# Week 06: Graph Data Structures and Search

# **Graph Definitions**

Graphs 2/83

Many applications require

- a collection of *items* (i.e. a set)
- relationships/connections between items

#### Examples:

- maps: items are cities, connections are roads
- web: items are pages, connections are hyperlinks

Collection types you're familiar with

- lists ... linear sequence of items (week 4; COMP9021)
- trees ... branched hierarchy of items (COMP9021)

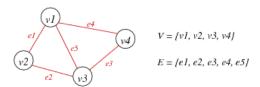
Graphs are more general ... allow arbitrary connections

... **Graphs** 3/83

A graph G = (V,E)

- *V* is a set of *vertices*
- E is a set of edges (subset of  $V \times V$ )

### Example:



... **Graphs** 

A real example: Australian road distances

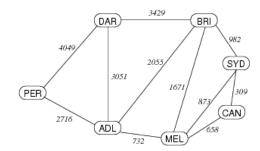
Distance	Adelaide	Brisbane	Canberra	Darwin	Melbourne	Perth	Sydney
Adelaide	-	2055	1390	3051	732	2716	1605

Brisbane	2055	-	1291	3429	1671	4771	982
Canberra	1390	1291	-	4441	658	4106	309
Darwin	3051	3429	4441	-	3783	4049	4411
Melbourne	732	1671	658	3783	-	3448	873
Perth	2716	4771	4106	4049	3448	-	3972
Sydney	1605	982	309	4411	873	3972	-

Notes: vertices are cities, edges are distance between cities, symmetric

... Graphs 5/83

Alternative representation of above:



... **Graphs** 

Questions we might ask about a graph:

- is there a way to get from item A to item B?
- what is the best way to get from A to B?
- which items are connected?

Graph algorithms are generally more complex than tree/list ones:

- no implicit order of items
- graphs may contain cycles
- concrete representation is less obvious
- algorithm complexity depends on connection complexity

# **Properties of Graphs**

Terminology: |V| and |E| (cardinality) normally written just as V and E.

A graph with V vertices has at most V(V-1)/2 edges.

The ratio E:V can vary considerably.

- if E is closer to  $V^2$ , the graph is dense
- if E is closer to V, the graph is sparse
  - Example: web pages and hyperlinks

Knowing whether a graph is sparse or dense is important

- may affect choice of data structures to represent graph
- may affect choice of algorithms to process graph

## **Exercise #1: Number of Edges**

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The edges in a graph represent pairs of connected vertices. A graph with V has  $V^2$  such pairs.

Consider  $V = \{1,2,3,4,5\}$  with all possible pairs:

$$E = \{ (1,1), (1,2), (1,3), (1,4), (1,5), (2,1), (2,2), ..., (4,5), (5,5) \}$$

Why do we say that the maximum #edges is V(V-1)/2?

#### ... because

- (v,w) and (w,v) denote the same edge (in an undirected graph)
- we do not consider loops (v,v)

# **Graph Terminology**

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For an edge e that connects vertices v and w

- *v* and *w* are *adjacent* (neighbours)
- e is incident on both v and w

Degree of a vertex v

• number of edges incident on v

Synonyms:

• vertex = node, edge = arc = link (Note: some people use arc for *directed* edges)

### ... Graph Terminology

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Path: a sequence of vertices where

• each vertex has an edge to its predecessor

Cycle: a path where

• last vertex in path is same as first vertex in path

Length of path or cycle:

• #edges





Path: 1-2, 2-3, 3-4

Cycle: 1-2, 2-3, 3-4, 4-1

### ... Graph Terminology

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Connected graph

- there is a *path* from each vertex to every other vertex
- if a graph is not connected, it has  $\geq 2$  connected components

Complete graph K<sub>V</sub>

- there is an *edge* from each vertex to every other vertex
- in a complete graph, E = V(V-1)/2



## ... Graph Terminology

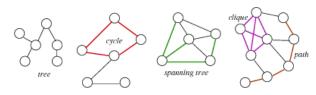
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Tree: connected (sub)graph with no cycles

Spanning tree: tree containing all vertices

Clique: complete subgraph

Consider the following single graph:



This graph has 26 vertices, 32 33 edges, and 4 connected components

Note: The entire graph has no spanning tree; what is shown in green is a spanning tree of the third connected component

## ... Graph Terminology

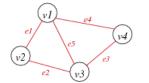
A spanning tree of connected graph G = (V,E)

- is a subgraph of G containing all of V
- and is a single tree (connected, no cycles)

A spanning forest of non-connected graph G = (V,E)

- is a subgraph of G containing all of V
- and is a set of trees (not connected, no cycles),
  - with one tree for each connected component

## **Exercise #2: Graph Terminology**



$$V = \{v1, v2, v3, v4\}$$

$$E = \{e1, \, e2, \, e3, \, e4, \, e5\}$$

- 1. How many edges to remove to obtain a spanning tree?
- 2. How many different spanning trees?

