

## Model and Program Repair via SAT Solving

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We consider the *subtractive model repair problem*: given a finite Kripke structure  $M$  and a CTL formula  $\eta$ , determine if  $M$  contains a substructure  $M'$  that satisfies  $\eta$ . Thus,  $M$  can be “repaired” to satisfy  $\eta$  by deleting some transitions and states. We map an instance  $\langle M, \eta \rangle$  of model repair to a boolean formula  $\text{repair}(M, \eta)$  such that  $\langle M, \eta \rangle$  has a solution iff  $\text{repair}(M, \eta)$  is satisfiable. Furthermore, a satisfying assignment determines which states and transitions must be removed from  $M$  to yield a model  $M'$  of  $\eta$ . Thus, we can use any SAT solver to repair Kripke structures. Using a complete SAT solver yields a complete algorithm: it always finds a repair if one exists. We also show that CTL model repair is NP-complete. We extend the basic repair method in three directions: (1) the use of abstraction mappings, i.e., repair a structure abstracted from  $M$  and then concretize the resulting repair to obtain a repair of  $M$ , (2) repair concurrent Kripke structures and concurrent programs: we use the pairwise method of Attie and Emerson to represent and repair the behavior of a concurrent program, as a set of “concurrent Kripke structures”, with only a quadratic increase in the size of the repair formula, and (3) repair hierarchical Kripke structures: we use a CTL formula to summarize the behavior of each “box”, and CTL deduction to relate the box formula with the overall specification.

**CCS Concepts:** •Software and its engineering → Model checking; State systems; Model-driven software engineering; Correctness;

**General Terms:** Design, Theory, Verification

**Additional Key Words and Phrases:** Model repair, Program repair, Model checking, Temporal logic

**ACM Reference Format:**

Paul C. Attie, Kinan Dak Al Bab, and Mouhammad Sakr, 2015. Model and Program Repair via SAT Solving *ACM Trans. Embedd. Comput. Syst.* 9, 4, Article 39 (March 2010), 25 pages.

*ttdoi*: 0000001.0000001

### 1. INTRODUCTION AND MOTIVATION

Temporal logic model checking [Clarke et al. 1986] is an automatic technique for verifying the functional correctness of hardware and software: given (1) a finite state-transition diagram  $M$  (“Kripke structure”) describing the behavior of the hardware/software artifact in question, and (2) a formula  $\eta$ , written in temporal logic, which specifies a required behavioral property, a model checking algorithm determines whether  $M$  satisfies  $\eta$ , i.e., whether the artifact behaves as required. For example,  $M$  may describe the behavior of a resource controller that allocates resources among a competing set of processes, while  $\eta$  requires that (1) no resource is allocated to two processes at once, and (2) every request is eventually granted. Model checking has achieved significant industrial application in both hardware and software verification [Clarke et al. 2009]. An important issue in practice is what to do when  $M$  does not satisfy  $\eta$ ? An initial answer was to produce a *counterexample* [Clarke and Veith 2003], which shows a behavior violating  $\eta$ . The designer then uses this to manually modify the software/hardware to eliminate the counterexample as a possible behavior, and

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*ttdoi*: 0000001.0000001

then attempts model checking again. This is repeated until the model check succeeds. A natural question is to attempt to automate this as much as possible, so as to speed up the verify-diagnose-repair cycle used in software and hardware design. This lead to the problem of *model repair*, introduced in Buccafurri et al. [1999], as a natural extension of model checking: given a Kripke structure  $M$  and a formula  $\eta$  such that  $M$  does not satisfy  $\eta$ , is there a way of modifying  $M$  to produce some  $M'$  that does satisfy  $\eta$ ?

This paper considers *subtractive model repair*: given  $M$  and  $\eta$ , is there a substructure  $M'$  of  $M$  such that  $M'$  satisfies  $\eta$ ? We use the propositional branching-time temporal logic CTL [Emerson and Clarke 1982] to express the formula  $\eta$ , as do many other approaches to model repair [Buccafurri et al. 1999; Carrillo and Rosenblueth 2009; Chatzileftheriou et al. 2012; Zhang and Ding 2008]. Our algorithm computes (in deterministic polynomial time in the sizes of  $M$  and  $\eta$ ) a propositional formula  $repair(M, \eta)$  such that  $repair(M, \eta)$  is satisfiable iff  $M$  contains a substructure  $M'$  that satisfies  $\eta$ . We submit  $repair(M, \eta)$  to a boolean satisfiability solver (“SAT solver”), and a satisfying assignment for  $repair(M, \eta)$ , if it exists, determines which transitions and states must be removed from  $M$  to produce  $M'$ . Thus, a single run of a complete SAT solver is sufficient to find a repair, if one exists. SAT solvers are an active research area, and so our approach leverages current progress in SAT solving. Considering the resource allocation example given above, our algorithm would delete any transition that grants a resource when that resource is already allocated, thereby preventing the resource from being allocated to two processes at once.

We extend our method to use abstraction mappings (i.e., repair an abstract structure and then concretize to obtain a repair of the original structure), to repair hierarchical Kripke structures [Alur and Yannakakis 2001], and to repair concurrent Kripke structures and concurrent programs. This last extension uses the pairwise approach of Attie and Emerson [1998], Attie [1999, 2016], thereby avoiding state-explosion. We also show that the subtractive model repair problem is NP-complete.

We have implemented the repair method as a tool, Eshmun<sup>1</sup>, which we used to produce the examples in this paper. Eshmun is written in Java, uses the javax.swing library for GUI functionality, and SAT4jSolver [Le Berre et al. 2010] to check satisfiability. Eshmun is an interactive GUI tool; it allows users to create a Kripke structure  $M$  by entering states and transitions. Users then enter a CTL formula  $\eta$  and proceed to repair  $M$  w.r.t.  $\eta$ . Eshmun shows a repair by presenting the states/transitions to be deleted using dashed lines. Users can mark a transition/state as non-deletable by checking the retain button. The transition/state is then displayed in bold. Eshmun can also display transitions and the local state of different processes in different colors, and has many other features, including comprehensive documentation. Eshmun implements abstraction, and will show the abstract structure, the repair on the abstract structure, and the concretization of this repair back to the original structure. It also implements repair of concurrent Kripke structures and concurrent programs, and also the CTL decision procedure [Emerson and Clarke 1982], used for checking validity of CTL formulae, which is useful in hierarchical repair. Eshmun can be downloaded from <http://eshmuntutorial.blogspot.com/>.

## 2. PRELIMINARIES: COMPUTATION TREE LOGIC AND KRIPKE STRUCTURES

Let  $AP$  be a set of atomic propositions. The propositional branching-time temporal logic CTL [Emerson 1990; Emerson and Clarke 1982] is given by the following grammar:

$$\varphi ::= \text{true} \mid \text{false} \mid p \mid \neg\varphi \mid \varphi \wedge \varphi \mid \varphi \vee \varphi \mid \mathbf{AX}\varphi \mid \mathbf{EX}\varphi \mid \mathbf{A}[\varphi \mathbf{R} \varphi] \mid \mathbf{E}[\varphi \mathbf{R} \varphi]$$

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<sup>1</sup>Eshmun is the name of the Phoenician god of healing

where  $p \in AP$ , and true, false are constant propositions whose interpretation is the semantic truth values  $tt, ff$  respectively. The semantics of CTL formulae are defined with respect to a Kripke structure.

**Definition 2.1.** A Kripke structure is a tuple  $M = (S_0, S, R, L, AP)$  where  $S$  is a finite state of states,  $S_0 \subseteq S$  is a set of initial states,  $R \subseteq S \times S$  is a transition relation, and  $L : S \mapsto 2^{AP}$  is a labeling function that associates each state  $s \in S$  with a subset of atomic propositions, namely those that hold in the state. State  $t$  is a successor of state  $s$  in  $M$  iff  $(s, t) \in R$ .

We require that a Kripke structure  $M = (S_0, S, R, L, AP)$  be total, i.e.,  $\forall s \in S, \exists s' \in S : (s, s') \in R$ . A path in  $M$  is a (finite or infinite) sequence of states,  $\pi = s_0, s_1, \dots$  such that  $\forall i \geq 0 : (s_i, s_{i+1}) \in R$ . A fullpath is an infinite path. State  $t$  is reachable from state  $s$  iff there is a path from  $s$  to  $t$ . State  $t$  is reachable iff there is a path from an initial state to  $t$ . Without loss of generality, we assume that the Kripke structure  $M$  to be repaired does not contain unreachable states, i.e., every  $s \in S$  is reachable.

A CTL formula  $\varphi$  is evaluated (i.e., is true or false) in a state  $s$  of a Kripke structure  $M$ . Its value in  $s$  depends on the value of its subformulae in states that are reachable from  $s$ , and so we define  $\models$  by induction on the structure of CTL formulae:

**Definition 2.2.**  $M, s \models \varphi$  means that formula  $\varphi$  is true in state  $s$  of structure  $M$ , and  $M, s \not\models \varphi$  means that  $\varphi$  is false in  $s$ .

- (1)  $M, s \models \text{true}$  and  $M, s \not\models \text{false}$
- (2)  $M, s \models p$  iff  $p \in L(s)$  where atomic proposition  $p \in AP$
- (3)  $M, s \models \neg\varphi$  iff  $M, s \not\models \varphi$
- (4)  $M, s \models \varphi \wedge \psi$  iff  $M, s \models \varphi$  and  $M, s \models \psi$
- (5)  $M, s \models \varphi \vee \psi$  iff  $M, s \models \varphi$  or  $M, s \models \psi$
- (6)  $M, s \models \text{AX}\varphi$  iff for all  $t$  such that  $(s, t) \in R : (M, t) \models \varphi$
- (7)  $M, s \models \text{EX}\varphi$  iff there exists  $t$  such that  $(s, t) \in R$  and  $(M, t) \models \varphi$
- (8)  $M, s \models \text{A}[\varphi \mathsf{R} \psi]$  iff for all fullpaths  $\pi = s_0, s_1, \dots$  starting from  $s = s_0$ :  
 $\forall k \geq 0 : (\forall j < k : M, s_j \not\models \varphi) \text{ implies } M, s_k \models \psi$
- (9)  $M, s \models \text{E}[\varphi \mathsf{R} \psi]$  iff for some fullpath  $\pi = s_0, s_1, \dots$  starting from  $s = s_0$ :  
 $\forall k \geq 0 : (\forall j < k : M, s_j \not\models \varphi) \text{ implies } M, s_k \models \psi$

$[\varphi \mathsf{R} \psi]$  ( $\varphi$  “releases”  $\psi$ ) means that  $\psi$  must hold up to and including the first state where  $\varphi$  holds, and forever if  $\varphi$  never holds. We use  $M \models \varphi$  to abbreviate  $\forall s_0 \in S_0 : M, s_0 \models \varphi$ , i.e.,  $\varphi$  holds in all initial states of  $M$ . We introduce the abbreviations  $\text{A}[\phi \mathsf{U} \psi]$  for  $\neg\text{E}[\neg\phi \mathsf{R} \neg\psi]$ ,  $\text{E}[\phi \mathsf{U} \psi]$  for  $\neg\text{A}[\neg\phi \mathsf{R} \neg\psi]$ ,  $\text{AF}\varphi$  for  $\text{A}[\text{true} \mathsf{U} \varphi]$ ,  $\text{EF}\varphi$  for  $\text{E}[\text{true} \mathsf{U} \varphi]$ ,  $\text{AG}\varphi$  for  $\text{A}[\text{false} \mathsf{R} \varphi]$ ,  $\text{EG}\varphi$  for  $\text{E}[\text{false} \mathsf{R} \varphi]$ . We use  $tt, ff$  for the (semantic) truth values of true, false, respectively. Whereas true, false are atomic propositions whose interpretation is always  $tt, ff$ , respectively.

**Definition 2.3 (Formula expansion).** Given a CTL formula  $\varphi$ , its set of subformulae  $\text{sub}(\varphi)$  is defined by induction on the structure of CTL formulae, as follows:

- $\text{sub}(p) = p$  where  $p$  is true, false, or an atomic proposition
- $\text{sub}(\neg\varphi) = \{\neg\varphi\} \cup \text{sub}(\varphi)$
- $\text{sub}(\varphi \wedge \psi) = \{\varphi \wedge \psi\} \cup \text{sub}(\varphi) \cup \text{sub}(\psi)$
- $\text{sub}(\varphi \vee \psi) = \{\varphi \vee \psi\} \cup \text{sub}(\varphi) \cup \text{sub}(\psi)$
- $\text{sub}(\text{AX}\varphi) = \{\text{AX}\varphi\} \cup \text{sub}(\varphi)$
- $\text{sub}(\text{EX}\varphi) = \{\text{EX}\varphi\} \cup \text{sub}(\varphi)$
- $\text{sub}(\text{A}[\varphi \mathsf{R} \psi]) = \{\text{A}[\varphi \mathsf{R} \psi]\} \cup \text{sub}(\varphi) \cup \text{sub}(\psi)$
- $\text{sub}(\text{E}[\varphi \mathsf{R} \psi]) = \{\text{E}[\varphi \mathsf{R} \psi]\} \cup \text{sub}(\varphi) \cup \text{sub}(\psi)$

### 3. THE MODEL REPAIR PROBLEM

Given Kripke structure  $M$  and a CTL formula  $\eta$ , we consider the problem of removing parts of  $M$ , resulting in a substructure  $M'$  such that  $M' \models \eta$ .

**Definition 3.1 (Substructure).** Given Kripke structures  $M = (S_0, S, R, L, AP)$  and  $M' = (S'_0, S', R', L', AP')$ , we say that  $M'$  is a *substructure* of  $M$ , denoted  $M' \subseteq M$ , iff  $S'_0 \subseteq S_0$ ,  $S' \subseteq S$ ,  $R' \subseteq R$ ,  $AP' = AP$ , and  $L' = L \upharpoonright S'$  (where  $\upharpoonright$  denotes domain restriction).

**Definition 3.2 (Repairable).** Given Kripke structure  $M$  and CTL formula  $\eta$ , we say that  $M$  is *repairable* with respect to  $\eta$  iff there exists a Kripke structure  $M'$  such that  $M'$  is total,  $M' \subseteq M$ , and  $M' \models \eta$ .

**Definition 3.3 (Model Repair Problem).** We use  $\langle M, \eta \rangle$  to denote the repair problem for Kripke structure  $M$  and CTL formula  $\eta$ . The decision version of  $\langle M, \eta \rangle$  is to decide if  $M$  is repairable w.r.t.  $\eta$ . The functional version of  $\langle M, \eta \rangle$  is to return an  $M'$  that satisfies Def. 3.2, in the case that  $M$  is repairable w.r.t.  $\eta$ .

