

OUTLINE



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- Introduction
 - Spray Drying General aspects
 - Typical Challenges in industry
- Need for a Mechanistic model

1

- gSOLIDS Spray Drying Model
 - Overview
- Workflow

3

- Customization done
- Atomization models
- Cyclone model

4

- Case Studies
- Product 1
- Product 2

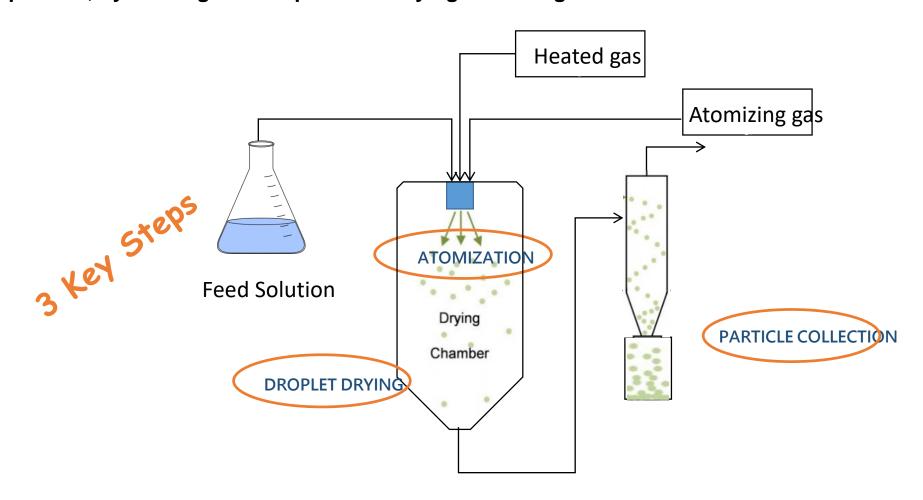
• Conclusion

5



What is Spray Drying?

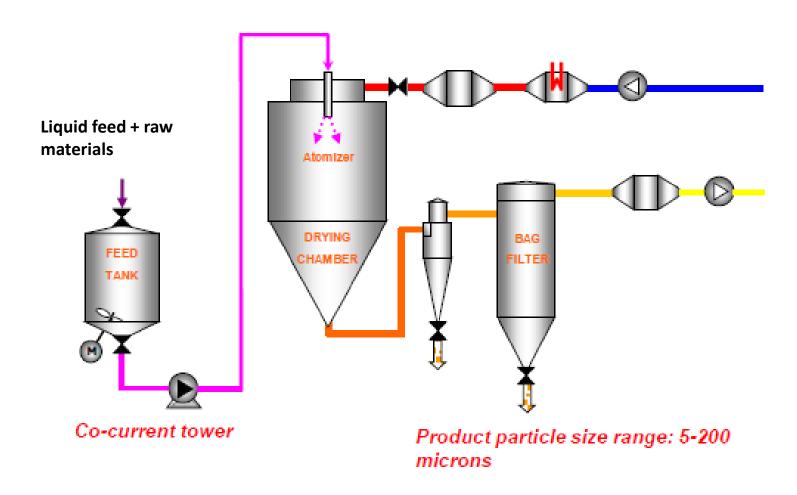
Spray drying is evaporation of a liquid from a solution or suspension to give a dry powder product, by forming fine droplets and drying with hot gas



Ref: Modelling spray drying of fine particles using gSOLIDS, Thoralf Hartwig, Ian Kemp

