

# Quantum Mechanics: Fall 2018

## Final Exam: Brief Solutions

**NOTE:** Sentences in *italic fonts* are questions to be answered.

**Possibly useful facts:**

- 1D harmonic oscillator:  $\hat{H} = \frac{\hat{p}^2}{2m} + \frac{m\omega^2}{2}\hat{x}^2 = -\frac{\hbar^2}{2m}\frac{\partial^2}{\partial x^2} + \frac{m\omega^2}{2}x^2$ .  
 $[\hat{x}, \hat{p}] = i\hbar$ , and in position representation  $\hat{p} = -i\hbar\frac{\partial}{\partial x}$ . Define  $\hat{a}_{\mp} = \sqrt{\frac{m\omega}{2\hbar}}(\hat{x} \pm i\frac{1}{m\omega}\hat{p}) = \sqrt{\frac{m\omega}{2\hbar}}(x \pm \frac{\hbar}{m\omega}\frac{\partial}{\partial x})$ . Then  $[\hat{a}_-, \hat{a}_+] = 1$  and  $\hat{H} = \hbar\omega(\hat{a}_+\hat{a}_- + \frac{1}{2})$ . It has a unique ground state  $|\psi_0\rangle$  with  $\hat{a}_-|\psi_0\rangle = 0$ , and excited states  $|\psi_n\rangle \equiv \frac{1}{\sqrt{n!}}(\hat{a}_+)^n|\psi_0\rangle$  with energy  $E_n = (n + \frac{1}{2})\hbar\omega$ . The ground state wavefunction is  $\psi_0(x) = (\frac{m\omega}{\pi\hbar})^{1/4} \exp(-\frac{m\omega}{2\hbar}x^2)$ .
- $\int_{-\infty}^{\infty} x^{2n} e^{-x^2/(2a)} dx = (2n-1)!! \cdot a^n \cdot \sqrt{2\pi a}$ , for  $a > 0$  and non-negative integer  $n$ .
- Generic angular momentum:  $[\hat{J}_x, \hat{J}_y] = i\hbar\hat{J}_z$ ,  $[\hat{J}_y, \hat{J}_z] = i\hbar\hat{J}_x$ ,  $[\hat{J}_z, \hat{J}_x] = i\hbar\hat{J}_y$ .  
For eigenstate  $|j, m\rangle$  of  $\hat{\mathbf{J}}^2$  and  $\hat{J}_z$ ,  $\hat{\mathbf{J}}^2|j, m\rangle = j(j+1)\hbar^2|j, m\rangle$ ,  $\hat{J}_z|j, m\rangle = m\hbar|j, m\rangle$ ,  
and  $(\hat{J}_x \pm i\hat{J}_y)|j, m\rangle = \sqrt{(j \mp m)(j \pm m + 1)}\hbar|j, m \pm 1\rangle$ .  
Here  $2j$  is non-negative integer,  $m = -j, -j+1, \dots, j$ .  
  - Spin-1/2: basis states  $|\uparrow\rangle$  and  $|\downarrow\rangle$ , namely  $|S_z = +\frac{1}{2}\hbar\rangle$  and  $|S_z = -\frac{1}{2}\hbar\rangle$ .  
Under this basis,  $\hat{S}_a = \frac{\hbar}{2}\sigma_a$  where  $\sigma_{x,y,z}$  are Pauli matrices.
- (Degenerate) Time-independent perturbation theory:  $\hat{H} = \hat{H}^{(0)} + \hat{H}^{(1)}$ . Denote the (degenerate) orthonormal eigenstates of  $\hat{H}^{(0)}$  by  $|\psi_{n\alpha}^{(0)}\rangle$ ,  $\hat{H}^{(0)}|\psi_{n\alpha}^{(0)}\rangle = E_n^{(0)}|\psi_{n\alpha}^{(0)}\rangle$ .  
Suppose  $\hat{H}|\psi_n\rangle = E_n|\psi_n\rangle$ , with  $E_n$  close to  $E_n^{(0)}$ , then  $(E_n - E_n^{(0)})$  is the eigenvalue of “secular equation”,  $\langle\psi_{n\beta}^{(0)}|\hat{H}^{(1)}|\psi_{n\alpha}^{(0)}\rangle + \sum_{m, m \neq n} \frac{1}{E_n^{(0)} - E_m^{(0)}} \langle\psi_{n\beta}^{(0)}|\hat{H}^{(1)}|\psi_m^{(0)}\rangle \langle\psi_m^{(0)}|\hat{H}^{(1)}|\psi_{n\alpha}^{(0)}\rangle$  up to second order. Here  $\beta$  &  $\alpha$  are row/column index, the sum is over all eigenstates of  $\hat{H}^{(0)}$  with energy different from  $E_n^{(0)}$ . In non-degenerate case, this is a  $1 \times 1$  matrix.
- Some Taylor expansions:  $\sqrt{1+x} = 1 + \frac{x}{2} - \frac{x^2}{8} + \dots$ ;  $\frac{1}{\sqrt{1+x}} = 1 - \frac{x}{2} + \frac{3x^2}{8} + \dots$ ;  
 $\frac{x}{\sin(x)} = 1 + \frac{x^2}{6} + \frac{7x^4}{360} + \dots$ ;  $\frac{1}{\cos(x)} = 1 + \frac{x^2}{2} + \frac{5x^4}{24} + \dots$ .
- Series inversion: from series  $x = z + a_1z^2 + a_2z^3 + a_3z^4 + O(z^5)$  for  $|z| \ll 1$ ,  
solve  $z$ , then  $z = x + (-a_1)x^2 + (2a_1^2 - a_2)x^3 + (-5a_1^3 + 5a_1a_2 - a_3)x^4 + O(x^5)$ .
- Change of variables: if  $x'_i = \sum_j A_{ij}x_j$ , where  $A$  is a non-singular constant matrix.  
Then  $\frac{\partial}{\partial x'_i} = \sum_j (A^{-1})_{ji} \frac{\partial}{\partial x_j}$ , where  $A^{-1}$  is the inverse matrix of  $A$ .

**Problem 1.** (20 points) Consider a non-relativistic particle moving on a ring of radius  $R$ . Label the points on the ring by polar angle  $\theta$ , the wavefunction as a function of  $\theta$  must be periodic,  $\psi(\theta + 2\pi) = \psi(\theta)$ , with normalization  $\int_{-\pi}^{\pi} |\psi(\theta)|^2 d\theta = 1$ . The free particle Hamiltonian is  $\hat{H}^{(0)} = \frac{\hat{p}^2}{2m} = -\frac{\hbar^2}{2mR^2} (\frac{\partial}{\partial \theta})^2$ . Add a  $\delta$ -function perturbation  $\hat{H}^{(1)} = \alpha \cdot \delta(\theta)$ , where  $\alpha$  is a “small” parameter,  $\alpha > 0$ . The full Hamiltonian is  $\hat{H} = \hat{H}^{(0)} + \hat{H}^{(1)}$ .

- (a) (5pts) Write down the eigenvalues  $E_n^{(0)}$  and normalized eigenstates  $\psi_n^{(0)}(\theta)$  of  $\hat{H}^{(0)}$ .
- (b) (5pts) Compute the ground state energy of  $\hat{H}$  up to 2nd order of perturbation. [Note: leave the result as an infinite series, or use  $\sum_{n=1}^{\infty} \frac{1}{x^2 - n^2} = \frac{\pi x \cos(\pi x) - \sin(\pi x)}{2x^2 \sin(\pi x)}$  (not required).]
- (c) (5pts) Draw qualitatively the ground state wavefunction of  $\hat{H}$  for  $-2\pi \leq \theta \leq 2\pi$ , and describe its properties. [Hint: be careful about the “boundary conditions”]
- (d) (5pts\*) Denote the exact ground state energy of  $\hat{H}$  by  $\frac{\hbar^2 k^2}{2mR^2}$ . Derive the equation for  $k$ . Solve this equation approximately to get the ground state energy of  $\hat{H}$  to 2nd order of  $\alpha$ . [Hint: assume  $k$  deviates from unperturbed case by a small number  $\delta k$ , expand the appropriate form of this equation with respect to  $\delta k$ , solve  $\delta k$  to appropriate order of  $\alpha$ ; some facts on page 1 will be useful]

### Solution

The perturbation should be understood as periodic “comb function”,  $\sum_{n \in \mathbb{Z}} \alpha \cdot \delta(\theta - 2\pi n)$ . if we do not restrict ourselves in  $-\pi \leq \theta \leq \pi$ .

