

## Class 11 Exterior derivative and Lie derivative

Recall  $\Omega^k$  is the space of  $k$ -form on  $M$ .  
 i.e. sections  $M \rightarrow \Lambda^k T^*M$ .

$$\Omega^0 = C^\infty(M; \mathbb{R})$$

$$\text{Locally } \omega = \sum_{i_1 < i_2 < \dots < i_k} f_{i_1 \dots i_k} dx^{i_1} \wedge \dots \wedge dx^{i_k}$$

$$d\omega = \sum_{i_1 < i_2 < \dots < i_k} \sum_{m \neq i_1, \dots, i_k} \frac{\partial f_{i_1 \dots i_k}}{\partial x^m} dx^m \wedge dx^{i_1} \wedge \dots \wedge dx^{i_k}$$

$$= \sum_{i_1 < i_2} df \wedge dx^{i_1} \wedge \dots \wedge dx^{i_k}$$

$d: \Omega^k \rightarrow \Omega^{k+1}$  is independent of charts

$$d^2 = 0. H_{dR}^i(M) = \frac{(\ker d: \Omega^i \rightarrow \Omega^{i+1})}{(\operatorname{Im} d: \Omega^{i-1} \rightarrow \Omega^i)}$$

Prop 1) If  $f: M \rightarrow N$  is a diffeomorphism.  $f^*$  induces an iso between  $H_{dR}^*(N)$  and  $H_{dR}^*(M)$   $\Rightarrow$  If  $H_{dR}^*(N) \neq H_{dR}^*(M)$ , then  $M \not\cong_{diff} N$

2) If  $\psi$  and  $\phi$  are homotopic map to  $N$ , i.e.

$$\exists \underline{\Phi}: [0,1] \times M \rightarrow N \text{ smooth, s.t. } \underline{\Phi}(0, \cdot) = \phi$$

$$\text{and } \underline{\Phi}(1, \cdot) = \psi, \text{ then } \psi^* = \phi^*: H_{dR}^*(N) \rightarrow H_{dR}^*(M)$$

Pf: 1). Since  $\psi^* d = d \psi^*$ . (details in next page)  
 (This is called a (co)chain map)

$\psi^*$  induces a well-defined map

If  $\psi: M \rightarrow N$  is a smooth map.  $\psi$  induces a map

$$\psi^*: \Omega^k(N) \rightarrow \Omega^k(M) \text{ by}$$

$$\psi^* \omega(v_1, \dots, v_n) = \omega(\psi_* v_1, \dots, \psi_* v_n) \quad v_i \in T_p M \quad \psi_* \text{ tangent map}$$

which is well defined on  $H_{dR}^k(N) \rightarrow H_{dR}^k(M)$  because

$$1) \text{ For } v \in T_p M \quad df(v) = v(f) := L_v f$$

$$\text{because } df = \frac{\partial f}{\partial x^i} dx^i \quad v = v^i \frac{\partial}{\partial x^i} \quad L_v f = v^i \frac{\partial f}{\partial x^i}$$

$$2) \psi^* df(v) = df(\psi_* v) = (\psi_* v)(f) = v(f) = d(\psi^* f)(v)$$

$$\Rightarrow \psi^* df = d \psi^* f$$

$$3) d(\psi^*(dx^1 \wedge \dots \wedge dx^n)) = d(\psi^*(dx^1) \wedge \dots \wedge \psi^*(dx^n))$$

$$(0 = \psi^* d(dx^i) = d(\psi^* dx^i)) \xrightarrow{\text{red}} 0$$

$$d(w_1 + w_2) = dw_1 + dw_2 \quad d(w_1 \wedge w_2) = dw_1 \wedge w_2 + (-1)^k w_1 \wedge dw_2$$

$$4) \omega = \sum_{i_1 < i_2 < \dots < i_k} f_{i_1 \dots i_k} dx^{i_1} \wedge \dots \wedge dx^{i_k}$$

$$d\omega = \sum_{i_1 < i_2 < \dots < i_k} \sum_{m \in \{i_1, \dots, i_k\}} \frac{\partial f_{i_1 \dots i_k}}{\partial x^m} dx^m \wedge dx^{i_1} \wedge \dots \wedge dx^{i_k}$$

$$\psi^* \omega = \sum (\psi^* f) \psi^*(dx^{i_1} \wedge \dots \wedge dx^{i_k})$$

$$\psi^* d\omega = \sum \psi^*(df \wedge dx^{i_1} \wedge \dots \wedge dx^{i_k})$$