Typical co-current spray drying process



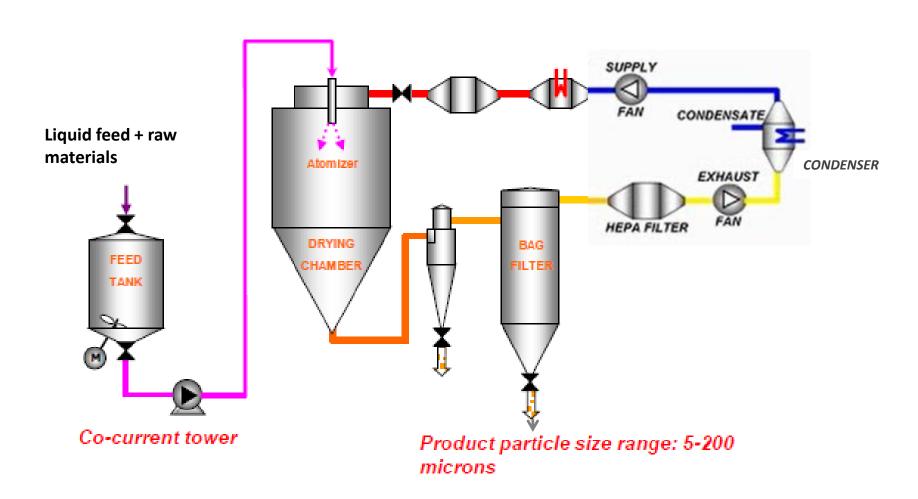


Open Loop Operation

Ref: Pinto, M.A., Bermingham, S., P. Bach, M.Nerby, 2011. Modelling of a spray drying process, AIChE Annual Meeting, Minneapolis, MN, USA.

Spray drying process with just drying gas recycle

most common type of recycle in the manufacturing of APIs....

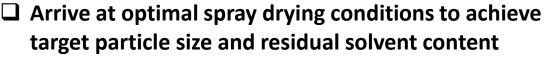


Closed Loop Operation

Ref: Pinto, M.A., Bermingham, S., P. Bach, M.Nerby, 2011. Modelling of a spray drying process, AIChE Annual Meeting, Minneapolis, MN, USA.

Challenges in Spray Drying

Scale Up and Process Optimization



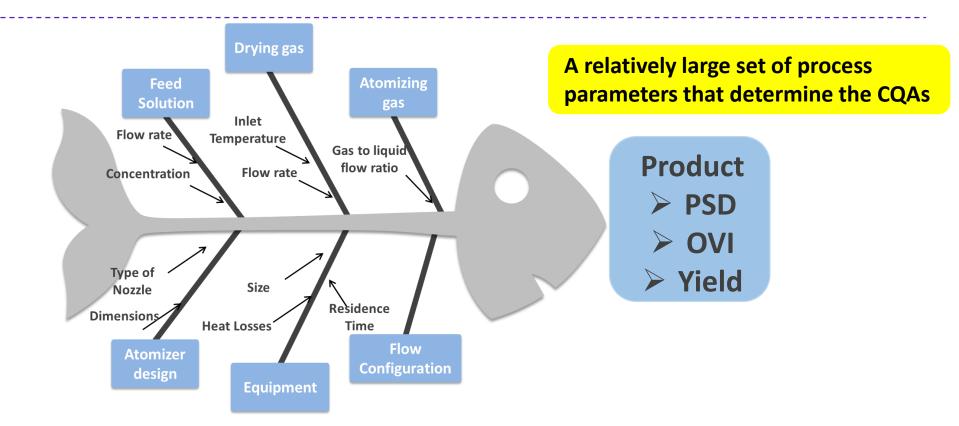
- ☐ Scale up from lab to plant scale with desired quality attributes
- ☐ Improve the next batch given results from previous batch
- Minimize equipment fouling and wall deposits to maintain yield
- ☐ Brand new equipment at plant scale
- ☐ Transfer the process across different sites.

Ref: Pinto, M.A., Bermingham, S., P. Bach, M.Nerby, 2011. Modelling of a spray drying process, AIChE Annual Meeting, Minneapolis, MN, USA.



Image courtesy: Detroit process machinery

Why do we need a mechanistic model based approach?



Traditional approaches use DoE to arrive at design space. DOE does not scale Scale up based on statistical approaches is very risky.

Approaches using Mechanistic models combined with experimentation increase the confidence level during scale up!

