

Lie Groups and Representations: Notes

Nilay Kumar

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Theorem 1 (Fundamental theorem of Lie theory). *The category of connected, simply-connected, Lie groups is equivalent to the category of Lie algebras.*

Proof. Omitted. □

One can consider as an example the case of $SO(3)$ and $SU(2)$, which have identical Lie algebras. Another example is $\mathfrak{sl}(2, \mathbb{R})$ (2×2 matrices with trace zero), which corresponds to $\widetilde{SL(2, \mathbb{R})}$, the universal cover of $SL(2, \mathbb{R})$. Indeed, to understand connected Lie groups, it suffices to understand Lie algebras as well as their theory of coverings. One direction of this theorem is easy to see, namely sending groups to algebras, but the difficulty arises in the other direction: how does one lift a Lie algebra homomorphism to a Lie group homomorphism? One (not completely obvious) way to do this is to use the Baker-Campbell-Hausdorff formula, which allows us to locally recover the group law from the Lie algebra.

Indeed, the Baker-Campbell-Hausdorff formula can be used to define the Lie bracket operation. Another way of doing this is of course to treat the Lie algebra elements as left-invariant vector fields, and to then use the usual Lie bracket of vector fields. Of course, for matrix groups we can obviously define the bracket as simply the commutator $[X, Y] = XY - YX$ (we can think of this more abstractly as the derivative of the adjoint representation of the group).

Definition 1. A **representation** (π, V) of a group G on a vector space V is a homomorphism $\pi : G \rightarrow GL(V)$.

Remark. For us, G will be a Lie group, and V will be a finite-dimensional vector space over a field, usually \mathbb{C} .

Definition 2. A **representation** (ϕ, V) of a Lie algebra \mathfrak{g} on a vector space V is a Lie algebra homomorphism $\phi : \mathfrak{g} \rightarrow \mathfrak{gl}(V) = \text{End } V$.

Remark. Given a representation (π, V) of G , we obtain a representation of \mathfrak{g} simply by taking the derivative (π_*, V) , with $\pi_*(X) = d/dt \pi(e^{tX})|_{t=0}$. However, we cannot necessarily go the other way: given a Lie algebra representation, we cannot always lift to a Lie group representation. If a representation of \mathfrak{g} is π_* for some π a representation of G , then we call π **integrable**.

Note that for any Lie group G , we can construct an action of G on itself. This is the adjoint action given by $g \mapsto c(g) \in \text{Diff}(G)$ where $c(g)h = ghg^{-1}$. Note however that $\text{Diff}(G)$ is nonlinear and hence we obtain a nonlinear representation. Instead we can consider $g \mapsto (c(g))_* : TG \rightarrow TG$, which restricts at the identity to a map $\mathfrak{g} \rightarrow \mathfrak{g}$. Indeed, one can check that we get a representation this way.

Definition 3. The **Adjoint representation of the group** G is $(\text{Ad}, \mathfrak{g})$ where $\text{Ad}(g) = (c(g))_*(e)$.

We can go further and differentiate this representation to obtain a Lie algebra representation.

Definition 4. The **adjoint representation** of a Lie algebra $\mathfrak{g} = \text{Lie } G$ is $(\text{ad}, \mathfrak{g})$ where $\text{ad}(X) = \text{Ad}'(e^{tX}) = \left. \frac{d}{dt} \right|_{t=0} \text{Ad}(e^{tX})$.

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For matrix groups, it's clear that the Adjoint representation is just conjugation (via matrix multiplication), i.e. $\text{Ad}(g)M = gMg^{-1}$. For such we can use a trivialization and treat Lie algebra elements as matrices, i.e. given the left-invariant vector field $X_M = (g, gM)$, M is the associated element of the Lie algebra. Then the adjoint representation for matrix Lie algebras is given by the commutator $\text{ad}(X)M = [X, M]$. One can check this by computing

$$\text{ad}(X)(Y) = \left. \frac{d}{dt} \right|_{t=0} (e^{tX} Y e^{-tX}) = XY - YX$$

In general, the Adjoint and adjoint representations are interesting in that they are a distinguished feature of a given Lie group/algebra. This will be a key fact used when trying to classify algebras.

