AAU Pandemic Ventilator

WARNING

- The AAU Pandemic Ventilator is <u>only to be used</u> if no CE-marked sufficient equipment is available.
- This device is only intended for use on adult patients.
- This device is only intended for invasive mechanical ventilation.
- Using this device is entirely the user's responsibility.

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1 Introduction

1.1 Device description

This section provides a general description of the AAU Pandemic Ventilator .

1.1 Intended use, users, and environment

The AAU Pandemic Ventilator is intended for treating patients with respiratory failure or insufficiency. The AAU Pandemic Ventilator should only be used by professional health care personal trained in using this specific ventilator. The AAU Pandemic Ventilator should only be used in hospital environments and other facilities with the primary purpose of treating respiratory complications. The AAU Pandemic Ventilator can be used for transport between or within health care facilities.

1.1 Disclaimer

The AAU Pandemic Ventilator should only be used when no CE-marked ventilator is available. The AAU Pandemic Ventilator should only be used as described above in section 1.1 and following the instructions provided in this user manual. The AAU Pandemic Ventilator only supports invasive volume control ventilation.

1.2 Warnings

Always conform to the safety guidelines and warnings of this user manual and provided on the ventilator user interface.

1.2 General

Always perform a pre-use check before connecting the ventilator to the patient. Omitting this may result in malfunction and harm to the patient.

Alarms are persistent and should not be omitted. This could seriously harm the patient.

1.2 Mechanical and gases

- Do not open the system. All controls are located at the front via the screen or at the left side (the PEEP valve).
- The system is not intended to be used with any anesthetic agents.
- The gases in the system must conform to the following standards for concentrations of water vapor and oil:
 - o Air: $H_2O < 7 \text{ g/m}^3$; Oil < 0.5 mg/m³
 - o Oxygen: $H_2O < 20 \text{ mg/m}^3$

1.2 Electrical

The power cord should be connected only to a properly grounded AC electrical outlet.

1.3 Versions and configurations

These sections describe different available versions of the AAU Pandemic Ventilator system. This manual covers the following versions:

• AAU Pandemic Ventilator volume control

2 System overview

2.1 AAU Pandemic Ventilator

This section describes the overall components of the ventilator. Please refer to Figure 1: AAU Pandemic Ventilator overview. 1: Air and O_2 supply, 2: Power cord, 3: Screen and controls, 4: Inspiratory outlet, 5: Expiratory inlet, 6: PEEP adjustment. for further information. The ventilator is intended to be used as seen in the figure but will function positioned in any direction.



Figure 1: AAU Pandemic Ventilator overview. 1: Air and O₂ supply, 2: Power cord, 3: Screen and controls, 4: Inspiratory outlet, 5: Expiratory inlet, 6: PEEP adjustment.

- 1. Air and O₂ supply
- 2. Power cable
- 3. User interface
- 4. Inspiratory outlet (delivers fresh gas to patient at inspiration)
- 5. Expiratory inlet (expiratory gas returning from the patient)
- 6. Adjustment of PEEP and expiratory gas outlet.

2.2 User interface

The user interface consists of a 4-inch screen and 4 analog buttons placed below the screen, see embodiment in Figure 2. The user interface is controlled using the 4 buttons. This allows the user to change settings, measurements, alarms and to switch on and off ventilation.



Figure 2: Example of implementation of the user interface.

2.2 Setting PEEP

The Positive End Expiratory Pressure (PEEP) is adjusted directly on a mechanical valve placed on the left-hand side of the ventilator, whereas all other settings are controlled via the graphical user interface.

2.3 User interface components

The screen is divided in to 4 areas, seen in Figure 3 and explained below. The right-hand side of the panel (1) and the bottom part (3) are static and always visible to the user. When a menu item or setting is selected, this is marked by a green dot near the item. Navigation is described in the next section, 2.4.

