Unit No:2

Application Layer

Marwadi University

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Computer Networks (01CE0410)

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Syllabus

Application Layer

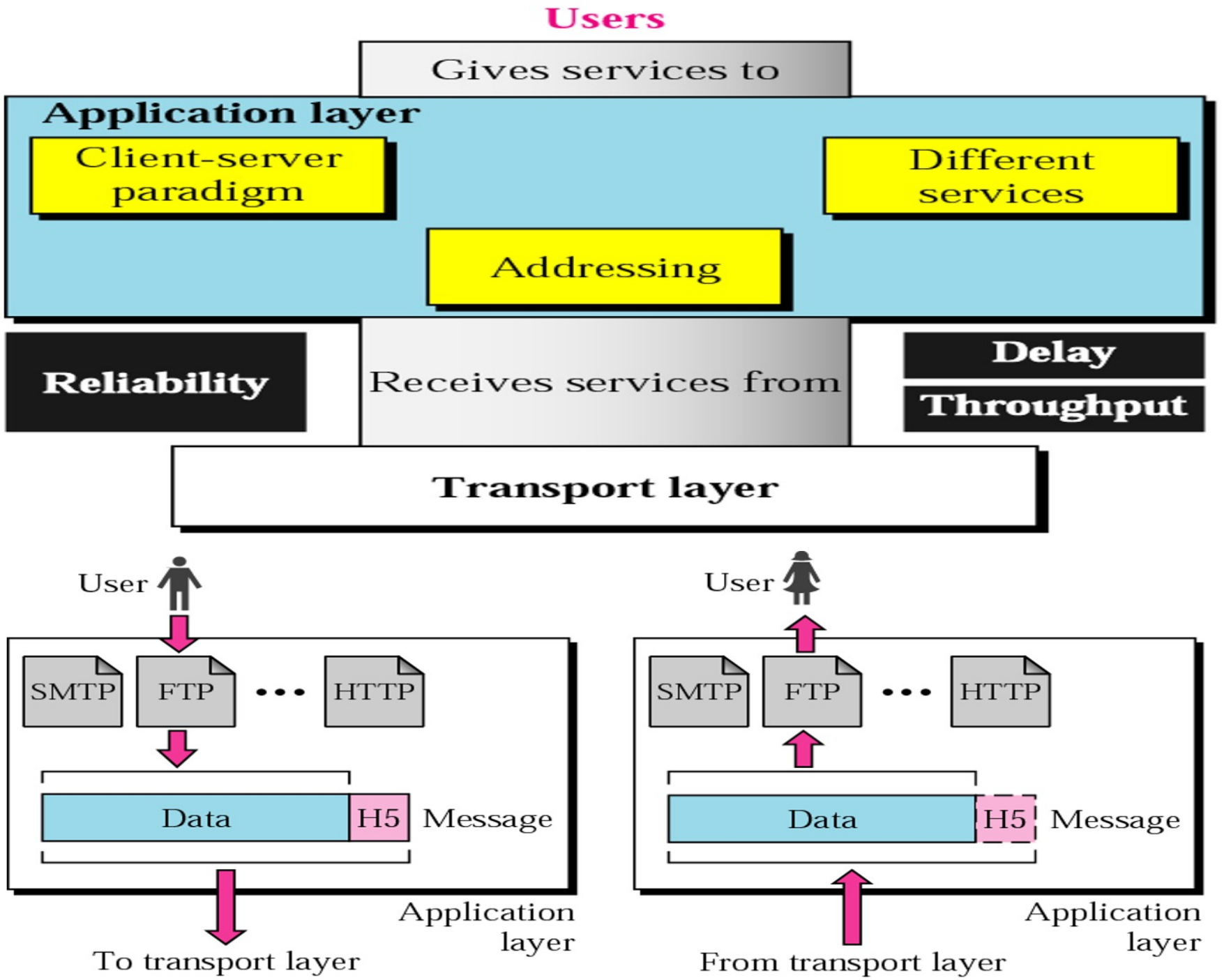
Web and HTTP, File Transfer: FTP, Electronic mail in the internet, Domain name server, SMTP, SNMP, FTP, DHCP: Request and Response.

- 2.1 Principles of network applications
- 2.2 Web and HTTP
- 2.3 FTP
- 2.4 Electronic Mail
  - SMTP, POP3, IMAP
- 2.5 DNS
- 2.6 P2P applications
- 2.7 Socket programming with TCP
- 2.8 Socket programming with UDP

Our goals:

- conceptual, implementation aspects of network application protocols
  - transport-layer service models
  - client-server paradigm
  - peer-to-peer paradigm
- learn about protocols by examining popular application-level protocols
  - HTTP
  - FTP
  - SMTP / POP3 / IMAP
  - DNS
- programming network applications
  - socket API

Position of Application Layer



Some Network Applications

Some Network Applications

- e-mail
- web
- instant messaging
- remote login
- P2P file sharing
- multi-user network games
- streaming stored video clips
- voice over IP
- real-time video conferencing
- grid computing
-
-
-

Creating a Network Apps

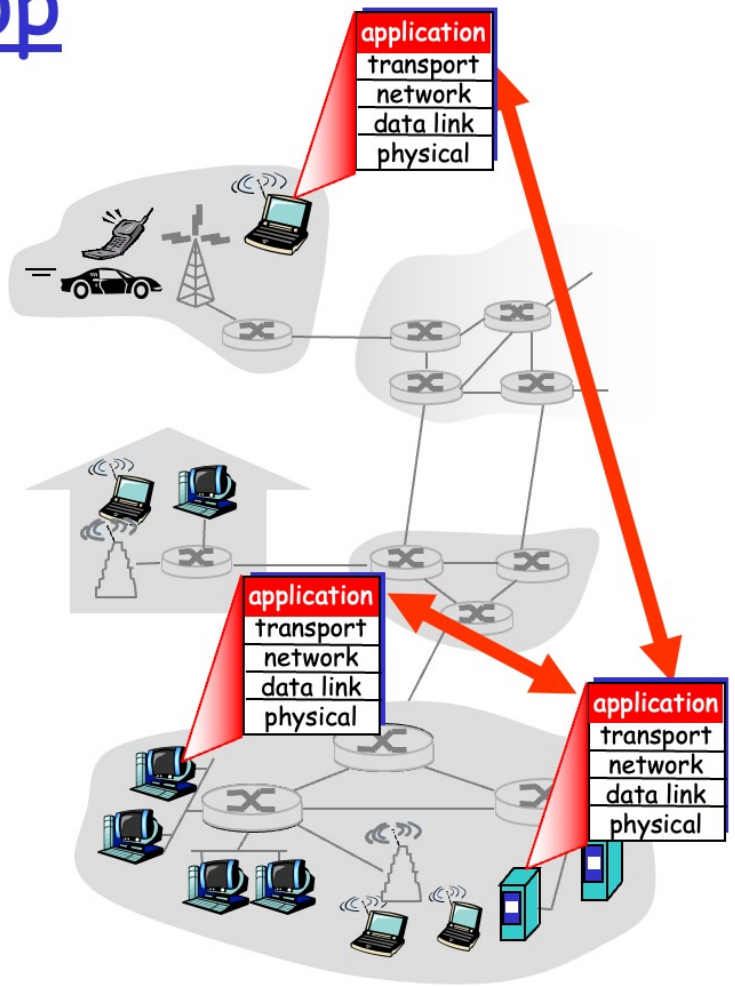
Creating a network app

write programs that

- run on (different) *end systems*
- communicate over network
- e.g., web server software communicates with browser software

No need to write software for network-core devices

- Network-core devices do not run user applications
- applications on end systems allows for rapid app development, propagation



Application-Layer Paradigm

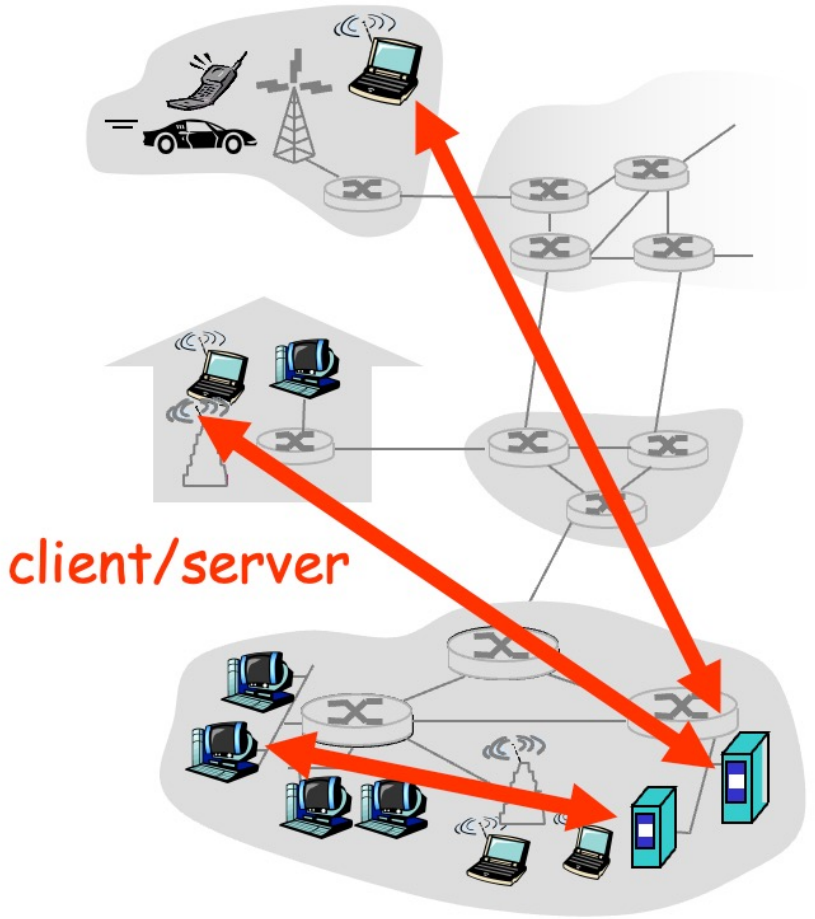
- It should be clear that to use the Internet, we need two application programs to interact with each other:
  - one running on a computer somewhere in the world.
  - the other running on another computer somewhere else in the world.
- The two programs need to send messages to each other through the Internet infrastructure.
- However, we have not discussed the relationship between these programs. Should both application programs be able to request services and provide services, or should the application programs do one or the other?

