



# CS-3002: Information Security

## **Lecture # 5: Message Integrity, MACs, Collision Resistant HMAC**

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# Message Integrity

Goal: **integrity**, no confidentiality.

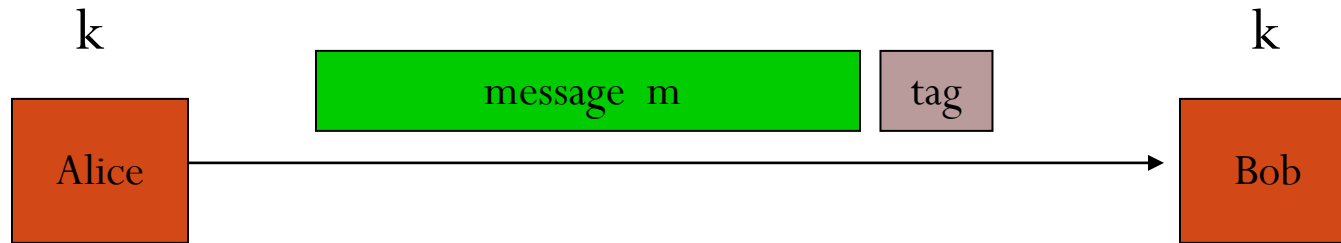
- There are Scenarios where integrity is important and confidentiality is not required

Examples:

- Protecting public binaries on disk
  - To prevent malicious manipulation
- Protecting banner ads on web pages.



# Message integrity: MACs



**Generate tag:**

$$\text{tag} \leftarrow S(k, m)$$

**Verify tag:**

$$V(k, m, \text{tag}) = \text{'yes'}$$

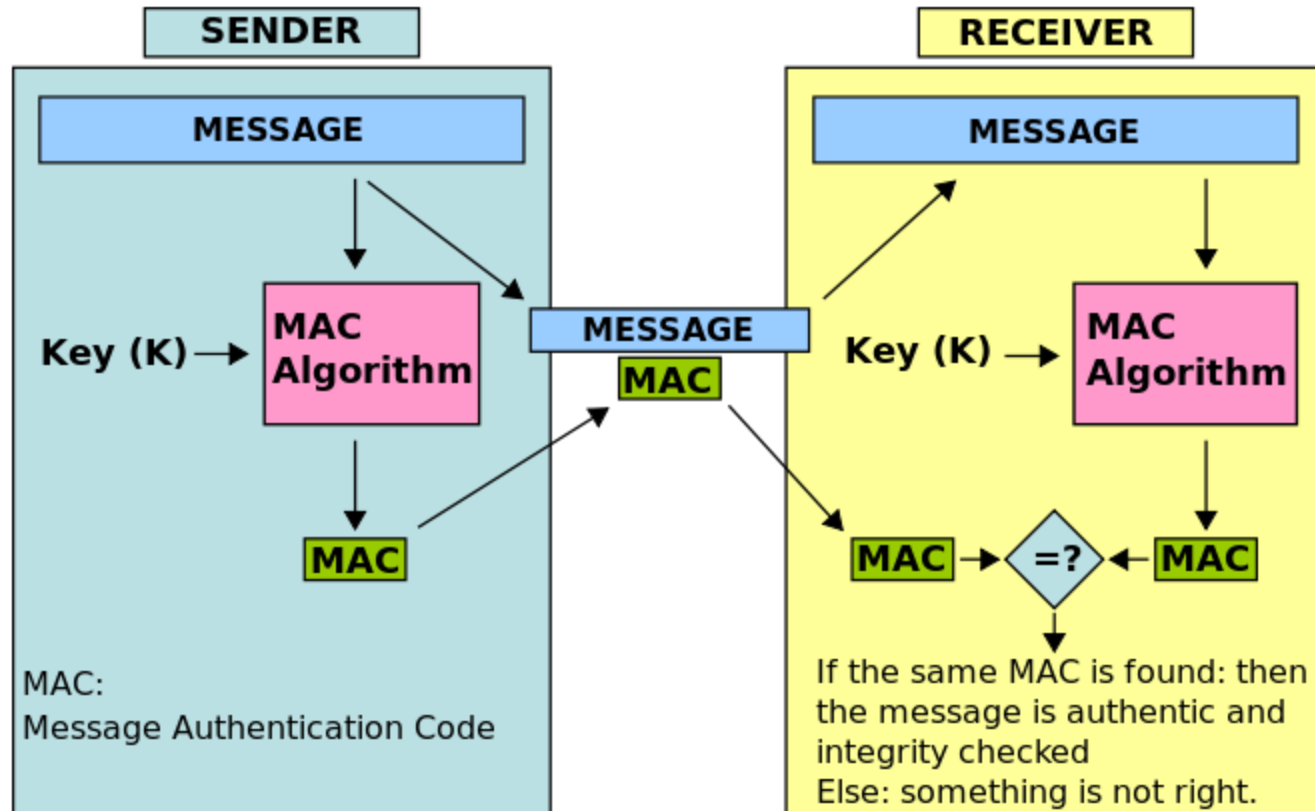
- MAC is short information
  - Provide integrity and authenticity assurances on the message.
  - Integrity assurances detects accidental and intentional message changes
  - Authenticity assurances affirms the message's origin

Def: **MAC**  $I = (S, V)$  defined over  $(K, M, T)$  is a pair of algs:

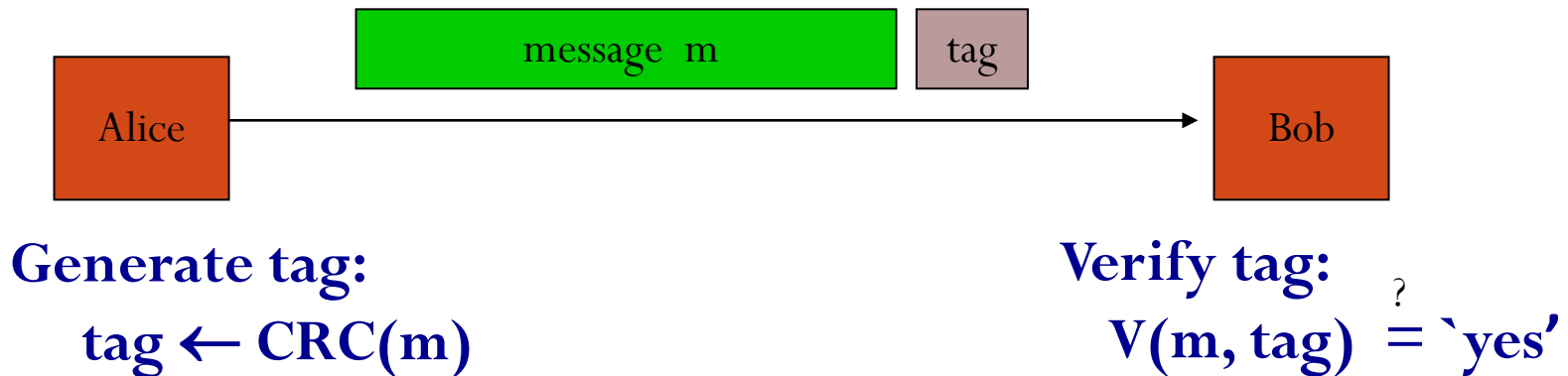
- $S(k, m)$  outputs  $t$  in  $T$
- $V(k, m, t)$  outputs 'yes' or 'no'



# MAC



# Integrity requires a secret key ?



- Attacker can easily modify message  $m$  and re-compute CRC.
- CRC designed to detect random, not malicious errors.

# Secure MACs

MAC:

- Signing Alg.  $S(k,m) \rightarrow t$  and
- Verification alg.  $V(k,m,t) \rightarrow 0,1$

Attacker's power: **chosen message attack**

- for  $m_1, m_2, \dots, m_q$  attacker is given  $t_i \leftarrow S(k, m_i)$

Attacker's goal: **existential forgery**

- produce some **new** valid message/tag pair  $(m, t)$ .  
 $(m, t) \notin \{ (m_1, t_1), \dots, (m_q, t_q) \}$

---

$\Rightarrow$  attacker cannot produce a valid tag for a new message

$\Rightarrow$  given  $(m, t)$  attacker cannot even produce  $(m, t')$  for  $t' \neq t$



# **Lets Make Secure MACs**



# A bad example

Suppose  $F: K \times X \rightarrow Y$  is a secure PRF with  $Y = \{0,1\}^{10}$

Is the derived MAC  $I_F$  a secure MAC system?