#### 3.1. Complexity of the Model Repair Problem

**THEOREM 3.4.** *The decision version of the model repair problem is NP-complete.*

**PROOF.** Let  $\langle M, \eta \rangle$  be an arbitrary instance of the CTL model repair problem.

**NP-membership:** Given a candidate solution  $M' = (S'_0, S', R', L', AP')$ , the condition  $M' \subseteq M$  is easily verified in polynomial time.  $M' \models \eta$  is verified in quadratic time using  $|S'_0|$  invocations of the CTL model checking algorithm of Clarke et al. [1986].

**NP-hardness:** We reduce 3SAT to model repair. Let  $f = \bigwedge_{1 \leq i \leq n} (a_i \vee b_i \vee c_i)$  be a Boolean formula in 3CNF, where  $a_i, b_i, c_i$  are literals over some set  $x_1, \dots, x_m$  of propositions, i.e., each of  $a_i, b_i, c_i$  is  $x_j$  or  $\neg x_j$ , for some  $j \in 1 \dots m$ . We reduce  $f$  to  $\langle M, \eta \rangle$  where  $M = (\{s_0\}, S, R, L, AP)$ ,  $S = \{s_0, s_1, \dots, s_m, t_1, \dots, t_m\}$ , and  $R = \{(s_0, s_1), \dots, (s_0, s_m), (s_1, t_1), \dots, (s_m, t_m), (t_1, t_1), \dots, (t_m, t_m)\}$ , i.e., transitions from  $s_0$  to each of  $s_1, \dots, s_m$ , a transition from  $s_i$  to  $t_i$ , and a self-loop on each  $t_i$ , for  $1 \leq i \leq m$ . Also  $AP = \{p_1, \dots, p_m, q_1, \dots, q_m\}$ , and the propositions in  $AP$  are distinct from the  $x_1, \dots, x_m$  used in  $f$ .  $L$  is given by:

- $L(s_0) = \emptyset$
- $L(s_j) = p_j$  where  $1 \leq j \leq m$
- $L(t_j) = q_j$  where  $1 \leq j \leq m$

Also  $\eta = \bigwedge_{1 \leq i \leq n} (\varphi_i^1 \vee \varphi_i^2 \vee \varphi_i^3)$  where:

- if  $a_i = x_j$  then  $\varphi_i^1 = \text{AG}(p_j \Rightarrow \text{EX}q_j)$  and if  $a_i = \neg x_j$  then  $\varphi_i^1 = \text{AG}(p_j \Rightarrow \text{AX}\neg q_j)$
- if  $b_i = x_j$  then  $\varphi_i^2 = \text{AG}(p_j \Rightarrow \text{EX}q_j)$  and if  $b_i = \neg x_j$  then  $\varphi_i^2 = \text{AG}(p_j \Rightarrow \text{AX}\neg q_j)$
- if  $c_i = x_j$  then  $\varphi_i^3 = \text{AG}(p_j \Rightarrow \text{EX}q_j)$  and if  $c_i = \neg x_j$  then  $\varphi_i^3 = \text{AG}(p_j \Rightarrow \text{AX}\neg q_j)$

Thus, if  $a_i = x_i$ , then the transition from  $s_i$  to  $t_i$  (written as  $s_i \rightarrow t_i$ ) must be retained in  $M'$ , and if  $a_i = \neg x_i$ , then transition  $s_i \rightarrow t_i$  must not appear in  $M'$ . It is obvious that the reduction can be computed in polynomial time. It remains to show that  $f$  is satisfiable iff  $(M, \eta)$  can be repaired. The proof is by double implication.

*f is satisfiable implies that  $\langle M, \eta \rangle$  has a solution:* Let  $\mathcal{V} : \{x_1, \dots, x_m\} \mapsto \{\text{tt}, \text{ff}\}$  be a satisfying truth assignment for  $f$ . Define  $R'$  as follows.  $R' = \{(s_0, s_i), (s_i, s_i), (t_i, t_i) \mid 1 \leq i \leq m\} \cup \{(s_i, t_i) \mid \mathcal{V}(x_i) = \text{tt}\}$ , i.e., the transition  $s_i \rightarrow t_i$  is present in  $M'$  if  $\mathcal{V}(x_i) = \text{tt}$  and  $s_i \rightarrow t_i$  is deleted in  $M'$  if  $\mathcal{V}(x_i) = \text{ff}$ . We show that  $M', s_0 \models \eta$ . Since  $\mathcal{V}$  is a satisfying assignment, we have  $\bigwedge_{1 \leq i \leq n} (\mathcal{V}(a_i) \vee \mathcal{V}(b_i) \vee \mathcal{V}(c_i))$ . Without loss of generality, assume that  $\mathcal{V}(a_i) = \text{tt}$  (similar argument for  $\mathcal{V}(b_i) = \text{tt}$  and  $\mathcal{V}(c_i) = \text{tt}$ ). We

have two cases. Case 1 is  $a_i = x_j$ . Then  $\mathcal{V}(x_j) = tt$ , so  $(s_j, t_j) \in R'$ . Also since  $a_i = x_j$ ,  $\varphi_i^1 = AG(p_j \Rightarrow EXq_j)$ . Since  $(s_j, t_j) \in R'$ ,  $M', s_0 \models \varphi_i^1$ . Hence  $M', s_0 \models \eta$ . Case 2 is  $a_i = \neg x_j$ . Then  $\mathcal{V}(x_j) = ff$ , so  $(s_j, t_j) \notin R'$ . Also since  $a_i = \neg x_j$ ,  $\varphi_i^1 = AG(p_j \Rightarrow AX\neg q_j)$ . Since  $(s_j, t_j) \notin R'$ ,  $M', s_0 \models \varphi_i^1$ . Hence  $M', s_0 \models \eta$ .

*f is satisfiable follows from  $\langle M, \eta \rangle$  has a solution:* Let  $M' = (s_0, S', R', L', AP')$  be such that  $M' \subseteq M$ ,  $M', s_0 \models \eta$ . We define a truth assignment  $\mathcal{V}$  as follows:  $\mathcal{V}(x_j) = tt$  iff  $(s_j, t_j) \in R'$ . We show that  $\mathcal{V}(f) = tt$ , i.e.,  $\mathcal{V}(a_i) \vee \mathcal{V}(b_i) \vee \mathcal{V}(c_i)$  for all  $i = 1 \dots n$ . Since  $M, s_0 \models \eta$  we have  $M, s_0 \models \varphi_i^1 \vee \varphi_i^2 \vee \varphi_i^3$  for all  $i = 1 \dots n$ . Without loss of generality, suppose that  $M, s_0 \models \varphi_i^1$  (similar argument for  $M, s_0 \models \varphi_i^2$  and  $M, s_0 \models \varphi_i^3$ ). We have two cases. Case 1 is  $a_i = x_j$ . Then  $\varphi_i^1 = AG(p_j \Rightarrow EXq_j)$ . Since  $M', s_0 \models \varphi_i^1$ , we must have  $(s_j, t_j) \in R'$ . Hence  $\mathcal{V}(x_j) = tt$  by definition of  $\mathcal{V}$ . Therefore  $\mathcal{V}(a_i) = tt$ . Hence  $\mathcal{V}(a_i) \vee \mathcal{V}(b_i) \vee \mathcal{V}(c_i)$ , and so  $\mathcal{V}(f) = tt$ . Case 2 is  $a_i = \neg x_j$ . Then  $\varphi_i^1 = AG(p_j \Rightarrow AX\neg q_j)$ . Since  $M', s_0 \models \varphi_i^1$ , we must have  $(s_j, t_j) \notin R'$ . Hence  $\mathcal{V}(x_j) = ff$ . Therefore  $\mathcal{V}(a_i) = tt$ . Hence  $\mathcal{V}(a_i) \vee \mathcal{V}(b_i) \vee \mathcal{V}(c_i)$ , and so  $\mathcal{V}(f) = tt$ .  $\square$

#### 4. THE MODEL REPAIR ALGORITHM

Given an instance  $\langle M, \eta \rangle$  of model repair, where  $M = (S_0, S, R, L, AP)$  and  $\eta$  is a CTL formula, we define a boolean formula  $repair(M, \eta)$  such that  $repair(M, \eta)$  is satisfiable iff  $\langle M, \eta \rangle$  has a solution.  $repair(M, \eta)$  contains the following propositions, which have the indicated meanings, where  $\mathcal{V}$  is a satisfying boolean assignment for  $repair(M, \eta)$ , and  $M'$  is the repaired structure. The subscripts indicate dependence, e.g., one  $E_{s,t}$  variable per transition  $(s, t)$ , one  $X_s$  per state  $s$ , etc.

- (1)  $E_{s,t}$ ,  $(s, t) \in R$ : transition  $(s, t)$  is retained in  $M'$  iff  $\mathcal{V}(E_{s,t}) = tt$
- (2)  $X_s$ ,  $s \in S$ : state  $s$  is retained in  $M'$  iff  $\mathcal{V}(X_s) = tt$
- (3)  $X_{s,\psi}$ ,  $s \in S$ ,  $\psi \in sub(\eta)$ :  $M', s \models \psi$ , i.e.,  $\psi$  holds in state  $s$  of  $M'$  iff  $\mathcal{V}(X_{s,\psi}) = tt$
- (4)  $X_{s,\psi}^n$ :  $s \in S$ ,  $0 \leq n \leq |S|$ , and  $\psi \in sub(\eta)$  has the form  $A[\varphi R \varphi']$  or  $E[\varphi R \varphi']$ :  $X_{s,\psi}^n$  is used to propagate release formulae (AR or ER) for as long as necessary to determine their truth, i.e.,  $|S|$  times.

The repair formula  $repair(M, \eta)$  encodes the usual local constraints, e.g.,  $AX\varphi$  holds in  $s$  iff  $\varphi$  holds in all successors of  $s$ , i.e., all  $t$  such that  $(s, t) \in R$ . We modify these however, to account for transition deletion. So, the local constraint for  $AX$  becomes  $AX\varphi$  holds in  $s$  iff  $\varphi$  holds in all successors of  $s$  after transition deletion, i.e., instead of  $X_{s,AX\varphi} \equiv \bigwedge_{t|s \rightarrow t} X_{t,\varphi}$ , we have  $X_{s,AX\varphi} \equiv \bigwedge_{t|s \rightarrow t} (E_{s,t} \Rightarrow X_{t,\varphi})$ , where  $s \rightarrow t$  abbreviates  $(s, t) \in R$ .  $EX$  is treated similarly. AR, ER are dealt with using a “counting” technique, discussed below. There are also clauses for propositional consistency, propositional labeling, initial states, and to require that the repaired structure  $M'$  is total.

*Definition 4.1 ( $repair(M, \eta)$ ):* Let  $\eta$  be a CTL formula and  $M = (S_0, S, R, L, AP)$  be a Kripke structure.  $repair(M, \eta)$  is the conjunction of all the propositional formulae listed below. These are grouped into sections, where each section deals with one issue, e.g., propositional consistency.  $s, t$  implicitly range over  $S$ . Other ranges are explicitly given.

- 1) some initial state  $s_0$  is not deleted  
 $\bigvee_{s_0 \in S_0} X_{s_0}$
- 2)  $M'$  satisfies  $\eta$ , i.e., undeleted initial states satisfy  $\eta$   
for all  $s_0 \in S_0 : X_{s_0} \Rightarrow X_{s_0, \eta}$
- 3)  $M'$  is total, i.e., each retained state has at least one outgoing transition, to some other retained state  
for all  $s \in S : X_s \equiv \bigvee_{t|s \rightarrow t} (E_{s,t} \wedge X_t)$
- 4) If an edge is retained then both its source and target states are retained

- for all  $(s, t) \in R : E_{s,t} \Rightarrow (X_s \wedge X_t)$
- 5) Propositional labeling
  - for all  $p \in AP \cap L(s) : X_{s,p}$
  - for all  $p \in AP - L(s) : \neg X_{s,p}$
- 6) Propositional consistency
  - for all  $\neg\varphi \in sub(\eta) : X_{s,\neg\varphi} \equiv \neg X_{s,\varphi}$
  - for all  $\varphi \vee \psi \in sub(\eta) : X_{s,\varphi \vee \psi} \equiv X_{s,\varphi} \vee X_{s,\psi}$
  - for all  $\varphi \wedge \psi \in sub(\eta) : X_{s,\varphi \wedge \psi} \equiv X_{s,\varphi} \wedge X_{s,\psi}$
- 7) Nexttime formulae
  - for all  $\mathbf{AX}\varphi \in sub(\eta) : X_{s,\mathbf{AX}\varphi} \equiv \bigwedge_{t|s \rightarrow t} (E_{s,t} \Rightarrow X_{t,\varphi})$
  - for all  $\mathbf{EX}\varphi \in sub(\eta) : X_{s,\mathbf{EX}\varphi} \equiv \bigvee_{t|s \rightarrow t} (E_{s,t} \wedge X_{t,\varphi})$
- 8) Release formulae. Let  $n = |S|$ , i.e., the number of states in  $M$ .
  - for all  $\mathbf{A}[\varphi \mathbf{R} \psi] \in sub(\eta), m \in \{1 \dots n\} :$ 
    - $X_{s,\mathbf{A}[\varphi \mathbf{R} \psi]} \equiv X_{s,\mathbf{A}[\varphi \mathbf{R} \psi]}^n$
    - $X_{s,\mathbf{A}[\varphi \mathbf{R} \psi]}^m \equiv X_{s,\psi} \wedge (X_{s,\varphi} \vee \bigwedge_{t|s \rightarrow t} (E_{s,t} \Rightarrow X_{t,\mathbf{A}[\varphi \mathbf{R} \psi]}^{m-1}))$
    - $X_{s,\mathbf{A}[\varphi \mathbf{R} \psi]}^0 \equiv X_{s,\psi}$
  - for all  $\mathbf{E}[\varphi \mathbf{R} \psi] \in sub(\eta), m \in \{1 \dots n\} :$ 
    - $X_{s,\mathbf{E}[\varphi \mathbf{R} \psi]} \equiv X_{s,\mathbf{E}[\varphi \mathbf{R} \psi]}^n$
    - $X_{s,\mathbf{E}[\varphi \mathbf{R} \psi]}^m \equiv X_{s,\psi} \wedge (X_{s,\varphi} \vee \bigvee_{t|s \rightarrow t} (E_{s,t} \wedge X_{t,\mathbf{E}[\varphi \mathbf{R} \psi]}^{m-1}))$
    - $X_{s,\mathbf{E}[\varphi \mathbf{R} \psi]}^0 \equiv X_{s,\psi}$

We handle the  $[\varphi \mathbf{R} \psi]$  modality by “counting down”, as follows. Along each path, either (1) a state is reached where  $[\varphi \mathbf{R} \psi]$  is discharged ( $\varphi \wedge \psi$ ), or (2)  $[\varphi \mathbf{R} \psi]$  is shown to be false ( $\neg\varphi \wedge \neg\psi$ ), or (3) some state eventually repeats. In case (3), we know that  $[\varphi \mathbf{R} \psi]$  also holds along this path. Thus, by expanding the release modality up to  $|S|$  times, we ensure that the third case holds if the first two have not yet resolved the truth of  $[\varphi \mathbf{R} \psi]$  along the path in question. We therefore use a version of  $X_{s,\mathbf{A}[\varphi \mathbf{R} \psi]}$  that is superscripted with an integer between 0 and  $|S|$ . This imposes a “well foundedness” on the  $X_{s,\mathbf{A}[\varphi \mathbf{R} \psi]}$  propositions, and prevents, for example, a cycle along which  $\psi$  holds in all states and yet the  $X_{s,\mathbf{A}[\varphi \mathbf{R} \psi]}$  are assigned false in all states.