Application Architecture

- Client-server
- Peer-to-peer (P2P)
- Hybrid of client-server and P2P

Client Server Architecture

Client-server architecture



server:

- always-on host
- permanent IP address
- server farms for scaling

clients:

- communicate with server
- may be intermittently connected
- may have dynamic IP addresses
- do not communicate directly with each other

Figure : Example of a client-server paradigm

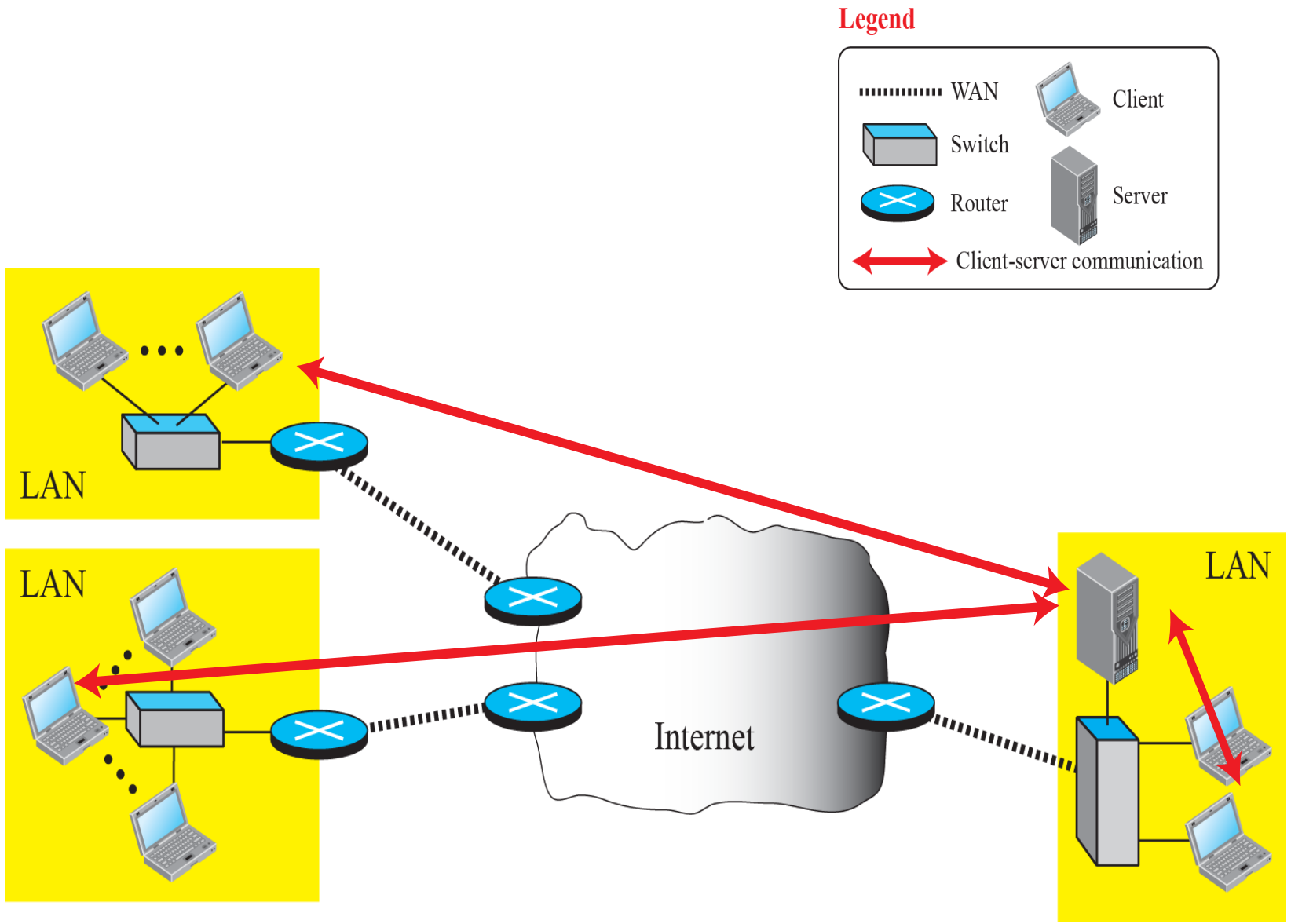


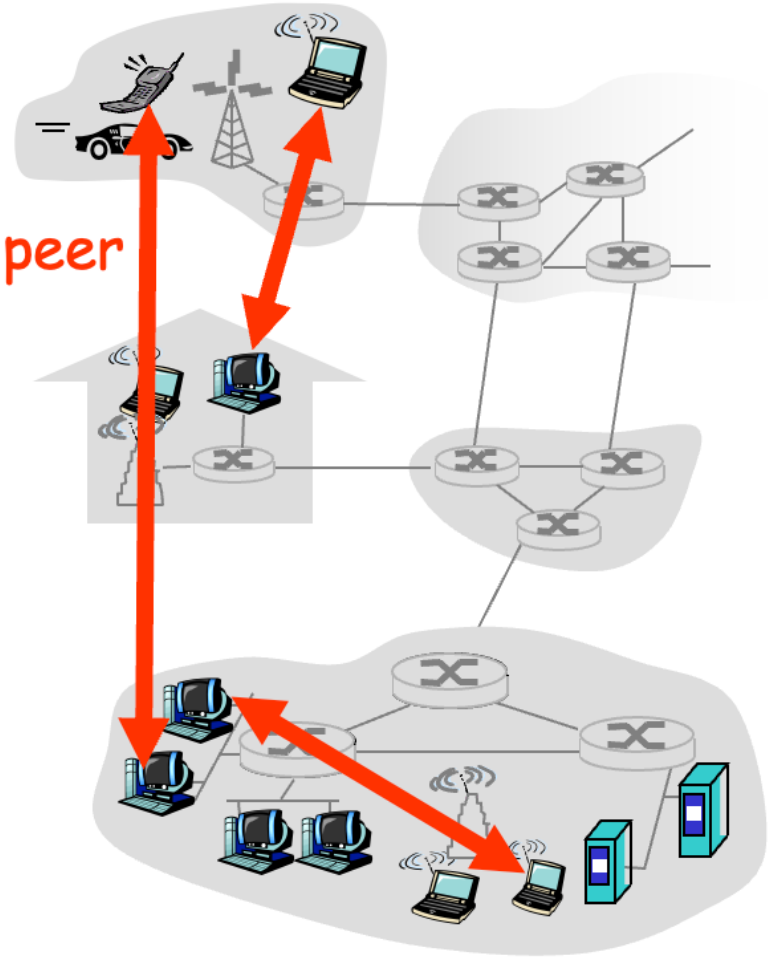
Figure : Example of a client-server paradigm

- In this paradigm, communication at the application layer is between two running application programs called processes: a client and a server.
- A client is a running program that initializes the communication by sending a request;
- A server is another application program that waits for a request from a client.

P2P Architecture

Pure P2P architecture

- *no* always-on server
- arbitrary end systems directly communicate
- peers are intermittently connected and change IP addresses



Highly scalable but difficult to manage

Hybrid of Client Server and P2P Architecture

Hybrid of client-server and P2P

Skype

- voice-over-IP P2P application
- centralized server: finding address of remote party:
- client-client connection: direct (not through server)

Instant messaging

- chatting between two users is P2P
- centralized service: client presence detection/location
  - user registers its IP address with central server when it comes online
  - user contacts central server to find IP addresses of buddies

Processes Communicating

Processes communicating

Process: program running within a host.

- within same host, two processes communicate using inter-process communication (defined by OS).
- processes in different hosts communicate by exchanging messages

Client process: process that initiates communication

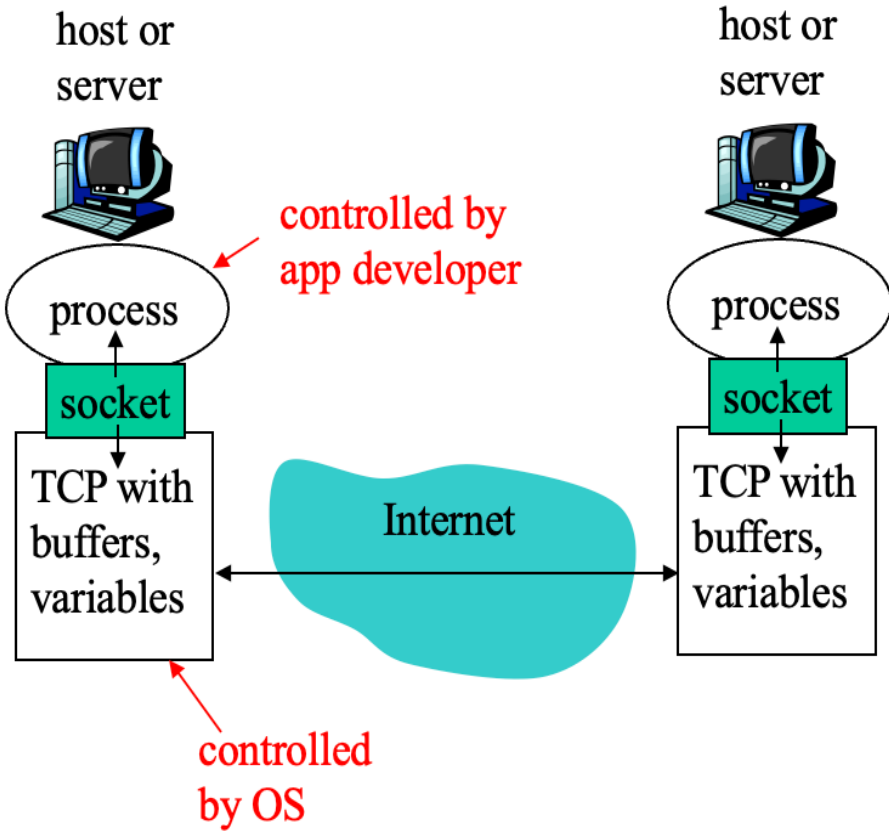
Server process: process that waits to be contacted

- Note: applications with P2P architectures have client processes & server processes

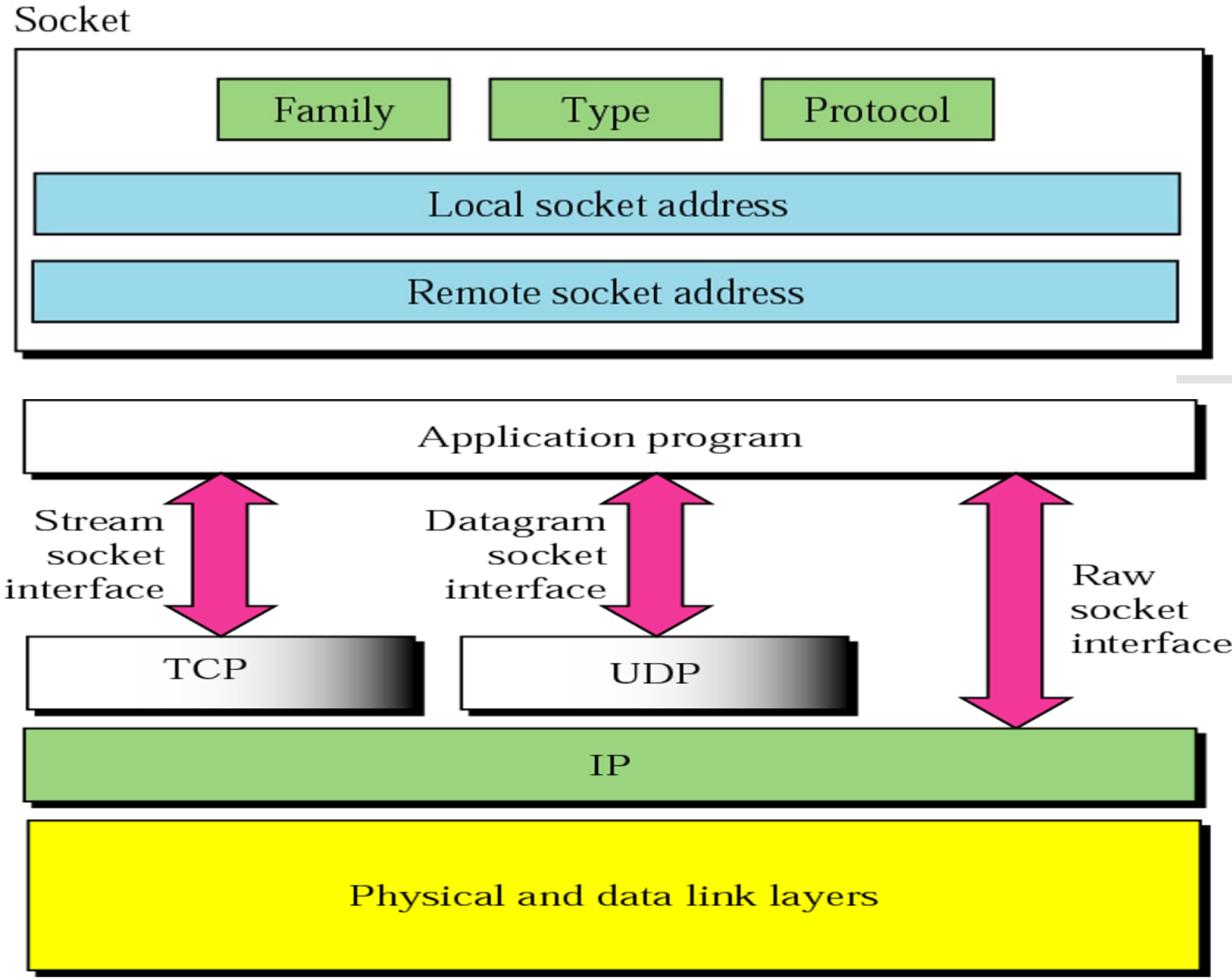
Sockets

Sockets

- process sends/receives messages to/from its socket
- socket analogous to door
  - sending process shoves message out-door
  - sending process relies on transport infrastructure on other side of door which brings message to socket at receiving process



Socket Structure and Types



Addressing Processes

- to receive messages, process must have *identifier*
- host device has unique 32-bit IP address
- *Q:* does IP address of host on which process runs suffice for identifying the process?
  - *A:* No, *many* processes can be running on same host
- *identifier* includes both IP address and port numbers associated with process on host.
- Example port numbers:
  - HTTP server: 80
  - Mail server: 25
- to send HTTP message to gaia.cs.umass.edu web server:
  - IP address: 128.119.245.12
  - Port number: 80
- more shortly…

App-Layer Protocol Defines

- Types of messages exchanged,
  - e.g., request, response
- Message syntax:
  - what fields in messages & how fields are delineated
- Message semantics
  - meaning of information in fields
- Rules for when and how processes send & respond to messages

Public-domain protocols:

- defined in RFCs
- allows for interoperability
- e.g., HTTP, SMTP

Proprietary protocols:

- e.g., Skype

Transport Service

What transport service does an app need?