1. 2

2. 
$$\frac{5\cdot 4}{2} - 2 = 8$$
 spanning trees (no spanning tree if we remove  $\{e1, e2\}$  or  $\{e3, e4\}$ )

## ... Graph Terminology

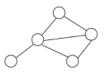
Undirected graph

• edge(u,v) = edge(v,u), no self-loops (i.e. no edge(v,v))

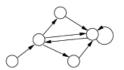
Directed graph

•  $edge(u,v) \neq edge(v,u)$ , can have self-loops (i.e. edge(v,v))

#### Examples:



Undirected graph



Directed graph

... Graph Terminology

Other types of graphs ...

Weighted graph

- each edge has an associated value (weight)
- e.g. road map (weights on edges are distances between cities)

Multi-graph

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- allow multiple edges between two vertices
- e.g. function call graph (f() calls g() in several places)

# **Graph Data Structures**

# **Graph Representations**

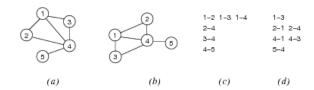
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Defining graphs:

- need some way of identifying vertices
- could give diagram showing edges and vertices
- could give a list of edges

E.g. four representations of the same graph:



## ... Graph Representations

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We will discuss three different graph data structures:

- 1. Array of edges
- 2. Adjacency matrix
- 3. Adjacency list

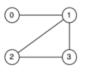
# **Array-of-edges Representation**

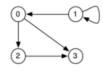
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Edges are represented as an array of Edge values (= pairs of vertices)

- space efficient representation
- adding and deleting edges is slightly complex

- undirected: order of vertices in an Edge doesn't matter
- directed: order of vertices in an Edge encodes direction





[ (0,1), (1,2), (1,3), (2,3) ]

[ (1,0), (1,1), (0.2), (0,3), (2,3) ]

For simplicity, we always assume vertices to be numbered 0..V-1

## ... Array-of-edges Representation

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Graph initialisation

How much is enough? ... No more than V(V-1)/2 ... Much less in practice (sparse graph)

#### ... Array-of-edges Representation

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Edge insertion

#### ... Array-of-edges Representation

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Edge removal

```
removeEdge(g,(v,w)):
    Input graph g, edge (v,w)
    i=0
    while (v,w)≠g.edges[i] do
    i=i+1
    end while
    g.edges[i]=g.edges[g.nE-1] // replace (v,w) by last edge in array
```

**Cost Analysis** 

g.nE=g.nE-1

Storage cost: O(E)

Cost of operations:

- initialisation: *O*(1)
- insert edge: O(1) (assuming edge array has space)
- find/delete edge: O(E) (need to find edge in edge array)

If array is full on insert

• allocate space for a bigger array, copy edges across  $\Rightarrow O(E)$ 

If we maintain edges in order

• use binary search to insert/find edge  $\Rightarrow O(\log E)$ 

## **Exercise #3: Array-of-edges Representation**

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Assuming an array-of-edges representation ...

Write an algorithm to output all edges of the graph

```
show(g):
    Input graph g

for all i=0 to g.nE-1 do
    print g.edges[i]
end for
```

Time complexity: O(E)

# **Adjacency Matrix Representation**

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Edges represented by a  $V \times V$  matrix



(2)	0	0	1	0	
ر کر	1	1	0	0	
/	2	0	0	0	
granh	3	1	1	1	

Undirected graph



A	0	1	2	3
0	0	0	0	1
1	1	0	0	1
2	0	0	0	0
3	0	0	1	0

## ... Adjacency Matrix Representation

### Advantages

- easily implemented as 2-dimensional array
- can represent graphs, digraphs and weighted graphs
  - o graphs: symmetric boolean matrix
  - digraphs: non-symmetric boolean matrix
  - o weighted: non-symmetric matrix of weight values