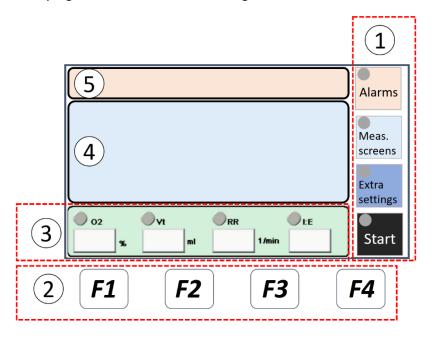


Figure 3:User interface components and buttons for control

- 1. Navigable menu. The right-hand side of the screen shows which menu item is selected and the available action for the ventilator, based on its status.
 - a. When the ventilator is in stand-by mode, bottom right corner shows 'Start' (as seen in Figure 3 above).
 - b. When the ventilator is turned on and ventilating, bottom right hand corner shows 'Stop' (displays an additional menu where the user needs to confirm this action, see Figure 7.)
- 2. Analog buttons for navigation. Explained in detail in section 2.4.
- 3. Ventilator main settings. Displays the current settings for:
 - a. inspired oxygen in percent (%).
 - i. Range 21% to 100% in steps of 10%. Default 40%.
 - b. tidal volume in milliliters (ml).
 - i. Range 250ml to 600ml in steps of 50ml. Default 350ml.
 - c. respiratory rare in times per minute (min-1).
 - i. Range 6 to 35 breath per minute in steps of 1. Default 15.
 - d. I:E ratio.
 - i. Can be changed between 1:1, 1:2, and 1:3. Default 1:2.
- 4. Measurement and settings screen. Shows measurements or extra settings depending on selection in the right-hand side menu (#1).
- 5. Description of and number of alarms.

2.4 Navigating the user interface

The user interface is navigated using the 4 analog buttons below the screen, see Figure 3 number 2. A green indicator light shows which element is active on the screen. In summary, the main functions of the buttons and their icons:

- F1: Toggle between main setting (Panel 3, Figure 3). Pressing this button once will at anytime select the last used setting (O₂, inspiratory oxygen at start-up). Additionally, pressing the button cycles through the 4 settings going from left to right, e.g selecting respiratory rate (RR) is achieved by pressing F1 three times.
- F2: Increment (+) in the selected setting. If Vt is chosen and F2 is pressed, Vt is incremented by 50 ml. This is seen in Figure 4: Increasing tidal volume (Vt) by 50 ml. Left: F1 is pressed twice to select Vt as indicated by the green dot. Right: F2 is pressed once to increase the highlighted setting. Vt increases in steps of 50ml resulting in 400ml..
- F3: Decrease (-) the selected setting or activate (Enter) buttons on digital screen.
- F4: Toggle between items in digital screen (except main settings which are accessed by F1).



Figure 4: Increasing tidal volume (Vt) by 50 ml. Left: F1 is pressed twice to select Vt as indicated by the green dot. Right: F2 is pressed once to increase the highlighted setting. Vt increases in steps of 50ml resulting in 400ml.

2.4 Accepting changes

After a setting has been changed (as exemplified in Figure 4: Increasing tidal volume (Vt) by 50 ml. Left: F1 is pressed twice to select Vt as indicated by the green dot. Right: F2 is pressed once to increase the

highlighted setting. Vt increases in steps of 50ml resulting in 400ml.) the new setting is automatically adjusted by the ventilator following the next inspiration/expiration cycle. The green indicator light and the ability to adjust settings/select menu items using **F2** or **F3** is turned off after a short time period.

2.5 User interface views

2.5 Measurements

Measurements are shown in the middle of the screen with the possibility to change the view between two different screens. By default, when the ventilator is in standby mode, the first measurement screen is displayed although no values are present.

When ventilation is active, measurements will update following each breath. Using **F4** to navigate the right-hand side menu and selecting Meas. Screens using **F3** will switch between measurement screen 1 and two. The two measurement screens are seen in Figure 5: Measurement screens 1 and 2 during ventilation..

