NEA
Real-Time, Physically Based Ocean Simulation & Renderer
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Contents

0.1. Abstract

// synposis

1. Analysis

1.1. Client

1.1.1. Introduction

The client is Jahleel Abraham. They are a game developer who require a physically based, performant, configurable simulation of an ocean for use in their game.

1.1.2. Questions

1 Functionality
    1.1 "what specific ocean phenomena need to be simulated? (e.g. waves, foam, spray, currents)"
    1.2 "what parameters of the simulation need to be configurable?"
    1.3 "does there need to be an accompanying GUI?"
2 Visuals
    2.1 "do i need to implement an atmosphere / skybox?"
    2.2 "do i need to implement a pbr water shader?"
    2.3 "do i need to implement caustics, reflections, or other light-related phenomena?"
3 Technologies
    3.1 "are there any limitations due to existing technology?"
    3.2 "does this need to interop with existing shader code?"
4 Scope
    4.1 "are there limitations due to the target device(s)?"
    4.2 "are there other performance intesive systems in place?"
    4.3 "is the product targeted to low / mid / high end systems?"

1.1.3. Interview Notes

1 Functionality

    1.1 it should simulate waves in all real world conditions and be able to generate foam, if possible simulating other phenomena would be nice.

    1.2 all necessary parameters in order to simulate real world conditions, ability to control tile size / individual wave quantity

    1.3 accompanying GUI to control parameters and tile size. GUI should also output debug information and performance statistics

2 Visuals

    2.1 a basic skybox would be nice, if possible include an atmosphere shader

    2.2 implement a PBR water shader, include a microfacet BRDF

    2.3 caustics are out of scope, implement approximate subsurface scattering, use beckmann distribution in combination with brdf to simulate reflections

3 Technologies

    3.1 client has not started technical implementation of project, so is not beholden to an existing technical stack

    3.2 see response 3.1

4 Scope

    4.1 the simulation is intended to run on both x86 and arm64 devices

    4.2 see response 3.1

    4.3 the simulation is targeted towards mid to high end systems, however it would be ideal for the solution to be performant on lower end hardware

1.2. Research

1.2.1. Technologies
- Rust:
  - ‣ Fast, memory efficient programming language
- WGPU:
  - ‣ Graphics library
- Rust GPU:
  - ‣ (Rust as a) shader language
- Winit:
  - ‣ cross platform window creation and event loop management library
- Dear ImGui
  - ‣ Bloat-free GUI library with minimal dependencies
- Naga:
  - ‣ Shader translation library
- GLAM:
  - ‣ Linear algebra library
- Nix:
  - ‣ Declarative, reproducible development environment

1.2.2. Algorithm Overview (Unfinished)
// like 20x more complex than this startup:
- generate gaussian random number pairs and store into texture on cpu

param change:
- generate energy spectrum for every wave and store into texture
- generate dispersion relation for every wave and store into texture

every frame:
- evolve spectrum
- inverse fft for all 3 axes
- inverse fft for all 5 derivatives
- store results into textures
- displace vertices per textures
- compute jacobian of textures
- inject foam into foam texture
- lighting
- color pixels for foam
- exponential decay function on foam [1]–[5]

1.2.3. The IFFT (Unfinished)

1.2.3.1. Cooley-Tukey Fast Fourier Transform (FFT) (Unfinished) [5], [1], [2]
The Cooley-Tukey FFT is a common implementation of the FFT algorithm used for fast calculation of the DFT. The direct DFT is computed in $O(N^2)$ time whilst the FFT is computed in $O(N \log N)$. This is a significant improvement as we are dealing with M (and N) in the millions.

