

Motilal Oswal Financial Services Ltd

| | |
|---|---------------------------|
| BA / Br Name | PLEASE AFFIX RUBBER STAMP |
| Complete Address, | |
| City, PIN, | |
| Mobile Number (Objected form will be returned on this address) | |

| Select Option of Activation | |
|-----------------------------|--------------------------|
| Physical | <input type="checkbox"/> |
| Scan | <input type="checkbox"/> |
| Reactivation Form | <input type="checkbox"/> |

Select Option - ☐ CDSL or ☐ NSDL

Know your client (KYC) Application form (BSE, NSE, CDSL, NSDL, MCX, NCDEX)

Paperwork hua purana,
e-KYC ka hai zamaana.
Forget this form, switch to e-KYC.



"No money / cash to be paid to any of our Authorised Person. Any funds pay-in / fees / charges are to be paid only by cheque / RTGS in favour of Motilal Oswal Financial Services Ltd. only."

| Client Code | DP Internal Ref. No. | | | | | | | | | |
|-------------|----------------------|---|---|---|---|---|---|---|--|--|
| CDSL DP ID | 1 | 2 | 0 | 1 | 0 | 9 | | | | |
| NSDL DP ID | I | N | 3 | 0 | 1 | 8 | 6 | 2 | | |

Branch Details

| | | | |
|---------------|--|--|--|
| Branch Prefix | | | |
|---------------|--|--|--|

| Branch Prefix | Name of the Person | Signature | Date |
|--------------------------------|--------------------|-----------|------|
| Data entered by Branches Maker | | | |
| Data Rechecked by Branches | | | |
| Checker | | | |
| Verified by HO | | | |
| Authorised by HO | | | |
| Approved by HO | | | |
| Dispatched by HO | | | |

A - MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES

| Sr. No. | Name of the Document | Brief Significance of the Document | Page No. |
|---------|-----------------------------|---|----------|
| 1 | Account Opening Form | A. KYC form - Document captures the basic information about the constituent along with an instruction/check list for filling KYC form. | 1-2 |
| | | B. NSDL KYC form - Document captures the basic information about the constituent along with an instruction/check list for filling KYC form. | 15-17 |
| | | C. Document captures the additional information about the constituent relevant to trading account. | 6-10 |
| 2 | Tariff sheet | Document detailing the rate / amount of brokerage and other charges levied on the client for trading on the stock exchange(s). | 22-24 |
| 3 | FATCA Declaration | FATCA Declaration | 38-39 |
| 4 | Policies and Procedures | Document describing significant policies and procedures of the stock broker. | 34-37 |
| 5 | Terms and Conditions | Most Important Terms and Conditions (MITC) | 3 |
| 6 | Undertaking Cum Declaration | Applicable only for clients activated in Currency segment | 4 |

STANDARD DOCUMENTS FOR DP, EQUITIES, DERIVATIVES & MARGIN TRADING FACILITY

| | | | |
|----|---|--|-------|
| 7 | Rights and Obligations of Beneficial Owner and Depository Participant | Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories. | 42-43 |
| 8 | Rights and Obligations | Document stating the Rights & Obligations of stock broker/trading member, Authorised Person and client for trading on exchanges (including additional rights & obligations in case of internet / wireless technology based trading). | 44-47 |
| 9 | Risk Disclosure Document (RDD) | Document detailing risks associated with dealing in the securities market. | 48-51 |
| 10 | Guidance note | Document detailing do's and don'ts for trading on exchange, for the education of the investors. | 52-53 |
| 11 | Risk disclosure of FO | Risk Disclosures On Derivatives | 54 |
| 12 | Rights and Obligations - MTF | Rights & Obligations of Stock Brokers & Clients for Margin Trading Facility (MTF). | 55-58 |
| 13 | Rights and Obligations - SLBM | Rights & Obligations of the Clearing Member/Participant & Its Client | 59-61 |
| 14 | Participant & Approved Intermediary Agreement - SLBM | Participant & Approved Intermediary Securities Lending & Borrowing Agreement | 62-93 |

STANDARD DOCUMENTS FOR COMMODITIES

| | | | |
|----|---|---|---------|
| 15 | Uniform Risk Disclosure Document (RDD) | Document detailing risks associated with dealing in the commodities market. | 95-97 |
| 16 | Rights and Obligations of Members, Authorized Persons and Clients | Document stating the Rights & Obligations of member, Authorized Person and client for trading on exchanges. | 98-105 |
| 17 | Risk Disclosure Documents for Options | Documents pertaining risk associated with dealing in the options. | 106 |
| 18 | Do's and Don'ts for the Investors | Document detailing do's and don'ts for trading on exchange, for the education of the investors. | 107-108 |

B - VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER

| | | | |
|----|---|---|--------------|
| 19 | Voluntary Document | Do's & Don'ts | 94 |
| 20 | Application for Demat Account | Additional KYC details for opening a demat account | 11-14, 18-21 |
| 21 | Demat Debit and Pledge Instruction (DDPI) Authorisation | DDPI provided to broker to facilitate payin of securities/commodities and other authorizations. | 25-26 |
| 22 | Voluntary Clauses (Terms & conditions) - Annexure A | Additional clauses other than specified by SEBI to facilitate transactions of Client. | 27-33 |

| | | | |
|----|--------------------|---|----|
| 23 | Voluntary Document | Declaration of Additional Name. | |
| | | Application To Trade In SLBM Segment | 5 |
| | | Application to avail Margin Trading Facility under MOFSL. | 40 |
| | | Consent for Modification of EMAIL / MOBILE NUMBER | 40 |
| | | Family Declaration - Email / Mobile Updation in Family Codes. | 41 |

| | | |
|--|--|---------------------------------|
| Name of stock broker/trading member/clearing member | Motilal Oswal Financial Services Limited | |
| SEBI Registration No. and date | INZ000158836 (BSE/NSE/MCX/NCDEX) - February 05, 2019 | |
| SEBI Registration number as Research Analyst INH000000412, November 29, 2018 | | |
| CDSL and NSDL : IN-DP-16-2015 - February 14, 2019 | | |
| NERL: 50144 | CCRL : 10055930 - 11-Sep-2018 | ComRIS : M1100050 - 06-Mar-2018 |
| Registered Office Address | Motilal Oswal Tower, Rahimtullah Sayani Road, Opposite Parel ST Depot, Prabhadevi, Mumbai-400025. Ph: +91-22-71934200 / 71934263 Fax: +91-22-50362365 Website: www.motilaloswal.com | |
| Correspondence Office Address | Palm Spring Centre, 2nd Floor, Palm Court Complex, New Link Road, Malad (West), Mumbai - 400 064. Ph: +91-22-71881000 Fax: +91-22-71881333 Website: www.motilaloswal.com | |
| Name of compliance officer | Mr. Neeraj Agarwal Ph: +91-22-40548085 Email Id: na@motilaloswal.com | |
| Name of COO | Mr. Ajay Menon Ph: +91-22-40548083 Email Id: am@motilaloswal.com | |

Investment in securities market are subject to market risks. Read all the related documents carefully before investing. Registration granted by SEBI and certification from NISM is no way guarantee performance of the intermediary or provide any assurance of returns to investors.

For any grievance / dispute please contact stock broker (Motilal Oswal Financial Services Limited) at the above address or Email id grievances@motilaloswal.com for stock broking related dispute or Dpgrievances@motilaloswal.com for DP related dispute or can speak on Phone No. 91-22-71881000. In case not satisfied with the response, please contact BSE at is@bseindia.com and Phone no. 91-22-22728016 and NSE at ignse@nse.co.in and Phone no. 18002660058 and CDSL at complaints@cdslindia.com and phone No. 1800-200-5533 and NSDL at relations@nsdl.co.in and Phone No. 022-24994200 and MCX at grievance@mcxindia.com and Phone no. 91-22-66494070 and NCDEX at ig@ncdex.com and Phone no. +91-22-66406789.

"You can also lodge your grievances with SEBI at <https://scores.Sebi.gov.in>. For any queries, feedback or assistance, please contact SEBI office on Toll Free Helpline at 1800 22 7575 / 1800 266 7575".

CHECKLIST TABLE FOR INDIVIDUAL ACCOUNT OPENING



- Important Notes: 1. Requirements are as per CDSL, CVL, CKYC and SEBI guidelines
2. Activation is the date, when documents are received at the HEAD OFFICE FOR VERIFICATION.
3. Income Proof is mandatory to deal in Non-Cash Segments

| THE RESPECTIVE DOCUMENTS SUBMITTED SHOULD BE AS PER THE REQUIREMENTS MENTIONED | |
|--|--|
| DOCUMENT TYPE | |
| ID Proof | Passport / Driving License / Voter ID / Aadhar Card |
| Address Proof | Passport / Driving License / Voter ID / Aadhar Card |
| Bank Proof | Cancelled Cheque / Bank Passbook / Bank Statement / Bank Verification on Bank Letterhead |
| Income Proof | Copy of Income Tax Return / Copy of Annual Accounts / Copy of Form 16 (Salary Certificate) / Networth Certificate / Demat Holding Statement / Bank Statements for last 6 months (Proof of Financial details any one) |
| Signature Proof | Passport / Driving License / Bank Verification Letter |



Motilal Oswal Financial Services Limited
Know Your Client (KYC) Application Form (For Individuals Only)



Please fill the form in ENGLISH and in BLOCK letters
 Fields marked * are mandatory
 Fields marked + are pertaining to CKYC and mandatory only if processing CKYC also

Application No. _____

KYC No. _____ (Mandatory for KYC update request)

Application Type*: ☐ New KYC ☒ Modification KYC ☐ Minor**KYC Mode***: Please Tick (✓)
☒ Normal ☐ EKYC OTP ☐ EKYC Biometric ☐ Online KYC ☐ Offline EKYC ☐ Digilocker
1. Identity Details (please refer guidelines overleaf)PAN* AIWPY6547NName* (same as ID proof) NEELAM YADAV

Maiden Name+ (if any) _____

Fathers/Spouse's Name* ABHIMNYU YADAV

Mother Name (Optional) _____

Date of Birth* 06-04-1978Gender* ☐ Male ☒ Female ☐ TransgenderMarital Status* ☐ Single ☒ MarriedNationality* ☒ Indian ☐ Other _____Residential Status* ☒ Resident Individual ☐ Non Resident Indian
☐ Foreign National ☐ Person of Indian Origin+

Please Tick (✓) (Passport mandatory for NRIs and Foreign Nationals. PIO selection is only for CKYC and not for KRA KYC. Select NRI or Foreign National based on Nationality of the individual)

Proof of Identity (POI) submitted for PAN exempted cases (Please tick)☒ A - Aadhaar Card ☒ ☒ ☒ ☒ ☒ ☒ ☐ ☐ ☐ ☐☐ B - Passport Number _____ (Expiry Date) _____☐ C - Voter ID Card _____☐ D - Driving License _____ (Expiry Date) _____☐ E - NREGA Job Card _____☐ F - NPR _____☐ G - EKYC Authentication _____☐ H - Offline verification of Aadhar _____☐ Z - Others _____ (any document notified by Central Government)

Identification Number _____

2. Address Details* (please refer guidelines overleaf)

A. Correspondence/ Local Address*

Line 1* BOKAJANLine 2 SUKHANJAN BOKAJAN BAZAR DIPHU

Line 3 _____

City/Town/Village* KARBI ANGLONG District* KARBI ANGLONG Pin Code* 782480State / U.T Code* Assam Country* India ISO-3166 Code _____Address Type* ☐ Residential/Business ☒ Residential ☐ Business ☐ Registered Office ☐ Unspecified

NOTE: For list, of two digit State/UT & list of ISO3166, kindly visit
www.motilaloswal.com / Download Option

Applicant e-SIGN

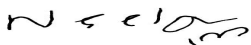


Most Important Terms and Conditions (MITC)

(For non-custodial settled trading accounts)

1. Your trading account has a "Unique Client Code" (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

Yours faithfully,

| | Sole / First Holder |
|--------------------|---|
| Client Name | NEELAM YADAV |
| Client's Signature |  |
| | 3/22 |

UNDERTAKING CUM DECLARATION
(Mandatory only for clients activated in Currency Segment)

Date: 21-02-2026

To,
 Motilal Oswal Financial Services Limited
 Palm Spring Centre,
 2nd Floor, Palm Court Complex,
 New Link Road, Malad (West),
 Mumbai 400064

Client Code with MOFSL: _____


Sub: Currency Derivative Exposure

Dear Sir/Madam,

For the purpose of dealing in Currency Derivatives Contracts for INR paired contracts, I hereby confirm that I will always abide by the RBI / Exchange guidelines, as and when issued. I understand some of the guidelines are as under. Further I will go through related RBI circulars and will remain updated on any related development.