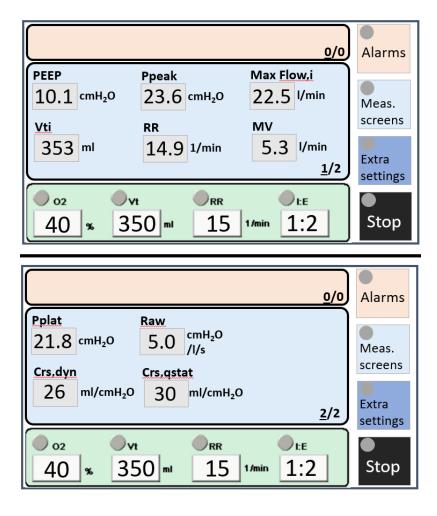


Figure 5: Measurement screens 1 and 2 during ventilation.

All available measuremetrs are described in section 5.1.

2.5 Extra settings

In the extra settings menu, the user has the possibility to adjust additional settings affecting ventilation. Extra settings are seen in Figure 6.

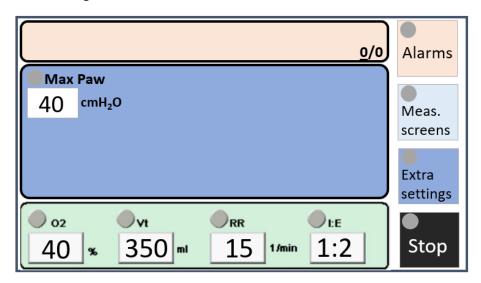


Figure 6: Center of user interface showing Extra settings screen.

2.5 Alarm display

When alarms occur, they are displayed in the top most part of the user interface. When multiple alarms are active, the latest alarm will be displayed. Selecting Alarms in the right-hand side menu allows the user to toggle through active alarms. Alarms are listed and described in section 5.3.

2.5 Termination of ventilation

When the user wishes to terminate ventilation and return to stand-by mode, an additional acknowledgement is required. Upon selecting Stop in the right-hand side menu (Using **F4** and **F3**) a pop-up screen as seen in Figure 7 will be displayed, requiring the user to push **F1** to terminate. This two-stage process is implemented to avoid accidentally terminating ventilation while navigating menus.

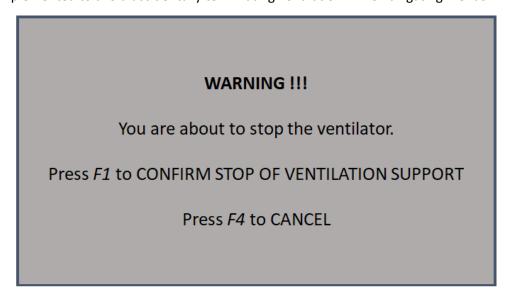


Figure 7: Termination of ventilation is a two-step process which requires the user to confirm the action by pressing F1.

2.6 Respiratory unit

The respiratory unit of the ventilator ensures proper mixing of inspiratory gas, delivery of selected volume, measurements (and control) of pressure and flow, and expiration at elevated pressure (PEEP). A schematic drawing of the main components is shown in Figure 8.

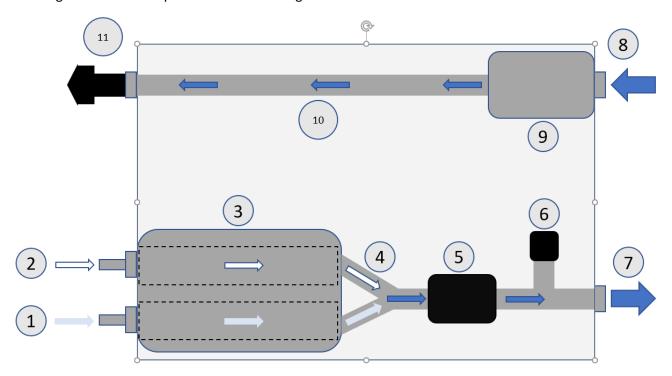


Figure 8: Respiratory unit of the ventilator. 1: Air inlet. 2: O2 inlet. 3: inspiratory valves. 4: gas mixing manifold. 5: flow and pressure measurement. 6: safety relief valve. 7: inspiratory outlet port. 8: expiratory inlet port. 9: expiratory valve. 10: expiratory gas circuit.