> complex, will write up after learning roots of unity & partial derivatives

1.2.4. Spectrum Generation

1.2.4.1. Dispersion Relationship [6], [1]

1.2.4.2. JONSWAP Non-Directional Spectrum [7], [2], [3], [6]

This energy spectrum determines is where the final height is ultimately derived from. The JONSWAP energy spectrum is a more parameterised version of the Pierson-Moskowitz spectrum, and an improvement over the Philips Spectrum used in [1], simulating an ocean that is not fully developed (as recent oceanographic literature has determined this does not happen). The increase in parameters allows simulating a wider breadth of real world conditions.

$$S(\omega) = \frac{\alpha g^2}{\omega^5} \exp\left[-\beta\left(\frac{\omega_p}{\omega}\right)^4\right] \gamma^r$$

$$r = \exp\left[-\frac{(\omega - \omega_p)^2}{2w_p^2\sigma^2}\right]$$

$$\alpha = 0.076\left(\frac{U_{10}^2}{Fg}\right)^{0.22}$$

$$\omega_p = 22\left(\frac{g^2}{U_{10}F}\right)^{\frac{1}{3}}$$

$$\sigma = \begin{cases} 0.07 \text{ if } \omega \leq \omega_p \\ 0.09 \text{ if } \omega > \omega_p \end{cases}$$

where
- α is the intensity of the spectra
- $\beta = \frac{5}{4}$, a "shape factor", rarely changed [7]
- $\gamma = 3.3$
- $\omega = \omega\left(\vec{k}\right)$ is the directional spread function as defined above [7]
- ω_p is the peak wave frequency
- U_{10} is the wind speed at $10m$ above the sea surface [7]
- F is the distance from a lee shore (a fetch) - distance over which wind blows with constant velocity [7], [6]
- g is gravity

1.2.5. Ocean Geometry & Foam

Note that throughout this project we are defining the positive y direction as "up".

1.2.5.1. the Statistical Wave Summation [5], [2], [8], [3], [1], [9]

For a height field, of dimensions L_x and L_z, we calculate the height (h) at a position $\vec{x}$ by summating multiple sinusoids with complex, time dependant amplitudes. [1]. The frequency domain representation of the waves are converted to the spatial domain using an inverse discrete fourier transform. This is split into 2 components, with the derivatives computed seperately to find exact normals:

$$\text{Wave Height}: h(\vec{x}, t) = \sum_{\vec{k}} \hat{h}(\vec{k}, t) e^{i\vec{k} \cdot \vec{x}}$$

$$\text{Horizontal Displacement}: \lambda D(\vec{x}, t) = \sum_{\vec{k}} -i \frac{\vec{k}}{|\vec{k}|} \hat{h}(\vec{k}, t) e^{i\vec{k} \cdot \vec{x}}$$

$$\text{Height Derivative}: \nabla h(\vec{x}, t) = \sum_{\vec{k}} i\vec{k} \hat{h}(\vec{k}, t) e^{i\vec{k} \cdot \vec{x}}$$

$$\text{Displacement Derivative}: \lambda \nabla D(\vec{x}, t) = \sum_{\vec{k}} \vec{k} \frac{\vec{k}}{|\vec{k}|} \hat{h}(\vec{k}, t) e^{i\vec{k} \cdot \vec{x}}$$

where
- t is the time
- $\vec{k} = [k_x, k_z]$, the wave vector, direction vector of the spectrum's texture
- $\omega(\vec{k}) = \sqrt{|\vec{k}|g}$ is the dispersion relation, a multiplier that determines the speed of the ocean
- $\vec{x} = [x_x, x_z]$, the direction vector for the height map for which we are summing
- $\hat{h}(\vec{k}, t)$ is the frequency spectrum function
- $h(\vec{x}, t)$ gives the vertical displacement vector at the point x at time t
- $\vec{D}(\vec{x}, t)$ gives the horizontal displacement at $\vec{x}$ at time t, used to simulate "choppy waves". We would split the normalised vector $\vec{k}$ into its components and compute them seperately [1]
- λ is a convenient scale factor "choppiness" in order to create sharper wave peaks [1]
- $\nabla h(\vec{x}, t)$ gives the rate of change of the height, used to calculate the normal vector
- $\nabla \vec{D}(\vec{x}, t)$ gives the rate of change of the displacement, used to calculate the normal vector

1.2.5.2. Frequency Spectrum Function [1]–[3]

This function defines the amplitude of the wave at a given point in space at a given time depending on it's frequency. The frequency is generated via the combination of 2 gaussian random numbers and a energy spectrum in order to simulate real world ocean variance and energies.