1. I/We shall have valid underlying exposure which shall not be hedged using any other derivative contract.
2. I/We shall present the documentary proof for same in case of any clarification required.
3. I/We are aware that all positions in all contracts involving INR, across all the Recognized Stock Exchanges put together, are backed by contracted exposure which is not hedged elsewhere.
4. I/We understand that the notional amount and tenor of the derivative contract should not exceed the value and tenor of the exposure.
5. I/We are aware that in case the exposure ceases to exist, in full or in part, the user has appropriately adjusted the hedge to ensure adherence to point 4 above, unless the original derivative contract is assigned against any other unhedged exposure. No adjustment to the hedge is required to be made if, in the considered opinion of the Authorised Dealer, the change in exposure is not material.
6. I/We are aware that if I/We intend to take position beyond USD 100 million (or equivalent) in contracts involving INR, in all Stock Exchanges put together, then an Authorised Dealer / Custodian needs to be designated who shall monitor the transactions.
7. I/We shall always adhere to regulatory provisions, circulars, Rules, Regulations & Guidelines issued by SEBI, RBI & Exchanges from time to time.
8. I/We declare that the information submitted by me/us is true to the facts submitted by me/us and I/We shall be held responsible in case of any breach / incorrect information found.

Accordingly, on the basis of above undertaking, I/We request MOFSL to allow transactions in currency segment in INR paired contracts against my/our permitted underlying Exposure. Further, I understand that, MOFSL can take actions based on its various Risk and Surveillance parameters which I agree to.

| | Sole / First Holder |
|--------------------|---|
| Client Name | NEELAM YADAV |
| Client's Signature |  |

4/22

Voluntary Document**APPLICATION TO TRADE IN SLBM SEGMENT**

Motilal Oswal Financial Services Ltd.

2nd Floor, Palm Spring Centre, Palm Court Complex,
New Link Road, Malad (West), Mumbai - 400 064

Sir,

Sub: Application to trade in SLBM Segment of the NSE & BSE Ref:

Client Code: _____

PAN No. _____

I / We am / have applied for registration with you as a client for execution of trades from time to time in Cash / Capital Market / Derivatives Market of Bombay Stock Exchange Ltd. (BSE) / National Stock Exchange Ltd. (NSE) (hereinbefore and after referred to as "the Stock Exchanges")

I / We wish to also commence / apply for trading in SLBM segment of the Exchange for which I / We am / are providing authorization as follows:

- I / We confirm that I / We have not been debarred by SEBI / Exchanges / Other Regulatory authorities for trading in Cash / Capital / Derivatives / Securities Markets and no action has been initiated against me /us.
- I / We confirm that I / we have received 'Rights and Obligations' document(s) related to SLBM segment and I / we confirm that I/ we have read and understood the content of the same and I / We do hereby agree to be bound by such provisions as outlined in these documents.
- I/We have been explained the tariff structure and brokerage charges. MOFSL will levy processing fees up to 20% of the Transaction Rate / lending and borrowing fee (as may be called). In addition to the processing fees / brokerage, SLB transaction shall also attract regulatory and statutory charges / tax at the rates as applicable from time to time.
- I/We understand that my Client Code will remain the same & all trades in SLBM Segment will be done using my/our PAN No.
- I / We understand that the Know Your Client (KYC) and all other related documents where ever applicable, executed at the time of registering me/us as a client under the above client code, applies mutatis mutandis to trade in SLBM segment in all Stock Exchanges also.
- I/We are made aware that in case of closure of my/our trading code with MOFSL, SLBM account will also be closed and the same will not get activated in future with different client code opened if any.

Yours Sincerely,

| | Sole / First Holder |
|--------------------|----------------------------|
| Client Name | |
| Client's Signature | |
| | 5/22 |

Date:_____ Place:_____

OTHER DETAILS

| | |
|--|--|
| Gross Annual Income Details Or Net-worth in ₹. | (Please tick (✓) : <input checked="" type="checkbox"/> Upto 1 Lac <input type="checkbox"/> 1-5 Lac <input type="checkbox"/> 5-10 Lac <input type="checkbox"/> 10-25 Lac <input type="checkbox"/> >25 Lac as on (date) _____ |
| Occupation | (Please tick (✓) any one and give brief details) : <input type="checkbox"/> Private Sector Service <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input checked="" type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Other source of Income (Please specify) _____ |
| PEP | <input type="checkbox"/> Politically Exposed Person <input type="checkbox"/> Related to a Politically Exposed Person <input checked="" type="checkbox"/> Not a Politically Exposed Person (PEP) <input checked="" type="checkbox"/> Not related to a Politically Exposed Person (PEP) For definition of PEP, please refer guideline relating to Instructions/Checklist for filling KYC form |
| Any Other Information | |

BANK ACCOUNT(S) DETAILS OF SOLE / FIRST HOLDER

| | | |
|-------------------------|--|-----------------------|
| Bank Name | BANK OF BARODA | |
| Branch Name | NA | |
| Branch Address | VILL DEOPANIDHANSHRI PARNATIONAL HIGHWAY 39 | |
| Bank A/c No. | 21650100011085 | |
| A/c Type | <input checked="" type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> Others _____ | |
| MICR Number | 782012501 | IFSC Code BARB0DEOPAN |
| Internet Banking status | <input checked="" type="checkbox"/> Enabled <input type="checkbox"/> Not Enabled | Currency: Rs. |
| | (Mandatory for E-Broking) | |
| Proof of Bank submitted | <input type="checkbox"/> Bank Statement# <input type="checkbox"/> Cancelled Cheque (with client name and A/c no. printed) <input type="checkbox"/> Bank Passbook## <input type="checkbox"/> Bank Verification Letter (original) | |

P. N.: In case of NRI's, Bank Account should be Repatriable Bank Account for NRE or Non Repatriable Bank Account for NRO.

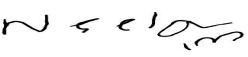




DEPOSITORY ACCOUNT(S) DETAILS (if existing)

| | | |
|-----------------------------|---|---|
| Depository Participant Name | [Grid for Name] | |
| Depository Name: | <input type="checkbox"/> CDSL <input type="checkbox"/> NSDL <input type="checkbox"/> NERL <input type="checkbox"/> COMRIS <input type="checkbox"/> CCRL | |
| DP ID CDSL | [Grid for DP ID] | Beneficiary ID (BO ID) [Grid for BO ID] |
| DP ID NSDL | [Grid for DP ID] | Beneficiary ID (BO ID) [Grid for BO ID] |
| DP ID NERL | [Grid for DP ID] | Beneficiary ID (BO ID) [Grid for BO ID] |
| DP ID COMRIS | [Grid for DP ID] | Beneficiary ID (BO ID) [Grid for BO ID] |
| DP ID CCRL | [Grid for DP ID] | Beneficiary ID (BO ID) [Grid for BO ID] |
| Beneficiary Name | [Grid for Name] | |
| Second Holders Name | [Grid for Name] | |
| Third Holders Name | [Grid for Name] | |
| Proof of DP submitted | <input type="checkbox"/> DP Statement <input type="checkbox"/> Client Master Report (CMR) | |

- 1) Clients name & DP A/c No. on the proof of DP submitted should match with that mentioned in the account opening form.
2) DP statement should clearly show DP ID & Client ID.

TRADING PREFERENCE


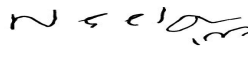
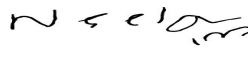

Please sign in the relevant boxes where you wish to trade. Please strike off the segment not chosen by you.

| All Exchanges | NSE & BSE | | | |
|---|---|---|---|---|
| All Segments | Cash / Mutual Fund | F&O | Currency | —Debt— |
|  6/22 |  |  |  | -----NA-----  |

If, you do not wish to trade on any Exchanges and any of its segments / Mutual Fund, Please mention here _____

TRADING PREFERENCE FOR COMMODITY SEGMENT
Commodity-Futures/Options/Options on Commodity futures / Derivatives

Please sign in the relevant Exchanges where you wish to trade. Please strike off the Exchange not chosen by you.

| MCX | NCDEX | BSE | NSE |
|---|---|--|--|
|  7/22 |  8/22 |  9/22 |  10/22 |

ADDITIONAL DETAILS

| | |
|--------------------------------------|---|
| Facilities For Trading | Whether you wish to avail the facility of <input checked="" type="checkbox"/> Internet trading <input checked="" type="checkbox"/> Wireless technology (Mobile Trading) |
| Contract Note and Standard Documents | Whether you wish <input checked="" type="checkbox"/> in Electronic Form (Email id same as mentioned on KRA Page) |
| Communication Mode | to receive <input type="checkbox"/> in Physical Form |
| Investment/Trading Experience | <input type="checkbox"/> Years in Stocks <input type="checkbox"/> Years in Derivatives <input type="checkbox"/> Years In Commodities <input type="checkbox"/> Years in Other investment related fields <input type="checkbox"/> No Prior Experience |
| Primary purpose of dealing | In Equities: <input checked="" type="checkbox"/> Intraday <input type="checkbox"/> Positional <input checked="" type="checkbox"/> Investment In Derivatives: <input checked="" type="checkbox"/> Trading <input type="checkbox"/> Hedging <input checked="" type="checkbox"/> Investment |
| Educational Qualification | <input checked="" type="checkbox"/> Under Graduate <input type="checkbox"/> Graduate <input type="checkbox"/> Post Graduate <input type="checkbox"/> Professional _____ |
| Any other information | <div></div> |

GST DETAILS

| | |
|-----------------------------|-------------|
| GST Number | <div></div> |
| GST Implementation Location | <div></div> |
| GST Validity Date | <div></div> |

PAST ACTIONS

Details of any action/proceedings initiated/pending/taken by FMC/SEBI/Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years:

NIL

INFORMATION IN RELATION TO THE PREVENTION OF MONEY LAUNDERING ACT, 2002

| | |
|--------------|---|
| Funds Detail | Do you intend to invest in the stock/commodity market with : <input type="checkbox"/> Own Funds <input type="checkbox"/> Borrowed Funds |
| | Is the Entity Non Profit Organisation <input type="checkbox"/> Yes <input type="checkbox"/> No |

DEALINGS THROUGH OTHER STOCK BROKERS

If you are dealing with any other stock / commodity broker / Authorised Person, provide the following details:
(in case dealing with multiple stock / commodity brokers / Authorised Person, provide details of all)

| | | | |
|---|----------------------|-------------|----------------------|
| Name of Stock broker | <input type="text"/> | Client Code | <input type="text"/> |
| Name of Authorised Person | <input type="text"/> | Exchange | <input type="text"/> |
| Details of disputes/dues pending from/to such Stock broker / Authorised Person <input type="text"/> | | | |

DEALINGS THROUGH REGISTERED / AUTHORISED PERSON

If you are dealing through MOFSL / Authorised Person, provide the following details:

| | |
|----------------------------------|----------------------|
| SEBI / Exchange Registration No. | <input type="text"/> |
| Authorised Person Name | <input type="text"/> |
| Registered office | <input type="text"/> |
| Address | <input type="text"/> |
| Contact Details : Website | <input type="text"/> |
| Phone No. | <input type="text"/> |
| Fax No. | <input type="text"/> |

INTRODUCER DETAILS (optional)

| | |
|-----------------------------|--|
| Name of the Introducer | <input type="text"/> |
| Address | <input type="text"/> |
| Status of the Introducer | <input type="checkbox"/> Remisier <input type="checkbox"/> Authorised Person <input type="checkbox"/> Existing Client <input type="checkbox"/> Others <input type="text"/> |
| Signature of the Introducer | <input type="text"/> Phone No.: <input type="text"/> |

FATCA / CRS DECLARATION / SELF CERTIFICATION FOR INDIVIDUAL

| | First/Sole Holder | Second Holder (if any) | Third Holder (if any) |
|--|---|--|--|
| Country of birth is any country other than India. | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Residence for Tax purposes in jurisdictions(s) outside India | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Citizenship of any country other than India | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Address or Telephone number outside india | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No |

Note: If your answer to any of the above questions is 'YES', please fill the declaration of FATCA / CRS given on page no. 33 of the form.