Example 1. Consider $G = SO(3)$ whose Lie algebra is $\text{Lie } SO(3) = \mathbb{R}^3$, isomorphic to the space of anti-symmetric 3×3 real matrices. Choosing the usual basis L_i for \mathfrak{so}_3 , where we have a 1 and -1 in each basis element, we see that we obtain, in some sense, the cross product on \mathbb{R}^3 via the bracket operation.

We can do something similar for $G = SU(2)$, and we find (as we know from the homework) that $\mathfrak{su}_2 = \mathfrak{so}_3$. Of course, this is a rather special case; in higher dimensions there are no such isomorphism in general.

So far we have considered Lie algebras that are real vector spaces. It turns out, however, that representations are simplest for complex vector spaces (as diagonalizability is easiest done). Hence we wish to study the “complexification” of \mathfrak{g} .

Definition 5. The **complexification** of \mathfrak{g} is the complex vector space $\mathfrak{g}_{\mathbb{C}} = \mathfrak{g} \otimes_{\mathbb{R}} \mathbb{C} = \mathfrak{g} \oplus i\mathfrak{g}$. The Lie bracket of \mathfrak{g} extends straightforwardly to a Lie bracket of $\mathfrak{g}_{\mathbb{C}}$.

Remark. One can think of $\mathfrak{g}_{\mathbb{C}}$ as a real Lie algebra with $\dim \mathfrak{g}_{\mathbb{C}} = 2 \dim \mathfrak{g}$. In general, when we write something like $\mathfrak{g} = \text{Lie } G$, we will mostly treat G as a real manifold and assume that \mathfrak{g} is real.

Definition 6. The Lie algebras $\mathfrak{g}_1, \mathfrak{g}_2$ are **real forms** of a complex Lie algebra \mathfrak{g} if $\mathfrak{g}_1 \otimes_{\mathbb{R}} \mathbb{C} = \mathfrak{g}_2 \otimes_{\mathbb{R}} \mathbb{C} = \mathfrak{g}$.

Example 2. Take $\mathfrak{g}_1 = \mathfrak{sl}_2\mathbb{R}$, i.e. 2×2 traceless matrices. This is isomorphic to \mathbb{R}^3 as a vector space, but is not isomorphic to $\mathfrak{su}_2 = \mathfrak{so}_3$. If we complexify this Lie algebra, we obtain $\mathfrak{g}_1 \otimes_{\mathbb{R}} \mathbb{C} = \mathfrak{sl}_2\mathbb{C}$, which is the space of 2×2 traceless complex matrices, isomorphic to $\mathbb{C}^3 \cong \mathbb{R}^6$. So \mathfrak{g}_1 is a real form of $\mathfrak{sl}_2\mathbb{C}$; note that $SL(2, \mathbb{R})$ is a non-compact group.

Consider $\mathfrak{g}_2 = \mathfrak{su}_2$, which is the space of anti-hermitian 2×2 traceless matrices. If we take $\mathfrak{g}_2 \oplus i\mathfrak{g}_2$, we get both anti-hermitian and hermitian traceless matrices, i.e. all complex traceless matrices. Indeed, this is just $\mathfrak{sl}_2\mathbb{C}$ and hence \mathfrak{g}_2 is a real form of $SL(2, \mathbb{C})$. This is not isomorphic to \mathfrak{g}_1 ! Since $SU(2)$ is compact, \mathfrak{g}_2 is the “compact real form.”

Example 3. Note that $\mathfrak{so}_3 \otimes_{\mathbb{R}} \mathbb{C} \cong \mathfrak{sl}_2\mathbb{C}$. Indeed, we can define complex orthogonal groups, such as $SO(3, \mathbb{C})$, that are the transformations of \mathbb{C}^3 that preserve $\langle \vec{z}, \vec{w} \rangle = z_i w^i$ (this is definitely not positive-definite!). Special to the dimension 3 is the fact that $SO(3, \mathbb{C}) \cong SL(2, \mathbb{C})$ (up to double cover issues). In general, we can define $SO(n, \mathbb{C})$ that give rise to complex Lie algebras that each have several real forms, including $\mathfrak{so}(p, q)$.