(a)  $\hat{H}^{(0)} = \frac{\hat{p}^2}{2m}$  commutes with  $\hat{p} = -i\frac{\hbar}{R}\partial_\theta$ . Eigenstates of  $\hat{p}$  are eigenstates of  $\hat{H}^{(0)}$ .

Periodic eigenfunctions of  $-i\partial_\theta$  are  $e^{in\theta}$  with integer  $n$ .

So the eigenstates and eigenvalues of  $\hat{H}^{(0)}$  can be chosen as

$$\psi_n^{(0)}(\theta) = \frac{1}{\sqrt{2\pi}} e^{in\theta}, \text{ with } E_n^{(0)} = \frac{\hbar^2 n^2}{2mR^2}, \text{ for integer } n.$$

Note:  $n$  and  $-n$  complex basis states are degenerate (for  $n \neq 0$ ).

We can also choose real basis,

$$\psi_0^{(0)} = \frac{1}{\sqrt{2\pi}} \text{ with } E_0^{(0)} = 0, \text{ and}$$

$$\psi_{nc}^{(0)}(\theta) = \frac{1}{\sqrt{\pi}} \cos(n\theta), \text{ and } \psi_{ns}^{(0)}(\theta) = \frac{1}{\sqrt{\pi}} \sin(n\theta), \text{ with } E_n^{(0)} = \frac{\hbar^2 n^2}{2mR^2}, \text{ for } n = 1, 2, \dots$$

(b) The original ground state has  $n = 0$ , unperturbed ground state energy is  $E_0^{(0)} = 0$ .

First order correction to ground state energy is

$$E_0^{(1)} = \langle \psi_0^{(0)} | \hat{H}^{(1)} | \psi_0^{(0)} \rangle = \int_{-\pi}^{\pi} \left(\frac{1}{\sqrt{2\pi}}\right)^* \cdot \alpha \cdot \delta(\theta) \cdot \frac{1}{\sqrt{2\pi}} d\theta = \frac{\alpha}{2\pi}.$$

Second order correction to ground state energy is  $E_0^{(2)} = \sum_{n,n \neq 0} \frac{|\langle \psi_0^{(0)} | \hat{H}^{(1)} | \psi_n^{(0)} \rangle|^2}{E_0^{(0)} - E_n^{(0)}}$ , the matrix element is  $\langle \psi_0^{(0)} | \hat{H}^{(1)} | \psi_n^{(0)} \rangle = \int_{-\pi}^{\pi} \left(\frac{1}{\sqrt{2\pi}}\right)^* \cdot \alpha \cdot \delta(\theta) \cdot \frac{e^{in\theta}}{\sqrt{2\pi}} d\theta = \frac{\alpha}{2\pi}$ , so

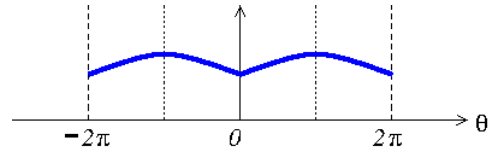
$$E_0^{(2)} = -2 \left| \frac{\alpha}{2\pi} \right|^2 \frac{2mR^2}{\hbar^2} \sum_{n=1}^{\infty} \frac{1}{n^2} = -\frac{\alpha^2 m R^2}{\pi^2 \hbar^2} \sum_{n=1}^{\infty} \frac{1}{n^2} = -\frac{\alpha^2 m R^2}{6\hbar^2}.$$

Here we have used  $\sum_{n=1}^{\infty} \frac{1}{n^2} = \frac{\pi^2}{6}$ , which can be obtained by taking  $x \rightarrow 0$  limit in the given formula  $\sum_{n=1}^{\infty} \frac{1}{x^2 - n^2} = \frac{\pi x \cos(\pi x) - \sin(\pi x)}{2x^2 \sin(\pi x)}$ .

Finally,  $E_0 \approx 0 + \frac{\alpha}{2\pi} - \frac{\alpha^2 m R^2}{6\hbar^2}$ .

(c) The exact ground state wavefunction can be chosen real, and

- has no nodes (2pts);
- is continuous, but has discontinuous derivative (a “cusp” toward  $\theta$ -axis) at  $\theta = 0 \pmod{2\pi}$  (2pts);
- symmetric under inversion around  $\theta = n\pi$  (1pts).



(d) As suggested by the picture in (c),  $\psi_0(\theta) = \begin{cases} A \cdot \cos[k(\theta + \pi)], & -\pi \leq \theta < 0; \\ A \cdot \cos[k(\theta - \pi)], & 0 < \theta \leq \pi. \end{cases}$  where

$A$  is the normalization constant. The energy is  $\frac{\hbar^2 k^2}{2mR^2}$ , of the same form as free particle.

The boundary condition at the  $\delta$ -function is  $-\frac{\hbar^2}{2mR^2} \partial_\theta \psi \Big|_{\theta=0-0}^{\theta=0+0} + \alpha \psi(0) = 0$ , this leads to  $\frac{\hbar^2}{mR^2} k \sin(k\pi) = \alpha \cos(k\pi)$ , or,  $(k\pi) \cdot \tan(k\pi) = \frac{\alpha \pi m R^2}{\hbar^2}$ .

Expand the left-hand-side of this equation into power series of  $k\pi$ , use  $\frac{1}{\cos(x)} \approx 1 + \frac{x^2}{2} + O(x^4)$  from page 1, we have  $(k\pi)^2 + \frac{(k\pi)^4}{3} + O((k\pi)^6) = \frac{\alpha \pi m R^2}{\hbar^2}$ , use the “series inversion” formula on page 1,  $(k\pi)^2 \approx \left(\frac{\alpha \pi m R^2}{\hbar^2}\right) - \frac{1}{3} \left(\frac{\alpha \pi m R^2}{\hbar^2}\right)^2 + O(\alpha^3)$ .

Finally the approximate ground state energy is  $\frac{\hbar^2 k^2}{2mR^2} = \frac{\hbar^2}{2mR^2 \pi^2} (k\pi)^2 \approx \frac{\hbar^2}{2mR^2 \pi^2} \cdot \left[ \left(\frac{\alpha \pi m R^2}{\hbar^2}\right) - \frac{1}{3} \left(\frac{\alpha \pi m R^2}{\hbar^2}\right)^2 + O(\alpha^3) \right] = \frac{\alpha}{2\pi} - \frac{\alpha^2 m R^2}{6\hbar^2} + O(\alpha^3)$ .

This matches the perturbation theory result in (b).

**Problem 2.** (30 points) Consider two identical particles in the ring defined in Problem 1.

The free particle Hamiltonian is  $\hat{H}^{(0)} = \frac{\hat{p}_1^2}{2m} + \frac{\hat{p}_2^2}{2m} = -\frac{\hbar^2}{2mR^2} \left[ \left(\frac{\partial}{\partial \theta_1}\right)^2 + \left(\frac{\partial}{\partial \theta_2}\right)^2 \right]$ . Subscripts  $_1$  and  $_2$  label the two particles. If they are distinguishable, the orthonormal eigenstates of  $\hat{H}_0$  can be chosen as  $\psi_{n_1, n_2}^{(0)}(\theta_1; \theta_2) = \psi_{n_1}^{(0)}(\theta_1) \cdot \psi_{n_2}^{(0)}(\theta_2)$ , with energy eigenvalue  $E_{n_1, n_2}^{(0)} = E_{n_1}^{(0)} + E_{n_2}^{(0)}$ . Here  $E_n^{(0)}$  and  $\psi_n^{(0)}(\theta)$  are defined in Problem 1(a). For identical particles,  $\psi(\theta_1; \theta_2)$  must

satisfy certain permutation symmetry. The normalization is  $\int_{-\pi}^{\pi} \int_{-\pi}^{\pi} |\psi(\theta_1; \theta_2)|^2 d\theta_1 d\theta_2 = 1$ .