$$= \sum \psi^*(df) \wedge \psi^*(dx^{i_1} \wedge \dots \wedge dx^{i_k})$$

$$= \sum d(\psi^* f) \wedge \psi^*(\dots)$$

$$(d\psi^*(dx^{i_1} \wedge \dots \wedge dx^{i_k}) = 0)$$

$$= d\left(\sum (\psi^* f) \wedge \psi^*(\dots)\right)$$

$$= d\psi^* \omega$$

$$\Rightarrow \psi^* d = d \psi^*$$

$$5). \text{ If } d\omega = 0, \text{ then } d(\psi^* \omega) = \psi^* d\omega = 0$$

$$\begin{aligned} \text{If } \omega_1 - \omega_2 = d\omega_0 \text{ then } \psi^*(\omega_1) - \psi^*(\omega_2) \\ = \psi^* d\omega_0 = d(\psi^* \omega_0) \end{aligned}$$

$\Rightarrow \psi^*$  is well-defined on  $\ker d / \text{Im } d$

$$\psi^*: H_{dR}^*(N) \longrightarrow H_{dR}^*(M)$$

If  $\psi$  is a diffeo,  $\exists \phi: N \rightarrow M$

s.t.  $\psi \circ \phi = \text{Id}$   $\phi \circ \psi = \text{Id}$ . Then  $\psi^*, \phi^*$

induce isomorphism between  $H_{dR}^*(N)$   $H_{dR}^*(M)$

$$2) \text{ Note that } T_{(t,p)}^*( [0,1] \times M) = T_t^*[0,1] \times T_p^*M \\ = \mathbb{R} \times T_p^*M$$

a 1-form on  $T^*( [0,1] \times M)$  can be written as

$$\alpha_t dt + \beta_t \quad \alpha_t \in \Omega^0(M) = C^\infty(M; \mathbb{R}) \\ \beta_t \in \Omega^1(M)$$

$w \in \Omega^k(N)$   $\Phi^* w \in \Omega^k([0,1] \times M)$  can be written as

$$\Phi^* w = dt \wedge \alpha_t + \beta_t \quad \alpha_t \in \Omega^{k-1}(M) \quad \beta_t \in \Omega^k(M)$$

If  $d\omega = 0$ , then  $0 = d(\Phi^* w)$

$$= -dt \wedge d\alpha_t + dt \wedge \frac{\partial}{\partial t} \beta_t + d\beta_t$$

where  $d^\perp$  is the exterior derivative on  $\{t\} \times M \subset [0,1] \times M$

This implies  $\frac{\partial}{\partial t} \beta_t = d^\perp \alpha_t$ ,  $d^\perp \beta_t = 0$

(by product result  $d^\perp \beta_t = 0$  means  $\Phi(t, \cdot)^* w$  is closed for any  $t$ )

$$\psi^* w - \phi^* w = \beta_1 - \beta_0 = \int_0^1 \frac{\partial}{\partial t} \beta_t dt = \int_0^1 d^\perp \alpha_t dt = \int_0^1 d^\perp \alpha_t dt$$

So  $\psi^* w - \phi^* w \in \text{Im } d$   $[\psi^* w] = [\phi^* w]$

since  $HdR = \ker d / \text{Im } d \quad \square$

Cor. (Poincaré Len) Let  $U \subset M$  be a contractible open set, i.e.  $\exists$  smooth map  $\Phi: [0,1] \times U \rightarrow M$

s.t.  $\Phi(1, \cdot)$  is the inclusion

$\Phi(0, \cdot)$  maps  $U$  to a pt  $p \in M$

Then  $H_{dR}^k(U) = 0$  for  $k \geq 1$

i.e. any closed  $k$ -form  $\omega$  ( $d\omega = 0$ ) with  $k \geq 1$

$\exists$  a  $(k-1)$ -form  $\alpha$  s.t.  $d\alpha = \omega$

Pf:  $[\bar{\Phi}(1, \cdot)^* \omega] = [\bar{\Phi}(0, \cdot)^* \omega]$  forms on  $U$

$$\begin{array}{ccc} \parallel & & \parallel \\ \omega|_U & & 0 \end{array}$$

Since  $\bar{\Phi}(0, \cdot): U \rightarrow M$  factors through  $U \rightarrow p \rightarrow M$   $\wedge^k T_p = 0$   
for  $k \geq 1$

$$\bar{\Phi}(0, \cdot)_* = 0 \cdot \bar{\Phi}(0, \cdot)^* \omega = 0 \quad \square$$

Lie derivative on  $k$ -forms.