States rendered unreachable are still required to have some outgoing transition, but this does not affect the final result, since unreachable states do not affect reachable ones. Hence an unreachable state can retain its successors (recall that the initial structure  $M$  is total) without impacting the repair.

A satisfying assignment  $\mathcal{V}$  of  $repair(M, \eta)$  defines a single solution to model repair. Denote this solution by  $model(M, \mathcal{V})$ . It is as follows.

**Definition 4.2** ( $model(M, \mathcal{V})$ ).  $model(M, \mathcal{V})$  is the Kripke structure  $(S'_0, S', R', L', AP')$ , where  $S'_0 = \{s_0 \mid s_0 \in S_0 \wedge \mathcal{V}(X_{s_0}) = tt\}$ ,  $S' = \{s \mid s \in S \wedge \mathcal{V}(X_s) = tt\}$ ,  $R' = \{(s, t) \mid (s, t) \in R \wedge \mathcal{V}(E_{s,t}) = tt\}$ ,  $L' = L \upharpoonright S'$ , and  $AP' = AP$ .

Fig. 1 presents our model repair algorithm,  $Repair(M, \eta)$ , which we show is sound, and complete provided that a complete SAT-solver is used. We also show that  $repair(M, \eta)$  has length polynomial in the sizes of  $M$  and  $\eta$ , and so can be constructed in polynomial time. Note that we use different fonts to indicate the repair algorithm  $Repair(M, \eta)$ , as opposed to the repair formula  $repair(M, \eta)$ .

**PROPOSITION 4.3.** *The length of  $repair(M, \eta)$  is  $O(|S|^2 \times |\eta| \times d + |S| \times |AP| + |R|)$ , where  $|S|$  is the number of states in  $S$ ,  $|R|$  is the number of transitions in  $R$ ,  $|\eta|$  is the length of  $\eta$ ,  $|AP|$  is the number of atomic propositions in  $AP$ , and  $d$  is the outdegree of  $M$ , i.e., the maximum of the number of successors that any state in  $M$  has.*

PROOF.  $\text{repair}(M, \eta)$  is the conjunction of Clauses 1–8 of Def. 4.1. We analyze the length of each clause in turn:

- (1) Clause 1:  $O(|S|)$ , since we have the term  $X_{s_0}$  for each  $s_0 \in S_0$ , and  $S_0 \subseteq S$ .
- (2) Clause 2:  $O(|S|)$ , since we have the term  $X_{s_0} \Rightarrow X_{s_0, \eta}$  for each  $s \in S$ .
- (3) Clause 3:  $O(|S| \times d)$ , since we have the term  $X_s \equiv \bigvee_{t|s \rightarrow t} (E_{s,t} \wedge X_t)$  for each  $s \in S$ .
- (4) Clause 4:  $O(|R|)$ , since we have the term  $E_{s,t} \Rightarrow (X_s \wedge X_t)$  for each  $(s,t) \in R$ .
- (5) Clause 5:  $O(|S| \times |AP|)$ , since we have either  $X_{s,p}$  or  $\neg X_{s,p}$  for each  $s \in S$  and  $p \in AP$
- (6) Clause 6:  $O(|S| \times |\eta|)$ , since we have one of  $X_{s,\neg\varphi} \equiv \neg X_{s,\varphi}$ ,  $X_{s,\varphi \vee \psi} \equiv X_{s,\varphi} \vee X_{s,\psi}$ ,  $X_{s,\varphi \wedge \psi} \equiv X_{s,\varphi} \wedge X_{s,\psi}$  for each  $s \in S$  and each formula in  $\text{sub}(\eta)$  whose main operator is propositional, and  $|\text{sub}(\eta)|$  is in  $O(|\eta|)$ .
- (7) Clause 7  $O(|S| \times |\eta| \times d)$ , since we have a term of size  $O(d)$ , namely either  $X_{s,\text{AX}\varphi} \equiv \bigwedge_{t|s \rightarrow t} (E_{s,t} \Rightarrow X_{t,\varphi})$  or  $X_{s,\text{EX}\varphi} \equiv \bigvee_{t|s \rightarrow t} (E_{s,t} \wedge X_{t,\varphi})$ , for each formula in  $\text{sub}(\eta)$  whose main operator is either AX or EX.
- (8) Clause 8  $O(|S|^2 \times |\eta| \times d)$ , since the second  $|S|$  term is due to the superscripts  $m \in \{1, \dots, |S|\}$ . Each of these formulae has length  $O(d)$ . The sum of lengths of all these formulae is  $O(|S|^2 \times |\eta| \times d)$ .

Summing all of the above, we obtain that the overall length of  $\text{repair}(M, \varphi)$  is  $O(|S|^2 \times |\eta| \times d + |S| \times |AP| + |R|)$ .  $\square$

**THEOREM 4.4 (SOUNDNESS).** Let  $M = (S_0, S, R, L, AP)$  be a Kripke structure,  $\eta$  a CTL formula, and  $n = |S|$ . Suppose that  $\text{repair}(M, \eta)$  is satisfiable and that  $\mathcal{V}$  is a satisfying truth assignment for it. Let  $M' = (S'_0, S', R', L', AP') = \text{model}(M, \mathcal{V})$ . Then for all reachable states  $s \in S'$  and CTL formulae  $\xi \in \text{sub}(\eta)$ :  $\mathcal{V}(X_{s,\xi}) = tt$  iff  $M', s \models \xi$

PROOF. We proceed by induction on the structure of  $\xi$ . We sometimes write  $\mathcal{V}(X_{s,\xi})$  instead of  $\mathcal{V}(X_{s,\xi}) = tt$  and  $\neg \mathcal{V}(X_{s,\xi})$  instead of  $\mathcal{V}(X_{s,\xi}) = ff$ .

Case  $\xi = p$  where  $p \in AP$ :  $\mathcal{V}(X_{s,\xi}) = tt$  iff (case condition)  $\mathcal{V}(X_{s,p}) = tt$  iff (Def. 4.1 Clause 5)  $p \in L(s)$  iff (CTL semantics)  $M', s \models p$  iff (case condition)  $M', s \models \xi$ .

Case  $\xi = \neg\varphi$ :  $\mathcal{V}(X_{s,\xi}) = tt$  iff (case condition)  $\mathcal{V}(X_{s,\neg\varphi}) = tt$  iff (Def. 4.1 Clause 6)  $\mathcal{V}(X_{s,\varphi}) = ff$  iff (induction hypothesis)  $\text{not}(M', s \models \varphi)$  iff (CTL semantics)  $M', s \models \neg\varphi$  iff (case condition)  $M', s \models \xi$ .

Case  $\xi = \varphi \vee \psi$ :  $\mathcal{V}(X_{s,\xi}) = tt$  iff (case condition)  $\mathcal{V}(X_{s,\varphi \vee \psi}) = tt$  iff (Def. 4.1 Clause 6)  $\mathcal{V}(X_{s,\varphi}) = tt$  or  $\mathcal{V}(X_{s,\psi}) = tt$  iff (induction hypothesis)  $(M', s \models \varphi)$  or  $(M', s \models \psi)$  iff (CTL semantics)  $M', s \models \varphi \vee \psi$  iff (case condition)  $M', s \models \xi$ .

Case  $\xi = \varphi \wedge \psi$ :  $\mathcal{V}(X_{s,\xi}) = tt$  iff (case condition)  $\mathcal{V}(X_{s,\varphi \wedge \psi}) = tt$  iff (Def. 4.1 Clause 6)  $\mathcal{V}(X_{s,\varphi}) = tt$  and  $\mathcal{V}(X_{s,\psi}) = tt$  iff (induction hypothesis)  $(M', s \models \varphi)$  and  $(M', s \models \psi)$  iff (CTL semantics)  $M', s \models \varphi \wedge \psi$  iff (case condition)  $M', s \models \xi$ .

Case  $\xi = \text{AX}\varphi$ :  $\mathcal{V}(X_{s,\xi}) = tt$  iff (case condition)  $\mathcal{V}(X_{s,\text{AX}\varphi}) = tt$  iff (Def. 4.1 Clause 7)  $\bigwedge_{t|s \rightarrow t} \mathcal{V}(E_{s,t} \Rightarrow X_{t,\varphi}) = tt$  iff (boolean semantics of  $\Rightarrow$ )  $\bigwedge_{t|s \rightarrow t} \mathcal{V}(E_{s,t}) = tt \Rightarrow \mathcal{V}(X_{t,\varphi}) = tt$  iff ( $s$  reachable by assumption, and  $E_{s,t}$  implies that  $t$  is reachable. Now apply the induction hypothesis)  $\bigwedge_{t|s \rightarrow t} (s, t) \in R' \Rightarrow M', t \models \varphi$  iff ( $R' \subseteq R$ , so restriction of  $t$  to  $t \mid s \rightarrow t$ , i.e.,  $t \mid (s, t) \in R$ , does not matter)  $M', s \models \text{AX}\varphi$  iff (case condition)  $M', s \models \xi$ .

Case  $\xi = \text{EX}\varphi$ :  $\mathcal{V}(X_{s,\xi}) = tt$  iff (case condition)  $\mathcal{V}(X_{s,\text{EX}\varphi}) = tt$  iff (Def. 4.1 Clause 7)  $\bigvee_{t|s \rightarrow t} \mathcal{V}(E_{s,t} \wedge X_{t,\varphi}) = tt$  iff (boolean semantics of  $\wedge$ )  $\bigvee_{t|s \rightarrow t} \mathcal{V}(E_{s,t}) = tt \wedge \mathcal{V}(X_{t,\varphi}) = tt$  iff ( $s$  reachable by assumption, and  $E_{s,t}$  implies that  $t$  is reachable. Now apply the induction hypothesis)  $\bigvee_{t|s \rightarrow t} (s, t) \in R' \wedge M', t \models \varphi$  iff ( $R' \subseteq R$ , so restriction of  $t$  to  $t \mid s \rightarrow t$ , i.e.,  $t \mid (s, t) \in R$ , does not matter)  $M', s \models \text{EX}\varphi$  iff (case condition)  $M', s \models \xi$ .

*Case  $\xi = A[\varphi R \psi]$ :* We do the proof for each direction separately.

*Left to right, i.e.,  $\mathcal{V}(X_{s,A[\varphi R \psi]})$  implies  $M', s \models A[\varphi R \psi]$ :*  $\mathcal{V}(X_{s,A[\varphi R \psi]})$  iff (Def. 4.1, Clause 8)  $\mathcal{V}(X_{s,A[\varphi R \psi]}^n)$  iff (Def. 4.1, Clause 8)  $\mathcal{V}(X_{s,\psi} \wedge (X_{s,\varphi} \vee \bigwedge_{t|s \rightarrow t} (E_{s,t} \Rightarrow X_{t,A[\varphi R \psi]}^{n-1})))$  iff ( $\mathcal{V}$  is a boolean valuation function, and so distributes over boolean connectives)  $\mathcal{V}(X_{s,\psi}) \wedge (\mathcal{V}(X_{s,\varphi}) \vee (\bigwedge_{t|s \rightarrow t} \mathcal{V}(E_{s,t}) \Rightarrow \mathcal{V}(X_{t,A[\varphi R \psi]}^{n-1})))$  iff (induction hypothesis)  $M', s \models \psi \wedge (M', s \models \varphi \vee \bigwedge_{t|s \rightarrow t} ((s, t) \in R' \Rightarrow \mathcal{V}(X_{t,A[\varphi R \psi]}^{n-1})))$ . We now have two cases:

- (1)  $M', s \models \varphi$ . In this case,  $M', s \models A[\varphi R \psi]$ , and so  $M', s \models \xi$ .
- (2)  $\bigwedge_{t|s \rightarrow t} (s, t) \in R' \Rightarrow \mathcal{V}(X_{t,A[\varphi R \psi]}^{n-1})$ .

For Case (2), we proceed as follows. Let  $t$  be an arbitrary state such that  $(s, t) \in R'$ . Then  $\mathcal{V}(X_{t,A[\varphi R \psi]}^{n-1})$ . If we show that  $\mathcal{V}(X_{t,A[\varphi R \psi]}^{n-1})$  implies  $M', s \models A[\varphi R \psi]$  then we are done, by CTL semantics. The argument is essentially a repetition of the above argument for  $\mathcal{V}(X_{s,A[\varphi R \psi]})$  implies  $M', s \models A[\varphi R \psi]$ . Proceeding as for  $s$  above, we conclude  $M', t \models \psi$  and one of the same two cases as above:

- (1)  $M', t \models \varphi$ .
- (2)  $\bigwedge_{u|t \rightarrow u} (t, u) \in R' \Rightarrow \mathcal{V}(X_{u,A[\varphi R \psi]}^{n-2})$ .

However note that, in Case (2), we are “counting down.” Since we count down for  $n = |S|$ , then along every path starting from  $s$ , either Case (1) occurs, which “terminates” that path, as far as valuation of  $[\varphi R \psi]$  is concerned, or we will repeat a state before (or when) the counter reaches 0. Along such a path (from  $s$  to the repeated state),  $\psi$  holds at all states, and so  $[\varphi R \psi]$  holds along this path. We conclude that  $[\varphi R \psi]$  holds along all paths starting in  $s$ , and so  $M', s \models A[\varphi R \psi]$ .