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gSOLIDS Spray Drying Model - Overview

System Characterization

- Experimental /Operating conditions
- Equipment specifications
- Droplet size distribution from atomizer
- Droplet residence time
- Heat Loss

Physical Properties of the components

- Density
- Specific heat capacity
- Thermal conductivity
- Dynamic Viscosity
- Latent heat of vaporization

Inputs

Equilibrium and drying properties

- Sorption isotherm
- · Characteristic drying curve (including critical moisture content)
- Antoine vapour pressure coefficients
- Psychrometric ratio



Outputs

OVI

Outlet vapor temperature

Outlet vapor humidity

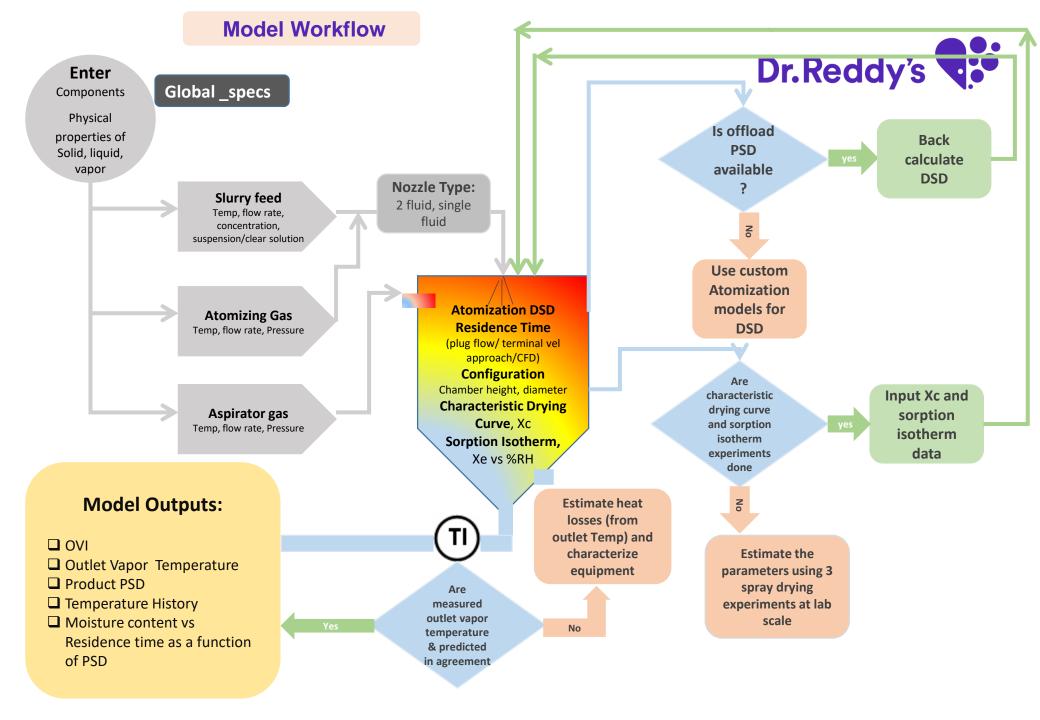
Droplet moisture content in spray dryer (all sizes) vs residence time

Droplet temperature in spray dryer (all sizes) vs residence time

Outlet PSD

Spray dryer outlet Cyclone oversize **Cyclone undersize**

Droplet diameter in spray dryer (all sizes) vs residence time



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Atomization Models

customized models added at flowsheet level

How do droplets form?

There are different mechanisms due to which droplets form:

- High pressure drop
- Diffences in velocities
- Centrifugal force

Single fluid/ pressure nozzle

$$D_{3,2} = 286[(2.54 \times 10^{-2})D + 0.17] \exp\left[\frac{39}{v_{AX}} - (3.13 \times 10^{-3})v_1\right]$$
(10.10)

Two fluid/ pneumatic nozzle

$$D_{3,2} = \frac{535 \times 10^3 \sqrt{\sigma}}{v_{\text{REL}} \sqrt{\rho}} + 597 \left(\frac{\mu}{\sqrt{\sigma \rho}}\right)^{0.45} \times \left(\frac{1000 \, \dot{\boldsymbol{V}}_{\text{FL}}}{\dot{\boldsymbol{V}}_{\text{AIR}}}\right)$$
(10.14)