Suppose  $M$  is compact and  $V$  is a vector field

From vector field thm, we have a smooth map

$G: (-\varepsilon, \varepsilon) \times M \rightarrow M$  s.t.

$$1) G(0, p) = p$$

$$2) G_* \frac{\partial}{\partial t} = V$$

(We use the compactness to find  $\varepsilon > 0$  for any pt  $p \in M$ )

For  $\omega \in \Omega^k(M)$ , consider  $\delta^* \omega \in \Omega^{k-1}(-\varepsilon, \varepsilon) \times M$

$$\delta^* \omega = dt \wedge \alpha_t + \beta_t \quad \alpha_t \in \Omega^{k-1}(M) \quad \beta_t \in \Omega^k(M)$$

$$\text{Define } L_V \omega = \frac{\partial}{\partial t} \beta_t \Big|_{t=0}$$

$$\text{Fact (Cartan formula)} \quad L_V \omega = (L_V d + d L_V) \omega$$

where for a  $k$  form  $\alpha$ ,  $L_V \alpha$  is a  $k-1$  form defined by

$$L_V \alpha(v_1, \dots, v_{k-1}) = \alpha(v, v_1, \dots, v_{k-1})$$

$$\text{So } L_V \omega(v_1, \dots, v_k) = dw(v, v_1, \dots, v_k)$$

$\uparrow$   $\uparrow$   
k-form  $(k+1)\text{form}$

$$+ d L_V \omega(v_1, \dots, v_k)$$

The proof needs careful computations so we omit it.

$$\left. \begin{aligned} &\text{Note that } \alpha_t \Big|_{t=0} = \alpha_0 = L_V \omega \\ &\text{because } \delta^* \omega \left( \frac{\partial}{\partial t}, v_1, \dots, v_k \right) \Big|_{t=0} \end{aligned} \right)$$

$$= \omega \left( \delta \frac{\partial}{\partial t}, \delta v_1, \dots, \delta v_k \right) \Big|_{t=0}$$

$$= \omega(v, \delta v_1, \dots, \delta v_k)$$

$$= dt \wedge \alpha_t \left( \frac{\partial}{\partial t}, v_1, \dots, v_k \right) \Big|_{t=0} + \beta_t \left( \frac{\partial}{\partial t}, v_1, \dots, v_k \right) \Big|_{t=0}$$

$$= \alpha_0(v_1, \dots, v_k)$$

Recall that we define  $L_V$  as a derivation

$$C^\infty(M; \mathbb{R}) \rightarrow C^\infty(M; \mathbb{R})$$

$$\Omega^0$$

$$\Omega^k$$

The definition here  $L_V: \Omega^k \rightarrow \Omega^k$  can be regarded as an extension of derivation on  $\Omega^* = \bigoplus_{k=0}^n \Omega^k$

It satisfies the Leibniz rule

$$L_V(\alpha \wedge \beta) = L_V\alpha \wedge \beta + \alpha \wedge L_V\beta$$

$\Omega^*$  is called the exterior algebra by wedge product  $\wedge$

It is an example of superalgebra, which is a vector space with  $\mathbb{Z}/2 = \{0, 1\}$  grading and  $\alpha \beta = (-1)^{\deg \alpha \deg \beta} \beta \alpha$

where  $\deg \alpha, \deg \beta \in \{0, 1\}$  for homogeneous  $\alpha, \beta$ .

Note that from  $d(x^i \wedge dx^j) = -dx^j \wedge dx^i$ .

We can show for  $\alpha$   $k$ -form,  $\beta$   $l$ -form.

$$\alpha \wedge \beta = (-1)^{kl} \beta \wedge \alpha$$

$$\Omega^* = \underbrace{\bigoplus_{\text{Even}} \Omega^k}_{\text{grading 0}} \bigoplus \underbrace{\bigoplus_{\text{Odd}} \Omega^k}_{\text{grading 1}}$$

## Class 12 Covariant derivative (Chap 11)

Exterior derivative :  $d(w_1 \wedge w_2) = dw_1 \wedge w_2 + (-1)^{\deg w_1} w_1 \wedge dw_2$

Lie derivative :  $\mathcal{L}_v(w_1 \wedge w_2) = \mathcal{L}_v w_1 \wedge w_2 + w_1 \wedge \mathcal{L}_v w_2$

$$\text{Ex. } w_1 = dx^1 \quad w_2 = f dx^2 \quad d(dx^1 \wedge f dx^2) = d(f dx^1 \wedge dx^2) = df \wedge dx^1 \wedge dx^2 \\ d(dx^1) \wedge (fdx^2) = 0 \quad dx^1 \wedge d(fd x^2) = dx^1 \wedge df \wedge dx^2 = -df \wedge dx^1 \wedge dx^2$$

This class introduces a new derivative.