*Right to left, i.e.,  $\mathcal{V}(X_{s,A[\varphi R \psi]})$  follows from  $M', s \models A[\varphi R \psi]$ :* Assume that  $M', s \models A[\varphi R \psi]$  holds. By CTL semantics,  $M', s \models \psi \wedge (M', s \models \varphi \vee \bigwedge_{t|t \rightarrow s} ((s, t) \in R' \Rightarrow M', t \models A[\varphi R \psi]))$ . By the induction hypothesis,  $\mathcal{V}(X_{s,\psi}) \wedge (\mathcal{V}(X_{s,\varphi}) \vee \bigwedge_{t|t \rightarrow s} ((s, t) \in R' \Rightarrow M', t \models A[\varphi R \psi]))$ . We now have two cases

- (1)  $\mathcal{V}(X_{s,\varphi})$ . Since we have  $\mathcal{V}(X_{s,\psi}) \wedge \mathcal{V}(X_{s,\varphi})$  we conclude  $\mathcal{V}(X_{s,A[\varphi R \psi]})$  by Def. 4.1, Clause 8, and so we are done.
- (2)  $\bigwedge_{t|s \rightarrow t} (s, t) \in R' \Rightarrow M', t \models A[\varphi R \psi]$

For Case (2), we proceed as follows. Let  $t$  be an arbitrary state such that  $(s, t) \in R'$ . Then  $M', t \models A[\varphi R \psi]$ . If we show that  $\mathcal{V}(X_{t,A[\varphi R \psi]}^{n-1})$  follows from  $M', t \models A[\varphi R \psi]$  then we can conclude  $\mathcal{V}(X_{s,A[\varphi R \psi]})$  by Def. 4.1. Proceeding as for  $s$  above, we conclude  $\mathcal{V}(X_{t,\psi})$  and one of the same two cases as above:

- (1)  $\mathcal{V}(X_{t,\varphi})$ , so by Def. 4.1,  $\mathcal{V}(X_{t,A[\varphi R \psi]}^{n-1})$  holds.
- (2)  $\bigwedge_{u|t \rightarrow u} (t, u) \in R' \Rightarrow \mathcal{V}(X_{u,A[\varphi R \psi]}^{n-2})$

As before, in Case (2) we are “counting down.” Since we count down for  $n = |S|$ , then along every path starting from  $s$ , either Case (1) occurs, which “terminates” that path, as far as establishment of  $\mathcal{V}(X_{s,A[\varphi R \psi]})$  is concerned, or we keep going until the counter reaches 0, say at state  $v$ . Let  $\pi$  be the path from  $s$  to  $v$ , and let  $w$  any state along  $\pi$ . Then,  $M', w \models \psi$ , and so  $\mathcal{V}(X_{w,\psi})$  by the induction hypothesis. By Def. 4.1, Clause 5,  $X_{v,A[\varphi R \psi]}^0 \equiv X_{v,\psi}$ , and so  $\mathcal{V}(X_{v,A[\varphi R \psi]}^0)$ . Applying Def. 4.1, Clause 5 along  $\pi$ , and noting that  $\pi$  is an arbitrary path starting in  $s$ , we have that  $\mathcal{V}(X_{s,A[\varphi R \psi]})$  holds.

```

Repair( $M, \eta$ ):  

  if  $M, S_0 \models \eta$  (by model checking) return  $M$  and terminate;  

  submit  $\text{repair}(M, \eta)$  to a (complete) SAT-solver;  

  if the SAT-solver returns satisfying assignment  $\mathcal{V}$  then  

    return  $M' = \text{model}(M, \mathcal{V})$   

  else return “the model cannot be repaired”

```

Fig. 1. The model repair algorithm.

*Case  $\xi = E[\varphi R \psi]$ :* this is argued in the same way as the above case for  $\xi = A[\varphi R \psi]$ , except that we expand along one path starting in  $s$ , rather than all paths. The differences with the  $AR$  case are straightforward, and we omit the details.  $\square$

**COROLLARY 4.5 (SOUNDNESS).** *If  $\text{Repair}(M, \eta)$  returns a Kripke structure  $M'$ , then (1)  $M'$  is total, (2)  $M' \subseteq M$ , (3)  $M' \models \eta$ , and (4)  $M$  is repairable.*

**PROOF.** Since  $\text{Repair}(M, \eta)$  returns a Kripke structure, it follows from Fig. 1 that the invoked SAT-solver returned a satisfying truth assignment  $\mathcal{V}$  for  $\text{repair}(M, \eta)$ , and also that  $M' = \text{model}(M, \mathcal{V})$ . (1) follows from Def. 4.2 and Clauses 3 and 4 of Def. 4.1. (2) holds by construction of  $M'$  (Def. 4.2) which is derived from  $M$  by deleting transitions and states. For (3), let  $M' = (S'_0, S', R', L', AP')$  and  $s_0$  be an arbitrary state in  $S'_0$ . Since  $s_0$  was not deleted, we have, by Def. 4.2,  $\mathcal{V}(X_{s_0}) = tt$ . Hence, by Clause 2 of Def. 4.1,  $\mathcal{V}(X_{s_0, \eta}) = tt$ . Hence, by Th. 4.4,  $M', s_0 \models \eta$ , and so (3) holds. Finally, (4) follows from (1)–(3) and Def. 3.2.  $\square$

**THEOREM 4.6 (COMPLETENESS).** *If  $M$  is repairable with respect to  $\eta$  then  $\text{Repair}(M, \eta)$  returns a Kripke structure  $M''$  such that  $M''$  is total,  $M'' \subseteq M$ , and  $M'' \models \eta$ .*

**PROOF.** Assume that  $M$  is repairable with respect to  $\eta$ . By Def. 3.2, there exists a total substructure  $M' = (S'_0, S', R', L', AP')$  of  $M$  such that  $M' \models \eta$ . We define a valuation  $\mathcal{V}$  for  $\text{repair}(M, \eta)$  as follows, and show that it satisfies all clauses of Def. 4.1.

Assign  $tt$  to  $E_{s,t}$  for every edge  $(s, t) \in R'$  and  $ff$  to every  $E_{s,t}$  for every edge  $(s, t) \notin R'$ . Assign  $tt$  to  $X_s$  for every state  $s \in S'$ , and  $ff$  to every  $s \notin S'$ . Since  $M'$  is total, Clauses 3 and 4 are satisfied by this assignment. Since  $S'_0 \neq \emptyset$ , Clause 1 is satisfied.

Select an arbitrary  $s_0 \in S'_0$  and consider an execution of the CTL model checking algorithm of Clarke et al. [1986] for checking  $M', s_0 \models \eta$ . This algorithm will assign a value to every formula  $\varphi$  in  $\text{sub}(\eta)$  in every state  $s$  of  $M'$  that is reachable from  $s_0$ . Set  $\mathcal{V}(X_{s,\varphi})$  to this value. To cover all states in  $M'$ , repeat the above, starting from another state  $s'_0 \in S'_0$ , but retaining all the valuations to the formulae in  $\text{sub}(\eta)$  made by the first execution. (Recall that the model checking algorithm checks subformulae of  $\eta$  before checking  $\eta$ ). Repeat for all states in  $S'_0$ .

By construction and correctness of the model checking algorithm [Clarke et al. 1986], the resulting valuations satisfy Clauses 2, 5, 6, 7, and 8.

Since all clauses of Def. 4.1 are satisfied, all conjuncts of  $\text{repair}(M, \eta)$  are assigned  $tt$  by  $\mathcal{V}$ . Hence  $\mathcal{V}(\text{repair}(M, \eta)) = tt$ , and so  $\text{repair}(M, \eta)$  is satisfiable.

Now the SAT-solver used is assumed to be complete, and so returns some satisfying assignment for  $\text{repair}(M, \eta)$ , not necessarily  $\mathcal{V}$ , since there may be more than one satisfying assignment. Thus,  $\text{Repair}(M, \eta)$  returns a structure  $M''$ , rather than “failure.” By Cor. 4.5,  $M''$  is total,  $M'' \subseteq M$ , and  $M'' \models \eta$ .  $\square$

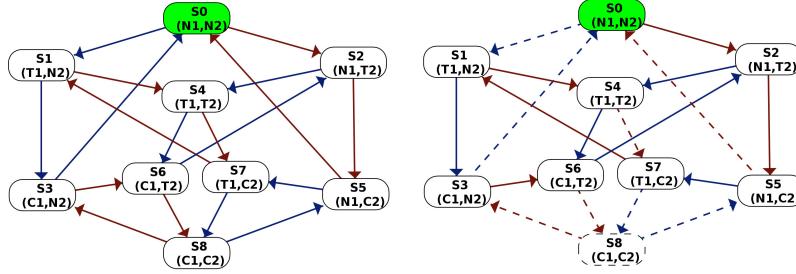


Fig. 2. Mutex: initial structure and basic repair

#### 4.1. Example: mutual exclusion with safety

Consider two-process mutual exclusion, in which  $P_i$  ( $i = 1, 2$ ) cycles through three states: neutral (performing local computation), trying (requested the critical section), and critical (inside the critical section).  $P_i$  has three atomic propositions,  $N_i, T_i, C_i$ . In the neutral state,  $N_i$  is true and  $T_i, C_i$  are false. In the trying state,  $T_i$  is true and  $N_i, C_i$  are false. In the critical state,  $C_i$  is true and  $N_i, T_i$  are false. The specification  $\eta$  is  $AG(\neg(C1 \wedge C2))$ , i.e.,  $P_1$  and  $P_2$  are never simultaneously in their critical sections.

Figure 2 (left) shows an initial Kripke structure  $M$  which violates mutual exclusion, and Figure 2 (right) shows a repair of  $M$  w.r.t.  $AG(\neg(C1 \wedge C2))$ . Eshmun displays the transitions to be deleted (to effect the repair) as dashed. Initial states are colored green, and the text attached to each state has the form “name  $(p_1, \dots, p_n)$ ” where “name” is a symbolic name for the state, and  $(p_1, \dots, p_n)$  is the list of atomic propositions that are true in the state. In the repaired structure the violating state  $S8$  is now unreachable, and so  $AG(\neg(C1 \wedge C2))$  is satisfied. This repair is however, overly restrictive: whenever  $P_2$  enters the critical section, it must wait for  $P_1$  to subsequently enter before it can enter again. We show in the sequel how to improve the quality of the repairs.

#### 4.2. Example: interactive design using semantic feedback

We add liveness to the mutex example by repairing Fig. 2 (left) w.r.t.  $AG(\neg(C1 \wedge C2)) \wedge AG(T1 \Rightarrow AFC1) \wedge AG(T2 \Rightarrow AFC2)$ . This yields Fig. 3 (left), in which  $P_2$  cycles repeatedly through neutral, trying, and critical, while  $P_1$  has no transitions at all! Obviously, this repair is much too restrictive. We notice that some transitions where a process requests the critical section, by moving from neutral to trying (e.g.,  $S0$  to  $S1$ ) are deleted. Since a process should always be able to *request* the critical section, we mark as “retain” all such transitions. The retain button in Eshmun renders a transition  $(s, t)$  not deletable, by conjoining  $E_{s,t}$  to  $repair(M, \eta)$ , thereby requiring  $E_{s,t}$  to be assigned true. After marking all such request transitions (there are six) and re-attempting repair, we obtain that the structure is not repairable!

We observe that  $AG(T1 \Rightarrow AFC1)$  was previously violated by the cycle  $S1 \rightarrow S4 \rightarrow S7 \rightarrow S1$ , which is now broken. By symmetric reasoning,  $AG(T2 \Rightarrow AFC2)$  was previously violated by the cycle  $S2 \rightarrow S4 \rightarrow S6 \rightarrow S2$ . Inspection shows that we cannot break both cycles at once:  $S1 \rightarrow S4$  and  $S2 \rightarrow S4$  are now non-deletable. Removing  $S7 \rightarrow S1$ ,  $S6 \rightarrow S2$ , leaves  $S7, S6$  respectively without an outgoing transition, so  $M'$  is no longer total. Hence we have to remove both  $S4 \rightarrow S7$  and  $S4 \rightarrow S6$ , which leaves  $S4$  without an outgoing transition. Hence no repair is possible. This manual analysis is confirmed by an unsat-core analysis of this example, which gives an unsat-core consisting of the states  $S0, S4, S6$ , and  $S7$ , together with their incident transitions, and two other transitions ( $S1 \rightarrow S3$ ) and ( $S2 \rightarrow S5$ ).

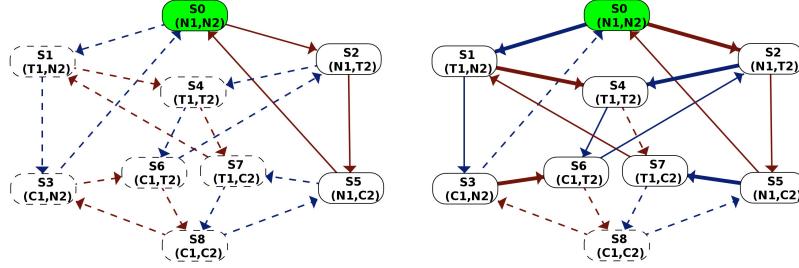
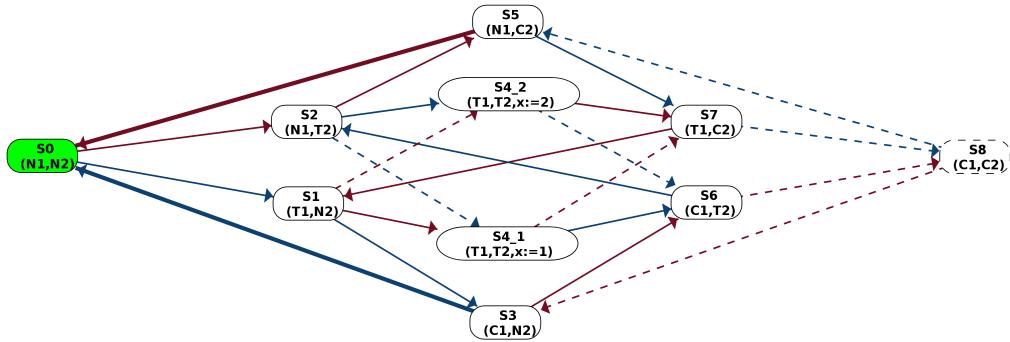
Fig. 3. Mutex: overconstrained repair (left), and only  $P_1$  is live (right)

Fig. 4. Mutex: safe and live solution

We can weaken the specification by dropping, say  $\text{AG}(\text{T2} \Rightarrow \text{AFC2})$ , so we repair w.r.t.  $\text{AG}(\neg(\text{C1} \wedge \text{C2})) \wedge \text{AG}(\text{T1} \Rightarrow \text{AFC1})$  and obtain Fig. 3 (right) with only  $P_1$  live. A better fix is to add a shared variable  $x$  (the usual “turn” variable) to record priority among  $P_1$  and  $P_2$ , effectively splitting  $S4$  into two states. After doing so and repairing w.r.t.  $\text{AG}(\neg(\text{C1} \wedge \text{C2})) \wedge \text{AG}(\text{T1} \Rightarrow \text{AFC1}) \wedge \text{AG}(\text{T2} \Rightarrow \text{AFC2})$ , we obtain Fig. 4.

