

# Notes on Topological and Differentiable Manifolds

Nilay Kumar

## 1 Elementary Topology

Let us begin with the definition of a topology:

**Definition 1.** A **topology** on a set  $X$  is a collection  $\mathcal{T}$  of subsets of  $X$ , called **open sets**, satisfying the following properties:

1.  $X$  and  $\emptyset$  are elements of  $\mathcal{T}$ .
2.  $\mathcal{T}$  is closed under finite intersections: If  $U_1 \dots U_n \in \mathcal{T}$ , then their intersection  $U_1 \cap \dots \cap U_n$  is in  $\mathcal{T}$ .
3.  $\mathcal{T}$  is closed under arbitrary unions: If  $U_1 \dots U_n \dots$  is any (finite or infinite) collection of elements of  $\mathcal{T}$ , then their union  $\cup_{\alpha} U_{\alpha}$  is in  $\mathcal{T}$ .

A pair  $(X, \mathcal{T})$  consisting of a set  $X$  and a topology  $\mathcal{T}$  on  $X$  is called a **topological space**. The elements of a topological space are usually called its **points**.

**Definition 2.** If  $X$  is a topological space and  $q \in X$ , a **neighborhood** of  $q$  is just an open set containing  $q$ . More generally, a neighborhood of a subset  $K \subset X$  is an open set containing  $K$ .

**Definition 3.** If  $X$  is a topological space and  $\{q_i\}$  is any sequence of points in  $X$ , we say that the sequence **converges** to  $q \in X$ , and  $q$  is the **limit** of the sequence, if for every neighborhood  $U$  of  $q$  there exists  $N$  such that  $q_i \in U$  for all  $i \geq N$ . We denote this as  $q_i \rightarrow q$  or  $\lim_{i \rightarrow \infty} q_i = q$ .

**Example 1.** Let  $Y$  be a trivial topological space (i.e. the only open sets are  $X$  and  $\emptyset$ ). Each point has only 1 neighborhood:  $X$  itself. Thus, any sequence can be entirely contained in the neighborhood  $X$ , and consequently, any sequence converges to any point in  $X$ .

**Example 2.** Let  $X$  be a discrete topological space (i.e. all every subset of  $X$  is open). Take any sequence of points  $\{q_i\}$ . If the sequence converges to  $q$ , every open set containing  $q$  must contain all but a finite elements of the sequence. By virtue of the discrete topology, there exists an open set that contains only  $q$ . Obviously, then, there must exist an  $N$  such that  $q_i = q$  for all  $i \geq N$ . Consequently, the only convergent sequences in  $X$  are the ones that are “eventually constant.”

**Definition 4.** If  $X$  and  $Y$  are topological spaces, a map  $f : X \rightarrow Y$  is said to be **continuous** if for every open set  $U \subset Y$ ,  $f^{-1}(U)$  is open in  $X$ .

**Lemma 1.** *Let  $X, Y, Z$  be topological spaces.*

1. *Any constant map  $f : X \rightarrow Y$  is continuous.*
2. *The identity map  $\text{Id} : X \rightarrow X$  is continuous.*
3. *If  $f : X \rightarrow Y$  is continuous, so is the restriction of  $f$  to any open subset of  $X$ .*
4. *If  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  are continuous, so is their composition  $g \circ f : X \rightarrow Z$ .*

*Proof.* Let us begin with the constant map. Suppose  $f$  maps  $X$  to the constant  $\lambda \in Y$ . We wish to show that the preimage of  $f$  of every open set  $U$  in  $Y$  is open. There are two cases:  $U$  either does or does not contain  $\lambda$ . If it does,  $f^{-1}(U) = X$ ; otherwise,  $f^{-1}(U) = \emptyset$ . As both  $X$  and  $\emptyset$  are open sets,  $f$  is continuous.

The continuity of the identity map follows trivially from the fact that  $\text{Id}$  maps any open set back to the same open set.

To prove the third statement, take any open set  $U$  in  $Y$ .  $U$  can be written as a union of points in and outside  $f(V) \subset Y$ :  $U = U_i \cup U_o$ . We want to show that  $g^{-1}(U)$  is open in  $V$ . Since  $g^{-1}(U_o) = \emptyset$ , which is open, and  $g^{-1}(U_i) \subset V$  and is open in  $X$  by the continuity of  $f$ ,  $g^{-1}(U_o \cup U_i) = g^{-1}(U_o) \cup g^{-1}(U_i)$  is open in  $V$ .

