# Enhancing System Checkpoints for Seamless Fault-Tolerant Live VM Migration

Research Proposal SCS 4224 : Final Year Project

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## **Declaration**

The project proposal is my original work and has not been submitted previously for any examination/evaluation at this or any other university/institute. To the best of my knowledge, it does not contain any material published or written by another person, except as acknowledged in the text

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This is to certify that this project proposal is based on the work of Mr. H. K. P. S. Fernando under my supervision. The project proposal has been prepared according to the format stipulated and is of an acceptable standard.

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18-6-2024

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# Acronyms

AUFS Another Union File System. 6

CC Cloud Computing. 2, 12

CDC Cloud Data Center. 1, 2, 10, 12

**COW** Copy On Write. 5, 7

FIC Forward Incremental Checkpointing. 8

**IaaS** Infrastructure as a Service. 1

**NVM** Non Volatile Memory. 7

**OS** Operating System. 11, 13

PaaS Platform as a Service. 1

RIC Reverse Incremental Checkpointing. 8

SaaS Software as a Service. 1

VM Virtual Machine. ii, 1–3, 5–13

#### 1 Introduction

Virtual Machine (VM) migration is a key factor in the field of Cloud Computing, where the VMs running on physical nodes are transferred from one source node to a destination node in the instance of failures, maintenance needs, consolidation purposes etc. Live VM migration speaks out as the most widely used migration technique where the VM is transferred from the source to the destination in a lively manner while the VM and its applications remain still usable with seamless experience from the perspective of the VM user (Agarwal & Raina 2012). During this procedure, the VMs should be preserved while data, and states of the VM should be maintained as it is.

During this live migration procedure, the running VM possess a risk of getting corrupted or lost in the event of the failures that could occur at the source node, destination node, or even the network connecting them within the period of migration (Fernando et al. 2019). Such a loss of the VM can cause severe harm to the respective users and the cloud service providers will be liable for such losses. To overcome this issue, VM checkpoints are taken and stored prior and during migrations, to be used in cases of VM losses and failures to recover them. Different types of checkpointing mechanisms are used in the migration models available. In parallel to implementing safe and secure checkpointing mechanisms, those mechanisms should have a minimal impact on the application performance, migration time, downtime, and other concerns of the running VM. Additionally, the network bandwidth usage and the costs incurred for such mechanisms should be bearable. Different checkpointing mechanisms have been studied in the state of the art literature throughout the years addressing different aspects and problems associated with checkpointing. However, there are still areas that could be looked into and optimized in the field of VM checkpointing in live VM migration.

#### 1.1 Motivation

With the technology evolving day by day, a major portion of the things related to computing are moving towards the domain of cloud which falls under one of the three categories SaaS, IaaS or PaaS. The major names resonating when it comes to cloud service providers are Microsoft Azure Microsoft (2024), Google Cloud Platform GCP (2024), Amazon Web Services AWS (2024), Alibaba Cloud Alibaba Cloud (2024)etc., which are giants that offer services to a huge portion of the businesses and industries distributed all across the world. With this huge responsibility at hand, these Cloud Data Center (CDC)s are liable to each customer and the critical operations running within their VMs. In such an environment, with the rapid growth and the demand for Cloud Services, the operations running within them should be optimized and the resources should be utilized wisely. In the context of VM migration, in parallel to everything running in the VM residing in the nodes, the checkpointing related operations are utilizing the resources of the same node itself. Another major fact is that the checkpoint sizes increase when the respective VM size increases, making it difficult and time consuming to replicate

the checkpoints via the networks. The checkpoint sizes should be made smaller as possible to mitigate this problem. Additionally the migration time and down time overheads, network bandwidth overheads, application performance degradation overheads etc. introduced with these checkpointing mechanisms embedded in the migration techniques still have room to be improved. With successful improvements and optimizations, the operations in the CDCs can be made much more productive and cost effective. Such a contribution will be of good value to the domain of Cloud Computing (CC), which is a rapidly growing industry in today's tech world.