- Yes, the MAC is secure because the PRF is secure

⇒ No tags are too short: anyone can guess the tag for any msg

- It depends on the function  $F$

$$Adv[A, I_F] = 1/1024$$





# Security

Thm: If  $\mathbf{F}: \mathbf{K} \times \mathbf{X} \rightarrow \mathbf{Y}$  is a secure PRF and  $1/|\mathbf{Y}|$  is negligible (i.e.  $|\mathbf{Y}|$  is large) then  $I_F$  is a secure MAC.

$\Rightarrow I_F$  is secure as long as  $|\mathbf{Y}|$  is large, say  $|\mathbf{Y}| = 2^{80}$ .



# Examples

- AES (a secure PRF): a MAC for 16-byte messages
- Main question: how to convert Small-MAC into a Big-MAC ?
- Two main constructions used in practice
  - **CBC-MAC** (banking – ANSI X9.9, X9.19, FIPS 186-3)
  - **HMAC** (Internet protocols: SSL, IPSEC, SSH, ...)
- Both convert a small-PRF into a big-PRF



# Truncating MACs based on PRFs

Easy lemma: suppose  $F: \mathbf{K} \times \mathbf{X} \rightarrow \{0,1\}^n$  is a secure PRF.

Then so is  $F_t(k,m) = \underbrace{F(k,m)[1\dots t]}_{\text{first } t\text{-bit of output}}$  for all  $1 \leq t \leq n$

$\Rightarrow$  if  $(S,V)$  is a MAC based on a secure PRF outputting  $n$ -bit tags  
the truncated MAC outputting  $w$  bits is secure  
... as long as  $1/2^w$  is still negligible (say  $w \geq 64$ )



# CBC-MAC and NMAC



# MACs and PRFs

Recall: secure PRF  $\mathbf{F} \Rightarrow$  secure MAC, as long as  $|Y|$  is large

$$S(k, m) = F(k, m)$$

Our goal:

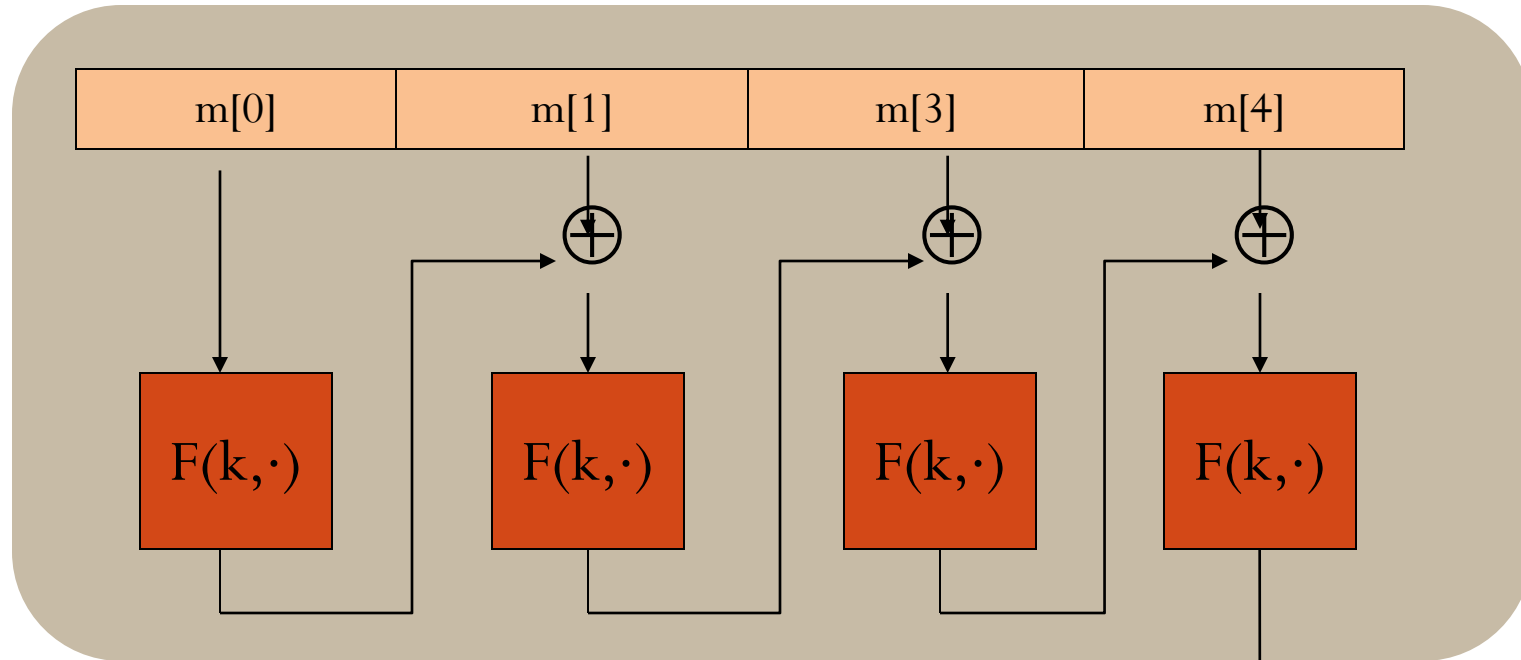
given a PRF for short messages (AES)  
construct a PRF for long messages

From here on let  $X = \{0,1\}^n$  (e.g.  $n=128$ )



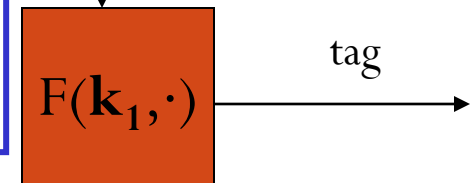
# Construction 1: encrypted CBC-MAC

raw CBC



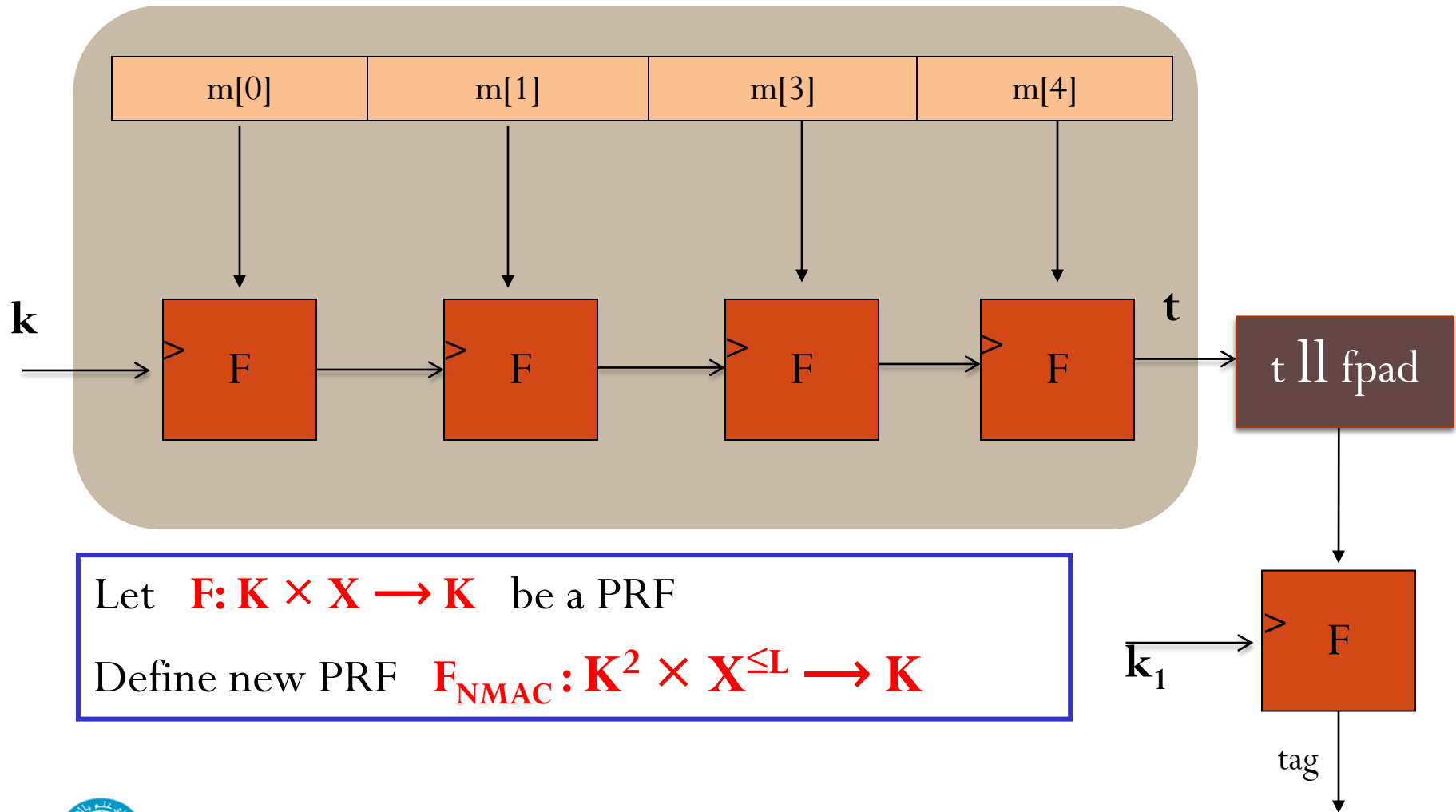
Let  $\mathbf{F}: \mathbf{K} \times \mathbf{X} \rightarrow \mathbf{X}$  be a PRP

