

## Analysis I: Solutions to PSET 2

### Rudin 1.17

Take two vectors  $x, y \in \mathbb{R}^k$ . We claim that

$$|x + y|^2 + |x - y|^2 = 2|x|^2 + 2|y|^2,$$

which follows by the commutativity and distributivity of the dot product,

$$\begin{aligned} |x + y|^2 + |x - y|^2 &= (x + y) \cdot (x + y) + (x - y) \cdot (x - y) \\ &= x \cdot x + 2x \cdot y + y \cdot y + x \cdot x - 2x \cdot y + y \cdot y \\ &= 2x \cdot x + 2y \cdot y \\ &= 2|x|^2 + 2|y|^2. \end{aligned}$$

Interpreted geometrically, we find that the sum of the length-squareds of the diagonals of a parallelogram is equal to the sum of the squares of the side lengths.

### Rudin 2.2

We claim that the set of all algebraic numbers  $A$  is countable. Consider the first the set of all polynomials  $P$  with integer coefficients. The set  $P$  admits a natural decomposition  $P = \cup_n P_n$  where  $P_n$  is the subset of polynomials with degree  $n$ . Any polynomial of degree  $n$  is uniquely determined by its  $n + 1$  coefficients and hence is equivalent to the set of  $(n + 1)$ -tuples of integers. This set is countable (c.f. Rudin Theorem 2.13) and hence  $P_n$  is countable.

Given any polynomial  $p \in P_n$ , the set of its roots  $R_p$  is finite (by the fundamental theorem of algebra) and hence we can write

$$A = \bigcup_{p \in P} R_p = \bigcup_{n \in \mathbb{N}} \left( \bigcup_{p \in P_n} R_p \right),$$

i.e. a countable union of a countable union of finite sets, which is countable (c.f. Rudin Theorem 2.12).

### Problem 3

We claim that the sets  $(0, 1) \subset \mathbb{R}$ ,  $[0, 1] \subset \mathbb{R}$ , and  $\mathbb{R}$  are equivalent. We show only two equivalences, using the fact that equivalence is an equivalence relation to obtain the third.

We know from precalculus that the function  $\tan x : \mathbb{R} \rightarrow \mathbb{R}$ , when restricted to  $(-\pi/2, \pi/2)$  is bijective, with inverse  $\tan^{-1} x$ . This gives an equivalence between  $(-\pi/2, \pi/2) \subset \mathbb{R}$  and  $\mathbb{R}$ . Moreover,  $(-\pi/2, \pi/2)$  is equivalent to  $(0, 1)$  by the map  $x \mapsto (x + \pi/2)/\pi$ , which is obviously bijective. This establishes the equivalence of  $(0, 1)$  and  $\mathbb{R}$ .

Consider now the function  $g : [0, 1] \rightarrow (0, 1)$  given piecewise as

$$g(x) = \begin{cases} 1/2, & x = 0 \\ 1/4, & x = 1 \\ 1/2^{n+2}, & x = 1/2^n \text{ for } n \in \mathbb{N} \\ x, & \text{otherwise.} \end{cases}$$

Intuitively, this function takes advantage of the “space” available in the infinite sequence  $1/2^n$  by sending the “extra” points 0 and 1 to  $1/2$  and  $1/4$ , respectively, and then pushing down what normally would have been sent to powers of  $1/2$  by a factor of  $1/4$ . Note that this trick can be done with any sequence tending to zero, not just  $1/2^n$ . Let us show that  $g$  is bijective; it will take some case work, due to the piecewise definition.

First surjectivity; suppose  $y \in (0, 1)$ . If  $y$  is  $1/2$  or  $1/4$  we note that  $y$  must be  $g(0)$  or  $g(1)$ . If  $y$  is more generally  $1/2^n$  (for  $n \in \mathbb{N}$  greater than 2), we find that  $y = g(1/2^{n-2})$ . Otherwise,  $g(y) = y$ .

Injectivity is clear, but a little tedious; suppose  $x, y \in [0, 1]$  with  $g(x) = g(y)$ . If either of  $x$  or  $y$  is zero, it is clear that  $g(x) = g(y)$  forces  $x = y = 0$ , as only 0 is sent to  $1/2$ . Now suppose neither  $x$  nor  $y$  is zero. Note that  $x$  and  $y$  must both either be a power of  $1/2$  or not, as otherwise  $g(x)$  could not possibly be equal to  $g(y)$ . If neither  $x$  nor  $y$  are powers of  $1/2$ , it follows from the definition of  $g$  that  $x = y$ . If both  $x$  and  $y$  are powers of  $1/2$ , say  $x = 1/2^n$  and  $y = 1/2^m$ , then  $g(x) = g(y)$  implies that  $n = m$ , i.e.  $x = y$ .

This proves the equivalence of  $[0, 1]$  and  $(0, 1)$ . As  $(0, 1)$  is equivalent to  $\mathbb{R}$  as shown above, all three sets are equivalent.

### Problem 4

Let  $\{E_n\}$  be a sequence of countable sets, and  $S = \prod_n E_n$  be their Cartesian product. Suppose  $S$  is countable; then there exists a bijection  $f : \mathbb{N} \rightarrow S$ .

Note that each  $i \in \mathbb{N}$  is taken to an infinite sequence of elements  $\{e_{ij}\}$ , i.e.

$$\begin{aligned} f(1) &= (e_{11}, e_{12}, \dots) \\ f(2) &= (e_{21}, e_{22}, \dots) \\ &\vdots \\ f(i) &= (e_{i1}, e_{i2}, \dots) \\ &\vdots \end{aligned}$$

Now choose  $a = (a_1, a_2, \dots) \in S$  such that for each  $k \in \mathbb{N}$ ,  $a_k \neq e_{kk}$ . Surjectivity of  $f$  implies that there exists some  $\ell \in \mathbb{N}$  such that  $f(\ell) = a$ . This is, of course, a contradiction, as  $a_k \neq e_{kk}$  for each  $k$ . Hence  $S$  must be uncountable (as  $S$  is clearly not finite).

Similarly, if each  $E_n = \{0, 1\}$ , we assume that there exists a bijection  $f : \mathbb{N} \rightarrow S = \prod_n E_n$ . Surjectivity of  $f$  implies the existence of some  $\ell \in \mathbb{N}$  mapped to  $a = (a_1, a_2, \dots) \in S$  where  $a_k = 0$  if  $e_{kk} = 1$  and  $a_k = 1$  if  $e_{kk} = 0$ . This is again a contradiction, and hence  $S$  must be uncountable (as  $S$  is clearly not finite).