Data loss

- some apps (e.g., audio) can tolerate some loss
- other apps (e.g., file transfer, telnet) require 100% reliable data transfer

Timing

- some apps (e.g., Internet telephony, interactive games) require low delay to be "effective"

Throughput

- some apps (e.g., multimedia) require minimum amount of throughput to be "effective"
- other apps ("elastic apps") make use of whatever throughput they get

Security

- Encryption, data integrity, …

Transport Service Requirement

Transport service requirements of common apps

Application	Data loss	Throughput	Time Sensitive
file transfer	no loss	elastic	no
e-mail	no loss	elastic	no
Web documents	no loss	elastic	no
real-time audio/video	loss-tolerant	audio: 5kbps-1Mbps video:10kbps-5Mbps	yes, 100's msec
stored audio/video	loss-tolerant	same as above	yes, few secs
interactive games	loss-tolerant	few kbps up	yes, 100's msec
instant messaging	no loss	elastic	yes and no

Internet Transport Protocols Services

Internet transport protocols services

TCP service:

- *connection-oriented:* setup required between client and server processes
- *reliable transport* between sending and receiving process
- *flow control:* sender won't overwhelm receiver
- *congestion control:* throttle sender when network overloaded
- *does not provide:* timing, minimum throughput guarantees, security

UDP service:

- unreliable data transfer between sending and receiving process
- does not provide: connection setup, reliability, flow control, congestion control, timing, throughput guarantee, or security

Q: why bother? Why is there a UDP?

World Wide Web and HTTP

- In this section, we first introduce the World Wide Web (abbreviated WWW or Web).
- We then discuss the Hypertext Transfer Protocol (HTTP), the most common client-server application program used in relation to the Web.

World Wide Web and HTTP

First some jargon

- Web page consists of objects
- Object can be HTML file, JPEG image, Java applet, audio file,…
- Web page consists of base HTML-file which includes several referenced objects
- Each object is addressable by a URL
- Example URL:

`www.someschool.edu/someDept/pic.gif`

host name | path name

Figure : Example (Retrieving two files and one image)

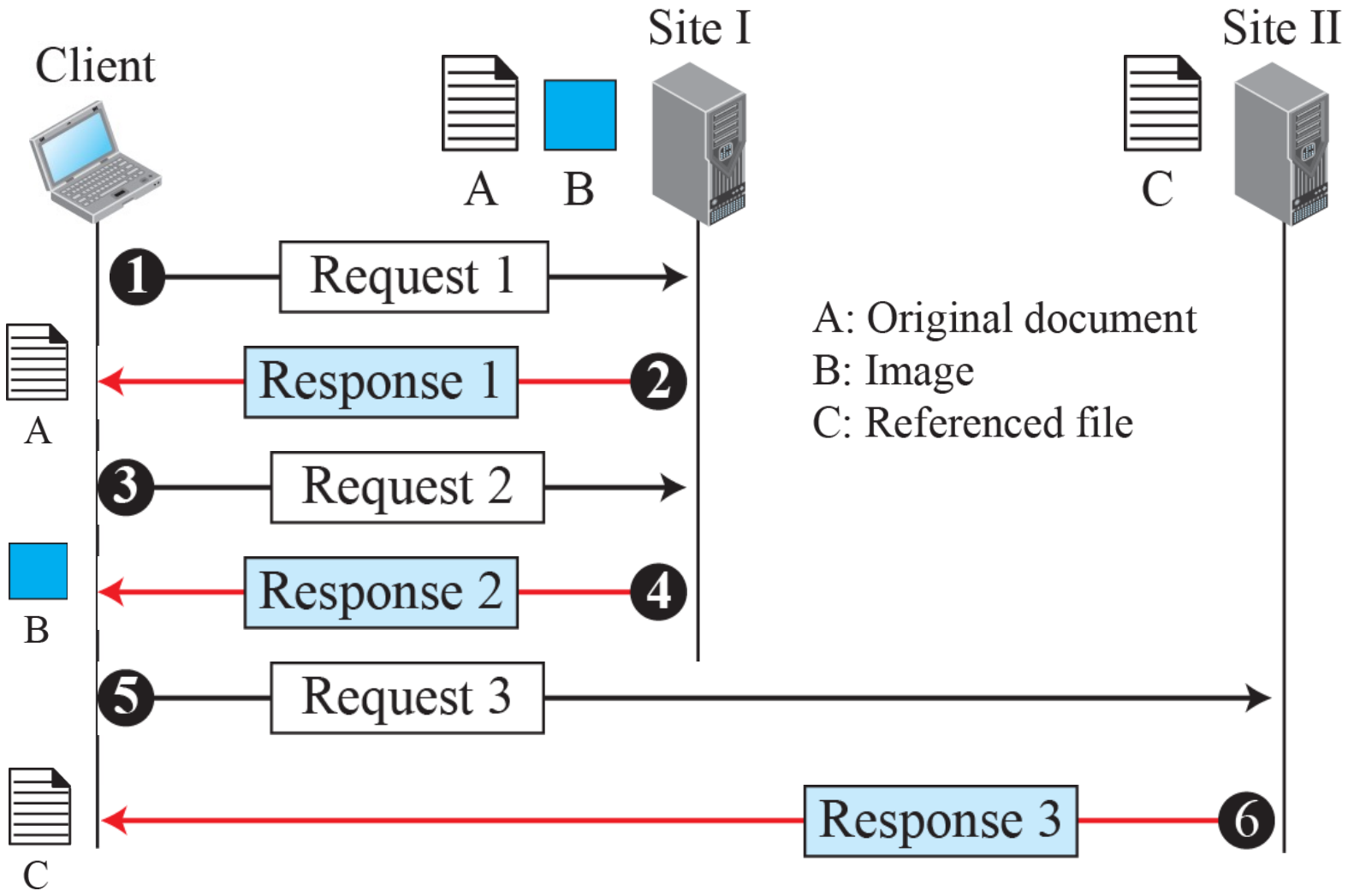
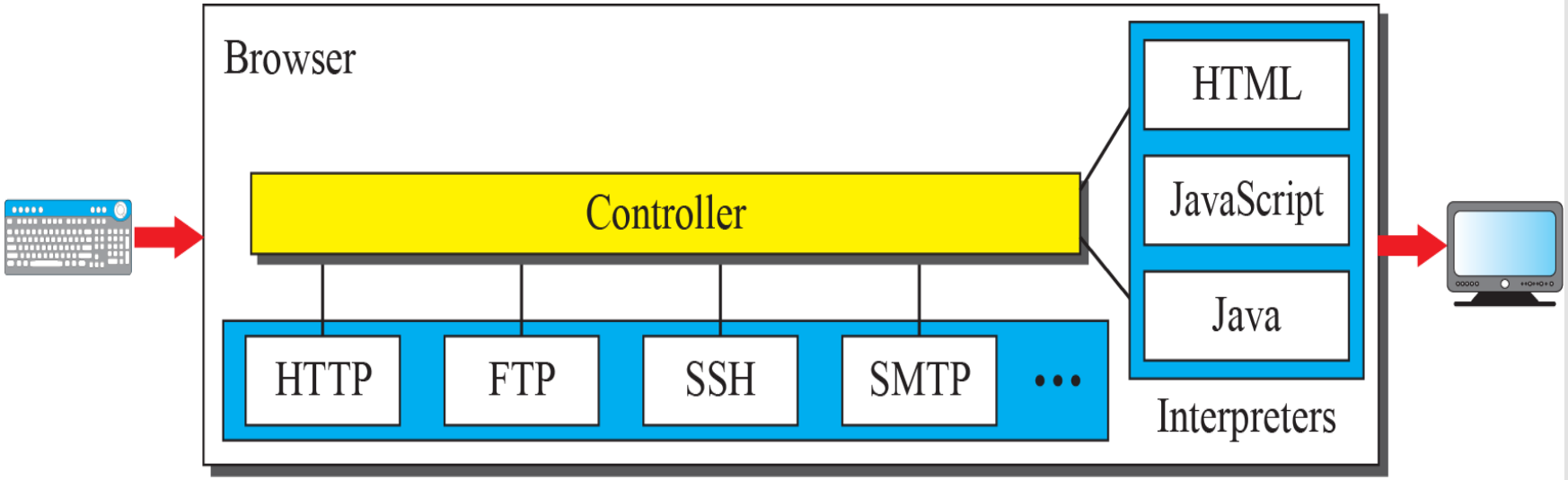


Figure : Browser:

- **Browser:** A web browser or Internet browser is a software application for retrieving, presenting, and traversing information resources on the World Wide Web.



Example

- The URL *http://www.mhhe.com/compsci/forouzan/* defines the web page related to one of the computer in the McGraw-Hill company
- (the three letters www are part of the host name and are added to the commercial host).
- The path is compsci / forouzan /, which defines Forouzan's web page under the directory compsci (computer science).

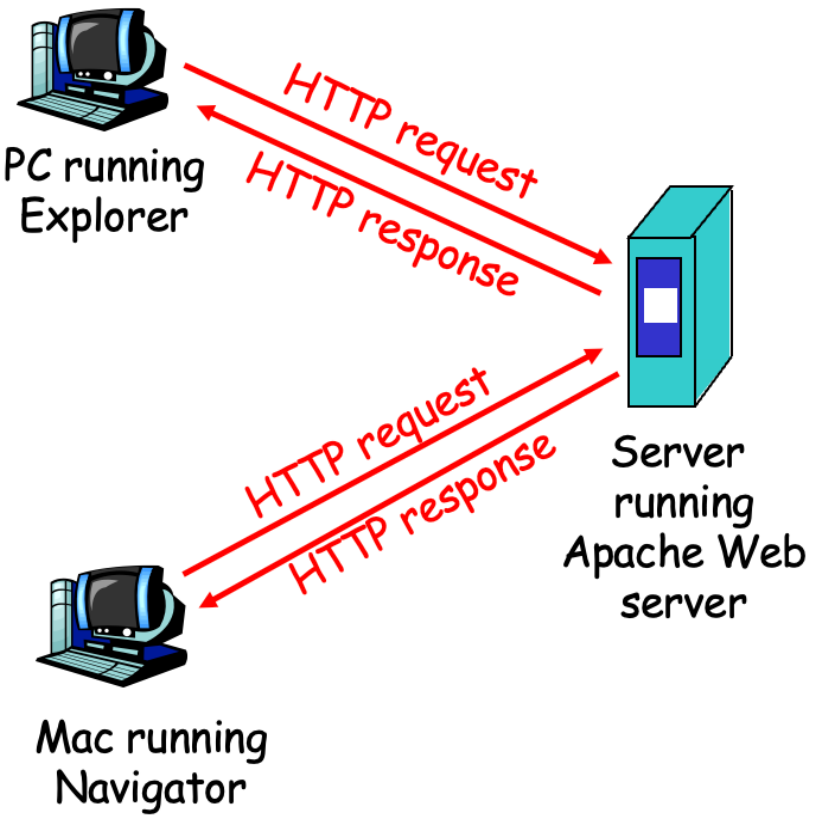
HTTP

(Overview)

HTTP overview

HTTP: hypertext transfer protocol

- Web's application layer protocol
- client/server model
  - *client:* browser that requests, receives, "displays" Web objects
  - *server:* Web server sends objects in response to requests



HTTP

(Overview)

HTTP overview (continued)

Uses TCP:

- client initiates TCP connection (creates socket) to server, port 80
- server accepts TCP connection from client
- HTTP messages (application-layer protocol messages) exchanged between browser (HTTP client) and Web server (HTTP server)
- TCP connection closed

HTTP is "stateless"

- server maintains no information about past client requests

aside

Protocols that maintain "state" are complex!