$$\hat{h}(\vec{k}, t) = \hat{h}_0(\vec{k}) e^{i\omega(\vec{k})t} + h_0(-k) e^{-i\omega(\vec{k})t}$$

$$\hat{h}_0(\vec{k}) = \frac{1}{\sqrt{2}} (\xi_r + i\xi_i) \sqrt{S_{\text{TMA}}(\vec{k})}$$

where
- $\hat{h}$ evolves $\hat{h}_0$ through time using eulers formula. by combining a positive and negative version of the wave number you ensure the functions output is real [1]
- $\hat{h}_0$ is the initial wave state as determined by the energy spectra & gaussian distribution. This is only computed on parameter change / startup and then stored into a texture
- ε are gaussian random numbers defined below
- $S_{\text{TMA}}(\vec{k})$ is the spectrum function defined above

1.2.5.3. Gaussian Random Numbers

The ocean exhibits gaussian variance in the possible waves. Due to this the frequency spectrum function is varied by gaussian random numbers with mean $(\tilde{x})$ 0 and standard deviation (σ) 1. These are generated in pairs and then stored into the red and green channels of a texture to be accessed.

$$\frac{1}{\sqrt{2\pi\sigma^2}}e^{-\frac{(x-\tilde{x}^2)}{2\sigma^2}}$$

where
- σ is the standard deviation
- $\tilde{x}$ is the mean
- x is a random number, $-1..1$

1.2.5.4. The Inverse Discrete Fourier Transform (IDFT) (Unfinished) [2], [9], [1], [5]

The IDFT can be computed using the fast fourier transform if the following conditions are met:
- $N = M = L_x = L_z$
- the coordinates & wavenumbers lie on regular grids
- $N, M, L_x, L_z = 2^x$, for any positive integer x

For implementation, the statistical wave summation is represented in terms of the indices n' and m', where n', m' are of bounds $0 \leq n' < N$ & $0 \leq m' < M$

where
- N, M are the number of points & waves respectively, the simulation resolution
- L_x, L_z are the worldspace dimensions
- $\vec{k} = \left[\frac{2\pi n}{L_x}, \frac{2\pi m}{L_z}\right]$
- $\vec{x} = \left[\frac{n\tilde{L}_x}{N}, \frac{m\tilde{L}_z}{M}\right]$

note that in Tessendorf's paper [1], n & m are defined from $-\frac{N}{2} \leq n < \frac{N}{2}, -\frac{M}{2} \leq m < \frac{M}{2}$, but for ease of implemntation we shift the bounds (and all subsequent values) to begin at 0. I am thus glossing over some redundant information, further details on how / why are seen at [2], [9]

1.2.5.5. Foam & The Jacobian (Unfinished) [1], [3], [5], [6]

The jacobian describes the "uniqueness" of a transformation. This is useful as where the waves would crash, the jacobian determinant of the displacements goes negative. Per Tessendorf [1], we compute the determinant of the jacobian for the horizontal displacement, $D(\vec{x}, t)$.

$$J(x) = J_{\text{xx}}J_{\text{yy}} - J_{\text{xy}}J_{\text{xz}}$$

$$J_{\text{xx}} = 1 + \lambda\frac{\delta D_x(\vec{x})}{\delta x}$$

$$J_{\text{yy}} = 1 + \lambda\frac{\delta h(\vec{x})}{\delta y}$$

$$J_{\text{yx}} = J_{\text{xy}} = 1 + \lambda\frac{\delta h(\vec{x})}{\delta x}$$

we then threshold the value such that $J(x) < 0$, storing it into a texture. This is multiplied by a saved foam texture to add some detailing and then an exponential decay function is applied every frame for smooth dissipation.