Rotary Atomizer

$$D_{3,2} = 0.241 \left(\frac{1}{N}\right)^{0.6} \left(\frac{1}{\rho}\right)^{0.3} \left(\frac{\mu \dot{M}}{2r\rho}\right) \left(\frac{\sigma}{N_v b}\right)^{0.1}$$
 (10.7)

Ref: Handbook of industrial drying, Arun S Mujumdar)

Cyclone Model

customized model added to gSOLJDS standard libraries

Lappel Cut diameter

According to this, cut diameter (dpc) is the size of the particles collected with 50% efficiency and is given as,

$$dpc = \left(\frac{9*\mu*Bc}{2*\pi*Nc*vi*(\rho p - \rho)}\right)^{0.5}$$

μ = viscosity (Pa.s)

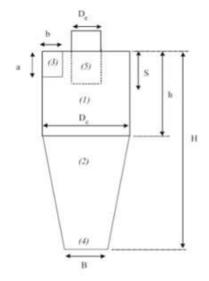
Bc = inlet width (m)

vi = inlet gas velocity (m/s)

pp = particle density (kg/m^3)

Nc = number of turns

$$Nc = \frac{h + \frac{H - h}{2}}{a}$$



The collection efficiency (E) as a function of the ratio of particle diameter to cut diameter can be obtained by:

$$E = \frac{1}{1 + \left(\frac{dpc}{dp}\right)^2}$$

Ref: NPTEL - Chemical Engineering Design - II, General Design Consideration of Cyclone Separator.

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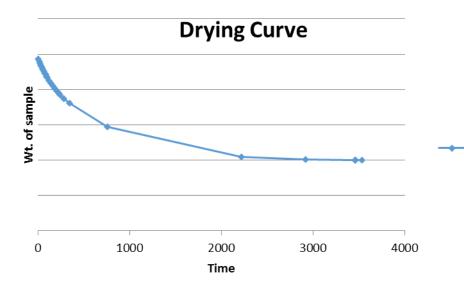
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Parameters obtained at Lab Scale



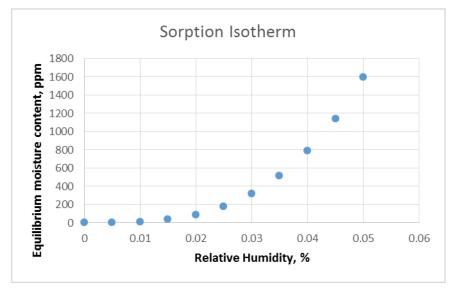


Critical Moisture content, Xc

Obtained from an independent experiment performed in TGA(Thermo gravimetric Analyzer)

Sorption isotherm , % RH = Constant * (Xeq) ^Power

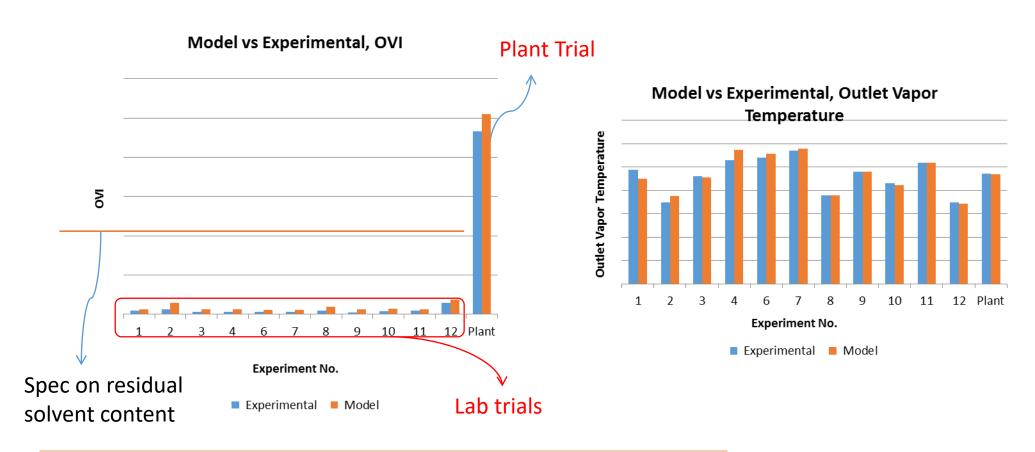
Obtained from parameter estimation in gSOLIDS using the following experiments