#### 1.2 Background

#### 1.2.1 Live VM migration vs Non-live migration

VM migration refers to the transferring of the VM from one physical host to another seamlessly safeguarding the data, states of execution, device states, etc. of the residing VM. When exploring the concept of migration, there are two main domains identified (Ahmad et al. 2015). They are

- Live migration
- Non-live migration

The process of non-live migration as discussed in Milojičić et al. (2000), is the process of migrating the VM from the source node to the destination node in an inactive state. That is when a migration needs to be done, the source node is suspended and the migration takes place. Once the migration is completed and the destination node is ready to go online, the VM execution is fully terminated from the source and resumes at the desired destination. Within the period of migration, the users won't be able to interact with the VM to obtain the services.

In contrast to non-live migration, during *live migration* the VM is continuously in a powered-up state within the whole period of migration (Clark et al. 2005). In parallel to the migration happening, the VM remains still usable from the perspective of the user. Clark et al. (2005) highlights that for a successful proper live migration, the user won't feel any noticeable delays or glitches during the execution of the tasks of the VM. This facilitates the supply of uninterrupted services to the users which is an utmost requirement in the field of CC.

#### 1.2.2 Live Migration Techniques

There are several live migration techniques discussed and used in the world of CC. The techniques differ in the order in which the memory and the CPU states are transferred from the source-node to the destination-node and the steps carried out in each of them. There are three main live VM migration techniques available in the state of the art literature which are namely,

#### 1. Pre-copy Migration

- 2. Post-copy Migration
- 3. Hybrid Migration

The above-mentioned three techniques will be analyzed in detail in the next sections 1.2.3, 1.2.4 and 1.2.5.

#### 1.2.3 Pre-copy Migration Technique

The technique of pre-copy migration is one of the main approaches used in the context of live VM migration. If briefly emphasized, when a migration is needed, the source node continues to execute the VM while the memory content of the VM will be copied to the destination node in several iterations which at the end, the CPU states, device states, together with rest of the dirty pages remaining are sent to the destination and the VM is hand-overed to start it's execution at the destination (Fernando et al. 2019). Here the iteration continues until the memory content is converged, and the transferring of the execution states of the CPU is initiated when the estimated time where the VM will be down(downtime) is becoming less than a predefined threshold value.

The pre-copy migration is structured and broken down into six steps as shown in figure 1 (Agarwal & Raina 2012), (Clark et al. 2005). A possible concern

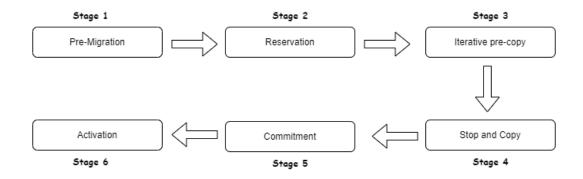


Figure 1: Pre-copy migration stages (Agarwal & Raina 2012)

is that how to decide the point at the page transmission is converged and the  $stop\_and\_copy$  phase is to be instantiated. As mentioned in Fernando et al. (2019), pre-copy migration is ideal for memory read-intensive tasks rather than write-intensive tasks. If it is a write-intensive task, the transferring of the memory pages has a tendency to never get converged as memory pages are being continuously dirtied paving the way to not reaching a level below the pre-defined threshold. The post-copy migration that will be discussed next can tackle this problem and it is discussed next.

#### 1.2.4 Post-copy Migration Technique

The approach that post-copy uses is different from the approach used in pre-copy. As proposed in Hines et al. (2009) post-copy migration uses a strategic way where the CPU states are sent to the destination node first and the VM is set to resume at the destination-node as the first step. Successively, the source memory pages are being copied to the destination-node in a series of iterations. This approach faces a problem when the memory pages which are not yet copied to the destination-node are being accessed. In such an instance, post-copy technique utilizes on-demand paqinq, where a page fault is triggered and the respective page is being requested from the source and received. Post-copy lies ahead of pre-copy in this context as a memory page is transmitted a maximum of once through the networks in postcopy, but in pre-copy, the same memory page can be transmitted multiple times which results in a higher network traffic (Hines et al. 2009). However postcopy suffers in the context of read intensive workloads as the no. of page faults will get increased with the increased number of read operations which will result in VM performance degradation due to the latencies generated for the demand-paging operations.