1 Representation Theory

We have already seen the general definition of a representation of a group G and a Lie algebra \mathfrak{g} . The fundamental theorem of Lie theory tells us that finite-dimensional representations of \mathfrak{g} live to finite-dimensional representations of G .

Example 4. In this class we will almost always be discussing representations over \mathbb{C} , i.e. $V = \mathbb{C}$.

- (i) We can always consider the trivial representation (π, \mathbb{C}) such that $\pi(g) = 1$;
- (ii) For matrix groups G , we can construct the defining representation where π is simply the inclusion map $G \subset GL(n, \mathbb{C})$;
- (iii) The Adjoint and adjoint representations $(\text{Ad}, \mathfrak{g})$ and $(\text{ad}, \mathfrak{g})$;
- (iv) If G acts on M , we can define $(\pi, \text{Hom}(M; \mathbb{C}))$ such that $(\pi(g)f)(m) = f(g^{-1}m)$. Typically this yields an infinite-dimensional space;
- (v) $SU(2)$ acts on \mathbb{C}^2 (by the defining representation) so we can consider $\mathbb{C}[z_1, z_2]$: define $(\pi(g)f)(z_1, z_2) = f(g^{-1} \cdot (z_1, z_2))$. Note that this action preserves homogeneous polynomials of degree n and hence, by restriction, we have a representation of $SU(2)$ of dimension $n + 1$;
- (vi) The group comes equipped with left and right actions on itself which yield the left and right regular representations: for the left case, we have $(\pi_L, \text{Hom}(G; \mathbb{C}))$ such that $(\pi_L(g)f)(g) = f(g^{-1}g_0)$. For a Lie group, this will be infinite-dimensional;

Definition 7. Given two representations V_1, V_2 of G , we define $\text{Hom}_G(V_1, V_2)$ to be the vector space of all **equivariant** or **intertwining** maps from V_1 to V_2 . In other words, these are maps F such that the following diagram commutes:

$$\begin{array}{ccc} V_1 & \xrightarrow{F} & V_2 \\ \pi_1(g) \downarrow & & \downarrow \pi_2(g) \\ V_1 & \xrightarrow{F} & V_2 \end{array}$$

Another way to think about these maps is to note that $\text{Hom}_G(V_1, V_2) = \text{Hom}_{\mathbb{C}}(V_1, V_2)^G$, i.e. complex vector space morphisms invariant under the action of G .

is this obvious

Remark. Representations of a group G form a category **Rep**(G) with representations (the vector spaces) as the objects and equivariant maps as morphisms.

Definition 8. Given two representations $(\pi_1, V_1), (\pi_2, V_2)$ of G , we can define a new, **direct sum representation**, denoted by $(\pi_3, V_1 \oplus V_2)$ in the obvious way. The same holds for Lie algebras.

Hence it's fairly easy to construct larger representations; what is substantially more difficult is to *break down* representations in some non-trivial way.

Definition 9. A **subrepresentation** (π_W, W) of (π, V) is a representation on $W \leq V$ such that W is invariant under the action of G , i.e. if $w \in W$ then $\pi(g)w \in W$ for all $g \in G$. Similarly for Lie algebras.

Definition 10. A representation is **irreducible** if it has no non-trivial (not zero or the full space) subrepresentations, and **reducible** otherwise. A representation is called **completely reducible** if it is can be written as a direct sum of irreducible subrepresentations, i.e. $(\pi, V) = \bigoplus_I (\pi_i, V_i)$ where (π_i, V_i) are irreducible.

In general, then, we have two distinct problems - the first is computing irreducible representations, and the second is decomposing representations into their irreducible subrepresentations. Note that there is a slight subtlety in that we can have representations that are reducible but not completely reducible, as the following example depicts.

Example 5. Consider the upper triangular matrices $B \leq GL(2, \mathbb{R})$ acting on \mathbb{R}^2 (in the defining representation). It is a simple computation to check that the x -axis, $V_1 = \mathbb{R}$, is invariant under this action (it is dilated). We would now like to say that $V = \mathbb{R}^2 = V_1 \oplus V_2$, for some V_2 complementary to V_1 - but no such invariant subspace exists!

