Integral Domains

As always in this course, a ring R is understood to be a commutative ring with unity.

1 First definitions and properties

Definition 1.1. Let R be a ring. A *divisor of zero* or *zero divisor* in R is an element $r \neq 0$, such that there exists an $s \in R$ with $s \neq 0$ and $rs = 0$.

Example: in $\mathbb{Z}/6\mathbb{Z}$, $0 = 2 \cdot 3$, hence both 2 and 3 are divisors of zero.

One way to find divisors of zero is as follows:

Definition 1.2. Let R be a ring. A *nilpotent element* of R is an element r, such that there exists an $n \in \mathbb{N}$ such that $r^n = 0$. Note that 0 is allowed to be nilpotent.

Lemma 1.3. *Let R be a ring and let $r \in R$ be nilpotent. If $r \neq 0$, then r is a zero divisor.*

Proof. The set of $n \in \mathbb{N}$ such that $r^n = 0$ is nonempty, so let m be the smallest such natural number. By assumption, $r \neq 0$, hence $m > 1$. Then $0 = r \cdot r^{m-1}$, where $m - 1 \geq 1$ and hence $m - 1 \in \mathbb{N}$. Since $m - 1 < m$, $r^{m-1} \neq 0$. Hence $r \cdot r^{m-1} = 0$, with neither factor equal to 0, so that r is a divisor of zero. $\square$

Example: in $\mathbb{Z}/16\mathbb{Z}$, $0 = 2^4 = 2 \cdot 2^3$, hence 2 is a divisor of zero.

Definition 1.4. A ring R is an *integral domain* if $R \neq \{0\}$, or equivalently $1 \neq 0$, and there do not exist zero divisors in R. Equivalently, a nonzero ring R is an integral domain if, for all $r, s \in R$ with $r \neq 0$, $s \neq 0$, the product $rs \neq 0$.

Definition 1.5. Let R be a ring. The *cancellation law* holds in R if, for all $r, s, t \in R$ such that $t \neq 0$, if $tr = ts$, then $r = s$.

Lemma 1.6. *A ring $R \neq \{0\}$ is an integral domain $\iff$ the cancellation law holds in R.*

Proof. $\implies$: if $tr = ts$ and $t \neq 0$, then $tr - ts = t(r - s) = 0$. Since $t \neq 0$ and R is an integral domain, $r - s = 0$ so that $r = s$.

$\impliedby$: Suppose that $rs = 0$. We must show that either r or s is 0. If $r \neq 0$, then apply cancellation to $rs = 0 = r0$ to conclude that $s = 0$. $\square$

The following are examples of integral domains:

1. A field is an integral domain. In fact, if F is a field, $r, s \in F$ with $r \neq 0$ and $rs = 0$, then $0 = r^{-1}0 = r^{-1}(rs) = (r^{-1}r)s = 1s = s$. Hence $s = 0$. (Recall that $1 \neq 0$ in a field, so the condition that $F \neq 0$ is automatic.)

2. If S is an integral domain and $R \leq S$, then R is an integral domain. In particular, a subring of a field is an integral domain. (Note that, if $R \leq S$ and $1 \neq 0$ in S, then $1 \neq 0$ in R.) Examples: any subring of $\mathbb{R}$ or $\mathbb{C}$ is an integral domain. Thus for example $\mathbb{Z}[\sqrt{2}]$, $\mathbb{Q}(\sqrt{2})$ are integral domains.

3. For $n \in \mathbb{N}$, the ring $\mathbb{Z}/n\mathbb{Z}$ is an integral domain $\iff$ n is prime. In fact, we have already seen that $\mathbb{Z}/p\mathbb{Z} = \mathbb{F}_p$ is a field, hence an integral domain. Conversely, if n is not prime, say $n = ab$ with $a, b \in \mathbb{N}$, then, as elements of $\mathbb{Z}/n\mathbb{Z}$, $a \neq 0$, $b \neq 0$, but $ab = n = 0$. Hence $\mathbb{Z}/n\mathbb{Z}$ is not an integral domain.