#### Disadvantages:

• if few edges (sparse) ⇒ memory-inefficient

## ... Adjacency Matrix Representation

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#### Graph initialisation

```
newGraph(V):
```

## ... Adjacency Matrix Representation

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Edge insertion

```
insertEdge(g,(v,w)):
```

```
Input graph g, edge (v,w)

if g.edges[v][w]=0 then // (v,w) not in graph
    g.edges[v][w]=1 // set to true
    g.edges[w][v]=1
    g.nE=g.nE+1
end if

... Adjacency Matrix Representation

Edge removal

removeEdge(g,(v,w)):
    Input graph g, edge (v,w)
```

// set to false

#### end if

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Assuming an adjacency matrix representation ...

g.edges[v][w]=0

g.edges[w][v]=0

g.nE=g.nE-1

**Exercise #4: Show Graph** 

Write an algorithm to output all edges of the graph (no duplicates!)

if g.edges[v][w] $\neq 0$  then // (v,w) in graph

## ... Adjacency Matrix Representation

```
35/83
```

```
show(g):
    Input graph g

for all i=0 to g.nV-2 do
    for all j=i+1 to g.nV-1 do
        if g.edges[i][j] then
            print i"-"j
    end if
    end for
end for
```

Time complexity:  $O(V^2)$ 

## Exercise #5:

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Analyse storage cost and time complexity of adjacency matrix representation

## Storage cost: $O(V^2)$

If the graph is sparse, most storage is wasted.

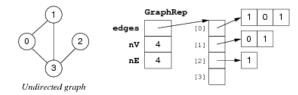
#### Cost of operations:

- initialisation:  $O(V^2)$  (initialise  $V \times V$  matrix)
- insert edge: O(1) (set two cells in matrix)
- delete edge: O(1) (unset two cells in matrix)

## ... Adjacency Matrix Representation

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A storage optimisation: store only top-right part of matrix.



New storage cost: V-1 int ptrs + V(V+1)/2 ints (but still  $O(V^2)$ )

Requires us to always use edges (v,w) such that v < w.

# **Adjacency List Representation**

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For each vertex, store linked list of adjacent vertices:



A[0] = <1, 3>

A[1] = <0, 3>

A[2] = <3>

A[3] = <0, 1, 2>

Undirected graph



A[0] = <3>

A[1] = <0, 3>

A[2] = <>

A[3] = <2>

Directed graph

## ... Adjacency List Representation

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#### Advantages

- relatively easy to implement in languages like C
- · can represent graphs and digraphs

• memory efficient if E:V relatively small

#### Disadvantages:

 one graph has many possible representations (unless lists are ordered by same criterion e.g. ascending)

## ... Adjacency List Representation

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Graph initialisation

#### ... Adjacency List Representation

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Edge insertion:

```
insertEdge(g,(v,w)):
    Input graph g, edge (v,w)
    insertLL(g.edges[v],w)
    insertLL(g.edges[w],v)
    g.nE=g.nE+1
```

## ... Adjacency List Representation

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Edge removal:

```
removeEdge(g,(v,w)):
    Input graph g, edge (v,w)
    deleteLL(g.edges[v],w)
    deleteLL(g.edges[w],v)
    q.nE=q.nE-1
```

Exercise #6: 44/83

Analyse storage cost and time complexity of adjacency list representation

#### Storage cost: O(V+E) (V list pointers, total of $2 \cdot E$ list elements)

#### Cost of operations:

• initialisation: O(V) (initialise V lists)

• insert edge: O(1) (insert one vertex into list)

o if you don't check for duplicates

• find/delete edge: O(V) (need to find vertex in list)

#### If vertex lists are sorted

• insert requires search of list  $\Rightarrow O(V)$ 

· delete always requires a search, regardless of list order

# **Comparison of Graph Representations**

	array of edges	adjacency matrix	adjacency list
space usage	E	$V^2$	V+E
initialise	1	$V^2$	V
insert edge	1	1	1
find/delete edge	E	1	V

#### Other operations:

	array of edges	, ,	adjacency list
disconnected(v)?	E	V	1
isPath(x,y)?	E·log V	$V^2$	V+E
copy graph	E	$V^2$	E
destroy graph	1	V	E

## **Graph Abstract Data Type**

# **Graph ADT**

#### Data:

• set of edges, set of vertices

#### Operations:

building: create graph, add edge

- deleting: remove edge, drop whole graph
- scanning: check if graph contains a given edge