I) If your answer to any of the above questions is 'YES' OR In case of NRI Account, Please fill FATCA / CRS DECLARATION given below.

II) If your answer to all the above questions is 'NO', then strike off the FATCA / CRS DECLARATION

III) In any of the above scenario, customer is required to affix their signature at the end of the FATCA / CRS DECLARATION.

Subject to applicable Laws, I / We hereby give consent to share my information with domestic / overseas regulators or tax authorities wherever necessary. I/We hereby declare and confirm that the details given above are true and correct. Further I /We would like to state that in future if there is any change in my/our tax status (i.e. if I / we become tax resident of any other country other than India) then the same will be informed to MOFSL and FATCA / CRS Declaration will be submitted to you immediately.

Running Account Authorization (Voluntary)

| | |
|---|--|
| Authorization to maintain running account | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |
| If Yes, Settlement of Funds | <input type="checkbox"/> Monthly <input checked="" type="checkbox"/> Quarterly |

(For detailed terms and conditions relating to Running Account Authorization, kindly refer Voluntary Document.)

CLIENT CATEGORISATION - COMMODITY WISE

Pls select () on the below appropriate category depending upon your proposed nature of your activity against each product type.

| | |
|--|---|
| Commercial - <input type="checkbox"/> | Non Commercial - <input checked="" type="checkbox"/> |
| Product type | Product type |
| Bullion - <input type="checkbox"/> | Bullion - <input checked="" type="checkbox"/> |
| Base Metals - <input type="checkbox"/> | Base Metals - <input checked="" type="checkbox"/> |
| Energy - <input type="checkbox"/> | Energy - <input checked="" type="checkbox"/> |
| Agri-Commodities - <input type="checkbox"/> | Agri-Commodities - <input checked="" type="checkbox"/> |

1. COMMERCIAL: Commercial Client includes Value Chain/ Physical market participant/ exporter/ importer/ having direct/ indirect Exposure to the underlying Commodity
2. NON-COMMERCIAL: Non Commercial Client includes Trader/ Arbitrageur

CLIENT CATEGORISATION - COMMODITY WISE


The Customer is required to select appropriate category depending upon their proposed nature of activity against each commodity type. Please fill "COMMODITY CLIENT CATEGORISATION" declaration given below:

I / We further confirm that in case of any change in this categorisation, I / we shall update the same with MOFSL and I / We understand that you may be required to disclose the same to Exchanges.

| Contract / Commodity | FARMER / FARMER PRODUCER ORGANISATION (FPO) | Value chain participants (VCPs) / Hedger | Others | Domestic financial institutional investors | Foreign participants |
|-----------------------------|---|---|--------|---|-------------------------|
| AGRIDEX | | | ✓ | | |
| ALMOND | | | ✓ | | |
| ALUMINIUM | | | ✓ | | |
| BAJRA | | | ✓ | | |
| BARLEY | | | ✓ | | |
| BRASS | | | ✓ | | |
| CARDAMOM | | | ✓ | | |
| CASTOR SEED | | | ✓ | | |
| CHANA | | | ✓ | | |
| COPPER | | | ✓ | | |
| CORIANDER/ DHANIYA | | | ✓ | | |
| COTTON | | | ✓ | | |
| COTTONSEED OIL/ CAKE/ AKOLA | | | ✓ | | |
| CPO - CRUDE_PALM_OIL | | | ✓ | | |
| CRUDE OIL | | | ✓ | | |
| GOLD | | | ✓ | | |
| GUARGUM | | | ✓ | | |
| GUARSEED | | | ✓ | | |
| GUAREX | | | ✓ | | |
| GUMSYOIL | | | ✓ | | |
| GUR | | | ✓ | | |
| HIPROSOYBEANMEAL | | | ✓ | | |
| JEERA | | | ✓ | | |
| KAPAS | | | ✓ | | |
| LEAD | | | ✓ | | |
| MAIZE | | | ✓ | | |

| | | | | | |
|----------------------|--|--|---|--|--|
| MCXBULLDEX | | | ✓ | | |
| MCXMETLDEX | | | ✓ | | |
| MENTHA OIL | | | ✓ | | |
| MOONG | | | ✓ | | |
| NATURAL GAS | | | ✓ | | |
| NICKEL | | | ✓ | | |
| PADDY_BASMATI | | | ✓ | | |
| PEPPER | | | ✓ | | |
| RAPE_MUSTARD_SEEDS | | | ✓ | | |
| RBDPMOLEIN | | | ✓ | | |
| RUBBER | | | ✓ | | |
| SESAMESEED | | | ✓ | | |
| SILVER | | | ✓ | | |
| SOY_OIL | | | ✓ | | |
| SOYABEAN | | | ✓ | | |
| SOYDEX | | | ✓ | | |
| STEEL | | | ✓ | | |
| SUFIBLT | | | ✓ | | |
| SUGARM | | | ✓ | | |
| TURMERIC | | | ✓ | | |
| WHEATKOTA | | | ✓ | | |
| ZINC | | | ✓ | | |
| ENERGY INDEX FUTURES | | | ✓ | | |

Yours faithfully,

| | |
|--------------------|---|
| | Sole / First Holder |
| Client Name | NEELAM YADAV |
| Client's Signature |  |
| | 11/22 |

FOR NEW CDSL DEMAT ACCOUNT WITH MOFSL (All Holders should be major i.e. more than 18 years of age)

I/We request you to open a demat account in my/ our name as per following details:-

Holders Details

| | | | |
|----------------------------|--------------|--------------------|---------------------|
| Sole / First Holder's Name | NEELAM YADAV | PAN | A I W P Y 6 5 4 7 N |
| | | AADHAR No | |
| | | UCC | |
| | | Exchange Name & ID | |
| Second Holder's Name | | PAN | |
| | | AADHAR NO. | |
| Third Holder's Name | | PAN | |
| | | AADHAR NO. | |

Type of account in DP (Please ✓ whichever is applicable)

| | |
|---|--|
| Status | Sub-Status |
| <input checked="" type="checkbox"/> Individual | <input checked="" type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Directors Relative <input type="checkbox"/> Individual Margin Trading A/c (MANTRA) <input type="checkbox"/> Other (specify) _____ |
| <input type="checkbox"/> NRI | <input type="checkbox"/> NRI - Non Repatriable <input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI - Depository Receipts <input type="checkbox"/> NRI - Non Repatriable Promoter <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> Other (specify) _____ |
| <input type="checkbox"/> Foreign National | <input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depository Receipts <input type="checkbox"/> Other (specify) _____ |
| <input type="checkbox"/> Qualified Foreign Investor | <input type="checkbox"/> Foreign Individual - QFI (MF) |

DP FACILITIES

A/c Statement Requirement ☐ As per SEBI Regulation ☐ Daily ☐ Weekly ☐ Fortnightly ☒ Monthly

I / We instruct the DP to receive each and every credit in my / our account ☒ Yes ☐ No

(If not marked, the default option would be 'Yes')

To Register for **easi**, please visit website www.cdslindia.com ☒ Yes ☐ No

MODE OF OPERATION FOR EXECUTION OF TRANSACTIONS (Transfer, Pledge & Freeze)

| | |
|---|---|
| <input type="checkbox"/> Jointly | <input type="checkbox"/> Anyone of the Holder |
| Consent for Communication to be received by first account holder/ all Account holder: (Tick the applicable box. If not marked the default option would be first holder. | |
| <input checked="" type="checkbox"/> First Holder | <input type="checkbox"/> All Holder |
| | <input type="checkbox"/> Second Holder |
| | <input type="checkbox"/> Third Holder |
| Easi | To register for easi, please visit our website www.cdslindia.com . Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online. |

I/We request you to send Electronic Transaction cum holding Statement at the Email ID..... ☒ Yes ☐ NoI/We wish to receive dividend / interest directly in to my bank account given below through ECS? ☒ Yes ☐ No

(If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]

I/ We would like to instruct DP to accept all the pledge instructions in my/our account without any other further instruction from my/ our end. ☒ Yes ☐ No

(If not marked, the default option would be "No")

I/ We would like to share the email id with the RTA. ☒ Yes ☐ No

I/ We would like to receive the Annual Report : ☐ Physical ☒ Electronic ☐ Both physical and Electronic
 You will be receiving Consolidated Account Statement (CAS) in Electronic form, if you wish to receive CAS in Physical form or do not wish to receive the same, kindly contact your DP
 8
 (Tick the applicable box, if not marked the default option would be in physical)
 Clearing Member Details (To be filled by CMs only)

| | | | |
|---|---|---------------------|--|
| Name of Stock Exchange | | | |
| Name of CC/ CH | | | |
| Clearing Member ID | | Trading Member ID : | |
| SMS Alert Facility (Refer Terms and conditions Annexure- 2.4) | Mobile No. same as mentioned on KRA Page (Mandatory, if you are giving Power Of Attorney (POA) (If POA is not granted & you do not wish to avail of this facility, cancel this option) | | |

Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL

[SMS Alerts will be sent by CDSL to BOs for all debits and for all credits as well.]

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those account holders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.

3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non -delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non -availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warrant the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of REGISTRATION / MODIFICATION (Please cancel out what is not applicable).

BOID

(Please write your 8 digit DP ID)

(Please write your 8 digit Client ID)

Sole / First Holder's Name : NEELAM YADAV

Second Holder's Name : _____

Third Holder's Name : _____

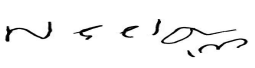


Mobile Number on which messages are to be sent

(AS PER CKYC PAGE)

The mobile number is registered in the name of: _____

Email ID: _____

(AS PER CKYC PAGE)

| | First/Sole Holder | Second Holder | Third Holder |
|--------------------|---|---|---|
| Client's Name | NEELAM YADAV | | |
| Client's Signature |  | | |
| | 12/22 |  |  |

Place: KARBI ANGLONG

Date: 21-02-2026

Option for Issue of DIS Booklet

I/We hereby state that



☐ **Option 1 :** I / We require you to issue Delivery Instruction Slip (DIS) booklet to me/us immediately on opening of my / our CDSL account though I / we have issued a DDPI/registered for eDIS/executed PMS agreement in favour of/with _____ (name of the attorney/Clearing Member/PMS manager) for executing delivery instructions for settling stock exchange trades [settlement related transactions] effected through such Power of Attorney holder -Clearing Member / by PMS manager/ for executing delivery instructions through eDIS.

Or

☒ **Option 2 :** I / We do not require the Delivery Instruction Slip (DIS) booklet for the time being, since I/We have issued a DDPI/registered for eDIS/executed PMS agreement in favour of / with _____ (name of the attorney / Clearing Member/PMS manager) for executing delivery instructions for settling stock exchange trades [settlement related transactions] effected through such Power of Attorney Holder - Clearing Member/by PMS manager or for executing delivery instructions through eDIS. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my/our request at any later date.