- past history (state) must be maintained
- if server/client crashes, their views of "state" may be inconsistent, must be reconciled

HTTP

(Connections)

HTTP connections

Nonpersistent HTTP

- At most one object is sent over a TCP connection.
- *downloading multiple objects required multiple connections*

Persistent HTTP

- Multiple objects can be sent over single TCP connection between client and server.

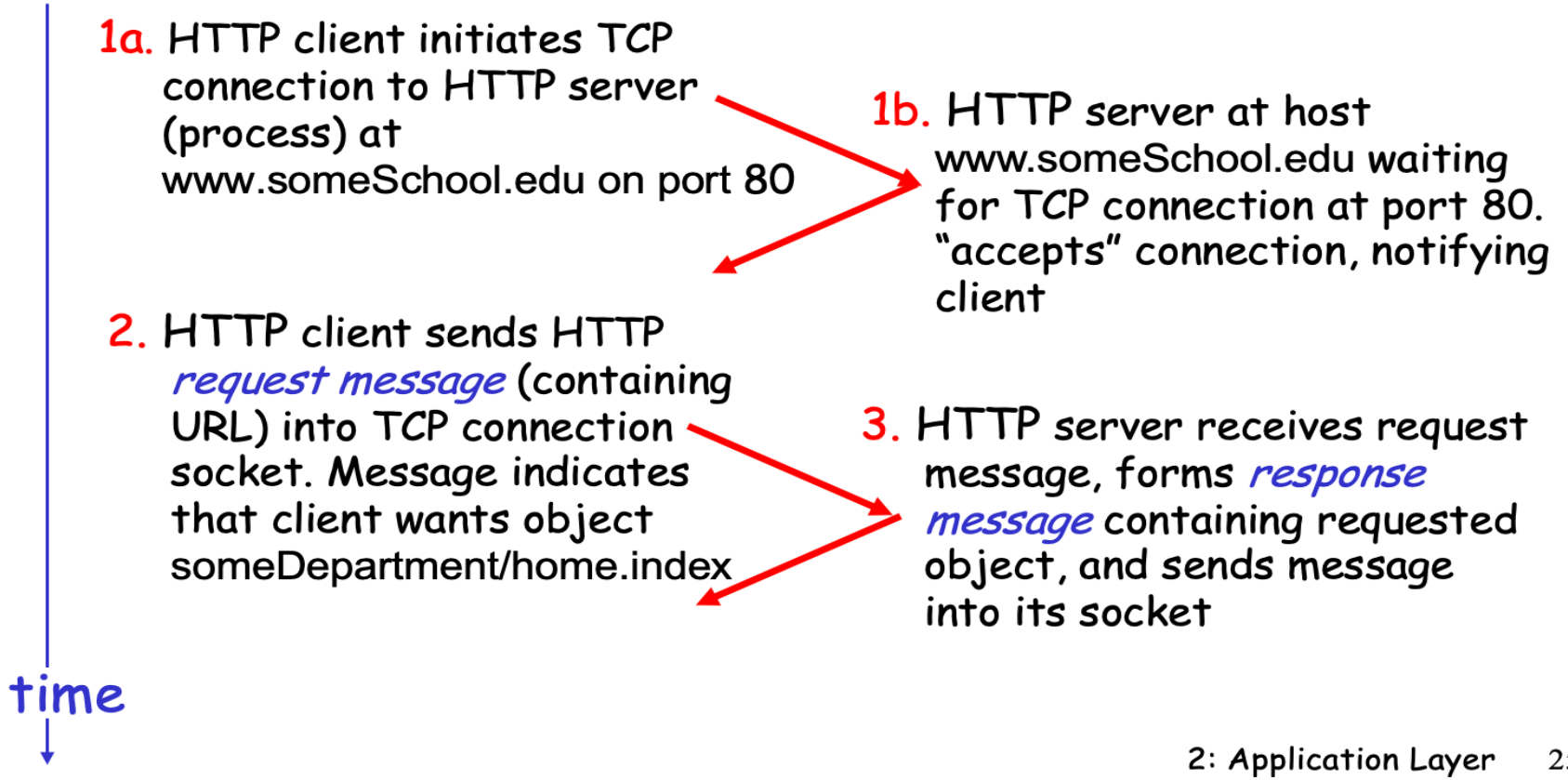
Nonpersistent HTTP

Nonpersistent HTTP

Suppose user enters URL

`www.someSchool.edu/someDepartment/home.index`

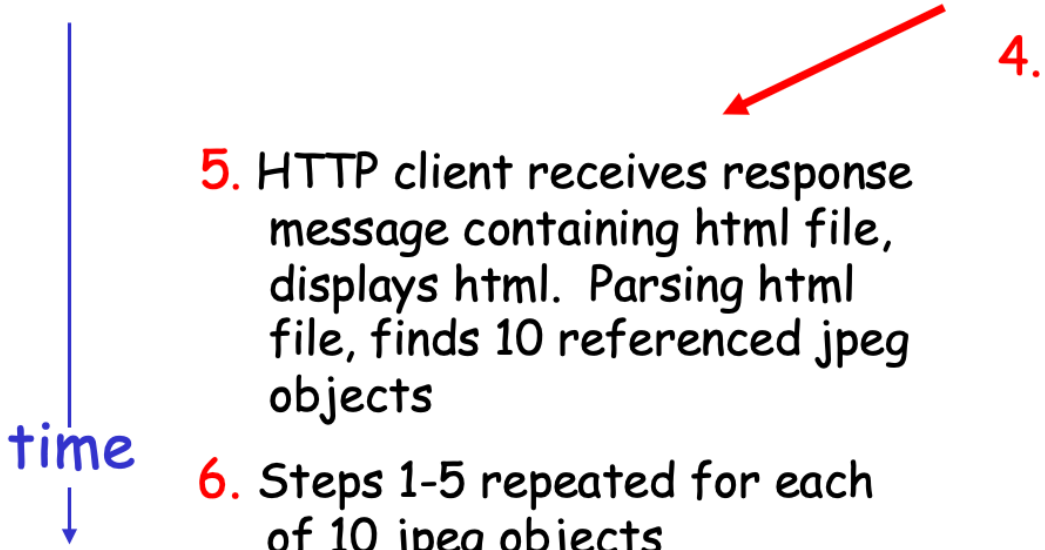
(contains text, references to 10 jpeg images)



Nonpersistent HTTP

(Continue)

Nonpersistent HTTP (cont.)

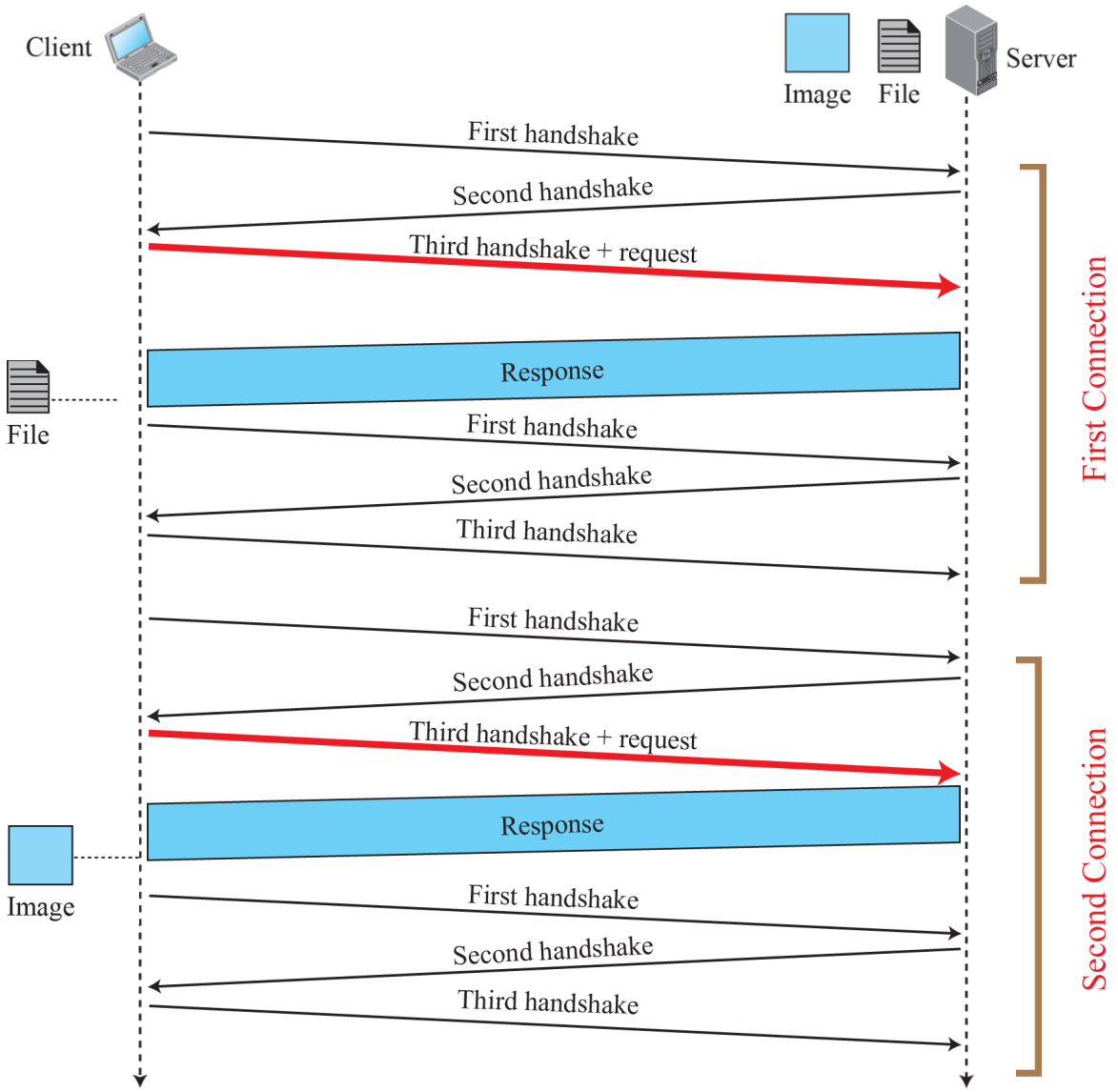


4. HTTP server closes TCP connection.

5. HTTP client receives response message containing html file, displays html. Parsing html file, finds 10 referenced jpeg objects

6. Steps 1-5 repeated for each of 10 jpeg objects

Figure : Non-Persistent connection Example



Nonpersistent HTTP

(Response Time)