#### 1.2.5 Hybrid Migration Technique

As explored in the above sections 1.2.3 and 1.2.4, both pre-copy and post-copy have some inherent pros and cons. A hybrid approach has been proposed in Sahni & Varma (2012) which is a mixed approach of both pre-copy and post-copy. As highlighted in Sahni & Varma (2012), in this hybrid approach, a subset of memory which is called "working set" that is frequently accessed by the VM is transferred in addition to the CPU execution states and device states as mentioned in post-copy migration. This will yield to produce a lesser number of page faults as already a most frequently accessed set of memory is available in the destination VM since the initial stages of the migration.

As shown in figure 2, hybrid migration can be mainly structured into five phases as discussed in Choudhary et al. (2017) and Damania et al. (2018).

As emphasized in the diagram, the hybrid approach is a combined effort of both pre-copy and post-copy to achieve the target of ultimately reducing migration and downtimes without having noticeable overheads in the application performance.

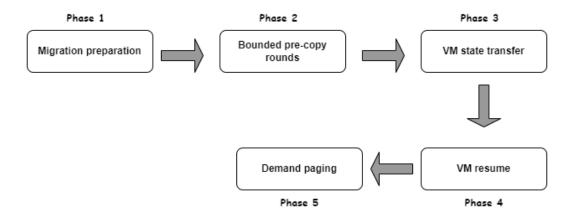


Figure 2: Hybrid migration phases (Choudhary et al. 2017)

#### 1.2.6 Checkpointing in VM migration

The term "checkpoints" also referred to as snapshots, comes into play in VM migration failure scenarios. Checkpoints are versioned entities that contain all the required components to recover a VM to a previous state in the event of any kind of failure, ensuring the consistency and persistence of the data and execution states of the VM. As highlighted in Wang et al. (2010) checkpoints can be taken in several levels such as virtual machine level, operating system level, hardware level, compiler level, application level, and runtime library level. However, in this research, the focus will be the VM-level checkpoints that are used in the process of live migration.

As highlighted in Wang et al. (2010) a large portion of the checkpointing techniques are focussed on live migration. VMware Vmotion (Nelson et al. 2005) and Xen live migration presented in Clark et al. (2005) both focus on dirty page transfer between the source node and the destination node focusing the load balancing and fast VM recovery in the aspect of migration. VNsnap Kangarlou et al. (2009) and CEVM Chanchio et al. (2008) are some disk-based checkpointing techniques that use Copy On Write (COW) mechanism to create replicated images of a VM focusing minimizing the downtime.

Depending on the frequency or the interval the checkpoints are being taken, checkpoints are broadly categorized into two sections as mentioned in Fernando et al. (2019).

- 1. **Periodic checkpointing** which takes checkpoints of the VMs according to a predefined time interval.
- 2. Event-based checkpointing which does not follow strict time constraints to obtain checkpoints, but follows a method of creating checkpoints with the capturing of several predefined sets of events. With the events fired, a create checkpoint operation is triggered to capture the state of the VM.

#### 2 Literature Review

For the purpose of exploring the possible gaps in the relevant domain, a comprehensive preliminary literature review was done under the topic "System Checkpoints in Fault-Tolerant Live VM Migration". Throughout the state of the art literature, there were numerous approaches taken to optimize the checkpointing mechanisms and to minimize the impact of the additional overheads introduced to VM migration with the checkpointing related operations. Common issues related with the available checkpointing mechanisms include the application performance degradation of the running VM, difficulty to deal with larger checkpointing file sizes when VMs have large memory sizes, additional cost and time(migration and downtimes) incurred for the checkpointing operations, network bandwidth usage overheads, checkpoint corruption risk and complexity in dealing with secure consistent checkpoints in complex systems with distributed data.

#### 2.1 Checkpointing Techniques

Cully et al. (2008) proposed a VM checkpointing mechanism targeting the high availability of the VMs. Here the approach used was to have **fine grained OS image-level checkpoints** taken at really high frequencies and asynchorously replicating them in a failover node. The target was to maintain the VM live to the user, as the checkpoints are asynchornously replicated in the failover node in the background. As mentioned in Cully et al. (2008), this methodology requires highly architectural specific environments and the high frequency checkpointing required a considerable computational power and related application logic. In contrast to VM level checkpoints, Liu et al. (2009) introduced a traditional **log based checkpointing** mechanism, where the full system trace is logged, and with the help of synchronization algorithms, they are replayed at a secondary node. This reduced the overheads related to bandwidth, down times and migration times, but it posesses strict assumptions such as log transfer and log replay rates to be greater than log accumulation rate, and was limited only to single processor environments.