DECLARATION

- I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
- I / We confirm having read / been explained and understood the contents of the tariff sheet and all voluntary / non mandatory documents & policy & procedures.
- I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s), 'Risk Disclosure Document' and Do's and Dont's. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.
- I/We have received and read the Rights and Obligations document and terms & conditions and agree to abide by and be bound by the same and by the bye laws as are in force from time to time. I/We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate MOFSL any change(s) in the details / particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

| | First/Sole Holder | Second Holder | Third Holder |
|--------------------|-------------------|---|---|
| Client's Name | NEELAM YADAV | | |
| Client's Signature | | | |
| | 13/22 |  |  |

**ACCOUNT
OPENING
FORM**
(INDIVIDUALS)




NSDL

1

APPLICATION FORM FOR OPENING A DEPOSITORY ACCOUNT

| | | | | | | | | | | | | | | | | | | | | | | | | |
|---|--|--|--|---|---|---|---|---|---|--|---|---|---|---|-------------|---|---|---|---|---|---|---|---|---|
| Participant Name (DP ID _____) Address (Pre-printed) | | | | | Client-ID (To be filled by Participant) | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | |
| I/We request you to open a depository account in my/our name as per the following details: (Please fill all the details in CAPITAL LETTERS only) | | | | | | | | | | | | | | | Date | | D | D | M | M | Y | Y | Y | Y |
| A) Details of Account holder(s): | | | | | | | | | | | | | | | | | | | | | | | | |
| Account holder(s) | | Sole/ First Holder | | | | Second Holder | | | | Third Holder | | | | | | | | | | | | | | |
| Name | | | | | | | | | | | | | | | | | | | | | | | | |
| PAN | | | | | | | | | | | | | | | | | | | | | | | | |
| Occupation (please tick any one and give brief details) | | <input type="checkbox"/> Private Sector | | <input type="checkbox"/> Agriculturist | | <input type="checkbox"/> Private Sector | | <input type="checkbox"/> Agriculturist | | <input type="checkbox"/> Private Sector | | <input type="checkbox"/> Agriculturist | | <input type="checkbox"/> Public Sector | | <input type="checkbox"/> Retired | | | | | | | | |
| | | <input type="checkbox"/> Public Sector | | <input type="checkbox"/> Retired | | <input type="checkbox"/> Public Sector | | <input type="checkbox"/> Retired | | <input type="checkbox"/> Public Sector | | <input type="checkbox"/> Retired | | <input type="checkbox"/> Government Service | | <input type="checkbox"/> Housewife | | | | | | | | |
| | | <input type="checkbox"/> Government Service | | <input type="checkbox"/> Housewife | | <input type="checkbox"/> Government Service | | <input type="checkbox"/> Housewife | | <input type="checkbox"/> Government Service | | <input type="checkbox"/> Housewife | | <input type="checkbox"/> Business | | <input type="checkbox"/> Student | | | | | | | | |
| | | <input type="checkbox"/> Business | | <input type="checkbox"/> Student | | <input type="checkbox"/> Business | | <input type="checkbox"/> Student | | <input type="checkbox"/> Business | | <input type="checkbox"/> Student | | <input type="checkbox"/> Professional | | <input type="checkbox"/> Others (Please specify; _____) | | | | | | | | |
| | | <input type="checkbox"/> Professional | | <input type="checkbox"/> Others (Please specify; _____) | | <input type="checkbox"/> Professional | | <input type="checkbox"/> Others (Please specify; _____) | | <input type="checkbox"/> Professional | | <input type="checkbox"/> Others (Please specify; _____) | | <input type="checkbox"/> Professional | | <input type="checkbox"/> Others (Please specify; _____) | | | | | | | | |
| Brief details: | | | | | | | | | | | | | | | | | | | | | | | | |
| B) For Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name & PAN of the Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned below: | | | | | | | | | | | | | | | | | | | | | | | | |
| a) Name | | | | | | | | b) PAN | | | | | | | | | | | | | | | | |
| C) Type of account | | | | | | | | | | | | | | | | | | | | | | | | |
| <input type="checkbox"/> Ordinary Resident | | <input type="checkbox"/> NRI -Repatriable | | | | <input type="checkbox"/> NRI -Non Repatriable | | | | <input type="checkbox"/> Qualified Foreign Investor | | | | <input type="checkbox"/> Foreign National | | | | | | | | | | |
| <input type="checkbox"/> Margin | | <input type="checkbox"/> Others (Please specify) _____ | | | | <input type="checkbox"/> Promoter | | | | | | | | | | | | | | | | | | |
| D) Gross Annual Income Details | | | | | | | | | | | | | | | | | | | | | | | | |
| Income Range per annum (please tick any one) | | | | | | | | | | | | | | | | | | | | | | | | |
| <input type="checkbox"/> Below ₹ 1 lac | | <input type="checkbox"/> ₹ 1- 5 lac | | | | <input type="checkbox"/> ₹ 5- 10 lac | | | | <input type="checkbox"/> ₹ 10- 25 lac | | | | <input type="checkbox"/> More than ₹ 25 lac | | | | | | | | | | |
| E) In case of NRIs/ Foreign Nationals | | | | | | | | | | | | | | | | | | | | | | | | |
| RBI Approval Reference Number | | | | | | | | | | | | | | | | | | | | | | | | |
| RBI Approval date | | | | | | D | D | M | M | Y | Y | Y | Y | | | | | | | | | | | |
| F) Bank details | | | | | | | | | | | | | | | | | | | | | | | | |
| 1 Bank account type | | <input type="checkbox"/> Savings Account | | | | <input type="checkbox"/> Current Account | | | | <input type="checkbox"/> Others (Please specify) _____ | | | | | | | | | | | | | | |
| 2 Bank Account Number | | | | | | | | | | | | | | | | | | | | | | | | |
| 3 Bank Name | | | | | | | | | | | | | | | | | | | | | | | | |

| | | | | | | | | | | | | |
|---|---|--|--|--|----------|--|--|--------------------------|---|--|--|--|
| | 4 | Branch Address | | | | | | | | | | |
| | | | City/town/village | | PIN Code | | | | | | | |
| | | | State | | Country | | | | | | | |
| | 5 | MICR Code | | | | | | | | | | |
| | 6 | IFSC | | | | | | | | | | |
| G) Please tick, if applicable: <input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (PEP) | | | | | | | | | | | | |
| H) Standing Instructions | | | | | | | | | | | | |
| | 1 | I/We authorise you to receive credits automatically into my/our account. | | | | | | | <input type="checkbox"/> Yes <input type="checkbox"/> No | | | |
| | 2 | Account to be operated through Power of Attorney (PoA) | | | | | | | <input type="checkbox"/> Yes <input type="checkbox"/> No | | | |
| | 3 | Account to be operated through Demat Debit and Pledge Instruction (DDPI) | | | | | | | <input type="checkbox"/> Yes <input type="checkbox"/> No | | | |
| | 4 | SMS Alert facility: [Mandatory if you are giving Power of Attorney (PoA/DDPI). Ensure that the mobile number is provided in KYC Application Form] | | | | | | | | | | |
| | | Sr. No. | Holder | | | | | Yes | No | | | |
| | | 1 | Sole/First Holder | | | | | <input type="checkbox"/> | <input type="checkbox"/> | | | |
| | | 2 | Second Holder | | | | | <input type="checkbox"/> | <input type="checkbox"/> | | | |
| | | 3 | Third Holder | | | | | <input type="checkbox"/> | <input type="checkbox"/> | | | |
| | 5 | Mode of receiving Statement of Account [Tick any one] | <input type="checkbox"/> Physical Form <input type="checkbox"/> Electronic Form [Read Note 3 and ensure the email ID is provided in KYC Application Form] | | | | | | | | | |
| | 6 | For Joint accounts, communication to be sent to (See Note 7) | <input type="checkbox"/> First Holder <input type="checkbox"/> All joint account holders | | | | | | | | | |
| I) Guardian Details (where sole holder is a minor) [For account of a minor, two KYC Application Forms must be filled i.e. one for the guardian and another for the minor (to be signed by guardian)] | | | | | | | | | | | | |
| | | Guardian Name | | | | | | | | | | |
| | | PAN | | | | | | | | | | |
| | | Relationship of guardian with minor | | | | | | | | | | |
| J) Nomination Option (Refer Note 8 for Joint Accounts) | | | | | | | | | | | | |
| | | <input type="checkbox"/> I/We wish to make a nomination. [Details are provided in Nomination Form Prescribed by SEBI] | | | | | <input type="checkbox"/> I/We wish to opt out of a nomination. [Declaration Form opting out of nomination as prescribed by SEBI] | | | | | |
| K) Mode of Operations for Joint Accounts | | | | | | | | | | | | |
| | | <input type="checkbox"/> Jointly <input type="checkbox"/> Anyone of the holder or survivor(s) | | | | | | | | | | |
| If Mode of Operation for Joint Account is chosen as anyone of the holder or survivor(s), only specified operations such as transfer of securities including Inter-Depository Transfer, pledge / hypothecation / margin pledge / margin re-pledge (creation, closure and invocation and confirmation thereof as applicable) of securities and freeze/unfreeze of account and / or securities and / or specific number of securities will be permitted. | | | | | | | | | | | | |

| Name(s) of holder(s) | Signature(s) of holder |
|---|---|
| Sole/ First Holder / Guardian (in case sole holder is minor) (Mr./Ms.) |  |
| Second Holder (Mr./Ms.) |  |
| Third Holder (Mr./Ms.) |  |

Notes :

- All communication shall be sent at the address of the Sole/First holder only.
- Thumb impressions must be attested by witness or a Magistrate or a Notary Public or a Special Executive Magistrate
- Signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
- The nomination and Declaration form may be signed using e-Sign facility or wet signature and in these cases, witness will not be required.
- For receiving Statement of Account in electronic form:
 - Client must ensure the confidentiality of the password of the email account.
 - Client must promptly inform the Participant if the email address has changed.
 - Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.
- In case of joint account, on death of any of the joint account holders, the surviving account holder(s) has to inform Participant about the death of account holder(s) with required documents for deletion of name of the deceased account holder(s) in the demat account.
- In case if 'first holder' is selected, the communication will be sent as per the preference mentioned at Sr. No. 5. In case 'All joint account holders' is opted, communication to first holder will be sent as per the preference mentioned at Sr. No. 5 and communication to other holders will be in electronic mode. The default option will be communication to 'first holder', if no option selected.
- In case of joint account, the option to select 'Choice of Nomination' i.e. I/We wish to make a nomination or I/We wish to opt out of a nomination is not mandatory.
- Strike off whichever is not applicable.

Option for Issue of DIS Booklet

I/We hereby state that

☐ **Option 1 :** I / We require you to issue Delivery Instruction Slip (DIS) booklet to me/us immediately on opening of my / our NSDL account though I / we have issued a DDPI/registered for eDIS/executed PMS agreement in favour of/with _____ (name of the attorney/Clearing Member/PMS manager) for executing delivery instructions for settling stock exchange trades [settlement related transactions] effected through such Power of Attorney holder -Clearing Member / by PMS manager/ for executing delivery instructions through eDIS.

Or

☐ **Option 2 :** I / We do not require the Delivery Instruction Slip (DIS) booklet for the time being, since I/We have issued a DDPI/registered for eDIS/executed PMS agreement in favour of / with _____ (name of the attorney / Clearing Member/PMS manager) for executing delivery instructions for settling stock exchange trades [settlement related transactions] effected through such Power of Attorney Holder - Clearing Member/by PMS manager or for executing delivery instructions through eDIS. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my/our request at any later date.