| © Estimate_sorption | |
|---------------------|-----------------------|
| Experiment | Include in estimation |
| △ DoE_Experiment1 | ▽ |
| | V |
| △ Pre_DOE | V |
| TIE_DOL | • |

These are scale independent parameters characteristic of API and used for prediction at plant scale

Model Validation



Model and experimental data seem to be well in agreement in most of the cases

Operating conditions at which the OVI can further be reduced at plant explored using gSOLIDS model and recommendations given .

Comparison between 2fluid and single fluid nozzle trials at the recommended operating conditions using gSOLIDS simulations

Key Outputs (Trial with 2fl nozzle)

| Variable | Value | Unit |
|-----------------------------|-------|------|
| OVI_solvent 1 | 680 | ppm |
| Outlet Vapor temperature | 44.4 | С |
| PSD , d10 | 11 | μm |
| PSD, d50 | 15 | μm |
| PSD, d90 | 20 | μm |
| Cyclone Yield (Theoretical) | 85.4 | % |

Key Outputs (Trial with single fluid nozzle)

| Variable | Value | Unit |
|-----------------------------|-------|------|
| OVI_solvent 1 | 1250 | ppm |
| Outlet Vapor temperature | 46 | С |
| PSD, d10 | 21 | μm |
| PSD , d50 | 28 | μm |
| PSD, d90 | 33 | μm |
| Cyclone Yield (Theoretical) | 95 | % |

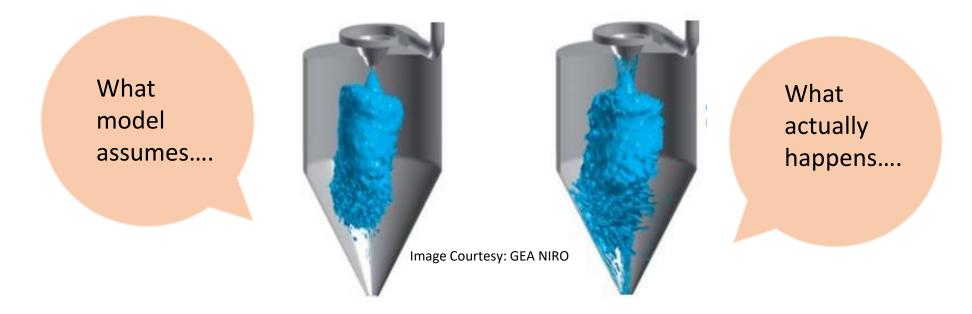
Higher yield loss due to collection of fines in the Bag Filter



Note: Cyclone yield does not account for wall deposits and equipment fouling.

Cyclone Yield Model vs Actual....

Cyclone model does not take into account losses due to wall deposits (including equipment fouling due to poor product properties) in spray chamber as well as cyclone.



