Verifying Robustness of Programs Under Structural Perturbations

Clay Thomas and Jacob Bond

December 1, 2017

- An attempt to synthesize the max function using PBE:
 - $(13, 15) \mapsto 15$
 - $(-23, 19) \mapsto 19$
 - $(-75, -13) \mapsto -13$

- An attempt to synthesize the max function using PBE:
 - $(13, 15) \mapsto 15$
 - $(-23, 19) \mapsto 19$
 - $(-75, -13) \mapsto -13$
- Synthesized program: P(a,b):=return b

- An attempt to synthesize the max function using PBE:
 - $(13, 15) \mapsto 15$
 - $(-23, 19) \mapsto 19$
 - $(-75, -13) \mapsto -13$
- Synthesized program: P(a,b):=return b
- Neither synthesized program, nor synthesizer are robust

• Robustness: behaving predictably on uncertain inputs [?]

- Robustness: behaving predictably on uncertain inputs [?]
- $P(13,15) \neq P(15,13)$

- Robustness: behaving predictably on uncertain inputs [?]
- $P(13,15) \neq P(15,13)$
- $(15, 13) \mapsto 15$
 - $(-23, 19) \mapsto 19$
 - $(-13, -75) \mapsto -13$

would synthesize very different program

- Robustness: behaving predictably on uncertain inputs [?]
- $P(13,15) \neq P(15,13)$
- $(15, 13) \mapsto 15$
 - $(-23, 19) \mapsto 19$
 - $(-13, -75) \mapsto -13$

would synthesize very different program

• Synthesize a robust program or develop robust synthesizer

Robustness Properties

Continuity: small change to input ⇒ small change to output

$$Sort([1,4,3,6])=[1,3,4,6]$$

 $Sort([2,3,3,5])=[2,3,3,5]$

Robustness Properties

Continuity: small change to input ⇒ small change to output

$$Sort([1,4,3,6])=[1,3,4,6]$$

 $Sort([2,3,3,5])=[2,3,3,5]$

Permutation: permuting input permutes the output

Find([1,4,3,6], 4)=1
$$\sigma = (0 \mapsto 2, 1 \mapsto 3, 2 \mapsto 1, 3 \mapsto 0)$$
Find([6,3,1,4], 4)=3

Robustness Properties

Continuity: small change to input ⇒ small change to output

$$Sort([1,4,3,6])=[1,3,4,6]$$

 $Sort([2,3,3,5])=[2,3,3,5]$

Permutation: permuting input permutes the output

Find([1,4,3,6], 4)=1
$$\sigma = (0 \mapsto 2, 1 \mapsto 3, 2 \mapsto 1, 3 \mapsto 0)$$
Find([6,3,1,4], 4)=3

Permutation: permuting input leaves output invariant

$$Sort([1,4,3,6])=[1,3,4,6]$$

 $Sort([6,3,1,4])=[1,3,4,6]$

Consider

- 1: if $x \ge 0$ then
- 2: r := y
- 3: **else**
- 4: r := z

- Consider
 - 1: if $x \ge 0$ then
 - 2: r := y
 - 3: **else**
 - 4: r := z
- If $y \neq z$, discontinuous at x = 0

Consider

```
1: if x > 0 then
```

2:
$$r := y$$

3: **else**

4:
$$r := z$$

- If $y \neq z$, discontinuous at x = 0
- Proof rule:

$$c \vdash \operatorname{Cont}(P_1, \operatorname{In}, \operatorname{Out})$$
 $c \vdash \operatorname{Cont}(P_2, \operatorname{In}, \operatorname{Out})$
 $c' \vdash \operatorname{Cont}(b, \operatorname{Var}(b))$ $(c \land \neg c') \vdash \operatorname{Out}_{P_1} = \operatorname{Out}_{P_2}$

 $c \vdash \text{Cont}(\text{if } b \text{ then } P_1 \text{ else } P_2, \text{In}, \text{Out})$