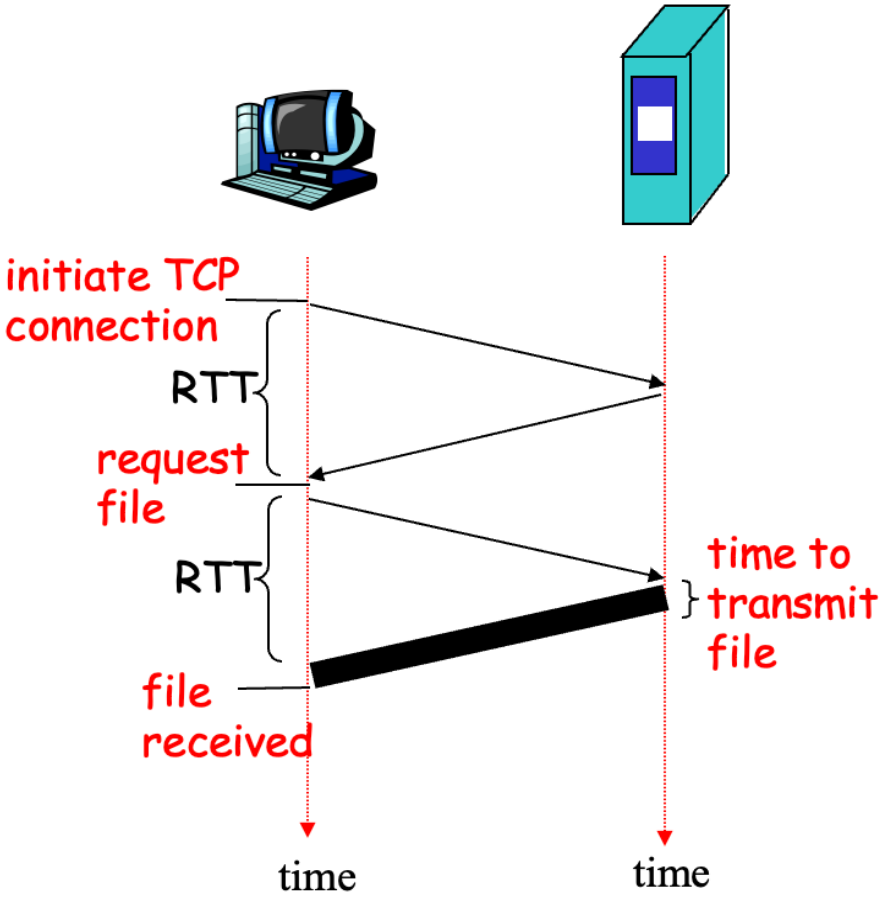
Non-Persistent HTTP: Response time

Definition of RTT: time for a small packet to travel from client to server and back.

Response time:

- one RTT to initiate TCP connection
- one RTT for HTTP request and first few bytes of HTTP response to return
- file transmission time

total = 2RTT+transmit time



Persistent HTTP

Persistent HTTP

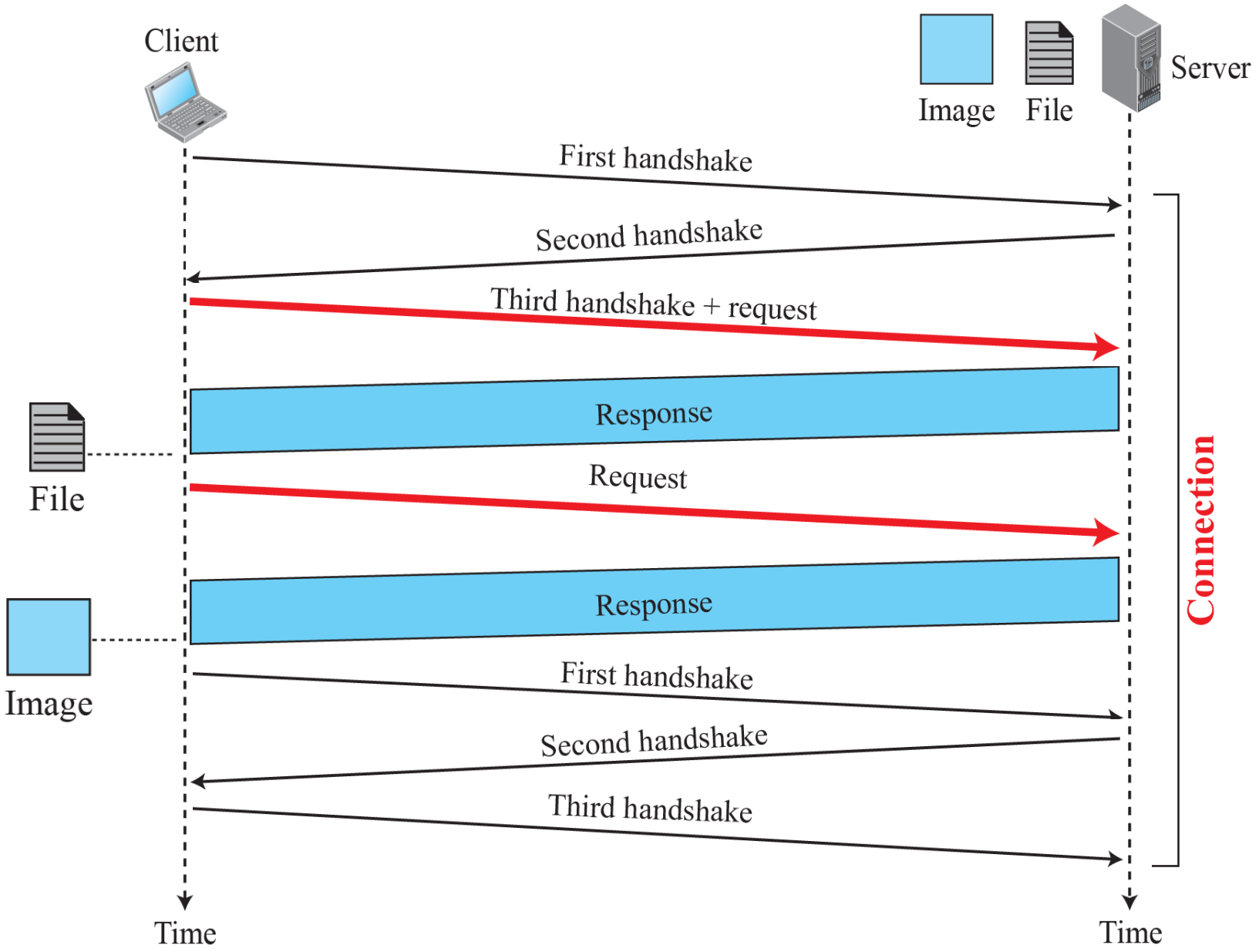
Nonpersistent HTTP issues:

- requires 2 RTTs per object
- OS overhead for *each* TCP connection
- browsers often open parallel TCP connections to fetch referenced objects

Persistent HTTP

- server leaves connection open after sending response
- subsequent HTTP messages between same client/server sent over open connection
- client sends requests as soon as it encounters a referenced object
- as little as one RTT for all the referenced objects