DECLARATION

The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/ we are aware that I/we may be held liable for it. In case non-resident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations. I/we acknowledge the receipt of copy of the document, "Rights and Obligations of the Beneficial Owner and Depository Participant".

| | First/Sole Holder | Second Holder | Third Holder |
|--------------------|-------------------|---------------|--------------|
| Client's Name | | | |
| Client's Signature | | | |

Annexure - A

Motilal Oswal Financial Services Limited

(SEBI/HO/OIAE/OIAE_IAD-3/P/ON/2025/01650, dated January 10, 2025)

Nomination Form for Demat Accounts and Mutual Fund (MF) Folios

| | |
|------------------|--|
| UCC CODE: | |
|------------------|--|

| | | | | | |
|---------------|---|---------------|-----------|---------------|-----------|
| Date: | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; padding: 2px 5px;">*DP ID (CDSL)</td> <td style="width: 50%; padding: 2px 5px;">Client ID</td> </tr> <tr> <td style="padding: 2px 5px;">*DP ID (NSDL)</td> <td style="padding: 2px 5px;">Client ID</td> </tr> </table> | *DP ID (CDSL) | Client ID | *DP ID (NSDL) | Client ID |
| *DP ID (CDSL) | Client ID | | | | |
| *DP ID (NSDL) | Client ID | | | | |

I / We hereby nominate the following person(s) who shall receive all the assets held in my / our account / folio in the event of my / our demise, as trustee and on behalf of my / our legal heir(s) *

| Nomination Details | | | | | | | | |
|---------------------------|--------------------------|------------------------|---------------|----------------|-------------------------|---------------------|--------------------------------|----------|
| | Mandatory Details | | | | | | Additional Details **** | |
| | Name of nominee | Share of nominee (%)** | Relation Ship | Postal Address | Mobile Number & E-maill | Identity Number *** | D.O.B. of Nominee | Guardian |
| Nominee 1 | | | | | | | | |
| Nominee 2 | | | | | | | | |
| Nominee 3 | | | | | | | | |
| Nominee 4 | | | | | | | | |
| Nominee 5 | | | | | | | | |

I / We hereby nominate the following person(s) who shall receive all the assets held in my / our account / folio in the event of my / our demise, as trustee and on behalf of my / our legal heir(s) *

| Nomination Details | | | | | | | | |
|--------------------|-------------------|------------------------|---------------|----------------|-------------------------|---------------------|-------------------------|----------|
| | Mandatory Details | | | | | | Additional Details **** | |
| | Name of nominee | Share of nominee (%)** | Relation Ship | Postal Address | Mobile Number & E-maill | Identity Number *** | D.O.B. of Nominee | Guardian |
| Nominee 6 | | | | | | | | |
| Nominee 7 | | | | | | | | |
| Nominee 8 | | | | | | | | |
| Nominee 9 | | | | | | | | |
| Nominee 10 | | | | | | | | |

| Event | Transmission of Account / Folio to |
|---|--|
| Demise of one or more joint holder(s) | Surviving holder(s) through name deletion The surviving holder(s) shall inherit the assets as owners. |
| Demise of all joint holders simultaneously – having nominee | Nominee |
| Demise of all joint holders simultaneously – not having nominee | Legal heir(s) of the youngest holder |

** If % is not specified, then the assets shall be distributed equally amongst all the nominees. Any odd lot after division /fraction of %, shall be transferred to the first nominee mentioned in the nomination form. (see table in 'Transmission aspects').

*** Provide only number: PAN or Driving Licence or Aadhaar (last 4). Copy of the document is not required. However, in case of NRI / OCI / PIO, Passport number is acceptable.

**** to be furnished only in following conditions / circumstances:

- Date of Birth (DoB): please provide, only if the nominee is minor.
- Guardian: It is optional for you to provide, if the nominee is minor.

1) I / We want the details of my / our nominee to be printed in the statement of holding or statement of account, provided to me/ us by the AMC / DP as follows; (please tick, as appropriate)









☐ Name of nominee(s) ☐ Nomination: Yes / No

2) I hereby authorize _____ (nominee number _____) to operate my account on my behalf, in case of my incapacitation in terms of paragraph 3.5 of the circular. He / She is authorized to encash my assets up to _____% of assets in the account / folio or Rs. _____. **(Optional)**

(strike off portions that are not relevant)

3) This nomination shall supersede any prior nomination made by me / us, if any.

4) Signature(s) – As per the mode of holding in demat account(s) / MF folio(s)

| Name(s) of Holder(s) | Signature(s) of Holder / Thumb Impression | Signature of two Witnesses* | Name of Witness & Address (wherever applicable)* |
|-----------------------------|---|---|---|
| Sole/First Holder (Mr./Ms.) | 15/22 |  |  |
| Second Holder (Mr./Ms.) |  |  |  |
| Third Holder (Mr./Ms.) |  |  |  |

* Signature of two witness(es), along with name and address are required, if the account holder affixes thumb impression, instead of wet signature.

Rights, Entitlement and Obligation of the investor and nominee:

- If you are opening a new demat account / MF folios, you have to provide nomination. Otherwise, you have to follow procedure as per 3.10 of this circular.
- You can make nomination or change nominee any number of times without any restriction.
- You are entitled to receive acknowledgement from the AMC / DP for each instance of providing or changing nomination.
- Upon demise of the investor, the nominees shall have the option to either continue as joint holders with other nominees or for each nominee(s) to open separate single account / folio.
- In case all your nominees do not claim the assets from the AMC / DP, then the residual unclaimed asset shall continue to be with the AMC in case of MF units and with the concerned Depository in case of Demat account.
- You have the option to designate any one of your nominees to operate your account / folio, in case of your physical incapacitation, at any point of time and not just during opening of account / folio.

This mandate can be changed any time you choose.

- The signatories for this nomination form shall be as per mode of holding in the folio(s) / demat account(s) i.e.
 - 'Either or Survivor' Folios / Accounts - any one of the holder can sign
 - 'First holder' Folios / Accounts - only First holder can sign
 - 'Jointly' Folios / Accounts - all holders have to sign

Transmission aspects




- AMCs / DPs shall transmit the folio / account to the nominee(s) upon receipt of 1) copy of death certificate and 2) completion / updation of KYC of the nominee(s). The nominee is not required to provide affidavits, indemnities, undertakings, attestations or notarization.
- In case of a joint account / folio, for transmission to the surviving joint holder(s) by name deletion, the surviving joint holder(s) shall have the option to update residential address(es), mobile number(s), email address(es), bank account detail(s), annual income and nominee(s), either along with transmission or at a later date. The regulated entity cannot seek KYC documents at the time of transmission, unless it was sought earlier but not provided by the holder.
- Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall lie against the AMC / DP.
- In case of multiple nominees, the assets shall be distributed pro-rata to the surviving nominees, as illustrated below.

| % share as specified by investor at the time of nomination | | % assets to be apportioned to surviving nominees upon demise of investor and nominee 'A' | | | |
|--|-------------|--|-----------------|----------------------------------|---------------|
| Nominee | % Share | Nominee | % initial Share | % of A's share to be apportioned | Total % share |
| A | 60% | A | 0 | 0 | 0 |
| B | 30% | B | 30% | 45% | 75% |
| C | 10% | C | 10% | 15% | 25% |
| Total | 100% | - | 40% | 60% | 100% |

Annexure-B
Declaration for opting-out of nomination

| | | | | |
|-------------------------|-----------------------|--|------------------|--|
| Date: 21-02-2026 | UCC | | | |
| | *DP ID (CDSL) | | Client ID | |
| | *DP ID (NSDL) | | Client ID | |

I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our MF Folio/ demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our MF Folio / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the MF Folio / demat account.

| | First/Sole Holder | Second Holder | Third Holder |
|--------------------|---|---|---|
| Client's Name | NEELAM YADAV | | |
| Client's Signature |  |  |  |

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature.

MOTILAL OSWAL FINANCIAL SERVICES LTD DP TARIFF SHEET

| Scheme Particulars | | | ✓ TRX17 | | |
|--|--|--|--|--|--|
| | | | TRX SCHEME | | |
| Trx Charges towards shares sold Within MOFSL | Rs. 50 or 0.050% (whichever is higher) | Rs. 50 or 0.050% (whichever is higher) | Rs. 35 or 0.035% (whichever is higher) | | |
| Off market Transfer within MOFSL | Rs. 50 or 0.050% (whichever is higher) | Rs. 50 or 0.050% (whichever is higher) | Rs. 40 or 0.040% (whichever is higher) | | |
| Off market Transfer outside MOFSL | Rs. 50 or 0.050% (whichever is higher) | Rs. 50 or 0.050% (whichever is higher) | Rs. 40 or 0.040% (whichever is higher) | | |

Common Tariff applicable to all Scheme

| | |
|--------------------------------------|---|
| Dematerialisation | Rs.100/- per request +Rs.50/- per certificate |
| Rematerialisation | Rs.35/- per certificate or per 100 shares and part there of which ever is higher and Rs.25/- per Restate of Statement of Account/Redemption |
| Pledge/ Unpledge/ Closure/Invocation | Rs.35/- per Pledge Instruction |

1. Except for the month of April, an amount of Rs. 250/- (non adjustable / Non refundable) will be charged for each scheme change request. The new scheme will be applicable from the day on which the scheme is changed without adjustment of previous bills.
2. The first year, AAC and AMC will be charged on pro rata basis from the month of account opening. Subsequently, it will be charged annually in the month of April for next financial year. For Corporate Accounts additional Rs.500/- P.A. will be charged towards CDSL/NSDLAMC** in all the scheme.
3. In case the account is closed during the year, AMC for the remaining quarter ending upto December will be refunded to the client. However, AAC charges will not be refunded.
4. Margin Pledge/CUSPA Pledge/Unpledge/Confiscate charges – Upto Rs 25/- Per ISIN. Any securities transfer from CUSPA Account w.r.t trades executed by the client will be subject to applicable transaction charges as mentioned in tariff.
5. Stamp Duty on off market transactions where consideration is involved will be levied as applicable from time to time.
6. Rs. 100/- will be charged to client for new DIS book.
7. Rs. 50/- will be charged toward per Demat / Remat rejection case.
8. Upto Rs. 30/- per Inter Settlement pool to pool charges for pay out shares received in MOFSL POOL account will be charged.
9. Rates are subject to revision from CDSL /NSDL. DP reserves the right to ammend the tariff at any given point of time. Goods and Service Tax (GST) will be charged extra as per regulation.
10. There is a facility to avail Annual Report of the Company in Electronic form by submitting email id & opt for the same. You may register your email id in RTA/ Company records also by opting for the same. To avail these facilities, you are requested to contact your Branch.
11. Rs. 40/- will be charged in case a client opts for physical contract note or in case electronic contract note mail is bounced and physical contract note is to be sent by MOFSL.

(To be continued....)

EQUITIES & DERIVATIVES TARIFF SHEET

| BROKERAGE FOR TRADING ACCOUNT | | | | | | | | | | | | |
|-------------------------------|--|------------------------|----------|---------------------|-----------------------|---------|---------------------|-----------------------|---------|----------------------|------------------------|----------|
| Transaction Type | Equity Cash | | | Equity F&O | | | Currency | | | Debt | | |
| | Intraday (First leg) | Intraday (Second leg)* | Delivery | Futures (First Leg) | Futures (Second leg)* | Options | Futures (First Leg) | Futures (Second leg)* | Options | Intraday (First leg) | Intraday (Second leg)* | Delivery |
| Table No. | | | | | | | | | | | | |
| Brokerage Rate (%) | 0.050% | 0.050% | 0.50% | N.A | N.A | N.A | N.A | N.A | N.A | | | |
| Min. Brokerage (Rs.) | 0.05 | 0.05 | 0.05 | N.A | N.A | N.A | N.A | N.A | N.A | | | |
| OR Value Pack scheme | Amount <input type="text"/> Validity <input type="text"/> Months | | | | | | | | | | | |

MUTUAL FUND TARIFF SHEET

| Asset Class (Type) | Liquid | STP | Bond, Debt, Gilt, Hybrid, MIP | Balanced, Equity, Equity(G), Equity(S), FOF |
|----------------------|--------|-------|-------------------------------|---|
| Brokerage Rate | 0.05% | 0.10% | 0.15% | 0.50% |
| OR | | | | |
| Table No. | | | | |
| Brokerage Rate (%) | N.A | N.A | N.A | N.A |
| Min. Brokerage (Rs.) | N.A | N.A | N.A | N.A |

Terms and Conditions

Second leg - if squared off on the same day*

- Account Opening charges (Including KRA charges) for Trading Account is Rs. 1000/-

- SEBI Turnover Fees, STT, Goods and Service Tax (GST), Stamp Duty, & Transaction Charges will be levied separately from brokerage as applicable from time to time.