Several studies were carried out to optimize the checkpointing related infrastructure as well. Gelenbe (1976) introduced a **multi level checkpointing** mechanisms where several checkpoints are taken at once with varying costs and resiliency levels. Then according to the resiliency levels, they are stored in different locations such as RAM, disks or parallel file systems which are accessed according to the level of failure the VMs encounter (Moody et al. 2010). Moody et al. (2010) introduced a **scalable checkpoint restart library** which made multi level checkpointing mechanism implementable which supported checkpoint caching and having the most recent checkpoints updated in the cache and transmitting them to external file systems only when some period elapses. Íñigo Goiri, Julià, Guitart & Torres (2010) introduced a smarter infrastructure called **AUFS** which was capable of separating the read-only portions of the checkpoints from read-write parts so that only the read-write parts are needed to be transmitted across the networks.

Kannan et al. (2013) introduced a way of using **node local NVM**, as storage units for the checkpoints. Here checkpoints taken at a higher frequency are stored at local NVM while checkpoints taken at a lower frequency with respect to a periodic time were transmitted to remote locations. Knauth & Fetzer (2015) identified that the nodes where the VMs travel are following a pattern. He introduced a method where VM checkpoints are stored in every node where a VM travels and in the event of the same VM being migrated to a previous node that it has visited, the older checkpoint is used in recycling some parts of the VM so that a minimal amount of data needs to be sent again to construct a consistent checkpoint. This was a ground breaking finding which drastically reduced the overheads related with checkpointing.

Wang et al. (2014) researched with pure software based mechanisms that developed micro level checkpoints which were capable of reducing the weight and overhead of the checkpoints. This methodology targeted COW mechanism with dirty page prediction and used the node local memory to store the checkpoints for the purpose of in-place recovery of the crashed VMs. This research also paved the way to analyze the checkpoint corruption possibilities and related recovery mechanisms. Wang et al. (2014) proposed that the detection latency of the corrupted checkpoints is first to be decided using artificial error injection, and then to minimize the risk of checkpoint corruption, the checkpointing interval was given a value which is greater than the detection latency. The authors of Wang et al. (2014) and Li et al. (2015) analyzed the use of dual checkpointing schemas to address the problem of checkpoint corruption where two checkpoints are kept at a single instance. In case of one checkpoint gets corrupted the VM is rolled back to the secondary checkpoint to mitigate the error.

Studies were also done regarding the ways to compress the checkpointing data that are transmitted via the networks. Compression techniques such as gzip, delta and similarity compression are used in checkpoint compression. Hou et al. (2013) analyzed the usage of such checkpointing techniques and concluded that none of the compression techniques are efficient in all kinds of situations. He explored that gzip is not suited for CPU intensive workloads while delta is not efficient with memory intensive workloads. Similarity compression too were bound with assumptions about the level of similarity of the two checkpoints.

Fernando et al. (2016) proposed the approach of having incremental checkpoints to reduce the eviction time of the migration. The incremental checkpoints are taken in a way,

- First, all the memory pages (entire pages) are transmitted as a whole.
- In the successive iterations, only the modified pages(entire pages) since the last iteration are transmitted.

Here the checkpoints are incrementally transmitted to the destination or a third staging node. When the time arrives to migrate the VM, only the remaining memory since the last snapshot is needed to be transmitted which reduced the eviction

time drastically. Here the checkpoints are not complete VM images, but portions of the VM memory that will finally contribute to have a consistent memory state at the end with the final memory transmitted at during the eviction time. With this concept the same authors Fernando et al. (2019) introduced reverse incremental checkpointing which solved a major issue. During postcopy migration, as the execution states are resumed at the destination when the migration starts, if a network or destination node failure occurs, the VM becomes unrecoverable as the execution state is split between the source and the destination. Here soon as the destination starts its execution, incremental checkpoints are generated and stored in a third node or the source node itself which are utilized in cases of network or destination failures. Combining this technology, the same authors Fernando et al. (2023) introduced a combination of Forward Incremental Checkpointing (FIC) and Reverse Incremental Checkpointing (RIC), where the checkpoints are taken in both directions i.e. the source VM checkpoints are stored in destination or a third staging node while the destination VM checkpoints are stored in the source or a third staging node. With this mechanism the VM migrations can be resilient against source, network and the destination failures, which are the only three points of failure present during migration.