4. If R is an integral domain, then, as we shall see in a minute, $R[x]$ is an integral domain. Hence, by induction, if F is a field , $F[x_1, \dots, x_n]$ is an integral domain, as is $\mathbb{Z}[x_1, \dots, x_n]$.

To prove the last statement (4) above, we show in fact:

Lemma 1.7. *Let R be an integral domain. Then, if $f(x)$, $g(x) \in R[x]$ are both nonzero, then $f(x)g(x) \neq 0$ and $\deg(f(x)g(x)) = \deg f(x) + \deg g(x)$.*

Proof. Let $d = \deg f(x)$ and $e = \deg g(x)$. Then $f(x) = \sum_{i=0}^{d} a_i x^i$ and $g(x) = \sum_{j=0}^{e} b_j x^j$ with $a_d, b_e \neq 0$. Since $a_d b_e \neq 0$, the leading term of $f(x)g(x)$ is $a_d b_e x^{d+e}$. Hence $f(x)g(x) \neq 0$ and $\deg(f(x)g(x)) = d + e = \deg f(x) + \deg g(x)$. $\square$

Corollary 1.8. *Let R be an integral domain. Then the group of units $(R[x])^*$ in the polynomial ring $R[x]$ is just the group of units R^* in R (viewed as constant polynomials).*

Proof. Clearly, if u is a unit in R, then it is a unit in $R[x]$, so that $R^* \subseteq (R[x])^*$. Conversely, if $f(x) \in (R[x])^*$, then there exists a $g(x) \in R[x]$ such that $f(x)g(x) = 1$. Clearly, neither $f(x)$ nor $g(x)$ is the zero polynomial, and hence

$$0 = \deg 1 = \deg(f(x)g(x)) = \deg f(x) + \deg g(x).$$

Thus, $\deg f(x) = \deg g(x) = 0$, so that $f(x)$, $g(x)$ are elements of R and clearly they are units in R. Hence $f(x) \in R^*$, so that $(R[x])^* \subseteq R^*$. It follows that $(R[x])^* = R^*$. $\square$

The corollary fails if the ring R has nonzero nilpotent elements. For example, in $(\mathbb{Z}/4\mathbb{Z})[x]$,

$$(1 + 2x)(1 + 2x) = (1 + 2x)^2 = 1 + 4x + 4x^2 = 1,$$

so that $1 + 2x$ is a unit in $(\mathbb{Z}/4\mathbb{Z})[x]$.

Finally, we note the following:

Proposition 1.9. *A finite integral domain R is a field.*

Proof. Suppose $r \in R$ with $r \neq 0$. The elements $1 = r^0, r, r^2, \dots$ cannot all be different, since otherwise R would be infinite. Hence there exist $0 \le n < m$ with $r^n = r^m$. Writing $m = n + k$ with $k \ge 1$, we see that $r^n = r^m = r^{n+k} = r^n r^k$. By induction, since R is an integral domain and $r \neq 0$, $r^n \neq 0$ for all $n \ge 0$. Applying cancellation to $r^n = r^n \cdot 1 = r^n r^k$ gives $r^k = 1$. Finally since $r^k = r \cdot r^{k-1}$, we see that r is invertible, with $r^{-1} = r^{k-1}$. $\square$

2 The characteristic of an integral domain

Let R be an integral domain. As we have seen in the homework, the function $f\colon \mathbb{Z} \to R$ defined by $f(n) = n \cdot 1$ is a ring homomorphism and its image is $\langle 1 \rangle$, the cyclic subgroup of $(R, +)$ generated by 1. There are two possibilities: (1) 1 has finite order n, in which case $\langle 1 \rangle \cong \mathbb{Z}/n\mathbb{Z}$, or (2) 1 has infinite order, in which case $\langle 1 \rangle \cong \mathbb{Z}$.