Consider

```
1: if x > 0 then
```

2:
$$r := y$$

3: **else**

4:
$$r := z$$

- If $y \neq z$, discontinuous at x = 0
- Proof rule:

$$c \vdash \operatorname{Cont}(P_1, \operatorname{In}, \operatorname{Out})$$
 $c \vdash \operatorname{Cont}(P_2, \operatorname{In}, \operatorname{Out})$
 $c' \vdash \operatorname{Cont}(b, \operatorname{Var}(b))$ $(c \land \neg c') \vdash \operatorname{Out}_{P_1} = \operatorname{Out}_{P_2}$

$$c \vdash \text{Cont}(\text{if } b \text{ then } P_1 \text{ else } P_2, \text{In}, \text{Out})$$

Only applicable to numerical perturbations



$$c \vdash \operatorname{Cont}(P_1, \operatorname{In}, \operatorname{Out})$$
 $c \vdash \operatorname{Cont}(P_2, \operatorname{In}, \operatorname{Out})$
 $c' \vdash \operatorname{Cont}(b, \operatorname{Var}(b))$ $(c \land \neg c') \vdash \operatorname{Out}_{P_1} = \operatorname{Out}_{P_2}$

 $c \vdash \text{Cont}(\text{if } b \text{ then } P_1 \text{ else } P_2, \text{In}, \text{Out})$

$$c \vdash \operatorname{Cont}(P_1, \operatorname{In}, \operatorname{Out})$$
 $c \vdash \operatorname{Cont}(P_2, \operatorname{In}, \operatorname{Out})$
 $c' \vdash \operatorname{Cont}(b, \operatorname{Var}(b))$ $(c \land \neg c') \vdash \operatorname{Out}_{P_1} = \operatorname{Out}_{P_2}$

$$c \vdash \text{Cont}(\text{if } b \text{ then } P_1 \text{ else } P_2, \text{In}, \text{Out})$$

Given if-elsif-else,

$$c \vdash \operatorname{Cont}(P_1, \operatorname{In}, \operatorname{Out})$$
 $c \vdash \operatorname{Cont}(P_2, \operatorname{In}, \operatorname{Out})$
 $c' \vdash \operatorname{Cont}(b, \operatorname{Var}(b))$ $(c \land \neg c') \vdash \operatorname{Out}_{P_1} = \operatorname{Out}_{P_2}$

$$c \vdash \text{Cont}(\text{if } b \text{ then } P_1 \text{ else } P_2, \text{In}, \text{Out})$$

- Given if-elsif-elsif-else,
 - Reason about each branch (4 branches)

$$c \vdash \operatorname{Cont}(P_1, \operatorname{In}, \operatorname{Out})$$
 $c \vdash \operatorname{Cont}(P_2, \operatorname{In}, \operatorname{Out})$
 $c' \vdash \operatorname{Cont}(b, \operatorname{Var}(b))$ $(c \land \neg c') \vdash \operatorname{Out}_{P_1} = \operatorname{Out}_{P_2}$

$$c \vdash \text{Cont}(\text{if } b \text{ then } P_1 \text{ else } P_2, \text{In}, \text{Out})$$

- Given if-elsif-elsif-else,
 - Reason about each branch (4 branches)
 - Check equivalence (3 comparisons)

• Robustness requires 2 executions

- Robustness requires 2 executions
- Verified using product program

- Robustness requires 2 executions
- Verified using product program
 - $P_1 \circledast P_2$ is simultaneous execution

- Robustness requires 2 executions
- Verified using product program
 - $P_1 \circledast P_2$ is simultaneous execution
- Cartesian Hoare Logic reasons about product programs

- Robustness requires 2 executions
- Verified using product program
 - $P_1 \circledast P_2$ is simultaneous execution
- Cartesian Hoare Logic reasons about product programs
- Cartesian Hoare Triple examples:

- Robustness requires 2 executions
- Verified using product program
 - $P_1 \circledast P_2$ is simultaneous execution
- Cartesian Hoare Logic reasons about product programs
- Cartesian Hoare Triple examples:
 - Determinism:

$$\|\vec{x_1} = \vec{x_2}\|f(\vec{x})\|ret_1 = ret_2\|$$

- Robustness requires 2 executions
- Verified using product program
 - $P_1 \circledast P_2$ is simultaneous execution
- Cartesian Hoare Logic reasons about product programs
- Cartesian Hoare Triple examples:
 - Determinism:

$$\|\vec{x_1} = \vec{x_2}\|f(\vec{x})\|ret_1 = ret_2\|$$

Symmetry:

$$||x_1 = y_2 \wedge x_2 = y_1||f(x, y)||ret_1 = ret_2||$$

• Given if-elsif-else, must reason about product of each pair of branches (16 pairs):

$$P_1 \circledast P_1$$

:

 $P_1 \circledast P_4$

 $P_2 \circledast P_1$

 $P_4 \circledast P_4$

Sanity checks and bug finding

- Functions invariant under order of list
 - max, sum, sort

- Data structures with representing something else
- Invariance under value it represents
 - binary search trees, heaps, hash sets

Lists – Invariance under order

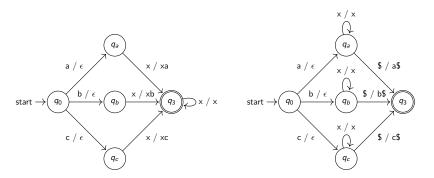
Given an array a

- Let a_{swap} be a with its first and second entry swapped
 - $[a[1], a[0], a[2], a[3], \ldots, a[n]]$
- Let a_{rot} be a rotated by 1
 - $[a[1], a[2], a[3], \dots a[n], a[0]]$

Lemma: If for any a, $P(a) = P(a_{swap}) = P(a_{rot})$, then for any permutation a' of a, we have P(a) = P(a').

Proof: Math

Automata – Invariance under order



Automata – Invariance under order

Theorem: Given a deterministic automata M, we can check if M is invariant under the order of its input in time $O(|\Sigma|^2|M|\log(|\Sigma||M|))$.

Proof:

- Construct the machines M_{swap} and M_{rot} by composing M with those machines
 - Requires $O(|\Sigma||M|)$ states
- Check if $L(M_{swap}) = L(M) = L(M_{rot})$
 - E.g. by state minimization in time $O(n|\Sigma|\log n)$

Programs – Invariance under order

- maxList([x]) = x
- maxList([x, ...xs...]) = max(x, maxList(xs))
- Verifying $\max List(a) = \max List(a_{swap})$ has one case:

$$maxList([x, y, ...xs...]) \stackrel{?}{=} maxList([y, x, ...xs...])$$

$$|| \qquad || \qquad \qquad ||$$

$$max(x, maxList([y, ...xs...])) \qquad max(y, maxList([x, ...xs...]))$$

$$|| \qquad \qquad || \qquad \qquad ||$$

$$max(x, max(y, maxList(xs))) \qquad max(y, max(x, maxList(xs)))$$

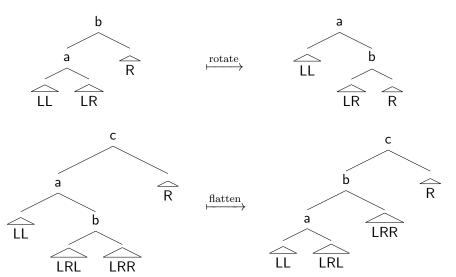
$$|| \qquad \qquad || \qquad \qquad ||$$

$$max(x, max(y, z)) \qquad max(y, max(x, z))$$

Binary Search Trees

- For lists, two simple permutations generated all permutations
- Goal: similar permutations for BSTs

Binary Search Trees



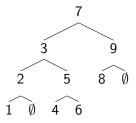
Binary Search Trees

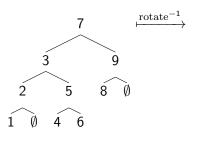
It suffices to show

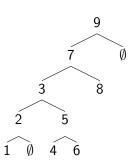
- Every tree can be transformed into a "normal form" (i.e. list)
- Every operation is reversable

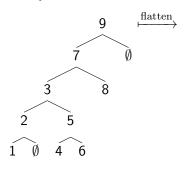
Binary Search Trees – Proof by example

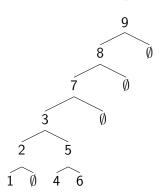
• Every tree can be transformed into a "normal form" (i.e. list)