To prove the fourth statement, it suffices to show that  $(g \circ f)^{-1}(U)$ , with  $U \subset Z$  open, is open in  $X$ . First note that  $(g \circ f)^{-1}(U) = f^{-1}(g^{-1}(U))$ . Since  $g$  is continuous,  $g^{-1}(U)$  is an open set in  $Y$ . Similarly,  $f^{-1}$  of an open set in  $Y$  is open in  $X$  as  $f$  is continuous, and we are done.  $\square$

**Lemma 2** (Local Criterion for Continuity). *A map  $f : X \rightarrow Y$  between topological spaces is continuous if and only if each point of  $X$  has a neighborhood on which (the restriction of)  $f$  is continuous.*

*Proof.* If  $f$  is continuous, each point of  $X$  has a neighborhood on which  $f$  is continuous; namely,  $X$  itself.

To prove the converse, suppose that each point of  $X$  has a neighborhood on which  $f$  is continuous - we wish to show that for any open set  $U \subset Y$ ,  $f^{-1}(U)$  is open in  $X$ . By continuity at each point, we know that any point  $x \in f^{-1}(U)$  has a neighborhood  $V_x$  on which  $f$  is continuous. In other words,  $(f|_{V_x})^{-1}(U) = f^{-1}(U) \cap V_x$  is open in  $X$  and is contained in  $f^{-1}(U)$ . As  $f^{-1}(U)$  is the union of such sets for all  $V_x$ , and these sets are open, it follows that  $f^{-1}(U)$  is open, and we are done.  $\square$

**Definition 5.** If  $X$  and  $Y$  are topological spaces, a **homeomorphism** from  $X$  to  $Y$  is defined to be a continuous bijective map  $\phi : X \rightarrow Y$  with continuous inverse. If there exists a homeomorphism between  $X$  and  $Y$ , we say that  $X$  and  $Y$  are **homeomorphic** or **topologically equivalent**. Sometimes this is abbreviated  $X \approx Y$ .

**Exercise 1.** Show that homeomorphisms are an equivalence relation.

*Proof.* To show that homeomorphisms are an equivalence relation, we show

- $X \approx X$ : The identity map  $\text{Id}$  is a homeomorphism from  $X$  to  $X$ .
- $X \approx Y \implies Y \approx X$ : There exists a homeomorphism from  $X$  to  $Y$ . Its inverse is clearly a homeomorphism from  $Y$  to  $X$ .
- $X \approx Y$  and  $Y \approx Z \implies X \approx Z$ : As the composition of two homeomorphisms is also a homeomorphism (from elementary set theory), the homeomorphism from  $X$  to  $Z$  is simply the composition of the homeomorphisms from  $Y$  to  $Z$  and from  $X$  to  $Y$ , respectively.

$\square$

**Example 3.** Any open ball in  $\mathbb{R}^n$  is homeomorphic to any other open ball. The homeomorphism can be constructed simply by composition translations  $x \mapsto x + x_0$  and dilations  $x \mapsto cx$ . This shows that size is not a topological property.

**Example 4.** If  $\mathbb{B}^n$  is the open unit ball, we can define  $F : \mathbb{B}^n \rightarrow \mathbb{R}^n$  by

$$y = F(x) = \frac{x}{1 - |x|^2}.$$

The inverse is given by

$$x = F^{-1}(y) = \frac{2y}{1 + \sqrt{1 + 4|y|^2}}.$$

As both are continuous and bijective,  $F$  is a homeomorphism, so  $\mathbb{R}^n$  is homeomorphic to  $\mathbb{B}^n$ . This shows that boundedness is not a topological property.

**Example 5.** Take the surface of the unit sphere in  $\mathbb{R}^3$  and the surface of the cube of side 2, centered at the origin. There exists a homeomorphism between these two surfaces,

$$\phi(x, y, z) = \frac{(x, y, z)}{\sqrt{x^2 + y^2 + z^2}}$$

whose inverse is given by

$$\phi^{-1}(x, y, z) = \frac{(x, y, z)}{\max(|x|, |y|, |z|)}.$$

Thus, corners are not a topological property either.

**Example 6.** Now for an example of a continuous bijection that is not a homeomorphism by failure of its inverse to be continuous. Let  $X$  be the interval  $[0, 1) \subset \mathbb{R}$ , and let  $\mathbb{S}^1$  denote the unit circle in  $\mathbb{R}^2$  (both with the Euclidean metric topologies). Define a map  $a : X \rightarrow \mathbb{S}^1$  by  $a(t) = (\cos 2\pi t, \sin 2\pi t)$ . It is clear that the map is continuous and bijective. The inverse, however, is not continuous. To see why, take any neighborhood of the point  $(1, 0)$  - the inverse of  $a$  on this neighborhood will inevitably contain the point  $0 \in [0, 1)$ . Thus the preimage of open sets is not necessarily open, and thus  $a^{-1}$  is not continuous.

**Definition 6.** A map  $f : X \rightarrow Y$  is said to be an **open map** if for any open set  $U \subset X$ , the image set  $f(U)$  is open in  $Y$ . A map can be open but not continuous, continuous but not open, both, or neither.