"There will be a broking AMC of Rs. 299/- will be applicable. The broking AMC will be free for first year from date of activation." Administrative charges upto Rs. 3000/- is applicable on annual basis.

Please note that brokerage will be charged at the highest value, which will be derived after considering following criteria:

- Brokerage slab (as mentioned in above Tariff Sheet)
 - Brokerage per each executed order upto Rs. 50/- per segment.
- (Above criteria are subject to maximum rate(s) as prescribed by the regulator(s) from time to time)

The above shall be read in conjunction with paragraph on "Applicable Brokerage rates" as mentioned in Policies & Procedures.

You may check the prevailing brokerage rate applicable to you through your secured client login. You can access to Client login by keying your UCID/ Client code and password through website www.motilaloswal.com. Details of your Secured login will be given in welcome letter send to you upon activation of your account with MOFSL.

Other Charges :

Cash Segment – Maximum of 0.02% on Transaction Value
 Futures Segment – Maximum of 0.006% on Transaction value
 Options Segment – Maximum of 0.06% on Transaction Value
 CDS Futures Segment – Maximum of 0.006% on Transaction value
 CDS Option segment – Maximum of 0.06% on Transaction Value

1. Other Charges represents a consolidated charge towards providing various value added services such as Trade confirmation SMS, Electronic Account Access, Portfolio Tracker, access to research reports, Market News SMS etc..
2. In case of option contracts, other charges shall be charged on transaction value calculated as Premium into Qty executed.

In addition to above, charges levied on account of Cheque Return, Wrong reporting of Cheques, DP Scheme Charges for DIS Re - Issuance, Issue of Duplicate Statements, Interest Charges etc. may be recovered from your account.

Complete details are made available in your secured Client login. You can access to Client login by keying your UCID/ Client code and password through website www.motilaloswal.com.

MOFSL reserves the rights to change the brokerage as well as other tariff from time to time under intimation to client.

(Tariff to be continued....)

(Tariff continued....)

COMMODITY TARIFF SHEET

| "MCX, BSE & NSE" | Brokerage | Charge on | Table No. |
|-----------------------|----------------|---|-----------|
| Futures % | TRD 0.02 M 2 P | <input type="checkbox"/> One Side <input checked="" type="checkbox"/> Both Side | |
| Options (Rs. Per Lot) | Rs.200 | <input type="checkbox"/> One Side <input checked="" type="checkbox"/> Both Side | |
| Delivery % | TRD 0.02 M 2 P | | |
| NCDEX | Brokerage | Charge on | Table No. |
| Futures % | TRD 0.02 M 2 P | <input type="checkbox"/> One Side <input checked="" type="checkbox"/> Both Side | |
| Options (Rs. Per Lot) | Rs.200 | <input type="checkbox"/> One Side <input checked="" type="checkbox"/> Both Side | |
| Delivery % | TRD 0.02 M 2 P | | |

- SEBI Turnover Fees, CTT, Goods & Service Tax (GST), Stamp Duty, Transaction Charges and other statutory charges will be levied separately from brokerage as applicable from time to time.
- Delivery related charges i.e. Delivery Charges, Warehouse Charges, Vault Charges etc. will be levied separately as per guidelines.
- All Charges levied by Exchange(s) / Regulator(s) will be recovered from your account as applicable.
- In addition to above, Charges levied on account of Cheque Return, Wrong Reporting of Cheque, Issue of Duplicate Statements, Interest Charges etc. may be recovered from your account as applicable.

Please note that in Commodity Derivative Segment brokerage will be charged at the highest value, which will be derived after considering following criteria:

- Brokerage slab (as mentioned in above Tariff Sheet)
- For Commodity derivative trades, brokerage up to Rs. 50 for each executed order

(Above criteria are subject to maximum rate(s) as prescribed by the regulator(s) from time to time)

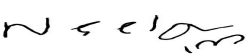


The above shall be read in conjunction with paragraph on "Applicable Brokerage rates" as mentioned in Policies & Procedures.

You may check the prevailing brokerage rate applicable to you through your secured client login. You can access to Client login by keying your UCID / Client code and password through website www.motilaloswal.com. Details of your Secured login will be given in welcome letter send to you upon activation of your account with MOFSL.

MOFSL reserves the rights to change the Brokerage as well as other tariff from time to time under intimation to client. You may check the prevailing brokerage rate applicable to you through your secured client login.

Complete Details of above charges are made available in your secured client login.

You can access to Client login by Keying your UCID / Client Code and password through our website: www.motilaloswal.com. Details of your secured login will be given in Welcome Letter / email send to you upon activation of your account with MOFSL.

| | First/Sole Holder | Second Holder | Third Holder |
|--------------------|---|---|---|
| Client's Name | NEELAM YADAV | | |
| Client's Signature |  | | |
| | 16/22 |  |  |

VOLUNTARY DOCUMENT
Demat Debit and Pledge Instruction (DDPI) Authorisation













Date: 21-02-2026

To,
Motilal Oswal Financial Services Limited

Dear Sir/Madam,

Sub: Demat Debit and Pledge Instruction (DDPI) AuthorisationCDSL - NSDL -

I/We, hereby explicitly agree and give consent to authorize **Motilal Oswal Financial Services Limited (MOFSL)**, to access Beneficial Owner (BO) Account as per details given above for following purposes: Accordingly, I/We hereby, authorize MOFSL to do the following:

| S.No. | Purpose | Signature of First Holder | Signature of Second Holder | Signature of Third Holder |
|-------|---|--|---|---|
| 1 | Transfer of securities held in the beneficial owner accounts of mine / us (clients) towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by me / us (clients) on the Stock Exchange through Motilal Oswal Financial Services Limited |  |  |  |
| 2 | Pledging / re-pledging of securities in favour of Motilal Oswal Financial Services Limited / Clearing Member (CM) / Clearing Corporations (CC) for the purpose of meeting margin requirements of the clients in connection with the trades executed by me/us (the clients) on the Stock Exchange. |  |  |  |
| 3 | Mutual Fund transactions being executed on Stock Exchange order entry platforms |  |  |  |
| 4 | Tendering shares in open offers through Stock Exchange platforms |  |  |  |

Kindly further note that I/we am/are entitled to revoke this authorisation at any time, after sending the revocation letter at your correspondence office to enable you to make necessary changes. I/We hereby confirm that such revocation shall not affect my/our obligations outstanding at the time of such revocation and the authority granted hereunder shall continue until all transactions and instructions already executed or issued towards MOFSL have been settled.

The demat account details of MOFSL/Clearing Members/Clearing Corporations/Exchanges, where client shares / commodities can be transferred / Pledged / Repledged for above purpose is mentioned in **Schedule - 1**

*The same may be e-signed or signed physically.

Clauses mentioned in DDPI document shall be valid from the date as specified by Regulator from time to time.

Schedule - 1

The demat account details of MOFSL/Clearing Members/Clearing Corporations/Exchanges, where client shares / commodities can be transferred / Pledged / Repledged for above purpose is mentioned below:

For Securities:

| Demat Account Number | Account Type |
|----------------------|--|
| 1201090016192023 | MOFSL - TM/CM – Client Securities Margin Pledge Account (CMPA) |
| IN30186210004894 | MOFSL - TM/CM – Client Securities Margin Pledge Account (CMPA) |
| 1201090037295463 | MOFSL - TM/CM – Client Securities Margin Pledge Account (CMPA) |
| IN30 186210031759 | MOFSL-TM/CM – Client Securities Margin Pledge Account (CMPA) |
| IN302978 10597336 | MOFSL - TM/CM – Client Securities Margin Pledge Account (CMPA) |
| 1201090016192019 | MOFSL - Client Securities Margin Funding Account (CMFA) |
| IN30186210004909 | MOFSL - Client Securities Margin Funding Account (CMFA) |
| 1100001000024341 | ICCL Pledge / Repledge Account |
| IN00115010000053 | ICCL Pledge / Repledge Account |
| 1100001100020926 | NCL Pledge / Repledge Account |
| IN00100210009132 | NCL Pledge / Repledge Account |
| 1201090037254857 | CLIENT NODAL MFOS ACCOUNT |
| IN30186210025212 | CLIENT NODAL MFOS ACCOUNT |

| Demat Account Number | Account Type |
|----------------------|--|
| 12010900 37205769 | Clients Unpaid Securities Pledge Account (CUSPA) |
| IN301862 10018684 | Clients Unpaid Securities Pledge Account (CUSPA) |
| 1100001000012619 | Early Payin Account |
| 1100001100015975 | Early Payin Account |
| 1100002300001416 | Early Payin Account |
| 1201090000000116 | Pool Account |
| 1201090000003024 | Pool Account |
| IN30186210000006 | Pool Account |
| IN30186210000022 | Pool Account |
| 1201090034931491 | Pool Account |

For Commodities :

| Demat Account Number | Account Type |
|----------------------|--|
| 100559300000027 | CCRL Pool Account |
| M110005010000017 | Comris Pool Account |
| 100559300000050 | CCRL Pool Account |
| 100559300000068 | CCRL Pool Account |
| 100559300000076 | CCRL Pool Account |
| 2BNF00000027167 | NERL Client Collateral Account |
| IN00118410000012 | MCXCCL Pledge / Repledge Account |
| 1100002200000211 | MCXCCL Pledge / Repledge Account |
| IN00117610000029 | NCCL Pledge / Repledge Account |
| 1100002100002645 | NCCL Pledge / Repledge Account |
| M110005010000146 | MOFSL – TM/CM – Client Securities Margin Pledge Account (CMPA) |
| M110005010000147 | MOFSL – TM/CM – Client Securities Margin Pledge Account (CMPA) |

In addition to the 'Rights and obligations' document, the Client and MOFSL have agreed to the following voluntary terms and conditions contained in this Annexure A to ensure smooth functioning of the operations in the course of trading/investing in securities by the Client through MOFSL.

1. The Client is informed that Motilal Oswal Financial Services Limited do carry Proprietary trading.
2. The Client agrees that he / she / it has been made aware / read, understood and accept the prevailing RMS Policy / all other policies / procedures / information / instructions of MOFSL and further shall keep himself/herself / itself, updated of any future RMS policy, all other policies / procedures / information / instructions that may be issued from time to time from the broker. The Client shall also access the RMS Policy, all other policies / procedures/information/instructions from the MOFSL Website from time to time. MOFSL may send any necessary communications to the client on their registered email address MOFSL may modify the terms or any additional terms that to reflect changes to the law or changes to our policies / procedures etc. The client should look at the terms regularly. The client should access his/her account regularly. Changes addressing new functions for policies / procedures will be reflected in clients account login id and will be effective from the date of such change which are made for legal reasons & and will be effective immediately.
3. The Client hereby agrees and understands that in case of any noncompliance and /or default by the Client such as cheque bouncing, client code modification, margin shortage, UCC violation, price rigging or for any other matters as may be decided by MOFSL from time to time, without prejudice to MOFSL's other rights, MOFSL may levy charges/penalty(ies) on the client and debit such charges / penalty(ies) in the client account which has been imposed by Regulatory Authorities. Margin shortfall penalty as well as any other penalty levied by Exchange(s) / Regulators shall be recovered from the client as per prevailing regulatory guidelines from time to time.
4. The Client agrees that MOFSL will not be responsible for partial execution of orders placed by the Client. The Client also agrees that all orders placed by him shall be treated as orders for that particular session only. In case the Client wants the orders to be carried forward or extended for the day or for more number of days he shall communicate the same to MOFSL.
5. Suspension/deactivation of trading activities for Non-payment of amounts due from the Client including margin money.
The Client is aware that the Client is required to make full payment to MOFSL for the execution of the contract on the day of execution of trade. The Client explicitly agrees and authorizes MOFSL to suspend/deactivate his/her accounts for non payment of the above stated dues and such other amounts as may be due from the Client from time to time with prior notice or under intimation to the client.
6. Digitally signed Contract Notes / Statement of Accounts / Client Registration Documents: The Client hereby agrees and permits MOFSL to provide digitally signed contract notes through internet (web-based) and agrees as under:
 - (i) The client agrees to receive from MOFSL the ledgers, transaction statements, bills or other Statement(s), related notices, circulars, amendments and such other correspondence, documents and records which may be sent by MOFSL from time to time electronically in lieu of the physical mode. The client further authorizes MOFSL to send the executed copy of Client Registration form to his e-mail Id, The client can also download the copy through the secured access provided by MOFSL using his client specific user id and password.
 - (ii) The client hereby acknowledges that all the documents as

above sent by MOFSL through e-mail will be considered as having delivered to him once the email leaves MOFSL e-mail server unless the same is rejected by client e-mail server and bounced mail notification is not received by MOFSL. The client further agrees that in the absence of communication from him regarding non receipt of documents through email or notification of any discrepancy with in reasonable time from the date of issuance of Digital Contract Note through email, MOFSL may construe that there is deemed acknowledgement of the document(s) sent to client electronically.