Proposition 2.1. *With notation as above,*

(i) *If 1 has finite order n, then $n = p$ is a prime number, and every nonzero element of R has order p.*

(ii) *If 1 has infinite order, then every nonzero element of R has infinite order.*

Proof. (i) By definition, n is the smallest positive integer such that $n \cdot 1 = 0$. If $n = ab$, where $a, b \in \mathbb{N}$, then (using homework) $0 = n \cdot 1 = (a \cdot 1)(b \cdot 1)$. Since R is an integral domain, one of $a \cdot 1, b \cdot 1$ is 0. Say $a \cdot 1 = 0$. Then $a \geq n$, but since a divides n, we must have $a = n$. Hence in every factorization of n, one of the factors is n, so by definition n is a prime p. Moreover, for every $r \in R$, $p \cdot r = (p \cdot 1)r = 0$, so that the order of r divides p. If $r \neq 0$, then its order is greater than 1, hence must equal p.

(ii) Let $r \in R$, and suppose that r has (finite) order $n \in \mathbb{N}$, so that $n \cdot r = 0$. As in the proof of (i), write $n \cdot r = (n \cdot 1)r$. Since 1 has infinite order, $n \cdot 1 \neq 0$, and hence $r = 0$. Thus, if $r \neq 0$, then $n \cdot r \neq 0$ for every $n \in \mathbb{N}$. Thus r has infinite order. $\square$

Definition 2.2. Let R be an integral domain. If $1 \in R$ has infinite order, we say that the *characteristic of* R is zero. If $1 \in R$ has finite order, necessarily a prime p, we say that the *characteristic of* R is p. In either case we write $\operatorname{char} R$ for the characteristic of R, so that $\operatorname{char} R$ is either 0 or a prime number.

Examples: Clearly, the characteristic of $\mathbb{Z}$ is 0. Also, if R and S are integral domains with $R \leq S$, then clearly $\operatorname{char} R = \operatorname{char} S$. Thus $\operatorname{char} \mathbb{Q}$, $\operatorname{char} \mathbb{R}$, $\operatorname{char} \mathbb{C}$, $\operatorname{char} \mathbb{Q}(\sqrt{2})$, etc. are all 0. On the other hand, the characteristic of $\mathbb{F}_p = \mathbb{Z}/p\mathbb{Z}$ is p. Thus, the characteristic of $\mathbb{F}_p[x]$ is also p, so that $\mathbb{F}_p[x]$ is an example of an infinite integral domain with characteristic $p \neq 0$, and $\mathbb{F}_p[x]$ is not a field. (Note however that a finite integral domain, which automatically has positive characteristic, is always a field.)

3 The field of quotients of an integral domain

We first begin with some general remarks about fields. If F is a field and $r, s \in F$ with $s \neq 0$, we write (as usual) $rs^{-1} = r/s$. Note that $r/s = t/w \iff rw = st$, since $rw = (sw)r/s$ and $st = (sw)t/w$, and by cancellation. Then the laws for adding and multiplying fractions are forced by associativity and distributivity in F: for example,

$$\begin{aligned} r/s + t/w = rs^{-1} + tw^{-1} &= (rw)(sw)^{-1} + (ts)(sw)^{-1} \\ &= (rw + ts)(sw)^{-1} = (rw + ts)/(sw). \end{aligned}$$

Now suppose that R is an integral domain. We would like to enlarge R to a field, in much the same way that we enlarge $\mathbb{Z}$ to $\mathbb{Q}$. To this end, we construct a set whose elements are "fractions" r/s with $r, s \in R$ and $s \neq 0$.

Two fractions r/s and t/w are identified if, as in the discussion above for fields, $rw = st$. The correct way to say this is via equivalence classes: on the set $R \times (R - \{0\})$, define the relation $\sim$ on pairs (r, s) by: $(r, s) \sim (t, w) \iff rw = st$.

Lemma 3.1. *$\sim$ is an equivalence relation.*

Proof. We must show $\sim$ is reflexive, symmetric, and transitive. Reflexive: $(r, s) \sim (r, s) \iff rs = sr$, which holds since R is commutative. Symmetric: $(r, s) \sim (t, w) \iff rw = st$, in which case $ts = wr$, hence $(t, w) \sim (r, s)$. Transitive (it is here that we use the fact that R is an integral domain): suppose that $(r, s) \sim (t, w)$ and that $(t, w) \sim (u, v)$, with $s, w, v \neq 0$. By definiton $rw = st$ and $tv = wu$. Then $rwv = stv = swu$, hence $w(rv) = w(su)$. Since $w \neq 0$ and R is an integral domain, $rv = su$, hence $(r, s) \sim (u, v)$. Thus $\sim$ is transitive. $\square$