**Definition 7.** We say that a continuous map  $f : X \rightarrow Y$  between topological spaces is a **local homeomorphism** if every point  $x \in X$  has a neighborhood  $U \subset X$  such that  $f(U)$  is an open subset of  $Y$  and  $f|_U : U \rightarrow f(U)$  is a homeomorphism.

**Exercise 2.** *Show that:*

1. *every local homeomorphism is an open map.*
2. *every homeomorphism is a local homeomorphism.*
3. *a bijective continuous open map is a homeomorphism.*

4. *a bijective local homeomorphism is a homeomorphism.*

*Proof.*

1. Take any open set  $U \subset X$ . For every  $x \in U$ , there exists some neighborhood  $V = U_x \cap U$  of  $x$  for which the local homeomorphism  $f(V)$  is open. Note that  $U$  is the union of all such  $V$  (for various  $x$ ) and thus  $f(U)$  is the union of all  $f(V)$ , and as the union of open sets must be an open set,  $f(U)$  must be open. Consequently,  $f$  is an open map.
2. Take  $f$  to be a homeomorphism from  $X$  to  $Y$ .  $f$  is trivially a local homeomorphism; the neighborhood needed by the definition is simply  $X$  itself.
3. Let  $f$  be a bijective, continuous, open map. To show that  $f$  is a homeomorphism, we must show that  $f^{-1}$  is a continuous map. In other words, we must show that the preimage of  $f$  on open sets of  $Y$  are open sets in  $X$ . This is true as  $f$  is open and bijective: open sets in  $X$  are taken to open sets in  $Y$  (open), and all open sets in  $Y$  are images of open sets in  $X$  (bijective).
4. Let us first show that any local homeomorphism  $f$  from  $X$  to  $Y$  is continuous. Take any open set  $V \subset Y$  whose preimage under  $f$  we call  $U$ . We wish to show that  $U$  is open. Take any point  $y \in V$ . For any  $x \in f^{-1}(y)$ , there is a neighborhood  $M_x \subset X$  of  $x$  that is homeomorphic to a neighborhood  $N_y$  of  $y$  in  $Y$ . This implies that  $U_x = M_x \cap U$  is homeomorphic to  $V_y = N_y \cap V$ . Take the union of all the sets  $U_x$  for every  $x$  in the preimage of  $y$  and call this  $W_y$ . Note that the preimage of  $V$  is the union of all such  $W_y$  for  $y \in V$ . This union is open, and we are done.  
Now it remains to show that the inverse of  $f$  (that exists via bijectivity) is continuous. Let  $U \subset X$  be open and  $V = (f^{-1})^{-1}(U) = f(U)$ . We wish to show that  $V$  is open. This follows trivially from the fact that  $f$  is an open map, and we are done.

□

Up until now, we have worked with topological spaces defined through open sets. There is a complementary notion that is just as important.

**Definition 8.** A subset  $F$  of a topological space  $X$  is said to be **closed** if its complement  $X \setminus F$  is open. It follows immediately from the definition of topological spaces that

1.  $X$  and  $\emptyset$  are closed.
2. Finite unions of closed sets are closed.
3. Arbitrary intersections of closed sets are closed.

A topology on a set  $X$  can be defined by describing the collection of closed sets, as long as they satisfy these three properties; the open sets are then just those sets whose complements are closed.

**Example 7** (Closed Sets).

- Any closed interval  $[a, b] \subset \mathbb{R}$  is a closed set, as are the half-infinite closed intervals  $[a, \infty)$  and  $(-\infty, b]$ .
- Any closed ball in a metric space is a closed set.
- Every subset of a discrete space is closed.

It is important to note that closed is *not* the same as “not open,” as sets can be both open and closed (such as  $X, \emptyset$ ), or neither open nor closed, such as  $[0, 1) \subset \mathbb{R}$ .

**Lemma 3.** *A map between topological spaces is continuous if and only if the inverse image of every closed set is closed.*

*Proof.* Assume  $f : X \rightarrow Y$  is continuous. Given  $V \subset Y$  is closed, and its preimage under  $f$  is  $U \subset X$ , we want to show that  $U$  is closed. By definition,  $Y \setminus V$  is open, and as by continuity of  $f$ , we have that its preimage  $X \setminus U$  is open. Thus, again by definition of closed sets,  $U$  must be closed.