Adding a variable entails *enlarging the domain of the state space*, which differs from adding a state over the *existing domain*, which some repair methods currently do [Zhang and Ding 2008; Chatzileftheriou et al. 2012]. Extending our method and tool to automate such repair is a topic for future work. We will also investigate heuristics for weakening a specification when no repair is possible. Eliminating a conjunct, as above, is one possible heuristic. Adding a disjunct is another, but requires that the disjunct be formulated.

## 5. REPAIR USING ABSTRACTIONS

We now extend the repair method to use abstractions, i.e., repair a structure abstracted from  $M$  and then concretize the resulting repair to obtain a repair of  $M$ . The purpose of abstractions is two-fold. First, they reduce the size of  $M$ , and so reduce the length of  $\text{repair}(M, \eta)$ , which is quadratic in  $|M|$ , and hence repairing an abstract structure significantly increases the size of structures that can be handled. Second, they “focus” the attention of the repair algorithm, which in practice produces “better” repairs.  $\text{Repair}(M, \eta)$  nondeterministically chooses a repair from those available, according to the valuation returned by the SAT-solver. This repair may be undesirable, as it may result in restrictive behavior, e.g., Fig. 2 (right). By repairing an abstract structure

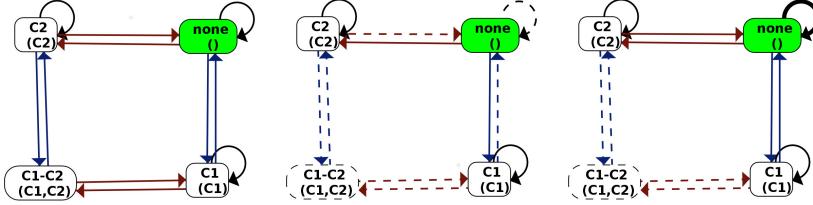


Fig. 5. Abstraction and repair by label

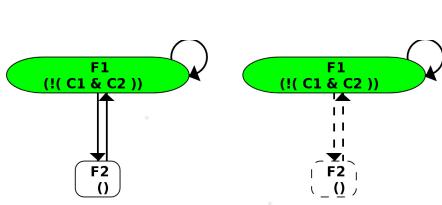


Fig. 6. Abstraction and repair by subformulae

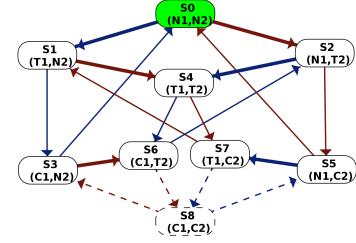


Fig. 7. Concretized repair

which tracks only the values of  $C_1, C_2$ , (see Fig. 2) we obtain a better repair, which removes only the transitions that enter state  $S_8$ .

Eshmun implements two abstractions: *abstraction by label* preserves the values of all  $p \in AP_\eta$ , where  $AP_\eta$  denotes the set of atomic propositions that occur in  $\eta$ , and *abstraction by formula* preserves the values of all  $\varphi \in AS_\eta$ , where  $AS_\eta$  is the set of propositional subformulae of  $\eta$ , i.e., it is basically predicate abstraction [Graf 1997]. These abstractions are defined by equivalence relations [Clarke et al. 1994] over the set of states  $S$ , where equivalence is according to the valuation of atomic propositions (abstraction by label) and subformulae (abstraction by formula) respectively:

- (1) abstraction by label:  $s \equiv_p t$  iff  $L(s) \cap AP_\eta = L(t) \cap AP_\eta$
- (2) abstraction by formula:  $s \equiv_f t$  iff  $(\forall \varphi \in AS_\eta : s \models \varphi \text{ iff } t \models \varphi)$

Let  $\equiv$  be an equivalence relation over  $S$ , and let  $[s]$  be the equivalence class of  $s$  in  $\equiv$ . For  $M = (S_0, S, R, L, AP)$ , the corresponding abstract structure  $\bar{M} = (\bar{S}_0, \bar{S}, \bar{R}, \bar{L}, AP_\eta)$  is obtained by taking the quotient of  $M$  by  $\equiv$ , as usual, i.e.,  $\bar{S} = \{[s] \mid s \in S\}$ ,  $\bar{S}_0 = \{[s_0] \mid s_0 \in S_0\}$ , and  $\bar{R} = \{([s], [t]) \mid (s, t) \in R\}$ . For abstraction by label,  $\bar{L} : \bar{S} \rightarrow 2^{AP_\eta}$  is given by  $\bar{L}([s]) = L(s) \cap AP_\eta$ . For abstraction by formula,  $\bar{L}$  assigns truth values to the formulae being abstracted, i.e.,  $\bar{L} : \bar{S} \rightarrow 2^{AS_\eta}$  is given by  $\bar{L}([s]) = \{\varphi \mid \varphi \in AS_\eta \wedge s \models \varphi\}$ . That is, abstract states are labeled by the set of formulae in  $AS_\eta$  that hold in the corresponding concrete states. We do not need values for atomic propositions, since the values of the formulae in  $AS_\eta$  determine the values of all temporal formulae. Hence Def. 4.1 is modified so that formulae in  $AS_\eta$  play the role of atomic propositions (Clauses 5, 6 of Def. 4.1).

Abstract repair does not guarantee concrete repair. When we concretize the repair of  $\bar{M}$ , we obtain a *possible* repair of  $M$ , which we verify by model checking. We concretize as follows. The abstraction algorithm maintains a data structure that maps a transition in  $\bar{M}$  to the set of corresponding transitions in  $M$ . If a transition in  $\bar{M}$  is deleted

by the repair of  $\bar{M}$ , then we delete all the corresponding transitions in  $M$  to construct the possible repair of  $M$ .

Consider structure  $M$  in Fig. 2, with  $\eta = \text{AG}(\neg(C1 \wedge C2))$ , and abstraction by label, i.e., equivalent states agree on both  $C1$  and  $C2$ . The equivalence classes of  $\equiv_p$  are:  $\text{none} = \{S0, S1, S2, S4\}$ ,  $C1 = \{S3, S6\}$ ,  $C2 = \{S5, S7\}$ ,  $C1\_C2 = \{S8\}$ . Fig. 5 (left) shows the resulting abstract structure  $\bar{M}$ , which has four states, corresponding to these equivalence classes. Fig. 5 (middle) shows the first repair of  $\bar{M}$  (recall we delete the dashed transitions), which does not allow return to state  $\text{none}$ . Since a process must be able to exit its critical section, we checked retain for transitions  $C1 \rightarrow \text{none}$ ,  $C2 \rightarrow \text{none}$ , thereby obtaining the repair in Fig. 5 (right). Now consider abstraction by the subformula  $C1 \wedge C2$ . The equivalence classes of  $\equiv_f$  are  $\text{none} = \{S0-S7\}$  and  $C1\_C2 = \{S8\}$ . Fig. 6 (left) shows the resulting abstract structure  $\bar{M}$ , which has two states, corresponding to these equivalence classes, and Fig. 6 (right) shows the repair. Figure 7 shows the concretized repair of the original structure. In this case, both abstractions give the same concrete repair, which is good in that it does not prevent either process from making a request (i.e., moving from neutral to trying) at any time.

## 6. REPAIR OF CONCURRENT Kripke STRUCTURES AND CONCURRENT PROGRAMS

We consider finite-state shared-memory concurrent programs  $P = (St_P, P_1 \parallel \dots \parallel P_K)$  consisting of  $K$  sequential processes  $P_1, \dots, P_K$  running in parallel, together with a set  $St_P$  of starting global states. For each  $P_i$ , there is a finite set  $AP_i$  of *atomic propositions* that are *local to  $P_i$* : only  $P_i$  can change the value of atomic propositions in  $AP_i$ . Other processes can read, but not change, these values. Local atomic propositions are not shared:  $AP_i \cap AP_j = \emptyset$  when  $i \neq j$ . We also admit a set  $SH = \{x_1, \dots, x_m\}$  of shared variables. These can be read/written by all processes, and have values from finite domains (in Eshmun all shared variables are boolean, i.e., atomic propositions that are not local to any process). We define the set of all atomic propositions  $AP = AP_1 \cup \dots \cup AP_K$ .

Each process  $P_i$  is a *synchronization skeleton* [Emerson and Clarke 1982], that is, a directed multigraph where each node is a *local state* of  $P_i$ , which is labeled by a unique name  $s_i$ , and where each arc is labeled with a *guarded command* [Dijkstra 1976]  $B_i \rightarrow A_i$  consisting of a guard  $B_i$  and corresponding action  $A_i$ . We write such an arc as the tuple  $(s_i, B_i \rightarrow A_i, s'_i)$ , where  $s_i$  is the source node and  $s'_i$  is the target node. Each node must have at least one outgoing arc, i.e., a synchronization skeleton contains no “dead ends.” The read/write restrictions on atomic propositions are enforced by the syntax of processes: in an arc  $(s_i, B_i \rightarrow A_i, s'_i)$  of  $P_i$ , guard  $B_i$  is a boolean formula over  $AP - AP_i$  and  $SH$ , and action  $A_i$  is a piece of terminating pseudocode that updates the shared variables in  $SH$ . Let  $S_i$  denote the set of local states of  $P_i$ . There is a mapping  $V_i : S_i \rightarrow (AP_i \rightarrow \{\text{tt}, \text{ff}\})$  from local states of  $P_i$  to boolean valuations over  $AP_i$ : for  $p_i \in AP_i$ ,  $V_i(s_i)(p_i)$  is the value of atomic proposition  $p_i$  in  $s_i$ . Hence, as  $P_i$  executes transitions and changes its local state, the atomic propositions in  $AP_i$  are updated, since  $V_i(s_i) \neq V_i(s'_i)$  in general. A *global state* is a tuple  $(s_1, \dots, s_K, v_1, \dots, v_m)$  where  $s_i$  is the current local state of  $P_i$  and  $v_1, \dots, v_m$  is a list giving the current values of the shared variables in  $SH$ .

Let  $s = (s_1, \dots, s_i, \dots, s_K, v_1, \dots, v_m)$  be the current global state, and let  $P_i$  contain an arc from node  $s_i$  to node  $s'_i$  labeled with  $B_i \rightarrow A_i$ . If  $B_i$  holds in  $s$ , then a possible next state is  $s' = (s_1, \dots, s'_i, \dots, s_K, v'_1, \dots, v'_m)$  where  $v'_1, \dots, v'_m$  are the new values, respectively, for the shared variables  $x_1, \dots, x_m$  resulting from the execution of action  $A_i$ . The set of all (and only) such triples  $(s, i, s')$  constitutes the *next-state relation* of program  $P$ . As stated above, local atomic propositions  $AP_i$  are implicitly updated, since  $P_i$  changed its local state from  $s_i$  to  $s'_i$ .

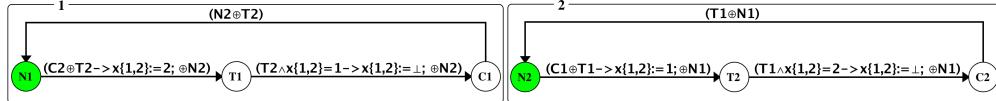


Fig. 8. Concurrent program extracted from Fig. 4

The appropriate semantic model for a concurrent program is a *multiprocess Kripke structure*, which is a Kripke structure that has its set  $AP$  of atomic propositions partitioned into  $AP_1 \cup \dots \cup AP_K$ , and every transition is labeled with the index of a single process, which executes the transition. Only atomic propositions belonging to the executing process can be changed by a transition. Shared variables may also be present. The structures of Fig. 2 are multiprocess Kripke structures, since the atomic propositions are partitioned into  $\{N1, T1, C1\}$  (which are modified by  $P_1$ ) and  $\{N2, T2, C2\}$  (which are modified by  $P_2$ ). The semantics of a concurrent program  $P = (St_P, P_1 \parallel \dots \parallel P_K)$  is given by its global state transition diagram (GSTD): the smallest multiprocess Kripke structure  $M$  such that (1) the start states of  $M$  are  $St_P$ , and (2)  $M$  is closed under the next state relation of  $P$ . Effectively,  $M$  is obtained by “simulating” all possible executions of  $P$  from its start states  $St_P$ . A program satisfies a CTL formula  $\eta$  iff its GSTD does.

Conversely, given a multiprocess Kripke structure  $M$ , we can extract a concurrent program by projecting onto the individual process indices [Emerson and Clarke 1982]. If  $M$  contains a transition from  $s = (s_1, \dots, s_i, \dots, s_K, v_1, \dots, v_m)$  to  $s' = (s_1, \dots, s'_i, \dots, s_K, v'_1, \dots, v'_m)$ , then we can project this onto  $P_i$  as the arc  $(s_i, B_i \rightarrow A_i, s'_i)$ , where  $B_i$  checks that the current global state is  $(s_1, \dots, s_i, \dots, s_K, v_1, \dots, v_m)$ , and  $A_i$  is the multiple assignment  $x_1, \dots, x_m := v'_1, \dots, v'_m$ , i.e., it assigns  $v'_\ell$  to  $x_\ell$ ,  $\ell = 1, \dots, m$ . For example, the concurrent program given in Fig. 8 is extracted from the multiprocess Kripke structure of Fig. 4. Each local state is shown labeled with the atomic propositions that it evaluates to true. Take for example the transition in Fig. 4 from  $S2$  to  $S4.2$ : this is a transition by  $P_1$  from  $N1$  to  $T1$  which can be taken only when  $T2$  holds. It contributes an arc  $(N1, T2 \rightarrow x := 2, T1)$  to  $P_1$ . Likewise the transition from  $S0$  to  $S1$  contributes  $(N1, N2 \rightarrow \epsilon, T1)$ , where  $\epsilon$  is the empty action, which changes nothing, and the transition from  $S5$  to  $S7$  contributes  $(N1, C2 \rightarrow \epsilon, T1)$ . We group all these arcs into a single arc  $(N1, (N2 \rightarrow \epsilon) \oplus (T2 \rightarrow x := 2) \oplus (C2 \rightarrow \epsilon), T1)$ . The  $\oplus$  operator [Attie and Emerson 1998] is a “disjunction” of guarded commands:  $(B_i \rightarrow A_i) \oplus (B'_i \rightarrow A'_i)$  means nondeterministically select one of the two guarded commands whose guard holds, and execute the corresponding action. Using  $\oplus$  means we have at most one arc, in each direction, between any pair of local states. To avoid clutter, Eshmun replaces  $B \rightarrow \epsilon$  by just  $B$ , i.e., it omits empty actions, so the above arc appears as  $(N1, N2 \oplus (T2 \rightarrow x := 2) \oplus C2, T1)$ .