Let  $\pi: E \rightarrow M$  be a vector bundle  $s: M \rightarrow E$  a section

We want to find some derivative of  $s$

Ex. If  $E = M \times \mathbb{R}^n$ , the section  $s: M \rightarrow E$  is just

$$s(p) = (p, s_1(p), \dots, s_n(p)) \quad s_i: M \rightarrow \mathbb{R}$$

We can define  $(s_i)_*: T_p M \rightarrow T_{s_i(p)} \mathbb{R} \cong \mathbb{R}$

$(s_i)_*$  can be regarded as a section of  $T^*M$ .

Hence we can construct  $ds(p) := (p, (s_1)_*(p), \dots, (s_n)_*(p))$

as a section of  $E \otimes T^*M = T^*M^{\otimes n}$

Then we extend the construction to general vector bundle

Def Let  $C^\infty(M; E)$  be the space of sections  $M \rightarrow E$ .

A covariant derivative is a map

$\nabla: C^\infty(M; E) \rightarrow C^\infty(M; E \otimes T^*M)$  obeying the

Leibniz rule  $\nabla(fs) = s \otimes df + f \nabla s$

for any  $f \in C^\infty(M; \mathbb{R})$ ,  $s \in C^\infty(M; E)$

Rem. The extra factor  $T^*M$  means, if we specify a vector field  $V$ , we can define  $\nabla_V : C^\infty(M; E) \rightarrow C^\infty(M; E)$

Construction of  $\nabla$   
 Take a locally finite open cover  $\mathcal{U}$  of  $M$  and a partition of unity  $\{\chi_\alpha : U_\alpha \rightarrow \mathbb{R}_{\geq 0}\}$   $\varphi_\alpha : E|_{U_\alpha} \rightarrow U_\alpha \times \mathbb{R}^n$

$$\text{Set } \nabla s = \sum_{\alpha \in \mathcal{U}} \chi_\alpha \varphi_\alpha^{-1}(d\varphi_\alpha(s))$$

$$\text{where } \varphi_\alpha(s) : U_\alpha \rightarrow U_\alpha \times \mathbb{R}^n$$

$$\varphi_\alpha(s)(p) = (p, s_{\alpha 1}(p), \dots, s_{\alpha n}(p))$$

$$\text{and } d\varphi_\alpha(s) : U_\alpha \rightarrow U_\alpha \times (\mathbb{R}^n \otimes \mathbb{R}^m) \quad \begin{matrix} m = \dim M \\ n = \dim E_p \end{matrix}$$

$$d\varphi_\alpha(s)(p) = (p, (s_{\alpha 1})_*(p), \dots, (s_{\alpha n})_*(p))$$

$$\varphi_\alpha^{-1} \text{ extends to } U_\alpha \times (\mathbb{R}^n \otimes \mathbb{R}^m) \rightarrow E \otimes T^*M|_{U_\alpha}$$

$$\text{To check } \nabla fs = s \otimes df + f \nabla s$$

it suffices to check over  $U_\alpha$

$$d\varphi_\alpha(fs)(p) = (p, (fs_{\alpha 1})_*(p), \dots)$$

$$s_{\alpha 1} : U_\alpha \rightarrow \mathbb{R} \quad (s_{\alpha 1})_*|_p : T_p U_\alpha \rightarrow T_{s_{\alpha 1}(p)} \mathbb{R}$$

$$f : U_\alpha \rightarrow \mathbb{R}$$

$$(fs_{\alpha 1})_* = df \otimes s_{\alpha 1} + f \otimes ds_{\alpha 1}$$

$$\text{as section } U_\alpha \rightarrow T^*U_\alpha$$

Another construction of  $\nabla$

Consider  $E$  as a subbundle of  $M \times \mathbb{R}^N$

and write  $s: M \rightarrow E$  as a section of  $s: M \rightarrow M \times \mathbb{R}^N$

( $E_p$  is a linear subspace of  $p \times \mathbb{R}^N$ )

Let  $\nabla s = \pi_E ds$ , where  $ds$  is a section of

$M \times \mathbb{R}^N \otimes T^*M$  and  $\pi_E$  is the orthogonal projection  
to  $E \otimes T^*M$  by the standard inner product in  $\mathbb{R}^N$

$$\begin{aligned} \text{Since } \pi_E s = s, \quad \nabla f s &= \pi_E d(fs) \\ &= \pi_E (f \otimes ds + df \otimes s) \\ &= s \otimes df + f \nabla s \end{aligned}$$

Rem. The covariant derivative is not unique.