1.2.5.6. Level of Detail (LOD) Optimisations (Unfinished) [5]

// i do not want to do this // will include frustum culling, gpu instancing & LOD scaling based on distance to camera

1.2.6. Post Processing

1.2.6.1. Rendering Equation [4], [3], [8]

This abstract equation models how a light ray incoming to a viewer is "formed" (in the context of this simulation). Due to there only being a single light source (the sun), subsurface scattering [4] can be used to replace the L_{diffuse} and L_{ambient} terms.

To include surface foam, we *lerp* between the foam color and L_{eye} based on foam density [4]. We also Increase the roughness in areas covered with foam for L_{specular} [4].

$$L_{\text{eye}} = (1 - F)L_{\text{scatter}} + F\big(L_{\text{sun}}L_{\text{specular}} + L_{\text{env\_reflected}}\big)$$

where
- F is the fresnel reflectance term
- L_{scatter} is the light re-emitted due to subsurface scattering
- L_{sun} is the color of the sun
- L_{specular} is the reflected light from the sun
- $L_{\text{env\_reflected}}$ is the reflected light from the environemnt

1.2.6.2. Normalisation & Vector Definitions [10]

When computing lighting using vectors, we are only concerned with the direction of a given vector not the magnitude. In order to ensure the dot product of 2 vectors is equal to the cosine of their angle we normalise the vectors. Henceforth, a vector $\vec{A}$ when normalised is represented with $\hat{A}$. throughout all post processing effects a set of distinct vectors are used as are defined:
- $\widehat{H}$ is the halfway vector
- $\widehat{N}$ is the surface normal
- $\widehat{V}$ is the camera view vector
- $\hat{L}$ is the light source vector

1.2.6.3. Surface Normals (Unfinished) [1], [2]

In order to compute the surface normals we need the derivatives of the displacement(s), the values for which are obtained from the fourier transform above.

$$\vec{N}(\vec{x}, t) = \begin{bmatrix} -\nabla h_{x(\vec{x},t)} \\ 1 \\ -\nabla h_{z(\vec{x},t)} \end{bmatrix}$$

note that we need to normalise this for actual usage.

1.2.6.4. Subsurface Scattering [4], [3]

This is the phenomenon where some light absorbed by a material eventually re-exits and reaches the viewer. Modelling this realistically is impossible in a real time context with current computing power. Specifically within the context of the ocean, we can approximate it particularly well as the majority of light is absorbed. An approximate formula taking into account geometric attenuation, a crude fresnel factor, lamberts cosine law, and an ambient light is used, alongside various artistic parameters to allow for adjustments. [4]

$$L_{\text{scatter}} = \frac{\Big(k_1 W_{\text{max}} \langle \hat{L}, -\widehat{V} \rangle^4 \big(0.5 - 0.5\big(\hat{L} \cdot \widehat{N}\big)\big)^3 + k_2 \langle \widehat{V}, \widehat{N} \rangle^2 \Big) C_{\text{ss}} L_{\text{sun}}}{1 + \lambda_{\text{GGX}}}$$

$$L_{\text{scatter}} += k_3 \langle \hat{L}, \widehat{N} \rangle C_{\text{ss}} L_{\text{sun}} + k_4 P_f C_f L_{\text{sun}}$$

where
- W_{max} is the $\max(0, \text{wave height})$
- k_1, k_2, k_3, k_4 are artistic parameters
- C_{ss} is the water scatter color
- C_f is the air bubbles color
- P_f is the density of air bubbles spread in water
- $\langle \omega_a, \omega_b \rangle$ is the $\max(0, (\omega_a \cdot \omega_b))$
- λ_{GGX} is the masking function defined under Smith's G_1

1.2.6.5. Fresnel Reflectance (Schlick's Approximation) [8], [10]–[12]

The fresnel factor is a multiplier that scales the amount of reflected light based on the viewing angle. The more grazing the angle the more light is refleceted.

$$F\big(\widehat{N}, \hat{V}\big) = F_0 + (1 - F_0)\big(1 - \widehat{N} \cdot \hat{V}\big)^5$$

where
- $F_0 = \left(\frac{n_1 - n_2}{n_1 + n_2}\right)^2$
- n_1 & n_2 are the refractive indices of the two media [11]
- if using a microfacet model, replace $\widehat{N}$ with the Halfway vector, $\widehat{H}$) [11]