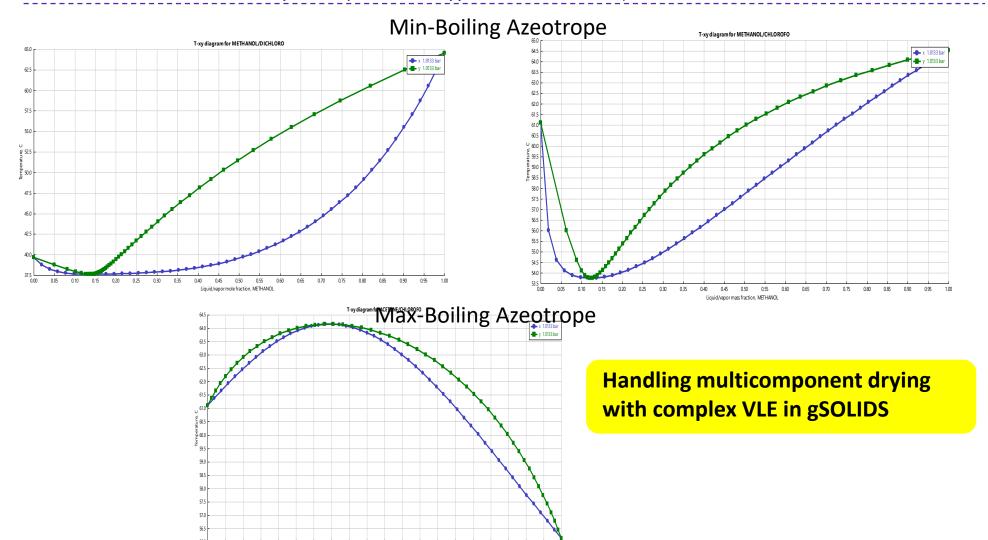
To address wall deposits due to semi dried particles hitting the walls and getting stuck, more advanced simulations like CFD simulations are needed.



T-xy diagram for mixtures with complex VLE

Liquid/vapor mole fraction, ACETONE

How will the presence of an azeotrope affect spray drying process?.....

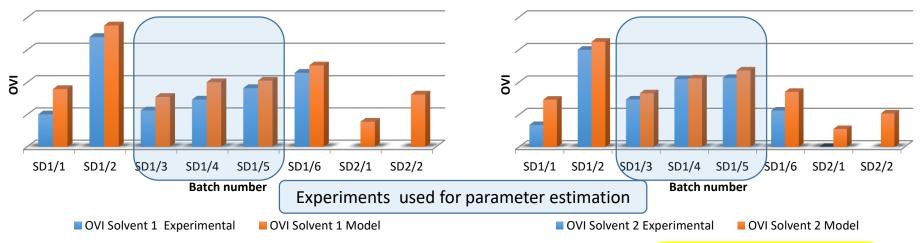


Product 2

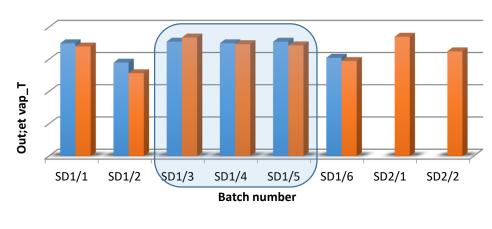
Spray dryer 1 and Spray dryer 2 (transition from from closed loop to open loop)



OVI Solvent 2, Experimental vs Model



Outlet Vapor T, Experimental vs Model



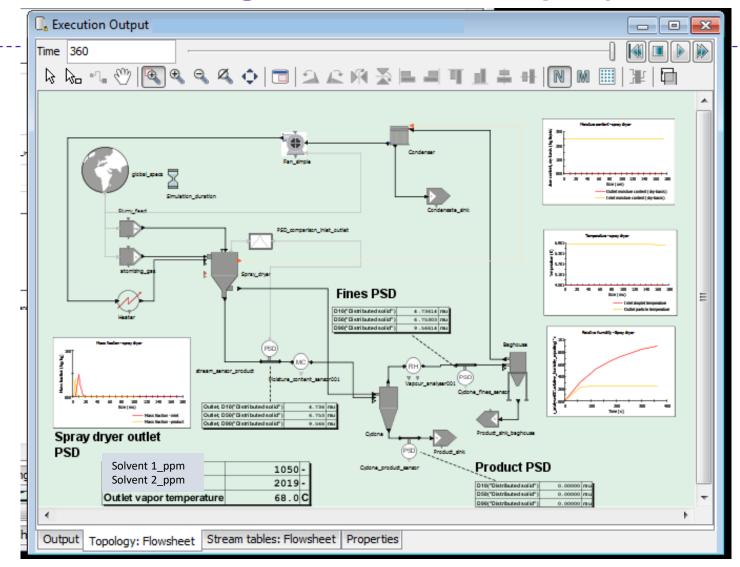
Outlet T Model

outlet T Experimental

Features Implemented

- gSOLIDS standard Libraries
- **Customized Atomization models**
- Multiflash for physical properties
- Customized cyclone model
- **Customized Closed loop** operation
- ☐ Integration of all the unit operations involved in spray drying process