Define new PRF  $\mathbf{F}_{\text{ECBC}}: \mathbf{K}^2 \times \mathbf{X}^{\leq L} \rightarrow \mathbf{X}$



# Construction 2: NMAC (nested MAC)

cascade



# Why the last encryption step in ECBC-MAC and NMAC?

NMAC: suppose we define a MAC  $I = (S, V)$  where

$$S(k, m) = \text{cascade}(k, m)$$

This MAC is secure

This MAC can be forged without any chosen msg queries

⇒ This MAC can be forged with one chosen msg query

This MAC can be forged, but only with two msg queries

$$\text{cascade}(k, m) \Rightarrow \text{cascade}(k, m \| w) \quad \text{for any } w$$





# Why the last encryption step in ECBC-MAC?

Suppose we define a MAC  $I_{\text{RAW}} = (S, V)$  where

$$S(k, m) = \text{rawCBC}(k, m)$$

Then  $I_{\text{RAW}}$  is easily broken using a 1-chosen msg attack.

Adversary works as follows:

- Choose an arbitrary one-block message  $m \in X$
- Request tag for  $m$ . Get  $t = F(k, m)$
- Output  $t$  as MAC forgery for the 2-block message  $(m, t \oplus m)$

Indeed:  $\text{rawCBC}(k, (m, t \oplus m)) = F(k, F(k, m) \oplus (t \oplus m)) = F(k, t \oplus (t \oplus m)) = t$



# Comparison

**ECBC-MAC** is commonly used as an AES-based MAC

- CCM encryption mode (used in 802.11i)
- NIST standard called CMAC

**NMAC** not usually used with AES or 3DES

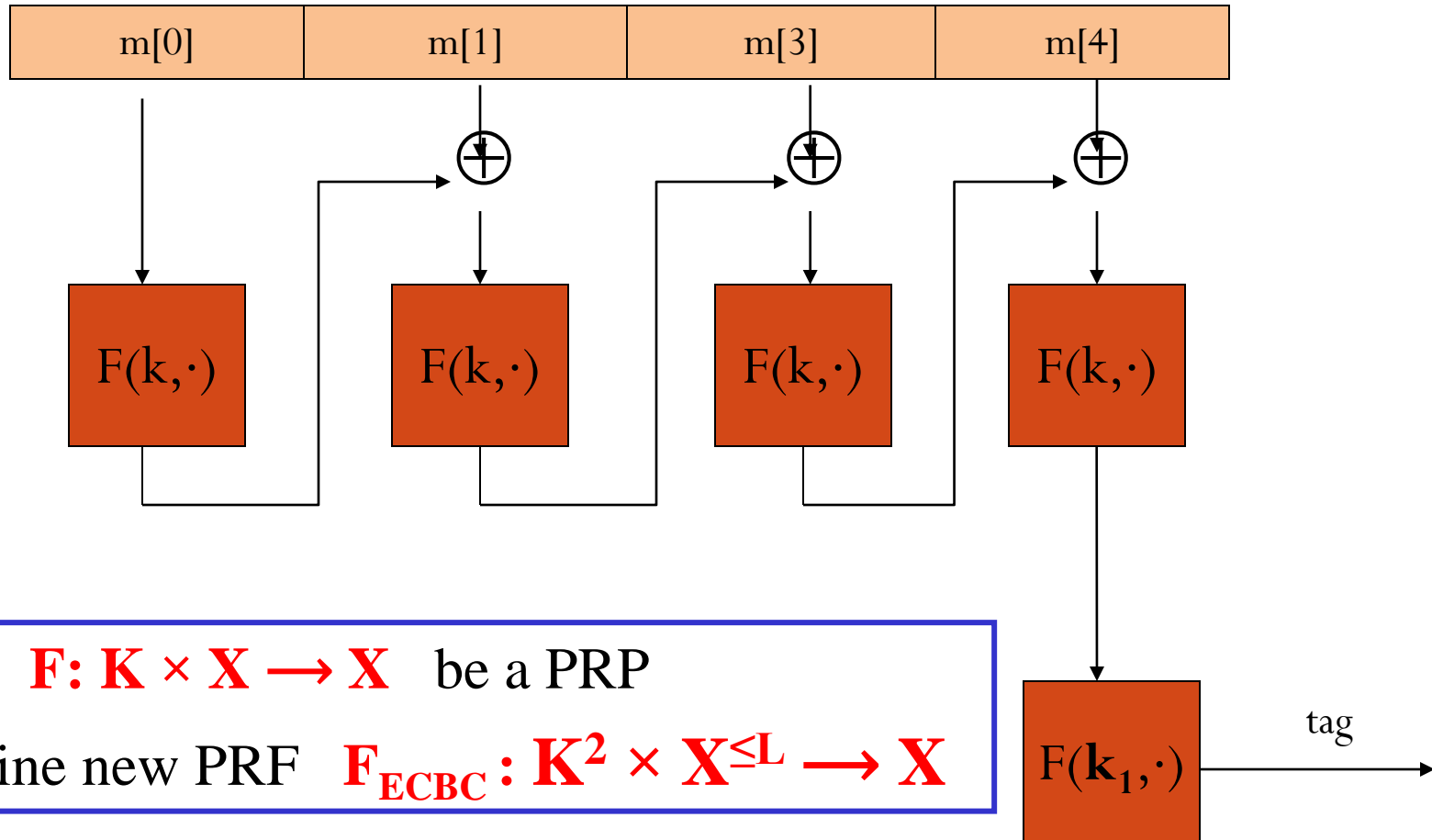
- Main reason:
  - need to change AES key on every block
  - requires re-computing AES key expansion
- But NMAC is the basis for a popular MAC called HMAC (next)



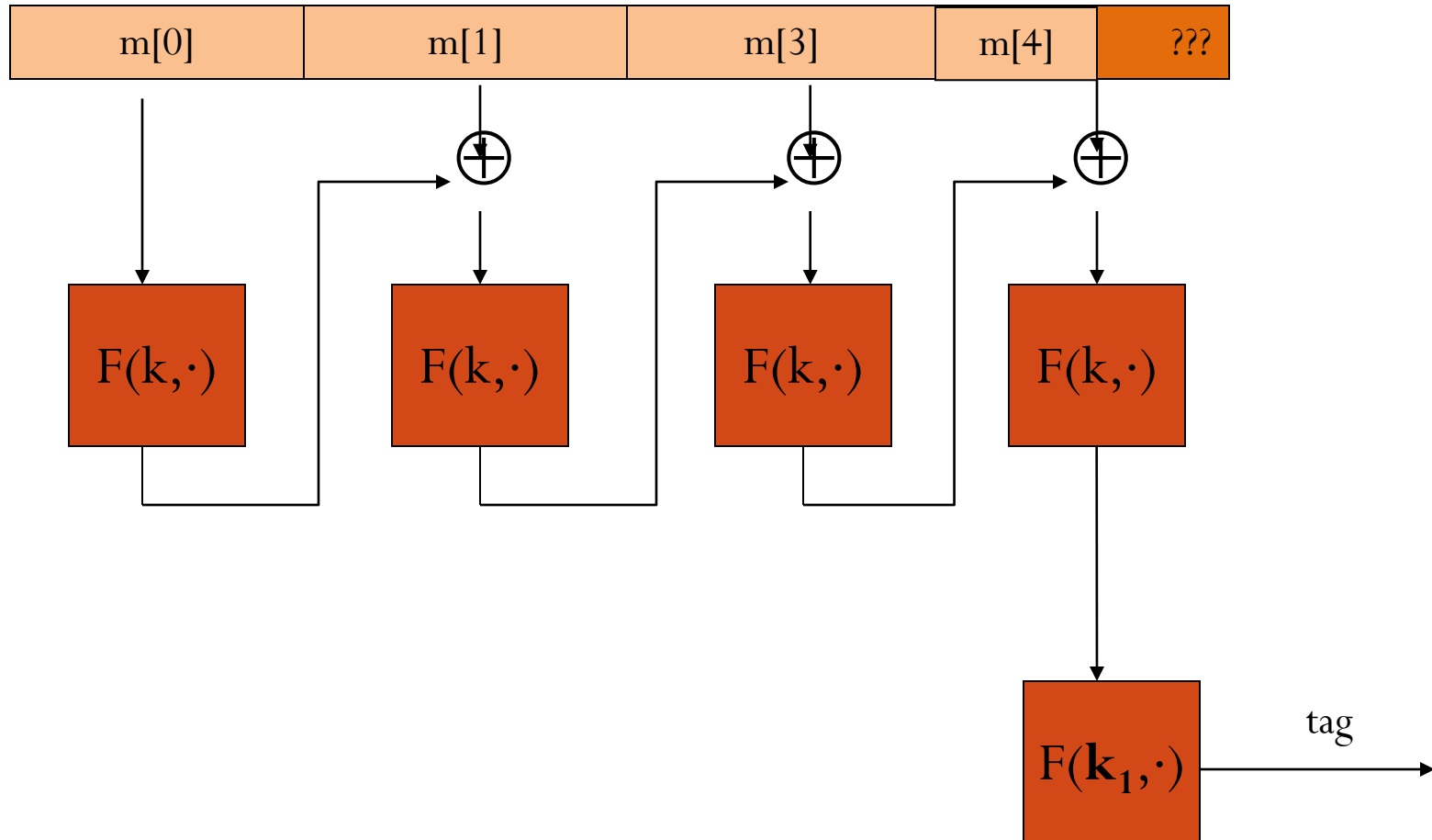
# MAC Padding



# Recall: ECBC-MAC



# What if msg. len. is not multiple of block-size?



# CBC MAC padding

**Bad idea:** pad  $m$  with 0's



Is the resulting MAC secure?

Yes, the MAC is secure

It depends on the underlying MAC



No, given tag on msg  **$m$**  attacker obtains tag on  **$m||0$**

Problem:  $\text{pad}(m) = \text{pad}(m||0)$

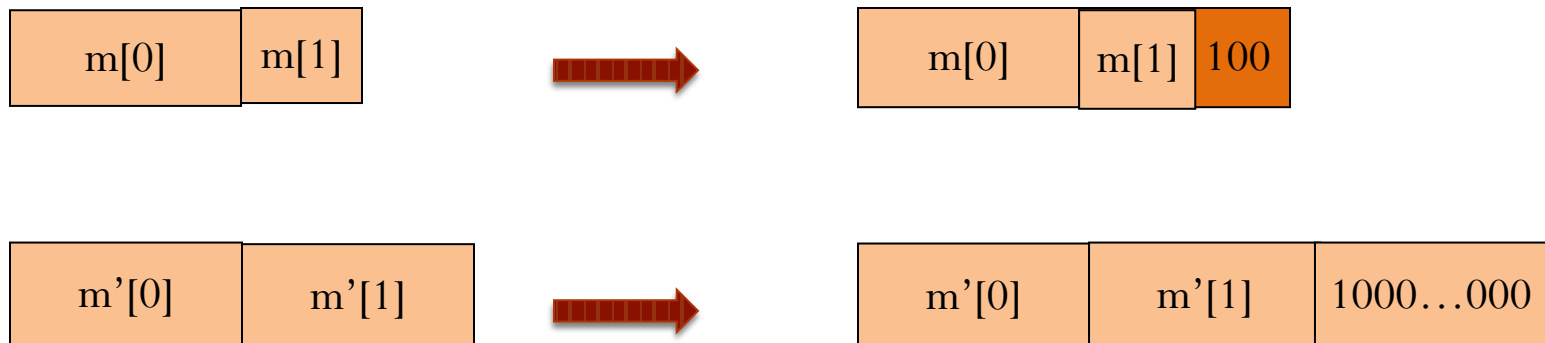
# CBC MAC padding

For security, padding must be invertible !

$$m_0 \neq m_1 \Rightarrow \text{pad}(m_0) \neq \text{pad}(m_1)$$

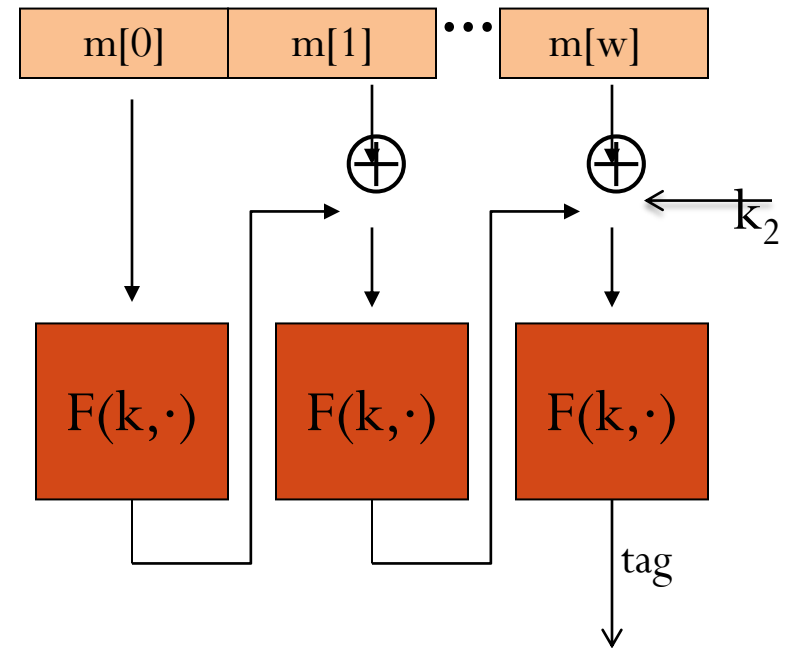
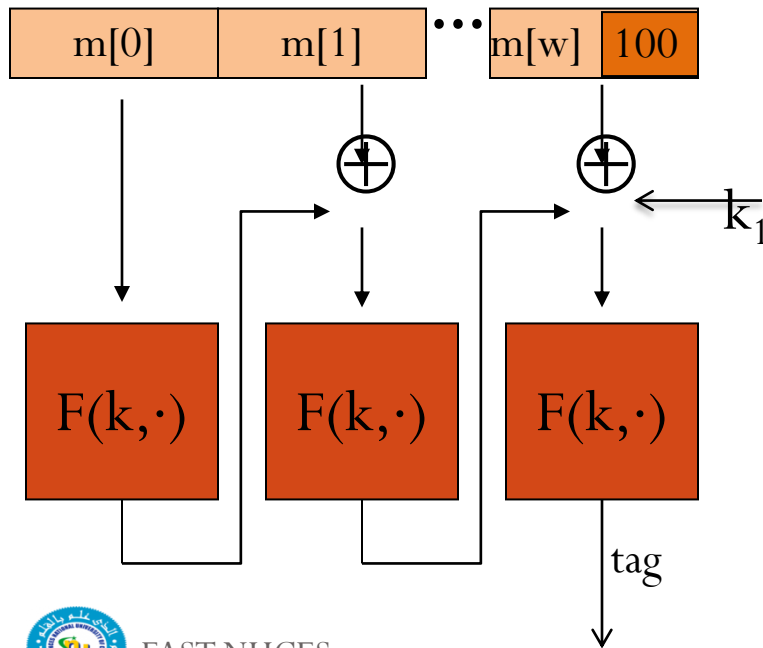
ISO: pad with “1000...00”. Add new dummy block if needed.

- The “1” indicates beginning of pad.



# CMAC (NIST standard)

- Variant of CBC-MAC where  $\text{key} = (k, k_1, k_2)$   *$(k_1, k_2)$  derived from  $k$*
- No final encryption step (extension attack thwarted by last keyed xor)
  - No dummy block (ambiguity resolved by use of  $k_1$  or  $k_2$ )





# Parallel- MAC (PMAC)



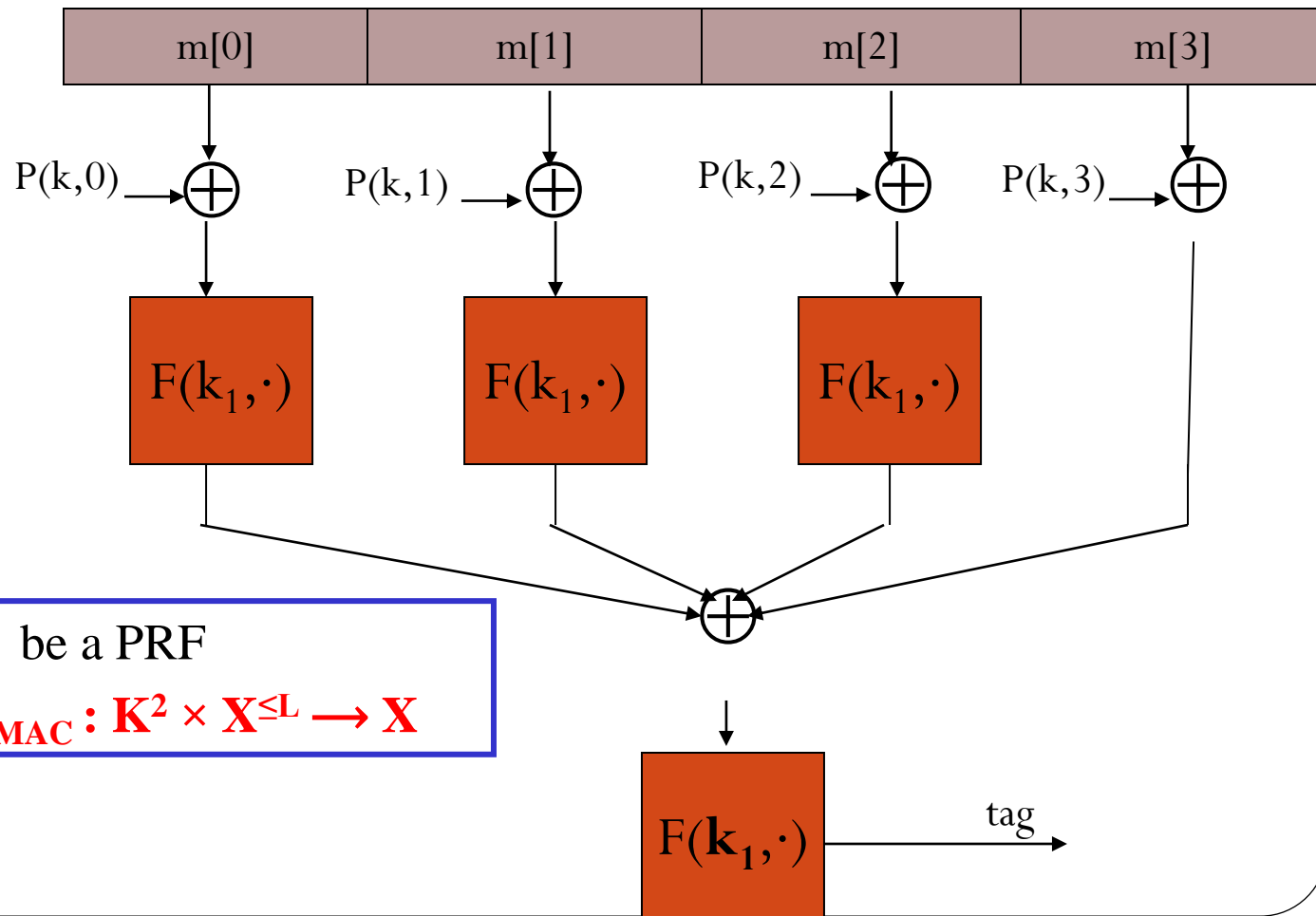
- ECBC and NMAC are sequential.
- Can we build a parallel MAC from a small PRF ??



# Construction 3: PMAC – parallel MAC

$P(k, i)$ : an easy to compute function

key =  $(k, k_1)$



Padding similar  
to CMAC

Let  $F: K \times X \rightarrow X$  be a PRF

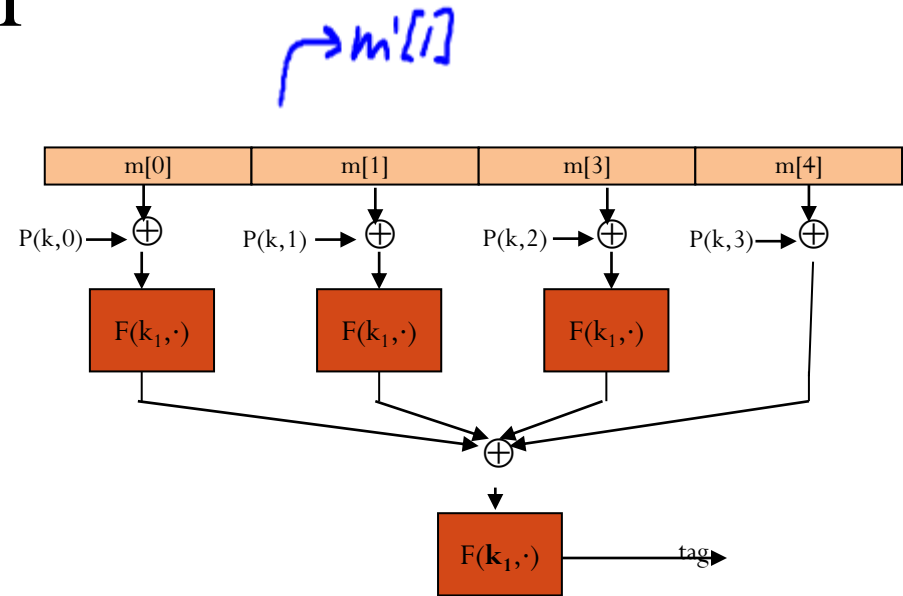
Define new PRF  $F_{\text{PMAC}}: K^2 \times X^{\leq L} \rightarrow X$



# PMAC is incremental

Suppose  $F$  is a PRP.

When  $m[1] \rightarrow m'[1]$   
can we quickly update tag?



no, it can't be done

do  $F^{-1}(k_1, \text{tag}) \oplus F(k_1, m'[1] \oplus P(k, 1))$

do  $F^{-1}(k_1, \text{tag}) \oplus F(k_1, m[1] \oplus P(k, 1)) \oplus F(k_1, m'[1] \oplus P(k, 1))$

do  $\text{tag} \oplus F(k_1, m[1] \oplus P(k, 1)) \oplus F(k_1, m'[1] \oplus P(k, 1))$

Then apply  $F(k_1, \cdot)$



# Construction 4: HMAC (Hash-MAC)

Most widely used MAC on the Internet.

... but, we first we need to discuss hash function.



# Further reading

- J. Black, P. Rogaway: CBC MACs for Arbitrary-Length Messages: The Three-Key Constructions. J. Cryptology 18(2): 111-131 (2005)
- K. Pietrzak: A Tight Bound for EMAC. ICALP (2) 2006: 168-179
- J. Black, P. Rogaway: A Block-Cipher Mode of Operation for Parallelizable Message Authentication. EUROCRYPT 2002: 384-397
- M. Bellare: New Proofs for NMAC and HMAC: Security Without Collision-Resistance. CRYPTO 2006: 602-619
- Y. Dodis, K. Pietrzak, P. Puniya: A New Mode of Operation for Block Ciphers and Length-Preserving MACs. EUROCRYPT 2008: 198-219



# Recap: message integrity

So far, four MAC constructions:

**ECBC-MAC, CMAC** : commonly used with AES (e.g. 802.11i)

**NMAC** : basis of HMAC (this segment)

**PMAC**: a parallel MAC

This module: MACs from collision resistance.



# Collision Resistance

Let  $H: M \rightarrow T$  be a hash function  $(|M| \gg |T|)$

A **collision** for  $H$  is a pair  $m_0, m_1 \in M$  such that:

$$H(m_0) = H(m_1) \quad \text{and} \quad m_0 \neq m_1$$

A function  $H$  is **collision resistant** if for all (explicit) “eff” algs.  $A$ :

$$\text{Adv}_{\text{CR}}[A, H] = \Pr[A \text{ outputs collision for } H]$$

is “neg”.

Example: SHA-256 (outputs 256 bits)





# MACs from Collision Resistance

Let  $I = (S, V)$  be a MAC for short messages over  $(K, M, T)$  (e.g. AES)

Let  $H: M^{\text{big}} \rightarrow M$

Def:  $I^{\text{big}} = (S^{\text{big}}, V^{\text{big}})$  over  $(K, M^{\text{big}}, T)$  as:

$$S^{\text{big}}(k, m) = S(k, H(m)) \quad ; \quad V^{\text{big}}(k, m, t) = V(k, H(m), t)$$

**Thm**: If  $I$  is a secure MAC and  $H$  is collision resistant then  $I^{\text{big}}$  is a secure MAC.

Example:  $S(k, m) = \text{AES}_{2\text{-block-cbc}}(k, \text{SHA-256}(m))$  is a secure MAC.



# MACs from Collision Resistance

$$S^{\text{big}}(k, m) = S(k, H(m)) \quad ; \quad V^{\text{big}}(k, m, t) = V(k, H(m), t)$$

Collision resistance is necessary for security:

Suppose adversary can find  $m_0 \neq m_1$  s.t.  $H(m_0) = H(m_1)$ .

Then:  $S^{\text{big}}$  is insecure under a 1-chosen msg attack

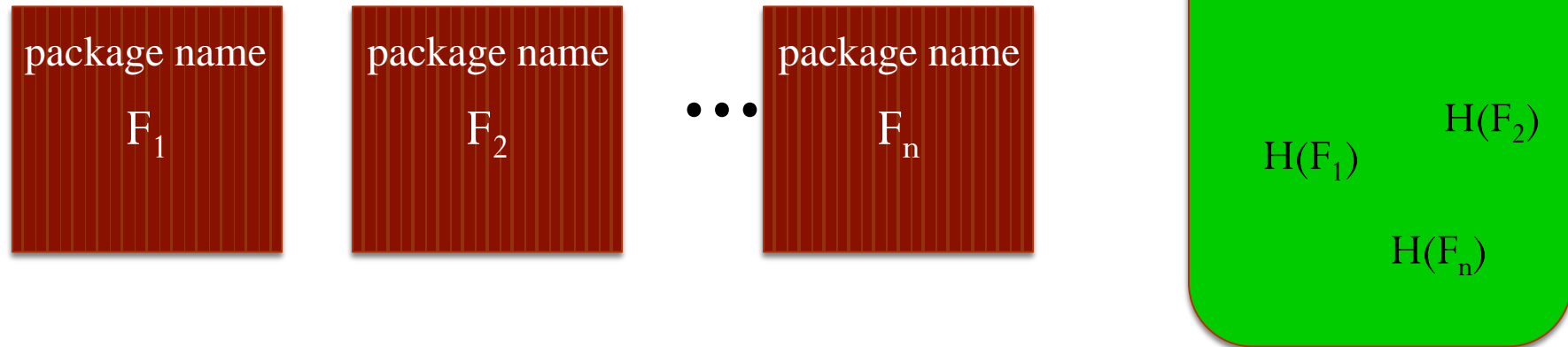
step 1: adversary asks for  $t \leftarrow S(k, m_0)$

step 2: output  $(m_1, t)$  as forgery



# Protecting file integrity using C.R. hash

Software packages:



When user downloads package, can verify that contents are valid

H collision resistant  $\Rightarrow$

attacker cannot modify package without detection

no key needed (public verifiability), but requires read-only space



# Generic Birthday Attack



# Generic attack on C.R. functions

Let  $H: M \rightarrow \{0,1\}^n$  be a hash function ( $|M| \gg 2^n$ )

Generic alg. to find a collision **in time**  $O(2^{n/2})$  hashes

Algorithm:

1. Choose  $2^{n/2}$  random messages in  $M$ :  $m_1, \dots, m_{2^{n/2}}$  (distinct w.h.p )
2. For  $i = 1, \dots, 2^{n/2}$  compute  $t_i = H(m_i) \in \{0,1\}^n$
3. Look for a collision ( $t_i = t_j$ ). If not found, go back to step 1.

How well will this work?



# The birthday paradox

Let  $r_1, \dots, r_n \in \{1, \dots, B\}$  be indep. identically distributed integers.

**Thm**: when  $n = 1.2 \times B^{1/2}$  then  $\Pr[ \exists i \neq j: r_i = r_j ] \geq 1/2$

- **The Birthday Problem**

- Let there be  $n$  people in a room
- For what value of  $n$  two people will share the same birthday or  
What is the probability of two people sharing the same birthday?

- **Link to hash functions**

- Collisions more likely for pairwise matching



# Generic Attack

$H: M \rightarrow \{0,1\}^n$  . Collision finding algorithm:

1. Choose  $2^{n/2}$  random elements in  $M$ :  $m_1, \dots, m_{2^{n/2}}$
2. For  $i = 1, \dots, 2^{n/2}$  compute  $t_i = H(m_i) \in \{0,1\}^n$
3. Look for a collision ( $t_i = t_j$ ). If not found, got back to step 1.

Expected number of iteration  $\approx 2$

Running time:  $O(2^{n/2})$  (space  $O(2^{n/2})$  )



# Sample C.R. hash functions:

AMD Opteron, 2.2 GHz (Linux)

	<u>function</u>	<u>digest size (bits)</u>	<u>Speed (MB/sec)</u>	<u>generic attack time</u>
NIST standards	SHA-1	160	153	$2^{80}$
	SHA-256	256	111	$2^{128}$
	SHA-512	512	99	$2^{256}$
	Whirlpool	512	57	$2^{256}$

best known collision (theoretical) finder for SHA-1 requires  $2^{51}$  hash evaluations. Other than that there are no known collisions





# The Merkle-Damgard Paradigm



# Collision resistance: Review

Let  $H: M \rightarrow T$  be a hash function ( $|M| \gg |T|$ )

A **collision** for  $H$  is a pair  $m_0, m_1 \in M$  such that:

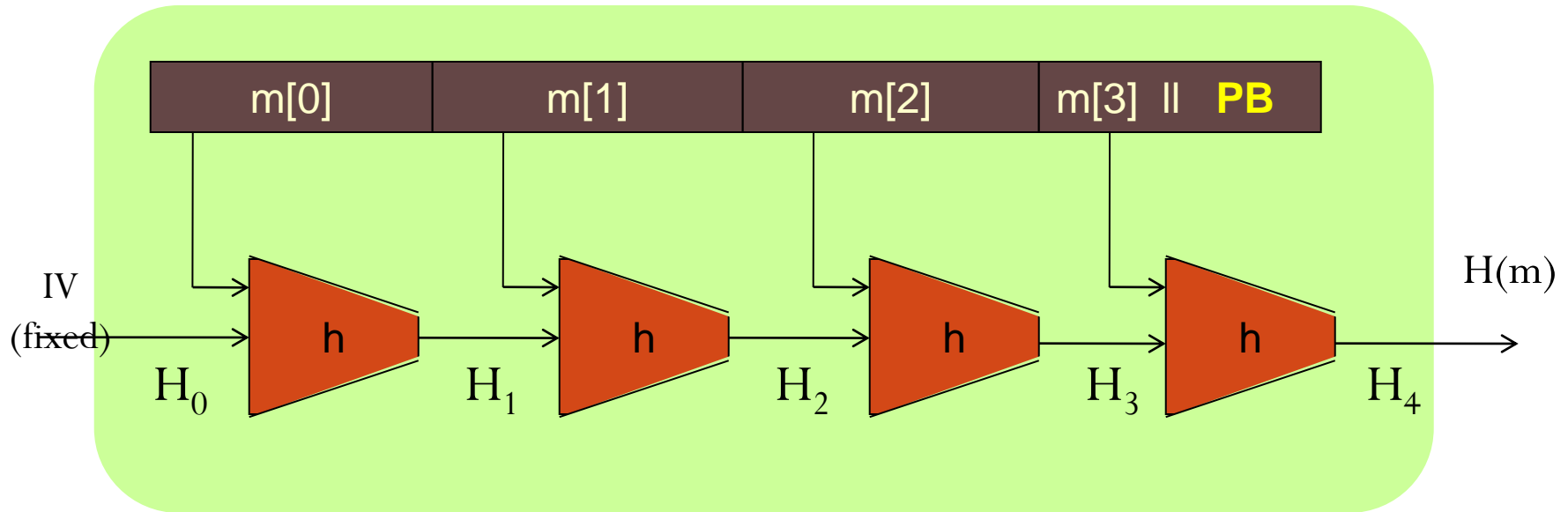
$$H(m_0) = H(m_1) \quad \text{and} \quad m_0 \neq m_1$$

Goal: collision resistant (C.R.) hash functions

Step 1: given C.R. function for **short** messages,  
construct C.R. function for **long** messages



# The Merkle-Damgård iterated construction



Given  $\mathbf{h: T \times X \rightarrow T}$  (compression function)

we obtain  $\mathbf{H: X^{\leq L} \rightarrow T}$ .

$H_i$  - chaining variables

If no space for  $PB$   
add another block

# MD collision resistance

**Thm**: if  $h$  is collision resistant then so is  $H$ .

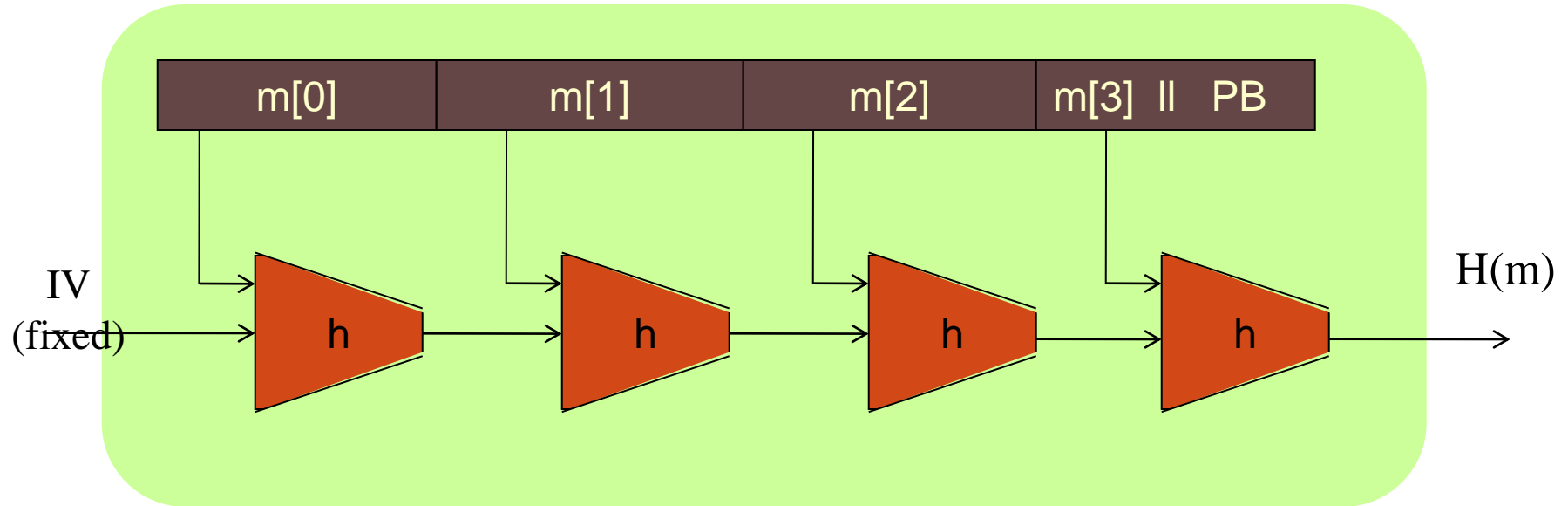
collision on  $H \Rightarrow$  collision on  $h$



# Constructing Compression Function



# The Merkle-Damgård iterated construction



Thm:  $h$  collision resistant  $\Rightarrow H$  collision resistant

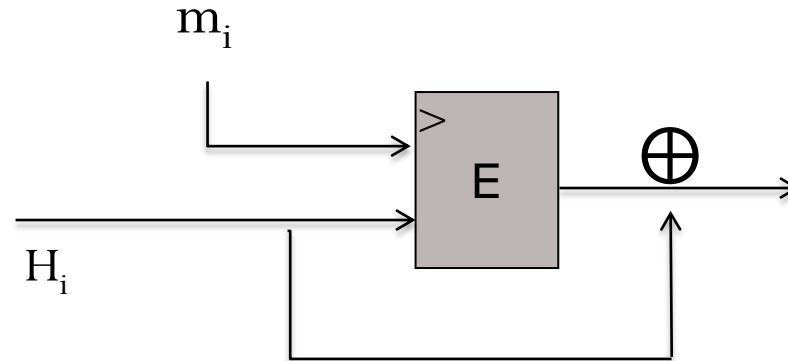
Goal: construct compression function  $\mathbf{h: T \times X \rightarrow T}$



# Compression. func. from a block cipher

$E: K \times \{0,1\}^n \rightarrow \{0,1\}^n$  a block cipher

The **Davies-Meyer** compression function:  $h(H, m) = E(m, H) \oplus H$



**Thm:** Suppose  $E$  is an ideal cipher (collection of  $|K|$  random perms.). Finding a collision  $h(H, m) = h(H', m')$  takes  $O(2^{n/2})$  evaluations of  $(E, D)$ .

# Other block cipher constructions

Let  $E: \{0,1\}^n \times \{0,1\}^n \rightarrow \{0,1\}^n$  for simplicity

Miyaguchi-Preneel:  $h(H, m) = E(m, H) \oplus H \oplus m$   
(Whirlpool)

$$h(H, m) = E(H \oplus m, m) \oplus m$$

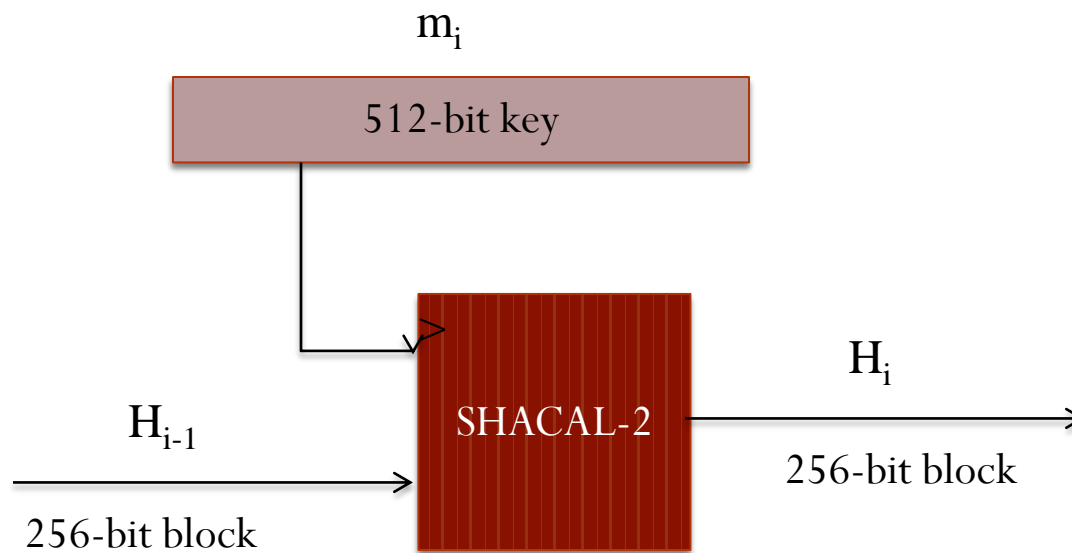
total of 12 variants like this





# Case study: SHA-256

- Merkle-Damgard function
- Davies-Meyer compression function
- Block cipher: SHACAL-2



# Provable compression functions

Choose a random 2000-bit prime  $p$  and random  $1 \leq u, v \leq p$ .

For  $m, h \in \{0, \dots, p-1\}$  define  $\mathbf{h(H,m) = u^H \cdot v^m \pmod{p}}$

**Compression is 2:1**

Fact: finding collision for  $h(.,.)$  is as hard as solving “discrete-log” modulo  $p$ .

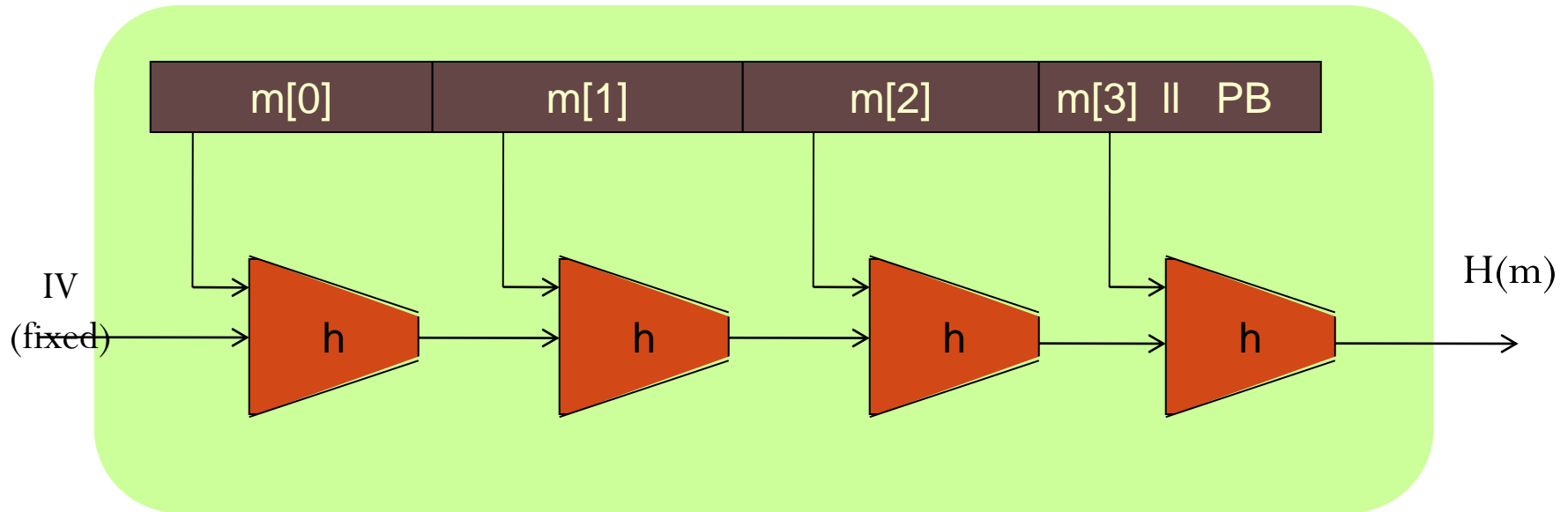
Problem: slow



# HMAC: a MAC from SHA-256



# The Merkle-Damgard iterated construction



Thm:  $h$  collision resistant  $\Rightarrow H$  collision resistant

Can we use  $H(.)$  to directly build a MAC?

# MAC from a Merkle-Damgard Hash Function

**H:  $X^{\leq L} \rightarrow T$**  a C.R. Merkle-Damgard Hash Function

**Attempt #1:**      **$S(k, m) = H(k \parallel m)$**

This MAC is insecure because:

⇒ Given  $H(k \parallel m)$  can compute  $H(w \parallel k \parallel m \parallel PB)$  for any  $w$ .

Given  $H(k \parallel m)$  can compute  $H(k \parallel m \parallel w)$  for any  $w$ .

Given  $H(k \parallel m)$  can compute  $H(k \parallel m \parallel PB \parallel w)$  for any  $w$ .

Anyone can compute  $H(k \parallel m)$  for any  $m$ .



# Standardized method: HMAC (Hash-MAC)

Most widely used MAC on the Internet.

H: hash function.

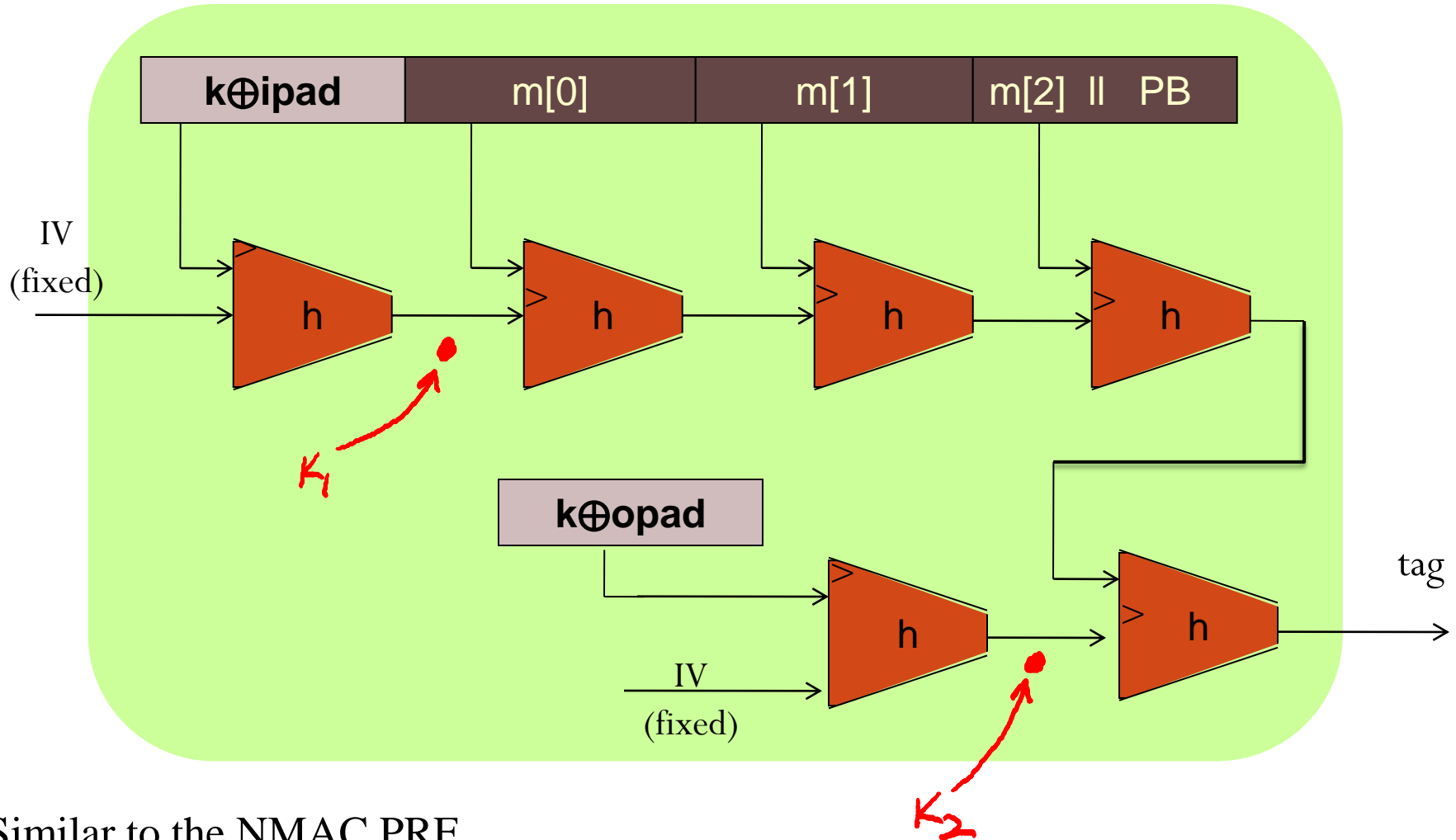
example: SHA-256 ; output is 256 bits

Building a MAC out of a hash function:

$$\text{HMAC: } S(k, m) = H(k \oplus \text{opad} \parallel H(k \oplus \text{ipad} \parallel m))$$



# HMAC in pictures



Similar to the NMAC PRF.

main difference: the two keys  $k_1, k_2$  are dependent

# Timing Attacks on MAC Verification





# Warning: verification timing attacks [L'09]

Example: Keyczar crypto library (Python) [simplified]

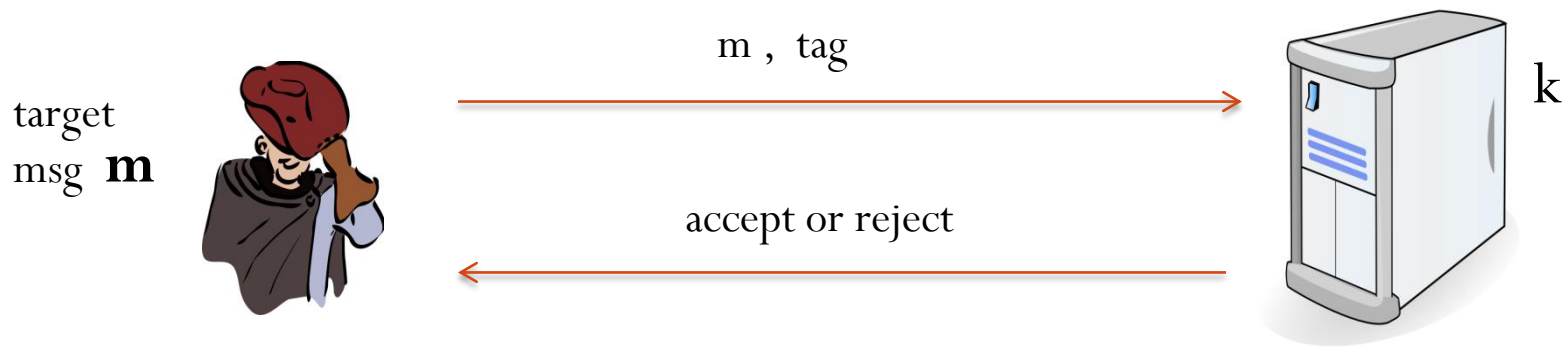
```
def Verify(key, msg, sig_bytes):  
    return HMAC(key, msg) == sig_bytes
```

The problem: ‘==’ implemented as a byte-by-byte comparison

- Comparator returns false when first inequality found



# Warning: verification timing attacks [L'09]



Timing attack: to compute tag for target message  $m$  do:

Step 1: Query server with random tag

Step 2: Loop over all possible first bytes and query server.

stop when verification takes a little longer than in step 1

Step 3: repeat for all tag bytes until valid tag found

# Defense #1

Make string comparator always take same time  
(Python) :

```
return false if sig_bytes has wrong length  
result = 0  
for x, y in zip( HMAC(key,msg) , sig_bytes):  
    result |= ord(x) ^ ord(y)  
return result == 0
```

Can be difficult to ensure due to optimizing compiler.



# Defense #2

Make string comparator always take same time (Python) :

```
def Verify(key, msg, sig_bytes):  
    mac = HMAC(key, msg)  
    return HMAC(key, mac) == HMAC(key, sig_bytes)
```

Attacker doesn't know values being compared



# Lesson

Don't implement crypto yourself !



# Acknowledgements

Material in this lecture are taken from the slides prepared by:

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