Now assume that  $f : X \rightarrow Y$  is such that the preimage of any closed set  $V$  is a closed set in  $X$ . Take an open set  $V \subset Y$  whose preimage under  $f$  is  $U \subset X$ . The preimage of  $Y \setminus V$  is, of course  $X \setminus U$ , which is closed. Since  $X \setminus U$  is closed,  $U$  must be open, and we are done.  $\square$

**Definition 9.** Given any set  $A \subset X$ , we define several related sets as follows. The **closure** of  $A$  in  $X$ , denoted by  $\bar{A}$ , is the set

$$\bar{A} = \bigcap \{B \subset X : B \supset A \text{ and } B \text{ is closed in } X\}.$$

The **interior** of  $A$ , written  $\text{Int } A$  is

$$\text{Int } A = \bigcup \{C \subset X : C \subset A \text{ and } C \text{ is open in } X\}.$$

It is obvious that  $\bar{A}$  is closed and  $\text{Int } A$  is open. In words,  $\bar{A}$  is the “smallest closed set containing  $A$ ,” and  $\text{Int } A$  is “the largest open set contained in  $A$ .” We also define the **exterior** of  $A$ , written  $\text{Ext } A$ , as

$$\text{Ext } A = X \setminus \bar{A},$$

and the **boundary** of  $A$ , written  $\partial A$ , as

$$\partial A = X \setminus (\text{Int } A \cup \text{Ext } A)$$

It follows from the definitions that for any subset  $A \subset X$ , the whole space  $X$  is equal to the disjoint union of  $\text{Int } A$ ,  $\text{Ext } A$ , and  $\partial A$ . The set  $A$  always contains all of its interior points and none of its exterior points, and may contain all, some, or none of its boundary points.

**Lemma 4.** *Let  $X$  be a topological space and  $A \subset X$  any subset.*

1. *A point  $q$  is in the interior of  $A$  if and only if  $q$  has a neighborhood contained in  $A$ .*
2. *A point  $q$  is in the exterior of  $A$  if and only if  $q$  has a neighborhood contained in  $X \setminus A$ .*
3. *A point  $q$  is in the boundary of  $A$  if and only if every neighborhood of  $q$  contains both a point of  $A$  and a point of  $X \setminus A$ .*
4.  *$\text{Int } A$  and  $\text{Ext } A$  are open in  $X$ , while  $\partial A$  is closed in  $X$ .*
5.  *$A$  is open if and only if  $A = \text{Int } A$ .*
6.  *$A$  is closed if and only if it contains all its boundary points, which is true if and only if  $A = \text{Int } A \cup \partial A$ .*
7.  *$A = \bar{A} \cup \partial A = \text{Int } A \cup \partial A$ .*

*Proof.* Left to the reader. □

**Definition 10.** Given a topological space  $X$  and a set  $A \subset X$ , we say that a point  $q \in X$  is a **limit point** of  $A$  if every neighborhood of  $q$  contains a point of  $A$  other than  $q$  (which may or may not itself be in  $A$ ). If we let  $X = \mathbb{R}$  and  $A = \{1/n\}_{n=1}^{\infty}$ , for example, then 0 is the only limit point of  $A$ .

**Exercise 3.** Show that a set  $A$  in a topological space is closed if and only if it contains all of its limit points.

*Proof.* It is clear from the previous lemma that every boundary point is also a limit point. In addition, it is clear that no limit point can also be outside of  $A$ . If  $A$  is closed, it must contain its boundary, and thus, since it contains its interior and its boundary, it contains all of its limit points. Conversely, if  $A$  contains all of its limit points, it contains its boundary, and is closed.  $\square$

**Definition 11.** A subset  $A$  of a topological space  $X$  is said to be **dense** in  $X$  if  $\bar{A} = X$ .

**Exercise 4.** Show that a subset  $A \subset X$  is dense if and only if every nonempty open set in  $X$  contains a point of  $A$ .

*Proof.* Suppose every nonempty open set in  $X$  contains a point of  $A$ . Every neighborhood of every point of  $X$  must then contain a point of  $A$ , and thus every point in  $X$  must be a limit point of  $A$ .  $\bar{A} = \text{Int } A \cup \partial A$  contains all of its limit points, and thus  $X = \bar{A}$  and  $A$  is dense in  $X$ .

Conversely, suppose that  $A$  is dense in  $X$ . Then,  $\bar{A} = X$ , i.e.  $X$  is equal to the union of the interior and the boundary of  $A$ . Any point in the interior or the boundary of a set has the property that all of its neighborhoods contain a point in the interior. Thus, since any open set in  $X$  is a neighborhood of any point in  $X$ , any open set in  $X$  must contain a point of  $A$ .  $\square$

**Definition 12.** A map  $f : X \rightarrow Y$  is said to be **closed** if it takes closed sets in  $X$  to closed sets in  $Y$ .

**Exercise 5.** Show that a bijective continuous map is a homeomorphism if and only if it is open if and only if it is closed.