# 3 Research Gap

State of the art literature including Fernando et al. (2016), Fernando et al. (2019), Fernando et al. (2023) have contributed to develop checkpointing mechanisms which are resilient against all the points of failures which could occur during migration which are namely the source node, destination node and the interconnecting network. With the incremental checkpointing introduced, the amount of memory pages transmitted in a checkpoint have drastically reduced due to the sending of only the modified memory pages since the last iteration.

# Page 01 Page 02 Page 02 Page 03 Page 03 Page 04 Page 04 Page ... Iteration 01 Page 01 Page 02 Page 02 Page 03 Page 04 Unmodified & not transmitted

Memory Pages Transferred

Figure 3: Incremental checkpointing (Fernando et al. 2019)

With this mechanism, the network bandwidth is preserved as the amount of data transmitted within networks is decreased. Additionally, the overheads on the computing resources of the source VM are minimized.

However, even though the whole modified page is transferred as shown in figure 3 in successive iterations, the actual modified portion of the respective memory page can be limited to a few bits/bytes out of several kilo bytes. In such cases, transmitting of the entire memory page with a few modified bits/bytes and a load of unmodified bytes is in vain which consumes additional computational resources and introduces additional network bandwidth.

Additionally for the relevant mechanism in addition to going to the level of page level modifications, the authors haven't explored the possibility of compressing the checkpointing data before transmitting. The data to be transmitted can be further compressed using checkpoint compression algorithms like gzip, delta or similarity compression. This will further reduce the overheads generated with checkpointing mechanisms.

# 4 Research Questions

- 1. How can the incremental checkpoints be further improved to reduce overheads incurred on VM migration?
- 2. How can the state of the art compression techniques be incorporated in reducing checkpoint size during state transfers?

# 5 Aims and Objectives

#### 5.1 Aim

The main aim of this research is to improve and enhance the productivity and costeffectiveness of incremental checkpointing in VM migration in CDCs by making the checkpointing operations more granular and to analyze the improvements in related overheads with the integration of checkpoint compression techniques.

## 5.2 Objectives

- Design and develop a strategy to make the checkpoint data transmitted more granular for the purpose of reducing the overheads associated with current checkpointing operations.
- Analyze the improvements in the overheads incurred introduced by the new granular methodology with respect to the existing methodologies.
- Integrate checkpoint compression techniques and analyze the improvements made to the new granular checkpointing methodology in terms of the overheads introduced.

# 6 Scope

#### 6.1 In Scope

Baseline model implementation - The baseline incremental checkpointing model in Fernando et al. (2019) will be implemented on top of QEMU 8.1.2.

Byte/Bit-level granular model implementation - A byte/bit level fine granular checkpointing method will be introduced that goes beyond page level modification tracking and transfer in checkpointing iterations.

Implementation of a working prototype - A working prototype will be implemented with the granular byte/bit-level mechanism.

Integration of checkpoint compression techniques - State of the art checkpoint compression techniques will be integrated to the prototype to further reduce the checkpoint data transfer overheads.

**Evaluation** - The results and overhead reductions will be evaluated with approved realistic industrial benchmarks.

#### 6.2 Out Scope

**Limited to KVM-QEMU Hypervisor** - The implementations will be limited to KVM-QEMU hypervisor.

Multiple VM failures - Only single VM failures will be handled. Can be extended to multiple VM failure scenarios.

**Non-Linux based OSs** - The implementations will be limited to linux based Ubuntu operating systems.

# 7 Significance of the Research

With the CC domain evolving day by day, the need for enhancements and improvements in virtualization and VM migrations in CDCs is vital. So far in the literature, the incremental checkpointing techniques in VM migration highlighted in Fernando et al. (2016), Fernando et al. (2019) and Fernando et al. (2023) etc. follow an order where the first checkpoint captures all memory pages and the successive checkpointing rounds capture and transmit only the modified pages.

