk - lymphocytosis plus enlarged lymph nodes (lymphadenopathy) without hepatosplenomegaly, anemia or thrombocytopenia Case II - Intermediate risk - lymphocytosis plus enlarged liver or spleen with or without lymphadenopathy Stage III - High risk - lymphocytosis plus anemia (Hgb < 11.0 g/dL) with or without enlarged liver, spleen, or lymph nodes</p>

14 Binet stage (at diagnosis)

Known Unknown

Stage IV - High risk - lymphocytosis plus thrombocytopenia (platelet count < 100 x 109/L) with or without anemia or enlarged liver, spleen, or lymph nodes</p>

|   | nphocytic Leukemia (CLL) Pre-Infusion Data   |                    |
|---|--|--------------------|
|   | ) (Five lymphoid bearing areas are possible: axillary, cervical, inguino-femoral, liver, and spleen.)  |                    |
| , ,   | oid bearing areas enlarged, without anemia or thrombocytopenia   |                    |
|   | hoid bearing areas enlarged, without anemia or thrombocytopenia<br>a (Hgb < 10.0 g/dL) or thrombocytopenia (platelet count < 100 x 10 <sup>9</sup> /L) |                    |
|   | nt? (unexplained fever > 38° C; or night sweats; unexplained weight loss > 10% of body weight in six months before                                     | ore diagnosis)     |
| C yes C no C Unknown  |  |                    |
| Was extranodal disease present?  Yes No                             |  |                    |
| Specify site(s) of disease:  18 Central nervous system (CNS)  (CNS) |  |                    |
| 19 Lung   |  |                    |
| 20 Other site   |  |                    |
| yes no 21 Specify other site:                                       |  |                    |
| 21 Specify other site.  |  |                    |
|   | Laboratory Studies at Diagnosis  | Questions: 22 - 73 |
| WBC C Known C Unknown   |  |                    |
| 23  | x 109/L (x 103/mm3)  |                    |
|   |  |                    |
| Hemoglobin (untransfused)  Known C Unknown                          |  |                    |
| 25  |  |                    |
| Platelets (untransfused)  |  |                    |
| C Known C Unknown   |  |                    |
| 27  | x 109/L (x 103/mm³)  |                    |
|   |  |                    |
| Lymphocytes  C Known C Unknown                                      |  |                    |
| 29 %  |  |                    |
| Prolymphocytes  C Known C Unknown                                   |  |                    |
| 31%   |  |                    |
| C Known C Unknown   |  |                    |
| 33  | □ U/L  |                    |
| 34 Upper limit of normal for LDH:                                   |  |                    |
| Serum β2 microglobulin  C Known C Unknown                           |  |                    |
| 36  | C μg/dL C mg/L C nmol/L  |                    |
|   | pglobulin: μg/dL 🦱 mg/L 🦱 nmol/L   |                    |
| Lymphocytes in bone marrow  Known C Unknown                         |  |                    |
| 39%   |  |                    |
| Leukemia cell type (may be determined at any  B-cell T-cell Unknown | ime after diagnosis)   |                    |
| Were tests for molecular markers performed (e                       | g. PCR)?   |                    |

yes no Unknown

42 Date sample collected: \_\_\_\_\_

Immunoglobulin heavy chain variable (IGHV) mutation Positive Negative Not done

## Form 2013 R3.0: Chronic Lymphocytic Leukemia (CLL) Pre-Infusion Data 44 Specify method used ASO IGHV RQ-PCR Consensus IGHV PCR Consensus IGHV PCR using HTS Nested ASO IGHV PCR Other method 45 Specify other method: 46 NOTCH 1 mutation C Positive C Negative C Not done 47 P53 mutation C Positive Negative Not done 48 SF3B1 mutation C Positive C Negative C Not done 49 Other molecular marker C Positive C Negative C Not Done 50 Specify other molecular marker: **51** Was documentation submitted to the CIBMTR? C Yes C No Immunophenotype: (may be determined at any time after diagnosis) 52 Was flow cytometry (immunophenotyping) performed? 🦱 yes 🏉 no 🏉 Unknown 53 CD5+ Positive Negative Not Done **54** CD19+ C Positive Negative Not Done 55 CD20+ C Positive Negative Not Done **56** CD23+ C Positive C Negative C Not Done 57 CD38+ Positive Negative Not done 58 Specify percent positivity Positive Negative Not done 60 ZAP-70 - mutated C Positive C Negative C Not done 61 Were cytogenetics tested (karyotyping or FISH)? g yes no unknown 62 Results of tests Abnormalities identified No evaluable metaphases No abnormalities Specify cytogenetic abnormalities identified at diagnosis: Trisomy 63 +12 C Yes C No Translocation **64** t(11;14) 🧷 yes 🌎 no 65 Any other translocation of 14 C Yes C No Deletion