Screenshots of gSOLIDS Model Output (closed loop)



Model gives the relative humidity build up at the spray dryer outlet over time

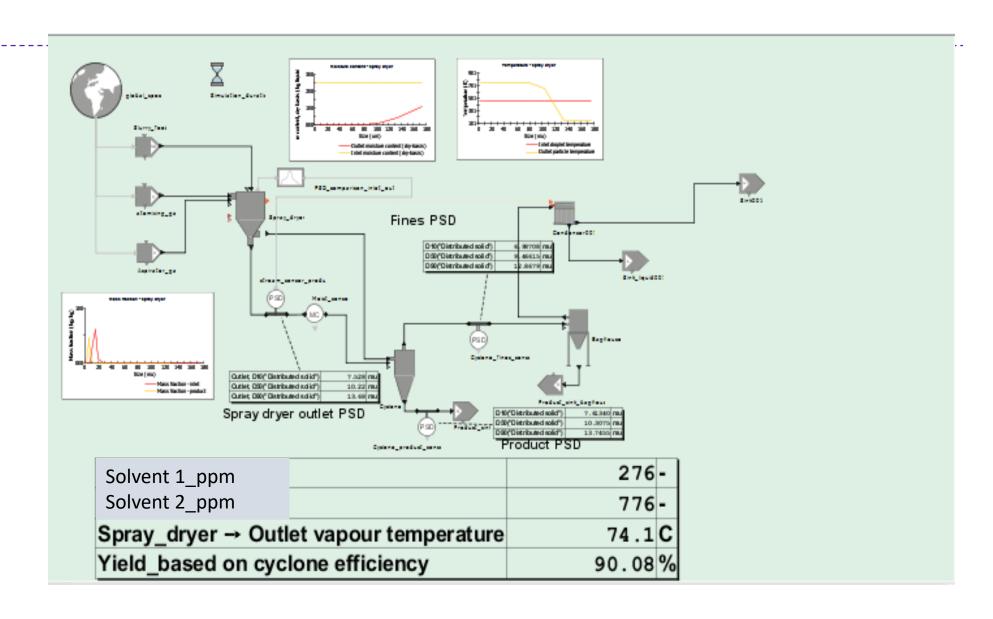
RH vs time plot in combination with the sorption isotherm can be used to find out the maximum time for the closed loop operation

Multicomponent droplet evaporation and drying behaviour can be simulated

Phase equilibrium properties (SLE, SLLE, VLLE, etc) are taken into consideration

All the unit operations in spray drying process are put together in one flowsheet to understand the effect of different CPPs on the CQAs

Screenshots of gSOLIDS Model Output (Open loop)



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Concluding Remarks

- Atomization models are over predicting the initial drop size.
- Back calculating DSD using offload PSD measurement gave more accurate results.
- Estimated residence times from known spray drying experiments are less than the mean gas residence time.
- > Integrating all unit operations involved in spray drying process in one flowsheet gave much better understanding into the process.

Future Work

- Predicting equipment fouling and wall deposits using CFD simulations and estimate the yield loss.
- > Inclusion of a better atomization model that would give the DSD as an output from the model.
- Implementation of multizonal CFD models.