1.2.6.6. Blinn-Phong Specular Reflection & Vector Definitions [10], [12]

This is a simplistic, empirical model to determine the specular reflections of a material. It allows you to simulate isotropic surfaces with varying roughnesses whilst remaining very computationally efficient. The model uses "shininess" as an input parameter, whilst the standard to use roughness (due to how PBR models work). In order to account for this when wishing to increase roughness we decrease shininess.

$$L_{\text{specular}} = \big(\widehat{N} \cdot \widehat{H}\big)^S$$

$$\widehat{H} = \hat{L} + \hat{V}$$

where
- S is the shininess of the material

1.2.6.7. Environment Reflections [8], [10]

In order to get the color of the reflection for a given pixel, we compute the reflected vector from the normal and view vector. We then sample the corresponding point on the skybox's cubemap and use that color as the reflected color. This method is somewhat simplistic, and not physically based.

$$\hat{R} = 2\widehat{N}\big(\widehat{N} \cdot \hat{V}\big) - \hat{V}$$

where
- $\hat{R}$ is the normalised vector that points to the point on the cubemap which we sample

1.2.6.8. Microfacet BRDF [4], [3], [13], [12]

This BRDF (Bidirectional Reflectance Distribution Function) is used to determine the specular reflectance of a sample. There are many methods of doing this - the one used here is derived from microfacet theory. D can be any distribution function - the geometric attenuation function G changing accordingly.

$$L_{\text{specular}} = f_{\text{microfacet}}\left(\widehat{N}, \widehat{H}, \hat{L}, \hat{V}\right) = \frac{F\left(\widehat{N}, \widehat{H}\right)G\left(\hat{L}, \widehat{H}\right)D\left(\widehat{N}, \widehat{H}\right)}{4\left(\widehat{N} \cdot \hat{L}\right)\left(\widehat{N} \cdot \hat{V}\right)}$$

where
- $F\left(\widehat{N}, \widehat{H}\right)$ is the Fresnel Reflectance
- $D\left(\widehat{N}, \widehat{H}\right)$ is the Distribution Function
- $G\left(\hat{L}, \hat{V}, \widehat{H}\right)$ is the Geometric Attenuation Function

1.2.6.9. GGX Distribution [13], [12]

The distribution function used in the BRDF to model the proportion of microfacet normals aligned with the halfway vector. This is an improvement over the beckmann distribution due to the graph never reaching 0 and only tapering off at the extremes.

$$D_{\text{GGX}} = \frac{\alpha^2}{\pi\left(\left(\alpha^2 - 1\right)\left(\widehat{N} \cdot \widehat{H}\right)^2 + 1\right)^2}$$

where
- $\alpha = \text{roughness}^2$

1.2.6.10. Geometric Attenuation Function (Smith's G_1 Function) [13]

Used to counteract the fresnel term, mimics the phenomena of masking & shadowing presented by the microfactets. The λ_{GGX} term changes depending on the distribution function used.

$$G_1 = \frac{1}{1 + \lambda_{\text{GGX}}(a)}$$

$$a = \frac{\widehat{H} \cdot \hat{L}}{\alpha\sqrt{1 - \left(\widehat{H} \cdot \hat{L}\right)^2}}$$

$$\lambda_{\text{GGX}} = \frac{-1 + \sqrt{1 + a^{-2}}}{2}$$

where
- $\alpha = \text{roughness}^2$

1.2.6.11. Distance Fog & Sun [8]

To hide the imperfect horizon line we use a distance fog attenuated based on height. In order to do this we use the depth buffer to determine the depth of each pixel and then based on that scale the light color to be closer to a defined fog color. Finally we blend a sun into the skybox based on the light position.

1.2.6.12. Color Grading (Unfinished) [8]

in order to really sell the sun being as bright as it would be on an open ocean, we apply a bloom pass to the whole image. In order to prevent it from being completely blown out we then apply a tone mapping to rebalance the colors.