*Proof.* Suppose  $f$  is a bijective continuous open map. We want to show that the inverse  $f^{-1}$  is continuous: the preimage of open sets in  $X$  under  $f^{-1}$  (i.e. the image of  $f$ ) must be open. Since  $f$  is open, we are done. Conversely, if  $f$  is a homeomorphism,  $f$  must be bijective and continuous. It remains to show that  $f$  is an open map. This follows from the fact that  $f^{-1}$  is continuous, similar to above.



Suppose  $f$  is a bijective continuous closed map. We want to show that the inverse  $f^{-1}$  is continuous: the preimage of closed sets in  $X$  under  $f^{-1}$  (i.e. the image of  $f$ ) must be closed (by the definition of continuity in terms of closed sets). Since  $f$  is closed, we are done. Conversely, if  $f$  is a homeomorphism,  $f$  must be bijective and continuous. It remains to show that  $f$  is an closed map. This follows from the fact that  $f^{-1}$  is continuous, similar to above, using the definition in terms of closed sets.  $\square$

**Definition 13.** Suppose  $X$  is any set. A **basis** in  $X$  is a collection  $\mathcal{B}$  of subsets of  $X$  satisfying the following conditions:

1. Every element of  $X$  is in some element of  $\mathcal{B}$ ; in other words,  $X = \bigcup_{B \in \mathcal{B}} B$ .
2. If  $B_1, B_2 \in \mathcal{B}$  and  $x \in B_1 \cap B_2$ , there exists an element  $B_3 \in \mathcal{B}$  such that  $x \in B_3 \subset B_1 \cap B_2$ .

**Theorem 5.** Let  $\mathcal{B}$  be a basis in a set  $X$  and let  $\mathcal{T}$  be the collection of all unions of elements of  $\mathcal{B}$ . Then  $\mathcal{T}$  is a topology on  $X$ . This topology  $\mathcal{T}$  is called the **topology generated by  $\mathcal{B}$** .

Before we prove this theorem, let us develop a parallel definition to that of an open set: given  $X$  and a collection  $\mathcal{B}$  of subsets of  $X$ , we say that a subset  $U \subset X$  satisfies the **basis criterion** with respect to  $\mathcal{B}$  if for every  $x \in U$ , there exists  $B \in \mathcal{B}$  such that  $x \in B \subset U$ .

**Lemma 6.** Suppose  $\mathcal{B}$  is a basis in  $X$ . Then  $\mathcal{T}$ , defined as above, is precisely the set of all subsets of  $X$  that satisfy the basis criterion with respect to  $\mathcal{B}$ .

*Proof.* Let  $U \subset X$ , and suppose first that  $U$  satisfies the basis criterion. Let

$$V = \bigcup \{B \in \mathcal{B} : B \subset U\}.$$

$V \in \mathcal{T}$  as it is a union of basis sets. If we can show that  $U = V$ , we will have  $U \in \mathcal{T}$  and we will be done. Clearly,  $V \subset U$ , as  $V$  is a union of subsets of  $U$ . We want to show that  $U \subset V$ . For any point  $x \in U$ , since  $U$  satisfies the basis criterion, there must exist a basis set  $B \in \mathcal{B}$  such that  $x \in B \subset U$ . It follows that  $x \in V$ , and we are done.

Conversely, suppose that  $U \in \mathcal{T}$ . Consequently,  $U$  is a union of elements of  $\mathcal{B}$ .  $U$  satisfies the basis criterion, as each  $x \in U$  satisfies  $x \in B \subset U$  for some  $B \in \mathcal{B}$ .  $\square$

*Proof.* We now prove the earlier theorem. We want to show that the collection  $\mathcal{T}$  satisfies the conditions for a topology. Since  $X = \bigcup_{B \in \mathcal{B}} B$ ,  $X \in \mathcal{T}$ . The empty set is as well, as it is the “union of no elements” of  $\mathcal{B}$ . A union of elements of  $\mathcal{T}$  is a union of unions of elements of  $\mathcal{B}$ , and therefore is a union of elements of  $\mathcal{B}$ , and thus  $\mathcal{T}$  is closed under arbitrary unions. To show that  $\mathcal{T}$  is closed under finite intersections, suppose first that  $U_1, U_2 \in \mathcal{T}$ . Then, for any  $x \in U_1 \cap U_2$ , the basis criterion says that there exist basis elements  $B_1, B_2 \in \mathcal{B}$  such that  $x \in B_1 \subset U_1$  and  $x \in B_2 \subset U_2$ . By the definition of the basis, however, we know that there exists  $B_3 \in \mathcal{B}$  such that  $x \in B_3 \subset B_1 \cap B_2 \subset U_1 \cap U_2$ . Thus  $U_1 \cap U_2$  satisfies the basis criterion, so it is again in  $\mathcal{T}$ . This shows that  $\mathcal{T}$  is closed under pairwise intersections, and closure under finite intersections follows via induction.  $\square$