(a) (10pts) Write down the energies and ORTHONORMAL eigenstate wavefunctions for two BOSONS for the lowest THREE energy levels of  $\hat{H}^{(0)}$ . [Note: may have degeneracy]

(b) (10pts) Write down the energies and ORTHONORMAL eigenstate wavefunctions for two FERMIONS for the lowest THREE energy levels of  $\hat{H}^{(0)}$ . [Note: may have degeneracy]

(c) (5pts) In the ground state(s) of two FERMIONS in (b), compute the probability that the two particles are in the same quadrant, namely the probability of  $\cos(\theta_1 - \theta_2) > 0$ .

(d) (5pts) Add perturbation  $\hat{H}^{(1)} = \alpha \cdot [\delta(\theta_1) + \delta(\theta_2)]$ , where  $\alpha$  is a “small” real parameter. Compute the ground state(s) energy of  $\hat{H} = \hat{H}^{(0)} + \hat{H}^{(1)}$  for two FERMIONS under 1st order perturbation. [Hint: you might need degenerate perturbation theory]

### Solution

(a) For two bosons, wavefunctions  $\psi^{(B)}(\theta_1; \theta_2)$  are symmetric,  $\psi^{(B)}(\theta_1; \theta_2) = \psi^{(B)}(\theta_2; \theta_1)$ .

The orthonormal basis can be chosen as

$$\psi_{i,i}^{(B,0)}(\theta_1; \theta_2) = \psi_i^{(0)}(\theta_1)\psi_i^{(0)}(\theta_2) \text{ with } E_{i,i}^{(0)} = E_i^{(0)} + E_i^{(0)}; \text{ and}$$

$$\psi_{i,j}^{(B,0)}(\theta_1; \theta_2) = \frac{1}{\sqrt{2}}[\psi_i^{(0)}(\theta_1)\psi_j^{(0)}(\theta_2) + \psi_j^{(0)}(\theta_1)\psi_i^{(0)}(\theta_2)] \text{ with } E_{i,j}^{(0)} = E_i^{(0)} + E_j^{(0)}, \text{ for } i \neq j.$$

We can use either the complex basis or the real basis for single particle states. The results are summarized in the following table.

energy	complex basis	real basis
0	$\psi_{0,0}^{(B,0)} = \frac{1}{2\pi}$	$\psi_{0,0}^{(B,0)} = \frac{1}{2\pi}$
$\frac{\hbar^2}{2mR^2}$	$\psi_{0,1}^{(B,0)} = \frac{1}{2\pi} \frac{1}{\sqrt{2}}(e^{i\theta_1} + e^{i\theta_2}),$ $\psi_{0,-1}^{(B,0)} = \frac{1}{2\pi} \frac{1}{\sqrt{2}}(e^{-i\theta_1} + e^{-i\theta_2}).$	$\psi_{0,1c}^{(B,0)} = \frac{1}{\sqrt{2\pi}} \frac{1}{\sqrt{2}}(\cos \theta_1 + \cos \theta_2),$ $\psi_{0,1s}^{(B,0)} = \frac{1}{\sqrt{2\pi}} \frac{1}{\sqrt{2}}(\sin \theta_1 + \sin \theta_2).$
$\frac{\hbar^2}{2mR^2} \cdot 2$	$\psi_{1,1}^{(B,0)} = \frac{1}{2\pi} e^{i(\theta_1+\theta_2)},$ $\psi_{1,-1}^{(B,0)} = \frac{1}{2\pi} \frac{1}{\sqrt{2}}(e^{i(\theta_1-\theta_2)} + e^{i(\theta_2-\theta_1)}),$ $\psi_{-1,-1}^{(B,0)} = \frac{1}{2\pi} e^{-i(\theta_1+\theta_2)}.$	$\psi_{1c,1c}^{(B,0)} = \frac{1}{\pi} \cos \theta_1 \cos \theta_2,$ $\psi_{1c,1s}^{(B,0)} = \frac{1}{\pi} \frac{1}{\sqrt{2}}(\cos \theta_1 \sin \theta_2 + \sin \theta_1 \cos \theta_2),$ $\psi_{1s,1s}^{(B,0)} = \frac{1}{\pi} \sin \theta_1 \sin \theta_2,$

(b) For two fermions, wavefunctions  $\psi^{(F)}(\theta_1; \theta_2) = \psi^{(F)}(\theta_2; \theta_1)$  are anti-symmetric.

The orthonormal basis can be chosen as

$\psi_{i,j}^{(F,0)}(\theta_1; \theta_2) = \frac{1}{\sqrt{2}}[\psi_i^{(0)}(\theta_1)\psi_j^{(0)}(\theta_2) - \psi_i^{(0)}(\theta_1)\psi_j^{(0)}(\theta_2)]$  with  $E_{i,j}^{(0)} = E_i^{(0)} + E_j^{(0)}$ , for  $i \neq j$ .

The results are summarized in the following table.

energy	complex basis	real basis
$\frac{\hbar^2}{2mR^2}$	$\psi_{0,1}^{(F,0)} = \frac{1}{2\pi} \frac{1}{\sqrt{2}}(e^{i\theta_1} - e^{i\theta_2}),$ $\psi_{0,-1}^{(F,0)} = \frac{1}{2\pi} \frac{1}{\sqrt{2}}(e^{-i\theta_1} - e^{-i\theta_2}).$	$\psi_{0,1c}^{(F,0)} = \frac{1}{\sqrt{2}\pi} \frac{1}{\sqrt{2}}(\cos \theta_1 - \cos \theta_2),$ $\psi_{0,1s}^{(F,0)} = \frac{1}{\sqrt{2}\pi} \frac{1}{\sqrt{2}}(\sin \theta_1 - \sin \theta_2).$
$\frac{\hbar^2}{2mR^2} \cdot 2$	$\psi_{1,-1}^{(F,0)} = \frac{1}{2\pi} \frac{1}{\sqrt{2}}(e^{i(\theta_1-\theta_2)} - e^{i(\theta_2-\theta_1)})$	$\psi_{1c,1s}^{(F,0)} = \frac{1}{\pi} \frac{1}{\sqrt{2}}(\cos \theta_1 \sin \theta_2 - \sin \theta_1 \cos \theta_2)$
$\frac{\hbar^2}{2mR^2} \cdot 4$	$\psi_{0,2}^{(F,0)} = \frac{1}{2\pi} \frac{1}{\sqrt{2}}(e^{2i\theta_1} - e^{2i\theta_2}),$ $\psi_{0,-2}^{(F,0)} = \frac{1}{2\pi} \frac{1}{\sqrt{2}}(e^{-2i\theta_1} - e^{-2i\theta_2}).$	$\psi_{0,2c}^{(F,0)} = \frac{1}{\sqrt{2}\pi} \frac{1}{\sqrt{2}}(\cos(2\theta_1) - \cos(2\theta_2)),$ $\psi_{0,2s}^{(F,0)} = \frac{1}{\sqrt{2}\pi} \frac{1}{\sqrt{2}}(\sin(2\theta_1) - \sin(2\theta_2)).$

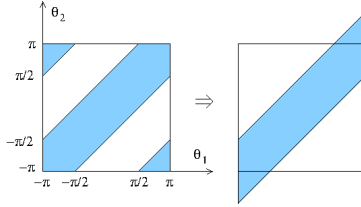
(c) The probability is  $\int_{-\pi}^{\pi} d\theta_1 \int_{\theta_1-\pi/2}^{\theta_1+\pi/2} d\theta_2 |\psi^{(F)}(\theta_1; \theta_2)|^2$ .