In principle then, we can repair a concurrent program by (1) generating its GSTD  $M$ , (2) repairing  $M$  w.r.t.  $\eta$  to produce  $M'$ , and (3) extracting a repaired program from  $M'$ . In practice, however, this quickly runs up against the *state explosion problem*: the size of  $M$  is exponential in the number of processes  $K$ . We avoid state explosion by using the *pairwise composition* approach of Attie and Emerson [1998], Attie [1999, 2016], which the next three paragraphs summarize.

For each pair of processes  $P_i, P_j$  that interact directly, we provide as inputs a *pair-structure*  $M_{ij} = (S_0^{ij}, S_{ij}, R_{ij}, L_{ij}, AP_{ij})$ , which is a multiprocess Kripke structure over  $P_i$  and  $P_j$ .  $M_{ij}$  defines the direct interaction between processes  $P_i$  and  $P_j$ . Hence, if  $P_i$  interacts directly with a third process  $P_k$ , then a second pair structure,  $M_{ik} = (S_0^{ik}, S_{ik}, R_{ik}, L_{ik}, AP_{ik})$ , over  $P_i, P_k$ , defines this interaction. So,  $M_{ij}$  and  $M_{ik}$  have the atomic propositions  $AP_i$  in common. Their shared variables are disjoint.

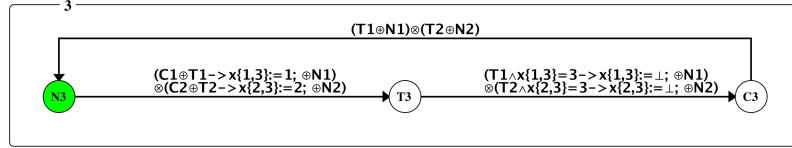


Fig. 9. Concurrent program for three process live mutual exclusion ( $P_3$  only shown)

The pairs of directly interacting processes are given by an *interaction relation*  $I$ , a symmetric relation over the set  $\{1, \dots, K\}$  of process indices. We extract from each pair-structure  $M_{ij}$  a corresponding *pair-program*  $P_i^j \parallel P_j^i$ , which consists of two *pair-processes*  $P_i^j$  and  $P_j^i$ . We then compose all of the pair-programs to produce the final concurrent program  $P$ . Composition is syntactic: the process  $P_i$  in  $P$  is the result of composing all the pair-processes  $P_i^j, P_i^k, \dots$ . For example, 3 process mutual exclusion can be synthesized by having 3 pair-programs  $P_1^2 \parallel P_2^1, P_1^3 \parallel P_3^1, P_2^3 \parallel P_3^2$ , which implement mutual exclusion between each respective pair of processes. These are all isomorphic to Fig. 8, modulo index substitution. Then, the 3 process solution  $P = P_1 \parallel P_2 \parallel P_3$  is given in Fig. 9, where only  $P_3$  is shown,  $P_1$  and  $P_2$  being isomorphic to  $P_3$  modulo index substitution.  $P_3$  results from composing  $P_3^1$  and  $P_3^2$ . For example, the arc from  $N_3$  to  $T_3$  is the composition (using the  $\otimes$  operator [Attie and Emerson 1998]) of “corresponding” arcs in  $P_3^1$  and  $P_3^2$ . The arc from  $N_3$  to  $T_3$  in  $P_3^1$ , namely  $(N_3, N_1 \oplus C_1 \oplus (T_1 \rightarrow x_{13} := 1), T_3)$ , and the arc from  $N_3$  to  $T_3$  in  $P_3^2$ , namely  $(N_3, N_2 \oplus C_2 \oplus (T_2 \rightarrow x_{12} := 1), T_3)$  are corresponding arcs, since they have the same source and target local states, namely  $N_3$  and  $T_3$ . Their composition is given by the arc  $(N_3, (N_1 \oplus C_1 \oplus (T_1 \rightarrow x_{13} := 1)) \otimes (N_2 \oplus C_2 \oplus (T_2 \rightarrow x_{12} := 1)), T_3)$ . The meaning of  $(B_i \rightarrow A_i) \otimes (B'_i \rightarrow A'_i)$  is that both  $B_i$  and  $B'_i$  must hold, and then  $A_i$  and  $A'_i$  must be executed concurrently. It is a “conjunction” of guarded commands. Hence, the meaning of  $(N_3, (N_1 \oplus C_1 \oplus (T_1 \rightarrow x_{13} := 1)) \otimes (N_2 \oplus C_2 \oplus (T_2 \rightarrow x_{12} := 1)), T_3)$ , is that (1) in moving from  $N_3$  to  $T_3$ ,  $P_3$  must assign 1 to  $x_{13}$  if  $P_1$  is in trying, otherwise ( $P_1$  is neutral or critical)  $P_3$  need make no such assignment, and (2) likewise w.r.t.  $P_2$ .

Let  $I(i) = \{j \mid (i, j) \in I\}$ , so that  $I(i)$  is the set of processes that  $P_i$  interacts directly with. For each  $j \in I(i)$ , we create and repair pair-structure  $M_{ij}$  w.r.t. a *pair-specification*  $\eta_{ij}$ . From  $M_{ij}$  we extract pair-program  $P_i^j \parallel P_j^i$ . Process  $P_i$  in the overall large program is obtained by composing the pair-processes  $P_i^j$  for all  $j \in I(i)$ , as follows, [Attie 2016, Def. 15, pairwise synthesis]:

$P_i$  contains an arc from  $s_i$  to  $t_i$  with label  $\bigotimes_{j \in I(i)} \bigoplus_{\ell \in [1:n_j]} B_{i,\ell}^j \rightarrow A_{i,\ell}^j$  iff  
for  $j \in I(i)$ :  $P_i^j$  contains an arc from  $s_i$  to  $t_i$  with label  $\bigoplus_{\ell \in [1:n_j]} B_{i,\ell}^j \rightarrow A_{i,\ell}^j$ .

Hence the inputs to our method are the pair-specifications  $\eta_{ij}$ , the pair-structures  $M_{ij}$ , and the interaction relation  $I$ .

Recall that two arcs in  $P_i^j, P_i^k$  correspond iff they have the same source and target nodes. An arc in  $P_i$  is then a composition of corresponding arcs in all the  $P_i^j, j \in I(i)$ . For this composition to be possible, it must be that the corresponding arcs all exist. We must therefore check that, for all  $j, k \in I(i)$ , that if  $M_{ij}$  contains some transition by  $P_i^j$  in which it changes its local state from  $s_i$  to  $t_i$ , then  $M_{ik}$  also contains some transition by  $P_i^k$  in which it changes its local state from  $s_i$  to  $t_i$ . This ensures that every set of corresponding arcs contains a representative from each pair-program  $P_i^j$

for all  $j \in I(i)$ , and so our definition of pairwise synthesis produces a well-defined result. We call this the *process graph consistency constraint*, since it states, in effect, that  $P_i^j, P_i^k$  have the same *graph*, i.e., the result of removing all arc labels is the same in both cases. Consider again the three process mutual exclusion example, and suppose that  $M_{12}$  contains a transition in which  $P_1^2$  moves from T1 to C1, but that  $M_{13}$  does not contain a transition in which  $P_1^3$  moves from T1 to C1. Then, it would not be possible to compose arcs from  $P_1^2$  and  $P_1^3$  to produce an arc in which  $P_1$  moves from T1 to C1.

To enforce this constraint, we define a boolean formula over transition variables  $E_{s,t}$ . Consider just two structures  $M_{ij}, M_{ik}$ . For  $M_{ij}$  and local states  $s_i, t_i$  of  $P_i^j$ , let  $(s_{ij}^1, i, t_{ij}^1), \dots, (s_{ij}^n, i, t_{ij}^n)$  be all the transitions in  $M_{ij}$  that (1) are executed by  $P_i^j$ , (2) start with  $P_i^j$  in  $s_i$ , and (3) end with  $P_i^j$  in  $t_i$ . Likewise, for pair-structure  $M_{ik}$  and the same local states  $s_i, t_i$ , let  $(s_{ik}^1, i, t_{ik}^1), \dots, (s_{ik}^m, i, t_{ik}^m)$  be all the transitions in  $M_{ik}$  that (1) are executed by  $P_i^k$ , (2) start with  $P_i^k$  in  $s_i$ , and (3) end with  $P_i^k$  in  $t_i$ . Then, define  $grCon(i, j, k, s_i, t_i) =$

$$(E[s_{ij}^1, t_{ij}^1] \vee \dots \vee E[s_{ij}^n, t_{ij}^n]) \equiv (E[s_{ik}^1, t_{ik}^1] \vee \dots \vee E[s_{ik}^m, t_{ik}^m])$$

For clarity of sub/superscripts, we use  $E[s, t]$  rather than  $E_{s,t}$ . Let  $I(i) = \{j_1, \dots, j_n\}$ , and let  $grCon(i, s_i, t_i) = grCon(i, j_1, j_2, s_i, t_i) \wedge \dots \wedge grCon(i, j_{n-1}, j_n, s_i, t_i)$ , so that we enforce consistency among all pair-machines that involve  $P_i$ , since  $\equiv$  is transitive. Now define  $grCon(i) = \bigwedge_{s_i, t_i} grCon(i, s_i, t_i)$ , where  $s_i, t_i$  range over all pairs of local states of  $P_i$  such that some  $P_i$ -transition in some pair-machine moves  $P_i$  from  $s_i$  to  $t_i$ . Finally, let  $grCon = \bigwedge_{i \in \{1, \dots, K\}} grCon(i)$ . Then, our overall repair formula is

$$grCon \wedge (\bigwedge_{(i,j) \in I} repair(M_{ij}, \eta_{ij}))$$

Eshmun computes this repair formula from the pair-structures  $M_{ij}$  and their respective specifications  $\eta_{ij}$ . A satisfying assignment of this formula gives a repair for each  $M_{ij}$  w.r.t.  $\eta_{ij}$  and also ensures that the repaired structures satisfy the process graph consistency constraint. A concurrent program  $P = (St_P, P_1 \parallel \dots \parallel P_K)$  can then be extracted as outlined above. By the large model theorems of Attie and Emerson [1998] and Attie [1999, 2016],  $P$  satisfies  $\bigwedge_{(i,j) \in I} \eta_{ij}$ . We use  $|...|$  for the size of formulae (length) and Kripke structures (number of states + number of transitions). Let  $n_i = |I(i)|$  i.e., the number of pairs that  $P_i$  is involved in. Then  $|grCon|$  is linear in  $\sum_{i \in \{1, \dots, K\}} n_i$ , and linear in  $|M_{pair}|$ , the size of the largest pair-structure  $M_{ij}$ , since each transition boolean  $E[s_{ij}^n, t_{ij}^n]$  is mentioned at most twice. Now  $\sum_{i \in \{1, \dots, K\}} n_i = 2|I|$ , and so  $|grCon| = O(|I| \times |M_{pair}|)$ . By Prop. 4.3,  $|repair(M_{ij}, \eta_{ij})|$  is quadratic in  $|M_{ij}|$ , and linear in  $|\eta_{ij}|$ . There are  $|I|$  such formulae. Let  $|\eta_{pair}|$  be the length of the largest pair-specification  $\eta_{ij}$ , so that  $|(\bigwedge_{(i,j) \in I} repair(M_{ij}, \eta_{ij}))| = O(|I| \times |M_{pair}|^2 \times |\eta_{pair}|)$ . Hence:

**PROPOSITION 6.1.**  $grCon \wedge (\bigwedge_{(i,j) \in I} repair(M_{ij}, \eta_{ij}))$  has length in  $O(|I| \times |M_{pair}|^2 \times |\eta_{pair}|)$ .

Hence, if the SAT-solver remains efficient, we can repair a concurrent program  $P = (St_P, P_1 \parallel \dots \parallel P_K)$  without incurring state explosion—complexity exponential in  $K$ .

To summarize, we repair a concurrent program as follows: (1) for each  $(i, j) \in I$ , input either a pair-structure  $M_{ij}$  or a pair-program  $P_i^j \parallel P_j^i$ , (2) generate the pair-structure (if input is a pair-program) and repair the pair-structures, and (3) extract a correct concurrent program from the pair structures.

### 6.1. Example: eventually serializable data service

The eventually-serializable data service (ESDS) of Fekete et al. [1999] and Ladin et al. [1992] is a replicated, distributed data service that trades off immediate consistency for improved efficiency. A shared data object is replicated, and the response to an operation at a particular replica may be out of date, i.e., not reflecting the effects of other operations which have not yet been received by that replica. Operations may be reordered *after* the response is issued. Replicas communicate to each other the operations they receive, so that eventually every operation “stabilizes,” i.e., its ordering is fixed w.r.t. all other operations. Clients may require an operation to be *strict*, i.e., stable at the time of response (and so it cannot be reordered after the response is issued). Clients may also specify, in an operation  $x$ , a set  $x.\text{prev}$  of operations that must precede  $x$  (client-specified constraints, *CSC*). We let  $\mathcal{O}$  be the (countable) set of all operations,  $\mathcal{R}$  the set of all replicas,  $\text{client}(x)$  be the client issuing operation  $x$ ,  $\text{replica}(x)$  be the replica that handles operation  $x$ . We use  $x$  to index over operations,  $c$  to index over clients, and  $r, r'$  to index over replicas. For each operation  $x$ , we define a client process  $C_c^x$  and a replica process  $R_r^x$ , where  $c = \text{client}(x)$ ,  $r = \text{replica}(x)$ . Thus, a client consists of many processes, one for each operation that it issues. As the client issues operations, these processes are created dynamically. Likewise a replica consists of many processes, one for each operation that it handles. Thus, we can use dynamic process creation and finite-state processes to model an infinite-state system, such as the one here, which in general handles an unbounded number of operations with time [Attie 2016].