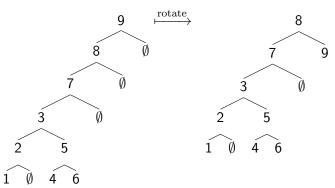


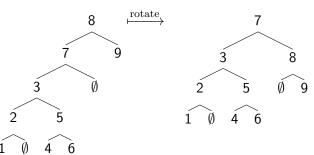


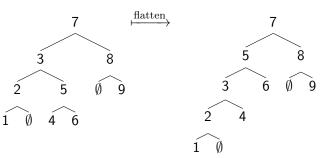


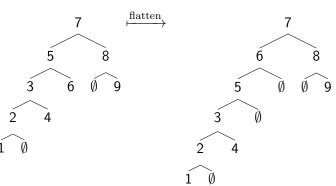


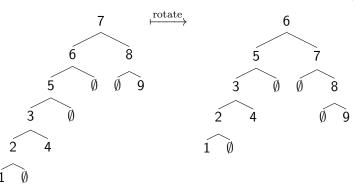


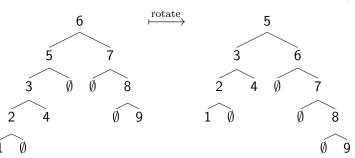


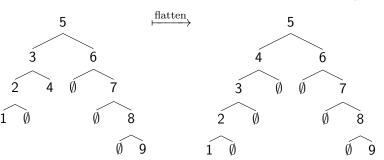


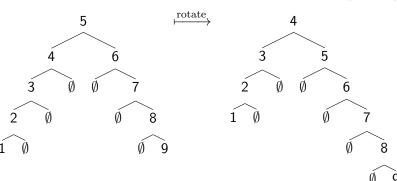


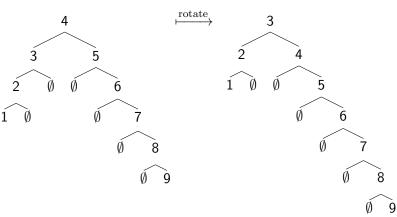


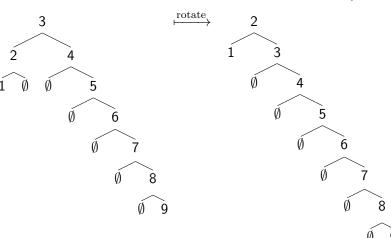


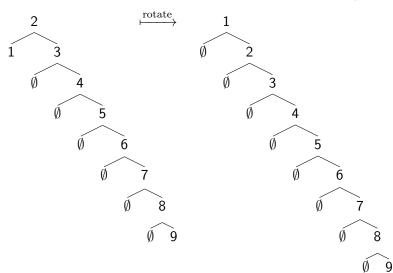


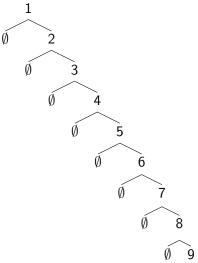












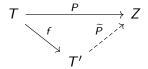
More General Procedure

Invariance of a program $P: T \rightarrow Z$ relative to a function $f: T \rightarrow T'$

• E.g. $f: BST \rightarrow List$

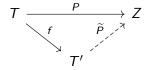
Observation: The following are equivalent:

- $f(x) = f(y) \implies P(x) = P(y)$
- There exists a program $\widetilde{P}:T'\to Z$ such that $P=\widetilde{P}\circ f$

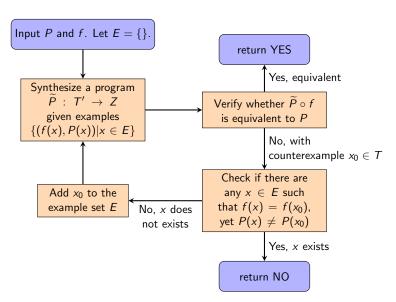


More General Procedure

- Idea: Synthesize a witness to the invariance
 - A function $\widetilde{P}: T' \to Z$
- P and f provide a full specification of \widetilde{P}
- Counterexample guided inductive synthesis



More General Procedure



Future Directions

• Develop proof rules for discrete perturbations

Future Directions

- Develop proof rules for discrete perturbations
- Address branching in 2-safety properties

Future Directions

- Develop proof rules for discrete perturbations
- Address branching in 2-safety properties