66 del(11q) / 11q-

67 del(13q) / 13q-

🦲 yes 🦲 no

🥟 yes 🏉 no

## Form 2013 R3.0: Chronic Lymphocytic Leukemia (CLL) Pre-Infusion Data Center: 68 del (17p) / 17p-🦲 yes 🏉 no Other 69 Chromosome 6 abnormalities C Yes No 70 Chromosome 8 abnormalities C Yes C No 71 Other abnormality 🧷 yes 🌎 no 72 Specify other abnormality: 73 Was documentation submitted to the CIBMTR? (e.g. cytogenetic or FISH report) C Yes C No **Pre-HCT or Pre-Infusion Therapy** Questions: 74 - 148 74 Was therapy given? 🦲 yes 🦲 no 🍙 Unknown Line of Therapy (1) Questions: 75 - 148 75 Systemic therapy 🧷 yes 🦰 no 76 Date therapy started Known Unknown 77 Date started: \_\_ \_ \_ 78 Date therapy stopped Known Unknown 79 Date stopped: 80 Number of cycles C Known C Unknown 81 Number of cycles: 82 Alemtuzumab (Campath) 🥟 yes 🎁 no 83 Bendamustine 🦲 yes 🌎 no 84 Chlorambucil (Leukeran) 🧷 yes 🌈 no 85 Cladribine (2-CdA, Leustatin) 🧷 yes 🏉 no 86 Corticosteroids 🧷 yes 🌎 no 87 Cyclophosphamide (Cytoxan) 🦱 yes 🦱 no 88 Cytarabine (Ara-C) 🧷 yes 🍘 no 89 Doxorubicin (Adriamycin) 🧷 yes 🌈 no 90 Etoposide (VP-16, VePesid) 🧷 yes 🍘 no 91 Fludarabine (Fludara) 🧷 yes 🍊 no 92 Gemcitabine (Gemzar) 🧷 yes 🌈 no 93 Ibrutinib (Imbruvica) Yes No 94 Idelalisib (Zydelig) C Yes C No 95 Ifosfamide (Ifex) 🦲 yes 🌎 no