11: PEEP valve and expiratory gas outlet.

3 Power supply

The ventilator is equipped with an AC power supply than can be operated using either 100-120V or 220-240V, both AC. All internal components and the user-interface screen are supplied by 24V or 5V. A battery pack is installed in the ventilator, allowing continuous use for more than 30 minutes. An option exists for connecting additional batteries for prolonged operation without the need for a power plug.

It is recommended that the ventilator is plugged in to a reliable power source whenever possible as this keeps batteries charged at all times.

3.1 Alarms and safety

Whenever the ventilator is turned on (in standby mode or active ventilation) an alarm will sound and display if the main plug is disconnected and the system runs on battery.

Warning: When the ventilator is running on batteries it will eventually shut down as batteries become fully discharged. Make sure a power plug is connected whenever possible.

4 Operating the ventilator

This section describes normal use of the ventilator, including how to connect the ventilator to a patient. Pre-use setup and routine checks are also described.

4.1 Connections and hoses (for technical staff)

All gas connectors and hoses comply with BS EN ISO 5359:2014+A1:2017, ISO 5359:2014/AMD 1:2017 and BS 2050: 1978 Electrical Conductivity.

Warning: Please check that connectors and hoses comply with local requirements.

4.2 Pre-use setup

Before operating the ventilator for the first time, make sure that a proper power cord and plug is installed. This should comply with local standards for electrical equipment.

Oxygen (O_2) and air supply needs proper hosing. By default, 5-metre hoses with special connectors for O_2 and air are installed on the ventilator. Both hoses are for medical grade use and are fixed to the ventilator.

Warning: If hoses for O_2 and air are replaced make sure new ones are attached correctly and that they are not interchangeable. The ventilator will not function correctly if O_2 and air supply is switched. The ventilator is not capable of measuring O_2 fraction and can thus not check if hoses are attached correctly.

4.3 Pre-use check

Every time the system is powered on and before ventilating a patient, it is recommended to run a pre-use check. This is also recommended if the ventilator is swapped to a new patient.

The ventilator will function without running a pre-use check and can be use immediately after power-up.

The pre-use check includes tests and measurements of:

- O₂ and Air supply pressures
- O₂ and Air flows
- Inspiratory valves

During the pre-use test, the ventilator will ask the user to connect a hose connecting the inspiratory outlet and the expiratory inlet. This allows test and measurement of:

- Internal leakage
- Safety valve
- PEEP value.
- Expiratory valve

If the ventilator detects a hose connecting the inspiratory outlet and the expiratory inlet, a full pre-use check is performed, else only the three first points are tested and measured.

4.4 Connecting a patient

Before connecting hoses to ventilator and patient make sure that all appropriate filters, HME and water traps are connected.

Connecting the ventilator to a patient requires a standard issue y-hose as seen below in Figure 9.

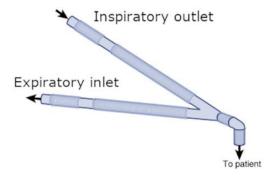


Figure 9: Y-hose connecting ventilator to patient.

Antibacterial filters should be changed on a regular basis and y-hose when a new patient is connected.