Define $Q(R)$, the *field of quotients of R*, to be the set of equivalence classes $(R \times (R - \{0\}))/\sim$. Next we need operations of addition and multiplication on $Q(R)$. As is usually the case with equivalence relations, we define these operations by defining them on representative of equivalence classes, and then check that the operations are in fact well-defined. Define

$$[(r, s)] + [(t, w)] = [(rw + st, sw)]; \qquad [(r, s)] \cdot [(t, w)] = [(rt, sw)].$$

Lemma 3.2. *Let $\sim$ and $Q(R)$ be as above.*

(i) *The operations of addition and multiplication are well-defined.*

(ii) *$(Q(R), +, \cdot)$ is a field.*

(iii) *The function $\rho\colon R \to Q(R)$ defined by $\rho(r) = [(r, 1)]$ is an injective homomorphism.*

Proof. These are all straightforward if sometimes tedious calculations. For example, to see (i), suppose that $(r, s) \sim (r', s')$. We shall show that $(rw + st, sw) \sim (r'w + s't, s'w)$ and that $(rt, sw) \sim (r't, s'w)$. By definition, $rs' = sr'$. Then

$$\begin{aligned}(rw + st)(s'w) &= rws'w + sts'w = (rs')(w^2) + (ss')(tw)\\ &= (r's)(w^2) + (ss')(tw) = (r'w + s't)(sw).\end{aligned}$$

Hence $(rw + st, sw) \sim (r'w + s't, s'w)$. Moreover,

$$(rt)(s'w) = (rs')(tw) = (r's)(tw) = (r't)(sw).$$

Hence $(rt, sw) \sim (r't, s'w)$. Similarly, if $(t, w) \sim (t', w')$, then $(rw+st, sw) \sim (rw' + st', sw')$ and that $(rt, sw) \sim (rt', sw')$.

To see (ii), we must show first that $(Q(R), +)$ is an abelian group and that multiplication is associative, commutative, and distributes over addition. These are all completely straightforward if long computations. Note that $[(0, 1)] = [(0, r)]$ is the additive identity, that $[(r, s)] \sim [(0, 1)] \iff r = 0$, and that $[(1, 1)] = [(r, r)]$ is a multiplicative identity. Finally, if $[(r, s)] \neq [(0, 1)]$, so that $r \neq 0$, then $[(s, r)] \in Q(R)$ and $[(r, s)][(s, r)] = [(rs, rs)] = [(1, 1)]$. Thus $Q(R)$ is a field.

To see (iii), defining $\rho(r) = [(r, 1)]$, we see that

$$\begin{aligned} \rho(r + s) &= [(r + s, 1)] = [(r, 1)] + [(s, 1)] = \rho(r) + \rho(s); \\ \rho(rs) &= [(rs, 1)] = [(r, 1)][(s, 1)] = \rho(r)\rho(s). \end{aligned}$$

Thus ρ is a homomorphism. It is injective since $\rho(r) = \rho(s) \iff (r, 1) \sim (s, 1) \iff r = s$. $\square$

From now on we write $[(r, s)]$ as r/s or as rs^{-1} and identify $r \in R$ with its image $r/1 \in Q(R)$. In this way we view R as a subring of $Q(R)$.

Example: 1) let F be a field and $F[x]$ the polynomial ring with coefficients in F. Then we denote $Q(F[x])$ by $F(x)$. By definition, the elements of $F(x)$ are quotients $f(x)/g(x)$, where $f(x)$, $g(x)$ are polynomials with coefficients in F. We call $F(x)$ the *field of rational functions with coefficients in* F. In particular, taking $F = \mathbb{F}_p$, the field of rational functions $\mathbb{F}_p(x)$ is an example of an infinite field (since it contains a subring isomorphic to the polynomial ring $\mathbb{F}_p[x]$, which is infinite), whose characteristic is $p > 0$.