**Lemma 7.** *Suppose  $X$  is a topological space, and  $\mathcal{B}$  is a collection of open subsets of  $X$ . If every open subset of  $X$  satisfies the basis criterion with respect to  $\mathcal{B}$ , then  $\mathcal{B}$  is a basis for the topology of  $X$ .*

*Proof.* If every open subset satisfies the basis criterion, the previous lemma tells us that the collection  $\mathcal{T}$  is the collection of all open subsets of  $X$ , which does indeed form a topology. All that remains is to show that  $\mathcal{B}$  is, in fact, a basis. The first requirement is that every point in  $X$  must be in a basis set. As  $X$  itself is an open set, and thus a basis set, every point is indeed in a basis set. The second requirement asserts that given basis (open) sets  $B_1$  and  $B_2$  and  $x \in B_1 \cap B_2$ ,  $x$  must be in  $B_3 \subset B_1 \cap B_2$ . This follows from the definition of topology, which requires the intersection of two open sets to be an open set.  $\square$

**Exercise 6.** *In each of the following cases, prove that the given set  $\mathcal{B}$  is a basis for the given topology.*

- $M$  is a metric space with the metric topology, and  $\mathcal{B}$  is the collection of all open balls in  $M$ .
- $X$  is a set with the discrete topology, and  $\mathcal{B}$  is the collection of all one-point subsets of  $X$ .
- $X$  is a set with the trivial topology, and  $\mathcal{B} = \{X\}$ .

*Proof.* By the previous lemma, for each case, we must show that the every open subset of the topology satisfies the basis criterion with respect to  $\mathcal{B}$ .

- We wish to show that for each point in any open set  $U$  in the metric space  $M$ , there exists an open ball in  $U$  that contains the point. Any

ball with radius small enough such that  $B \subset U$  will do the trick, and we are done.

- The collection of open sets forming the discrete topology  $X$  is the power set  $\mathcal{P}(X)$ . It is clear that for every such open set  $U$ , for all  $x \in U$ , there is a basis set in  $U$  containing  $x$ : namely,  $x$ 's one-point basis set.
- The collection of open sets forming the trivial topology  $X$  is simply  $\{X, \emptyset\}$ . The given  $\mathcal{B}$  has one basis set  $X$ . Obviously for each  $x \in X$ , said basis set contains  $x$  and is a subset of  $X$ . The same holds vacuously for the null set.

□

**Lemma 8.** *Let  $X$  and  $Y$  be topological spaces and let  $\mathcal{B}$  be a basis for  $Y$ . A map  $f : X \rightarrow Y$  is continuous if and only if for every basis open set  $B \in \mathcal{B}$ ,  $f^{-1}(B)$  is open in  $X$ .*

*Proof.* If  $f$  is continuous, by definition, the preimage of every basis open set is open in  $X$ . Conversely, suppose  $f^{-1}(B)$  is open for every  $B \in \mathcal{B}$ . If  $V$  is an open set in  $Y$ , and  $x \in U = f^{-1}(V)$ , by the basis criterion, we know that there exists a basis set  $B$  such that  $f(x) \in B \subset V$ . Thus,  $x \in f^{-1}(B) \subset U$ , so every  $x$  has a neighborhood contained in  $U$ . The union of all such neighborhoods, then, is  $U$ , and consequently,  $U$  is open. □

**Definition 14.** Let  $X$  be a topological space. A topological space  $M$  is said to be **locally Euclidean of dimension  $n$**  if every point  $q \in M$  has a neighborhood that is homeomorphic to an open subset of  $\mathbb{R}^n$ . Such a neighborhood is called a **Euclidean neighborhood** of  $q$ .

The following lemma shows that the “open subset” in the definition can be replaced by open ball, or  $\mathbb{R}^n$ .

**Lemma 9.** *A topological space  $M$  is locally Euclidean of dimension  $n$  if and only if either of the following properties holds:*

- *Every point of  $M$  has a neighborhood homeomorphic to an open ball in  $\mathbb{R}^n$ .*
- *Every point of  $M$  has a neighborhood homeomorphic to  $\mathbb{R}^n$ .*

*Proof.* As  $\mathbb{R}^n$  is a Euclidean space, it immediately follows that if  $M$  is locally Euclidean of dimension  $n$ , one of the above two conditions must hold. For the converse proof, first note that the above two conditions are equivalent, as we showed earlier that any open ball in  $\mathbb{R}^n$  is homeomorphic to  $\mathbb{R}^n$ . Thus, we need only prove the first condition.