Below is a list describing the above:

- Make sure an empty water trap is connected to the expiratory inlet.
- Connect antibacterial filters to respiratory circuit at inspiratory and/or expiratory valve and/or at
 patient end of y-piece of hose. (In e.g. COVID-19 patients it has been advised to insert a highefficiency particulate air (HEPA) filter on every oxygenation interface including endotracheal tube
 and respiratory circuit)
- Connect y-hose to expiratory inlet.
- Connect y-hose to inspiratory outlet
- Optionally, connect Heat-moisture exchanger (HME) at patient end of y-piece of hose. (This has been advised in e.g. COVID-19 patients)
- Connect hose to patient

Warning: All items connected between ventilator and patient, such as hoses, HMEs and filters, are single-use items, and must be replaced between use of the ventilator on different patients.

4.5 Ventilation mode

The ventilator operates in one mode – volume control ventilation (VCV). This is a time-cycled and flow-controlled mode of ventilation for patients with no respiratory drive.

The ventilator does not support patient initiation of ventilation.

Warning: In a patient with respiratory drive intact or recovering, serious patient-ventilator asynchrony may occur. It is therefore important to monitor patient to detect signs of active breathing efforts and sedate as appropriate.

4.6 Breath cycle and flow delivery in VCV

A breath in VCV contains in this order:

- 1. An inspiratory rise period where flow increases
- 2. A constant flow period, which with the inspiratory rise period delivers the specified volume
- 3. An inspiratory pause period with all valves closed
- 4. A passive expiration period where inspiratory valves are closed and expiratory valve is open

The settings and constants used for defining breath cycle and flow delivery are specified in section 5.2.

4.7 Ventilation startup and management

When the patient is properly connected and appropriate settings are selected, ventilation is started by selecting Start at the user interface. This is seen in Figure 10: Standby mode with Start selected in the right-hand side menu, but before first breath.. When Start is selected (using F4) and confirmed (using F3) the ventilator immediately starts ventilation of the patient.

Note: Level of PEEP is not selected using the graphical user interface but needs to be adjusted manually using a mechanical valve at the ventilators left-hand side. For further instructions, see section 2.2 Setting PEEP.

After starting ventilation, the user of the system should always control the level of PEEP measured by the ventilator and assure peak pressure is not too high.

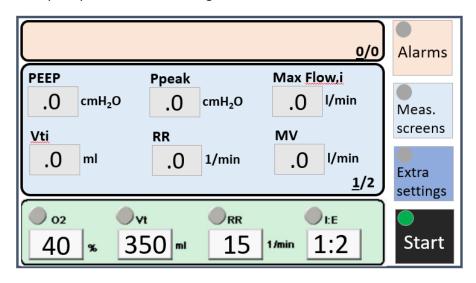


Figure 10: Standby mode with Start selected in the right-hand side menu, but before first breath.

Ventilator settings and constants used for controlling breaths are listed and described in section 5.2.

5 Measurements, settings and alarms

As described earlier, the user interface displays measurements, settings and alarms which can be navigated, selected and changed using the buttons **F1** to **F4**. This section describes the available measurements, settings and correspondent alarms.

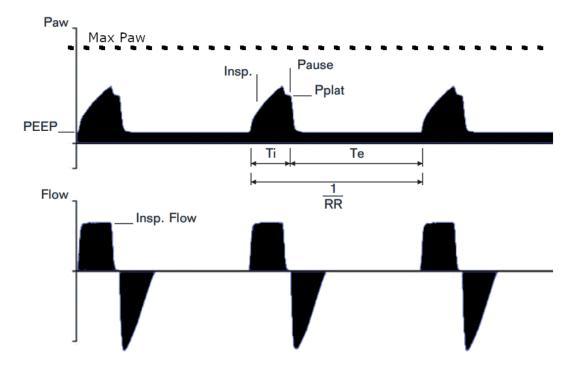


Figure 11: Pressure and flow profiles with specific measurements marked.

5.1 Measurements

All measurements are presented as 1 min average of breath-by-breath measurements. See figure 10 for specification of some of these measurements during the respiratory cycle.