#### Things to note:

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- set of vertices is fixed when graph initialised
- we treat vertices as ints, but could be arbitrary Items

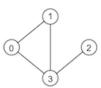
```
... Graph ADT 49/83
```

## **Exercise #7: Graph ADT Client**

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Write a program that uses the graph ADT to

- build the graph depicted below
- print all the nodes that are incident to vertex 1 in ascending order



```
#include <stdio.h>
#include "Graph.h"

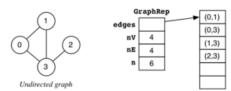
#define NODES 4
#define NODE_OF_INTEREST 1
int main(void) {
```

```
Graph g = newGraph(NODES);
Edge e;
e.v = 0; e.w = 1; insertEdge(q,e);
e.v = 0; e.w = 3; insertEdge(g,e);
e.v = 1; e.w = 3; insertEdge(g,e);
e.v = 3; e.w = 2; insertEdge(g,e);
int v:
for (v = 0; v < NODES; v++) {
   if (adjacent(g, v, NODE OF INTEREST))
      printf("%d\n", v);
freeGraph(g);
return 0;
```

# **Graph ADT (Array of Edges)**

Implementation of GraphRep (array-of-edges representation)

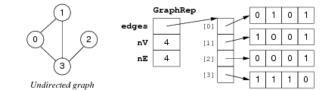
```
typedef struct GraphRep {
   Edge *edges: // array of edges
                // #vertices (numbered 0..nV-1)
   int
         nE;
                // #edges
                // size of edge array
   int
         n;
 GraphRep;
```



# **Graph ADT (Adjacency Matrix)**

Implementation of GraphRep (adjacency-matrix representation)

```
typedef struct GraphRep {
   int **edges; // adjacency matrix
   int
                 // #vertices
   int
          nE;
                 // #edges
} GraphRep;
```



### ... Graph ADT (Adjacency Matrix)

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Implementation of graph initialisation (adjacency-matrix representation)

```
Graph newGraph(int V) {
  assert(V >= 0);
  int i;
  Graph g = malloc(sizeof(GraphRep));
                                            assert(g != NULL);
  g->nV = V; g->nE = 0;
   // allocate memory for each row
  q->edges = malloc(V * sizeof(int *));
                                            assert(g->edges != NULL);
  // allocate memory for each column and initialise with 0
  for (i = 0; i < V; i++) {
     g->edges[i] = calloc(V, sizeof(int)); assert(g->edges[i] != NULL);
  return q;
```

- allocates a memory block of size nelems\*nbytes
- and sets all bytes in that block to zero

#### ... Graph ADT (Adjacency Matrix)

Implementation of edge insertion/removal (adjacency-matrix representation)

standard library function calloc(size t nelems, size t nbytes)

```
// check if vertex is valid in a graph
bool validV(Graph q, Vertex v) {
   return (q != NULL && v >= 0 && v < q->nV);
void insertEdge(Graph g, Edge e) {
  assert(g != NULL && validV(g,e.v) && validV(g,e.w));
  if (!g->edges[e.v][e.w]) { // edge e not in graph
     g \rightarrow edges[e.v][e.w] = 1;
     q \rightarrow edges[e.w][e.v] = 1;
      g->nE++;
void removeEdge(Graph g, Edge e) {
  assert(g != NULL && validV(g,e.v) && validV(g,e.w));
  if (g->edges[e.v][e.w]) { // edge e in graph
```

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```
q \rightarrow edges[e.v][e.w] = 0;
q \rightarrow edges[e.w][e.v] = 0;
q->nE--;
```

## **Exercise #8: Checking Neighbours (i)**

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Assuming an adjacency-matrix representation ...

Implement a function to check whether two vertices are directly connected by an edge

```
bool adjacent(Graph g, Vertex x, Vertex y) { ... }
```

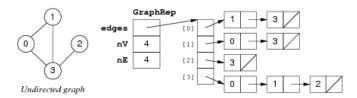
```
bool adjacent(Graph q, Vertex x, Vertex y) {
   assert(g != NULL && validV(g,x) && validV(g,y));
   return (q->edges[x][y] != 0);
```

# **Graph ADT (Adjacency List)**

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Implementation of GraphRep (adjacency-list representation)

```
typedef struct GraphRep {
   Node **edges; // array of lists
   int
          nV;
                  // #vertices
   int
                  // #edges
          nE;
} GraphRep;
typedef struct Node {
   Vertex
   struct Node *next;
} Node;
```



## **Exercise #9: Checking Neighbours (ii)**

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Assuming an adjacency list representation ...

Implement a function to check whether two vertices are directly connected by an edge