For two fermions, the two ground state wavefunctions  $\psi_{0,1}^{(F,0)}$  and  $\psi_{0,-1}^{(F,0)}$  are complex conjugate to each other, so produce the same result,  $\int_{-\pi}^{\pi} d\theta_1 \int_{\theta_1-\pi/2}^{\theta_1+\pi/2} d\theta_2 \frac{1}{8\pi^2} [2 - 2\cos(\theta_2 - \theta_1)]$   
 $= 2\pi \cdot \frac{1}{8\pi^2} (2\pi - 4) = \frac{1}{2} - \frac{1}{\pi}.$

Note that the wavefunction is periodic with respect

to both  $\theta_1$  and  $\theta_2$ , so we can use the integration region

$\theta_1 - \frac{\pi}{2} < \theta_2 < \theta_1 + \frac{\pi}{2}$  (blue region in the figure).



The real basis  $\psi_{0,1c}^{(F,0)}$  and  $\psi_{0,1s}^{(F,0)}$  also produce the same result. In fact any linear combinations between them will produce the same result, because the two-fermion ground state wavefunction is always of the form,  $\sin(\frac{\theta_1-\theta_2}{2}) \cdot \psi(\frac{\theta_1+\theta_2}{2})$ .

This probability is much smaller than distinguishable (un-entangled) particle case, with wavefunction  $\psi_{n_1}(\theta_1)\psi_{n_2}(\theta_2)$ , which will trivially give probability  $\frac{1}{2}$  for  $\theta_1 - \frac{\pi}{2} < \theta_2 < \theta_1 + \frac{\pi}{2}$ .

(d) Use the  $\psi_{0,1}^{(F,0)}$  and  $\psi_{0,-1}^{(F,0)}$  ground state basis in (b), denote them by  $|\phi_{0,1}^{(F,0)}\rangle$  and  $|\phi_{0,2}^{(F,0)}\rangle$ , the 1st order secular equation matrix is  $(i, j = 1, 2)$ ,  $\langle \phi_{0,j}^{(F,0)} | \hat{H}^{(1)} | \phi_{0,i}^{(F,0)} \rangle = \begin{pmatrix} \frac{\alpha}{\pi} & \frac{\alpha}{2\pi} \\ \frac{\alpha}{2\pi} & \frac{\alpha}{\pi} \end{pmatrix}.$

$$\begin{aligned} \text{Here } \langle \psi_{0,1}^{(F,0)} | \hat{H}^{(1)} | \psi_{0,1}^{(F,0)} \rangle &= \langle \psi_{0,-1}^{(F,0)} | \hat{H}^{(1)} | \psi_{0,-1}^{(F,0)} \rangle \\ &= \int_{-\pi}^{\pi} d\theta_1 \int_{-\pi}^{\pi} d\theta_2 \frac{1}{8\pi^2} [2 - 2\cos(\theta_2 - \theta_1)] \cdot \alpha \cdot [\delta(\theta_1) + \delta(\theta_2)] \\ &= 2 \cdot \int_{-\pi}^{\pi} d\theta \frac{1}{8\pi^2} (2 - 2\cos \theta) \cdot \alpha = \frac{\alpha}{\pi}. \end{aligned}$$

$$\begin{aligned} \text{And } \langle \psi_{0,1}^{(F,0)} | \hat{H}^{(1)} | \psi_{0,-1}^{(F,0)} \rangle^* &= \langle \psi_{0,-1}^{(F,0)} | \hat{H}^{(1)} | \psi_{0,1}^{(F,0)} \rangle = \int_{-\pi}^{\pi} d\theta_1 \int_{-\pi}^{\pi} d\theta_2 \frac{1}{8\pi^2} (e^{i\theta_1} - e^{i\theta_2})^2 \cdot \alpha \cdot [\delta(\theta_1) + \delta(\theta_2)] \\ &= 2 \cdot \int_{-\pi}^{\pi} d\theta \frac{1}{8\pi^2} (e^{i\theta} - 1)^2 \cdot \alpha = \frac{\alpha}{2\pi}. \end{aligned}$$

So the 1st order correction to ground state energy is  $\frac{\alpha}{\pi} \pm \frac{\alpha}{2\pi} = \frac{\alpha}{2\pi}$  and  $\frac{3\alpha}{2\pi}.$

In fact the  $\psi_{0,1s}^{(F,0)}$  and  $\psi_{0,1c}^{(F,0)}$  states are just the eigenstates of this 1st order secular equation.

---

**Problem 3.** (15 points) Consider a 1D harmonic oscillator  $\hat{H}_0 = \frac{\hat{p}^2}{2m} + \frac{m\omega^2}{2}\hat{x}^2$ , with a time-dependent perturbation,  $\hat{V}(t) = -f \cdot [\cos(\Omega t) \cdot \hat{x} - \sin(\Omega t) \cdot \frac{\hat{p}}{m\omega}]$ . Here  $m, \omega, f, \Omega$  are positive constants,  $f$  is a “small” parameter. The full Hamiltonian is  $\hat{H} = \hat{H}_0 + \hat{V}(t)$ .

(a) (5pts) Suppose  $\psi(x, t) = \sum_n c_n(t) \cdot e^{-iE_n t/\hbar} \cdot \psi_n(x)$  satisfy the Schrödinger equation  $i\hbar \frac{\partial}{\partial t} \psi = \hat{H} \psi$ . Here  $E_n = \hbar\omega(n + \frac{1}{2})$  and  $\psi_n(x)$  are eigenvalues and normalized eigenfunctions of  $\hat{H}_0$  (see page 1). *Derive the differential equations for the coefficients  $c_n(t)$  in terms of given quantities.* [Hint: use the ladder operators to compute the matrix elements.]

(b) (5pts) Suppose the initial state is  $\psi(x, t = 0) = \psi_0(x)$ . *Compute the transition probability  $P_{0 \rightarrow 1}(t) \equiv |c_1(t)|^2$  for the lowest non-trivial order of  $f$ .*

(c) (5pts) With the same initial conditions of (b), *compute  $P_{0 \rightarrow 0}(t) \equiv |c_0(t)|^2$  to second order of  $f$ .* [Hint: result of (b) will be useful, keep terms up to appropriate order in  $c_0(t)$ ]

### Solution

This is similar to Problem 2 of Final Exam of 2017. Use  $\hat{x} = \sqrt{\frac{\hbar}{2m\omega}}(\hat{a}_- + \hat{a}_+)$ ,  $\hat{p} = -im\omega\sqrt{\frac{\hbar}{2m\omega}}(\hat{a}_- - \hat{a}_+)$ , then  $\hat{V}(t) = -f\sqrt{\frac{\hbar}{2m\omega}}(e^{i\Omega t}\hat{a}_- + e^{-i\Omega t}\hat{a}_+)$ .

(a) This equation has been given in the Summary.

$$\frac{d}{dt}c_n(t) = -\frac{i}{\hbar} \sum_m \langle \psi_n | \hat{V}(t) | \psi_m \rangle e^{i(E_n - E_m)t/\hbar} c_m(t)$$

For the perturbation considered here, the matrix element is

$$\langle \psi_n | \hat{V}(t) | \psi_m \rangle = -f\sqrt{\frac{\hbar}{2m\omega}}(e^{i\Omega t}\delta_{n+1,m}\sqrt{m} + e^{-i\Omega t}\delta_{n,m+1}\sqrt{n}).$$

$$\frac{d}{dt}c_n(t) = \frac{if}{\sqrt{2m\omega\hbar}}[e^{-i(\omega-\Omega)t}\sqrt{n+1}c_{n+1}(t) + e^{i(\omega-\Omega)t}\sqrt{n}c_{n-1}(t)].$$

For  $n = 0$  the right-hand-side has only one term,  $\frac{d}{dt}c_0(t) = \frac{if}{\sqrt{2m\omega\hbar}}e^{-i(\omega-\Omega)t}c_1(t)$ .