Take any point  $q \in M$  and let  $U$  be a neighborhood of  $q$  that admits a homeomorphism  $\phi : U \rightarrow V$ , where  $V$  is an open subset of  $\mathbb{R}^n$ . Since  $V$  is open, there must be some open ball  $B$  around  $\phi(q)$  that is contained in  $V$ . Therefore,  $\phi^{-1}(B)$  is a neighborhood of  $q$  homeomorphic to an open ball in  $\mathbb{R}^n$ , and we are done.  $\square$

**Definition 15.** If  $M$  is locally Euclidean of dimension  $n$ , a homeomorphism from an open subset  $U \subset M$  to an open subset of  $\mathbb{R}^n$  is called a **coordinate chart** on  $U$ . We will call any open subset of  $M$  that is homeomorphic to a ball in  $\mathbb{R}^n$  a **Euclidean ball** in  $M$ . The previous lemma shows that every point in a locally Euclidean space has a Euclidean ball neighborhood.

Note that the definition of locally Euclidean spaces makes sense even if  $n = 0$ . Since  $\mathbb{R}^0$  is by convention a single point, the second condition of the previous lemma (that  $M$  is locally homeomorphic to the whole  $\mathbb{R}^n$ ) implies that a space can be locally Euclidean of dimension 0 if and only if each point has a neighborhood that is homeomorphic to a one-point space. In other words: if and only if the space is discrete.

**Definition 16.** A topological space  $X$  is said to be a **Hausdorff space** if given any pair of distinct points  $q_1, q_2 \in X$ , there exist neighborhoods  $U_1$  of  $q_1$  and  $U_2$  of  $q_2$  with  $U_1 \cap U_2 = \emptyset$ .

Note that any open subset of a Hausdorff space is Hausdorff.

**Lemma 10.** *Let  $X$  be a Hausdorff space.*

1. *Every one-point set in  $X$  is closed.*
2. *If a sequence  $\{x_i\}$  in  $X$  converges to a limit  $x \in X$ , the limit is unique.*

*Proof.* For the first part, take any one-point set  $\{q\} \in X$ . For any  $p \neq q$ , we are assured that there exist disjoint neighborhoods  $U_p$  of  $q$  and  $V_p$  of  $p$ . The complement of the one-point set is  $X \setminus \{q\}$ , which can be expressed as the union of the open sets  $V_p$  for every  $p \in X \setminus \{q\}$ .

To prove that the limits are unique, first assume for the sake of contradiction that the sequence converges to both  $x$  and  $x'$ . By the Hausdorff

property, there exist disjoint neighborhoods  $U$  of  $x$  and  $U'$  of  $x'$ . By definition of convergence, there exist  $N, N'$  such that  $i \geq N$  implies  $x_i \in U$  and  $i \geq N'$  implies  $x_i \in U'$ . But since  $U$  and  $U'$  must be disjoint, we reach a contradiction for when  $i$  is greater than both  $N$  and  $N'$ .  $\square$

**Exercise 7.** *Show that the only Hausdorff topology on a finite set is the discrete topology.*

*Proof.* Suppose we have a finite, discrete topology  $X$ . It is clear that  $X$  is Hausdorff, as each point's one-point subset satisfies the Hausdorff condition of disjoint subsets.

Suppose we have a finite, Hausdorff topology  $X$ . We wish to show that  $X$  is the discrete topology. By the Hausdorff property and the above lemma, we know that every one-point set in  $X$  is closed. Consequently, for each point  $q \in X$ , the set  $X \setminus \{q\}$  must be open. Label the points in  $X$  as  $\{x_1 \cdots x_n\}$  and take the open subset  $U = X \setminus \{x_1\} = \{x_2 \cdots x_n\}$ . For each point in  $U$ ,  $q$ , define  $V_q = X \setminus \{q\}$ , which are all open. Note that the point  $x_1$  is a member of  $V_q$  for all  $q \in U$ . Since  $V_q$  are open, it must be that  $\bigcap_q V_q$  is open as well, and contains *only*  $x_1$  (by virtue of how  $V_q$  was defined in terms of complements; drawing a picture of a set with 3 elements is a good way to visualize this). Thus, since  $x_1$  was arbitrarily chosen in  $X$ , every one-point set in  $X$  is open; clearly, then,  $X$  is a discrete topology.  $\square$

**Definition 17.** We say that a topological space is **second countable** if it admits a countable basis.

**Definition 18.** If  $X$  is a topological space and  $q \in X$ , a collection  $\mathcal{B}_q$  of neighborhoods of  $q$  is called a **neighborhood basis** at  $q$  if every neighborhood of  $q$  contains some  $B \in \mathcal{B}_q$ .  $X$  is said to be **first countable** if there exists a countable neighborhood basis at each point.