2) If $R = F$ is already a field, then $(r, s) \sim (rs^{-1}, 1)$. Thus the injective homomorphism ρ is also surjective, hence an isomorphism, so that $Q(R) \cong R$.

Remark: In the field of quotients $\mathbb{Q} = Q(\mathbb{Z})$ of $\mathbb{Z}$, we can always put a fraction n/m in lowest terms, i.e. we can assume that $\gcd(n, m) = 1$. This says that the equivalence class $[(n, m)]$ has a “best” representative, if we require in addition, say, that $m > 0$. Such a choice depends on results about factorization in $\mathbb{Z}$, and is not possible in a general integral domain.

Finally, we show that $Q(R)$ has a very general property with respect to injective homomorphisms from R to a field:

Proposition 3.3. *Let R be an integral domain, F a field, and $\phi\colon R \to F$ be an injective homomorphism. Then there exists a unique injective homomorphism $\tilde{\phi}\colon Q(R) \to F$ such that $\tilde{\phi}(r/1) = \phi(r)$. Finally, if every element of*

F is of the form $\phi(r)/\phi(s)$ for some $r, s \in R$ with $s \neq 0$, then $\tilde{\phi}\colon Q(R) \to F$ is an isomorphism, and in particular $Q(R) \cong F$.

Proof. Clearly, if $\tilde{\phi}$ exists, then we must have

$$\tilde{\phi}(r/s) = \tilde{\phi}(rs^{-1}) = \tilde{\phi}(r)\tilde{\phi}(s^{-1}) = \tilde{\phi}(r)\tilde{\phi}(s)^{-1} = \tilde{\phi}(r)/\tilde{\phi}(s) = \phi(r)/\phi(s).$$

This proves that $\tilde{\phi}$ is unique, if it exists. Conversely, we try to define $\tilde{\phi}$ by the formula

$$\tilde{\phi}(r/s) = \phi(r)/\phi(s).$$

Here r/s is shorthand for the equivalence class $[(r,s)] \in Q(R)$, and the fraction $\phi(r)/\phi(s) = \phi(r)/\phi(s)^{-1}$ is well-defined in F since, as ϕ is injective and $s \neq 0$, $\phi(s) \neq 0$. We must first show that $\tilde{\phi}$ is well-defined, i.e. independent of the choice of representative $(r,s) \in [(r,s)]$. Choosing another representative $(r', s') \in [(r,s)]$, we have by definition $rs' = r's$. Hence $\phi(rs') = \phi(r)\phi(s') = \phi(r's) = \phi(r')\phi(s)$. Dividing by $\phi(s)\phi(s')$ gives

$$\phi(r)/\phi(s) = \phi(r)\phi(s')/\phi(s)\phi(s') = \phi(r')\phi(s)/\phi(s)\phi(s') = \phi(r')/\phi(s').$$

Hence $\tilde{\phi}(r/s) = \phi(r)/\phi(s)$ is independent of the choice of representative $(r,s) \in [(r,s)]$. It is then straightforward to check that $\tilde{\phi}$ is a (ring) isomorphism. To see that it is injective, suppose that $\tilde{\phi}(r/s) = \tilde{\phi}(r'/s')$. Then $\phi(r)/\phi(s) = \phi(r')/\phi(s')$, and hence

$$\phi(rs') = \phi(r)\phi(s') = \phi(r')\phi(s) = \phi(r's).$$

Since ϕ is injective, $rs' = r's$, and hence $r/s = r'/s'$. Thus $\tilde{\phi}$ is injective. Finally, if every element of F is of the form $\phi(r)/\phi(s)$ for some $r, s \in R$ with $s \neq 0$, then $\tilde{\phi}$ is also surjective, hence an isomorphism. $\square$

Here is a typical way we might apply the proposition:

Lemma 3.4. *Let R be an integral domain with field of quotients $Q(R)$. Then $Q(R[x])$, the field of quotients of the integral domain $R[x]$, is isomorphic to $Q(R)(x)$, the field of rational functions with coefficients in $Q(R)$.*