As another example, consider $G = \mathbb{R}$. We can obtain representations $\pi(t) = e^{tA}$ for $A \in M_{n \times n}$. This representation is completely reducible only if A is diagonalizable.

Such pathologies do not occur, for example, when the action preserves an inner product. Indeed, under the action of an orthogonal group, given any invariant subspace we can simply find the orthogonal complement as an invariant subspace.

What is $\text{Hom}_G(V, V)$? First for V irreducible we claim that $\text{Hom}_G(V, V) = \mathbb{C}$. To prove this we need to show that if F is a linear map $V \rightarrow V$, commuting with $\pi(g)$ for all g then V irreducible implies $F = \lambda I$. Let λ be an eigenvalue of F . Consider λ -eigenspace $V_\lambda \subset V : Fv = \lambda v$. Claim: V_λ is a subrepresentation (because F commutes with all π): $F(\pi(g)v) = \pi(g)Fv = \lambda\pi(g)v$.

what happened here?

Lemma 2 (Schur's lemma). *If V is an irreducible representation of G , then $\text{Hom}_G(V, V) = \mathbb{C}$. In words, every linear map $F : V \rightarrow V$ commuting with all $\pi(g)$ is λI for $\lambda \in \mathbb{C}$.*

Definition 11. We say that two representations are **equivalent**, $V_1 \approx V_2$, if there exists an morphism $M : V_2 \rightarrow V_1$ such that $\pi_1(g) = M\pi_2(g)M^{-1}$ for all $g \in G$.

Lemma 3. *If V_1, V_2 are both irreducible and not equivalent, then $\text{Hom}_G(V_1, V_2) = 0$.*

Proof. Consider such an $F : V_1 \rightarrow V_2$. Since F commutes with the group action, $\ker F \subset V_1$ is a subrepresentation and $\text{Im } F \subset V_2$ is a subrepresentation. By irreducibility, we see that $\ker F$ and $\text{Im } F$ are either 0 and V_1 or 0 and V_2 respectively. Hence the only possibility for F is 0. \square

More generally, suppose that $V = \bigoplus_{i \in \text{Irr}} n_i \cdot V_i$, i.e. we can completely split into irreducibles. Then n_i is called the **multiplicity** of V_i . We can now write

$$\begin{aligned} \text{Hom}_G(V, V) &= \bigoplus_{i \in \text{Irr}} \text{Hom}_G(n_i \cdot V_i, n_i \cdot V_i) \\ &= \bigoplus_{i \in \text{Irr}} \text{End } \mathbb{C}^{n_i}. \end{aligned}$$

Corollary 4. *All irreducible representations of G are one-dimensional if G is commutative.*

Proof. If V is irreducible then all $\pi(g)$ commute with the action of G , i.e. for all $\pi(g_0)$ (for $g_0 \in G$), we have that $\pi(g)\pi(g_0) = \pi(g_0)\pi(g)$. Schur's lemma now implies that $\pi(g) = \lambda_g$ for some $\lambda_g \in \mathbb{C}^\times$. \square

Example 6. Consider $G = U(1)$: irreducible representations are $\pi_n(e^{i\theta}) = e^{in\theta}$ for $n \in \mathbb{Z}$. For $G = \mathbb{R}$, on the other hand, irreducible representations are $\pi_k(x) = e^{ikx}$ for $k \in \mathbb{R}$.

Definition 12. Given V_1, V_2 representations of G , we can construct a **tensor product representation** on $V_1 \otimes V_2$ by defining in the obvious way

$$\pi(g)(v_1 \otimes v_2) = \pi_1(g)v_1 \otimes \pi_2(g)(v_2),$$

for $v_1 \in V_1$ and $v_2 \in V_2$.

This raises a big question: how does $V_1 \otimes V_2$ decompose into irreducibles? For V_1, V_2 irreducible, $V_1 \otimes V_2 = \bigoplus_i n_i \cdot V_i$; what are the n_i ?

