A General Overview of AI

Clay Morton

4/28/25

Contents

1	\mathbf{Tie}	rs of AI	3
	1.1	Introduction to the Hierarchy of Intelligence Systems	3
	1.2	Artificial Intelligence (AI): The Broad Vision	3
	1.3	Machine Learning (ML): Data-Driven Intelligence	4
	1.4	Deep Learning (DL): Layered Representations	4
	1.5	Neural Networks (NNs): The Computational Core	5
	1.6	Visualizing the Hierarchy: AI to Neural Networks	5
	1.7	Important Concept: Narrow AI vs. General AI	6
	1.8	Chapter Summary	6
2	Mo	del Architectures and Training	7
	2.1	Introduction: The Architecture of Intelligence	7
	2.2	Feedforward Neural Networks (FFNNs)	7
		2.2.1 Concept and Structure	7
		2.2.2 Mathematical Formulation	8
		2.2.3 Applications and Limitations	8
	2.3	Convolutional Neural Networks (CNNs)	8
		2.3.1 Motivation and Concept	8
		2.3.2 Structure and Operations	8
		2.3.3 Evolution of CNNs	9
		2.3.4 Applications and Strengths	9
	2.4	Recurrent Neural Networks (RNNs)	9
		2.4.1 Motivation and Concept	9
		2.4.2 Mathematical Description	9
		2.4.3 Challenges and Solutions	9
	2.5		10
		2.5.1 Motivation and Revolution	10
			10
		2.5.3 Architectures and Dominance	10
	2.6		11
			11
		- · · · · · · · · · · · · · · · · · · ·	11
	2.7		11

Chapter 1

Tiers of AI

1.1 Introduction to the Hierarchy of Intelligence Systems

Artificial Intelligence (AI) is often treated as a single, unified technology in popular discussions, evoking images of sentient machines and omniscient digital assistants. Yet within technical disciplines, AI is understood not as a single technology but as a broad field encompassing several distinct, layered domains. At the highest level, AI is concerned with replicating various aspects of human intelligence. Beneath it lies Machine Learning (ML), which refines this goal by enabling machines to learn from data rather than relying exclusively on pre-programmed rules. Within Machine Learning, Deep Learning (DL) further narrows the approach to architectures composed of layered neural networks that can learn intricate patterns autonomously. Neural Networks themselves, originally inspired by biological neurons, form the foundational computational model enabling much of the current success in AI.

Understanding these hierarchical relationships is crucial because it clarifies why various AI systems differ dramatically in complexity, scope, and capability. It also explains why not every AI system involves Machine Learning, why not every Machine Learning application involves Deep Learning, and why discussions of AI can sometimes appear confusing or contradictory. In this chapter, we will untangle these relationships carefully, building a clear and coherent view of the technological landscape.

1.2 Artificial Intelligence (AI): The Broad Vision

The term Artificial Intelligence encompasses the quest to create machines capable of performing tasks that, when executed by humans, require intelligence. This broad goal includes capacities such as learning, reasoning, problem-solving, perception, and language comprehension. AI, as a field, dates back to the mid-20th century, with early ambitions famously articulated during the 1956 Dartmouth Conference, where pioneers imagined that a machine could one day replicate every aspect of human intelligence.

A key distinction in AI research is between different levels of cognitive capability. Artificial Narrow Intelligence (ANI) refers to systems designed for a single, specific task or a restricted set of tasks. For example, a recommendation engine that suggests products based on user behavior operates within a narrow domain and would be utterly incapable of performing unrelated tasks such as language translation. Despite remarkable successes, all current AI applications—from autonomous vehicles to medical diagnostic tools—fall within the narrow AI category.

In contrast, Artificial General Intelligence (AGI) represents the still-theoretical goal of creating machines with generalized cognitive abilities. An AGI system would not be limited to specific tasks but would instead possess the flexibility to transfer learning across domains, adapt to unfamiliar situations, and reason abstractly in the way that humans can. No AGI system exists today, though it remains a central focus of speculative and theoretical research.

Further extending the spectrum is the notion of Artificial Superintelligence (ASI), which imagines AI systems that surpass human intelligence across all fields, including creativity, emotional understanding, and social acumen. While ASI is even more speculative, its potential raises profound questions about the future

of humanity, governance, and ethics in an AI-driven world.

Thus, while AI as a concept encompasses grand aspirations, the current technological reality is firmly rooted in specialized, domain-specific systems characterized by narrow expertise and bounded capability.

1.3 Machine Learning (ML): Data-Driven Intelligence

As researchers pursued the dream of intelligent machines, they quickly encountered the impracticality of manually programming every conceivable scenario a machine might face. This realization led to the emergence of Machine Learning, a paradigm shift that focuses on enabling machines to infer patterns and make decisions based on data rather than on hardcoded instructions.

In a Machine Learning system, instead of specifying explicit rules for a task, we provide the machine with a dataset containing examples. From this data, the machine learns an approximate mapping between inputs and desired outputs. Consider the task of email spam detection: manually enumerating every possible indicator of spam would be impossible, but a Machine Learning model trained on thousands of labeled emails can infer subtle statistical patterns, such as word usage or sender reputation, to generalize to new, unseen emails.

Machine Learning is commonly divided into three major categories, based on the nature of the learning task:

- Supervised Learning involves learning a function from labeled examples, where each input is associated with a known output. Tasks such as image classification, medical diagnosis, and language translation often fall into this category. Here, the model's success depends heavily on the quality and representativeness of the labeled training data.
- Unsupervised Learning, by contrast, deals with unlabeled data. The machine seeks to discover hidden structures, patterns, or groupings within the data itself. Applications such as customer segmentation, anomaly detection, and topic modeling exemplify unsupervised learning techniques, where no external guidance about the 'correct' outcomes is available.
- Reinforcement Learning occupies a somewhat different niche. In this setting, the machine learns by interacting with an environment, receiving feedback in the form of rewards or penalties. Reinforcement Learning underpins many recent breakthroughs in game-playing AI, such as DeepMind's AlphaGo, where the agent learns optimal strategies through trial-and-error experience over millions of simulated games.

While each learning paradigm has distinct methodologies and challenges, they share a common emphasis on empirical learning from data rather than reliance on fixed programming.

1.4 Deep Learning (DL): Layered Representations

As Machine Learning matured, researchers sought ways to automatically extract and represent increasingly complex features from raw data, leading to the rise of Deep Learning. Deep Learning involves models known as deep neural networks, which consist of multiple layers of processing units (neurons) organized in a hierarchy. Each successive layer transforms its input into a more abstract and composite representation.

One of the key advantages of Deep Learning is its ability to perform representation learning. In traditional Machine Learning, much effort is spent on feature engineering—manually designing the features that the model will use. Deep Learning, by contrast, enables models to learn relevant features automatically. For example, in computer vision, early layers of a deep network might learn to detect simple patterns such as edges or textures, while deeper layers recognize more complex concepts like eyes, faces, or entire objects.

However, this power comes at a cost. Deep Learning models typically require large labeled datasets to achieve high accuracy, and their training demands substantial computational resources, often relying on specialized hardware accelerators such as GPUs or TPUs. Moreover, because of their many layers and millions of parameters, deep networks can be opaque, leading to challenges in interpretability and debugging.

Despite these challenges, Deep Learning has driven many of the most spectacular advances in AI over the past decade. Systems capable of defeating world champions in complex games, generating coherent text, translating languages with near-human accuracy, and driving cars autonomously all rely fundamentally on deep architectures.

1.5 Neural Networks (NNs): The Computational Core

At the heart of Deep Learning lie Artificial Neural Networks (ANNs), mathematical structures loosely inspired by the organization of neurons in biological brains. Each artificial neuron receives one or more inputs, computes a weighted sum of these inputs, adds a bias term, and then applies a non-linear transformation known as an activation function.

Neural Networks are organized into layers:

- The input layer receives the raw data.
- One or more hidden layers perform intermediate computations and extract hierarchical features.
- The output layer produces the final prediction or decision.

The term "deep" in Deep Learning simply refers to networks with many hidden layers. Each additional layer allows the model to capture increasingly abstract patterns, but also makes training more challenging due to issues such as vanishing gradients and overfitting.

A crucial component enabling the expressive power of Neural Networks is the activation function. Without activation functions, a network composed of multiple layers would collapse into an equivalent single-layer model, restricting it to only linear transformations. Common activation functions include:

- ReLU (Rectified Linear Unit), which outputs zero for negative inputs and the input itself for positive inputs, enabling efficient and sparse representations.
- **Sigmoid**, which squashes inputs to the range (0,1), historically popular for binary classification tasks but prone to saturation issues.
- Tanh, which maps inputs to (-1,1), offering zero-centered activations beneficial for certain architectures.

Training a neural network involves optimizing the weights and biases across all layers to minimize a loss function, typically through gradient-based optimization methods such as stochastic gradient descent (SGD). This process, known as backpropagation, systematically updates parameters to reduce prediction errors.

1.6 Visualizing the Hierarchy: AI to Neural Networks

To better conceptualize the relationships among the fields we have discussed, it is helpful to visualize them hierarchically:

```
Artificial Intelligence
-> Machine Learning
-> Deep Learning
-> Generative AG
-> Large Language Models
```

This structure illustrates the successive specialization at each layer. While all Deep Learning is Machine Learning, not all Machine Learning involves Deep Learning. Likewise, while all Machine Learning falls under the umbrella of AI, many AI systems (especially symbolic AI, expert systems, and rule-based reasoning engines) operate without using Machine Learning techniques at all.

Understanding this nested structure clarifies why discussions about "AI" can sometimes be misleadingly broad: a news article about "AI" might, in fact, be describing a narrow Deep Learning model trained for a single task.

1.7 Important Concept: Narrow AI vs. General AI

The distinction between Narrow AI and General AI is not merely academic; it profoundly shapes what current AI systems can and cannot do. Narrow AI systems operate within well-defined boundaries. They excel at specific tasks but cannot reason outside their training domains. A language model that writes poetry cannot drive a car; a medical diagnostic system cannot negotiate business contracts.

General AI, on the other hand, would require the ability to learn, reason, and adapt across domains without being explicitly retrained. Achieving AGI would involve breakthroughs not only in algorithmic design but also in our fundamental understanding of learning, abstraction, reasoning, and consciousness.

At present, even the most sophisticated AI models exhibit significant limitations, such as brittleness in novel situations, lack of true understanding, and vulnerability to adversarial manipulation. Recognizing these limitations is critical to tempering expectations and responsibly guiding future development.

1.8 Chapter Summary

In this chapter, we explored the layered organization of Artificial Intelligence technologies. Beginning with the broad ambition of AI to replicate human cognitive functions, we examined how Machine Learning narrows this focus by enabling machines to learn from data. We then traced how Deep Learning builds upon Machine Learning through the use of layered neural networks capable of autonomously extracting complex representations. Finally, we examined how Neural Networks provide the mathematical and computational substrate for Deep Learning's success.

Understanding these distinctions provides clarity not only in interpreting modern AI achievements but also in assessing their true capabilities and limitations. As we continue our exploration of AI systems, keeping this hierarchical structure in mind will be essential to making sense of a rapidly evolving technological landscape.

Chapter 2

Model Architectures and Training

2.1 Introduction: The Architecture of Intelligence

If the ambition of Artificial Intelligence is to create machines capable of perception, reasoning, and autonomous action, then the architectures of AI systems form the skeletons upon which that intelligence is built. The architecture of a system defines how information flows, how representations are formed, how learning occurs, and ultimately how performance is achieved. It determines not only the types of tasks the model can handle, but also its efficiency, scalability, and flexibility.

In modern AI — especially within Machine Learning and Deep Learning — the architecture of a model is not a peripheral detail but a central determinant of success. Different architectures embody different assumptions about the structure of the data and the nature of the learning problem. Thus, the choice and design of a model architecture is deeply tied to the model's strengths, weaknesses, and areas of applicability.

In this chapter, we will explore several major classes of neural network architectures, tracing their theoretical underpinnings, structural designs, advantages, and limitations. We will also examine the training techniques by which these models are optimized, uncovering the principles that allow neural networks to move from random initializations to effective problem solvers.

2.2 Feedforward Neural Networks (FFNNs)

2.2.1 Concept and Structure

At the heart of most Deep Learning models lies the Feedforward Neural Network (FFNN), sometimes referred to as a Multilayer Perceptron (MLP). FFNNs represent the simplest and most foundational type of artificial neural network. Despite their simplicity, they are powerful and serve as the starting point for understanding more complex architectures.

An FFNN is characterized by a unidirectional flow of information. Data moves strictly forward through the network — from the input layer through one or more hidden layers to the output layer — with no cycles or feedback connections. Each neuron in a given layer connects to every neuron in the subsequent layer, forming a fully connected structure. This simplicity allows FFNNs to be highly versatile, capable of modeling a wide variety of functions provided they have sufficient size and depth.

The Universal Approximation Theorem is a foundational result associated with FFNNs. It states that a feedforward network with at least one hidden layer, given a non-linear activation function and a sufficient number of neurons, can approximate any continuous function on compact subsets of real numbers to an arbitrary degree of accuracy. This theoretical guarantee means that, in principle, FFNNs are capable of solving virtually any learning problem. However, in practice, the ability to learn efficiently, generalize to new data, and train stably often demands more sophisticated architectures.

2.2.2 Mathematical Formulation

Each neuron within an FFNN performs a simple operation. It computes a weighted sum of its inputs, adds a bias term, and passes the result through an activation function to introduce non-linearity:

$$z = \sum_{i} w_i x_i + b \tag{2.1}$$

$$a = \phi(z) \tag{2.2}$$

Here, x_i represents the inputs to the neuron, w_i the learnable weights, b the bias term, and ϕ the activation function, such as ReLU (Rectified Linear Unit), sigmoid, or tanh.

During training, the weights and biases are iteratively adjusted to minimize a loss function that quantifies the error between the network's predictions and the actual targets. This optimization is typically performed using variants of gradient descent and the backpropagation algorithm to compute gradients efficiently.

2.2.3 Applications and Limitations

Feedforward Neural Networks are widely used in tasks where the input data can be represented as fixed-size feature vectors. Examples include classification tasks, such as distinguishing between spam and non-spam emails, and regression tasks, such as predicting house prices based on property features.

Despite their theoretical flexibility, FFNNs encounter difficulties when dealing with data that has internal structure, such as images, sequences, or graphs. In such cases, treating all input features as independent can lead to inefficiencies and poor generalization. This limitation has motivated the development of more specialized architectures designed to exploit specific data structures.

A classic demonstration of FFNN capabilities is training on the MNIST dataset of handwritten digits. Even a relatively shallow network can achieve high accuracy, illustrating the power of simple architectures when applied appropriately.

2.3 Convolutional Neural Networks (CNNs)

2.3.1 Motivation and Concept

While FFNNs can process flat feature vectors effectively, they become inefficient when applied to structured spatial data, such as images. Images possess inherent local correlations: neighboring pixels tend to be related, forming edges, textures, and eventually higher-order shapes. Ignoring this structure, as FFNNs do, leads to models with unnecessary parameters, prone to overfitting and requiring vast amounts of training data.

Convolutional Neural Networks (CNNs) were developed to address these issues by embedding strong inductive biases into the architecture — assumptions about the nature of the input data — that make learning from spatial information far more efficient.

CNNs exploit two key ideas: local connectivity and weight sharing. Local connectivity means that each neuron in a convolutional layer connects only to a small, localized region of the previous layer, rather than to every neuron. Weight sharing means that the same set of weights (known as a filter or kernel) is applied across different parts of the input, dramatically reducing the number of learnable parameters.

2.3.2 Structure and Operations

The central operation in CNNs is the convolution. A small matrix (the filter) is slid across the input data, at each position computing a weighted sum that produces an activation. The result is a feature map that highlights regions where the learned feature is present.

Mathematically, the convolution operation can be expressed as:

$$(S * K)(i,j) = \sum_{m} \sum_{n} S(m,n)K(i-m,j-n)$$
 (2.3)

where S is the input signal (e.g., an image), K is the kernel, and (i, j) denotes spatial coordinates.

In addition to convolutional layers, CNNs often include pooling layers that reduce the spatial dimensions of feature maps. Common pooling operations include max pooling (taking the maximum value within a window) and average pooling (taking the average), both of which promote translational invariance — the idea that features are recognized regardless of their precise location.

2.3.3 Evolution of CNNs

Early CNN architectures, such as LeNet-5 (1998), demonstrated the power of convolutions for tasks like handwritten digit recognition. However, it was AlexNet (2012) that propelled CNNs into prominence by winning the ImageNet challenge by a large margin, using deeper networks, ReLU activations, and GPU training.

Subsequent architectures like ResNet (2015) introduced innovations such as skip connections, allowing very deep networks (over 100 layers) to be trained effectively by mitigating vanishing gradient problems.

2.3.4 Applications and Strengths

CNNs have become the de facto standard for tasks involving spatial or grid-like data, including image classification, object detection, video analysis, and even non-visual tasks like audio spectrogram processing. Their ability to efficiently learn spatial hierarchies of features makes them uniquely suited to these domains.

However, CNNs are less suited to tasks where sequential dependencies dominate, such as language processing, where the order of inputs carries critical meaning.

2.4 Recurrent Neural Networks (RNNs)

2.4.1 Motivation and Concept

Many types of data encountered in real-world applications are inherently sequential. Words in a sentence follow grammatical and semantic order. Stock prices unfold over time, influenced by prior values. Audio signals are sequences of pressure fluctuations. Standard FFNNs and CNNs, which process fixed-size inputs independently, are poorly equipped to handle such temporal dependencies.

Recurrent Neural Networks (RNNs) introduce a form of memory into neural networks by allowing information to persist across time steps. At each step, an RNN processes one element of the sequence and updates a hidden state that captures information about past elements.

This recurrent structure allows RNNs to model dependencies of arbitrary length within sequences, making them suitable for tasks like language modeling, speech recognition, and time-series forecasting.

2.4.2 Mathematical Description

At each time step t, an RNN updates its hidden state h_t according to the current input x_t and the previous hidden state h_{t-1} :

$$h_t = \phi(W_{hh}h_{t-1} + W_{xh}x_t + b) \tag{2.4}$$

where W_{hh} and W_{xh} are learned weight matrices, b is a bias vector, and ϕ is a non-linear activation function such as tanh or ReLU.

The hidden state h_t can be interpreted as a summary of all past inputs up to time t, providing the network with memory.

2.4.3 Challenges and Solutions

Despite their elegant design, vanilla RNNs suffer from significant limitations. During training, the gradients used for learning can either vanish or explode as they are propagated back through many time steps — phenomena known as the vanishing gradient and exploding gradient problems. As a result, RNNs often struggle to learn long-term dependencies.

To address these issues, specialized architectures such as Long Short-Term Memory (LSTM) networks and Gated Recurrent Units (GRUs) were developed. These models introduce gates that control the flow of information, allowing the network to retain relevant memories over longer time spans while discarding irrelevant ones.

LSTMs and GRUs have become standard tools for sequence modeling, dramatically improving performance in tasks like machine translation, text generation, and automatic speech recognition.

2.5 Transformer Networks — The New Standard

2.5.1 Motivation and Revolution

While RNNs introduced memory into networks, they suffer from an inherent limitation: sequential processing. Each step depends on the previous one, making it difficult to parallelize training and limiting the effective length of dependencies.

Transformer networks, introduced in the seminal paper "Attention Is All You Need" (2017), revolutionized sequence modeling by replacing recurrence with self-attention mechanisms. This shift enabled models to process entire sequences simultaneously, capturing long-range dependencies efficiently and leveraging parallel computation.

2.5.2 Self-Attention Mechanism

The core idea of the Transformer is self-attention, where each input element attends to every other element in the sequence, learning relationships regardless of their distance.

Given an input sequence represented by embeddings X, three matrices are computed through learned linear transformations:

- Query (Q)
- Key (K)
- Value (V)

The attention scores are calculated as:

Attention
$$(Q, K, V) = \operatorname{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$
 (2.5)

where d_k is the dimensionality of the keys, and softmax normalizes the attention scores.

Multiple attention heads are used in parallel (multi-head attention), allowing the model to capture different types of relationships simultaneously. Positional encoding is added to the input embeddings to preserve the order of tokens, since the self-attention mechanism itself is order-agnostic.

2.5.3 Architectures and Dominance

Transformers come in two primary forms:

- Encoder-decoder models (e.g., original Transformer for translation tasks)
- Decoder-only models (e.g., GPT series for language generation)

Today, Transformer-based models dominate fields ranging from Natural Language Processing (BERT, GPT, T5) to Computer Vision (Vision Transformer, ViT) and multimodal learning (CLIP, Flamingo).

Their ability to scale to billions of parameters and train on massive datasets has driven much of the current wave of AI innovation.

2.6 Generative Adversarial Networks (GANs)

2.6.1 Concept and Dynamic

Generative Adversarial Networks (GANs), introduced by Ian Goodfellow in 2014, represent a fundamentally different approach to learning. Rather than optimizing a single model, GANs consist of two models in competition: a generator that tries to produce realistic synthetic data, and a discriminator that tries to distinguish between real and synthetic data.

During training, the generator improves by learning to fool the discriminator, while the discriminator improves by learning to better detect fakes. This adversarial dynamic drives both models to become increasingly sophisticated.

2.6.2 Challenges and Impact

Training GANs is notoriously delicate. If the discriminator becomes too powerful, it provides no meaningful gradient for the generator. If the generator becomes too powerful early, the discriminator fails to learn. Various techniques, such as careful architecture design, progressive training, and Wasserstein distances, have been developed to stabilize GAN training.

GANs have achieved remarkable success in areas such as synthetic media generation (DeepFakes), high-resolution image synthesis, art creation, and data augmentation for low-resource machine learning tasks.

2.7 Chapter Summary

Throughout this chapter, we have traced the evolution of neural network architectures from the simple feedforward models foundational to deep learning, to convolutional networks specialized for spatial data, recurrent networks designed for sequences, and transformers that now underpin the most advanced AI systems. We have also explored adversarial models that unleash the generative creativity of AI through competition.

Each architectural innovation reflects a deeper understanding of the structure of real-world data and the challenges of efficient learning. As we move forward, understanding these architectures will provide a crucial foundation for engaging with cutting-edge developments in Artificial Intelligence.