(b) The initial condition is  $c_0(t = 0) = 1$  and  $c_{n>0}(t = 0) = 0$ .

$$\text{Use } \frac{d}{dt}c_1(t) = \frac{if}{\sqrt{2m\omega\hbar}} \cdot (e^{-i(\omega-\Omega)t}\sqrt{2}c_2(t) + e^{i(\omega-\Omega)t}c_0(t)),$$

approximate  $c_2(t) \sim 0$  and  $c_0(t) \sim 1$  on the right-hand-side.

$$c_1(t) \approx \int_0^t dt \frac{if}{\sqrt{2m\omega\hbar}} e^{i(\omega-\Omega)t} = \frac{f}{(\omega-\Omega)\sqrt{2m\omega\hbar}}(e^{i(\omega-\Omega)t} - 1),$$

$$P_{0 \rightarrow 1}(t) = |c_1(t)|^2 \approx \frac{f^2}{2m\omega\hbar} \frac{4\sin^2(\frac{(\omega-\Omega)t}{2})}{(\omega-\Omega)^2}.$$

(c) Method #1:  $c_0(t)$  contains  $O(1)$  term, so we need to keep up to  $O(f^2)$  term in  $c_0(t)$ , in order to get accurate  $O(f^2)$  terms in  $|c_0(t)|^2$ .

Use  $\frac{d}{dt}c_n(t) = \frac{if}{\sqrt{2m\omega\hbar}}e^{-i(\omega-\Omega)t}c_1(t)$ . Plug in the solution of  $c_1(t)$  from (b).

$$c_0(t) - c_0(0) \approx \int_0^t dt \frac{if}{\sqrt{2m\omega\hbar}}e^{-i(\omega-\Omega)t} \cdot \frac{f}{(\omega-\Omega)\sqrt{2m\omega\hbar}}(e^{i(\omega-\Omega)t} - 1) = \frac{f^2}{2m\omega\hbar}\left(\frac{it}{\omega-\Omega} - \frac{e^{-i(\omega-\Omega)t}-1}{(\omega-\Omega)^2}\right).$$

$$\text{Then } c_0(t) \approx 1 + \frac{f^2}{2m\omega\hbar}\left(\frac{it}{\omega-\Omega} - \frac{e^{-i(\omega-\Omega)t}-1}{(\omega-\Omega)^2}\right).$$

Note that the imaginary part of  $c_0(t)$  has terms of  $O(f^2)$  or higher, so to get  $|c_0(t)|^2$  accurate to  $O(f^2)$ , we only need to compute the square of real part of  $c_0(t)$  above.

$$\begin{aligned} P_{0 \rightarrow 0}(t) &= |c_0(t)|^2 \approx \left(1 + \frac{f^2}{2m\omega\hbar} \frac{\cos((\omega-\Omega)t)-1}{(\omega-\Omega)^2}\right)^2 \approx 1 + 2 \cdot \frac{f^2}{2m\omega\hbar} \frac{\cos((\omega-\Omega)t)-1}{(\omega-\Omega)^2} \\ &= 1 - \frac{f^2}{2m\omega\hbar} \frac{4\sin^2(\frac{(\omega-\Omega)t}{2})}{(\omega-\Omega)^2}. \end{aligned}$$

Method #2: use  $\sum_{n=0}^{\infty} P_{0 \rightarrow n}(t) = 1$ , then  $P_{0 \rightarrow 0}(t) = 1 - P_{0 \rightarrow 1}(t) - P_{0 \rightarrow 2}(t) - \dots$

By mathematical induction, it is easy to see that under the initial condition in (b),  $c_n(t)$  is of  $O(f^n)$  or higher order, therefore  $P_{0 \rightarrow n}(t)$  is of  $O(f^{2n})$  order.

$$\text{So up to } O(f^2) \text{ order, } P_{0 \rightarrow 0}(t) \approx 1 - P_{0 \rightarrow 1}(t) = 1 - \frac{f^2}{2m\omega\hbar} \frac{4\sin^2(\frac{(\omega-\Omega)t}{2})}{(\omega-\Omega)^2}.$$

**Problem 4** (15 points) Consider a 1D anharmonic oscillator  $\hat{H} = \frac{\hat{p}^2}{2m} + \frac{m\omega^2}{2}\hat{x}^2 + \frac{U}{4}\hat{x}^4$ , here  $m, \omega, U$  are positive constants.  $U$  is a “small” parameter.

(a) (5pts) Consider  $\hat{H}_{\Omega}^{(0)} = \frac{\hat{p}^2}{2m} + \frac{m\Omega^2}{2}\hat{x}^2$ , with normalized ground state  $\psi_{0,\Omega}(x)$ , parametrized by a positive “variational” parameter  $\Omega$ . Compute  $E(\Omega) \equiv \langle \psi_{0,\Omega} | \hat{H} | \psi_{0,\Omega} \rangle$ . [Hint:  $\hat{H} = \hat{H}_{\Omega}^{(0)} + \frac{m(\omega^2 - \Omega^2)}{2}\hat{x}^2 + \frac{U}{4}\hat{x}^4$ , use Gaussian integrals or ladder operators.]

(b) (5pts\*) You may not be able to solve  $\frac{\partial}{\partial \Omega} E(\Omega) = 0$  exactly. Solve  $\Omega$  approximately up to 2nd order of  $U$ , and therefore obtain the minimal  $E(\Omega)$  to 2nd order of  $U$ . [Hint: assume  $\Omega = \omega \cdot (1 + z)$  with small  $z$ , some facts on page 1 will be useful]

(c) (5pts\*) Treat the  $\frac{U}{4}\hat{x}^4$  term by perturbation theory. Compute the ground state energy of  $\hat{H}$  to 2nd order of  $U$ . [Hint: use ladder operators, this may not match the result in (b)]

### Solution

(a) Use  $\hat{H} = \hat{H}_{\Omega}^{(0)} + \frac{m(\omega^2 - \Omega^2)}{2}\hat{x}^2 + \frac{U}{4}\hat{x}^4$ , and  $\hat{H}_{\Omega}^{(0)}|\psi_{0,\Omega}\rangle = \frac{\hbar\Omega}{2}|\psi_{0,\Omega}\rangle$ .

$$E(\Omega) = \langle \psi_{0,\Omega} | \hat{H} | \psi_{0,\Omega} \rangle = \frac{1}{2} \hbar \Omega + \frac{m(\omega^2 - \Omega^2)}{2} \cdot \langle \psi_{0,\Omega} | \hat{x}^2 | \psi_{0,\Omega} \rangle + \frac{U}{4} \cdot \langle \psi_{0,\Omega} | \hat{x}^4 | \psi_{0,\Omega} \rangle$$

$$= \frac{1}{2} \hbar \Omega + \frac{m(\omega^2 - \Omega^2)}{2} \cdot \frac{\hbar}{2m\Omega} + \frac{U}{4} \cdot 3 \left( \frac{\hbar}{2m\Omega} \right)^2 = \frac{1}{4} \hbar \Omega + \frac{1}{4} \hbar \frac{\omega^2}{\Omega} + \frac{U}{4} \cdot 3 \left( \frac{\hbar}{2m\Omega} \right)^2.$$

Here  $\langle \psi_{0,\Omega} | \hat{x}^{2n} | \psi_{0,\Omega} \rangle = \int_{-\infty}^{\infty} x^{2n} \left( \frac{m\omega}{\hbar\pi} \right)^{-1/2} \exp[-x^2/(2 \cdot \frac{\hbar}{2m\omega})] dx$

$$= \left( \frac{m\omega}{\hbar\pi} \right)^{-1/2} \cdot (2n)!! \cdot \left( \frac{\hbar}{2m\omega} \right)^n \cdot \sqrt{2\pi \cdot \frac{\hbar}{2m\omega}} = (2n)!! \cdot \left( \frac{\hbar}{2m\omega} \right)^n.$$

(b)  $\frac{\partial}{\partial \Omega} E(\Omega) = 0$  is,  $\frac{\hbar}{4} - \frac{\hbar\omega^2}{4\Omega^2} - \frac{3U}{8} \frac{\hbar^2}{m^2\Omega^3} = 0$ .