- (iii) The Client shall access the contract notes/ confirmations of the trades executed on his/her/their behalf on the trade date electronically. The Client understands that it is his/her/their responsibility to review all confirmations, contract notes, statements, notices and other communications including but not limited to margin and maintenance calls etc. All the information contained therein shall be binding on the client, if the client does not objects either in writing or via electronic mail within reasonable time from the date of execution of the trade.
7. Acknowledgement for account opening charges:
 - (i) The client agrees that the charges towards account opening for equity/commodity trading if any, would be debited to the ledger account of the client after opening of account. The entry reflected in the ledger account of the client would be sufficient acknowledgement of the receipt of account opening charges. The client agrees and understands that no separate receipt will be issued in this regard.
 - (ii) For the convenience of payment of all the charges, pertaining to my demat account, the Client agrees to debit his trading account with all the DP account charges, as and when the bill is raised by MOFSL (Depository Participant). MOFSL may charge penalty on non-payment of DP account charges. Such penalty amount shall be directly debited to the account of Client.
8. Trade Confirmation to client
 - (i) The Client is informed that he/she/they shall update their mobile numbers / email IDs with MOFSL (i.e. Stock Broker / Depository Participant). This will facilitate them to receive information of their transactions, all debits directly from the Exchange(s) / Depositories on their mobile / email at the end of the day.
 - (ii) The client authorizes MOFSL to send Trade Confirmations / Margin calls at the mobile number of the client mentioned in the Client Registration Documents. The client shall provide valid mobile number to the MOFSL which shall be incorporated in the client registration documents. During the currency of the voluntary clauses such mobile number shall be functional. It shall be duty of the client to ensure that the mobile number provided in the client registration documents is correct and in operation and/ or in working condition at all the time. In case the client has provided and /or incorporated different mobile number in the KYC, MOFSL may send notice/communication on any of the mobile number, at its discretion. The notice /information /communication through SMS sent to the client shall be deemed to have been received by the client and MOFSL shall not be under any obligation to confirm the authenticity of the person (s) receiving the SMS.
 - (iii) MOFSL at its discretion shall also send Trade Confirmations / Margin calls through SMS at the mobile number provided by the client. The SMS Service may be discontinued for a specified period/indefinite period with or without any prior

notice for any reason whatsoever. Such clients who have availed SMS service facility of payment basis agrees that MOFSL may discontinue SMS service with prior intimation with proper reasoning.

- (iv) MOFSL shall not be liable or responsible for any statement received from frauds or impostors or any consequences thereof.
- (v) The client authorizes Exchanges to send SMS & e-mail alerts to his Mobile No. and e-mail id registered with MOFSL.
- (vi) The Client authorised MOFSL to send trades and accounts related information and also consolidated summary of scripwise/ commodity-wise buy and sell positions taken with average rates by way of SMS / email on a regular basis.

9. Payment of Margins:

- (i) For the purpose of the voluntary clauses the term "Dues of client" shall include the amount of monies payable by the client including but not limited to, the purchase price of the Securities, Brokerage, Margin money, Goods and Service Tax (GST), Turnover Tax, Auction Debit and Charges, Service Charge, Securities / Commodities Transaction Tax, Stamp duty, DP charges, penalties, interest on delayed payment, transaction charges, KRA charges, cheque bounce charges, penalties for non-compliances, shortfall in margins etc. that may be levied from time to time in the clients accounts as per Regulatory norms. Penalties Levied by Exchange(s) / Regulators shall be recovered from the client on actuals, by debiting the client account since it is the liability of investor discharged by MOFSL on his/her behalf.

- (ii) All payment for securities/commodities bought shall be made out by way of Cheque/ fund transfer in favour of "Motilal Oswal Financial Services Ltd." At the time of settlement of dues of the Client, MOFSL shall draw an account payee cheque /fund transfer in favour of the Client which may be payable to a specified bank account of the Client.

The Client agrees to make such fund transfer from the bank account, the details of which are provided by the Client to MOFSL in the Client Registration Form or in any other documents.

The Client understands that MOFSL shall execute the orders only after the proceeds of the Margin account are realized and credited to the Bank account of MOFSL.

Any payment made by the Client in the form of the account payee cheque shall be considered as Funds only upon the realization of the same.

- (iii) The Client hereby agrees to make payment against debit balance and margin payments as demanded by MOFSL in the form of Funds (which shall hereinafter mean and include account payee cheques but not cash or currency) or securities/commodities in the proportion as prescribed by MOFSL or Exchange, failing which the broker can square up all or any outstanding position of sale and / or purchase, in any segment and/or in any Exchange as per RMS policy of MOFSL.
- (iv) Margin in the form of Collateral: MOFSL, in its absolute discretion will decide the eligible securities, which could be pledged by the Client for meeting current or future margin / deposit obligations. MOFSL would be free to continuously review the eligibility of securities already pledged by the Client. Securities which are pledged in favour of MOFSL towards margin / deposit, shall be valued by MOFSL as per valuation norms decided by MOFSL from time to time. The Client authorizes MOFSL to sell these securities/commodities to recover any outstanding dues

including ledger debits / DP debits /meet the margin requirements in Cash and F&O, Currency, Commodity, other segments of the Exchanges. Accordingly securities which are pledged by client in favour of MOFSL shall be unpledged / invoked to meet the Exchange obligation upon selling.

- (v) In case of dispute, these margin / deposits shall be repaid / unpledged to the client within six month of closure of account with MOFSL after meeting contingent liabilities arising out of all or other transactions.
- (vi) Lien: The client agrees that all monies, collaterals or other property that may be held by MOFSL on the client's account shall be held by MOFSL at the sole risk and cost of the client and such monies, securities or other property as permitted by the Exchange(s) shall be held subject to a general lien for the discharge of the client obligation to MOFSL under the voluntary clauses irrespective of whether such obligation of the client is disputed by the client. MOFSL shall be under no obligation to release such monies, securities/ commodities or other property until the Client has discharges its entire obligation in full to MOFSL under the voluntary clause to the satisfaction of MOFSL.
- (vii) The Client authorizes MOFSL to use its discretion to close out any part or all of the contracts in Capital Market, Equity, Currency, Commodity & Future and Options segments held in the Clients account with MOFSL for the protection of MOFSL, in case of any default by the Client. The Client agrees to reimburse any or all such incidental expenses incurred by MOFSL
- (viii) Client agrees that in case of any payout (funds/securities/commodities) which is yet to be received by him/them for the previous settlement(s), the stock broker would be entitled to adjust the same against the current or subsequent settlement obligations or other charges or under the circumstances when client defaults.
- (ix) Set-off - The client agrees that MOFSL may set-off his credit balance available in his ledger account on any one Exchange/ segment against the debit balance in other Exchange/segment.

- 10. The Client agrees that any notice or communication served on the Client under the voluntary clauses shall be valid and binding on him and shall be deemed to be duly served, if conveyed in writing through Letter, Fax or by personal delivery duly acknowledged by the other party/ Courier at the Registered post to the address of the Client mentioned hereinabove or the last known address, or if electronically delivered at any one of the e-mail id intimated by the Client , or by publishing the same in the prominent daily newspaper where the registered / last known business / residential address of the Client is situated or if conveyed over telephone / fax on the last known number or on the recording machine of such number or if a notice is pasted at the door of the registered address or the last known address of the Client.

- 11. The Client agrees that he will send any communication or notice, to MOFSL in writing through Letter or by personal delivery duly acknowledged by MOFSL or by registered post sent at the registered address of MOFSL mentioned herein above.

- 12. The Client hereby agrees to ensure that before selling any shares, commodities he has the same in hand. Any loss arising of auction / closeout on account of shares not cleared in MOFSL's account will be borne by the Client. The Client also agrees to bear any loss arising out of auctions due to incomplete instructions, illegible instructions, unclear instructions and instructions not received on time.

- 13. The Client hereby authorizes MOFSL to transfer shares, commodities lying in MOFSL's pool account to his account and

from there he can transfer / pledge to margin and/or any other account for pay-in purposes for shares/commodities purchased by the Client in previous settlements. In case the Client does not want MOFSL to transfer these shares towards inter settlement from MOFSL's pool account for payin purposes, he shall inform MOFSL in writing 48 hours before payin date. In case MOFSL does not receive the same in writing or for a wrong settlement, MOFSL shall not be responsible for loss, if any.

14. MOFSL will not be liable to the Client for loss arising due to fire, theft or loss due to human error in case of shares sent for dematerialisation, or any other unforeseen circumstances if the shares are in the custody of MOFSL beyond the stipulated time.
15. Tape recording of Client conversation: The client is aware that MOFSL / Authorised Person may tape-record the conversations while providing Trade Confirmations/ Advisory services/ research calls or otherwise between the Client or the Client's representative and MOFSL/ Authorised Person, either personally or over the telephone, and the Client hereby specifically permits MOFSL to do so. Such electronic recordings may be relied upon by MOFSL/ Authorised Person as and when required to resolve disputes in connection with the trading transactions or otherwise.
16. MOFSL has placed on its website and also made available to the client on login into his trading account a detailed document explaining to the client the features, risks, responsibilities, obligations and liabilities associated with securities/commodities trading and demat account among others through wireless technology / internet/smart order routing. The client agrees to go through the above terms and conditions and it shall be deemed to be sufficient notice by MOFSL.
17. Risk Associated with Internet Trading/ Securities Trading using Wireless Technology (STWT)/Smart order Routing (SOR)
Client acknowledges and accepts that the price of securities can and does fluctuate, and that any individual securities may experience downward/ upward movements, and may under some circumstances even become valueless. Client therefore appreciate that there is an inherent risks that losses may be incurred rather than profit made, as a result of buying and selling securities.
Client acknowledges and accepts that the internet is an inherently unreliable medium of communication and provision of services due to the public nature of the communication and that the accuracy, reliability and soundness of such means of communication and provision of services depends upon, amongst others, the service providers and the telephone, modem, cables, systems, facilities and the like used and operated from time to time by such providers and other participants. Client acknowledges and further accepts that, as a result of such unreliability, there are risks associated in using such means of communication including the congestion, break down, interruption or failure of transmission of the internet service or any communication equipment or facilities, errors, omissions or delays in the transmission and receipt of orders and other data and information and in the execution and confirmation of orders and/or the execution of orders at prices which may be different from those indicated on the service or prevailing at the time the orders were given. There are also other risks involved such as in the unauthorized access, tampering, modification or alteration of the service and/or the system, components and software used or comprised in the service which may result in the use, manipulation, retrieval or the theft or loss of data and information, including Client personal data. The Client agrees that MOFSL shall not be liable / responsible for such matters and resultant losses under any

circumstances.