**Corollary 11.** *Second countability implies first countability.*

*Proof.* Second countability states that there exists a countable basis for  $X$ . The collection of basis open sets containing any point  $q \in X$  is, of course, a countable neighborhood basis for  $q$ .  $\square$

**Definition 19.** If  $X$  is any topological space, a collection  $\mathcal{U}$  of subsets of  $X$  is said to **cover**  $X$ , or be a **cover** of  $X$ , if every point in  $X$  is in one of the sets of  $\mathcal{U}$ . An **open cover** is a collection of open sets that covers  $X$ . Given any cover  $\mathcal{U}$ , a **subcover** of  $\mathcal{U}$  is a subset of  $\mathcal{U}$  that is still a cover.

**Lemma 12.** *If  $X$  is a second countable space, every open cover of  $X$  has a countable subcover.*

*Proof.* Let  $\mathcal{B}$  be a countable basis for  $X$ , and let  $\mathcal{U}$  be an arbitrary open cover of  $X$ . Let  $\mathcal{B}'$  denote the subset of  $\mathcal{B}$  consisting of those basis sets that are entirely contained in some element of  $\mathcal{U}$ . As a subset of a countable set is countable,  $\mathcal{B}'$  must be a countable set. For each element  $B \in \mathcal{B}'$ , choose an element  $U_B \in \mathcal{U}$  such that  $B \subset U_B$ . The collection  $\{U_B : B \in \mathcal{B}'\}$  is a countable subset of  $\mathcal{U}$ . Now we want to show that it covers  $X$ , and we will be done.

Choose  $x \in X$  arbitrary. Then  $x \in U_0$  for some open  $U_0 \in \mathcal{U}$ , as  $\mathcal{U}$  is an open cover. By the basis criterion, we know that there is some  $B \in \mathcal{B}$  such that  $x \in B \subset U_0$ . Thus  $B \in \mathcal{B}'$ , and there exists a set  $U_B \in \mathcal{U}'$  such that  $x \in B \subset U_B$ . This shows that  $\mathcal{U}'$  is a cover.  $\square$

Any open subset  $U$  of a second countable space  $X$  is second countable: starting with a countable basis for  $X$ , simply throw away all the elements of the basis that do not lie in  $U$ ; then it is easy to check that the remaining basis sets form a countable basis for the topology of  $U$ .

**Definition 20.** An  $n$ -dimensional **topological manifold** is a second countable Hausdorff space that is locally Euclidean of dimension  $n$ .

The most obvious example of an  $n$ -manifold is  $\mathbb{R}^n$  itself. More generally, and open subset of  $\mathbb{R}^n$  - or in fact of any  $n$ -manifold - is again an  $n$ -manifold, as the next lemma shows.

**Lemma 13.** *Any open subset of an  $n$ -manifold is an  $n$ -manifold.*

*Proof.* Let  $M$  be an  $n$ -manifold, and let  $V$  be an open subset of  $M$ . Any  $q \in V$  has a neighborhood (in  $M$ ) that is homeomorphic to an open subset of  $\mathbb{R}^n$ ; the intersection of that neighborhood with  $V$  is still open, still homeomorphic to an open subset of  $\mathbb{R}^n$ , and lies in  $V$ , so  $V$  is locally Euclidean. Since any open subset of a Hausdorff space is Hausdorff and any open subset of a second countable space is second countable,  $V$  is an  $n$ -manifold.  $\square$

**Definition 21.** An  $n$ -dimensional **manifold with boundary** is a second countable Hausdorff space in which every point has a neighborhood homeomorphic to an open subset of the  $n$ -dimensional upper half space  $\mathbb{H}^n = \{(x_1, \dots, x_n) \in \mathbb{R}^n : x_n \geq 0\}$ . Just as in the case of manifolds, we will call any homeomorphism from an open subset  $U$  of  $M$  to an open subset of  $\mathbb{H}^n$  a **chart** on  $U$ .

The upper half space  $\mathbb{H}^n$  is of course a manifold with boundary, as is any closed interval in  $\mathbb{R}$ , any closed disk in  $\mathbb{R}^2$ , or in fact a closed ball in any Euclidean space.

**Definition 22.** The boundary of  $\mathbb{H}^n$  in  $\mathbb{R}^n$  is the set of points where  $x_n = 0$ . If  $M$  is a manifold with boundary, a point that is in the inverse image  $\partial\mathbb{H}^n$  under some chart is called a **boundary point** of  $M$ , and a point that is in the inverse image of  $\text{Int } \mathbb{H}^n$  is called an **interior point**. The **boundary** of  $M$  (the set of all of its boundary points) is denoted by  $\partial M$ ; similarly, its **interior** is denoted by  $\text{Int } M$ .

Since any open ball in  $\mathbb{R}^n$  is homeomorphic to an open subset of  $\mathbb{H}^n$ , an  $n$ -manifold is automatically an  $n$ -manifold with boundary (with empty boundary), but the converse is not true: a manifold with boundary is a manifold if and only if its boundary is empty.