Define  $\Omega = \omega \cdot (1 + z)$ , multiply both sides of this equation by  $\frac{2}{\hbar}(\Omega/\omega)^3$ , we have,

$$\frac{1}{2}[(1+z)^3 - (1+z)] = \frac{3U}{4} \frac{\hbar}{m^2\omega^3}, \text{ or, } z + \frac{3}{2}z^2 + \frac{1}{2}z^3 = \left( \frac{3U}{4} \frac{\hbar}{m^2\omega^3} \right).$$

The right-hand-side is a dimensionless small number. Use “series inversion” on page 1,

$$z \approx \left( \frac{3U}{4} \frac{\hbar}{m^2\omega^3} \right) - \frac{3}{2} \left( \frac{3U}{4} \frac{\hbar}{m^2\omega^3} \right)^2 + O(U^3).$$

Plug this back into the formula of  $E(\Omega)$ , the minimal variational energy is

$$\min[E(\Omega)] = \frac{\hbar\omega}{4} \left( (1+z) + \frac{1}{1+z} \right) + \frac{3U}{16} \frac{\hbar^2}{m^2\omega^2} \frac{1}{(1+z)^2} \approx \frac{\hbar\omega}{4} (2 + z^2) + \frac{3U}{16} \frac{\hbar^2}{m^2\omega^2} (1 - 2z) + O(U^3)$$

$$\approx \hbar\omega \cdot \left[ \frac{1}{2} + \frac{1}{4} \cdot \left( \frac{3U}{4} \frac{\hbar}{m^2\omega^3} \right) - \frac{1}{4} \cdot \left( \frac{3U}{4} \frac{\hbar}{m^2\omega^3} \right)^2 + O(U^3) \right].$$

(c) Denote the orthonormal eigenstates of “unperturbed” Hamiltonian  $\hat{H}^{(0)} \equiv \frac{\hat{p}^2}{2m} + \frac{m\omega^2}{2} \hat{x}^2$  by  $|\psi_n\rangle$ , with energy  $E_n^{(0)} = \hbar\omega \cdot (n + \frac{1}{2})$  (see page 1).

The original ground state is  $|\psi_0\rangle$ .

The perturbation is  $\hat{H}^{(1)} \equiv \frac{U}{4} \hat{x}^4 = \frac{U}{4} \left( \frac{\hbar}{2m\omega} \right)^2 (\hat{a}_- + \hat{a}_+)^4$ .

Then  $\hat{H}^{(1)} |\psi_0\rangle = \frac{U}{4} \left( \frac{\hbar}{2m\omega} \right)^2 (\hat{a}_- + \hat{a}_+)^3 |\psi_1\rangle = \frac{U}{4} \left( \frac{\hbar}{2m\omega} \right)^2 (\hat{a}_- + \hat{a}_+)^2 (|\psi_0\rangle + \sqrt{2} |\psi_2\rangle)$

$$= \frac{U}{4} \left( \frac{\hbar}{2m\omega} \right)^2 (\hat{a}_- + \hat{a}_+) (3 |\psi_1\rangle + \sqrt{6} |\psi_3\rangle) = \frac{U}{4} \left( \frac{\hbar}{2m\omega} \right)^2 (3 |\psi_0\rangle + 6\sqrt{2} |\psi_2\rangle + \sqrt{24} |\psi_4\rangle).$$

Therefore the first order correction is  $\langle \psi_0 | \hat{H}^{(1)} | \psi_0 \rangle = \frac{U}{4} \left( \frac{\hbar}{2m\omega} \right)^2 \cdot 3 = \frac{\hbar\omega}{4} \cdot \left( \frac{3U}{4} \frac{\hbar}{m^2\omega^3} \right)$ .

The second order correction is  $\frac{|\langle \psi_2 | \hat{H}^{(1)} | \psi_0 \rangle|^2}{E_0^{(0)} - E_2^{(0)}} + \frac{|\langle \psi_4 | \hat{H}^{(1)} | \psi_0 \rangle|^2}{E_0^{(0)} - E_4^{(0)}} = \left( \frac{U}{4} \left( \frac{\hbar}{2m\omega} \right)^2 \right)^2 \cdot \left[ \frac{(6\sqrt{2})^2}{-2\hbar\omega} + \frac{(\sqrt{24})^2}{-4\hbar\omega} \right]$

$$= -\hbar\omega \cdot \frac{7}{24} \cdot \left( \frac{3U}{4} \frac{\hbar}{m^2\omega^3} \right)^2$$

Finally, the perturbative expansion result for the ground state energy is,

$$E_0 \approx \hbar\omega \cdot \left[ \frac{1}{2} + \frac{1}{4} \cdot \left( \frac{3U}{4} \frac{\hbar}{m^2\omega^3} \right) - \frac{7}{24} \cdot \left( \frac{3U}{4} \frac{\hbar}{m^2\omega^3} \right)^2 \right].$$

The first order term matches the variational result. But second order term does not match, and is slightly lower than the variational result as expected.

**Problem 5** (10 points) Consider one spin-1/2 moment  $\hat{\mathbf{S}}$  (see page 1), with the Hamiltonian  $\hat{H} = -B \cdot \hat{S}_x$ . Here  $B$  is a positive constant. Let the initial state be  $|\psi(t=0)\rangle = |\uparrow\rangle$ . Evolve this state under  $\hat{H}$  from  $t=0$  to time  $t$ .



(a) (5pts) Solve the state  $|\psi(t)\rangle$  in terms of  $|\uparrow\rangle, |\downarrow\rangle$ . Measure  $\hat{S}_z$  under  $|\psi(t)\rangle$ . What are the possible measurement results  $\lambda$  and their corresponding probabilities  $P_\lambda$ ?

(b) (5pts) (Quantum Zeno effect) During this time  $t$ , measure  $N$  times the observable  $\hat{S}_z$ , at time  $t_n = \frac{n}{N}t$ , for  $n = 1, 2, \dots, N$ . After each measurement, we have a probability distribution  $P_\lambda(n)$ . Derive a recursion relation between  $P_\lambda(n+1)$  and  $P_\lambda(n)$ , then solve  $P_\lambda(N)$  exactly. [Hint: it may be helpful to write the recursion relation in matrix-vector form. The  $N \rightarrow +\infty$  limit should produce the quantum Zeno effect.]

### Solution

(a)  $\hat{H}$  is  $-\frac{B\hbar}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  under the  $|\uparrow\rangle, |\downarrow\rangle$  basis.

It has eigenvalues  $E_{1,2} = \mp \frac{B\hbar}{2}$  with eigenstates  $|\psi_{1,2}\rangle = \frac{1}{\sqrt{2}}(|\uparrow\rangle \pm |\downarrow\rangle)$ .

$$|\psi(t=0)\rangle = |\uparrow\rangle = \frac{1}{\sqrt{2}}|\psi_1\rangle + \frac{1}{\sqrt{2}}|\psi_2\rangle, \text{ then } |\psi(t)\rangle = \frac{1}{\sqrt{2}}e^{-iE_1t/\hbar}|\psi_1\rangle + \frac{1}{\sqrt{2}}e^{-iE_2t/\hbar}|\psi_2\rangle \\ = \frac{1}{\sqrt{2}}e^{iBt/2}|\psi_1\rangle + \frac{1}{\sqrt{2}}e^{-iBt/2}|\psi_2\rangle = \cos(Bt/2)|\uparrow\rangle + i\sin(Bt/2)|\downarrow\rangle.$$

Measurement of  $\hat{S}_z$  will produce:

$\lambda = +\frac{\hbar}{2}$ , with probability  $P_{+\frac{\hbar}{2}} = \cos^2(Bt/2)$ , collapsed state  $|\uparrow\rangle$ ; or  
 $\lambda = -\frac{\hbar}{2}$ , with probability  $P_{-\frac{\hbar}{2}} = \sin^2(Bt/2)$ , collapsed state  $|\downarrow\rangle$ .