```
bool adjacent(Graph g, Vertex x, Vertex y) { ... }
```

```
bool adjacent(Graph g, Vertex x, Vertex y) {
   assert(q != NULL && validV(q,x));
   return inLL(q->edges[x], y);
inLL() checks if linked list contains an element
```

# **Graph Traversal**

# **Finding a Path**

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Questions on paths:

- is there a path between two given vertices (*src*,*dest*)?
- what is the sequence of vertices from *src* to *dest*?

Approach to solving problem:

- examine vertices adjacent to src
- if any of them is dest, then done
- otherwise try vertices two edges from src
- repeat looking further and further from src

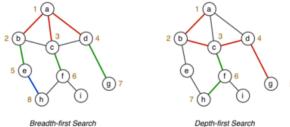
Two strategies for graph traversal/search: depth-first, breadth-first

- DFS follows one path to completion before considering others
- BFS "fans-out" from the starting vertex ("spreading" subgraph)

## ... Finding a Path

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Comparison of BFS/DFS search for checking if there is a path from a to h ...



Both approaches ignore some edges by remembering previously visited vertices.

**Depth-first Search** 

```
depthFirst(G,v):
```

Depth-first search can be described recursively as

- 1. mark v as visited
- for each (v,w)∈edges(G) do
  if w has not been visited then
  depthFirst(w)

The recursion induces backtracking

... Depth-first Search 65/83

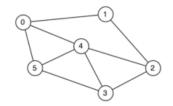
Recursive DFS path checking

```
hasPath(G, src, dest):
   Input graph G, vertices src,dest
   Output true if there is a path from src to dest in G,
          false otherwise
   return dfsPathCheck(G,src,dest)
dfsPathCheck(G,v,dest):
  mark v as visited
  if v=dest then
                        // found dest
      return true
   else
     for all (v,w)∈edges(G) do
         if w has not been visited then
            return dfsPathCheck(G,w,dest) // found path via w to dest
         end if
     end for
   end if
  return false
                        // no path from v to dest
```

## Exercise #10: Depth-first Traversal (i)

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Trace the execution of dfsPathCheck(G, 0, 5) on:



Consider neighbours in ascending order

Answer:

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0 - 1 - 2 - 3 - 4 - 5

### ... Depth-first Search

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Cost analysis:

- each vertex visited at most once  $\Rightarrow$  cost = O(V)
- visit all edges incident on visited vertices  $\Rightarrow$  cost = O(E)
  - o assuming an adjacency list representation

Time complexity of DFS: O(V+E) (adjacency list representation)

### ... Depth-first Search

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Note how different graph data structures affect cost:

- array-of-edges representation
  - visit all edges incident on visited vertices  $\Rightarrow$  cost =  $O(E^2)$
  - $\circ$  cost of DFS:  $O(V+E^2)$
- adjacency-matrix representation
  - visit all edges incident on visited vertices  $\Rightarrow$  cost =  $O(V^2)$
  - $\circ$  cost of DFS:  $O(V^2)$

For dense graphs ...  $E \cong V^2 \Rightarrow O(V+E) = O(V^2)$ For sparse graphs ...  $E \cong V \Rightarrow O(V+E) = O(E)$ 

## ... Depth-first Search

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Knowing whether a path exists can be useful

Knowing what the path is even more useful

⇒ record the previously visited node as we search through the graph (so that we can then trace path through graph)

Make use of global variable:

• visited[] ... array to store previously visited node, for each node being visited

#### ... Depth-first Search

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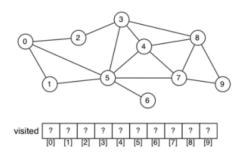
visited[] // store previously visited node, for each vertex 0..nV-1