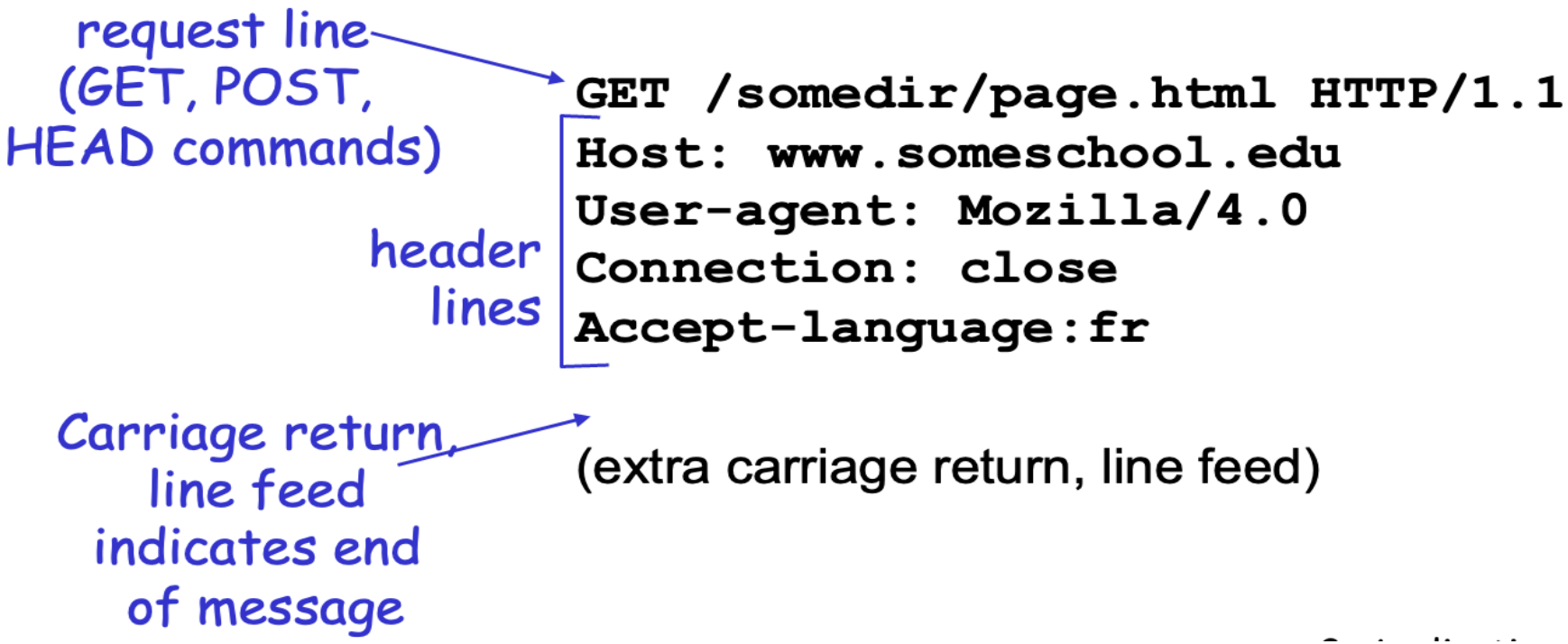
Figure : Persistent Connection Example



HTTP Request Message

HTTP request message

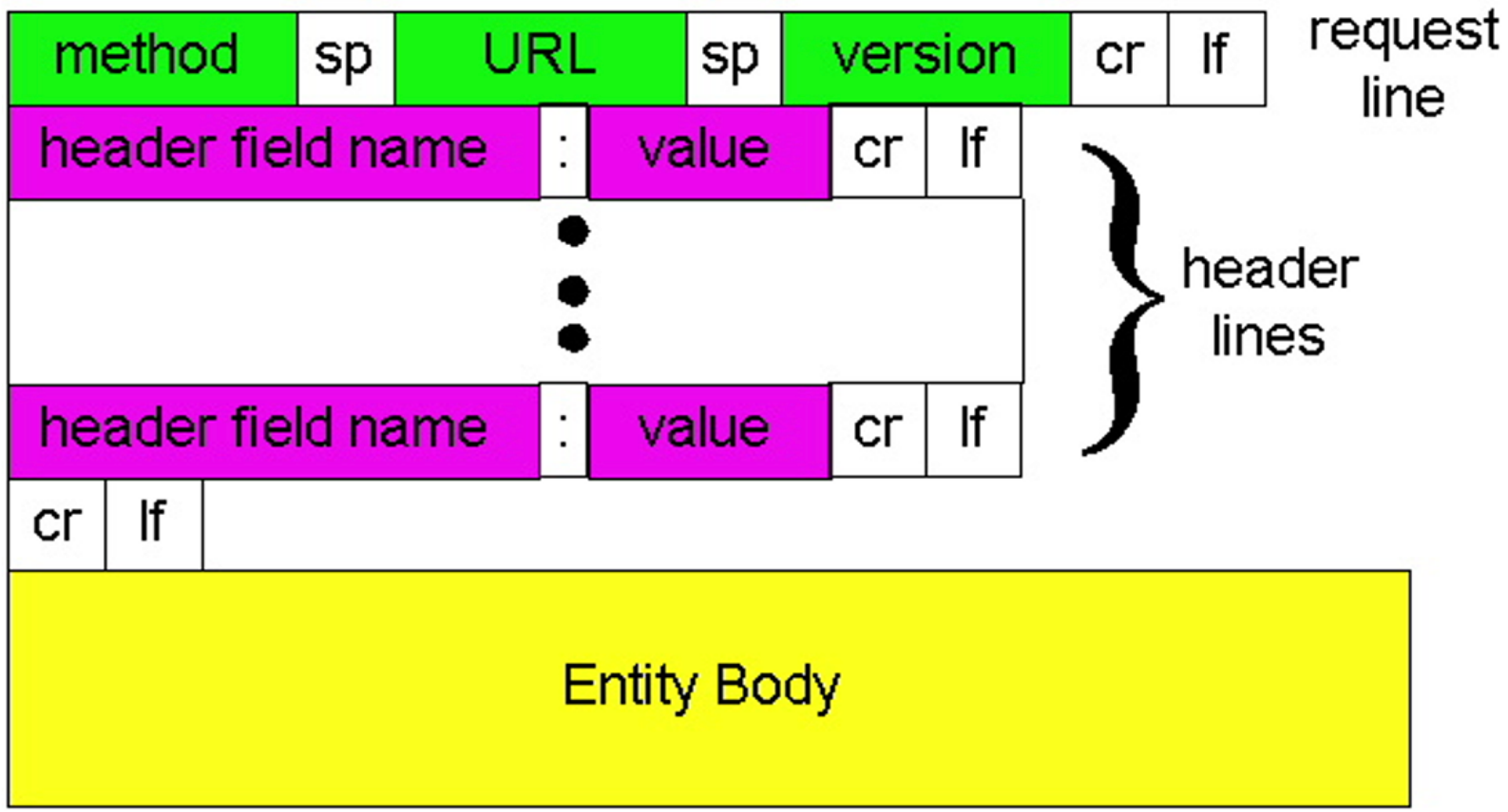
- two types of HTTP messages: *request, response*
- HTTP request message:
  - ASCII (human-readable format)



HTTP Request Message

(General Format)

HTTP request message: general format



Uploading Form Inputs

Uploading form input

Post method:

- Web page often includes form input
- Input is uploaded to server in entity body

URL method:

- Uses GET method
- Input is uploaded in URL field of request line:

```
www.somesite.com/animalsearch?monkeys&banana
```

Method Types

Method types

HTTP/1.0

- GET
- POST
- HEAD
  - asks server to leave requested object out of response

HTTP/1.1

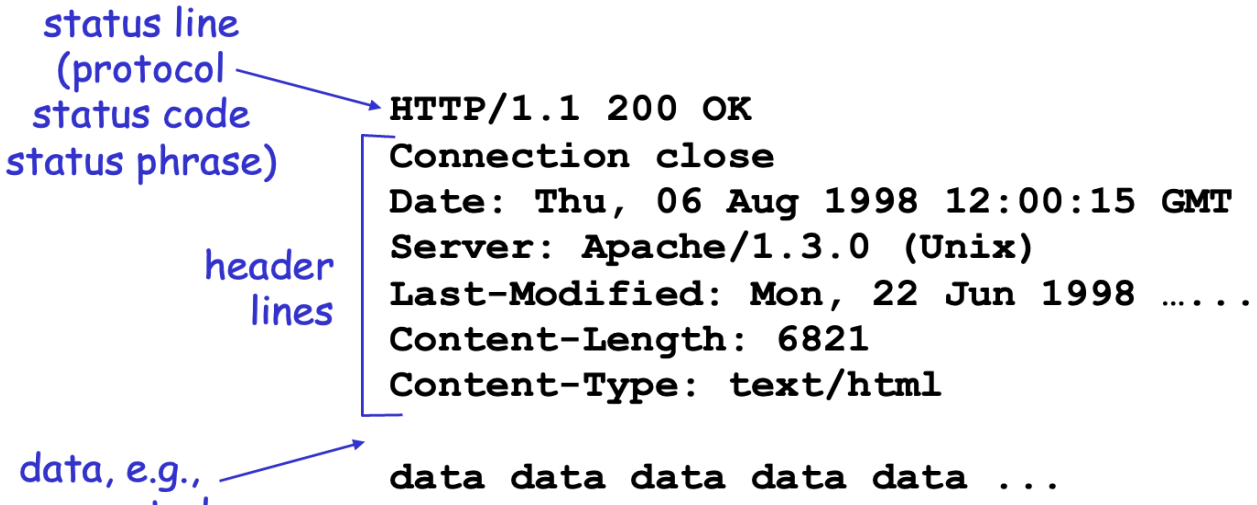
- GET, POST, HEAD
- PUT
  - uploads file in entity body to path specified in URL field

HTTP/1.1

- DELETE
  - deletes file specified in the URL field
- TRACE
  - Echoes request msg from server
- OPTIONS
  - Returns HTTP methods that the server supports
- CONNECT
  - TCP/IP tunnel for HTTP

HTTP response message

HTTP Response Message



In first line in server->client response message.

A few sample codes:

200 OK

- request succeeded, requested object later in this message

301 Moved Permanently

- requested object moved, new location specified later in this message (Location:)

400 Bad Request

- request message not understood by server

404 Not Found

- requested document not found on this server

505 HTTP Version Not Supported

User Server State: Cookies

User-server state: cookies

Many major Web sites use cookies

Four components:

1) cookie header line of HTTP *response* message
2) cookie header line in HTTP *request* message
3) cookie file kept on user's host, managed by user's browser
4) back-end database at Web site

Example:

- Susan always access Internet always from PC
- visits specific e-commerce site for first time
- when initial HTTP requests arrives at site, site creates:
  - unique ID
  - entry in backend database for ID

Cookies

(Continue)

Cookies (continued)

What cookies can bring:

- authorization
- shopping carts
- recommendations
- user session state (Web e-mail)

aside

Cookies and privacy:

- cookies permit sites to learn a lot about you
- you may supply name and e-mail to sites

How to keep "state":

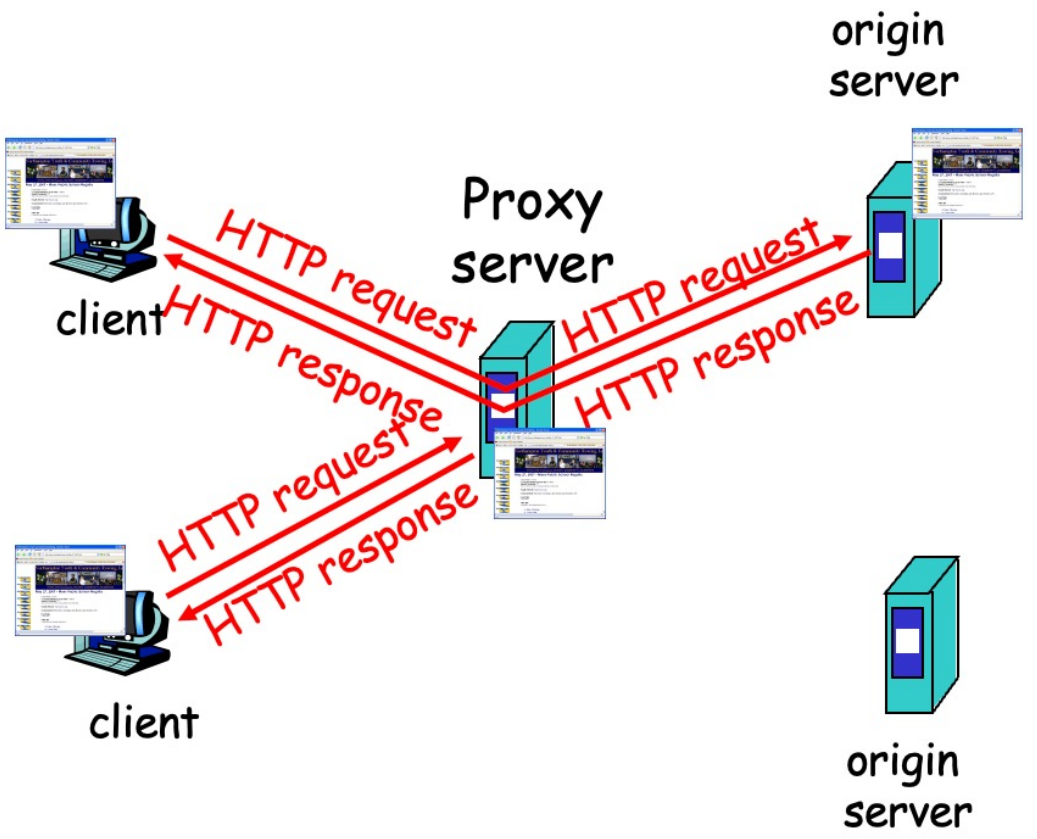
- protocol endpoints: maintain state at sender/receiver over multiple transactions
- cookies: http messages carry state

Web Caches (Proxy Server)

Web caches (proxy server)

Goal: satisfy client request without involving origin server

- user sets browser: Web accesses via cache
- browser sends all HTTP requests to cache
  - object in cache: cache returns object
  - else cache requests object from origin server, then returns object to client



Web Caches
(Proxy Server)

(Continue)

More about Web caching

- cache acts as both client and server
- typically cache is installed by ISP (university, company, residential ISP)

Why Web caching?

- reduce response time for client request
- reduce traffic on an institution's access link.
- Internet dense with caches: enables "poor" content providers to effectively deliver content (but so does P2P file sharing)

Caching Example

Caching example

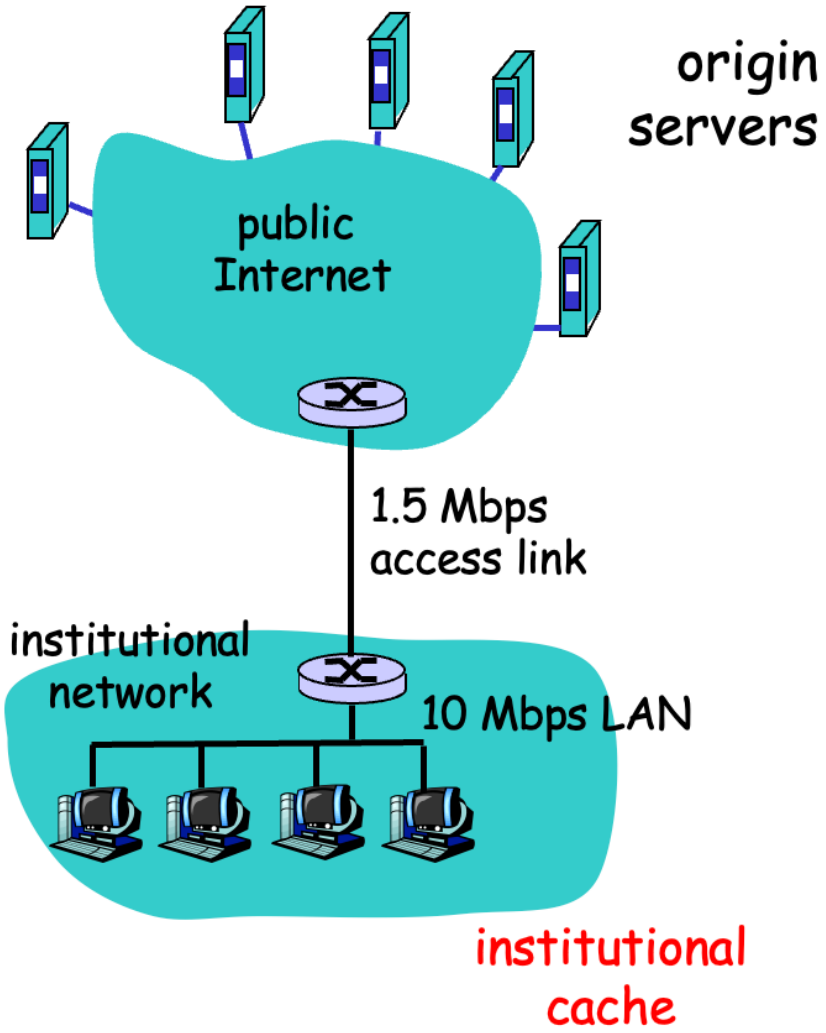
Assumptions

- average object size = 100,000 bits
- avg. request rate from institution's browsers to origin servers = 15/sec
- delay from institutional router to any origin server and back to router = 2 sec