Acknowledgements

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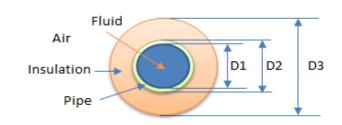
Sean Birmingham





Heat Loss Calculation

Wall-environment heat loss from spray dryer is characterized from a dry gas run



$$U = \frac{1}{\frac{D_3}{D_1.h_{in}} + \frac{D_3.ln\left(\frac{D_2}{D_1}\right)}{2.k_{PIPE}} + \frac{D_3.ln\left(\frac{D_3}{D_2}\right)}{2.k_{INSULATION}} + \frac{1}{h_{AIR}}}$$

Inputs needed

| S.No | Item | |
|-------------------------|----------------------------------|--|
| 1 | Equipment dimensions | |
| 2 | Equiment MoC | |
| 3 | Type of Insulation and thickness | |
| Inputs from Dry Gas Run | | |
| 4 | Flow rate of dry gas | |
| 5 | Outlet vapour temperature | |
| 6 | Surface Temperature | |

Assumption:

Heat Transfer coefficient can be assumed to remain constant for a given range of operating temperatures, although in reality it varies, which is a fair assumption for a given temperature range.

Otherwise, it can be calculated based on the operating temperature, as and when needed.

Reference: Heat Loss from insulated pipe, Chemical engineer's Guide.

Fundamental differences between QBD and systems based pharmaceutics approach

| | pre-QbD | "QbD 1.0" | "QbD 2.0" |
|--|--|--|---|
| Experiment design method | OFAT | Factorial design / Fractional factorial design | Mechanistic model-based |
| Aim of experimental programme | Attain improve- ments in the system | Determine combined effect of CPPs on CQAs Identify robust operating points / regions | Targeted at estimating parameters of physical model Use validated model to identify robust, optimal operating regions |
| Models used | Typically none | Statistical models (MVDA) | Advanced Process Modelling (APM): Mechanistic models |
| Limitations / challenges of the approach | Combined effect of changes in CPPs unknown, so difficult to predict robustness | Very resource intensive experimental programme Limited ability to transfer knowledge to other scales / equipment Regulatory acceptance / understanding | Selecting appropriate mechanistic model (model discrimination) Not widely applied yet -> training and culture change required Regulatory acceptance / understanding |

Ref: Presentation titiled SBP- A systems approach for design of robust drug products and their manufacturing processes t properties, Interceram 03-04/2010, Pg 193-197.

Atomization models – Key Equations and References

Drop size calculation for a pressure nozzle is given by,

$$D_{3,2} = 286[(2.54 \times 10^{-2})D + 0.17] \exp\left[\frac{39}{v_{AX}} - (3.13 \times 10^{-3})v_1\right]$$
(10.10)

where the axial velocity vAX (m/s) and the inlet velocity v1 (m/s) are determined as follows:

$$v_{AX} = \frac{D_1^2}{2Db}v_1 \qquad (10.11)$$

$$v_1 = \frac{v_1}{A_1}$$
 (10.12)

In this equation, D is onfice diameter (m), D_1 is inlet channel diameter (m), A1 is inlet channel area (m2), V_1 is volumetric flow rate (m³/s), and b is thickness of fluid film in the orifice. The resulting Sauter mean diameter is in µm.

Ref. Correlation for Pressure nozzle to calculate D3,2, Handbook of industrial drying, Arun S Mujumdar)

Drop size calculation for a two fluid nozzle is given by,

$$D_{3,2} = \frac{535 \times 10^3 \sqrt{\sigma}}{v_{\text{REL}} \sqrt{\rho}} + 597 \left(\frac{\mu}{\sqrt{\sigma \rho}}\right)^{0.45} \times \left(\frac{1000 \, v_{\text{FL}}}{v_{\text{AIR}}}\right)$$
(10.14)

where σ , ρ , and μ are the fluid surface tension (N/m), density (kg/m3), and viscosity (Pa s), respectively, and V FL and V AIR are volumetric flow rates of fluid and air (m3/s), respectively. Instead of relative velocity v_{REL} (m/s), the outlet velocity of air may also be substituted.

Ref. Correlation for two fluid nozzle to calculate D3,2, Handbook of industrial drying, Arun S Mujumdar)