Suppose  $\nabla$  and  $\nabla'$  are two covariant derivatives

$$(\nabla - \nabla')(fs) = f(\nabla - \nabla')s$$

Claim  $\nabla - \nabla'$  is a section of  $\text{End}(E) \otimes T^*M$   
 $:= \text{Hom}(E, E) \otimes T^*M$

Lem. Let  $E, E'$  be two vector bundles over  $M$

If  $\alpha$  is a map from  $C^\infty(M; E) \rightarrow C^\infty(M; E')$

that is linear over  $C^\infty(M; \mathbb{R})$ , i.e.  $f\alpha = \alpha f$ ,

then  $\alpha$  is a section of  $\text{Hom}(E, E')$

Pf. Locally, fix a basis  $\{e_1, \dots, e_n\}$  for  $E$  and  
a basis  $\{e'_1, \dots, e'_m\}$  for  $E'$

Then we can write  $\alpha e_j = \sum_i A_{ij} e'_i$

If  $s$  is a section of  $E$   $s = \sum_j s_j e_j$ ,  $s_j: U \rightarrow \mathbb{R}$

$\alpha s = \alpha (\sum_j s_j e_j) = \sum_j s_j \alpha(e_j) = \sum_{i,j} s_j A_{ij} e'_i$

Then  $\alpha$  is defined by  $\{A_{ij}\}$  as a section of  
 $\text{Hom}(E, E')$ , change basis of  $E$  or  $E'$  will change

$\{A_{ij}\}$  by matrix multiplication.

□

We use  $\alpha$  rather than  $\mathcal{L}(\mathfrak{a})$  just for writing simplicity.

In [tex]

Conversely, given  $\alpha \in C^\infty(M; \text{End}(E) \otimes T^*M)$ ,

we can construct  $\nabla' = \nabla + \alpha$ . This is still a

Covariant derivative

Rem The space of covariant derivatives is affine over  $C^\infty(M; \text{End}(E) \otimes T^*M)$ . This means these two spaces are isomorphic, but the isomorphism is canonical only when we pick an element  $\nabla$ .

Then we consider the transition of  $\nabla$  in different charts.

Locally,  $\phi_U: U \rightarrow \mathbb{R}^n$ ,  $\varphi_U: E|_U \rightarrow U \times \mathbb{R}^n$ ,  $s: M \rightarrow E$  define the covariant derivative  $\nabla^0$  by

$$\nabla^0 \varphi_U s(p) = (p, ds_U(p))$$

$$s_U = \phi_U^{-1} \circ s: \mathbb{R}^n \rightarrow \mathbb{R}$$

For a general covariant derivative  $\nabla$ , we know

$$\varphi_U(\nabla s)(p) = (p, ds_U + \alpha_U s_U)$$

where  $\alpha_U$  is a section of  $(\text{End}(E) \otimes T^*M)|_U$

For another chart  $V$  with  $U \cap V \neq \emptyset$

$$\text{we have } \varphi_V(\nabla s)(p) = (p, ds_V + \alpha_V s_V)$$

$s_V = g_{VU} s_U$  for bundle transition function

$$g_{UV}: V \cap U \rightarrow GL(n, \mathbb{R})$$

$$ds_v + \alpha_v s_v = d(g_{vu} s_u) + \alpha_v (g_{vu} s_v)$$

$$= g_{vu} ds_u + dg_{vu} s_u + \alpha_v g_{vu} s_v.$$

$$= g_{vu} (ds_u + (g_{vu}^\top \alpha_v g_{vu} + g_{vu}^\top dg_{vu}) s_u))$$

Since  $\nabla s$  is a section of  $E \otimes T^*M$ .

this should equal to  $g_{vu} (ds_u + \alpha_u s_u)$

$$\text{Thus, } ds_u + (g_{vu}^\top \alpha_v g_{vu} + g_{vu}^\top dg_{vu}) s_u$$

$$= ds_u + \alpha_u s_u$$

$$\Rightarrow \alpha_u = g_{vu}^\top \alpha_v g_{vu} + g_{vu}^\top dg_{vu}$$

$$\Rightarrow \alpha_v = g_{uv}^\top \alpha_u g_{uv} + g_{uv}^\top dg_{uv}$$

$$= g_{vu} \alpha_u g_{vu}^{-1} + g_{vu} dg_{vu}^{-1}$$