(b)

The evolution from probability distribution of  $n$ -th measurement to that of  $(n+1)$ -th measurement is described by the following table.

$n$ -th measurement	$\lambda = +\frac{\hbar}{2}$		$\lambda = -\frac{\hbar}{2}$	
probabilities	$P_{+\frac{\hbar}{2}}(n)$		$P_{-\frac{\hbar}{2}}(n)$	
state at $t_n + 0$	collapsed to $ \uparrow\rangle$		collapsed to $ \downarrow\rangle$	
state at $t_{n+1} - 0$	$\cos(\frac{Bt}{2N}) \uparrow\rangle + i\sin(\frac{Bt}{2N}) \downarrow\rangle$		$i\sin(\frac{Bt}{2N}) \uparrow\rangle + \cos(\frac{Bt}{2N}) \downarrow\rangle$	
$(n+1)$ -th measurement	$\lambda = +\frac{\hbar}{2}$ ,	$\lambda = -\frac{\hbar}{2}$	$\lambda = +\frac{\hbar}{2}$	$\lambda = -\frac{\hbar}{2}$
probabilities	$P_{+\frac{\hbar}{2}}(n) \cos^2(\frac{Bt}{2N})$	$P_{+\frac{\hbar}{2}}(n) \sin^2(\frac{Bt}{2N})$	$P_{-\frac{\hbar}{2}}(n) \sin^2(\frac{Bt}{2N})$	$P_{-\frac{\hbar}{2}}(n) \cos^2(\frac{Bt}{2N})$

To get the time evolution result from  $|\uparrow\rangle$  at  $t_n + 0$  to  $t_{n+1} - 0$ , over time duration  $\frac{t}{N}$ , we can use the  $|\psi(t)\rangle$  in (a) with  $t$  replaced by  $\frac{t}{N}$ .

The time evolution of  $|\downarrow\rangle$  over time duration  $\frac{t}{N}$  can be solved in similar way.

This produce the recursion relation

$$\begin{pmatrix} P_{+\frac{\hbar}{2}}(n+1) \\ P_{-\frac{\hbar}{2}}(n+1) \end{pmatrix} = \begin{pmatrix} \cos^2(\frac{Bt}{2N}), & \sin^2(\frac{Bt}{2N}) \\ \sin^2(\frac{Bt}{2N}), & \cos^2(\frac{Bt}{2N}) \end{pmatrix} \begin{pmatrix} P_{+\frac{\hbar}{2}}(n) \\ P_{-\frac{\hbar}{2}}(n) \end{pmatrix}$$

Therefore  $\begin{pmatrix} P_{+\frac{\hbar}{2}}(N) \\ P_{-\frac{\hbar}{2}}(N) \end{pmatrix} = W^N \cdot \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ , where  $\begin{pmatrix} 1 \\ 0 \end{pmatrix}$  is  $\begin{pmatrix} P_{+\frac{\hbar}{2}}(0) \\ P_{-\frac{\hbar}{2}}(0) \end{pmatrix}$ , and  $W = \begin{pmatrix} \cos^2(\frac{Bt}{2N}), & \sin^2(\frac{Bt}{2N}) \\ \sin^2(\frac{Bt}{2N}), & \cos^2(\frac{Bt}{2N}) \end{pmatrix}$ .  
[Side remark: this is similar to a “Markov chain”]

Change to basis that diagonalize this  $2 \times 2$  matrix, we have

$$P_{+\frac{\hbar}{2}}(n+1) + P_{-\frac{\hbar}{2}}(n+1) = [\cos^2(\frac{Bt}{2N}) + \sin^2(\frac{Bt}{2N})] \cdot [P_{+\frac{\hbar}{2}}(n) + P_{-\frac{\hbar}{2}}(n)],$$

therefore  $P_{+\frac{\hbar}{2}}(N) + P_{-\frac{\hbar}{2}}(N) = 1^N \cdot [P_{+\frac{\hbar}{2}}(0) + P_{-\frac{\hbar}{2}}(0)] = 1$  (should be expected); and

$$P_{+\frac{\hbar}{2}}(n+1) - P_{-\frac{\hbar}{2}}(n+1) = [\cos^2(\frac{Bt}{2N}) - \sin^2(\frac{Bt}{2N})] \cdot [P_{+\frac{\hbar}{2}}(n) - P_{-\frac{\hbar}{2}}(n)],$$

therefore  $P_{+\frac{\hbar}{2}}(N) - P_{-\frac{\hbar}{2}}(N) = [1 - 2\sin^2(\frac{Bt}{2N})]^N \cdot [P_{+\frac{\hbar}{2}}(0) - P_{-\frac{\hbar}{2}}(0)] = [1 - 2\sin^2(\frac{Bt}{2N})]^N$ .

Finally,  $P_{+\frac{\hbar}{2}}(N) = \frac{1}{2} + \frac{1}{2}[1 - 2\sin^2(\frac{Bt}{2N})]^N$ ,  $P_{-\frac{\hbar}{2}}(N) = \frac{1}{2} - \frac{1}{2}[1 - 2\sin^2(\frac{Bt}{2N})]^N$ .

In the limit  $N \rightarrow \infty$ ,  $[1 - 2\sin^2(\frac{Bt}{2N})]^N \rightarrow [1 - \frac{B^2 t^2}{2N^2}]^N \rightarrow e^{-\frac{B^2 t^2}{2N}} \rightarrow 1$ , then  $P_{+\frac{\hbar}{2}}(N) \rightarrow 1$ .

**Problem 6** (10 points) Consider  $2n$  spin-1/2 moments  $\hat{\mathbf{S}}_i$ , labeled by  $i = 1, 2, \dots, 2n$ . Here  $n$  is a positive integer.

(a) (5pts) What are the possible total spin angular momentum quantum number  $S$ , namely possible eigenvalues of  $(\sum_i \hat{\mathbf{S}})^2 = \hbar^2 S(S+1)$ ?

(b) (5pts\*\*) How many linearly independent spin singlet (total-spin-0) states can these  $2n$  spin-1/2 make? (for generic  $n$ ) [Examples:

$n = 1$ , then  $\frac{1}{2} \otimes \frac{1}{2} = \mathbf{0} \oplus \mathbf{1}$ , the only singlet state is  $\frac{1}{\sqrt{2}}(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$ ;

$n = 2$ , then  $\frac{1}{2} \otimes \frac{1}{2} \otimes \frac{1}{2} \otimes \frac{1}{2} = (\mathbf{0} \oplus \mathbf{1}) \otimes (\mathbf{0} \oplus \mathbf{1}) = (\mathbf{0} \otimes \mathbf{0}) \oplus (\mathbf{0} \otimes \mathbf{1}) \oplus (\mathbf{1} \otimes \mathbf{0}) \oplus (\mathbf{1} \otimes \mathbf{1})$   
 $= \mathbf{0} \oplus \mathbf{1} \oplus \mathbf{1} \oplus (\mathbf{0} \oplus \mathbf{1} \oplus \mathbf{2})$ , there are two linearly independent spin singlet states,

$\frac{1}{2}(|\uparrow\uparrow\downarrow\downarrow\rangle - |\uparrow\downarrow\downarrow\uparrow\rangle - |\downarrow\downarrow\uparrow\uparrow\rangle + |\downarrow\uparrow\uparrow\downarrow\rangle)$ , and

$\frac{1}{2\sqrt{3}}(2|\uparrow\uparrow\downarrow\downarrow\rangle - |\uparrow\downarrow\uparrow\downarrow\rangle - |\uparrow\downarrow\downarrow\uparrow\rangle - |\downarrow\uparrow\uparrow\downarrow\rangle - |\downarrow\uparrow\downarrow\uparrow\rangle + 2|\downarrow\downarrow\uparrow\uparrow\rangle)$ . ]

[Hint: consider the dimensions of Hilbert spaces of fixed total  $S_z = \sum_i \hat{S}_{i,z}$ , total-spin-0 states  $|\psi\rangle$  should satisfy  $(\sum_i \hat{S}_{i,+})|\psi\rangle = 0$ ]

## Solution

(a) By the Clebsch-Gordon theorem about “addition of angular momentum”, from the examples in (b), use mathematical induction, we have: **for  $2n$  spin-1/2, the total spin**

angular momentum quantum number  $S$  can be any integer between (including) 0 and  $n$ ,  $S = 0$  or 1 or ... or  $n$ .