1.2.7. Cascading

1.3. Prototyping

A prototype was made in order to test the technical stack and gain experience with graphics programming and managing shaders. I created a Halvorsen strange attractor [14], and then did some trigonometry to create a basic camera controller using Winit's event loop.
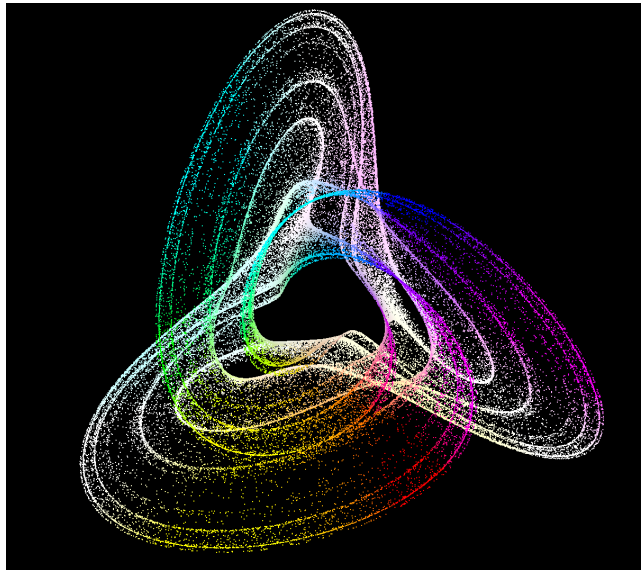

Figure 1: Found at https://github.com/CmrCrabs/chaotic-attractors

1.4. Project Considerations

The project will be split into 4 major stages - the simulation, implementing the IFFT, non PBR lighting, and PBR lighting. The simulation will most likely take the bulk of the project duration as implementing the spectrums, DFT and a GUI with just a graphics library is already a major undertaking. I will then implement the Blinn-Phong lighting model [10] in conjunction with the subsurface scattering seen in Atlas [4]. Beyond this I will implement full PBR lighting using a microfacet BRDF and statistical distribution functions in order to simulate surface microfacets.

finally, I would also like to look into implementing a sky color simulation based on sun position - as this would allow the complete simulation of a realistic day night cycle of any real world ocean conditions.

1.5. Objectives (Unfinished)

1 Scene
- 1.1 Language & Environment Setup
  - 1.1.1 setup all dependencies
  - 1.1.2 have development shell to ensure correct execution
  - 1.1.3 ensure compatability for all engines
- 1.2 Window & Compatability
  - 1.2.1 ensure compatability with windows, macos & wayland (& X11?) linux
  - 1.2.2 title & respects client side rendering of respective os
- 1.3 Data Structure
  - 1.3.1 talk abt shared data structures
  - 1.3.2 create struct for all variables
  - 1.3.3 camera struct etc
- 1.4 Render Pipeline
  - 1.4.1 list steps and that it works
- 1.5 Event Loop
  - 1.5.1 able to detect mouse movement for camera inputs
  - 1.5.2 able to detect mouse down for camera inputs
  - 1.5.3 escape to close
  - 1.5.4 resize
  - 1.5.5 redraw requested

2 Simulation
- 2.1 Startup
- 2.2 On Parameter Change
- 2.3 Every Frame
- 2.4 Optimisations
  - 2.4.1 dynamic render scaling stuff

3 Rendering
- 3.1 Lighting
  - 3.1.1 calculate light / view / halfway / normal vectors
  - 3.1.2 normalise all vectors
  - 3.1.3 fresnel
  - 3.1.4 subsurface scattering
  - 3.1.5 specular reflections
    - 3.1.5.1 blinn-phong
    - 3.1.5.2 pbr
      - 3.1.5.2.1 microfacet brdf
      - 3.1.5.2.2 distribution function
      - 3.1.5.2.3 geometric attenuation
  - 3.1.6 env reflections
    - 3.1.6.1 acerola
    - 3.1.6.2 LEADR
  - 3.1.7 lerp between this and foam
  - 3.1.8 adjust roughness of areas with foam
- 3.2 Post Processing / Scene
  - 3.2.1 HDRI

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