Value	Acronym	unit	Explanation
Positive end-expiratory	PEEP	cm H2O	Pressure at end-expiration precedig inspiration
pressure			
Peak inspiratory	Ppeak	cm H2O	Highest pressure during inspiration
pressure			
Maximum inspiratory	Max Flow,i	l/min	The maximum inspiratory flow during inspiration.
flow			
Inspiratory tidal	Vti	ml	The actual delivered volume during inspiration
volume			
Respiratory rate	RR	1/min	The actual achieved respiratory rate
Minute ventilation	MV	l/min	The volume of gas delivered to the patient per
			minute (RR*Vti)
Dynamic compliance	Crs,dyn	ml/	The compliance of the respiratory system during
of respiratory system		cm H2O	inspiration with flow, as measured at the inspiratory
			valve of the ventilator (Crs,dyn = Vti/(Ppeak-PEEP)).
Plateau pressure	Pplat	cm H2O	The plateau pressure measured at no flow during
			inspiratory pause, as measured at the inspiratory
			valve of the ventilator.
			NOTE: requires inspiratory pause, which is always
			included in VCV
Quasistatic compliance	Crs,qstat	ml/	The quasistatic compliance of the respiratory system
of respiratory system		cm H2O	during inspiratory pause, as measured at the

			inspiratory valve of the ventilator (Crs,qstat = Vti/(Pplat-PEEP)).
			NOTE: requires inspiratory pause, which is always
			included in VCV
Airway resistance	Raw	cm H2O/	The resistance of the airways
		I/s	(Raw = (Ppeak-Pplat)/ (Max Flow,i/60)).
			NOTE: requires inspiratory pause, which is always
			included in VCV.

5.2 Settings and constants

This section describes the settings available in the user interface and constants used for determining breath cycles.

Settings on user interface:

Main settings	Default [range]	Step	unit	Description
02	40 [21-100]	10	%	Percentage of oxygen in inspired gas.
Vt	350 [250 – 600]	50	ml	Volume provided to patient in each breath
RR	15 [6-35]	1	1/min	Number of breaths per minute.
I:E	1:2 [1:1, 1:2, 1:3]	-	-	Inspiratory to expiratory ratio. E.g. an I:E
				of 1:2 means expiration is twice the
				duration of inspiration, I.e. inspiratory
				time = 1/3 of total breath duration.
Additional settings	Default [range]	Step	unit	Description
Max Paw	40 [15-40]	5	Cm H2O	Software pressure release and alarm limit.
				If inspiratory pressure > this limit, the
				expiratory valve will open until airway
				pressure < limit and an alarm will be given.

Constants included in determining breath cycle and delivery of gas:

Constant	Value	Unit	Description
Inspiratory rise time	5	%	Percentage of total breath duration where flow is increasing up to required constant flow to deliver the volume specified by set Vt. E.g. for a RR of 15 1/min, breath duration is 4 s, giving an inspiratory rise time of 0.2 s.
Inspiratory pause time	10	%	Percentage of total breath duration where all valves are closed for flow of 0 L/min at end of inspiration. E.g. for a RR of 15 1/min, breath duration is 4 s, with an inspiratory pause of 0.4 s. At an I:E of 1:2, inspiratory time for RR=15 1/min is 1.33 s meaning that 1.33 - 0.4 = 0.93 s remain for rise and constant flow delivery.

5.3 Alarms

5.3 Gas failure alarm

If wall O2 or air pressure drops an alarm will sound and alarm text will appear on alarm screen.

Warning: if "gas failure alarm" appear, ventilation might stop and cause harm or death to the patient. Check that all hoses and connectors are correctly attached and pressure range on wall air and O2 manometers.

5.3 Electrical supply failure alarm

If electrical supply fails (I.e. power cut or the power cord is pulled from the wall) an alarm will sound and alarm text will appear on alarm screen.

Warning: If "electrical failure alarm" appears, ventilation might stop and cause harm or death to the patient.