96 Lenalidomide (Revlimid)

yes no

## Form 2013 R3.0: Chronic Lymphocytic Leukemia (CLL) Pre-Infusion Data

| nter:        | CRID:   |
|--------------|---|
|              | 97 Nelarabine  C Yes C No   |
|              | 98 Nitrogen mustard (mustine)  G yes G no   |
|              | 99 Obinutuzumab  C Yes C No   |
|              | 100 Oblimersen  Yes No  |
|              | 101 Ofatumumab (Arzerra, HuMAX-CD20)  © yes © no  |
|              | 102 Pentostatin (Nipent)      yes  no   |
|              | 103 Rituximab (anti-CD20, Rituxan)  |
|              | 104 Venetoclax    Yes  No   |
|              | 105 Vincristine (VCR, Oncovin)  yes no  |
|              | 106 Other systemic therapy  yes ono   |
|              | 107 Specify other systemic therapy:  108 Was this line of therapy given for stem cell mobilization (priming)?  ———————————————————————————————————  |
| <b>109</b> R | adiation therapy  C yes C no  |
|              | 110 Date therapy started  C Known C Unknown   |
|              | 111 Date started:   |
|              | 113 Date stopped:   |
|              | Specify site(s) of radiation therapy:  114 Mediastinum  Per No  |
|              | 115 Other site   yes no   |
| 447.0        | 116 Specify other site:   |
| 117 S        | urgery  |
|              | 119 Splenectomy  © yes © no   |
|              | 120 Other site  yes no  |
|              | 121 Specify other site:   |
| <b>122</b> B | est response to line of therapy  Complete remission (CR)  Complete Rem |
|              | Partial -≥ 50% decrease in peripheral blood lymphocyte count from pretreatment value; ≥ 50% reduction in lymphadenopathy if present pretreatment; ≥ 50% reduction in liver and spleen size if enlarged pretreatment; one or more of the following: neutrophils ≥ 1.5 x 10 <sup>9</sup> /L or 50% improvement over baseline, platelets > 100 x 10 <sup>9</sup> /L or 50% improvement over baseline, hemoglobin > 11.0 g/dL or 50% improvement over baseline  |
|              | Stable disease (SD) - no change; not complete remission, partial remission, nor progressive disease  Progressive - one or more of the following: ≥ 50% increase in the sum of the products of ≥ 2 lymph nodes (≥ 1 node must be ≥ 2 cm) or new nodes; ≥ 50% disease increase in liver or spleen size, or new hepatomegaly; ≥ 50% increase in absolute lymphocyte count to ≥ 5 x 109/L; transformation   |
|              | (Prog) a more aggressive histology  ☐ Not assessed ☐ Unknown  |
|              | 123 Date assessed:  |
|              | 124 Were tests for molecular markers performed (e.g. PCR)?  |
|              | ges gen no gen Unknown  |
|              | 125 Date sample collected:  |

| Center: CRID:  |                     |
|--|---------------------|
| 126 Immunoglobulin heavy chain variable (IGHV) mutation  Positive Negative Not done  |                     |
| 127 Specify method used  ASO IGHV RQ-PCR Consensus IGHV PCR Consensus IGHV PCR using HTS Nested ASO IGHV PCR Other method              |                     |
| 128 Specify other method:  |                     |
| 129 NOTCH 1 mutation Positive Negative Not done  130 P53 mutation  |                     |
| Positive Negative Not done   |                     |
| 131 SF3B1 mutation  Positive Negative Not done  132 Other molecular marker   |                     |
| Positive Negative Not Done   |                     |
| 133 Specify other molecular marker:  |                     |
| 134 Was the disease status assessed via flow cytometry? (minimum 4-color flow) (immunophenotyping)  yes no  135 Date sample collected: |                     |
| 136 Was disease detected?  |                     |
| g yes no   |                     |
| 137 Was the disease status assessed by cytogenetic testing (karyotyping or FISH)?  (i) yes (ii) no                                     |                     |
| 138 Was the disease status assessed via FISH?  yes no  139 Date sample collected:  |                     |
| 140 Was disease detected?  © yes © no  |                     |
| 141 Was the disease status assessed via karyotyping?  C Yes C No   |                     |
| 142 Date sample collected:   |                     |
| C yes C no   |                     |
| 144 Was the disease status assessed by clinical / hematologic assessment?  © yes © no  |                     |
| 145 Date assessed: 146 Was disease detected?   |                     |
| c yes c no   |                     |
| 147 Did disease relapse/progress following this line of therapy?  © yes © no   |                     |
| 148 Date of relapse/progression:   |                     |
|  |                     |
| Disease Assessment at Last Evaluation Prior to the Start of the Preparative Regimen / Infusion   | Questions: 149 - 19 |
| 49 Did the recipient have known nodal involvement?  yes no   |                     |
| 150 Specify the size of the largest nodal mass: cm x cm  51 Was extranodal disease present?  Yes No                                    |                     |
| Specify site(s) of involvement:  152 Central nervous system (CNS)  Yes No  |                     |
| 153 Lung  © yes © no   |                     |
| 154 Other site   |                     |