(b) Method #1: count the dimensions of subspaces of certain total  $S_z$ .

Suppose the Hilbert space of  $2n$  spin-1/2 is divided into  $m_0$  sets of total-spin-0 states(singlets) plus  $m_1$  sets of total-spin-1 states(triplets) (each set of spin triplet contains  $3 = 2 \cdot 1 + 1$  states) plus ... plus  $m_n$  sets of total-spin- $n$  states (each set of total-spin- $n$  states contains  $2n + 1$  states),

$$\underbrace{\frac{1}{2} \otimes \cdots \otimes \frac{1}{2}}_{2n} = \underbrace{\mathbf{0} \oplus \cdots \oplus \mathbf{0}}_{m_0} \oplus \underbrace{\mathbf{1} \oplus \cdots \oplus \mathbf{1}}_{m_1} \oplus \cdots \oplus \underbrace{\mathbf{n} \oplus \cdots \oplus \mathbf{n}}_{m_n} \quad (*)$$

Compute the dimensions of subspaces with certain  $S_z = \sum_{i=1}^{2n} S_{i,z}$  in two different ways.

- Consider the right-hand-side of the above (\*) expression.

Each of the subspaces on the right-hand-side (spanned by states with certain total spin quantum number  $S$  and total  $S_z = -S, \dots, S$ ) will contribute a linearly independent total  $S_z = 0$  state  $|S, S_z = 0\rangle$ , so the dimension of total  $S_z = 0$  Hilbert space is

$$\dim[\mathcal{H}_{S_z=0}] = m_0 + m_1 + \cdots + m_n.$$

But only the subspaces with total spin quantum number  $S \geq 1$  will contribute a linearly independent  $|S, S_z = \hbar\rangle$  state, so the dimension of total  $S_z = \hbar$  subspace is

$$\dim[\mathcal{H}_{S_z=\hbar}] = m_1 + \cdots + m_n.$$

- Consider the left-hand-side of the above (\*) expression.

The  $2^{2n}$  basis of the entire Hilbert space can be chosen as the  $S_z$  tensor product basis  $|S_{1,z}, S_{2,z}, \dots, S_{2n,z}\rangle$ .

For total  $S_z = 0$ , there must be  $n$  up-spin( $S_{i,z} = +\frac{\hbar}{2}$ ) and  $n$  down-spin( $S_{i,z} = -\frac{\hbar}{2}$ ), so

$$\dim[\mathcal{H}_{S_z=0}] = \binom{2n}{n} = \frac{(2n)!}{n!n!}.$$

For total  $S_z = \hbar$ , there must be  $(n+1)$  up-spin and  $(n-1)$  down-spin, so

$$\dim[\mathcal{H}_{S_z=\hbar}] = \binom{2n}{n+1} = \frac{(2n)!}{(n+1)!(n-1)!}.$$

Finally, the number of total-spin-0 states is

$$m_0 = \dim[\mathcal{H}_{S_z=0}] - \dim[\mathcal{H}_{S_z=\hbar}] = \binom{2n}{n} - \binom{2n}{n+1} = \frac{(2n)!}{(n+1)!n!}.$$

[Side remark: this is related to the “hook length formula” in representation theory.]

---

Method #2: try to “solve”  $\sum_i \hat{S}_{i,+} |\psi\rangle = 0$ .

Define the total ladder operators  $\hat{S}_{\pm} = \sum_{i=1}^{2n} \hat{S}_{i,\pm}$ . And  $\hat{S}_a = \sum_{i=1}^{2n} \hat{S}_{i,a}$ .

Denote the basis  $|S_{1,z}, \dots, S_{2n,z}\rangle$  with  $\sum_i S_{i,z} = 0$  by  $|\psi_{\alpha}\rangle$ ,  $\alpha = 1, \dots, \binom{2n}{n}$ .

Denote the basis  $|S_{1,z}, \dots, S_{2n,z}\rangle$  with  $\sum_i S_{i,z} = \hbar$  by  $|\phi_{\beta}\rangle$ ,  $\beta = 1, \dots, \binom{2n}{n+1}$ .

A non-vanishing state  $|\psi\rangle$  is total-spin-0 if and only if  $\hat{S}_+ |\psi\rangle = 0$  and  $(\sum_i \hat{S}_{i,z}) |\psi\rangle = 0$ .

Expand  $|\psi\rangle$  by  $S_z$ -basis. Then  $|\psi\rangle = \sum_{\alpha} c_{\alpha} |\psi_{\alpha}\rangle$ , contains only those  $\sum_i S_{i,z} = 0$  states.

Note that  $\hat{S}_+ |\psi_{\alpha}\rangle$  is a linear combination of  $|\phi_{\beta}\rangle$  states,  $\hat{S}_+ |\psi_{\alpha}\rangle = \sum_{\beta} |\phi_{\beta}\rangle \cdot (S_+)_{\beta,\alpha}$ .

Here  $(S_+)_{\beta,\alpha} = \langle \phi_{\beta} | \hat{S}_+ | \psi_{\alpha} \rangle$  is a  $\binom{2n}{n+1} \times \binom{2n}{n}$  rectangular matrix. It is actually  $\hbar$  times a “(0-1)-matrix”, each matrix element can be only 0 or  $\hbar$ .

For a total-spin-0 state  $|\psi\rangle$ , we have  $\hat{S}_+ |\psi\rangle = \sum_{\alpha} \sum_{\beta} |\phi_{\beta}\rangle (S_+)_{\beta,\alpha} c_{\alpha} = 0$ . Therefore  $\sum_{\alpha} (S_+)_{\beta,\alpha} c_{\alpha} = 0$  for each  $\beta$ . The number of linearly independent solutions to this set of linear equations is [number of variables,  $\binom{2n}{n}$ ] – rank( $S_+$ ), where rank( $S_+$ ) is the “rank” of the rectangular matrix  $(S_+)_{\beta,\alpha}$ .

We still need to prove that rank( $S_+$ ) is the smaller dimension  $\binom{2n}{n+1}$ , namely there is no non-trivial solution to  $d_{\beta}$  from the linear equations  $\sum_{\beta} d_{\beta} (S_+)_{\beta,\alpha} = 0$  for each  $\alpha$ . We can prove this by contradiction: if there is a non-trivial solution  $d_{\beta}$ , then  $\sum_{\beta} (S_-)_{\alpha,\beta} d_{\beta}^* = 0$  for every  $\alpha$ , here  $(S_-)_{\alpha,\beta} = \langle \psi_{\alpha} | \hat{S}_- | \phi_{\beta} \rangle = [(S_+)_{\beta,\alpha}]^*$ , define  $|\phi\rangle = \sum_{\beta} d_{\beta}^* |\phi_{\beta}\rangle$ , then  $\hat{S}_- |\phi\rangle = 0$  and  $\hat{S}_z |\phi\rangle = \hbar |\phi\rangle$ , therefore  $\hat{\mathbf{S}}^2 |\phi\rangle = [\hat{S}_z(\hat{S}_z - \hbar) + \hat{S}_+ \hat{S}_-] |\phi\rangle = 0$ , however  $\langle \phi | \hat{\mathbf{S}}^2 | \phi \rangle = \langle \phi | [\hat{S}_z(\hat{S}_z + \hbar) + \hat{S}_- \hat{S}_+] | \phi \rangle \geq \langle \phi | [\hat{S}_z(\hat{S}_z + \hbar)] | \phi \rangle = 2\hbar^2 \langle \phi | \phi \rangle > 0$ . This contradiction proves that there is no such state  $|\phi\rangle$ , so the rank of  $(S_+)_{\beta,\alpha}$  is indeed  $\binom{2n}{n+1}$ .