Proof. Since R is isomorphic to a subring of $Q(R)$, there is a natural homomorphism from $R[x]$ to $Q(R)[x]$, and since $Q(R)[x]$ is isomorphic to a subring of its field of quotients $Q(R)(x)$, there is an injective homomorphism from $R[x]$ to $Q(R)(x)$, which amounts to viewing a polynomial with coefficients in R as a particular example of a rational function with coefficients in $Q(R)$. Hence, by the proposition, there is an injective homomorphism $Q(R[x]) \to Q(R)(x)$. To see that it is surjective, it suffices to show that every rational function with coefficients in $Q(R)$ is a quotient of two polynomials with coefficients in R. Given such a quotient $f(x)/g(x)$, suppose that $f(x) = \sum_{i=0}^{n} a_i x^i$ and $g(x) = \sum_{j=0}^{m} b_j x^j$, with $a_i, b_j \in Q(R)$. Then $a_i = r_i/s_i$ with $r_i, s_i \in R$ and $s_i \neq 0$. Likewise, $b_j = t_j/w_j$ with $t_j, w_j \in R$ and $w_j \neq 0$. We then proceed to "clear denominators" in the coefficients: Let $N = s_0 \cdot \cdots \cdot s_n \cdot w_0 \cdot \cdots \cdot w_m = \prod_{i=0}^{n} s_i \cdot \prod_{j=0}^{m} w_j$. Then $N(r_k/s_k) = r_k \prod_{i \neq k} s_i \cdot \prod_{j=0}^{m} w_j \in R$, and similarly $N(t_j/w_j) \in R$. Clearly $Nf(x) \in R[x]$ and $Ng(x) \in R[x]$. Thus

$$\frac{f(x)}{g(x)} = \frac{f(x)}{g(x)} \cdot \frac{N}{N} = \frac{Nf(x)}{Ng(x)}.$$

It then follows that $f(x)/g(x) = Nf(x)/Ng(x)$ is a quotient of two polynomials with coefficients in R. Hence $Q(R[x]) \cong Q(R)(x)$. $\square$

Another application of Proposition 3.3 is as follows: let F be a field of characteristic 0. As we have seen in the homework, the function $f\colon \mathbb{Z} \to F$ defined by $f(n) = n \cdot 1$ is a ring homomorphism. If $\operatorname{char} F = 0$, the homomorphism f is injective. Hence by Proposition 3.3 there is an induced homomorphism $\tilde{f}\colon \mathbb{Q} \to F$. Its image is the set of all quotients in F of the form $n \cdot 1/m \cdot 1$, with $m \neq 0$. In particular, the image of $\tilde{f}$ is a subfield of F isomorphic to $\mathbb{Q}$. Thus every field of characteristic 0 contains a subfield isomorphic to $\mathbb{Q}$, called the *prime subfield*. It is the smallest subfield of F, hence unique, and it can be described by starting with 1 and making sure that we can perform the operations of addition and subtraction and then automatically multiplication(to get the subring isomorphic to $\mathbb{Z}$), and finally division to get the subfield isomorphic to $\mathbb{Q}$. Here "prime" has nothing to do with prime numbers but simply means that the field $\mathbb{Q}$ is a basic, indivisible object.

A similar statement holds if F is a field of positive characteristic, say $\operatorname{char} F = p$ where p is a prime number. In this case, the function $f\colon \mathbb{Z} \to F$ defined by $f(n) = n \cdot 1$ is still a ring homomorphism, but its kernel is $\langle p \rangle$ and hence its image, as an abelian group, is isomorphic to $\mathbb{Z}/p\mathbb{Z}$. The fact that f is a ring homomorphism implies that the image of f, as a ring, is isomorphic to $\mathbb{Z}/p\mathbb{Z} = \mathbb{F}_p$. Thus, every field of characteristic p contains a subfield isomorphic to $\mathbb{F}_p$, again called the *prime subfield*. The fields $\mathbb{Q}$ and $\mathbb{F}_p$ are more generally called *prime fields*. They contain no proper subfields, and every field F contains a unique subfield isomorphic either to $\mathbb{Q}$, if $\operatorname{char} F = 0$, or to $\mathbb{F}_p$, if $\operatorname{char} F = p$.