However, when a page of 4 KB is considered, although the page is modified, the actual modifications can be limited to several bytes/bits out of 4 KB. In such a case transmitting the whole 4 KB worth of data via the networks is an invain of time and resources which incurs several overheads like network bandwidth, higher migration and downtimes, application performance degradation in running VMs etc. This mechanism can further be improved to make the data transmitted via the networks more granular. Instead if transmitting the entire page where modifications were made, the page can be examined deeper to identify the respective bytes/bits which were modified and only the modified bytes/bits can be transmitted without transmitting the entire memory page as shown in figure 4. This will result in further reducing the network bandwidth usage, total migration time and downtime overheads, application performance degradation etc.

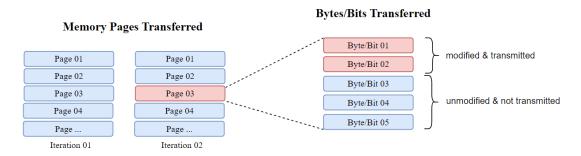


Figure 4: Proposed improvement (Fernando et al. 2019)

With this byte/bit level granularity introduced, only the modified bytes/bits out of the 4KB memory page is transmitted which will be drastically reducing the load of checkpointing data that needs to be transmitted. When a VM bearing around 8GB of memory is considered, the savings resulted through this optimization technique is massive. With this improvement, the VM migration domain will be much more improved, efficient and cost-effective at the same time that leads to a good contribution to the domain of Cloud Computing.

# 8 Planned Research Approach

**Testbed Setup**: The testbed consists of two physical servers with adequate processing power and RAM. The host OS chosen is Ubuntu Server with QEMU-KVM utilized as the hypervisor. The VMs running in the servers will have Linux-based OSs. The servers are interconnected via Gigabit Ethernet. Additionally a third server may be used as a staging server for checkpointing operations. Figure 5 shows the testbed setup.

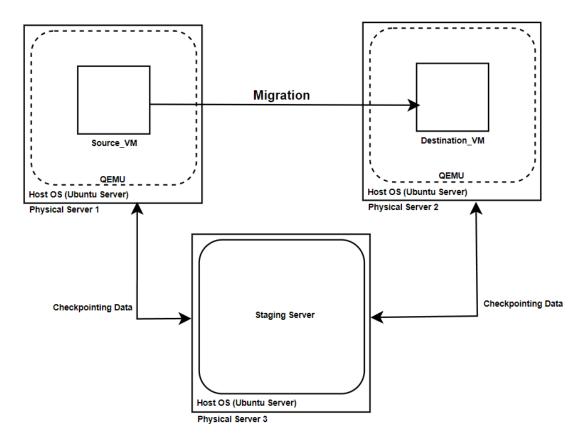


Figure 5: TestBed Setup

**Implement Baseline Model** - The approach of page level incremental checkpointing utilized in Fernando et al. (2019) will be implemented on top of QEMU 8.1.2. and will be analyzed and tested.

Introduce Byte/Bit-level Granularity - Byte/Bit-level granularity will be introduced to the implemented baseline model deviating deeper from page level granularity.

Evaluate overhead improvements - Evaluate the overhead reductions with the byte-level granularity introduced compared to page-level Fernando et al. (2019) findings.

Integrate checkpoint compression techniques - Integrate state of the art checkpoint compression techniques to further reduce the checkpoint data transfer

overheads.

Evaluate findings with realistic benchmarks - Evaluate the findings, overhead reductions and CPU, memory, bandwidth utilization with realistic benchmarks like iPerf, SysBench, Quicksort (Fernando et al. 2023) etc. The improvements in the overhead reductions in the byte/bit level granular mechanism that will be introduced will be compared with the existing page-level mechanism. Additionally the efficiency of the system will be thoroughly evaluated with different types of standard VM workload benchmarks like LMbench, Idle and Kernel-compile (Bhardwaj & Rama Krishna 2019) etc.

# 9 Project Timeline

	June	July	August	September	October	November	December	January	February	March	April
Literature Survey											
Background research											
Project proposal											
Background incremental checkpointing analysis and base model implementation											
Design the modifications for the granular byte level methodology											
Implement the design in KVM/QEMU platform											
Test and analyze the overhead reduction											
Integrate compression techniques and evaluate the results											
Overall performance evaluation											
Thesis writing											
Research publication											

Figure 6: Project Timeline

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