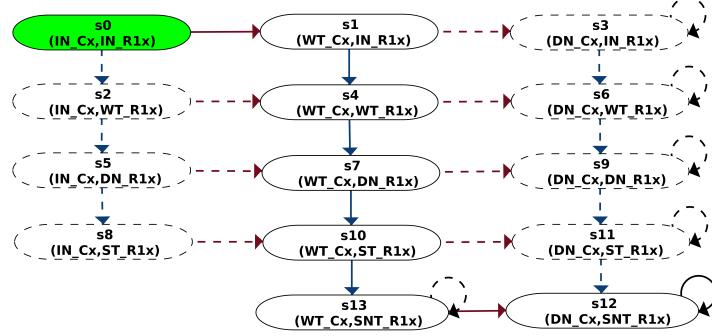
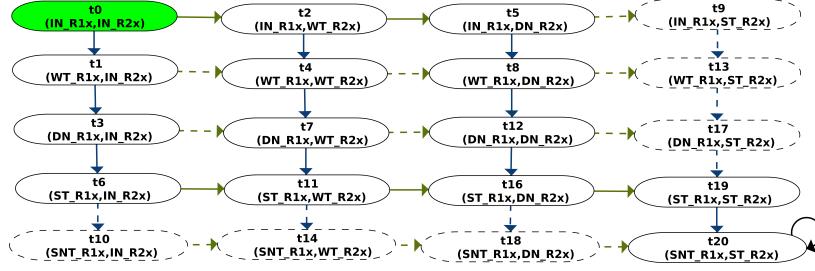
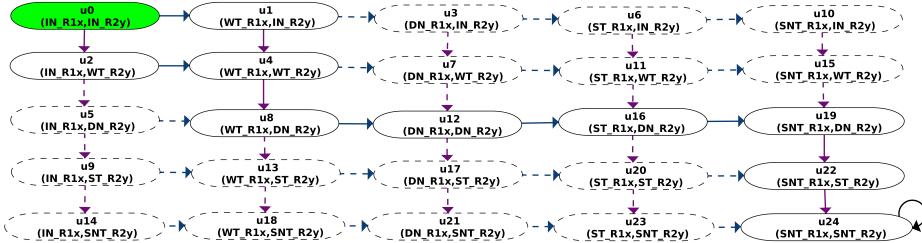
We use Eshmun to repair a simple instance of ESDS with one strict operation  $x$ , one client  $\text{Cx}$  that issues  $x$ , a replica  $\text{R1x}$  that processes  $x$ , another replica  $\text{R2x}$  that receives gossip of  $x$ , and another replica  $\text{R2y}$  that processes an operation  $y \in x.\text{prev}$ . There are three pairs,  $\text{Cx} \parallel \text{R1x}$ ,  $\text{R1x} \parallel \text{R2x}$ , and  $\text{R1x} \parallel \text{R2y}$ .  $\text{Cx}$  moves through three local states in sequence: initial state  $\text{IN\_Cx}$ , then state  $\text{WT\_Cx}$  after  $\text{Cx}$  submits  $x$ , and then state  $\text{DN\_Cx}$  after  $\text{Cx}$  receives the result of  $x$  from  $\text{R1x}$ .  $\text{R1x}$  moves through five local states: initial state  $\text{IN\_R1x}$ , then state  $\text{WT\_R1x}$  after it receives  $x$  from  $\text{Cx}$ , then state  $\text{DN\_R1x}$  after it performs  $x$ , then state  $\text{ST\_R1x}$  when it stabilizes  $x$ , and finally state  $\text{SNT\_R1x}$  when it sends the result of  $x$  to  $\text{Cx}$ .  $\text{R2x}$  moves through four local states: initial state  $\text{IN\_R2x}$ , then state  $\text{WT\_R2x}$  after it receives  $x$  from  $\text{R1x}$ , then state  $\text{DN\_R2x}$  after it performs  $x$ , and finally state  $\text{ST\_R2x}$  when it stabilizes  $x$ .  $\text{R2y}$  moves through four local states: initial state  $\text{IN\_R2y}$ , then state  $\text{WT\_R2y}$  after it receives  $y$  from its client (which is not shown), then state  $\text{DN\_R2y}$  after it performs  $y$ , then state  $\text{ST\_R2y}$  when it stabilizes  $y$ . For each pair, we start with a “naive” pair-structure in which all possible transitions are present, modulo the above sequences. We then repair w.r.t. these pair-specifications:

Client-replica interaction, pair-specification for  $\text{Cx} \parallel \text{R1x}$  where  $x \in \mathcal{O}$ ,  $\text{Cx} = \text{client}(x)$ ,  $\text{R1x} = \text{replica}(x)$

- AG( $\text{WT\_R1x} \Rightarrow \text{WT\_Cx}$ ):  $x$  is not received by  $\text{R1x}$  before it is submitted by  $\text{Cx}$
- A[ $\text{WT\_R1x} \wedge (\neg(\text{WT\_Cx} \wedge \text{EG}(\neg\text{WT\_R1x})))$ ] if  $x$  is submitted by  $\text{Cx}$  then it is received by  $\text{R1x}$
- AG( $\text{WT\_Cx} \Rightarrow \text{AF DN\_Cx}$ ): if  $\text{Cx}$  submits  $x$  then it eventually receives a result
- AG( $\text{DN\_Cx} \Rightarrow \text{SNT\_R1x}$ ):  $\text{Cx}$  does not receive a result before it is sent by  $\text{R1x}$

Pair-specification for  $\text{R1x} \parallel \text{R2x}$ , where  $x \in \mathcal{O}$ ,  $x.\text{strict}$ ,  $\text{R1x} = \text{replica}(x)$

- AG( $\text{SNT\_R1x} \Rightarrow \text{ST\_R2x}$ ): the result of a strict operation is not sent to the client until it is stable at all replicas

Fig. 10. Repaired pair-structure for  $Cx \parallel R1x$ Fig. 11. Repaired pair-structure for  $R1x \parallel R2x$ Fig. 12. Repaired pair-structure for  $R1x \parallel R2y$ 

CSC constraints, pair-specification for  $R1x \parallel R2y$ , where  $x \in \mathcal{O}$ ,  $y \in x.prev$ ,  $R1x = replica(x)$ ,  $R2y = replica(y)$

— AG(DN\_R1x  $\Rightarrow$  DN\_R2y): operation  $y$  in  $x.prev$  is performed before  $x$  is

The repaired pair-structures are given in Figs 10, 11, and 12. Fig. 13 gives a concurrent program  $P$  that is extracted from the repaired pair-structures as discussed above. By the large model theorem [Attie and Emerson 1998; Attie 1999; 2016],  $P$  satisfies all the above pair-specifications. Repairing this example took 0.61 seconds on our Intel Xeon 3.3GHz PC.

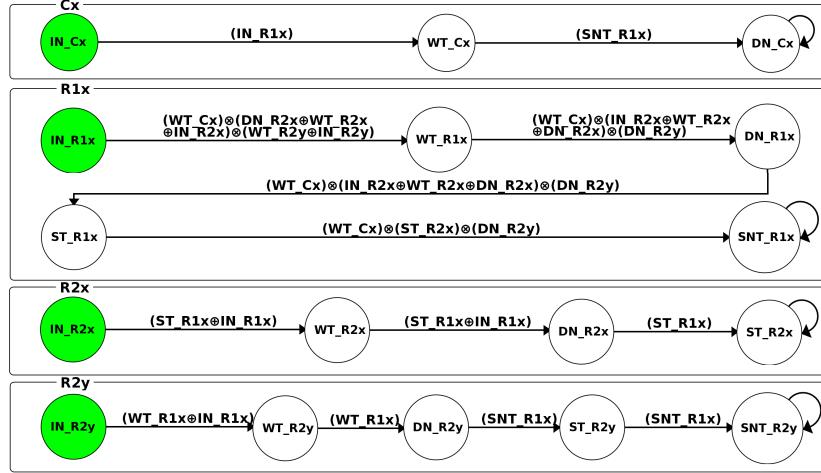


Fig. 13. ESDS Program

## 7. REPAIR OF HIERARCHICAL Kripke STRUCTURES

We now extend our repair method to hierarchical Kripke structures. As given by Alur and Yannakakis [2001], a hierarchical Kripke structure  $K$  over a set  $AP$  of atomic propositions is a tuple  $K_1, \dots, K_n$  of structures, where each  $K_i$  has the following components:

- (1) A finite set  $N_i$  of nodes.
- (2) A finite set  $B_i$  of boxes (or supernodes). The sets  $N_i$  and  $B_i$  are all pairwise disjoint.
- (3) An initial node  $start_i \in N_i$
- (4) A subset  $O_i$  of  $N_i$ , called exit nodes.
- (5) A labeling function  $X_i : N_i \rightarrow 2^{AP}$  that labels each node with a subset of  $AP$
- (6) An indexing function  $Y_i : B_i \rightarrow \{i+1 \dots n\}$  that maps each box of the  $i$ -th structure to an index greater than  $i$ . That is, if  $Y_i(b) = j$ , for a box  $b$  of structure  $K_i$ , then  $b$  can be viewed as a reference to the definition of the structure  $K_j$ .
- (7) An edge (transition) relation  $E_i$ . Each edge in  $E_i$  is a pair  $(u, v)$  with source  $u$  and sink  $v$ :
  - source  $u$  either is a node of  $K_i$ , or is a pair  $(w1, w2)$ , where  $w1$  is a box of  $K_i$  with  $Y_i(w1) = j$  and  $w2$  is an exit-node of  $K_j$ ;
  - sink  $v$  is either a node or a box of  $K_i$ .

For simplicity of notation and exposition, we restrict the discussion to two levels of hierarchy and one kind of box only, which we refer to as  $B$ , and we assume a single occurrence of the box  $B$  in  $M$ . We repeat application of the result for one box/one occurrence to obtain results for several kinds and occurrences. Let  $start_M, start_B$  denote the initial states of  $M, B$ , respectively, and  $O_M, O_B$  the sets of exit states of  $M, B$ , respectively. Let  $M - B$  denote the part of  $M$  that is not  $B$ . Wlog, we assume that all states of  $M$  are reachable from  $start_M$ , and that, from every state of  $M$ , some exit state of  $M$  is reachable. We also assume that  $M$  is total. Likewise for  $B$ . The reachability problem for hierarchical Kripke structures is solvable by a polynomial time depth-first search [Alur and Yannakakis 2001], so we can check this efficiently, and remove unreachable states. To avoid state-explosion, we repair level-by-level. We (inductively) repair  $B$  w.r.t. a specification  $\eta_B$  that describes the behavior of  $B$ . We then repair  $M$

w.r.t. a “coupling specification”  $\varphi$ , which describes how  $M$  uses  $B$ . Finally, we show that  $\eta_B \wedge \varphi \Rightarrow \eta$  is a CTL validity, from which we infer  $M \models \eta$ .

To show soundness, we use weak (i.e., stuttering) forward simulations [Grumberg and Long 1994; Browne et al. 1988], and so our results are restricted to ACTL-X, the universal fragment of CTL without nexttime [Grumberg and Long 1994].

**Definition 7.1 (Weak forward simulation).** Let  $M = (S_0, S, R, L, AP)$  and  $M' = (S'_0, S', R', L', AP')$  be Kripke structures such that  $AP \supseteq AP'$ . Let  $AP'' \subseteq AP'$ . A relation  $H \subseteq S \times S'$  is a weak forward simulation relation w.r.t.  $AP''$  iff, for all  $s, s' \in H(s, s')$  implies: (1)  $L(s) \cap AP'' = L(s') \cap AP''$ , and (2) for every fullpath  $\pi$  from  $s$  in  $M$ , there exists a fullpath  $\pi'$  from  $s'$  in  $M'$  and partitions  $B_1 B_2 \dots$  of  $\pi$ ,  $B'_1 B'_2 \dots$  of  $\pi'$ , such that for all  $i \geq 1$ ,  $B_i, B'_i$  are both nonempty and finite, and every state of  $B_i$  is  $H$ -related to every state of  $B'_i$ .

Write  $M \leq_{AP''} M'$  iff there exists a weak forward simulation  $H$  w.r.t.  $AP''$  and such that  $\forall s \in S_0, \exists s' \in S'_0 : H(s, s')$ . Note that we do not consider fairness here.

**PROPOSITION 7.2.** Suppose  $M \leq_{AP''} M'$  for some set  $AP''$  of atomic propositions. Then, for any ACTL-X formula  $f$  over  $AP''$ , if  $M' \models f$  then  $M \models f$ .

**PROOF.** Adapt the proof of Theorem 3 in Grumberg and Long [1994] to deal with stuttering. That is, remove the case for AX, and adapt the argument for AU to deal with the partition of fullpaths into blocks. The details are straightforward.  $\square$

We make the simplifying technical assumption that there is a bijection between states and propositions, so that each proposition holds in exactly its corresponding state, and does not hold in all other states. This implies an assumption of “alphabet disjointness” between  $M$  and  $B$ :  $M$  invokes  $B$  by entering  $start_B$ , and  $B$  returns a result by selecting a particular exit state.

### 7.1. Verification of the box specification

To infer  $M \models \eta_B$  from  $B \models \eta_B$ , we construct a version of  $B$  which reflects the impact on  $B$  of being placed inside  $M$ . We call this  $B_M$ , the “ $M$ -situated” version of  $B$ .

**Definition 7.3 ( $B_M$ , the  $M$ -situated version of  $B$ ).** Construct  $B_M$  from  $B$  as follows. Include all the states and transitions of  $B$ . Add two “interface” states  $pre_B$  and  $post_B$ . Let  $pre_B$  be the single initial state of  $B_M$ . Add a transition from  $pre_B$  to  $start_B$ , the initial state of  $B$ , and a transition from every  $s \in O_B$  (i.e., every exit state of  $B$ ) to  $post_B$ . Add a self loop on  $post_B$ . If, in  $M$ , some fullpath from  $start_M$  does not enter  $B$ , then add a transition from  $pre_B$  to  $post_B$ . If, in  $M$ , there is a path in  $M - B$  (i.e., the states and transitions of  $M$  that are not in  $B$ ) from some state in  $O_B$  to  $start_B$ , then add a transition from  $post_B$  to  $pre_B$ .

Let  $AP_B$  be the set of atomic propositions corresponding to states in  $B$ , including  $start_B$  and all states in  $O_B$ . Def. 7.3 ensures that  $B_M$  contains paths that simulate (w.r.t.  $AP_B$ ) any path in  $M$ .

**PROPOSITION 7.4.**  $M \leq_{AP_B} B_M$

**PROOF.** Define a weak forward simulation  $f$  from  $M$  to  $B_M$  as follows.  $f$  relates  $start_M$  to  $pre_B$ . A state of  $M$  that is in  $B$  is related by  $f$  to “itself”. A state  $s$  of  $M$  that is not in  $B$  is mapped as follows: If  $start_B$  is reachable from  $s$ , then  $f$  relates  $s$  to  $pre_B$ . If  $start_B$  is not reachable from  $s$ , then  $f$  relates  $s$  to  $post_B$ .