```
findPath(G,src,dest):
  Input graph G, vertices src, dest
  for all vertices v∈G do
     visited[v]=-1
  end for
                                     // starting node of the path
  visited[src]=src
  if dfsPathCheck(G,src,dest) then // show path in dest..src order
     v=dest
     while v≠src do
         print v"-"
        v=visited[v]
     end while
     print src
   end if
dfsPathCheck(G,v,dest):
                                // found edge from v to dest
  if v=dest then
     return true
   else
     for all (v,w)∈edges(G) do
         if visited[w]=-1 then
           visited[w]=v
           if dfsPathCheck(G,w,dest) then
                                // found path via w to dest
               return true
           end if
         end if
     end for
   end if
  return false
                                // no path from v to dest
```

## Exercise #11: Depth-first Traversal (ii)

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Show the DFS order in which we visit vertices in this graph when searching for a path from 0 to 6:



Consider neighbours in ascending order

0 0 3 5 3 1 5 4 7 8

[0] [1] [2] [3] [4] [5] [6] [7] [8] [9]

Path: 6-5-1-0

... Depth-first Search

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DFS can also be described non-recursively (via a *stack*):

```
hasPath(G, src, dest):
  Input graph G, vertices src,dest
  Output true if there is a path from src to dest in G,
          false otherwise
  push src onto new stack s
  found=false
  while not found and s is not empty do
     pop v from s
     mark v as visited
     if v=dest then
         found=true
     else
        for each (v,w) \edges(G) such that w has not been visited
            push w onto s
        end for
     end if
  end while
  return found
```

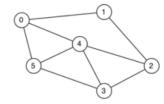
Uses standard stack operations (push, pop, check if empty)

Time complexity is the same: O(V+E) (each vertex added to stack once, each element in vertex's adjacency list visited once)

## Exercise #12: Depth-first Traversal (iii)

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Show how the stack evolves when executing findPathDFS(g,0,5) on:



Push neighbours in descending order ... so they get popped in ascending order

-4

5

(empty)	$\rightarrow$	0	$\rightarrow$	5											
				4		4		4		4		4		4	
				1		2		4		4		4		4	
								3		5		5		5	

**Breadth-first Search** 

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Basic approach to breadth-first search (BFS):

- visit and mark current vertex
- visit all neighbours of current vertex
- then consider neighbours of neighbours

Notes:

- tricky to describe recursively
- a minor variation on non-recursive DFS search works ⇒ switch the *stack* for a *queue*

... Breadth-first Search

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BFS algorithm (records visiting order, marks vertices as visited when put *on* queue):

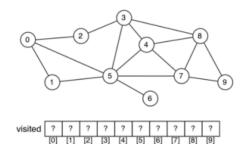
visited[] // array of visiting orders, indexed by vertex 0..nV-1

```
findPathBFS(G,src,dest):
  Input graph G, vertices src,dest
   for all vertices v∈G do
      visited[v]=-1
   end for
   enqueue src into new queue q
  visited[src]=src
   found=false
  while not found and q is not empty do
     dequeue v from q
     if v=dest then
         found=true
         for each (v,w) \in edges(G) such that visited[w]=-1 do
            enqueue w into q
            visited[w]=v
         end for
     end if
   end while
   if found then
      display path in dest..src order
  end if
```

Uses standard queue operations (enqueue, dequeue, check if empty)

#### Exercise #13: Breadth-first Traversal

Show the BFS order in which we visit vertices in this graph when searching for a path from 0 to 6:



Consider neighbours in ascending order

Ì	0	0	0	2	5	0	5	5 (corrected)	3	-1
ľ	[0]	[1]	[2]	[3]	[4]	[5]	[6]	[7]	[8]	[9]

Path: 6-5-0

#### ... Breadth-first Search

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Time complexity of BFS: O(V+E) (adjacency list representation, same as DFS)

BFS finds a "shortest" path

- based on minimum # edges between src and dest.
- stops with first-found path, if there are multiple ones

In many applications, edges are weighted and we want path

• based on minimum sum-of-weights along path src .. dest

We discuss weighted/directed graphs later.

# **Tips for Week 6 Problem Set**

Main theme: Graphs

- Test your understanding of basic graph properties
- Exercise 2: Write a graph ADT client

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- Compare the efficiency of different graph representations
  Exercise 5: Check your understanding of BFS and DFS
- Challenge exercise: find a solution, need not be efficient

83/83 **Summary** 

- Graph terminology
  - o vertices, edges, vertex degree, connected graph, tree
  - o path, cycle, clique, spanning tree, spanning forest
- Graph representations
  - o array of edges
  - adjacency matrix
  - adjacency lists
- Graph traversal
  - depth-first search (DFS)
  - breadth-first search (BFS)
- Suggested reading (Sedgewick):
  - o graph representations ... Ch.17.1-17.5
  - o graph search ... Ch.18.1-18.3,18.7

Produced: 31 Aug 2018