Consequences

- utilization on LAN = 15%
- utilization on access link = 100%
- total delay = Internet delay + access delay + LAN delay

  = 2 sec + minutes + milliseconds



Caching Example

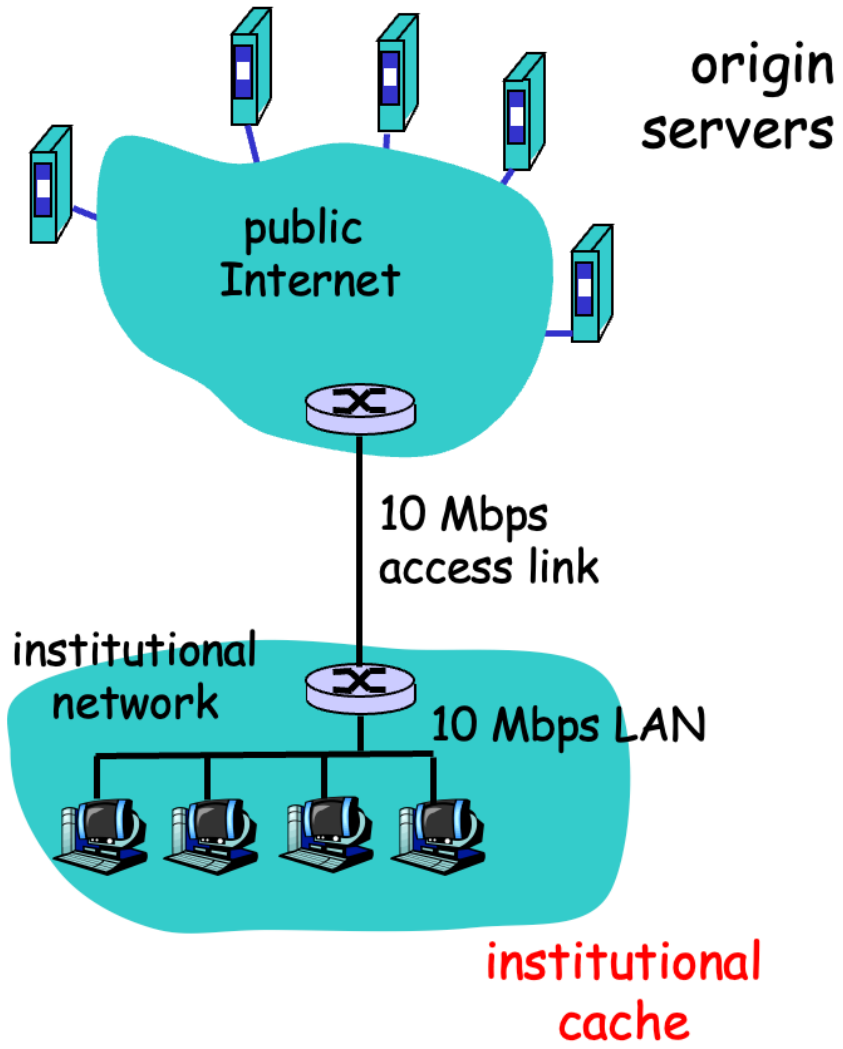
Caching example (cont)

possible solution

- increase bandwidth of access link to, say, 10 Mbps

consequence

- utilization on LAN = 15%
- utilization on access link = 15%
- Total delay = Internet delay + access delay + LAN delay
  = 2 sec + msecs + msecs
- often a costly upgrade



Caching Example

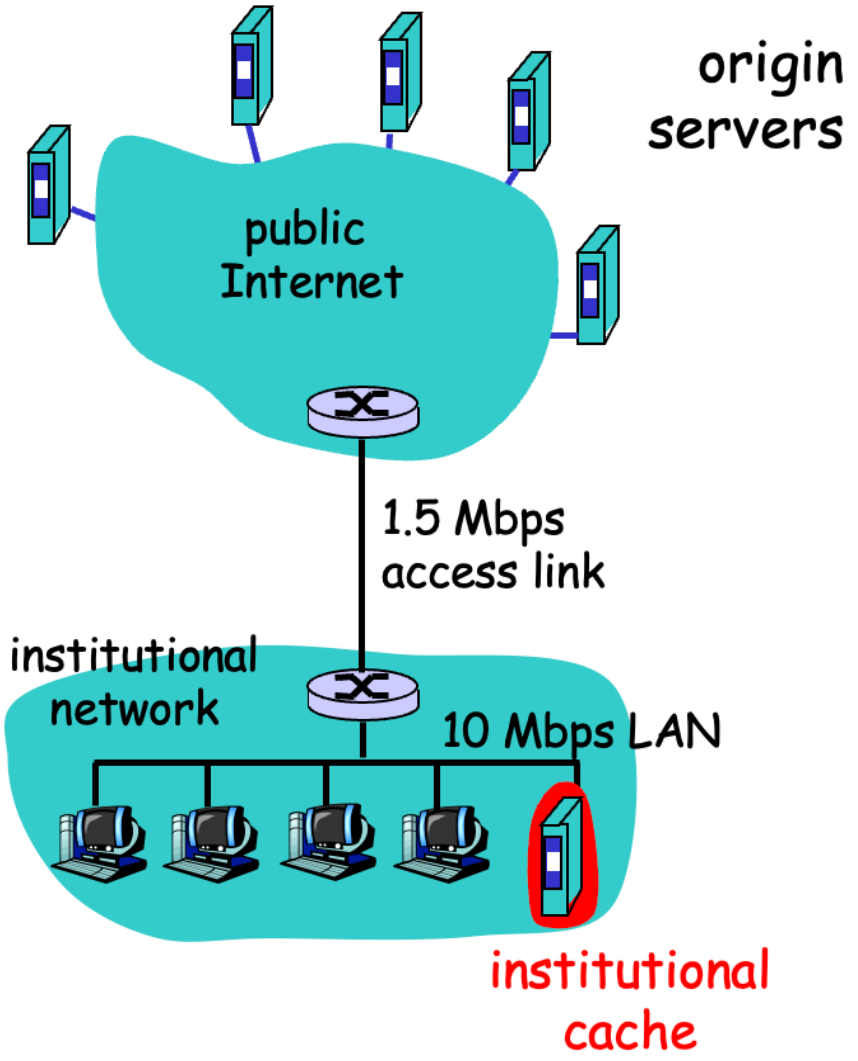
Caching example (cont)

possible solution: install cache

- suppose hit rate is 0.4

consequence

- 40% requests will be satisfied almost immediately
- 60% requests satisfied by origin server
- utilization of access link reduced to 60%, resulting in negligible delays (say 10 msec)
- total avg delay = Internet delay + access delay + LAN delay = .6*(2.01) secs + .4*milliseconds < 1.4 secs



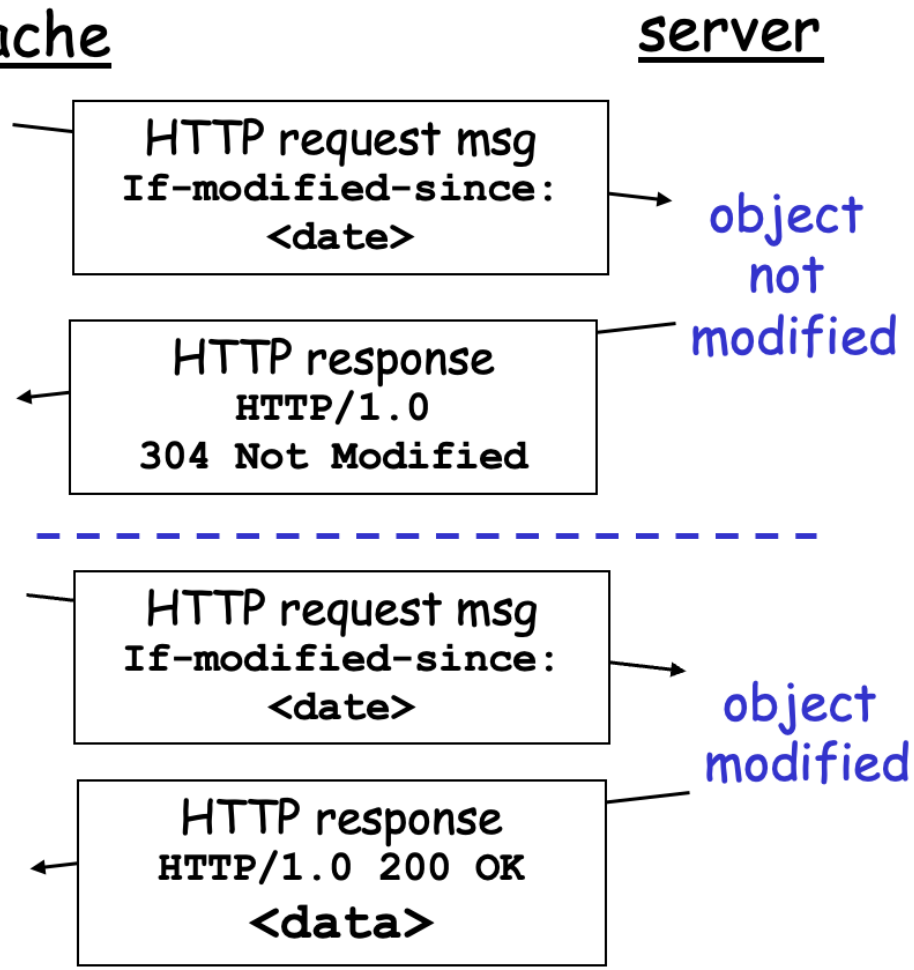
Conditional GET

Conditional GET

- Goal: don't send object if cache has up-to-date cached version
- cache: specify date of cached copy in HTTP request

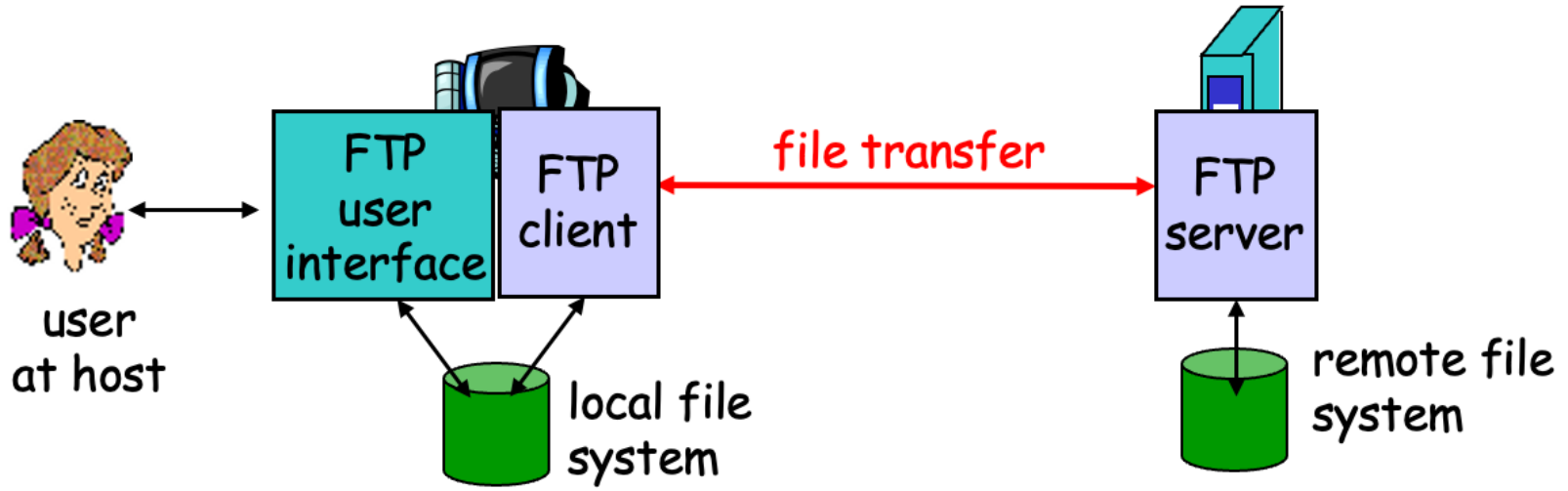
  `If-modified-since: <date>`
- server: response contains no object if cached copy is up-to-date:

  `HTTP/1.0 304 Not Modified`



FTP: the file transfer protocol

FTP: the file transfer protocol



- transfer file to/from remote host
- client/server model
  - *client:* side that initiates transfer (either to/from remote)
  - *server:* remote host
- ftp: RFC 959
- ftp server: port 21

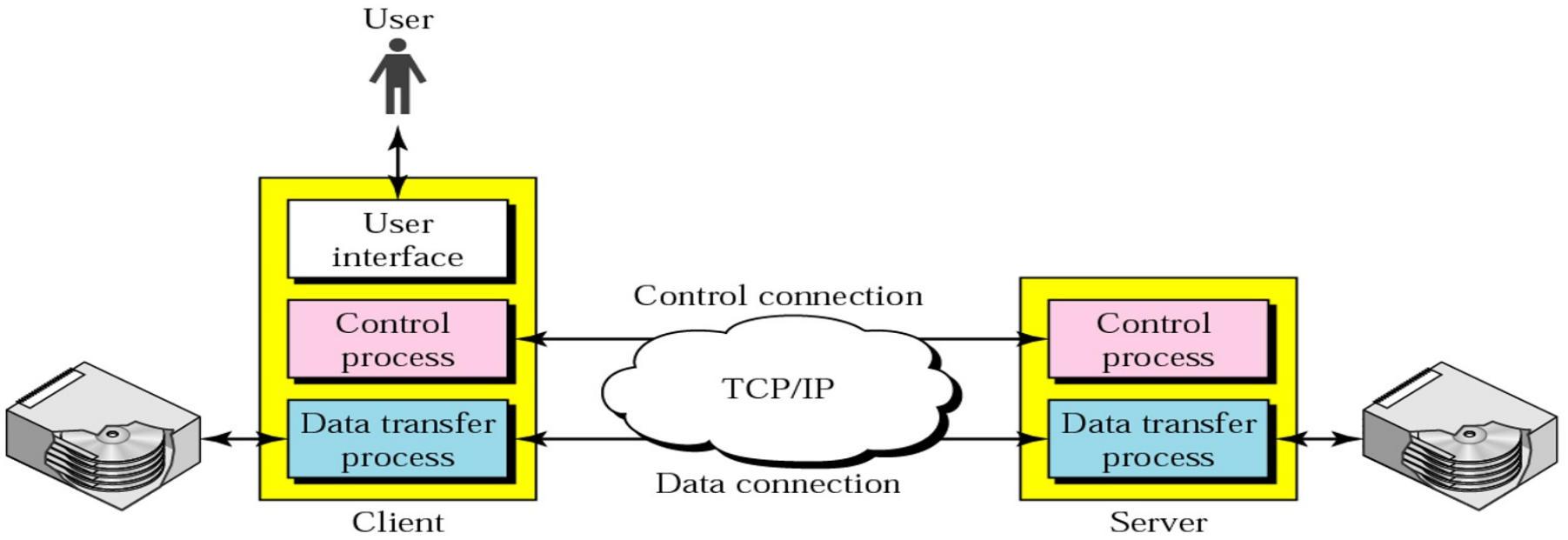
FTP (File Transfer Protocol)

- File Transfer Protocol (FTP) is the standard protocol provided by TCP/IP for copying a file from one host to another.
  - Although transferring files from one system to another seems simple and straightforward, some problems must be dealt with first.
  - For example, two systems may use different file name conventions. Two systems may have different ways to represent data. All of these problems have been solved by FTP in a very simple and elegant approach.

FTP: the file transfer protocol

Note:

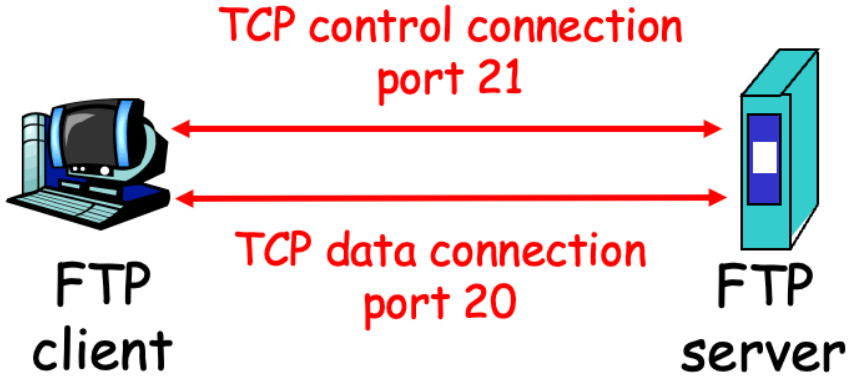
FTP uses the services of TCP. It needs two TCP connections. The well-known port 21 is used for the control connection, and the well-known port 20 is used for the data connection.



FTP: the file transfer protocol

FTP: separate control, data connections

- FTP client contacts FTP server at port 21, TCP is transport protocol
- client authorized over control connection
- client browses remote directory by sending commands over control connection.
- when server receives file transfer command, server opens 2^{nd} TCP connection (for file) to client
- after transferring one file, server closes data connection.



- server opens another TCP data connection to transfer another file.
- control connection: "out of band"
- FTP server maintains "state": current directory, earlier authentication

FTP: the file transfer protocol

(Commands and Responses)

FTP commands, responses

Sample commands:

- sent as ASCII text over control channel
- **USER *username***
- **PASS *password***
- **LIST** return list of file in current directory
- **RETR filename** retrieves (gets) file
- **STOR filename** stores (puts) file onto remote host

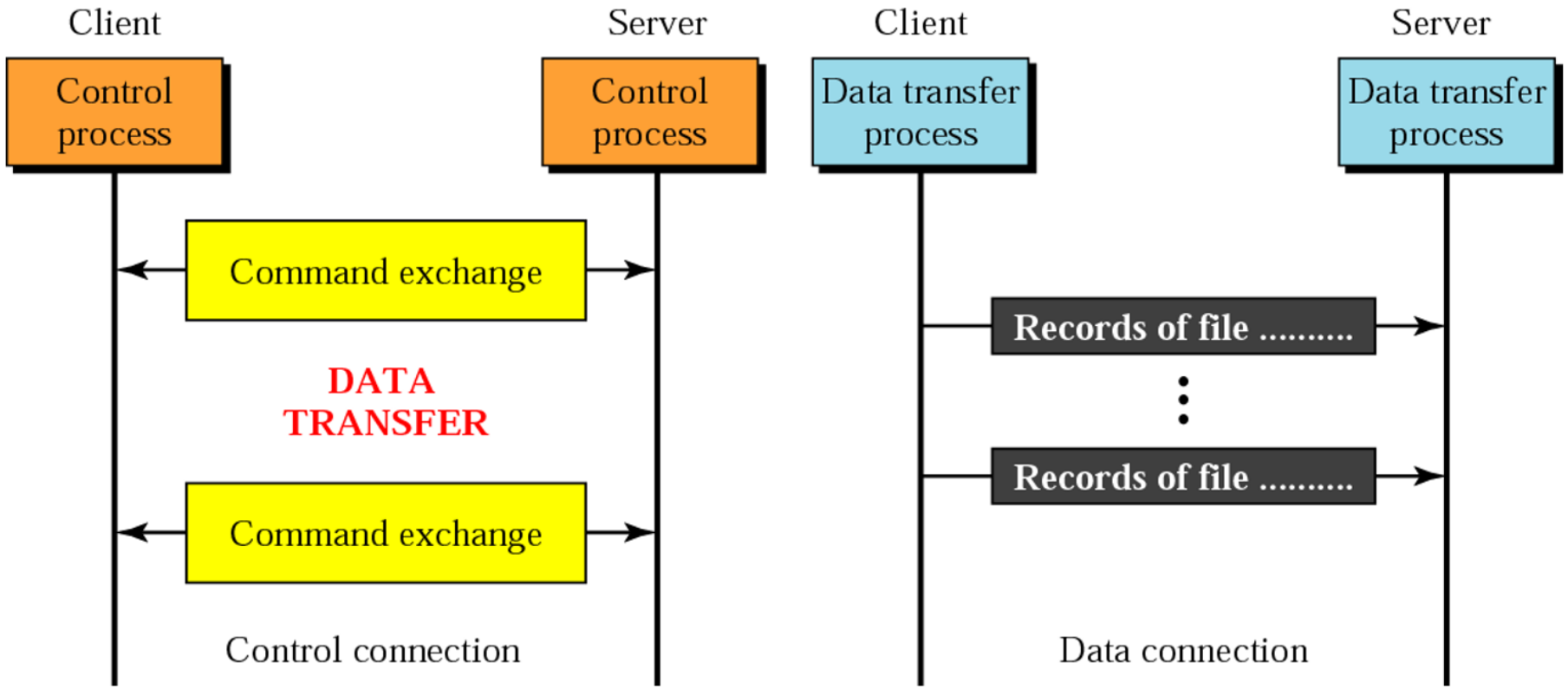
Sample return codes

- status code and phrase (as in HTTP)
- **331 Username OK, password required**
- **125 data connection already open; transfer starting**
- **425 Can't open data connection**
- **452 Error writing file**

FTP: the file transfer protocol

(Connections)

- Lifetimes of Two Connections
  - Control Connection
  - Data Connection
- Communication over Data Connection
- File Transfer



Some FTP File Transfer Protocol commands

Command	*Argument(s)*	*Description*
ABOR		Abort the previous command
CDUP		Change to parent directory
CWD	Directory name	Change to another directory
DELE	File name	Delete a file
LIST	Directory name	List subdirectories or files
MKD	Directory name	Create a new directory
PASS	User password	Password
PASV		Server chooses a port
PORT	port identifier	Client chooses a port
PWD		Display name of current directory
QUIT		Log out of the system
RETR	File name(s)	Retrieve files; files are transferred from server to client
RMD	Directory name	Delete a directory
RNFR	File name (old)	Identify a file to be renamed

FTP (File Transfer Protocol) Port Number - 21

Some FTP File Transfer Protocol commands

(continued)

Command	*Argument(s)*	*Description*
RNTO	File name (new)	Rename the file
STOR	File name(s)	Store files; file(s) are transferred from client to server
STRU	**F**, **R**, or **P**	Define data organization (**F**: file, **R**: record, or **P**: page)
TYPE	**A**, **E**, **I**	Default file type (**A**: ASCII, **E**: EBCDIC, **I**: image)
USER	User ID	User information
MODE	**S**, **B**, or **C**	Define transmission mode (**S**: stream, **B**: block, or **C**: compressed

Some responses in FTP

Code	*Description*	*Code*	*Description*
125	Data connection open	**250**	Request file action OK
150	File status OK	**331**	User name OK; password is needed
200	Command OK	**425**	Cannot open data connection
220	Service ready	**450**	File action not taken; file not available
221	Service closing	**452**	Action aborted; insufficient storage
225	Data connection open	**500**	Syntax error; unrecognized command
226	Closing data connection	**501**	Syntax error in parameters or arguments
230	User login OK	**530**	User not logged in