We verify that  $f$  satisfies Def. 7.1. Let  $\pi$  be an arbitrary fullpath in  $M$  that starts in  $start_M$ , and let  $\pi'$  be the simulating fullpath in  $B_M$ . If  $\pi$  does not enter  $B$ , then  $\pi' = pre_B \rightarrow post_B^\omega$ . If  $\pi$  enters  $B$  some number  $n > 0$  times, and eventually leaves  $B$

forever, then  $\pi' = (pre_B \rightarrow B \rightarrow post_B)^{n-1} \rightarrow pre_B \rightarrow B \rightarrow post_B^\omega$ . If  $\pi$  enters  $B$  some number  $n > 0$  times, and eventually remains in  $B$  forever, then  $\pi' = (pre_B \rightarrow B \rightarrow post_B)^{n-1} \rightarrow pre_B \rightarrow B$ . If  $\pi$  enters  $B$  an infinite number of times, then  $\pi' = (pre_B \rightarrow B \rightarrow post_B)^\omega$ . It is straightforward to check, from Def. 7.3, that  $\pi'$  exists in all cases, and that the block-correspondence required by Def. 7.1 also exists. The occurrence of  $B$  in “path expression”  $pre_B \rightarrow B \rightarrow post_B$  means that we “take a path through  $B$ ”.  $\square$

**COROLLARY 7.5.** *For any  $ACTL\text{-}X$  formula  $f$  over  $AP_B$ , if  $B_M \models f$  then  $M \models f$ .*

**PROOF.** Follows immediately from Prop. 7.2 and Prop. 7.4.  $\square$

## 7.2. Verification of the coupling specification

**Definition 7.6 (Abstract box).** Construct the abstraction  $B_A$  of  $B$  as follows. The states of  $B_A$  are  $\{start_B, int_B\} \cup O_B$ , i.e., the start state, all exit states, and a new state  $int_B$ , which represents the interior of  $B$ .  $int_B$  has the empty propositional labeling. Add the set of transitions  $\{(start_B, int_B)\} \cup \{(int_B, s) \mid s \in O_B\}$  to  $B_A$ , i.e., there is a transition from the start state to the interior state, and from the interior state to every reachable exit state. If  $B$  contains cycles, then also add the transition  $(int_B, int_B)$ , i.e., a self-loop on  $int_B$ , which models the possibility of remaining inside  $B$  forever.

Let  $M_A$  be the result of replacing  $B$  by  $B_A$  in  $M$ , and let  $AP_C$  be the set of atomic propositions corresponding to the start state of  $B$ , the exit states of  $B$ , and all states of  $M$  that are not in  $B$ .

**PROPOSITION 7.7.**  $M \leq_{AP_C} M_A$ .

**PROOF.** Construct a forward simulation  $f$  from  $M$  to  $M_A$  as follows. A state of  $M$  that is not in  $B$  is mapped to “itself” in  $M_A$ . Likewise, the start state of  $B$  and every exit state of  $B$  are mapped to themselves (this is possible since  $B_A$  contains these states). Internal states of  $B$  are all mapped to the state  $int_B$  of  $B_A$ .  $\square$

**COROLLARY 7.8.** *For any  $ACTL\text{-}X$  formula  $f$  over  $AP_C$ , if  $M_A \models f$  then  $M \models f$ .*

**PROOF.** Follows immediately from Prop. 7.2 and Prop. 7.7.  $\square$

## 7.3. Hierarchical repair

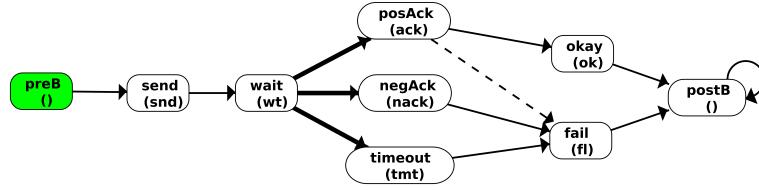
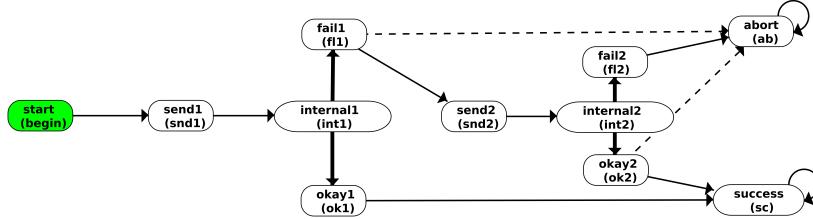
We summarize our hierarchical repair method for  $M$  w.r.t.  $\eta$ : (1) repair  $B_M$  w.r.t.  $\eta_B$ , and conclude by Cor. 7.5 that  $M \models \eta_B$ , (2) repair  $M_A$  w.r.t.  $\varphi$ , and conclude by Cor. 7.8 that  $M \models \varphi$ , and (3) show  $\models \eta_B \wedge \varphi \Rightarrow \eta$ , and so conclude  $M \models \eta$ .

**THEOREM 7.9.** *If  $M_A \models \varphi$ ,  $B_M \models \eta_B$ , and  $\models \eta_B \wedge \varphi \Rightarrow \eta$ , then  $M \models \eta$ .*

**PROOF.** From  $B_M \models \eta_B$  and Cor. 7.5, we have  $M \models \eta_B$ . From  $M_A \models \varphi$  and Cor. 7.8, we have  $M \models \varphi$ . From  $M \models \eta_B$ ,  $M \models \varphi$ , and  $\models \eta_B \wedge \varphi \Rightarrow \eta$ , we have  $M \models \eta$ .  $\square$

## 7.4. Example: phone system

Consider the phone call example from Alur and Yannakakis [2001]. Fig. 14 shows  $B_M$ , the  $M$ -situated version of a box  $B$  that attempts to make a phone call; from the start state send, we enter a waiting state wait, after which there are three possible outcomes: timeout, negAck (negative acknowledgement), and posAck (positive acknowledgement). timeout and negAck lead to failure, i.e., state fail, and posAck leads to placement of the call, i.e., state ok. Fig. 15 shows  $M_A$ , the overall phone call system, with  $B$  replaced by  $B_A$ .  $M_A$  makes two attempts, and so contains two instances of  $B_A$ . If the first attempt succeeds, the system should proceed to the success final state. If the first attempt fails, the system should proceed to the start state of the second attempt. If the second attempt succeeds, the system should proceed to the success final state,

Fig. 14. Repaired box  $B_M$  for a single phone-callFig. 15. Repaired structure  $M$  for phone-call example

while if the second attempt fails, the system should proceed to the abort final state. The relevant formulae are:

- (1) specification formula  $\eta$  for  $M$ :  $\text{AG}((\text{ack}_1 \vee \text{ack}_2) \Rightarrow \text{AF}(\text{suc}))$ , i.e., if either attempt receives a positive ack, then eventually enter success state.
- (2) specification formula  $\eta_B$  for  $B$ :  $\text{AG}(\text{ack} \Rightarrow \text{AF}(\text{ok}))$ , i.e., a positive ack implies that the phone call will be placed. We repair  $B_M$  w.r.t.  $\eta_B$  using Eshmun: the transition from posAck to fail is deleted, as shown in Fig. 14.
- (3) “coupling” formula  $\varphi$ :  $\text{AG}((\text{ok}_1 \vee \text{ok}_2) \Rightarrow \text{AFsc}) \wedge \text{AG}(\text{fl} \Rightarrow \text{AF}(\text{snd}2))$ , i.e., if either call is placed, then eventually enter success state, and if first attempt fails, go to second attempt. We repair  $M_A$  w.r.t.  $\varphi$  using Eshmun, checking retain for all internal transitions of  $B_A$ : send  $\rightarrow$  int, int  $\rightarrow$  ok, int  $\rightarrow$  fl. The transitions from fail1 to abort and okay2 to abort are deleted, as shown in Fig. 15.

Eshmun checks the validity of  $\eta_B \wedge \varphi \Rightarrow \eta$  by using the CTL decision procedure of Emerson and Clarke [1982]: we check satisfiability of  $\neg(\eta_B \wedge \varphi \Rightarrow \eta)$ . By Th. 7.9, we conclude that  $M \models \eta$ .

Using our 3.3GHz Intel Xeon PC, we achieved linear increase in repair time with the number of attempted phone calls:

	#Calls	1	2	3	4	5
Phone	0.1	0.17	0.25	0.34	0.4	

## 8. EXPERIMENTS AND BENCHMARKS

Fig. 16 gives experimental results for repairing mutual exclusion (w.r.t. safety) and also barrier synchronization (two processes pass through a sequence of “barriers”, and cannot be out of sync by more than one barrier). The structures used were generated by a Python program.  $N$  is the number of processes in mutual exclusion and the number of barriers in barrier synchronization. Fig. 17 gives experimental results for various (pairwise) concurrent Kripke structures for safe mutual exclusion (Fig. 7), safe and live mutual exclusion (Fig. 4), and dining philosophers. We used a linux PC with openJDK 7, a 3.3GHz Intel Xeon CPU, and 8GB of RAM. For safe mutex and dining

Fig. 16. Times (in seconds) taken to repair the given structures

Name	$N$	Basic repair	Abst. by label	Abst. by sub frm.
Mutex	2	0.083	0.026	0.036
Mutex	3	0.51	0.25	0.038
Mutex	4	2.34	0.84	0.17
Mutex	5	89.08	2.48	1.59
Barrier	2	0.31	0.11	0.017
Barrier	3	0.67	0.21	0.047
Barrier	4	1.03	0.76	0.11
Barrier	5	1.81	1.04	0.26

Fig. 17. Times (in seconds) to repair given pairwise concurrent structures

#	5	10	15	20	25	50
Mutex	0.33	0.64	0.84	1.35	1.86	7.25
Mx. Lb. <sup>2</sup>	0.051	0.24	0.82	1.67	2.04	3.85
Mx. Frm. <sup>3</sup>	0.037	0.078	0.16	0.30	0.48	2.16
Live Mx.	1.98	7.03	21.17	49.2	101	1404
D. Ph.	0.48	0.59	0.67	0.74	0.81	1.20

2: abstracted by label, 3: by sub formula.

philosophers, Fig. 17 agrees closely with Prop. 6.1. For mutex, the number of pairs is  $N(N - 1)/2$ , and the number of pairs that  $P_i$  is involved in is  $N - 1$ , and so we expect quadratic growth with  $N$ . For dining philosophers (in a ring), the number of pairs is  $N$  and the number of pairs that  $P_i$  is involved in is 2, and so we expect linear growth with  $N$ . For live mutex, Eshmun started exhausting RAM and swapping frequently, which accounts for the greater than quadratic increase in run time (still less than cubic, though). The increased RAM usage is because the CTL specification  $\eta$  is longer when liveness is added, which makes the repair formula larger. For safe mutex, the un-repaired global structure (product of  $N$  processes) contains  $3^N$  states and  $3^N N$  transitions. Pairwise representation reduces this to  $3^2 \frac{N(N-1)}{2}$  states and  $3^2 N(N - 1)$  transitions. With 50 Processes, we had 11025 and 22050 states and transitions, with 3675 and 13475 deleted. The formula had 917550 and 2173825 clauses and literals.

## 9. RELATED WORK

Attie and Emerson [1996; 2001] used state and transition deletion to repair Kripke structures in the context of atomicity refinement: a concurrent program is refined naively (e.g., by replacing a test and set by the test, followed non-atomically by the set). In general, this introduces new computations (due to new interleavings) which violate the specification. These are removed by deleting states and transitions.

Several papers [Clarke et al. 1995; Hojati et al. 1993; Stirling and Walker 1991; Shoham and Grumberg 2003] generate counterexamples from failed model checking runs, but none give a method for repairing the counterexamples. Biere et al. [1999] proposed the idea of generating a propositional formula from a model checking problem, such that the formula is satisfiable iff the specification  $f$  can be verified within a fixed number  $k$  of transitions along some path ( $Ef$ ). By setting  $f$  to the negation of the required property, counterexamples can be generated. Repair is not discussed.

Buccafurri et al. [1999] posed the repair problem for CTL and solved it using abductive reasoning. They generate repair suggestions that are verified by model checking, one at a time. In contrast, we fix all faults at once. Jobstmann et al. [2005] and Staber et al. [2005] present game-based repair methods for programs and circuits, but their method is complete (i.e., if a repair exists, then find a repair) only for invariants, and not for a full temporal logic (e.g., CTL, LTL). Zhang and Ding [2008] present a “model

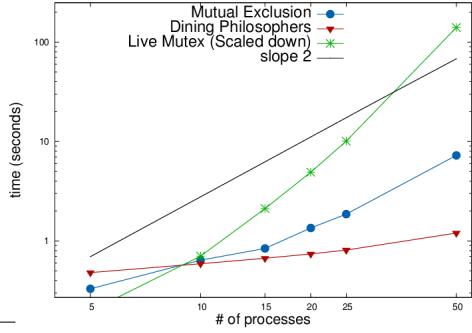


Fig. 18. Repair time for given programs

update” method based on five operations: add a transition, remove a transition, change the propositional labeling of a state, add a state, and remove an isolated state. They present a “minimum change principle”, which essentially states that the repaired model retains as much as possible of the information present in the original model. Their repair algorithm runs in time exponential in  $|\eta|$  and quadratic in  $|M|$ , but appears to be highly nondeterministic, with several choices of actions (e.g., “do one of (a), (b), and (c)”). They do not discuss how this nondeterminism is resolved. Carrillo and Rosenblueth [2009] presents a syntax-directed repair method that uses “protections” to deal with conjunction, and a representation of Kripke structures as “tables”. Chatzileftheriou et al. [2012] repair abstract structures, using Kripke modal transition systems, 3-valued CTL semantics, and these operations: add/remove a may/must transition, change the propositional label of a state, and add/remove a state. They aim to minimize the number of changes made to the concrete structure. Their repair algorithm is recursive CTL-syntax-directed.

## 10. CONCLUSIONS AND FUTURE WORK

We presented a method for repairing a Kripke structure w.r.t. a CTL formula  $\eta$  by deleting transitions and states, and implemented it as an interactive graphical tool, Eshmun. This enables gradual design and construction of Kripke structures, aided by immediate semantic feedback. Our method handles concurrent and hierarchical Kripke structures, which are exponentially more succinct than normal Kripke structures, without incurring state-explosion. For (pairwise) concurrent structures the size of the repair formula is quadratic in the number of processes, so that 50-process mutual exclusion, with about  $3^{50}$  states, is repaired in under 8 seconds. Moreover, the results of Attie [2015] show that using pairwise form entails no loss of expressiveness: any finite state concurrent program can be written in pairwise form. Hierarchical structures are repaired “one level at a time”, so the exponential blowup caused by replacing boxes by their definitions is avoided. We also count the number of deleted states and transitions, which allows a user of Eshmun to find the repair with the maximum or minimum number of deletions. Our experimental results validated avoidance of state-explosion. In particular, there were no “difficult” cases that defeated the SAT-solver.

Our repair algorithm cannot add states and transitions. However, given a multiprocess Kripke structure  $M$ , it is easy to add in all possible transitions: generate the synchronization skeletons, replace all guards by “true”, and then generate a new structure  $M'$  which is then repaired. We will also support action-based models of concurrency, and deal with alternating-time temporal logic [Alur et al. 2002]. Finally, we will attempt to repair infinite-state models using abstractions and SMT solvers.

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Received January 2015; revised March 2015; accepted ?? 2015