155 Specify other site:

| Center:        | CRID:   |
|----------------|---|
| 156 Prolympho  | cytes<br>Known 🌈 Unknown  |
|                | %   |
|                | Known G Unknown   |
| 159            | μg/dL 🦰 mg/L 🦰 nmol/L   |
| <b>160</b> Upp | er limit of normal for serum β2 microglobulin:  |
| 0              | es in bone marrow Known 💪 Unknown   |
| 163 Were tests | % for molecular markers performed (e.g. PCR)? yes no C Unknown  |
|                | e sample collected:   |
| •              | 66 Specify method used  ASO IGHV RQ-PCR Consensus IGHV PCR Consensus IGHV PCR using HTS   |
|                | <ul><li>Nested ASO IGHV PCR</li><li>Other method</li></ul>  |
|                | 167 Specify other method:   |
|                | TCH 1 mutation  C Positive C Negative Not done  |
| <b>169</b> P5  | B mutation  C Positive C Negative Not done  |
| <b>170</b> SF  | BB1 mutation  Positive Negative Not done  |
|                | er molecular marker  Positive Negative Not Done   |
|                | 72 Specify other molecular marker: s documentation submitted to the CIBMTR?  C Yes C No   |
|                | sease status assessed via flow cytometry? (minimum 4-color flow) (immunophenotyping) yes 👩 no   |
|                | e sample collected:   |
| <b>176</b> Wa  | s disease detected?  yes no   |
| •              | genetics tested (karyotyping or FISH)?  yes C no C Unknown  |
| <b>178</b> Re  | C Abnormalities identified  No evaluable metaphases   |
|                | No abnormalities  Specify cytogenetic abnormalities detected at last evaluation prior to the start of the preparative regimen / infusion: |
| ,              | Trisomy 79 +12  |
|                | Yes No Translocation  |
| •              | #####################################   |
| •              | 81 Any other translocation of 14  C Yes C No  |
| •              | <b>Deletion</b> 82 del(11q) / 11q-  ——————————————————————————————————  |
| •              | 83 del(13q) / 13q-  |

Form 2013 R3.0: Chronic Lymphocytic Leukemia (CLL) Pre-Infusion Data

| Form 2013<br>Center:   | 3 R3.0: Chronic Lymphocyt CRID:   | tic Leukemia (CLL) Pre-Infusion Data   |   |
|--|---|--|---|
| 184  | del (17p) / 17p-  |  |   |
| 185<br>186   | Other  Chromosome 6 abnormalities  Yes No  Chromosome 8 abnormalities  Yes No  Other abnormality  Yes No  |  |   |
| <ul><li>yes</li><li>190 Date as</li><li>191 Was di</li></ul> | 188 Specify other abnormality:  ase status assessed by clinical / hematologic no assessed: isease detected?   | ic assessment?   |   |
|  | C yes C no  Disease Status at the L   | Last Evaluation Prior to the Start of the Preparative Regimen / Infusion   | Questions: 192 - 193  |
| Parrem (PR) Sta Pro dise (Pro                                | mplete - no lymphadenopathy; no orginsion (CR) marrow < 30% lymphocytes; tial - ≥ 50% decrease in peripheral blood lymphocytes ission in liver and spleen size if enlarged pref () 109/L or 50% improvement over basel ble disease (SD) - no change; not complete agressive - one or more of the following: ≥ 50 ase liver or spleen size, or new hepator | rganomegaly; neutrophils $\geq$ 1.5 x 109/L; platelets > 100 x 109/L; hemoglobin > 11.0 g/dL; lymph; absence of constitutional symptoms lymphocyte count from pretreatment value; $\geq$ 50% reduction in lymphadenopathy if present pretreatment; one or more of the following: neutrophils $\geq$ 1.5 x 109/L or 50% improvement over baseline, hemoglobin > 11.0 g/dL or 50% improvement over baseline eremission, partial remission, nor progressive disease 0% increase in the sum of the products of $\geq$ 2 lymph nodes ( $\geq$ 1 node must be $\geq$ 2 cm) or new omegaly or splenomegaly; $\geq$ 50% increase in absolute lymphocyte count to $\geq$ 5 x 109/L; transforints prior to HCT | etreatment; ≥ 50% reduction<br>aseline, platelets > 100 x<br>nodes; ≥ 50% increase in |
| First Name:  |   |  |   |
| ast Name   |   |  |   |

E-mail address: