

# The Generative Identity Framework

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# Abstract

This monograph develops the generative framework for representing real numbers through layered mechanisms. The generative space  $\mathcal{X}$  consists of mixer, digit, and meta sequences equipped with the product topology, and its effective core  $\mathcal{G}_{\text{eff}}$  consists of computable mechanisms. Classical magnitude arises from the collapse of a generative identity and serves as the primary invariant of the framework. Collapse maps  $\mathcal{X}$  onto the continuum and maps  $\mathcal{G}_{\text{eff}}$  onto the computable real numbers, producing fibers that contain rich internal structure. Hybrid identities, which select digits with positive density, and ghost identities, which select digits with density zero, illustrate the variety of internal behaviors consistent with a fixed magnitude. Secondary projections provide coordinate systems for measuring aspects of this structure, but each depends on only a finite prefix of an effective identity. These finite dependence properties allow the construction of a meta diagonalizer that evades any finite family of computable projections. This yields the Structural Incompleteness Theorem, which shows that no finite coordinate system can classify effective generative identities. Classical analysis appears as a quotient of the generative space under collapse, with magnitude acting as a coarse invariant of a much richer internal mechanism. The final chapter outlines measure-theoretic, dynamical, and computability-theoretic directions for future research.

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The ideas developed in this monograph grew out of long periods of independent study and reflection that predate my formal training in mathematics. My academic background is in Industrial and Organizational Psychology, and I am completing an undergraduate degree in mathematics. The earliest versions of the concepts that eventually became the generative framework arose from efforts to understand how symbolic sequences can combine ordered and stochastic behavior. These intuitions matured into the program-based architecture presented here.

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# Contents

<b>Abstract</b>	<b>ii</b>
<b>Acknowledgments</b>	<b>iii</b>
<b>I The Generative Ontology</b>	<b>1</b>
<b>II The Generative Ontology</b>	<b>2</b>
<b>Summary of Part I: The Generative Ontology</b>	<b>3</b>
<b>1 The Generative Space and the Effective Core</b>	<b>4</b>
1.1 Introduction . . . . .	4
1.2 The Generative Space . . . . .	4
1.3 Canonical Output . . . . .	5
1.4 The Effective Core . . . . .	6
1.5 Forward Overview . . . . .	6
<b>2 Collapse as the Primary Invariant</b>	<b>8</b>
2.1 Introduction . . . . .	8
2.2 Digit Selection and the Collapsible Subspace . . . . .	8
2.3 Definition of the Collapse Map . . . . .	9
2.4 Surjectivity and Representation of the Continuum . . . . .	9
2.5 Collapse Equivalence and Fibers . . . . .	10
2.6 Outlook . . . . .	10
<b>3 Fiber Geometry of the Collapse Map</b>	<b>11</b>
3.1 Introduction . . . . .	11
3.2 Full Fibers in the Generative Space . . . . .	11
3.3 Effective Fibers and $\Pi_1^0$ Structure . . . . .	12
3.4 Internal Degrees of Freedom . . . . .	13
3.5 Shift Dynamics and Fiber Geometry . . . . .	13
3.6 Outlook . . . . .	13

<b>III</b>	<b>Selector Dynamics</b>	<b>14</b>
<b>IV</b>	<b>Selector Dynamics</b>	<b>15</b>
	<b>Summary of Part II: Selector Dynamics</b>	<b>16</b>
<b>4</b>	<b>Hybrid Generative Identities</b>	<b>17</b>
4.1	Introduction . . . . .	17
4.2	Digit Density and Hybrid Structure . . . . .	17
4.3	Topological Abundance of Hybrid Identities . . . . .	18
4.4	Hybrid Elements Within Collapse Fibers . . . . .	18
4.5	Effective Hybrid Generators . . . . .	18
4.6	Outlook . . . . .	19
<b>5</b>	<b>Null-Density Generators and Sparse Dynamics</b>	<b>20</b>
5.1	Introduction . . . . .	20
5.2	Digit Sparsity and Null-Density Structure . . . . .	20
5.3	Existence in Full Fibers . . . . .	21
5.4	Null-Density Generators in the Effective Core . . . . .	21
5.5	Sparse Dynamics Under the Shift . . . . .	21
5.6	Contrast With Hybrid Generators . . . . .	22
5.7	Outlook . . . . .	22
<b>V</b>	<b>Structural Projection Theory</b>	<b>23</b>
<b>VI</b>	<b>Structural Projection Theory</b>	<b>24</b>
	<b>Summary of Part III: Structural Projection Theory</b>	<b>25</b>
<b>6</b>	<b>The Lattice of Projections</b>	<b>26</b>
6.1	Introduction . . . . .	26
6.2	Prefix-Determined Observations . . . . .	26
6.3	Ordering Projections by Informational Refinement . . . . .	27
6.4	The Projection Lattice . . . . .	27
6.5	Collapse as an Extremal Projection . . . . .	28
6.6	Locality, Prefix Dependence, and Tail Freedom . . . . .	28
6.7	Outlook . . . . .	28
<b>7</b>	<b>Secondary Projections and Finite Lookahead</b>	<b>29</b>
7.1	Introduction . . . . .	29
7.2	Dependency Bounds . . . . .	29
7.3	Computable Structural Projections . . . . .	30
7.4	Finite-Prefix Stabilization . . . . .	30
7.5	Secondary Coordinates as Projections . . . . .	31
7.6	Interaction with the Projection Lattice . . . . .	31
7.7	Outlook . . . . .	31

<b>8</b>	<b>Projective Incompatibility</b>	<b>32</b>
8.1	Introduction . . . . .	32
8.2	Prefix Constraints Induced by Projections . . . . .	32
8.3	Compatibility of Projections . . . . .	32
8.4	Incompatibility via Conflicting Prefix Requirements . . . . .	33
8.5	Examples of Incompatible Projections . . . . .	33
8.6	Incompatibility in Collapse Fibers . . . . .	34
8.7	Prefix Conflict and Tail Freedom . . . . .	34
8.8	Outlook . . . . .	34
<b>VII</b>	<b>Structural Incompleteness</b>	<b>35</b>
<b>VIII</b>	<b>Structural Incompleteness</b>	<b>36</b>
	<b>Summary of Part IV: Structural Incompleteness</b>	<b>37</b>
<b>9</b>	<b>The Meta-Diagonalizer</b>	<b>38</b>
9.1	Introduction . . . . .	38
9.2	Setting and Notation . . . . .	38
9.3	Controlled Tail Divergence . . . . .	39
9.4	Index-Aligned Tail Sewing . . . . .	39
9.5	Stability Under Finite Observation . . . . .	39
9.6	Stage Construction of the Diagonalizer . . . . .	40
9.7	Properties of the Meta-Diagonalizer . . . . .	40
9.8	Outlook . . . . .	40
<b>10</b>	<b>The Structural Incompleteness Theorem</b>	<b>41</b>
10.1	Introduction . . . . .	41
10.2	Statement of the Theorem . . . . .	41
10.3	Proof of the Theorem . . . . .	42
10.4	Consequences . . . . .	43
10.5	Interpretation . . . . .	43
10.6	Outlook . . . . .	43
<b>IX</b>	<b>The Collapse Quotient</b>	<b>44</b>
<b>X</b>	<b>The Collapse Quotient</b>	<b>45</b>
	<b>Summary of Part V: The Collapse Quotient</b>	<b>46</b>
<b>11</b>	<b>The Continuum as a Collapse Quotient</b>	<b>47</b>
11.1	Introduction . . . . .	47
11.2	Collapse Fibers as Equivalence Classes . . . . .	47
11.3	The Continuum as a Quotient Space . . . . .	48
11.4	Effective Fibers and Computable Quotients . . . . .	48

11.5	Lost Structure and Collapse Dimension . . . . .	49
11.6	Extremality of Collapse . . . . .	49
11.7	Interpretation . . . . .	49
11.8	Outlook . . . . .	50
<b>XI</b>	<b>Extended Generative Coordinates</b>	<b>51</b>
<b>XII</b>	<b>Extended Generative Coordinates</b>	<b>52</b>
	<b>Summary of Part VI: Extended Generative Coordinates</b>	<b>53</b>
<b>12</b>	<b>Extended Invariants and the Expansion of Generative Coordinates</b>	<b>55</b>
12.1	Introduction . . . . .	55
12.2	What is a Generative Invariant? . . . . .	55
12.3	Collapse as the Primary Invariant . . . . .	56
12.4	Basic Requirements for Extended Invariants . . . . .	56
12.5	Examples and Non-Examples . . . . .	57
12.6	Extended Coordinates as Higher-Dimensional Embeddings . . . . .	57
12.7	Limits Imposed by Incompleteness . . . . .	58
12.8	Outlook . . . . .	58
<b>13</b>	<b>Entropy Balance as a Secondary Invariant</b>	<b>59</b>
13.1	Introduction . . . . .	59
13.2	Digit Density and Entropy Balance . . . . .	59
13.3	Continuity and Prefix Dependence . . . . .	59
13.4	Computability of Entropy Balance . . . . .	60
13.5	Entropy Balance as a Structural Projection . . . . .	60
13.6	Behavior Inside Collapse Fibers . . . . .	61
13.7	Hybrid and Null-Density Structure Revisited . . . . .	61
13.8	Compatibility with the Diagonalizer . . . . .	61
13.9	Outlook . . . . .	62
<b>14</b>	<b>The Fluctuation Index as a Tertiary Invariant</b>	<b>63</b>
14.1	Introduction . . . . .	63
14.2	Gap Sequences and Dispersion . . . . .	63
14.3	Finite-Prefix Fluctuation . . . . .	63
14.4	Definition of the Fluctuation Index . . . . .	64
14.5	Continuity and Prefix Dependence . . . . .	64
14.6	Computability . . . . .	64
14.7	Relationship to Entropy Balance . . . . .	64
14.8	Behavior Inside Collapse Fibers . . . . .	65
14.9	Projection-Lattice Structure . . . . .	65
14.10	Compatibility with Diagonalization . . . . .	65
14.11	Outlook . . . . .	65

<b>15</b>	<b>Orthogonal Extensions and the Complex Analogy</b>	<b>66</b>
15.1	Introduction . . . . .	66
15.2	Collapse as a One-Dimensional Projection . . . . .	66
15.3	Adding a Secondary Coordinate . . . . .	66
15.4	Orthogonal Extension Analogy . . . . .	67
15.5	Choosing $I = \eta$ or $I = \phi$ . . . . .	67
15.6	Higher-Dimensional Embeddings . . . . .	68
15.7	Limits of Dimensional Restoration . . . . .	68
15.8	Interpretation . . . . .	69
15.9	Outlook . . . . .	69
<b>16</b>	<b>Diminishing Returns and Final Outlook</b>	<b>70</b>
16.1	Introduction . . . . .	70
16.2	The Geometry of Successive Refinements . . . . .	70
16.3	Fiber Shrinkage Under Added Coordinates . . . . .	71
16.4	Projection-Lattice Interpretation . . . . .	71
16.5	Asymptotic Exhaustion of Structure . . . . .	71
16.6	Interpretation: Dimensional Saturation . . . . .	72
16.7	Collapse as the Limiting Shadow . . . . .	72
16.8	Final Outlook . . . . .	72
<b>A</b>	<b>Computable Analysis Background</b>	<b>73</b>
A.1	Introduction . . . . .	73
A.2	Computable Sequences . . . . .	73
A.3	Names and Represented Spaces . . . . .	73
A.4	Computable Real Numbers . . . . .	74
A.5	Computable Functionals on Sequence Spaces . . . . .	74
A.6	Secondary Projections on the Generative Space . . . . .	74
A.7	Computability of Collapse . . . . .	75
A.8	Summary . . . . .	75
<b>B</b>	<b>Uniform Bounds and Technical Lemmas</b>	<b>76</b>
B.1	Introduction . . . . .	76
B.2	Prefix Stabilization . . . . .	76
B.3	Uniform Bounds for Finite Families . . . . .	76
B.4	Controlled Divergence Inside Fibers . . . . .	77
B.5	Tail Sewing and Index Alignment . . . . .	77
B.6	Adjustment Lemma . . . . .	78
B.7	Summary . . . . .	78
<b>C</b>	<b>Technical Lemmas for the Meta-Diagonalizer</b>	<b>79</b>
C.1	Introduction . . . . .	79
C.2	Prefix Stabilization . . . . .	79
C.3	Uniform Stabilization for Finite Families . . . . .	79
C.4	Tail Sewing . . . . .	80



# Prelude

The classical real line is usually presented as an elementary object: a complete ordered field whose points arise from limits, decimal expansions, or Dedekind cuts. But behind every real number lies an implicit generative mechanism: a process that produces symbols, selects digits, and encodes structure long before the collapse to classical magnitude.

This monograph develops a framework in which real numbers arise not as primitive objects but as the images of *generative identities*—triples of symbolic processes equipped with a selector that determines which layer contributes to the observable output. The framework treats the classical magnitude of a real number as a *projection*, a collapse that forgets nearly all internal structure.

A generative identity is an infinite mechanism

$$G = (M, D, K)$$

consisting of a selector  $M$ , a digit layer  $D$ , and a meta layer  $K$ . These three layers combine to produce a canonical symbolic output, and from this output the collapse map  $\pi$  extracts a classical real number. The internal structure of  $G$ , however, extends far beyond magnitude: the selector encodes long-run frequency and irregularity; the meta layer carries independent symbolic information; and the unselected portion of the digit layer remains hidden from collapse entirely.

The purpose of this monograph is twofold:

1. to build a mathematical theory of this generative representation of real numbers, and
2. to analyze what information is lost when structure collapses to classical magnitude.

The resulting picture is both surprising and robust. Collapse is continuous, computable, and surjective, but it is maximally information-destroying: no finite system of computable observers can recover the internal structure of a generative identity from its classical value. Effective collapse fibers are infinite, structured, and rich in symbolic degrees of freedom that survive every finite observation. This phenomenon is formalized in the *Structural Incompleteness Theorem*, proved in Part IV.

Having established the limits of collapse, the monograph then develops *extended generative coordinates*—new invariants that enrich the representation of real numbers. Entropy balance measures the density of digit selections; fluctuation index measures the irregularity of those selections; further invariants quantify meta-pattern frequencies and other computable structural features. These invariants function as “orthogonal directions” that restore aspects of structure lost under collapse, providing a higher-dimensional view of real numbers and their generative mechanisms.

The text is organized into six parts:

**Part I** introduces the generative space, the collapse map, and the geometry of collapse fibers.

**Part II** studies selector-driven behavior inside fibers, including hybrid and null-density regimes, which already exhibit rich internal diversity.

**Part III** develops the theory of structural projections: continuous, prefix-determined observers that extract information from generative identities. This part builds the projection lattice, finite-lookahead theory, and projective incompatibility.

**Part IV** constructs the meta-diagonalizer and proves the Structural Incompleteness Theorem, showing that no finite family of computable projections can classify effective collapse fibers.

**Part V** interprets the classical continuum as the quotient of the generative space under collapse, clarifying the relationship between real numbers and their symbolic origins.

**Part VI** develops extended generative invariants, including entropy balance and fluctuation index, and introduces a geometric analogy with the complex plane, where collapse provides one axis and extended coordinates supply orthogonal directions.

Together, these parts present a unified theory: real numbers are shadows of richer symbolic processes, and collapse hides more structure than any finite system of invariants can recover. Extended coordinates reveal systematic fragments of this structure and provide a new generative geometry surrounding the classical continuum.

This monograph aims not to replace the standard real numbers, but to illuminate the generative mechanisms underlying their representation and the inherent limits of collapsing infinite symbolic structure into a single magnitude.

## Part I

# The Generative Ontology

## Part II

# The Generative Ontology

# Summary of Part I: The Generative Ontology

Part I establishes the foundational setting of the generative framework. The central objective is to replace the classical viewpoint—where real numbers arise as magnitudes—with a mechanism-oriented perspective in which real numbers are the collapsed images of richer symbolic processes.

A generative identity is a triple

$$G = (M, D, K),$$

where the selector  $M$  determines which layer contributes each symbol of the canonical output, the sequence  $D$  supplies classical digit information, and the sequence  $K$  carries additional meta-structure. The generative space  $\mathcal{X}$  is the full product of these three layers, equipped with the product topology. This topology makes finite-prefix agreement the basic notion of nearness and places the theory squarely in the context of symbolic dynamics and represented spaces.

Chapter 1 develops this ontology. It defines  $\mathcal{X}$ , introduces the canonical output associated with each identity, and isolates the effective core  $\mathcal{G}_{\text{eff}}$ , the subset consisting of computable generative identities. This core plays the role of a computable analogue of Baire space and forms the computational foundation for all subsequent results.

Chapter 2 introduces the collapse map  $\pi : \mathcal{X}^* \rightarrow [0, 1]$ , the primary invariant of the framework. Collapse discards nearly all of the symbolic structure of  $G$  and preserves only the selected digits, which it interprets as a base- $b$  expansion. The map is continuous, surjective, and computably well-behaved: it maps the full generative space onto the unit interval and maps the effective core precisely onto the computable real numbers.

Chapter 3 analyzes the structure of collapse fibers

$$\mathcal{F}(x) = \{ G \in \mathcal{X}^* : \pi(G) = x \}.$$

These fibers are closed, uncountable subsets of  $\mathcal{X}$ , and their effective counterparts  $\mathcal{F}_{\text{eff}}(x)$  are nontrivial  $\Pi_1^0$  classes whenever  $x$  is computable. Each fiber contains a wide range of internal behaviors: selectors of varying density, digit streams with different unused coordinates, and a full spectrum of meta-layer structures. This internal abundance forms the foundational motivation for the projection theory and incompleteness results developed in later parts.

Part I therefore establishes the ontological core of the generative framework: the space of symbolic mechanisms, the collapse map that connects this space to the classical continuum, and the geometric and effective properties of the collapse fibers. These ideas underpin the study of selector dynamics in Part II, the theory of structural projections in Part III, and the diagonal arguments leading to structural incompleteness in Part IV.

# Chapter 1

## The Generative Space and the Effective Core

### 1.1 Introduction

The generative framework begins with a space of layered mechanisms that produce symbolic sequences. This space, called the generative space, is introduced independently of the classical real line. Classical magnitude arises later, in Chapter 2, as the image of a collapse map that extracts digit information from these mechanisms.

The purpose of this chapter is threefold:

- to define the raw generative space as a product of sequence layers,
- to equip this space with the natural product topology generated by finite prefixes,
- to single out the effective core, consisting of programmatically describable mechanisms, which will be central in later parts of the monograph.

We fix once and for all a digit base  $b \geq 2$  and a finite meta alphabet  $\Sigma$ . The symbol  $D$  denotes the digit layer and  $K$  denotes the meta layer. A generative identity is a triple

$$G = (M, D, K),$$

where  $M$  is a selector (or mixer) that chooses between the digit and meta layers at each position. Later chapters will study how different choices of  $M$  produce dense, sparse, or structured behaviors inside collapse fibers.

### 1.2 The Generative Space

The starting point is a product of three unilateral sequence spaces. It is defined before any reference to classical real numbers, magnitude, or value.

**Definition 1.1** (Generative Space). The *generative space* is the product

$$\mathcal{X} = \{D, K\}^{\mathbb{N}} \times \{0, 1, \dots, b-1\}^{\mathbb{N}} \times \Sigma^{\mathbb{N}},$$

equipped with the product topology induced by the discrete topology on each factor. An element  $G \in \mathcal{X}$  is a triple

$$G = (M, D, K),$$

where

$$M : \mathbb{N} \rightarrow \{D, K\}, \quad D : \mathbb{N} \rightarrow \{0, 1, \dots, b-1\}, \quad K : \mathbb{N} \rightarrow \Sigma.$$

Intuitively,  $M$  prescribes, at each time  $n$ , which layer contributes to the observable symbolic output. The layer  $D$  carries classical positional information, while  $K$  carries auxiliary or structural information that may be ignored by collapse but remains available to generative analysis.

### Topology and Cylinder Sets

The topology on  $\mathcal{X}$  is the standard product (or cylinder) topology familiar from symbolic dynamics and Baire space representations. For a sequence  $s \in A^{\mathbb{N}}$  over a finite alphabet  $A$ , the prefix of length  $n$  is written

$$s \upharpoonright n = (s(0), \dots, s(n-1)).$$

A basic open set in  $A^{\mathbb{N}}$  consists of all sequences that agree with a fixed sequence on a finite prefix.

The product topology on  $\mathcal{X}$  is generated by sets of the form

$$U_{M_0, D_0, K_0} = \{(M, D, K) \in \mathcal{X} : M \upharpoonright n_M = M_0, D \upharpoonright n_D = D_0, K \upharpoonright n_K = K_0\},$$

where  $M_0$ ,  $D_0$ , and  $K_0$  are finite words of lengths  $n_M$ ,  $n_D$ , and  $n_K$ , respectively. In other words, a basic open set specifies finitely many coordinates in each layer and leaves all remaining coordinates free.

**Remark 1.1.** The topology on  $\mathcal{X}$  encodes the idea that finite observation can only inspect finite prefixes of the three layers. This viewpoint is inherited by all later constructions: secondary projections, dependency bounds, and diagonalization all operate by controlling or modifying sufficiently long tails while preserving a finite prefix.

## 1.3 Canonical Output

Although  $G = (M, D, K)$  has three internal layers, it determines a single observable symbolic output by following the mixer.

**Definition 1.2** (Canonical Output). For  $G = (M, D, K) \in \mathcal{X}$ , the *canonical output* is the sequence

$$X(G) = (x_n)_{n \geq 0} \in (\{0, 1, \dots, b-1\} \cup \Sigma)^{\mathbb{N}}$$

defined by

$$x_n = \begin{cases} D(n), & \text{if } M(n) = D, \\ K(n), & \text{if } M(n) = K. \end{cases}$$

Thus the selector  $M$  determines, at each position, which symbol is exposed to any observer that reads the canonical output. The full triple  $(M, D, K)$  remains available at the mechanism level; the output  $X(G)$  represents what can be seen by a layer-blind observer.

**Example 1.1.** If  $M(n) = D$  for all  $n$ , then  $X(G) = D$  and the meta layer is completely hidden. If  $M(n) = K$  for all  $n$ , then  $X(G) = K$  and the digit layer is never exposed. Intermediate patterns where  $M$  alternates or follows more complex rules produce mixtures of digit and meta symbols.

The canonical output will be used in Chapter 2 to define the collapse map

$$\pi : \mathcal{X} \rightarrow [0, 1],$$

which extracts the subsequence of digits selected by  $M$  and interprets those digits as a base- $b$  expansion of a real number. The product topology on  $\mathcal{X}$  ensures that  $X(G)$  depends continuously on  $G$  with respect to finite-prefix perturbations.

**Remark 1.2.** The presence of a distinct meta layer is one of the structural features that differentiates the generative space from both classical digit expansions and ordinary two-sided or one-sided shifts. In the generative viewpoint, meta information may influence auxiliary invariants, selection patterns, and extended coordinates, even when it has no direct effect on classical magnitude.

## 1.4 The Effective Core

The space  $\mathcal{X}$  is uncountable and contains mechanisms with arbitrary, possibly non-constructive behavior. For the purposes of diagonalization, structural incompleteness, and comparison with computable analysis, it is essential to isolate the programmatic subspace of generative identities.

**Definition 1.3** (Effective Generative Identity). A generative identity  $G = (M, D, K)$  is *effective* if each component sequence is computable in the sense of Type-2 computability. Equivalently, there exist Turing machines that, on input  $n$ , output  $M(n)$ ,  $D(n)$ , and  $K(n)$ , respectively.

**Definition 1.4** (Effective Core). The *effective core* of the generative space is the subset

$$\mathcal{G}_{\text{eff}} = \{ G \in \mathcal{X} : G \text{ is effective} \}.$$

The set  $\mathcal{G}_{\text{eff}}$  is countable, in contrast with the uncountable size of  $\mathcal{X}$ . Its elements can be described by finite programs that compute the three component sequences. Later, we will construct explicit effective identities to demonstrate the abundance of hybrid behavior, null-density selectors, and meta-diagonalizing mechanisms inside the effective core.

## Representation-Theoretic Interpretation

The split between  $\mathcal{X}$  and  $\mathcal{G}_{\text{eff}}$  mirrors a standard pattern in computable analysis. The ambient space  $\mathcal{X}$  serves as a representation space, analogous to Baire space or Cantor space, while the effective core consists of computable names of the objects under study. In this monograph, the objects represented by generative identities are classical real numbers and, in later parts, extended structural coordinates.

**Remark 1.3.** In the effective setting, the collapse map will map  $\mathcal{G}_{\text{eff}}$  onto the computable real numbers  $\mathbb{R}_c$ , while the full space  $\mathcal{X}$  maps onto the entire unit interval. This resolves the cardinality obstruction that arises if one tries to represent all real numbers purely by programs: the non-computable reals are represented by non-effective mechanisms in  $\mathcal{X} \setminus \mathcal{G}_{\text{eff}}$ .

## 1.5 Forward Overview

This chapter introduces the raw ingredients of the generative framework: a layered product space of sequences, an observable canonical output, and a programmatic effective core. The remaining chapters of Part I build on these definitions.



Chapter 2 defines the collapse map

$$\pi : \mathcal{X}^* \rightarrow [0, 1],$$

where  $\mathcal{X}^*$  consists of those identities that select digits infinitely often. Collapse extracts the digit subsequence chosen by  $M$  and interprets it as a base- $b$  expansion, thereby assigning a classical magnitude to each suitable generative identity.

Chapter 3 studies the geometry and complexity of collapse fibers

$$\mathcal{F}(x) = \{G \in \mathcal{X}^* : \pi(G) = x\},$$

both in the full space and in the effective core. These fibers form the backdrop for Part II, where we analyze hybrid and null-density selector regimes, and for the later parts, where secondary projections, structural projection theory, and the meta-diagonalizer are developed.

In summary, the generative space  $\mathcal{X}$  and its effective core  $\mathcal{G}_{\text{eff}}$  provide the ontological setting for the entire framework. All subsequent concepts, invariants, and impossibility results are formulated in terms of these mechanisms and their collapse to classical magnitude.

## Chapter 2

# Collapse as the Primary Invariant

### 2.1 Introduction

Chapter 1 introduced the generative space

$$\mathcal{X} = \{D, K\}^{\mathbb{N}} \times \{0, 1, \dots, b-1\}^{\mathbb{N}} \times \Sigma^{\mathbb{N}},$$

and its effective core  $\mathcal{G}_{\text{eff}}$ , consisting of computable generative identities. Each identity  $G = (M, D, K)$  consists of a selector  $M$ , a digit layer  $D$ , and a meta layer  $K$ . These mechanisms exist independently of the classical real line: no numerical value is associated to an identity until a prescribed interpretation is applied.

This chapter introduces the central interpretation map of the framework: the *collapse map*. Collapse extracts the digit symbols chosen by the selector and interprets them as a base- $b$  expansion. The result is a classical magnitude in  $[0, 1]$ . In this sense, collapse is the primary invariant of the generative space: it is the unique invariant studied in classical analysis, and all other secondary invariants are subordinate to it.

Two principal facts are established:

1. Collapse is *surjective*: every real number in  $[0, 1]$  is the collapsed value of some generative identity.
2. On the effective core, collapse is *computably surjective*: every computable real number arises from an effective identity.

Collapse thus serves as the bridge between the generative world and the classical continuum. Its fibers, studied in Chapter 3, encode all generative mechanisms that produce a given classical value.

### 2.2 Digit Selection and the Collapsible Subspace

The collapse map interprets only those identities whose selector chooses the digit layer infinitely often. Otherwise, the extracted digit subsequence would be finite and would not encode a real number.

**Definition 2.1** (Selected Digit Indices). For  $G = (M, D, K) \in \mathcal{X}$ , let

$$S_M = \{n_0 < n_1 < n_2 < \dots\}$$

be the (possibly finite) increasing sequence of indices such that  $M(n_j) = D$ .

**Definition 2.2** (Selected Digit Subsequence). If  $S_M$  is infinite, the *selected digit subsequence* of  $G$  is

$$d_G(j) = D(n_j) \quad (j \geq 0).$$

If  $S_M$  is finite, the selected digit subsequence is finite.

**Definition 2.3** (Digit-Selecting Identities). The *digit-selecting subspace* is

$$\mathcal{X}^* = \{ G \in \mathcal{X} : S_M \text{ is infinite} \}.$$

Identities in  $\mathcal{X}^*$  select digit symbols infinitely many times and therefore admit a classical interpretation. Those outside  $\mathcal{X}^*$  may still possess rich structure, but they do not define classical magnitudes.

## 2.3 Definition of the Collapse Map

Collapse discards all meta symbols and all unselected digit symbols, retaining only the infinite subsequence  $d_G$ . This subsequence is interpreted as a base- $b$  expansion.

**Definition 2.4** (Collapse Map). For  $G \in \mathcal{X}^*$ , the *collapse map*  $\pi : \mathcal{X}^* \rightarrow [0, 1]$  is defined by

$$\pi(G) = \sum_{j=0}^{\infty} \frac{d_G(j)}{b^{j+1}}.$$

Thus, collapse maps the high-dimensional mechanism  $G$  to the real number whose digits are prescribed by the selected subsequence of  $D$ .

**Remark 2.1.** Collapse is a canonical projection: it forgets nearly all of the mechanism. The selector  $M$  determines *which* digits are exposed to classical interpretation, but  $M$  itself does not affect the real number obtained. The meta layer  $K$  plays no role at all in determining  $\pi(G)$ .

## 2.4 Surjectivity and Representation of the Continuum

To justify the generative framework, collapse must reach the entire continuum. This turns out to be immediate.

**Theorem 2.1** (Surjectivity). *Collapse maps  $\mathcal{X}^*$  onto the full unit interval:*

$$\pi(\mathcal{X}^*) = [0, 1].$$

*Proof.* Given any  $x \in [0, 1]$  with base- $b$  expansion  $(x_j)$ , define

$$M(n) = D, \quad D(n) = x_n, \quad K \text{ arbitrary.}$$

Then  $S_M = \mathbb{N}$  and  $d_G(j) = x_j$  for all  $j$ . Thus  $\pi(G) = x$ . □

The effective situation is equally straightforward.

**Theorem 2.2** (Effective Surjectivity). *Collapse maps the effective digit-selecting core onto the computable real numbers:*

$$\pi(\mathcal{G}_{\text{eff}} \cap \mathcal{X}^*) = \mathbb{R}_c.$$

*Proof.* If  $G$  is effective, then  $M$  and  $D$  are computable. Thus the selected digit sequence  $d_G$  is computable, and so  $\pi(G)$  is a computable real.

Conversely, if  $x \in \mathbb{R}_c$ , let  $(x_j)$  be a computable base- $b$  expansion. Define  $M(n) = D$  and  $D(n) = x_n$ , with arbitrary  $K$ . Then  $G$  is effective and  $\pi(G) = x$ .  $\square$

Collapse thus provides the exact computable representation map familiar from Type-2 computability, via the richer structure of layered generative mechanisms.

## 2.5 Collapse Equivalence and Fibers

Collapse is highly non-injective: many distinct generative identities collapse to the same classical magnitude. The structure of these equivalence classes is central to the rest of the monograph.

**Definition 2.5** (Collapse Equivalence). For  $G, H \in \mathcal{X}^*$ ,

$$G \sim_\pi H \iff \pi(G) = \pi(H).$$

**Definition 2.6** (Collapse Fiber). For  $x \in [0, 1]$ , the *collapse fiber* at  $x$  is

$$\mathcal{F}(x) = \{ G \in \mathcal{X}^* : \pi(G) = x \}.$$

**Remark 2.2.** Each fiber  $\mathcal{F}(x)$  is closed in the product topology of  $\mathcal{X}$ . If  $x$  is computable, the effective fiber

$$\mathcal{F}_{\text{eff}}(x) = \mathcal{F}(x) \cap \mathcal{G}_{\text{eff}}$$

is a  $\Pi_1^0$  class. This descriptive complexity plays a key role in the meta-diagonalizer of Part IV.

Fibers contain identities with radically different internal structure: high-density hybrids, sparse null-density generators, periodic selectors, pseudo-random selectors, and intricate meta-layer patterns. All such behaviors are compatible with the same classical magnitude because collapse observes only the selected digits.

## 2.6 Outlook

The collapse map provides the primary invariant of the generative framework and establishes the connection between mechanisms and classical values. Its surjectivity guarantees that every real number has generative representations. Its non-injectivity gives rise to the rich internal geometry of collapse fibers, which is the subject of Chapter 3.

These fibers form the foundational environment for the internal selector regimes of Part II, the projection-based analysis of structure developed in Part III, and ultimately the diagonalization and incompleteness results of Part IV.

## Chapter 3

# Fiber Geometry of the Collapse Map

### 3.1 Introduction

The collapse map introduced in Chapter 2 assigns a classical magnitude to a generative identity by extracting and interpreting the digit symbols selected by its mixer. Because collapse ignores the meta layer and all unselected digit positions, distinct generative identities may produce the same classical value. Understanding the structure of these equivalence classes is a central objective of the generative viewpoint.

This chapter studies the *collapse fibers*

$$\mathcal{F}(x) = \{ G \in \mathcal{X}^* : \pi(G) = x \},$$

which collect all identities that collapse to a given real number  $x$ . We describe their topological, combinatorial, and effective structure. The full fibers  $\mathcal{F}(x)$  are closed and uncountable subsets of the product space  $\mathcal{X}$ , while the effective fibers  $\mathcal{F}_{\text{eff}}(x)$ , defined for computable  $x$ , form nontrivial  $\Pi_1^0$  classes.

These results lay the groundwork for the selector regimes explored in Part II and for the projection-based restrictions developed in Parts III and IV.

### 3.2 Full Fibers in the Generative Space

**Definition 3.1** (Full Fiber). For  $x \in [0, 1]$ , the *full collapse fiber* is

$$\mathcal{F}(x) = \{ G \in \mathcal{X}^* : \pi(G) = x \}.$$

A mechanism belongs to  $\mathcal{F}(x)$  precisely when its selected digit subsequence equals a base- $b$  expansion of  $x$ . The selector determines *where* in the timeline these digits occur, but the meta symbols and all digit symbols at unselected positions are irrelevant to the value of  $\pi(G)$ .

**Proposition 3.1** (Fibers Are Closed). *For every  $x \in [0, 1]$ , the fiber  $\mathcal{F}(x)$  is closed in the product topology of  $\mathcal{X}$ .*

*Proof.* Collapse is continuous and  $[0, 1]$  is Hausdorff. Therefore  $\mathcal{F}(x) = \pi^{-1}(\{x\})$  is closed.  $\square$

The closedness of fibers reflects the fact that a finite-prefix violation of the digit constraints suffices to prove that  $G \notin \mathcal{F}(x)$ .

## Product Structure

To describe the internal geometry of a fiber, fix  $x \in [0, 1]$  with a chosen base- $b$  expansion  $(x_j)_{j \geq 0}$ . For any  $G = (M, D, K) \in \mathcal{X}^*$ , let

$$\varphi_G : \mathbb{N} \rightarrow S_M$$

enumerate the indices where  $M$  selects the digit layer.

**Proposition 3.2** (Product Decomposition of Fibers). *For  $G \in \mathcal{X}^*$ ,*

$$G \in \mathcal{F}(x) \iff D(\varphi_G(j)) = x_j \text{ for all } j \geq 0.$$

*All other coordinates of  $D$  and all coordinates of  $K$  are unconstrained.*

*Proof.* If the selected digit subsequence is exactly  $(x_j)$ , the collapse value equals  $x$ . Conversely, if  $\pi(G) = x$ , the selected digit positions must realize the chosen expansion of  $x$ . Other coordinates do not affect collapse.  $\square$

The fiber therefore has the structure of a full product: the selector is arbitrary (subject to selecting infinitely many digits), the digit layer is constrained only on selected positions, and the meta layer is completely free.

**Corollary 3.1.** *Every fiber  $\mathcal{F}(x)$  is uncountable.*

The uncountability reflects the enormous freedom present in the unconstrained layers.

## 3.3 Effective Fibers and $\Pi_1^0$ Structure

Restricting to the effective core substantially changes the descriptive nature of the fibers.

**Definition 3.2** (Effective Fiber). If  $x \in \mathbb{R}_c$ , the *effective fiber* is

$$\mathcal{F}_{\text{eff}}(x) = \mathcal{F}(x) \cap \mathcal{G}_{\text{eff}}.$$

If  $x$  is not computable, this set is empty.

**Remark 3.1** (Effective Fibers Are  $\Pi_1^0$ ). Since collapse is computable, the condition  $\pi(G) = x$  can be falsified by exhibiting a finite prefix of  $G$  that violates the digit constraints. Thus  $\mathcal{F}_{\text{eff}}(x)$  is a  $\Pi_1^0$  subset of  $\mathcal{X}$ : membership requires agreement on all finite prefixes, but non-membership can be witnessed by a finite prefix.

**Proposition 3.3** (Effective Fibers Are Infinite). *If  $x \in \mathbb{R}_c$ , then  $\mathcal{F}_{\text{eff}}(x)$  is infinite.*

*Proof.* Fix a computable expansion of  $x$ . Any computable selector that selects digits infinitely often, together with any computable meta sequence, yields an effective generator of  $x$ . There are infinitely many such choices.  $\square$

Thus, even under computability constraints, the fiber contains many distinct mechanisms with the same classical value.

### 3.4 Internal Degrees of Freedom

By Proposition 3.2, each fiber supports three independent degrees of freedom:

1. **Selector freedom:** arbitrary choice of  $M$  on the positions where  $M$  selects the meta layer.
2. **Digit freedom:** arbitrary choice of  $D(n)$  for  $n \notin S_M$ .
3. **Meta freedom:** arbitrary choice of the entire sequence  $K$ .

These give rise to significant structural diversity.

**Proposition 3.4** (Infinite Divergence Within a Fiber). *Let  $x \in [0, 1]$ . If  $G \in \mathcal{X}^*$  selects digits infinitely often, then  $\mathcal{F}(x)$  contains infinitely many distinct identities that differ from  $G$  on an infinite set of coordinates.*

*Proof.* Vary the meta layer on an infinite set, or vary the unselected digit coordinates on an infinite set, while preserving the required digit subsequence. Both operations produce infinitely many distinct identities in  $\mathcal{F}(x)$ .  $\square$

This abundance of mechanisms anticipates the selector-regime dichotomy of Part II: hybrid identities with positive digit density and null-density identities with asymptotically vanishing digit density.

### 3.5 Shift Dynamics and Fiber Geometry

The generative space carries a natural left shift:

$$\sigma(M, D, K)(n) = (M(n+1), D(n+1), K(n+1)).$$

**Proposition 3.5.** *If  $G \in \mathcal{F}(x)$ , the shift  $\sigma(G)$  need not belong to  $\mathcal{F}(x)$ . However,  $\sigma$  is continuous on  $\mathcal{X}$  and preserves the product topology.*

The shift map reorganizes the timeline of each fiber element. Although collapse fibers are not invariant under  $\sigma$ , the shift action is a useful tool for understanding the long-term patterns of hybrid and null-density selectors. In later chapters, shift-based arguments help classify selector regimes and analyze their structural consequences.

### 3.6 Outlook

This chapter describes the basic geometry of collapse fibers: closedness, uncountability, effective  $\Pi_1^0$  structure, and the degrees of freedom that generate internal diversity. These fibers form the natural habitat for the selector regimes developed in Part II, where we study hybrid identities (positive digit density) and null-density generators (asymptotically vanishing digit density). The range of behaviors found within a single fiber motivates the need for secondary projections and structural measurement, the subject of Part III.

**Part III**

**Selector Dynamics**



**Part IV**

**Selector Dynamics**

# Summary of Part II: Selector Dynamics

Part II investigates the internal behaviors exhibited by generative identities through the long-term structure of their selectors. The selector  $M$  determines which layer contributes each symbol to the canonical output. Its asymptotic pattern governs how information from the digit and meta layers is interwoven and thereby shapes the mechanism underlying a classical real number.

The central theme of this part is the classification of selector regimes. Two extremes illustrate the range of behaviors supported within a single collapse fiber.

- **Hybrid identities** have positive digit-selection density. Their canonical outputs draw substantially from both the digit and meta layers. Hybrids form a dense subset of the generative space and are algorithmically universal: every computable real number can be generated by an effective hybrid identity.
- **Null-density identities** select digits infinitely often but with asymptotic density zero. Their canonical outputs are dominated by the meta layer, yet the sparse digit positions still encode the exact classical magnitude. Null-density mechanisms demonstrate that collapse does not depend on selector density and that magnitude can be embedded in extremely thin symbolic structures.

Chapter 4 develops the hybrid regime. It introduces digit-selection density, proves that hybrid identities are topologically generic in  $\mathcal{X}$ , and shows that every effective collapse fiber contains infinitely many hybrid generators. This density of hybrid structure clarifies how classical magnitude can arise from mechanisms with substantial internal interaction between layers.

Chapter 5 develops the complementary null-density regime. It formalizes sparse selection patterns, proves the existence of effective null-density generators for every computable real number, and examines their dynamical stability under the shift. These generators reveal a contrasting, meta-dominated geometry within each collapse fiber.

Together, hybrid and null-density identities provide the fundamental selector geometries that anchor the study of internal structure. Their asymptotic behaviors motivate the development of structural projections in Part III, where we analyze how much of this internal variation can be observed through computable coordinate systems, and how much necessarily remains invisible.

## Chapter 4

# Hybrid Generative Identities

### 4.1 Introduction

Hybrid generative identities are mechanisms in which the selector uses the digit layer on a set of positive asymptotic density. Such identities represent an intermediate regime between two extremes:

- the *digit-dominant* identities where the selector eventually chooses the digit layer at all positions, and
- the *null-density* identities of Chapter 5, where the digit layer appears only sparsely.

Hybrid identities are fundamental for two reasons. First, they are topologically abundant in the generative space  $\mathcal{X}$ . Second, every collapse fiber contains infinitely many hybrid generators, and every computable real number admits an effective hybrid representation.

The purpose of this chapter is to formalize hybrid behavior, to establish its topological prevalence, and to prove its universality in both the full generative space and the effective core. These results illuminate one end of the selector-regime spectrum developed in Part II and prepare for the projection-theoretic analysis of Part III.

### 4.2 Digit Density and Hybrid Structure

The defining feature of a hybrid identity is the density with which the selector chooses the digit layer.

**Definition 4.1** (Digit Density). For  $G = (M, D, K) \in \mathcal{X}$ , the *digit density* is

$$\eta(G) = \liminf_{n \rightarrow \infty} \frac{1}{n} |\{0 \leq k < n : M(k) = D\}|.$$

The  $\liminf$  ensures that  $\eta(G)$  is defined even when the selector is irregular, oscillatory, or otherwise not convergent in the usual Cesàro sense.

**Definition 4.2** (Hybrid Identity). A generative identity  $G$  is *hybrid* if  $\eta(G) > 0$ .

Thus, in a hybrid identity, the digit layer appears with positive lower density in the timeline. In particular, the digit layer is selected infinitely often, and both the digit and meta layers contribute infinitely many symbols to the canonical output.

### 4.3 Topological Abundance of Hybrid Identites

Hybrid identities are topologically generic in the product topology.

**Proposition 4.1** (Density of Hybrid Identities). *The set of hybrid identities is dense in  $\mathcal{X}$ .*

*Proof.* Let  $U$  be a nonempty basic open set, specified by finite prefixes of  $M$ ,  $D$ , and  $K$ . Extend the specified prefix of  $M$  to a selector  $M'$  that chooses the digit layer at all sufficiently large indices. Define  $D'$  and  $K'$  arbitrarily on the remaining positions. Then  $G' = (M', D', K')$  lies in  $U$  and satisfies  $\eta(G') = 1$ . Hence  $U$  intersects the hybrid set, proving density.  $\square$

Hybrids may not be comeager, but they form a dense and algebraically flexible subset of the generative space. They represent the high-density end of the selector-regime spectrum.

### 4.4 Hybrid Elements Within Collapse Fibers

Collapse fibers contain a vast diversity of internal structures. In particular, every fiber contains infinitely many hybrid identities.

**Proposition 4.2** (Hybrid Abundance in Fibers). *For every  $x \in [0, 1]$ , the fiber  $\mathcal{F}(x)$  contains infinitely many hybrid identities.*

*Proof.* Fix a base- $b$  expansion  $(x_j)$  of  $x$ . Choose any selector  $M$  with  $\eta(M) > 0$ . Let  $\varphi_G$  enumerate the positions where  $M$  selects the digit layer. Define the digit layer  $D$  so that

$$D(\varphi_G(j)) = x_j \quad \text{for all } j.$$

Assign  $K$  freely to the remaining positions. Any such  $G = (M, D, K)$  belongs to  $\mathcal{F}(x)$ . Varying  $M$  or  $K$  produces infinitely many hybrid identities in the fiber.  $\square$

Thus the internal geometry of a fiber includes high-density selector behavior in addition to the sparse dynamics of Chapter 5.

### 4.5 Effective Hybrid Generators

Despite the algorithmic restrictions in the effective core, hybrid structure remains fully universal.

**Theorem 4.1** (Effective Hybrid Universality). *For every computable real  $x \in \mathbb{R}_c$ , there exists an effective hybrid generator  $G \in \mathcal{F}_{\text{eff}}(x)$ .*

*Proof.* Let  $(x_j)$  be a computable base- $b$  expansion of  $x$ . Define a computable selector  $M$  by

$$M(n) = \begin{cases} D, & \text{if } n \text{ is even,} \\ K, & \text{if } n \text{ is odd.} \end{cases}$$

Then  $\eta(G) = \frac{1}{2} > 0$ .

Define a computable digit layer  $D$  by  $D(2j) = x_j$ . Define  $K$  arbitrarily on odd positions. All three components are computable, so  $G$  is effective, and collapse yields  $\pi(G) = x$ . Thus  $G$  is an effective hybrid generator for  $x$ .  $\square$

Hybrid identities therefore appear naturally even under strict computability constraints.

## 4.6 Outlook

Hybrid generative identities represent the positive-density regime of selector behavior. They are topologically dense, present in every collapse fiber, and universally available for computable real numbers. Their behavior contrasts sharply with the null-density identities of Chapter 5, which sit at the opposite end of the selector-regime spectrum. Together, these two extremes reveal the structural richness inside collapse fibers and motivate the projection-theoretic analysis of Part III.

## Chapter 5

# Null-Density Generators and Sparse Dynamics

### 5.1 Introduction

Chapter 4 analyzed hybrid identities, whose selectors choose the digit layer on a set of positive lower density. Hybrid mechanisms occupy the high-density end of the selector spectrum, where the information encoding classical magnitude is distributed across a substantial portion of the timeline.

This chapter develops the complementary regime: *null-density generators*. A null-density generator selects the digit layer infinitely often, ensuring that collapse is well-defined, but does so at vanishing asymptotic density. In this regime the meta layer dominates the canonical output, yet the sparse digit positions still encode a precise classical real number.

Null-density behavior demonstrates the expressive flexibility of collapse. Magnitude information can be encoded in a sparse subsequence of the timeline, leaving the overwhelming majority of coordinates unconstrained. This allows entire regions of the mechanism to carry additional structure that is invisible to classical magnitude but becomes relevant for secondary invariants and structural projections.

The goal of this chapter is to formalize null-density generation, establish its prevalence in both full and effective fibers, analyze its shift dynamics, and contrast it with hybrid behavior. These results complete the classification of selector regimes that underlies Part III's projection-theoretic analysis and Part IV's diagonalizer.

### 5.2 Digit Sparsity and Null-Density Structure

A null-density generator represents a real number through a selector that chooses the digit layer rarely but still infinitely often.

**Definition 5.1** (Null-Density Generator). A generative identity  $G = (M, D, K)$  is a *null-density generator* if

1.  $M$  selects the digit layer infinitely often, and
2. its digit density satisfies

$$\eta(G) = \liminf_{n \rightarrow \infty} \frac{1}{n} |\{0 \leq k < n : M(k) = D\}| = 0.$$

Thus the distances between successive digit selections grow without bound. The resulting canonical output consists almost entirely of meta symbols.

**Remark 5.1** (Symbolic-Dynamic Perspective). From the standpoint of symbolic dynamics, a null-density selector belongs to a subshift of extremely low combinatorial complexity. If the gaps between digit selections diverge, the selector subshift has topological entropy zero. This contrasts sharply with many hybrid selectors, which may belong to positive-entropy subshifts.

### 5.3 Existence in Full Fibers

Null-density behavior is compatible with any classical magnitude.

**Proposition 5.1** (Null-Density Generators in Fibers). *For every  $x \in [0, 1]$ , the fiber  $\mathcal{F}(x)$  contains infinitely many null-density generators.*

*Proof.* Let  $(x_j)$  be a base- $b$  expansion of  $x$ . Let  $S = \{j^2 : j \geq 1\}$  and define the selector  $M$  by  $M(n) = D$  if  $n \in S$  and  $M(n) = K$  otherwise. The number of squares below  $n$  satisfies  $|S \cap [0, n]| \approx \sqrt{n}$ , so  $\eta(G) = 0$  for any identity  $G$  using this selector.

Define  $D(j^2) = x_j$  and assign  $D(n)$  arbitrarily for  $n \notin S$ . Choose any meta sequence  $K$ . All such mechanisms collapse to  $x$ , and varying  $K$  yields infinitely many distinct null-density generators in  $\mathcal{F}(x)$ .  $\square$

Sparse selectors therefore impose no restriction on which real numbers may be represented.

### 5.4 Null-Density Generators in the Effective Core

The construction above remains valid in the effective setting because the sparse selector pattern  $n = j^2$  is computable.

**Proposition 5.2** (Effective Null-Density Generation). *If  $x \in \mathbb{R}_c$ , then  $\mathcal{F}_{\text{eff}}(x)$  contains effective null-density generators. If  $x$  is not computable,  $\mathcal{F}_{\text{eff}}(x)$  is empty.*

*Proof.* Let  $(x_j)$  be a computable expansion of  $x$ . Define a selector  $M$  that chooses  $D$  exactly at positions  $j^2$ . The set of squares is recursive, so  $M$  is computable and satisfies  $\eta(G) = 0$ .

Define  $D(j^2) = x_j$  and set  $D(n)$  arbitrarily elsewhere; this is computable because the map  $j \mapsto j^2$  is computable and strictly increasing. Let  $K$  be any computable sequence. Then  $G = (M, D, K)$  is effective and collapses to  $x$ .  $\square$

Thus null-density generators exist at both the full and effective levels.

### 5.5 Sparse Dynamics Under the Shift

Null-density behavior interacts predictably with shift dynamics.

**Proposition 5.3** (Shift Invariance of Null Density). *If  $G$  is a null-density generator, then the shifted identity  $\sigma(G)$  is also a null-density generator.*

*Proof.* Let

$$A_n = |\{0 \leq k < n : M(k) = D\}|.$$

For the shifted selector, the corresponding count is either  $A_{n+1}$  or  $A_{n+1} - 1$ . Since  $A_n/n \rightarrow 0$ , the same holds for  $A_{n+1}/n$ , and thus  $\eta(\sigma(G)) = 0$ .  $\square$

Null-density selectors are therefore dynamically stable under the shift, in contrast to certain hybrid selectors whose density properties may vary under block codings or local transformations.

## 5.6 Contrast With Hybrid Generators

Hybrid and null-density identities form two structural extremes within collapse fibers:

- **Hybrids** ( $\eta > 0$ ): magnitude information is distributed over a positive-density set of positions; meta information is interwoven with the digit layer.
- **Null-density generators** ( $\eta = 0$ ): the digit layer appears sparsely; almost all coordinates of the mechanism are unconstrained by collapse.

These regimes exemplify the tension at the core of Part III: different projection families respond differently to sparsity and density. Digit-density projections separate hybrids from null-density generators, whereas other invariants may fail to do so. This mismatch is a precursor to the projective incompatibility results of Chapter 8 and to the diagonalizer of Chapter 9, which exploits the ability to switch between high- and low-density patterns in the tail.

## 5.7 Outlook

Null-density generators complete the basic classification of selector regimes. Together with hybrid identities, they illustrate the full range of internal freedom present in collapse fibers. These contrasting behaviors motivate the structural projections developed in Part III, where we investigate how secondary coordinates detect or miss these internal patterns. The expressive power and limitations of such projections form the foundation for the incompleteness results of Part IV.



## Part V

# Structural Projection Theory

## Part VI

# Structural Projection Theory

# Summary of Part III: Structural Projection Theory

Part III develops the mathematical theory of *structural projections*: maps that extract partial numerical information from generative identities. These projections formalize the act of “observing” internal structure beyond classical magnitude. The goal of this part is twofold: to establish the topological and order-theoretic foundations of projection-based measurement, and to determine the limits of what can be recovered from such observations.

**Chapter 6 introduces the projection lattice.** A structural projection is defined as a continuous map

$$\Phi : \mathcal{X} \rightarrow \mathbb{R}^k$$

whose value depends on symbolic structure but is invariant under all irrelevant coordinate changes. Classical collapse  $\pi$  appears as the minimal projection that preserves classical magnitude and simultaneously as the maximally lossy projection in the entire lattice. This lattice perspective establishes the conceptual separation between the *geometry of values* encoded by  $\pi$  and the *geometry of structure* encoded by other projections.

**Chapter 7 introduces computable secondary projections** by imposing Type-2 computability constraints. The key result is the finite-lookahead principle: any computable projection can inspect only a finite prefix of an effective generator when producing approximations of fixed precision. This leads to explicit *dependency bounds* that quantify the observational horizon of each computable coordinate system.

**Chapter 8 develops projective incompatibility.** Concrete families of projections—digit frequencies, meta-layer statistics, local-variation measures, and selector-based complexity—are shown to highlight mutually incompatible aspects of generative structure. Distinct identities within a single collapse fiber can be simultaneously distinguished by one projection and indistinguishable under another. This structural misalignment across projections is the first sign that generative structure cannot be compressed into a finite coordinate system.

Together, these chapters establish the mathematical theory of structural projections: their lattice, their topological constraints, their computational limitations, and the ways in which their perspectives clash. This prepares the ground for the diagonalization arguments of Part IV, where finite families of computable projections are shown to be inherently incapable of classifying effective generative identities.

## Chapter 6

# The Lattice of Projections

### 6.1 Introduction

The collapse map  $\pi$  introduced in Part I extracts a classical magnitude from a generative identity by reading a subsequence of its digit layer. Although collapse is the primary invariant of the framework, it is only one of many possible ways to interpret or summarize the structure of a generative identity.

This chapter develops a general theory of *structural projections*: continuous maps out of the generative space that depend on only finitely many prefix constraints at a time. Such projections formalize the notion of observation or measurement. They extract partial information about a generative identity while ignoring other aspects of its structure.

The key insight is that these projections naturally form a *lattice* ordered by information content. Collapse is an extremal element of this lattice: it is the projection that forgets the most internal structure while still retaining classical magnitude.

The lattice-theoretic viewpoint provides the conceptual and technical foundation for the computable secondary projections introduced in Chapter 7 and the projective incompatibility phenomenon analyzed in Chapter 8.

### 6.2 Prefix-Determined Observations

A projection represents an observer that examines the generative identity only through finitely many coordinates of  $M$ ,  $D$ , and  $K$  at any given stage.

**Definition 6.1** (Prefix-Determined Map). A function  $\Phi : \mathcal{X} \rightarrow Y$  into a Hausdorff space  $Y$  is *prefix-determined* if for every  $G \in \mathcal{X}$  and every open neighborhood  $U$  of  $\Phi(G)$ , there exists a finite prefix  $(M \upharpoonright n, D \upharpoonright n, K \upharpoonright n)$  such that any  $H \in \mathcal{X}$  agreeing with  $G$  on those prefixes satisfies  $\Phi(H) \in U$ .

This condition precisely characterizes continuity in the product topology of  $\mathcal{X}$ . Prefix-determined maps therefore coincide with continuous maps out of the generative space.

**Definition 6.2** (Structural Projection). A *structural projection* is any continuous map

$$\Phi : \mathcal{X} \rightarrow Y$$

into a metric (or Polish) space  $Y$ .

Examples include:

- the collapse map  $\pi : \mathcal{X}^* \rightarrow [0, 1]$ ,

- digit-density maps  $G \mapsto \eta(G)$  (when extended appropriately),
- digit-frequency maps on selected subsequences,
- meta-frequency and meta-pattern projections,
- bounded dependency maps, introduced in Chapter 7.

### 6.3 Ordering Projections by Informational Refinement

Structural projections differ in what aspects of a generative identity they preserve or discard. This suggests a natural notion of refinement.

**Definition 6.3** (Refinement Order). Let  $\Phi, \Psi : \mathcal{X} \rightarrow Y$  be projections into metric spaces. We say that

$$\Phi \preceq \Psi \iff \text{for all } G, H \in \mathcal{X}, \Psi(G) = \Psi(H) \implies \Phi(G) = \Phi(H).$$

Thus  $\Phi \preceq \Psi$  means that  $\Psi$  distinguishes at least as many identities as  $\Phi$  does. Equivalently,  $\Psi$  is at least as informative as  $\Phi$ .

**Remark 6.1.** The refinement order is determined purely by the equivalence relations induced by the projections:

$$G \sim_\Phi H \iff \Phi(G) = \Phi(H).$$

Then  $\Phi \preceq \Psi$  iff  $\sim_\Psi \subseteq \sim_\Phi$ .

### 6.4 The Projection Lattice

The refinement order makes the collection of structural projections into a lattice. This lattice expresses how different projections interact and combine.

**Proposition 6.1** (Existence of Infima). *Let  $\Phi$  and  $\Psi$  be structural projections. There exists a projection  $\Phi \wedge \Psi$  satisfying:*

$$G \sim_{\Phi \wedge \Psi} H \iff (G \sim_\Phi H) \text{ and } (G \sim_\Psi H).$$

*Moreover,  $\Phi \wedge \Psi$  is the greatest lower bound of  $\Phi$  and  $\Psi$  in the refinement order.*

*Proof.* Define  $\Phi \wedge \Psi$  by mapping  $G$  to the pair  $(\Phi(G), \Psi(G))$  in the product space  $Y_\Phi \times Y_\Psi$ . Continuity follows from continuity of  $\Phi$  and  $\Psi$ . The equivalence relation induced by this projection is the intersection of the equivalence relations induced by  $\Phi$  and  $\Psi$ . Thus it is the infimum in the refinement order.  $\square$

**Proposition 6.2** (Existence of Suprema Within Observational Classes). *Let  $\{\Phi_i\}_{i \in I}$  be a family of projections. There exists a least projection  $\Psi$  such that  $\Phi_i \preceq \Psi$  for all  $i$ , given by mapping*

$$\Psi(G) = (\Phi_i(G))_{i \in I}.$$

Thus the set of structural projections is closed under arbitrary meets and under joins indexed by families of observables. These operations supply a rich algebra of projections representing combined or coarsened observation systems.

## 6.5 Collapse as an Extremal Projection

The collapse map sits at a special position in the lattice.

**Proposition 6.3** (Collapse Maximizes Information Loss). *Let  $\Phi$  be any structural projection whose codomain is  $[0, 1]$  or any space encoding only classical magnitude. Then*

$$\Phi \preceq \pi.$$

*Proof.* If  $\pi(G) = \pi(H)$ , then  $G$  and  $H$  share the same classical magnitude. Any projection  $\Phi$  depending only on magnitude cannot distinguish  $G$  from  $H$ . Thus  $\Phi(G) = \Phi(H)$ , establishing  $\Phi \preceq \pi$ .  $\square$

Collapse is therefore the *coarsest* projection that still computes classical real values. It discards all meta information and all unselected digit positions.

In contrast, projections that detect selector properties, digit densities, meta patterns, or statistical features typically refine collapse.

## 6.6 Locality, Prefix Dependence, and Tail Freedom

Because structural projections are prefix-determined, they are insensitive to arbitrarily large modifications in the tail that preserve a sufficiently long prefix. This principle underlies the diagonalization arguments of Part IV.

**Proposition 6.4** (Tail Freedom). *Let  $\Phi$  be a structural projection. For any  $G \in \mathcal{X}$  and any  $\varepsilon > 0$ , there exists  $n$  such that if  $H$  agrees with  $G$  on the first  $n$  coordinates of each layer, then  $\Phi(G)$  and  $\Phi(H)$  lie within  $\varepsilon$  in the metric on  $Y$ .*

*Proof.* Prefix-determined maps are continuous in the product topology, which is defined by agreement on sufficiently long prefixes. The statement is a restatement of continuity.  $\square$

Thus structural projections have intrinsic limitations: they cannot fully capture differences that arise only in the distant tail. This aligns with their role as *observables*, not full descriptions.

## 6.7 Outlook

The lattice of projections provides a structured vocabulary for describing observable properties of generative identities and for comparing their informational strength. Collapse occupies an extremal position: it is the coarsest projection that still yields classical magnitude.

Chapter 7 introduces *computable* structural projections, obtained by imposing finite lookahead and algorithmic constraints on the observation process. Chapter 8 develops the phenomenon of projective incompatibility, showing that different projection families may fundamentally disagree about the structure of a single collapse fiber. Together, these chapters form the backbone of Part III's measurement theory.

## Chapter 7

# Secondary Projections and Finite Lookahead

### 7.1 Introduction

Chapter 6 introduced structural projections as continuous, prefix-determined maps from the generative space  $\mathcal{X}$  into metric spaces. These projections model what an observer can discern from a generative identity based on finite information at each stage. Among all such projections, those that are *effective* or *computationally realizable* play a central role in the structural incompleteness phenomenon of Part IV.

This chapter develops the theory of *secondary projections*: computational observers that operate under finite lookahead and must decide their outputs on the basis of bounded prefixes of the generative identity. These projections formalize the idea that a computable measurement can only inspect finitely many coordinates of  $(M, D, K)$  before committing to an output value.

We begin by formalizing dependency bounds, which restrict how far a projection may look into a mechanism. We then show that secondary projections are continuous, prefix-determined maps that fit naturally into the projection lattice of Chapter 6. Finally, we connect finite lookahead to stabilization properties that will be exploited by the meta-diagonalizer of Chapter 9.

### 7.2 Dependency Bounds

A computable observer cannot examine an unbounded portion of the mechanism when deciding its output on a given precision scale. The dependency of a projection at resolution  $\varepsilon$  must therefore be limited by a computable function.

**Definition 7.1** (Dependency Bound). Let  $\Phi : \mathcal{X} \rightarrow \mathbb{R}$  be a structural projection. A *dependency bound* for  $\Phi$  is a function

$$B_\Phi : (0, \infty) \rightarrow \mathbb{N}$$

such that for all  $G, H \in \mathcal{X}$  and all  $\varepsilon > 0$ , if

$$(M_G \upharpoonright B_\Phi(\varepsilon), D_G \upharpoonright B_\Phi(\varepsilon), K_G \upharpoonright B_\Phi(\varepsilon)) = (M_H \upharpoonright B_\Phi(\varepsilon), D_H \upharpoonright B_\Phi(\varepsilon), K_H \upharpoonright B_\Phi(\varepsilon)),$$

then

$$|\Phi(G) - \Phi(H)| < \varepsilon.$$

Dependency bounds express the idea that agreement on a finite prefix suffices to determine the projection's output to within a prescribed tolerance.

**Remark 7.1.** For computable projections, the function  $B_\Phi$  must itself be computable. This aligns with the Type-2 framework used to analyze  $\mathcal{G}_{\text{eff}}$ .

### 7.3 Computable Structural Projections

We now restrict attention to projections that can be computed with finite lookahead at any precision level.

**Definition 7.2** (Computable Structural Projection). A projection  $\Phi : \mathcal{X} \rightarrow \mathbb{R}$  is a *computable structural projection* if:

1.  $\Phi$  is continuous (prefix-determined), and
2.  $\Phi$  admits a computable dependency bound  $B_\Phi$ .

Examples include:

- digit-frequency maps that estimate the proportion of positions where  $M(k) = D$ ,
- meta-frequency projections based on the limiting behavior of  $K(n)$ ,
- pattern detectors that check whether certain finite blocks occur infinitely often,
- maps associated with effective limit-average or limsup conventions.

These observers represent the algorithmic analogue of the general structural projections introduced in Chapter 6.

### 7.4 Finite-Prefix Stabilization

A secondary projection must stabilize on any effective mechanism: once the prefix is long enough, the observer's output changes only within arbitrarily small tolerances.

**Proposition 7.1** (Prefix Stabilization). *Let  $\Phi$  be a computable structural projection with dependency bound  $B_\Phi$ . For any  $G \in \mathcal{X}$  and any sequence  $H_n \in \mathcal{X}$  satisfying*

$$H_n \upharpoonright B_\Phi(\varepsilon) = G \upharpoonright B_\Phi(\varepsilon)$$

*for all sufficiently large  $n$ , we have*

$$\Phi(H_n) \rightarrow \Phi(G).$$

*Proof.* Fix  $\varepsilon > 0$ . By the definition of  $B_\Phi$ , agreement on the prefix of length  $B_\Phi(\varepsilon)$  forces the projection values to lie within  $\varepsilon$ . Thus  $\Phi(H_n)$  lies in the  $\varepsilon$ -ball around  $\Phi(G)$  for all sufficiently large  $n$ . Since  $\varepsilon$  is arbitrary, the claimed convergence follows.  $\square$

Prefix stabilization is the key computational constraint that the meta-diagonalizer exploits in Part IV. If a family of projections shares compatible dependency bounds, then they all stabilize on sufficiently long prefixes of a given mechanism.



## 7.5 Secondary Coordinates as Projections

Many quantities of interest in the generative framework arise as secondary coordinates defined via observables on the selector or meta layer. These coordinates are naturally realized as computable structural projections.

**Example 7.1** (Digit Density Estimates). Let  $F_n(G)$  denote the digit frequency in the first  $n$  positions:

$$F_n(G) = \frac{1}{n} |\{ 0 \leq k < n : M(k) = D \}|.$$

For fixed  $n$ , the map  $G \mapsto F_n(G)$  depends only on the prefix  $M \upharpoonright n$  and is therefore a computable structural projection.

**Example 7.2** (Meta-Pattern Indicators). For a fixed block  $w \in \Sigma^k$ , define  $\Phi_w(G)$  to be 1 if  $w$  appears in  $K$  infinitely often and 0 otherwise. Determining  $\Phi_w(G)$  requires only checking sufficiently long prefixes to decide whether occurrences of  $w$  continue; it is therefore a secondary projection with a computable dependency bound.

These examples illustrate that secondary coordinates fit squarely into the projection lattice of Chapter 6, but occupy the computationally accessible region of that lattice.

## 7.6 Interaction with the Projection Lattice

Computable structural projections inherit the lattice operations of Chapter 6. In particular:

- the meet  $\Phi \wedge \Psi$  is computable if  $\Phi$  and  $\Psi$  are, since its output is the pair  $(\Phi(G), \Psi(G))$ ,
- finite joins of computable projections are computable,
- dependency bounds combine effectively:

$$B_{\Phi \wedge \Psi}(\varepsilon) = \max\{ B_\Phi(\varepsilon), B_\Psi(\varepsilon) \}.$$

However, unlike the full lattice, not every supremum of computable projections is computable: infinite joins may fail to admit a uniform computable dependency bound.

This limitation plays an important role in the incompatibility phenomena developed in Chapter 8 and is a precursor to the diagonalization argument of Chapter 9.

## 7.7 Outlook

Secondary projections model the behavior of effective observers in the generative framework. They operate with finite lookahead, stabilize on sufficiently long prefixes, and fit naturally into the lattice of structural projections.

Chapter 8 develops the phenomenon of *projective incompatibility*: different projection families can impose incompatible prefix constraints, preventing any single mechanism from satisfying all of them simultaneously. This conflict drives the diagonalizer of Chapter 9, which systematically evades finite families of computable projections by exploiting the tail freedom inherent in the generative space.

# Chapter 8

## Projective Incompatibility

### 8.1 Introduction

Chapters 6 and 7 introduced structural projections and their computable subclass. These projections represent the observable features that an effective measurement procedure can detect in a generative identity. Although every such projection is prefix-determined and continuous in the product topology, different projections may impose mutually incompatible constraints on the prefix of a mechanism.

This phenomenon—*projective incompatibility*—is central to the generative viewpoint. It occurs whenever two observers require conflicting finite-prefix conditions that no single generative identity can satisfy simultaneously. Incompatibility reveals that finite observational systems cannot jointly classify the internal structure of collapse fibers, even among computable generators.

This chapter formalizes projective incompatibility, develops examples, and establishes fundamental properties that prepare the ground for the meta-diagonalizer of Chapter 9.

### 8.2 Prefix Constraints Induced by Projections

A computable structural projection  $\Phi$  with dependency bound  $B_\Phi$  stabilizes on finite prefixes. To compute  $\Phi(G)$  to within a tolerance  $\varepsilon$ , the observer needs to examine only the prefix of length  $B_\Phi(\varepsilon)$ . Thus each such projection induces a family of finite-prefix constraints.

**Definition 8.1** (Prefix Constraint). Let  $\Phi$  be a computable structural projection with dependency bound  $B_\Phi$ . For  $\varepsilon > 0$  and  $G \in \mathcal{X}$ , the  $(\Phi, \varepsilon)$ -*prefix constraint* of  $G$  is the finite word

$$(M \upharpoonright B_\Phi(\varepsilon), D \upharpoonright B_\Phi(\varepsilon), K \upharpoonright B_\Phi(\varepsilon)).$$

Any identity  $H$  agreeing with  $G$  on this prefix must satisfy  $|\Phi(H) - \Phi(G)| < \varepsilon$ .

Thus, for fixed  $\varepsilon$ , the projection  $\Phi$  partitions  $\mathcal{X}$  into finitely many prefix cylinders, each determining  $\Phi$  to within  $\varepsilon$ .

### 8.3 Compatibility of Projections

Two projections may be jointly satisfied only if their prefix constraints are consistent.

**Definition 8.2** (Compatibility). Two computable structural projections  $\Phi$  and  $\Psi$  are *compatible* if for every  $\varepsilon > 0$  there exists a mechanism  $G \in \mathcal{X}$  and a prefix length  $n$  such that any identity  $H$  agreeing with  $G$  on the first  $n$  coordinates satisfies

$$|\Phi(H) - \Phi(G)| < \varepsilon \quad \text{and} \quad |\Psi(H) - \Psi(G)| < \varepsilon.$$

Informally,  $\Phi$  and  $\Psi$  are compatible if they can simultaneously stabilize on arbitrarily small tolerance levels within the same sufficiently large prefix.

**Remark 8.1.** This definition is aligned with the meet operation in the projection lattice:  $\Phi$  and  $\Psi$  are compatible if their meet  $\Phi \wedge \Psi$  is computable.

## 8.4 Incompatibility via Conflicting Prefix Requirements

Structural projections may force incompatible prefix conditions on the selector or meta layers. A classical example arises when one observer requires a prefix to exhibit a high digit-selection frequency, while another demands long stretches of meta selections.

**Proposition 8.1** (Basic Incompatibility Criterion). *Let  $\Phi$  and  $\Psi$  be computable structural projections with dependency bounds  $B_\Phi$  and  $B_\Psi$ . If for some  $\varepsilon > 0$  the  $\varepsilon$ -prefix cylinders required by  $\Phi$  and  $\Psi$  disagree on at least one coordinate, then  $\Phi$  and  $\Psi$  are incompatible.*

*Proof.* Suppose the  $\varepsilon$ -prefix required by  $\Phi$  forces a symbol  $a$  at some position  $k < B_\Phi(\varepsilon)$  while the  $\varepsilon$ -prefix required by  $\Psi$  forces a different symbol  $b \neq a$  at the same position. No mechanism can satisfy both constraints simultaneously. Thus, there is no prefix on which both projections stabilize within  $\varepsilon$ , proving incompatibility.  $\square$

The criterion is easy to verify in practice and captures many natural cases.

## 8.5 Examples of Incompatible Projections

### Digit-Frequency vs. Sparse-Selector Projections

Let  $\Phi$  estimate digit density at precision  $\varepsilon$  (via short-run frequency estimates) and let  $\Psi$  detect large gaps between successive digit selections. To satisfy  $\Phi$  to within  $\varepsilon$ , the selector must contain many digit selections in a short prefix. To satisfy  $\Psi$  to the same tolerance, the selector must display a very long meta-only interval in the same prefix.

These cannot coexist if both dependency bounds fall below the location of the forced gap or density peak. Thus  $\Phi$  and  $\Psi$  are incompatible.

### Pattern-Detection vs. Pattern-Avoidance

Let  $\Phi$  detect frequent occurrences of a specific meta-block  $w$ , while  $\Psi$  detects long intervals where  $w$  never appears. For sufficiently small  $\varepsilon$ , both projections place contradictory requirements on  $K|n$  for the same prefix length. Thus they are incompatible.

## 8.6 Incompatibility in Collapse Fibers

Compatibility and incompatibility are defined at the level of the full generative space, but the phenomenon persists when restricted to collapse fibers.

**Proposition 8.2.** *Let  $\Phi$  and  $\Psi$  be incompatible computable structural projections. Then for any  $x \in [0, 1]$ , no mechanism in the effective fiber  $\mathcal{F}_{\text{eff}}(x)$  can simultaneously satisfy arbitrarily small tolerance constraints for both  $\Phi$  and  $\Psi$ .*

*Proof.* If  $G \in \mathcal{F}_{\text{eff}}(x)$  could satisfy both projections at all tolerance levels, then the  $(\Phi, \varepsilon)$ - and  $(\Psi, \varepsilon)$ -prefix constraints would be simultaneously satisfiable for arbitrarily small  $\varepsilon$ , contradicting incompatibility as in Proposition 8.1.  $\square$

Thus incompatibility is intrinsic: it does not vanish under the collapse map.

## 8.7 Prefix Conflict and Tail Freedom

Projective incompatibility reflects a deeper tension: projections impose prefix-level constraints, while the generative space permits arbitrary modifications in the tail. This tension is exploited by the meta-diagonalizer in Chapter 9, which forces incompatible constraints to arise on different tails, ensuring that no finite family of projections can correctly classify all effective identities.

## 8.8 Outlook

Projective incompatibility reveals that even simple computable observers may fundamentally disagree about the structure of a generative identity. Finite-prefix requirements can contradict each other, and no single mechanism can satisfy incompatible projections within arbitrarily small tolerances.

This phenomenon sets the stage for Chapter 9, where the meta-diagonalizer uses tail freedom to escape all finite families of computable projections. Incompatibility is therefore a key precursor to the *Structural Incompleteness Theorem* of Part IV.

## Part VII

# Structural Incompleteness

## Part VIII

# Structural Incompleteness

# Summary of Part IV: Structural Incompleteness

Part IV establishes the central impossibility results of the generative framework. The preceding part developed a rich theory of structural projections—continuous and computable maps that extract partial information from generative identities. This part shows that such observations, no matter how carefully designed or combined, are fundamentally insufficient for recovering the full internal structure of an effective generator. Collapse fibers are simply too large, and computable observers too limited, for any finite family of projections to classify them.

**Chapter 9 constructs the meta-diagonalizer.** Given a computable real  $x$  and a reference identity  $H \in \mathcal{F}_{\text{eff}}(x)$ , the meta-diagonalizer produces an effective identity  $G^*$  that matches  $H$  on every prefix that any projection in a finite family can observe, while diverging in its tail structure in a controlled, fiber-preserving way. The construction uses three core ingredients:

- the finite lookahead of computable projections (Part III),
- uniform dependency bounds for finite families of observers,
- a sewing procedure that aligns digit-selection indices to preserve classical magnitude.

The result is a generator that is observationally identical to  $H$  for all computable observers in the family but structurally distinct in ways they cannot detect.

**Chapter 10 proves the Structural Incompleteness Theorem.** For any computable real  $x$  and any finite collection of computable structural projections, there exist two distinct effective identities in the collapse fiber  $\mathcal{F}_{\text{eff}}(x)$  that no projection in the family can distinguish. Equivalently, no finite computable coordinate system can classify the effective fiber of any computable real number. Magnitude  $\pi(G)$  is therefore the only invariant that fully survives collapse; every other computable invariant is necessarily partial.

Together, Chapters 9 and 10 show that collapse fibers cannot be compressed into finite lists of structural coordinates. The internal geometry of an effective generator always contains infinitely many degrees of freedom invisible to any finite observational horizon. This result forms the conceptual bridge to Part V, where the real line is reinterpreted as a quotient space arising from collapse, and the continuum is understood as a lossy image of a much richer symbolic manifold.

## Chapter 9

# The Meta-Diagonalizer

### 9.1 Introduction

Chapters 6–8 established that computable structural projections have finite prefix dependence, and that different projections often impose incompatible prefix constraints. These limits create large regions of internal structure that no finite family of observers can jointly resolve. The purpose of this chapter is to construct an explicit generative identity that exploits these observational blind spots.

Given a computable real number  $x$  and a reference identity  $H$  in the effective fiber  $\mathcal{F}_{\text{eff}}(x)$ , we will construct a new identity  $G^\#$  satisfying:

1.  $G^\# \in \mathcal{F}_{\text{eff}}(x)$ , so it encodes the same classical value;
2.  $G^\#$  agrees with  $H$  on every prefix that a finite family of projections can inspect to any fixed precision;
3.  $G^\#$  diverges from  $H$  under *every* projection in that finite family.

This identity is called the *Meta-Diagonalizer*. It is built in stages, with each stage modifying only those coordinates that no projection can observe at the current tolerance level, while preserving the digit subsequence that determines the collapse value.

### 9.2 Setting and Notation

Let  $x \in \mathbb{R}_c$  be computable. Fix a reference mechanism  $H = (M_H, D_H, K_H) \in \mathcal{F}_{\text{eff}}(x)$ .

Let  $\mathcal{P} = \{\Phi_1, \dots, \Phi_m\}$  be a finite family of computable structural projections. For each  $\Phi_i$  we have a dependency bound  $B_{\Phi_i}(\varepsilon)$ , and the family has a uniform dependency bound

$$B_{\mathcal{P}}(\varepsilon) = \max_{1 \leq i \leq m} B_{\Phi_i}(\varepsilon).$$

For clarity we set:

$$L_k := B_{\mathcal{P}}(2^{-k}), \quad \varepsilon_k := 2^{-k}.$$

The integer  $L_k$  is the largest prefix length visible to the observers at precision  $\varepsilon_k$ .



## 9.3 Controlled Tail Divergence

To build the diagonalizer we need identities in the same collapse fiber that differ from  $H$  under at least one projection in  $\mathcal{P}$ .

**Lemma 9.1** (Controlled Divergence Inside a Fiber). *For any  $\delta > 0$  there exists an effective mechanism  $A \in \mathcal{F}_{\text{eff}}(x)$  such that*

$$\|\Phi_i(A) - \Phi_i(H)\| > \delta \quad \text{for some } i.$$

*Proof.* From Chapter 8, the projections in  $\mathcal{P}$  are not jointly injective on  $\mathcal{F}_{\text{eff}}(x)$ . Enumerate effective identities in the fiber (which is a non-empty  $\Pi_1^0$  class), and search for an  $A$  that satisfies the divergence inequality.  $\square$

Such an identity  $A$  will serve as the “tail pattern” that the diagonalizer will eventually splice in.

## 9.4 Index-Aligned Tail Sewing

To ensure  $G^\#$  stays in the same fiber, we must align the digit subsequences of the tail identity with those of the reference identity.

**Lemma 9.2** (Digit-Index Alignment). *Let  $H, A \in \mathcal{F}_{\text{eff}}(x)$  and let  $L \in \mathbb{N}$ . Let  $k_H$  be the number of digit selections of  $H$  before index  $L$ . Then there exists a unique  $L'$  such that  $A$  also has  $k_H$  digit selections before  $L'$ .*

*Proof.* Both  $H$  and  $A$  enumerate the digits of  $x$  in the same order, since both lie in  $\mathcal{F}_{\text{eff}}(x)$ . Their selector functions enumerate digit positions strictly increasing. The first  $k_H$  selected digits of  $A$  must appear within a unique prefix.  $\square$

The aligned tail ensures the collapse value is preserved.

**Definition 9.1** (Tail Sewing). Given  $L$  and the aligned index  $L'$ , define the sewn identity  $G$  by

$$G(n) = \begin{cases} H(n), & n < L, \\ A(L' + (n - L)), & n \geq L. \end{cases}$$

The sewn identity agrees with  $H$  up to  $L$ , then follows the tail of  $A$  starting at the aligned position  $L'$ .

## 9.5 Stability Under Finite Observation

If the sewing point  $L$  lies beyond the dependency bound of all observers at precision  $\varepsilon$ , then the observers cannot detect any change in the tail.

**Lemma 9.3** (Stability of Tail Sewing). *If  $L \geq B_{\mathcal{P}}(\varepsilon)$ , then for every  $\Phi_i \in \mathcal{P}$ ,*

$$|\Phi_i(G) - \Phi_i(H)| < \varepsilon.$$

*Proof.* The sewn identity agrees with  $H$  on the entire prefix of length  $L$ , which covers the dependency bounds of all observers at precision  $\varepsilon$ .  $\square$

Thus observers cannot differentiate  $G$  from  $H$  at precision  $\varepsilon$  even though their tails differ.

## 9.6 Stage Construction of the Diagonalizer

We construct  $G^\#$  by a convergent sequence of finite-stage identities.

At stage  $k$ :

1. Set the observational tolerance to  $\varepsilon_k = 2^{-k}$ .
2. Compute the safe horizon  $L_k = B_{\mathcal{P}}(2^{-k})$ .
3. Choose a fiber identity  $A_k$  that differs from the current partial identity  $G_{k-1}$  by more than  $3\varepsilon_k$  under some  $\Phi_i$ .
4. Sew the tail of  $A_k$  into  $G_{k-1}$  at index  $L_k$ .

Let  $G_k$  denote the identity after stage  $k$ . Each  $G_k$  agrees with  $H$  on the first  $L_k$  coordinates, and beyond  $L_k$  it agrees (in a digit-aligned manner) with the tail of  $A_k$ .

**Lemma 9.4** (Convergence). *The sequence  $\{G_k\}$  converges pointwise to a unique generative identity  $G^\#$ .*

*Proof.* At stage  $k$ , all coordinates below  $L_k$  are fixed permanently, because later stages modify only the tail region. Since  $L_k$  is strictly increasing and tends to infinity, every coordinate of  $G^\#$  is eventually fixed, establishing convergence.  $\square$

## 9.7 Properties of the Meta-Diagonalizer

**Theorem 9.1** (Meta-Diagonalizer). *Let  $x \in \mathbb{R}_c$  and  $H \in \mathcal{F}_{\text{eff}}(x)$ . Let  $\mathcal{P}$  be a finite family of computable structural projections. Then the limit identity  $G^\#$  constructed above satisfies:*

1.  $G^\# \in \mathcal{F}_{\text{eff}}(x)$ ,
2.  $\Phi_i(G^\#) \neq \Phi_i(H)$  for each  $i$ ,
3. for each  $i$  and each  $\varepsilon > 0$ , the observers cannot detect the divergence of  $G^\#$  from  $H$  using any prefix of length  $B_{\Phi_i}(\varepsilon)$ .

*Proof.* Fiber preservation follows from digit-index alignment at each stage. Divergence follows because each stage introduces a discrepancy of size at least  $2\varepsilon_k$  beyond the observational horizon at that scale. Prefix indistinguishability follows from Lemma 9.3.  $\square$

Thus  $G^\#$  matches  $H$  on every finite observable prefix but diverges globally under every projection in the family.

## 9.8 Outlook

The Meta-Diagonalizer establishes that internal structure cannot be captured or categorized by any finite collection of computable structural projections. The next chapter turns this into a global impossibility theorem: the *Structural Incompleteness Theorem*, which shows that no computable coordinate system with finitely many components can classify a collapse fiber or reconstruct mechanisms from their magnitude.

## Chapter 10

# The Structural Incompleteness Theorem

### 10.1 Introduction

The preceding chapters developed the machinery needed to analyze how computable structural projections observe generative identities. Chapter 6 formalized projections as continuous, Type-2 computable functionals on the generative space. Chapter 7 showed that distinct projections often impose incompatible prefix constraints. Chapter 8 strengthened this tension into a structural limitation: every computable projection depends only on a finite prefix at any desired precision.

Chapter 9 introduced the Meta-Diagonalizer. Given a computable real number  $x$  and an effective generator  $H$  in the collapse fiber  $\mathcal{F}_{\text{eff}}(x)$ , the diagonalizer produces a new generator  $G^\#$  that:

1. agrees with  $H$  on all observable prefixes,
2. remains in the same collapse fiber as  $H$ , and
3. diverges from  $H$  under every projection in a prescribed finite family.

This chapter combines these ingredients to prove the central theorem of Part IV and one of the core results of the generative framework: *no finite family of computable structural projections can classify the effective fiber of any computable real number*. The theorem formalizes structural incompleteness as an intrinsic property of the generative representation of real numbers.

### 10.2 Statement of the Theorem

Let  $x \in \mathbb{R}_c$  be a computable real. Let  $\mathcal{P} = \{\Phi_1, \dots, \Phi_m\}$  be a finite family of computable structural projections on the effective core  $\mathcal{G}_{\text{eff}}$ .

We ask whether  $\mathcal{P}$  can classify all effective generators of  $x$ : whether the combined map

$$\Phi = (\Phi_1, \dots, \Phi_m) : \mathcal{F}_{\text{eff}}(x) \longrightarrow \mathbb{R}^m$$

can be injective.

The next theorem answers this question in the negative.

**Theorem 10.1** (Structural Incompleteness). *Let  $x \in \mathbb{R}_c$  and let  $\mathcal{P}$  be any finite family of computable structural projections. For every effective generator  $H \in \mathcal{F}_{\text{eff}}(x)$ , there exists a distinct generator  $G^\# \in \mathcal{F}_{\text{eff}}(x)$  such that:*

1.  $\pi(G^\#) = x$ ,
2.  $\Phi_i(G^\#) \neq \Phi_i(H)$  for every  $\Phi_i \in \mathcal{P}$ ,
3. for each precision  $\varepsilon > 0$ , the observers in  $\mathcal{P}$  cannot distinguish  $H$  from  $G^\#$  using any prefix shorter than  $B_{\Phi_i}(\varepsilon)$ .

Thus no finite family of computable structural projections is injective on  $\mathcal{F}_{\text{eff}}(x)$ .

The theorem asserts that *every* effective representation of a computable real number possesses infinitely many structurally distinct companions that are invisible to all observers with finitely bounded lookahead.

### 10.3 Proof of the Theorem

Let  $x \in \mathbb{R}_c$  and fix any effective generator  $H \in \mathcal{F}_{\text{eff}}(x)$ .

Let  $\mathcal{P} = \{\Phi_1, \dots, \Phi_m\}$  be a finite family of computable structural projections. Each  $\Phi_i$  has dependency bounds  $B_{\Phi_i}(\varepsilon)$ , and the family has a uniform bound

$$B_{\mathcal{P}}(\varepsilon) = \max_{1 \leq i \leq m} B_{\Phi_i}(\varepsilon).$$

#### Step 1: Constructing the Diagonalizer

Chapter 9 constructs an identity  $G^\#$  through a stage-by-stage sewing process, using increasingly small error tolerances  $\varepsilon_k = 2^{-k}$ . At each stage  $k$ :

1. compute the safe horizon  $L_k = B_{\mathcal{P}}(\varepsilon_k)$ ;
2. choose a tail identity  $A_k \in \mathcal{F}_{\text{eff}}(x)$  that diverges from the current partial generator by more than  $3\varepsilon_k$  under at least one projection in  $\mathcal{P}$ ;
3. form  $G_k$  by sewing the tail of  $A_k$  to the first  $L_k$  coordinates of  $G_{k-1}$  using index alignment.

The sequence  $\{G_k\}$  converges to a limit identity  $G^\#$ .

#### Step 2: Preservation of the Collapse Value

By construction, the digit-index alignment at each stage ensures that the digit subsequence of  $G_k$  is always identical to the digit subsequence of the reference generator  $H$ . Therefore  $\pi(G_k) = x$  for all  $k$ , and by continuity of the collapse map,

$$\pi(G^\#) = x.$$

Thus  $G^\# \in \mathcal{F}_{\text{eff}}(x)$ .

#### Step 3: Observational Indistinguishability on Prefixes

Each stage  $G_k$  is identical to  $H$  on the prefix of length  $L_k$ . Because  $L_k \geq B_{\mathcal{P}}(\varepsilon_k)$ , Lemma 9.5 implies:

$$|\Phi_i(G_k) - \Phi_i(H)| < \varepsilon_k \quad \text{for all } i.$$

As  $k$  increases, observers must examine ever-longer prefixes to detect any difference, but the actual difference in projection values is introduced only after the dependency bound at that scale.

### Step 4: Global Divergence

Although  $G_k$  and  $H$  are indistinguishable at precision  $\varepsilon_k$ , the tail modifications ensure that the limiting identity  $G^\#$  eventually differs from  $H$  by at least  $2\varepsilon_k$  in every projection.

Thus for each  $i$ ,

$$\Phi_i(G^\#) \neq \Phi_i(H).$$

### Step 5: Failure of Injectivity

Since  $G^\# \neq H$ , yet  $\pi(G^\#) = \pi(H)$ , and no finite set of projections can distinguish  $G^\#$  from  $H$  on any finite prefix, we conclude that  $\Phi$  is not injective on  $\mathcal{F}_{\text{eff}}(x)$ .

This completes the proof.  $\square$

## 10.4 Consequences

The Structural Incompleteness Theorem has several immediate consequences.

**Corollary 10.1** (No Finite Classification of Fibers). *No finite family of computable structural projections can classify the effective fiber  $\mathcal{F}_{\text{eff}}(x)$  of a computable real number  $x$ .*

**Corollary 10.2** (Non-Recoverability of Mechanisms). *Given a computable real number  $x$ , and any finite set of computable structural coordinates, the original generative mechanism cannot be recovered—even up to behavior observable by those coordinates.*

**Corollary 10.3** (Collapse Dominance). *Collapse is the only structural invariant that fully survives the projection from the generative space to the classical continuum, and it is maximally information-destroying among computable projections.*

## 10.5 Interpretation

The theorem formalizes a principle that emerged throughout Part III:

*Finite observation of an infinite generative mechanism reveals only a bounded portion of its structure. Everything beyond that observational horizon remains flexible enough to encode arbitrary divergence within the collapse fiber.*

Generative identities are therefore far too complex to be captured by any finite list of computable numerical parameters. Magnitude (collapse) is the only invariant shared by all representations of a classical real number; every other structural coordinate loses information at an increasing rate with depth.

## 10.6 Outlook

Part V will reinterpret the continuum as the quotient of the generative space by collapse. Part VI will develop extended generative coordinates, illustrating how new invariants (such as entropy balance or fluctuation index) can enrich the structural representation while still respecting the impossibility of finite classification.

## Part IX

# The Collapse Quotient

## Part X

# The Collapse Quotient

# Summary of Part V: The Collapse Quotient

Part V reframes the classical continuum as the quotient of the generative space under collapse. The preceding part established that no finite computable coordinate system can recover the full internal structure of a generative identity; collapse fibers contain infinitely many degrees of freedom that remain invisible to any finite family of observers. This part explains how the classical real line emerges from this information-rich symbolic manifold and why classical analysis sees only magnitudes rather than mechanisms.

**Chapter 11 describes the continuum as a collapse quotient.** The collapse map

$$\pi : \mathcal{X} \rightarrow [0, 1]$$

is a continuous surjection, and its fibers are closed, highly structured subspaces of  $\mathcal{X}$ . Taking the quotient by collapse equivalence,

$$G \sim_{\pi} H \iff \pi(G) = \pi(H),$$

produces a space homeomorphic to  $[0, 1]$ . Thus the real line is obtained by identifying all generative identities that encode the same classical value. Real numbers are therefore not primitive points, but equivalence classes of symbolic mechanisms.

This quotient perspective clarifies the nature of information loss in collapse. The meta layer, unselected digit positions, selector complexity, and long-range structure of the generator all disappear in the quotient. Classical functions  $f : [0, 1] \rightarrow \mathbb{R}$  correspond to fiber-constant functions  $f \circ \pi$  on the generative space, and therefore cannot detect any internal generative variation. The entire apparatus of classical analysis operates on these equivalence classes rather than on the mechanisms themselves.

Part V serves as the conceptual bridge to the constructive program of Part VI. Once the continuum is understood as a lossy quotient, a natural question arises: what happens if we enrich the coordinate system beyond classical magnitude? Can the real line be “extended” by adding structural invariants that capture information erased by collapse? Part VI develops this extension by introducing additional invariants—entropy balance, fluctuation indices, and orthogonal structural coordinates—that lift the generative space into higher-dimensional frameworks analogous to the transition from  $\mathbb{R}$  to  $\mathbb{C}$ .



# Chapter 11

## The Continuum as a Collapse Quotient

### 11.1 Introduction

Collapse plays a dual role in the generative framework. On one hand, it is the primary invariant that recovers classical magnitude from a generative identity. On the other hand, it is the quotient operation that identifies entire collapse fibers and produces the classical continuum as the image of the generative space.

Part IV established that collapse is maximally information-destroying: no finite family of computable structural projections can classify the internal structure of collapse fibers, and every computable real number admits infinitely many observationally indistinguishable—but structurally distinct—effective generators.

The goal of this chapter is to interpret the classical real line as the quotient space obtained by modding out the generative space by collapse equivalence. We show that:

1. classical magnitude is the coarsest structural invariant compatible with continuity and computability;
2. the continuum is a quotient of the generative space by a closed equivalence relation;
3. collapse fibers encode the entire “lost structure” of classical real numbers.

This chapter provides the conceptual bridge between the incompleteness phenomenon of Part IV and the constructive viewpoint of Part VI, where extended invariants enrich the generative representation beyond classical magnitude.

### 11.2 Collapse Fibers as Equivalence Classes

The collapse map  $\pi : \mathcal{X}^* \rightarrow [0, 1]$  assigns to each generative identity the classical real number encoded by its digit subsequence. This induces an equivalence relation on  $\mathcal{X}^*$ .

**Definition 11.1** (Collapse Equivalence). For  $G, H \in \mathcal{X}^*$ , we write

$$G \sim_{\pi} H \iff \pi(G) = \pi(H).$$

Each equivalence class is exactly a collapse fiber:

$$[G]_{\sim_\pi} = \mathcal{F}(\pi(G)).$$

**Proposition 11.1.** *The relation  $\sim_\pi$  is closed in the product topology on  $\mathcal{X}^* \times \mathcal{X}^*$ .*

*Proof.* The collapse map is continuous and  $[0, 1]$  is Hausdorff. Thus

$$\sim_\pi = (\pi \times \pi)^{-1}(\{(x, x) : x \in [0, 1]\})$$

is closed. □

The quotient space is therefore well-behaved topologically.

### 11.3 The Continuum as a Quotient Space

Taking the quotient of  $\mathcal{X}^*$  by collapse equivalence produces a space homeomorphic to the unit interval.

**Theorem 11.1.** *The map  $\pi$  induces a homeomorphism*

$$\mathcal{X}^* / \sim_\pi \cong [0, 1].$$

*Proof.* The map  $\pi$  is continuous, surjective, and constant on equivalence classes. Since  $\sim_\pi$  is closed, the quotient is compact and Hausdorff. Injectivity of the induced map follows from the definition of the relation. □

Thus the classical continuum emerges as the collapse-quotient of a vastly richer symbolic structure.

### 11.4 Effective Fibers and Computable Quotients

Restricting to the effective core produces a computable analogue:

$$\pi(\mathcal{G}_{\text{eff}} \cap \mathcal{X}^*) = \mathbb{R}_c,$$

and collapse fibers over computable reals are  $\Pi_1^0$  classes.

**Proposition 11.2.** *If  $x \in \mathbb{R}_c$ , the effective fiber  $\mathcal{F}_{\text{eff}}(x)$  is a nonempty  $\Pi_1^0$  subset of  $\mathcal{X}^*$ .*

*Proof.* The collapse condition is a computable constraint on infinite sequences: agreement with the digit expansion of  $x$  can be disproved by a finite violation. Thus membership in  $\mathcal{F}_{\text{eff}}(x)$  is a co-c.e. property. Nonemptiness follows from effective surjectivity. □

This representation shows that real numbers correspond not to individual mechanisms, but to entire computationally closed sets of mechanisms.

## 11.5 Lost Structure and Collapse Dimension

Collapse identifies mechanisms that differ arbitrarily on:

- the unselected digit positions,
- the entire meta layer,
- the selection pattern  $M$  except on the digit-selected indices.

The dimension of the fiber reflects the degrees of freedom that collapse forgets.

**Proposition 11.3.** *For each  $x \in [0, 1]$ , the fiber  $\mathcal{F}(x)$  contains continuum many pairwise distinct mechanisms. If  $x \in \mathbb{R}_c$ , then  $\mathcal{F}_{\text{eff}}(x)$  is countably infinite.*

This disparity captures a key conceptual point:

*A classical real number has infinitely many effective generative presentations and uncountably many non-effective presentations. Magnitude alone severely compresses the symbolic structure.*

Magnitude retains only the digit subsequence selected by  $M$ ; everything else is lost.

## 11.6 Extremality of Collapse

Chapter 6 showed that any projection that depends solely on classical magnitude is refined by collapse. Here we establish the dual principle: among computable invariants, collapse is the unique projection that preserves exactly one coordinate of the generative structure.

**Proposition 11.4** (Collapse is Maximally Coarse). *If  $\Phi : \mathcal{X}^* \rightarrow \mathbb{R}$  is a computable structural projection such that  $\Phi(G) = \Phi(H)$  whenever  $\pi(G) = \pi(H)$ , then  $\Phi$  factors through collapse; i.e., there exists a computable function  $f$  such that*

$$\Phi = f \circ \pi.$$

*Proof.* If  $\Phi$  is constant on collapse fibers, then  $\Phi$  induces a well-defined map on the quotient  $\mathcal{X}^*/\sim_\pi$ . Since the quotient is homeomorphic to  $[0, 1]$ ,  $\Phi$  factors through a map  $f : [0, 1] \rightarrow \mathbb{R}$ . Computability follows from the computability of the collapse map.  $\square$

Thus collapse is the coarsest projection that retains all classical information.

## 11.7 Interpretation

The quotient viewpoint clarifies the relationship between generative structure and classical structure:

1. The generative space captures symbolic, combinatorial, and meta-information aspects of real numbers.
2. Collapse identifies all mechanisms that encode the same magnitude.

3. Classical real numbers are the result of forgetting nearly all structure in the generative representation.

The continuum is therefore not a primitive object, but a collapse shadow of a richer generative geometry.

This reinterpretation connects with classical representation theory: base- $b$  expansions are computational encodings of magnitude, but the generative space models symbolic mechanisms that can produce those expansions through diverse internal processes.

## 11.8 Outlook

Part VI turns from incompleteness to construction. If collapse forgets nearly all internal structure, then the natural next question is: *what additional invariants can be introduced to recover some of the lost structure?* The subsequent chapters show how entropy balance, fluctuation indices, and other extended invariants can be added as new coordinates, enriching the generative representation far beyond classical magnitude.

## Part XI

# Extended Generative Coordinates

## Part XII

# Extended Generative Coordinates

# Summary of Part VI: Extended Generative Coordinates

Part VI extends the generative framework beyond classical magnitude. The preceding parts established that collapse erases most of the symbolic structure of a generative identity, that no finite computable coordinate system can recover this lost information, and that the classical real line appears as a quotient that identifies entire collapse fibers with single points. This part addresses the natural next question:

*What happens if we enlarge the coordinate system? Can we augment classical magnitude with additional invariants that recover part of the structure erased by collapse?*

The chapters in this part develop a general theory of extended invariants and illustrate how structural quantities such as entropy balance and fluctuation indices can serve as complementary coordinates that reveal dimensions of the generative space not visible through collapse alone.

**Chapter 12 introduces the general theory of extended invariants.** An extended invariant is a continuous projection

$$\Psi : \mathcal{X} \rightarrow \mathbb{R}^k$$

that remains well defined on full collapse fibers and is stable under fiber-preserving modifications of the generator. This chapter develops the criteria for an invariant to be structurally meaningful: it must respect the logic of collapse, lift naturally to equivalence classes, and behave continuously under tail modifications. This provides a unified framework for adding new coordinates beyond classical magnitude.

**Chapter 13 develops entropy balance as a secondary invariant.** The quantity

$$\eta(G)$$

measures the asymptotic proportion of digit-layer selections made by the selector. Although  $\eta$  plays no role in determining classical magnitude, it quantifies the extent to which the canonical output mixes digit and meta information. Within collapse fibers,  $\eta$  separates hybrid identities from null-density identities and thereby restores part of the internal generative structure lost under  $\pi$ .

**Chapter 14 introduces the fluctuation index.** This invariant captures the long-term local variability of the selector and the canonical output. While magnitude and entropy balance summarize only coarse structural features, the fluctuation index reflects how frequently generative mechanisms switch between layers and how their symbolic patterns evolve under the shift. The index provides a tertiary coordinate that distinguishes identities with identical magnitude and identical digit-selection density but different dynamical signatures.

**Chapter 15 develops the orthogonal extension analogy.** Just as the complex plane extends the real line by adding an orthogonal imaginary axis, extended generative coordinates enrich magnitude by adding structural axes such as entropy balance and fluctuation index. The pair  $(\pi(G), \eta(G))$  yields a two-dimensional embedding of the generative space, resolving ambiguities that are invisible in one dimension. Adding the fluctuation index produces a higher-dimensional generative coordinate system in which collapse fibers become low-dimensional strata rather than single points.

**Chapter 16 concludes with diminishing returns and outlook.** As additional invariants are introduced, their explanatory power necessarily decreases: each new coordinate captures a smaller fragment of the vast structural freedom within a collapse fiber. This phenomenon parallels the Structural Incompleteness Theorem but now in a constructive direction: although no finite list of invariants can fully recover internal structure, successive invariants still reveal increasingly refined aspects of the generative manifold. The chapter concludes with open questions involving measure disintegration, operator actions on fibers, and the search for higher-order structural coordinates.

Part VI transforms the generative framework from a theory of information loss into a theory of structural extension. It shows how the classical continuum can be embedded into richer coordinate systems that capture internal symbolic structure, and it suggests geometric, measure-theoretic, and dynamical directions for future research.



## Chapter 12

# Extended Invariants and the Expansion of Generative Coordinates

### 12.1 Introduction

Parts I–V developed the generative representation of classical real numbers and established the Structural Incompleteness Theorem: no finite family of computable structural projections can classify an effective collapse fiber. Classical magnitude  $\pi(G)$  is therefore only one coordinate of a much richer object; almost all internal structure of a generative identity disappears under collapse.

This motivates a natural question:

*If collapse discards nearly all generative structure, can we introduce additional invariants that capture meaningful aspects of the internal mechanism?*

Part VI addresses this question by developing *extended generative coordinates*—quantities such as entropy balance, fluctuation indices, and meta-pattern invariants that enrich the generative description of a real number.

This chapter lays the theoretical foundation for these extended invariants. We introduce the axioms, regularity conditions, and structural constraints that any generative coordinate must satisfy. These conditions ensure that new invariants are compatible with the topology, computability, and tail-modification principles established earlier in the monograph.

### 12.2 What is a Generative Invariant?

Classical magnitude is derived from the digit subsequence selected by  $M$ . Extended invariants generalize this idea by allowing observers to extract quantities from *any* layer of the mechanism.

**Definition 12.1** (Generative Invariant). A *generative invariant* is a map

$$I : \mathcal{X} \rightarrow \mathbb{R}$$

satisfying:

1. **Continuity:**  $I$  is continuous in the product topology.
2. **Prefix dependence:**  $I$  is prefix-determined: for any  $\varepsilon > 0$  there exists  $n$  such that agreement on the first  $n$  coordinates ensures  $|I(G) - I(H)| < \varepsilon$ .

3. **Computable dependency (optional):** If  $I$  is effective, then the prefix bound  $n$  is computable as a function of  $\varepsilon$ .

Thus a generative invariant is precisely a structural projection of the form introduced in Chapter 6. Extended invariants are computable structural projections specifically designed to quantify internal mechanisms.

## 12.3 Collapse as the Primary Invariant

Magnitude  $\pi$  itself is a generative invariant and occupies a special position in the projection lattice:

- $\pi$  is the coarsest invariant that preserves classical magnitude.
- Any invariant depending only on magnitude factors through  $\pi$ .
- $\pi$  forgets nearly all internal structure of  $(M, D, K)$ .

Extended invariants arise from asking: *What additional coordinates can be defined that do not factor through collapse?*

## 12.4 Basic Requirements for Extended Invariants

To be meaningful in the generative framework, an extended invariant must satisfy four structural criteria.

### 1. Stability under prefix extension

Since observers are limited to finite lookahead, an invariant must stabilize once sufficiently many coordinates of the mechanism have been observed.

**Definition 12.2** (Stability). A generative invariant  $I$  is *stable* if for every  $\varepsilon > 0$  there exists  $N$  such that for all  $n \geq N$ , any two identities agreeing on the first  $n$  coordinates produce invariant values within  $\varepsilon$ .

Stability guarantees compatibility with tail sewing and the diagonalizer construction.

### 2. Layer sensitivity

An invariant must detect some structural aspect that collapse ignores: digit usage patterns, meta frequencies, selector complexity, or statistical regularity.

**Definition 12.3** (Non-collapse). An invariant  $I$  is *non-collapsing* if it does not factor through the collapse map  $\pi$ .

### 3. Fiber refinement

A meaningful invariant must distinguish at least some elements of each effective fiber.

**Definition 12.4** (Refining Invariant).  $I$  is a *refining invariant* if for every computable real  $x$ , the effective fiber  $\mathcal{F}_{\text{eff}}(x)$  contains two identities  $G, H$  with  $I(G) \neq I(H)$ .

#### 4. Consistency with computable structure

Extended invariants must be realizable by algorithmic observers.

**Definition 12.5** (Computable Extended Invariant). An extended invariant  $I$  is *computable* if it is a computable structural projection with a computable dependency bound.

### 12.5 Examples and Non-Examples

#### The Constant Invariant

$I(G) = 0$  is stable, continuous, and computable, but it is collapsing and gets ignored by the projection lattice. Thus it is not an extended invariant.

#### Collapse Magnitude

$\pi(G)$  is a stable invariant but does not refine collapse fibers. Therefore it is the baseline invariant but not an extended one.

#### Digit-Frequency Limits

Let

$$I(G) = \liminf_{n \rightarrow \infty} \frac{1}{n} |\{0 \leq k < n : M(k) = D\}|.$$

This invariant is computable, stable, and distinguishes between hybrid and null-density generators within the same collapse fiber. It is a valid extended invariant.

#### Meta-Pattern Frequencies

If  $w$  is a finite meta-block, the invariant

$$I_w(G) = \liminf_{n \rightarrow \infty} \frac{\#\text{occurrences of } w \text{ in } K \upharpoonright n}{n}$$

is also a legitimate extended invariant.

### 12.6 Extended Coordinates as Higher-Dimensional Embeddings

Classical real numbers form a one-dimensional continuum. Extended invariants allow us to embed generative identities into higher-dimensional spaces, yielding richer coordinate systems.

**Definition 12.6** (Extended Coordinate Map). Given invariants  $I_1, \dots, I_r$ , the extended coordinate map is

$$\mathbf{I}(G) := (\pi(G), I_1(G), \dots, I_r(G)).$$

Such embeddings enlarge the representation space:

$$\mathcal{X} \xrightarrow{\mathbf{I}} \mathbb{R}^{1+r}.$$

A key example appears in the next chapter, where the entropy balance  $\eta(G)$  is introduced as a structured secondary invariant.

## 12.7 Limits Imposed by Incompleteness

Even with extended invariants, the Structural Incompleteness Theorem applies.

**Proposition 12.1.** *Let  $I_1, \dots, I_m$  be finitely many computable extended invariants. Then there exist distinct  $G, H \in \mathcal{F}_{\text{eff}}(x)$  with*

$$I_j(G) = I_j(H) \quad \text{for all } j.$$

*Proof.* Each  $I_j$  is a computable structural projection. The claim follows from the Structural Incompleteness Theorem applied to the family  $\{\pi, I_1, \dots, I_m\}$ .  $\square$

Thus extended invariants enrich the generative coordinate system but cannot solve the classification problem for fibers.

## 12.8 Outlook

This chapter provided the formal apparatus for adding new structural invariants to the generative representation. The next chapters introduce specific, mathematically significant invariants:

- **Chapter 13: Entropy Balance ( $\eta$ )** — the basic secondary invariant measuring selector usage density.
- **Chapter 14: Fluctuation Index ( $\phi$ )** — a tertiary invariant measuring irregularity and long-range variation.
- **Chapter 15: Orthogonal Extensions and the Complex Analogy** — a conceptual embedding of the generative representation into a plane of invariants, analogous to the complexification of  $\mathbb{R}$ .
- **Chapter 16: Diminishing Returns and Final Outlook** — the limiting geometry of extended coordinates.

Extended coordinates reveal that the generative representation is not merely an encoding of real numbers, but a multifaceted symbolic geometry whose structure extends far beyond collapse.

## Chapter 13

# Entropy Balance as a Secondary Invariant

### 13.1 Introduction

Extended invariants quantify internal structure that collapse ignores. The most fundamental of these invariants is the *entropy balance*  $\eta(G)$ , which measures the long-term density with which the selector chooses the digit layer. This invariant distinguishes hybrid, intermediate, and null-density behaviors within a single collapse fiber and provides the simplest example of a computable, non-collapsing generative coordinate.

Entropy balance is the archetype of a secondary invariant: it is prefix-determined, continuous, computable, and sensitive to the internal structure of the mixer. This chapter formalizes entropy balance within the projection-theoretic framework of Chapters 6–12 and establishes its role as a canonical generative coordinate.

### 13.2 Digit Density and Entropy Balance

The selector  $M(n)$  chooses at each position whether the digit layer or the meta layer contributes the symbol to the canonical output. The long-term behavior of this choice determines how frequently the digit layer is used.

**Definition 13.1** (Digit Density / Entropy Balance). For a generative identity  $G = (M, D, K)$ , the *entropy balance* is

$$\eta(G) = \liminf_{n \rightarrow \infty} \frac{1}{n} |\{0 \leq k < n : M(k) = D\}|.$$

The term “entropy balance” reflects that a higher digit-selection frequency injects more base- $b$  entropy into the canonical output, while a lower frequency shifts structural load toward the meta layer.

**Remark 13.1.** The use of  $\liminf$  ensures that  $\eta$  is defined for all selector sequences, including oscillatory and irregular patterns.

### 13.3 Continuity and Prefix Dependence

Entropy balance is determined by the long-run behavior of the selector, but it still satisfies the continuity and prefix-determination conditions required of a structural projection.

**Proposition 13.1** (Prefix-Determined Continuity). *The map  $G \mapsto \eta(G)$  is continuous on  $\mathcal{X}$ .*

*Proof.* For any  $\varepsilon > 0$ , choose  $N$  such that if two selectors agree on the first  $N$  positions, then their finite-sample digit frequencies in the first  $N$  steps differ by at most  $\varepsilon$ . Since  $\eta(G)$  is approximated from below by such finite-sample frequencies, agreement on the prefix determines the  $\liminf$  to within  $\varepsilon$ .  $\square$

Entropy balance is therefore a legitimate generative invariant.

## 13.4 Computability of Entropy Balance

Entropy balance is computable because:

1. the selector  $M$  is computable on the effective core,
2. digit-frequency estimates converge uniformly from below,
3. the  $\liminf$  can be approximated by a computable sequence of rational lower bounds.

**Proposition 13.2.** *The entropy balance map*

$$\eta : \mathcal{G}_{\text{eff}} \rightarrow [0, 1]$$

*is computable in the sense of Type-2 computability.*

*Proof.* The prefix frequency

$$F_n(G) = \frac{1}{n} |\{0 \leq k < n : M(k) = D\}|$$

is computable from the prefix  $M \upharpoonright n$ . Since  $\eta(G) = \liminf_n F_n(G)$ , the value of  $\eta(G)$  can be approximated to any precision by computing a sufficiently long prefix.  $\square$

Thus  $\eta$  is an effective secondary invariant.

## 13.5 Entropy Balance as a Structural Projection

Entropy balance fits neatly into the projection lattice introduced in Chapter 6.

**Proposition 13.3** (Projection-Theoretic Interpretation). *The map  $G \mapsto \eta(G)$  is the infimum (in the projection lattice) of the family of finite-frequency projections*

$$\Phi_n(G) = \frac{1}{n} |\{0 \leq k < n : M(k) = D\}|.$$

*Proof.* Each  $\Phi_n$  is a structural projection depending only on the first  $n$  coordinates. Their pointwise  $\liminf$  is  $\eta(G)$ , which is the greatest lower bound of the family in the refinement order.  $\square$

This viewpoint clarifies how entropy balance captures the long-run behavior of the selector while respecting the finite-observation constraints of structural projections.

## 13.6 Behavior Inside Collapse Fibers

Entropy balance varies widely within each collapse fiber.

**Proposition 13.4.** *For every  $x \in [0, 1]$ , the set*

$$\{\eta(G) : G \in \mathcal{F}(x)\}$$

*is the entire interval  $[0, 1]$ .*

*Proof.* For any  $\alpha \in [0, 1]$ , construct a selector that chooses the digit layer with lower density  $\alpha$  and align its digit-selected positions with the expansion of  $x$ . This yields a generator in  $\mathcal{F}(x)$  with entropy balance  $\alpha$ .  $\square$

Thus entropy balance is highly non-collapsing: it completely varies over each fiber.

In the effective setting:

**Proposition 13.5.** *For any computable real  $x$  and any computable  $\alpha \in [0, 1]$ , the effective fiber  $\mathcal{F}_{\text{eff}}(x)$  contains an effective generator  $G$  with  $\eta(G) = \alpha$ .*

*Proof.* Choose a computable selector whose digit-selection density is  $\alpha$  (for example, periodic or block-structured). Assign digits and meta symbols algorithmically as in earlier constructions.  $\square$

Thus  $\eta$  is a refining invariant in the sense of Chapter 12: it separates infinitely many effective identities representing the same real number.

## 13.7 Hybrid and Null-Density Structure Revisited

Entropy balance provides a unified language for the behaviors introduced in Part II:

- **Hybrid identities:**  $\eta(G) > 0$ . Digit usage has positive asymptotic density.
- **Null-density identities:**  $\eta(G) = 0$ . Digit usage is sparse and dominated by the meta layer.

Both classes appear in every collapse fiber. Entropy balance quantifies the spectrum between the two extremes.

## 13.8 Compatibility with the Diagonalizer

Entropy balance is sensitive to tail modifications but only within its prefix-determined dependency structure. Thus the meta-diagonalizer can produce generators with prescribed entropy balances that still evade any finite family of other projections.

**Proposition 13.6.** *Entropy balance is compatible with the diagonalizer: for any computable  $\alpha \in [0, 1]$ , there exists  $G^\# \in \mathcal{F}_{\text{eff}}(x)$  with  $\eta(G^\#) = \alpha$  such that  $G^\#$  diagonalizes against any given finite family of computable projections.*

*Proof.* Construct a tail identity  $A$  with entropy balance  $\alpha$  and use it as the tail source in the diagonalizer construction of Chapter 9.  $\square$

Thus entropy balance survives the incompleteness landscape as a robust extended coordinate.

## 13.9 Outlook

Entropy balance is the simplest non-collapsing invariant and the foundation for constructing higher-order generative coordinates. The next chapter introduces the *fluctuation index*, which measures irregularity and long-range variation in selector behavior. This tertiary invariant refines entropy balance, providing a richer perspective on selector complexity within collapse fibers.



## Chapter 14

# The Fluctuation Index as a Tertiary Invariant

### 14.1 Introduction

Entropy balance  $\eta(G)$  measures *how often* a selector chooses the digit layer. But many selectors with the same digit density behave very differently: some distribute digit selections uniformly, while others place them in bursts separated by long gaps.

To capture this higher-order structure, we introduce the *fluctuation index*, a tertiary invariant that measures the irregularity or dispersion of digit selections. This invariant refines entropy balance in the same way that variance refines mean: it distinguishes selectors with identical limiting densities but different internal patterns.

The fluctuation index satisfies all criteria for extended invariants introduced in Chapter 12. It is continuous, prefix-determined, computable on the effective core, and sensitive to structure invisible to entropy balance and collapse. It will serve as one of the key coordinates in the extended generative space developed in Chapters 15 and 16.

### 14.2 Gap Sequences and Dispersion

Let  $G = (M, D, K)$  be a generative identity. Write

$$S_M = \{n_0 < n_1 < n_2 < \cdots\}$$

for the positions where  $M$  selects the digit layer. Define the *gap sequence*

$$g_j = n_{j+1} - n_j.$$

Digit density depends only on the asymptotic cardinality of  $S_M$ ; the gap sequence captures its *shape*. Small gaps correspond to uniform usage; large gaps indicate bursts of meta-layer dominance.

### 14.3 Finite-Prefix Fluctuation

We begin with a finite version that is well-defined on prefixes.

For any  $n$  such that  $S_M \cap [0, n)$  contains at least two digit selections, define the partial gap sequence

$$g_j^{(n)} = n_{j+1} - n_j \quad \text{for } n_{j+1} < n.$$

Let

$$\Phi_n(G) = \begin{cases} \max_j g_j^{(n)}, & \text{if at least two gaps appear in } [0, n), \\ n, & \text{otherwise.} \end{cases}$$

The value  $\Phi_n(G)$  measures the largest digit-free region within the first  $n$  positions. Taking  $\Phi_n(G) = n$  in the degenerate case ensures monotonicity and prefix dependence.

## 14.4 Definition of the Fluctuation Index

**Definition 14.1** (Fluctuation Index). The *fluctuation index* of  $G$  is

$$\phi(G) = \limsup_{n \rightarrow \infty} \frac{\Phi_n(G)}{n}.$$

Thus  $\phi(G)$  measures the normalized size of the largest digit-free portion of the initial segment. Values near zero indicate uniformity; values near one indicate extreme irregularity or sparsity.

## 14.5 Continuity and Prefix Dependence

**Proposition 14.1.** *The fluctuation index  $\phi(G)$  is a prefix-determined, continuous structural projection.*

*Proof.* Fix  $\varepsilon > 0$ . To determine whether  $\phi(G)$  exceeds a threshold  $\alpha$ , it suffices to inspect all gap lengths in the prefix of length  $N = \lceil 1/\varepsilon \rceil$ . Agreement on this prefix ensures that the normalized  $\Phi_n(G)/n$  values differ by at most  $\varepsilon$  for all  $n \geq N$ , proving continuity and prefix determination.  $\square$

## 14.6 Computability

**Proposition 14.2.** *The fluctuation index  $\phi$  is computable on  $\mathcal{G}_{\text{eff}}$ .*

*Proof.* Given a computable selector  $M$ , we can compute all gap lengths  $g_j^{(n)}$  within the prefix  $M \upharpoonright n$ . Thus  $\Phi_n(G)$  is computable. Since  $\phi(G)$  is obtained as the lim sup of computable rational numbers  $\Phi_n(G)/n$ , it is Type-2 computable.  $\square$

## 14.7 Relationship to Entropy Balance

Entropy balance and fluctuation index measure complementary aspects of the selector.

- $\eta(G)$  captures the *overall frequency* of digit usage.
- $\phi(G)$  captures the *distributional irregularity* of digit usage.

**Proposition 14.3.**  *$\eta(G)$  does not determine  $\phi(G)$ , and  $\phi(G)$  does not determine  $\eta(G)$ .*

*Proof.* Selectors with identical densities may differ arbitrarily in the size of gaps; similarly, sequences with identical gap structure may differ in density by increasing or decreasing digit selections uniformly.  $\square$

Thus  $\eta$  and  $\phi$  are independent coordinates in the extended space.

## 14.8 Behavior Inside Collapse Fibers

As with entropy balance, fluctuation index varies fully within each collapse fiber.

**Proposition 14.4.** *For every  $x \in [0, 1]$  and  $\alpha \in [0, 1]$ , there exists  $G \in \mathcal{F}(x)$  such that  $\phi(G) = \alpha$ .*

*Proof.* Construct selectors with gap sequences that achieve maximal gap proportions corresponding to  $\alpha$ , and align digit selections to the expansion of  $x$ .  $\square$

In the effective setting:

**Proposition 14.5.** *If  $x \in \mathbb{R}_c$  and  $\alpha \in \mathbb{Q} \cap [0, 1]$ , then  $\mathcal{F}_{\text{eff}}(x)$  contains an effective generator  $G$  with  $\phi(G) = \alpha$ .*

*Proof.* Use periodic or computably sparse selectors whose gap structure realizes  $\alpha$ , then assign digit and meta coordinates computably as in earlier constructions.  $\square$

Thus  $\phi$  is a refining invariant and a genuine tertiary coordinate.

## 14.9 Projection-Lattice Structure

**Proposition 14.6.** *The fluctuation index is the supremum (in the refinement order) of the family of projections*

$$G \mapsto \frac{\Phi_n(G)}{n}.$$

*Proof.* The limsup operation yields the least upper bound in the refinement order: any projection that dominates each  $\Phi_n(G)/n$  must dominate their limsup as well.  $\square$

This positions  $\phi$  naturally within the projection lattice: entropy balance is an infimum of finite-frequency projections, while fluctuation index is a supremum of gap-size projections.

## 14.10 Compatibility with Diagonalization

Fluctuation index is sensitive to highly local changes in gap structure but is still prefix-determined. Thus the diagonalizer of Chapter 9 can be adapted to preserve  $\phi(G)$  while evading any finite family of other projections.

**Proposition 14.7.** *For any computable  $\alpha \in [0, 1]$  and any computable real  $x$ , there exists a diagonalizing mechanism  $G^\# \in \mathcal{F}_{\text{eff}}(x)$  with  $\phi(G^\#) = \alpha$ .*

*Proof.* Choose a tail identity  $A$  with  $\phi(A) = \alpha$ , and sew it into the diagonalizer construction. Digit-index alignment preserves collapse, and prefix stability preserves gap structure at all prescribed scales.  $\square$

## 14.11 Outlook

The fluctuation index enriches the generative coordinate system beyond entropy balance. Selectors with identical frequency patterns can have vastly different irregularity profiles, and  $\phi(G)$  captures this tertiary layer of structure.

Chapter 15 combines  $\eta$  and  $\phi$  into a two-dimensional extended coordinate system, drawing an analogy with the classical complex plane:  $\pi(G)$  corresponds to the “real axis,” while  $\eta(G)$  or  $\phi(G)$  act as imaginary directions that restore structure lost under collapse.

## Chapter 15

# Orthogonal Extensions and the Complex Analogy

### 15.1 Introduction

Collapse extracts a single coordinate from a generative identity: its classical magnitude. Entropy balance and fluctuation index extract structural information that collapse discards. Together, these invariants begin to form a coordinate system on the generative space, revealing a geometry richer than the one-dimensional continuum obtained from the collapse quotient.

The purpose of this chapter is to formalize a conceptual analogy: *adding a secondary invariant to collapse is analogous to extending the real line to a plane*. This is not an isomorphism of structures, but a geometric metaphor: the classical real number  $\pi(G)$  is one coordinate, and an extended invariant (such as  $\eta(G)$  or  $\phi(G)$ ) provides an orthogonal direction that restores structure lost under collapse.

We develop this analogy rigorously by constructing two-dimensional embeddings of the generative space. These embeddings highlight how extended invariants enrich the generative representation without overcoming the fundamental limitations imposed by structural incompleteness.

### 15.2 Collapse as a One-Dimensional Projection

The collapse map

$$\pi : \mathcal{X}^* \rightarrow [0, 1]$$

is a structural projection that forgets nearly all internal structure. Viewed geometrically, collapse captures only the “horizontal” coordinate of a generative identity. Every collapse fiber is an entire vertical column of mechanisms projecting to the same point.

### 15.3 Adding a Secondary Coordinate

Let  $I : \mathcal{X} \rightarrow \mathbb{R}$  be an extended invariant such as entropy balance  $\eta$  or fluctuation index  $\phi$ . Both are continuous, prefix-determined, and non-collapsing.

We consider the map

$$G \mapsto (\pi(G), I(G)) \in \mathbb{R}^2.$$

**Proposition 15.1** (Two-Dimensional Embedding). *If  $I$  is non-collapsing, then the map*

$$\Theta_I(G) := (\pi(G), I(G))$$

*is an embedding of each collapse fiber into  $\mathbb{R}^2$ .*

*Proof.* If  $G, H \in \mathcal{F}(x)$  with  $G \neq H$ , then  $\pi(G) = \pi(H) = x$  but  $I(G) \neq I(H)$  by non-collapse. Thus  $\Theta_I$  is injective on the fiber. Continuity follows from continuity of  $\pi$  and  $I$ .  $\square$

Thus adding a single extended invariant “lifts” each collapse fiber into an interval of vertical values, restoring structure lost in the one-dimensional collapse.

## 15.4 Orthogonal Extension Analogy

We now explain the complex-plane analogy carefully and rigorously.

### The real line

In classical mathematics:

$$\mathbb{R} \text{ is one-dimensional.}$$

### The complex plane

The complex plane arises by adding an orthogonal direction:

$$\mathbb{C} = \mathbb{R} \oplus i\mathbb{R}.$$

Geometrically this means: - same horizontal coordinate (real part), - second, independent vertical coordinate (imaginary part).

### The generative analogy

In the generative setting:

-  $\pi(G)$  plays the role of the “horizontal” coordinate, - an extended invariant  $I(G)$  plays the role of a “vertical” coordinate.

The analogy is:

$$\text{Collapse-only: } G \mapsto \pi(G) \rightsquigarrow \text{One-dimensional real axis.}$$

$$\text{Extended coordinates: } G \mapsto (\pi(G), I(G)) \rightsquigarrow \text{Plane-like embedding restoring lost structure.}$$

**Remark 15.1.** This analogy is conceptual: we are not claiming that  $(\pi, I)$  forms a field, a vector space, or an algebraic closure. The analogy concerns dimensional enrichment, not algebraic structure.

## 15.5 Choosing $I = \eta$ or $I = \phi$

Both entropy balance and fluctuation index provide valid orthogonal extensions.

### Entropy balance plane

The map

$$G \mapsto (\pi(G), \eta(G))$$

produces a plane in which:

- horizontal axis: classical magnitude, - vertical axis: frequency of digit selections.
- Hybrid identities ( $\eta > 0$ ) appear in the positive vertical region.
- Null-density identities ( $\eta = 0$ ) lie on the horizontal axis.
- Each classical real  $x$  corresponds to the vertical line  $\{x\} \times [0, 1]$ .

### Fluctuation plane

The embedding

$$G \mapsto (\pi(G), \phi(G))$$

produces a different slice of structure:

- horizontal axis: magnitude, - vertical axis: irregularity or dispersion.
- These two planes emphasize complementary aspects of the generative space.

## 15.6 Higher-Dimensional Embeddings

We may combine multiple invariants:

$$G \mapsto (\pi(G), \eta(G), \phi(G)) \in \mathbb{R}^3.$$

This embedding distinguishes:

- magnitude (collapse),
- average digit density,
- long-run selector irregularity.

Many distinct invariants—meta-frequency statistics, pattern densities, or computable subshift entropies—can be added as further axes.

## 15.7 Limits of Dimensional Restoration

The Structural Incompleteness Theorem remains in force.

**Proposition 15.2.** *No finite-dimensional embedding*

$$\mathcal{X} \longrightarrow \mathbb{R}^d$$

*using computable structural projections is injective on any effective fiber.*

*Proof.* Each coordinate of such an embedding is a computable structural projection. Apply the Structural Incompleteness Theorem to the finite family of these projections.  $\square$

Thus extended invariants enrich generative coordinates but cannot fully recover the lost structure. This limitation mirrors the fact that the complex plane adds only one new axis to the real line; it does not recover all structure lost in collapsing  $\mathbb{R}^2$  onto  $\mathbb{R}$ .

## 15.8 Interpretation

The analogy with the complex plane should be understood as follows:

Adding an independent invariant to  $\pi$  produces a two-dimensional coordinate system, just as adding an imaginary coordinate extends the real line to the complex plane. Both enrich the representational landscape, revealing structure invisible to the original projection.

This conceptual picture clarifies the role of extended invariants: they provide orthogonal directions in the generative geometry, expanding the classical representation into a richer multidimensional framework.

## 15.9 Outlook

The final chapter, Chapter 16, investigates the geometry of extended coordinates. Even as more invariants are added, the ability to recover structure diminishes rapidly. Part VI concludes by analyzing this phenomenon and explaining why the generative framework supports an expanding hierarchy of invariants but no finite system can fully classify generative identities.

## Chapter 16

# Diminishing Returns and Final Outlook

### 16.1 Introduction

Part VI introduced extended generative invariants—quantities such as entropy balance and fluctuation index that recover aspects of structure lost under the collapse map. These invariants enrich the generative coordinate system and allow us to embed each collapse fiber into multidimensional spaces. Entropy balance captures long-term selector frequencies; fluctuation index captures irregularity and dispersion; other invariants may measure meta-patterns, combinatorial complexity, or effective entropy.

But Part IV showed that no *finite* system of computable invariants can classify an effective collapse fiber. This tension creates a geometric phenomenon at the core of the generative framework:

*Each new invariant recovers genuine structure—but the amount of structure it can recover decreases rapidly as the number of invariants grows.*

This chapter formalizes and interprets this phenomenon of *diminishing returns*. We conclude by synthesizing the entire generative viewpoint and outlining possible directions for further research.

### 16.2 The Geometry of Successive Refinements

Let  $\pi$  be collapse, and let

$$I_1, I_2, \dots, I_r$$

be extended invariants (computable structural projections) added as higher coordinates of the generative space.

Define the extended coordinate map

$$\Theta_r(G) = (\pi(G), I_1(G), \dots, I_r(G)).$$

Each new invariant refines the fiber structure by identifying distinctions that previous invariants do not capture.

However, the Structural Incompleteness Theorem implies:

For every finite  $r$ , there remain infinitely many effective generators that  $\Theta_r$  cannot distinguish.

To understand the geometry, consider the fiber  $\mathcal{F}_{\text{eff}}(x)$  for a computable real  $x$ .



## 16.3 Fiber Shrinkage Under Added Coordinates

Adding one invariant collapses the fiber from a  $\Pi_1^0$  class of infinite size to a smaller (but still infinite) subset. Adding more invariants continues to shrink the fiber.

Let

$$F_r(x) = \{G \in \mathcal{F}_{\text{eff}}(x) : \Theta_r(G) \text{ is fixed}\}.$$

Then:

1.  $F_0(x) = \mathcal{F}_{\text{eff}}(x)$  (only collapse is fixed),
2.  $F_1(x)$  (fixing  $\eta$ ) is infinite,
3.  $F_2(x)$  (fixing both  $\eta$  and  $\phi$ ) is infinite,
4.  $\dots$ , and for any finite  $r$ ,  $F_r(x)$  is infinite.

Thus each new invariant reduces—but never eliminates—the fiber’s internal degrees of freedom.

## 16.4 Projection-Lattice Interpretation

In the projection lattice of Chapter 6:

- collapse  $\pi$  is a coarse projection, - each extended invariant  $I_j$  refines the lattice by intersecting prefix constraints, - but the intersection of finitely many computable constraints is always too coarse to produce a singleton.

This yields a lattice-theoretic restatement of diminishing returns:

**Proposition 16.1.** *Let  $\{\Phi_1, \dots, \Phi_r\}$  be computable structural projections. Then their meet*

$$\Phi_1 \wedge \dots \wedge \Phi_r$$

*is never injective on  $\mathcal{F}_{\text{eff}}(x)$  for any computable real  $x$ .*

Thus no finite meet of projections resolves all internal structure.

## 16.5 Asymptotic Exhaustion of Structure

We may view the sequence of refined fibers

$$F_0(x) \supseteq F_1(x) \supseteq F_2(x) \supseteq \dots$$

as a descending chain of computably closed sets. Each step removes some ambiguity, but cannot eliminate it entirely.

**Proposition 16.2.** *For any computable real  $x$ , the intersection*

$$\bigcap_{r=0}^{\infty} F_r(x)$$

*contains infinitely many effective generators.*

*Sketch.* If the intersection were finite—let alone a singleton—then a finite stage of the coordinate system would already be injective, contradicting structural incompleteness. The diagonalizer ensures infinitely many identities remain indistinguishable by any finite set of invariants.  $\square$

Thus even an infinite hierarchy cannot resolve all structure if restricted to computable invariants with finite prefix dependence.

## 16.6 Interpretation: Dimensional Saturation

Extended invariants provide “orthogonal directions” that lift collapse fibers into higher-dimensional coordinate systems. But these axes suffer a phenomenon analogous to diminishing returns:

- The first axis ( $\eta$ ) reveals a large amount of structure. - The second axis ( $\phi$ ) reveals additional but less dramatic structure. - Further axes reveal still finer distinctions, but each contributes less than the axes before it.

This resembles the spectral decay seen in principal-component analyses or the entropy reduction curves in coding theory: the first coordinates dominate the information content.

## 16.7 Collapse as the Limiting Shadow

The generative viewpoint can now be summarized:

1. A generative identity contains enormous symbolic structure.
2. Collapse forgets nearly all of it.
3. Extended invariants retrieve systematic fragments of that lost structure.
4. No finite set of invariants can reverse collapse.
5. Even an unbounded sequence of computable invariants cannot fully classify fibers.

Collapse is therefore a limiting shadow of a high-dimensional generative space: extended invariants brighten the shadow but cannot fully reconstruct the original object.

## 16.8 Final Outlook

The generative framework opens several directions for future research:

- **Infinite Coordinate Systems.** What happens if one considers transfinite or noncomputable invariants?
- **Measure-Theoretic Generative Models.** How do extended invariants behave under probabilistic generative processes?
- **Operator Theory on Generative Space.** Can one define linear or nonlinear operators acting on  $(M, D, K)$ -space that respect collapse and extended coordinates?
- **Descriptive-Set-Theoretic Complexity.** What is the exact complexity of effective fibers, and how do extended invariants alter this classification?
- **Geometry of Extended Embeddings.** Do extended invariants produce well-structured manifolds or fractal geometries inside  $\mathbb{R}^d$ ?

The overarching insight of this monograph is that classical real numbers represent the collapse shadow of a richer generative world. Extended invariants illuminate fragments of this world, but the symbolic geometry underlying generative identities remains fundamentally higher dimensional and resistant to finite classification.

Collapse is only the beginning; the generative structure continues far beyond.

# Appendix A

## Computable Analysis Background

### A.1 Introduction

This appendix summarizes the basic tools from computable analysis and Type-2 computability that are used throughout Parts I–IV of the monograph. The purpose is not to survey the full subject, but to gather the definitions and standard results needed to justify the effective core  $\mathcal{G}_{\text{eff}}$  and the constructions involving dependency bounds, secondary projections, and computable collapse.

Classical references include the foundational work of Turing [1] and the standard development in computable analysis presented by Weihrauch [2].

### A.2 Computable Sequences

Let  $A$  be a finite alphabet. A sequence  $s : \mathbb{N} \rightarrow A$  is *computable* if there exists a Turing machine that, on input  $n$ , outputs  $s(n)$ . This definition applies directly to the three layers of a generative identity:

$$M : \mathbb{N} \rightarrow \{D, K\}, \quad D : \mathbb{N} \rightarrow \{0, 1, \dots, b-1\}, \quad K : \mathbb{N} \rightarrow \Sigma,$$

where  $\Sigma$  is the finite meta alphabet.

The sequence space  $A^{\mathbb{N}}$  carries the product topology generated by finite-prefix agreement. For  $s \in A^{\mathbb{N}}$ , the prefix of length  $n$  is written

$$s \upharpoonright n = (s(0), \dots, s(n-1)).$$

All computability notions respect this topology: a Turing machine accessing an input sequence reads only finitely many symbols before producing a finite portion of the output.

### A.3 Names and Represented Spaces

In computable analysis, elements of a represented space are given by *names*—infinite sequences encoding potentially infinite information. In the generative framework, a triple  $G = (M, D, K) \in \mathcal{X}$  acts as a name for the classical real number obtained through collapse. Chapter 2 shows that collapse respects computability and that  $\mathcal{G}_{\text{eff}}$  corresponds exactly to the computable names of reals.

## A.4 Computable Real Numbers

A real number  $x$  is *computable* if a Turing machine can produce a sequence of rational approximations that converge to  $x$  at a computable rate. Equivalently,  $x$  is computable if it has a computable base- $b$  expansion. The set of computable reals is denoted  $\mathbb{R}_c$ .

In Chapter 2 it is shown that

$$\pi(\mathcal{G}_{\text{eff}}) = \mathbb{R}_c,$$

where  $\pi$  is the collapse map that interprets the selected digits of an identity as a base- $b$  expansion. Thus every computable real has an effective generative representation.

## A.5 Computable Functionals on Sequence Spaces

Let  $A^{\mathbb{N}}$  be a sequence space and consider a functional

$$\Phi : A^{\mathbb{N}} \rightarrow \mathbb{R}.$$

The functional  $\Phi$  is *computable* if there exists a Type-2 Turing machine which, given oracle access to a name of  $s \in A^{\mathbb{N}}$ , outputs rational approximations of  $\Phi(s)$  to arbitrary precision.

A core principle of the Type-2 setting is that computable functionals depend only on a finite prefix of their input when producing any specified approximation. This property underlies the dependency bounds used in Chapters 6–8.

**Proposition A.1** (Finite Prefix Dependence). *Let  $\Phi : A^{\mathbb{N}} \rightarrow \mathbb{R}$  be computable. For every rational  $\varepsilon > 0$  there exists  $N \in \mathbb{N}$  such that*

$$s \upharpoonright N = t \upharpoonright N \implies |\Phi(s) - \Phi(t)| < \varepsilon.$$

*Proof.* A Type-2 computation of an  $\varepsilon$ -approximation of  $\Phi(s)$  inspects only finitely many input symbols before halting. See [2].  $\square$

This is the foundation for the dependency bounds and uniform bounds developed in Part III.

## A.6 Secondary Projections on the Generative Space

Let  $G = (M, D, K) \in \mathcal{G}_{\text{eff}}$ . Since each coordinate sequence is computable, the triple can be encoded as a single sequence over a larger finite alphabet. A secondary projection

$$\Phi : \mathcal{G}_{\text{eff}} \rightarrow \mathbb{R}^k$$

is *computable* if each coordinate function is computable in the sense above. The finite-prefix dependence of  $\Phi$  then follows by applying Proposition A.1 to the encoded triple.

**Proposition A.2.** *Every computable secondary projection on  $\mathcal{G}_{\text{eff}}$  admits a computable dependency bound in the sense of Chapter 6.*

*Proof.* Encode  $(M, D, K)$  as a single computable sequence and apply Proposition A.1.  $\square$

This validates the prefix-limited nature of all computable observations of generative identities.

## A.7 Computability of Collapse

The collapse map

$$\pi : \mathcal{X} \rightarrow [0, 1]$$

extracts the subsequence of digits selected by the mixer and interprets these digits as a base- $b$  expansion. In the TTE framework, collapse is a representation transforming a name in  $\mathcal{X}$  into the real it encodes.

**Proposition A.3.** *If  $G \in \mathcal{G}_{\text{eff}}$  then  $\pi(G)$  is a computable real.*

*Proof.* The digit subsequence is computable from  $(M, D, K)$ , and the base- $b$  evaluation is a computable real-valued function.  $\square$

This ensures that collapse interacts correctly with computable real-valued functions, as used in Chapters 9 and 10.

## A.8 Summary

This appendix provides the minimal background from computable analysis required in the monograph. Effective generative identities are precisely computable names in the sense of Type-2 computability. Secondary projections are computable functionals with finite-prefix dependence. Collapse is a computable representation that extracts the classical magnitude encoded by a generative identity. These principles support the structural analysis and diagonalization constructions developed in Parts I–IV.

## Appendix B

# Uniform Bounds and Technical Lemmas

### B.1 Introduction

This appendix collects the technical results that support the development of finite lookahead, projective incompatibility, and the meta-diagonalizer construction. While Appendix A summarized background from computable analysis, the results in this appendix are specific to the generative framework and are used throughout Chapters 6–9.

The lemmas presented here provide uniform stabilization bounds for computable secondary projections, prefix agreement principles, and the key sewing and divergence tools used in the construction of diagonalizers.

### B.2 Prefix Stabilization

The first result formalizes the idea that computable observers stabilize on the basis of a finite prefix of the input identity. This is a more explicit form of finite-prefix dependence (Appendix A) tailored to the layered structure of generative identities.

**Lemma B.1** (Prefix Stabilization). *Let  $\Phi : \mathcal{G}_{\text{eff}} \rightarrow \mathbb{R}^k$  be a computable secondary projection. For every rational  $\varepsilon > 0$  there exists an  $N$  such that if two effective identities  $G$  and  $H$  satisfy*

$$(M_G, D_G, K_G) \upharpoonright N = (M_H, D_H, K_H) \upharpoonright N,$$

*then*

$$\|\Phi(G) - \Phi(H)\| < \varepsilon.$$

*Proof.* Encode the triple  $(M, D, K)$  as a single computable sequence and apply Proposition A.1 of Appendix A.  $\square$

### B.3 Uniform Bounds for Finite Families

A central ingredient in Chapter 6 is the existence of a common horizon for a finite family of projections. This guarantees that any observer chosen from a fixed list inspects at most a bounded prefix of the generator.

**Proposition B.1** (Uniform Dependency Bound). *Let  $\mathcal{P} = \{\Phi_1, \dots, \Phi_m\}$  be a finite family of computable secondary projections. For any rational  $\varepsilon > 0$  there exists an integer  $L$  such that for all  $G, H \in \mathcal{G}_{\text{eff}}$ ,*

$$(M_G, D_G, K_G) \upharpoonright L = (M_H, D_H, K_H) \upharpoonright L \implies \|\Phi_i(G) - \Phi_i(H)\| < \varepsilon$$

for every  $1 \leq i \leq m$ .

*Proof.* Let  $B_{\Phi_i}(\varepsilon)$  be the dependency bound for  $\Phi_i$ . Define

$$L = \max_{1 \leq i \leq m} B_{\Phi_i}(\varepsilon).$$

The claim follows immediately from Lemma C.1. □

## B.4 Controlled Divergence Inside Fibers

The next lemma shows that collapse fibers contain identities with arbitrarily different secondary structure. This is the technical backbone of the existence of adjustment identities used in Chapter 8.

**Lemma B.2** (Controlled Divergence). *Let  $x \in \mathbb{R}_c$ , let  $H \in \mathcal{F}_{\text{eff}}(x)$ , and let  $\Phi$  be any computable secondary projection. For every rational  $\delta > 0$  there exists an identity  $A \in \mathcal{F}_{\text{eff}}(x)$  such that*

$$\|\Phi(A) - \Phi(H)\| > \delta.$$

*Proof.* Since collapse fibers are infinite (Chapter 3) and  $\Phi$  is not injective on any effective fiber (Chapter 7), we may enumerate effective elements of  $\mathcal{F}_{\text{eff}}(x)$  and search for one whose projection differs from that of  $H$  by more than  $\delta$ . This enumeration is computable because the set of effective generators with collapse value  $x$  is a  $\Pi_1^0$  class. □

## B.5 Tail Sewing and Index Alignment

The meta-diagonalizer construction requires splicing two identities together while preserving the digit subsequence of the collapse. The following lemma formalizes this sewing operation.

**Lemma B.3** (Tail Sewing). *Let  $H, A \in \mathcal{F}_{\text{eff}}(x)$  and let  $L \in \mathbb{N}$ . There exists an effective identity  $G^* \in \mathcal{F}_{\text{eff}}(x)$  such that:*

1.  $G^* \upharpoonright L = H \upharpoonright L$ , and
2. the tail of  $G^*$  agrees with a time-shifted tail of  $A$  chosen so that the selected digit indices align.

*Proof.* Let  $k_H$  be the number of digit selections made by  $H$  before index  $L$ . Search in  $A$  for the least index  $L'$  such that  $A$  has made  $k_H$  digit selections before  $L'$ . Define  $G^*$  to copy the prefix of  $H$  up to  $L$  and to copy the tail of  $A$  starting at  $L'$ . Because  $A \in \mathcal{F}_{\text{eff}}(x)$ , the shifted tail produces the correct remaining digit sequence. Effectiveness follows from the computability of  $H$  and  $A$ . □

## B.6 Adjustment Lemma

The final tool combines uniform bounds, controlled divergence, and tail sewing to guarantee the existence of a generator that evades any finite family of secondary projections.

**Lemma B.4** (Adjustment Lemma). *Let  $\mathcal{P} = \{\Phi_1, \dots, \Phi_m\}$  be a finite family of computable secondary projections and let  $H \in \mathcal{F}_{\text{eff}}(x)$ . For every rational  $\varepsilon > 0$  there exists an identity  $G^* \in \mathcal{F}_{\text{eff}}(x)$  such that:*

$$\|\Phi_i(G^*) - \Phi_i(H)\| > \varepsilon \quad \text{for all } i.$$

*Proof.* Let  $L$  be the uniform dependency bound of Proposition B.1 for precision  $\varepsilon/3$ . By Lemma B.2, choose an identity  $A$  whose projections differ from those of  $H$  by more than  $\varepsilon$ . Apply tail sewing (Lemma C.3) beyond index  $L$  to obtain  $G^*$  that agrees with  $H$  on the observable prefix and follows  $A$  on the tail. Since the switch happens beyond the uniform bound, the projections of  $H$  and  $G^*$  differ by more than  $\varepsilon$ .  $\square$

## B.7 Summary

The results in this appendix supply the technical scaffolding for the meta-diagonalizer and the Structural Incompleteness Theorem. Prefix stabilization and uniform bounds describe the observational limits of computable projections, while the controlled divergence and sewing lemmas show that these limits can be exploited to construct identities that evade any finite family of observers.



# Appendix C

## Technical Lemmas for the Meta-Diagonalizer

### C.1 Introduction

This appendix collects the technical lemmas used in Chapter 8 to construct the meta-diagonalizer. The results describe how computable projections stabilize on finite prefixes, how tail modifications remain invisible below the dependency horizon, and how controlled adjustments can force divergence from any fixed family of observers.

All notation matches the conventions of Chapters 6–9 and the uniform dependency bound framework developed in Appendix B.

### C.2 Prefix Stabilization

Computable projections depend only on a finite prefix of their input. The following lemma restates this principle for a single projection.

**Lemma C.1** (Prefix Stabilization). *Let  $\Phi : \mathcal{G}_{\text{eff}} \rightarrow \mathbb{R}$  be a computable secondary projection with dependency bound  $B_\Phi(\varepsilon)$ . If effective identities  $G$  and  $H$  satisfy*

$$(M_G, D_G, K_G) \upharpoonright B_\Phi(\varepsilon) = (M_H, D_H, K_H) \upharpoonright B_\Phi(\varepsilon),$$

*then*

$$|\Phi(G) - \Phi(H)| < \varepsilon.$$

*Proof.* A Type-2 computation of an  $\varepsilon$ -approximation of  $\Phi$  reads only finitely many coordinates of the input. If  $G$  and  $H$  agree on this prefix, the approximations must lie within  $\varepsilon$  of one another.  $\square$

### C.3 Uniform Stabilization for Finite Families

Finite families of projections admit a common stabilization horizon.

**Lemma C.2** (Uniform Stabilization). *Let  $\mathcal{F} = \{\Phi_1, \dots, \Phi_m\}$  be a finite family of computable secondary projections, and let  $B(\varepsilon)$  be the uniform dependency bound from Appendix B. If  $G$  and  $H$  agree on the first  $B(\varepsilon)$  coordinates, then for every  $i = 1, \dots, m$ ,*

$$|\Phi_i(G) - \Phi_i(H)| < \varepsilon.$$

*Proof.* By definition,

$$B(\varepsilon) = \max_{1 \leq i \leq m} B_{\Phi_i}(\varepsilon).$$

Thus prefix agreement to length  $B(\varepsilon)$  implies agreement at the dependency bound for each  $\Phi_i$ . Apply Lemma C.1.  $\square$

## C.4 Tail Sewing

The meta-diagonalizer constructs generators whose tails differ while the observable prefixes remain unchanged. The next lemma formalizes the basic tail-splicing operation.

**Lemma C.3** (Tail Sewing). *Let  $G$  and  $H$  be effective identities, and let  $L \in \mathbb{N}$ . Define an identity  $G'$  by*

$$G'(n) = \begin{cases} G(n), & n \leq L, \\ H(n), & n > L. \end{cases}$$

*If  $L \geq B(\varepsilon)$ , where  $B$  is the uniform dependency bound for a finite family  $\mathcal{F}$ , then for every  $\Phi_i \in \mathcal{F}$ ,*

$$|\Phi_i(G') - \Phi_i(G)| < \varepsilon.$$

*Proof.* Since  $G'$  and  $G$  agree on the first  $L \geq B(\varepsilon)$  coordinates, Lemma C.2 implies

$$|\Phi_i(G') - \Phi_i(G)| < \varepsilon.$$

$\square$

# Bibliography

- [1] Alan M. Turing. On computable numbers, with an application to the entscheidungsproblem. *Proceedings of the London Mathematical Society*, 42:230–265, 1936.
- [2] Klaus Weihrauch. *Computable Analysis*. Springer, 2000.