Definition 13. For a Lie algebra \mathfrak{g} , given two representations V_1, V_2 , we can construct a **tensor product representation** $V_1 \otimes V_2$

$$\phi(X) = \phi_1 \otimes 1 + 1 \otimes \phi_2(X),$$

which follows from the derivative of the tensor product representation at the group level.

Definition 14. Given V_1, V_2 representations of G , we can construct a representation on $\text{Hom}_{\mathbb{C}}(V_1, V_2)$ given by

$$\pi(g)(L) = \pi_2(g)L\pi_1(g^{-1}),$$

for some $L \in \text{Hom}_{\mathbb{C}}(V_1, V_2)$. In the special case that V_2 is the trivial representation $V_2 = \mathbb{C}$, then we have a representation on $V^* = \text{Hom}(V_1, \mathbb{C})$ given by the inverse transpose

$$\pi(g)(L) = L\pi_1(g)^{-1}.$$

This is the dual representation.

Definition 15. We say that a representation of G , (π, V) , is **unitary** if $\pi(g) \in U(n)$ for all $g \in G$, i.e. all $\pi(g)$ preserve the standard Hermitian form. At the Lie algebra level, we say that a representation (ϕ, V) is **unitary** if $\phi(X) = -\phi(X)^\dagger$.

From now on, let us focus on finite-dimensional representations.

Theorem 5. *Unitary representations are completely reducible.*

Proof. If $V_1 \subset V$ is a subrepresentation, then we claim that V_1^\perp is also a subrepresentation. If V_2 is a subrepresentation then $V = V_1 \oplus V_2$, which is a sum of subrepresentations, and we can continue this process until we are left with irreducibles. Now let us prove the claim. Note that V_1^\perp is preserved by $\pi(g)$ because

$$0 = \langle \pi(g)v, v_1 \rangle = \langle (\pi(g)^{-1}\pi(g)v, \pi(g)^{-1}v_1) \rangle = \langle v, v_1' \rangle$$

for some $v_1' \in V_1$. This implies that $v \in V_1^\perp$ implies that $\pi(g)v \in V_1^\perp$, as desired. \square

Corollary 6. *All representations of a finite group G are completely reducible.*

Proof. It suffices to show that there exists a G -invariant Hermitian inner product on V . Start with any Hermitian inner product $B(\cdot, \cdot)$ on V . Then we define

$$\langle \cdot, \cdot \rangle = \frac{1}{|G|} \sum_{g \in G} B(\pi(g)\cdot, \pi(g)\cdot).$$

Then it's clear that

$$\langle \pi(u)v, \pi(u)w \rangle = \frac{1}{|G|} \sum_{g \in G} B(\pi(g)\pi(u)v, \pi(g)\pi(u)w) = \frac{1}{|G|} \sum_{g \in G} B(\pi(g)v, \pi(g)w)$$

by the homomorphism property and relabeling, and we are done. \square

This “averaging trick” is quite powerful, and can in fact be extended to compact Lie groups, as we shall see shortly.

Definition 16. If (π, V) is a representation of G , its **character** is a function on G given by

$$\chi_V(g) = \text{tr } \pi(g).$$

Note that χ_V is in fact a function on the conjugacy classes of G . Additionally, it is easy to see that

$$\chi_{V_1 \oplus V_2} = \chi_{V_1} + \chi_{V_2}$$

$$\chi_{V_1 \otimes V_2} = \chi_{V_1} \cdot \chi_{V_2}$$

$$\chi_{(V_1, \mathbb{C})} = 1$$

Definition 17. The **representation ring** $R(G)$ of a group G is the ring with elements equivalence classes of representations $[V]$ with $[V_1] + [V_2] = [V_1 \oplus V_2]$ and $[V_1][V_2] = [V_1 \otimes V_2]$. The inverses for the additive operation are given by “formal differences” with the equivalence relation $([V_1], [V_2]) \sim ([V_1] + [V], [V_2] + [V])$.

Lemma 7. *The complexified representation ring is precisely the functions on G invariant on G : $R(G) \otimes_{\mathbb{Z}} \mathbb{C} = \text{Fun}(G)^G$.*

Let us now consider how to construct irreducible representations of finite; we will start by using functions on the group, i.e. the regular representation. Later we will generalize this compact groups.