The ventilator will by default continue running of battery. When the ventilator is running on batteries it will eventually shut down as batteries become fully discharged. Make sure a power plug is connected whenever possible.

5.3 Switch off in mandatory ventilation alarm

Whenever the ventilator is turned off during active ventilation an alarm will sound and alarm text will appear on alarm screen.

Warning: If "electrical failure alarm" appears, ventilation might stop and cause harm or death to the patient.

The ventilator will by default continue running of battery. When the ventilator is running on batteries it will eventually shut down as batteries become fully discharged. Make sure a power plug is connected whenever possible.

5.3 High inspiratory pressure alarm

If inspiratory pressure exceeds that specified by the user, an alarm will sound and alarm text will appear on alarm screen.

Warning: If "high inspiratory pressure alarm" appears, the patient is in risk of lung injury or death.

Immediately turn down the tidal volume and or PEEP valve and review if the alarm disappears. If not, switch the patient to another ventilator.

5.3 Low inspiratory pressure and/or PEEP alarm

If inspiratory pressure or PEEP is lower that specified by the user, an alarm will sound and alarm text will appear on alarm screen.

Warning: If "low inspiratory pressure alarm" appears, the patient is in risk of hypoxemia or death.

Immediately turn up the tidal volume and or PEEP valve and review if the alarm disappears. If not, switch the patient to another ventilator.

5.3 Tidal volume alarm

If tidal volume is lower or higher that specified by the user, an alarm will sound and alarm text will appear on alarm screen.

Warning: If "tidal volume alarm" appears, the patient is in risk of hypoxemia, barotrauma or death.

Immediately adjust the tidal volume accordingly and review if the alarm disappears. If not, switch the patient to another ventilator.

5.3 Battery discharge alarm

If the battery is discharged an alarm will sound and alarm text will appear on alarm screen.

Warning: If "battery discharge alarm" appears, ventilation might stop and cause harm or death to the patient.

When the ventilator is running on batteries it will eventually shut down as batteries become fully discharged. Make sure a power plug is connected whenever possible.

5.3 Shut down alarm

Whenever the ventilator is turned off during active ventilation an alarm will sound and a pop-up screen will appear to verify shut down.

Warning: If "shut down alarm" appears, ventilation might stop and cause harm or death to the patient.

The ventilator will by default continue running of battery. When the ventilator is running on batteries it will eventually shut down as batteries become fully discharged. Make sure a power plug is connected whenever possible

5.3 Tidal volume and RR mismatch alarm

If a mismatch occur between tidal volume and RR , an alarm will sound and alarm text will appear on alarm screen.

Warning: If "tidal volume and RR mismatch alarm" appears, the patient is in risk of hypoxemia, barotrauma or death.

Immediately adjust the tidal volume and/or RR accordingly and review if the alarm disappears. If not, switch the patient to another ventilator.

5.3 High peak and plateau pressure alarm

If peak pressure or plateau pressure is higher that specified by the user, an alarm will sound and alarm text will appear on alarm screen.

Warning: If "high peak and plateau pressure alarm" appears, the patient is in risk of barotrauma or death.

Immediately adjust the tidal volume and/or PEEP accordingly and review if the alarm disappears. If not, switch the patient to another ventilator.

6 Cleaning

All external surfaces must be wiped and cleaned with standard cleaning agent between each patient use. To ensure proper cleaning, detach all single use items and clean connectors etc.

The PEEP valve must be detached, disassembled into 3 parts and cleaned in hot soap water, before put in to new use.

7 Specifications

Intended use	Volume control ventilation
Weight	15 kg
Size	400 x 400 x 200 mm
Operating flows	0-100 l/min

Operating pressures	0-35 cmH2O
Operating tidal volumes	0.25-0.6 l (steps of 10 ml)
PEEP	Mechanical adjustable: 2.5-20 cmH2O
Electronically safety relief pressure	40 cmH2O
Mechanical safety relief pressure	80 cmH2O