The systems used by MOFSL for providing Internet Broking services are generally capable of assessing the risk of the Client as soon as the order comes in. However, due to any reason whatsoever, if the order is processed without sufficient risk cover from the Client, Client shall be bound by such trade and shall provide such sums as may be required to meet his liability under the trade.

The Client is aware that MOFSL has provided on the website a facility for reconfirmation of orders which are larger than that specified by MOFSL's risk management, by MOFSL and is also aware that MOFSL has the discretion to reject the execution of such orders based on his risk perception.

Best Execution Policy for Smart Order Routing (SOR):

This Best Execution Policy sets forth policy and execution methodology for client execution on the best terms. Upon acceptance of a client order for securities listed on a securities exchange within India and on specific client instruction regarding execution, trading member shall endeavor to execute that order in accordance with the following policy:

Where the security is listed and/or traded on multiple recognised stock exchanges (multiple listing), MOFSL shall determine the recognised stock exchange where to route the order or part of the order based on factors like price, costs, speed likelihood of execution and settlement size and nature relevant to the execution of the order.

INTERNET & WIRELESS TECHNOLOGY BASED TRADING FACILITY PROVIDED BY MEMBERS TO CLIENT (For all clients who have opted above mentioned facilities)

- A. Member is eligible for providing Internet based trading (IBT) and commodities trading through the use of wireless technology that shall include the use of devices such as mobile phone, laptop with data card, etc. which use Internet Protocol (IP). The Member shall comply with all requirements applicable to internet based trading/- commodities trading using wireless technology as may be specified by SEBI & the Exchanges from time to time.
- B. The client is desirous of investing/trading in commodities and for this purpose, the client is desirous of using either the internet based trading facility or the facility for commodities trading through use of wireless technology. The Member shall provide the Member's IBT Service to the Client, and the Client shall avail of the Member's IBT Service, on and subject to SEBI/Exchanges Provisions and the terms and conditions specified on the Member's IBT Web Site provided that they are in line with the norms prescribed by Exchanges/SEBI.
- C. The Member shall bring to the notice of client the features, risks, responsibilities, obligations and liabilities associated with commodities trading through wireless technology/internet or any other technology should be brought to the notice of the client by the Member.
- D. The Member shall make the client aware that the Member's IBT system itself generates the initial password and its password policy as stipulated in line with norms prescribed by Exchanges/SEBI.
- E. The Client shall be responsible for keeping the Username and Password confidential and secure and shall be solely responsible for all orders entered and transactions done by any person whosoever through the Member's IBT System using the Client's Username and/or Password whether or not such person was authorized to do so. Also the client is aware that authentication technologies and strict security measures are required for the internet trading/ commodities trading

through wireless technology through order routed system and undertakes to ensure that the password of the client and/or his authorized representative are not revealed to any third party including employees and dealers of the Member.

- F. The Client shall immediately notify the Member in writing if he forgets his password, discovers security flaw in Member's IBT System, discovers/suspects discrepancies/ unauthorized access through his username/password/account with full details of such unauthorized use, the date, the manner and the transactions effected pursuant to such unauthorized use, etc.
 - G. The Client is fully aware of and understands the risks associated with availing of a service for routing orders over the internet/ commodities trading through wireless technology and Client shall be fully liable and responsible for any and all acts done in the Client's Username/password in any manner whatsoever.
 - H. The Member shall send the order/trade confirmation through email to the client at his request. The client is aware that the order/ trade confirmation is also provided on the web portal. In case client is trading using wireless technology, the Member shall send the order/trade confirmation on the device of the client.
 - I. The client is aware that trading over the internet involves many uncertain factors and complex hardware, software, systems, communication lines, peripherals, etc. are susceptible to interruptions and dislocations. The Member and the Exchange do not make any representation or warranty that the Member's IBT Service will be available to the Client at all times without any interruption.
 - J. The Client shall not have any claim against the Exchange or the Member on account of any suspension, interruption, non-availability or malfunctioning of the Member's IBT System or Service or the Exchange's service or systems or non execution of his orders due to any link/system failure at the Client/Members/Exchange end for any reason beyond the control of the Member/Exchanges.
18. The client is requested to update details (Contact details, Financial details, along with source of income, Beneficial Owner etc.) periodically. Also the client is required to provide such details as per PMLA Act, Rules, guidelines issued thereupon as and when sought by MOFSL failing which necessary actions as deemed fit as per regulations will be initiated.
- The Client hereby declares that they have read and understood the contents and provisions of the PMLA Act 2002, Rules & guidelines issued thereupon and further confirm that they shall adhere to all the provisions of PMLA Act 2002, Rules & guidelines issued thereupon while dealing in securities markets. The client further undertake and confirm that:
- a) I / we do not have any links with any unlawful Persons / institutions.
 - b) I / we am / are not involved in any unlawful activity & the investment money is derived from proper means & not connected with the proceeds of crime nor involve any black or Hawala Money in any manner.
19. Any Authorization shall always be subject to revocation at any time by the Client. However, this will be effective after the Client clears all the dues payable to MOFSL.
20. **Authority to retain Funds towards Margin in Cash segment, F&O segment, Currency Derivative, Commodity Derivative & any other segment.:**
I/We, am/are registered with you as a client for execution of trades from time to time in cash/capital and/or F&O segment / Currency Derivative and/or Commodity Derivative Segment of Bombay Stock Exchange Ltd. (BSE) and /or National Stock Exchange of

India Ltd. (NSE) and/or Multi Commodity Exchange of India Limited. (MCX) and /or National Commodity & Derivative Exchange Limited. (NCDEX) (hereinafter called as "the Stock Exchanges").

For the purpose of operational convenience, I/we hereby give my/our consent to maintain my/our account for the funds on running account basis. I understand that consequent to your execution of my/our secondary market trades, I/we have either to pay/receive funds depending upon my / our securities transactions. In the event I/we have to receive funds from you on declaration of pay-out of funds by the Stock Exchanges, I/we hereby authorize you to retain the funds, as the case may be, with you as margin towards my/our ongoing secondary market transactions from time to time in Cash/Capital market and/or F&O segments and/or Currency Derivative Segment and/or commodity derivative segment of any of the Stock Exchanges.

Kindly further note that I am entitled to revoke this authorization at any time, after sending the revocation letter at your registered office to enable you to make necessary changes to handle my account without running account authorization.

I / We further authorized you to retain funds as may be permitted by Stock Exchanges / SEBI from time to time while settling my/our account.

I/we authorize you to actually settle my account with you as per preference for settlement of funds as mentioned in account opening form or such period as may be permitted by the Exchanges / Regulators from time to time. Further, I understand that, I/We can request for the release of pay-out any time after adjusting all dues towards MOFSL.

21. General

- i) The Client agrees that MOFSL shall not be liable or responsible for non-execution of the orders of the Client due to any link/system failure, at the Client / MOFSLs/exchange's end.
- ii) The client agrees that the ledger statements in respect of transactions entered into on the cash segments of the Exchanges will be combined for my convenience and the payments received and paid by the MOFSL will be appropriated on a first-in first-out basis.
- iii) The Client hereby authorizes MOFSL to consider his account balances at consolidated level across various segments and thereby authorises to adjust / offset / inter-segment transfer of fund / securities balances for the purpose of meeting margin requirement / margin reporting, limit setting, meeting settlement obligations etc. or for any other securities transactions purposes.
- iv) The Client agrees that a modification/addition/deletion to the terms and conditions on the website shall amount to a valid modification of the presents.
- v) Client understands and agrees that the services availed from the Stock Broker is of commercial nature and any dispute with the Stock Broker in this regard shall be subjected to Exchange dispute redressal mechanism provided in this agreement and the consumer forum has no jurisdiction to entertain the same.
- vi) The client confirm that he/they shall not have recourse to dispute Redressal mechanism/arbitration mechanism of the Stock Exchanges/SEBI in case the client avails the services under any schemes/leagues/competitions etc offered by any third party/group/company/associate of the stock Broker.
- vii) Exchanges have issued circulars, cautioning the investors at large on unsolicited emails and SMS. In this reference you are requested to remain cautious on the unsolicited emails and SMS advising to buy, sell or hold securities and trade only on the basis of informed decision. Investors are advised to invest after conducting appropriate analysis of

respective companies and not to blindly follow unfounded

rumours, tips etc. Further, you are also requested to share your knowledge or evidence of systemic wrong doing, potential frauds or unethical behaviour through the anonymous portal facility provided on Exchange(s) on their Websites. As per prevailing regulatory guidelines, MOFSL / Exchange(s) may block the funds / securities payout for transactions in such scrips & customers shall abide by the same.

- (viii) The client is informed that dealing in shares of the listed Stock Exchange / Clearing Corporations & listed depositories shall only be dealt by fit and proper persons as per Regulation 19 & 20 of SECC Regulations & as per Regulation 6(B) of SEBI (Depositories and Participants) Regulations, 2012 & Schedule II of the SEBI (Intermediaries) Regulations, 2008 respectively.
- (ix) The client hereby confirms that his name is not appearing in UNSC / OFAC list and if in case similar name appears then client confirm that he is not the same person whose details is mentioned in UNSC / OFAC list.
- (x) The client hereby authorises MOFSL to repledge any and all securities / commodities pledged as collateral by me /us from time to time with Exchange(s), Clearing House / Clearing Corporations / Clearing Members for limited purpose of meeting margin requirements as per prevailing regulatory guidelines. The Client further authorises MOFSL to unpledge / invoke such securities which are pledged in its favour for meeting settlement / payin / debit obligations.
- (xi) I / We authorise MOFSL to initiate e-DIS process for enabling me / us to meet settlement obligation for my / our trades executed on Exchange(s) from my / our demat account to the Exchange / Clearing Corporations. I / We understand that to initiate the process of E-DIS, I/ We have to enter OTP & TPIN / Password through / on online portal of MOFSL as per prevailing regulatory guidelines. I / We further understand that in case if I / we do not complete the required actionables for meeting the settlement obligation, would result into shortage of pay-in of securities and thus I / we shall be completely responsible for the resultant actions including Auctions / Charges if any.
- (xii) The client is aware that MOFSL is also a distributor of various third party products. If in case the client is desirous of investing in such third party products through any of the channels of MOFSL, the client hereby provides consent to share the KYC details to such third party whose products the clients would like to invest in.
- (xiii) The client is aware that MOFSL is acting only as an agent / distributor for distribution of third party products and for the activities / product of Third Party Service provider, MOFSL will not be liable hence any disputes / grievances related to the product / services should be directly taken up by the client with the third party. Further MOFSL shall not have any financial liability with regards to such third party product / services.
- (xiv) The Customer hereby authorises MOFSL to make Fixed Deposit or invest in units of Mutual fund overnight schemes or any instruments which is allowed as per prevailing regulatory guidelines out of your funds as available in client ledger maintained with MOFSL.
- (xv) Client & MOFSL hereby agrees to return/reverse to/from Client, the Securities, commodities or the mutual funds that may have been wrongly/ erroneously debited/ credited from/to my / our demat account that MOFSL/I / we was / were not entitled to MOFSL shall agree the instructions given by me/us through any modes of communication and

shall not be questioned by me/us and shall be conclusive and binding on me/us.

- (xvi) MOFSL shall not be liable for any loss that may result from failure/inability of electronic connectivity of rejection of any of the request given by customer.
 - (xvii) The Client agrees and accepts that MOFSL's Employees / Business Associates / Authorized Persons shall never give any commitment of assured returns. Investment in securities market are subject to market risks. Client agrees that he/she/it shall read all the related documents, risk disclosure, research reports, research calls etc. carefully before investing as there is no assurance or guarantee of the returns. Client should not ask and act on any of such assurances / commitments If any given by any of MOFSL's Employees / Business Associates / Authorized Persons.
 - (xviii) I / We are made aware that there is a facility of Early Pay-in of funds (EPF) with Exchanges / Clearing Corporations for availing exemption from margin requirements to the extent of EPF done, in advance of trade. I / We have been made aware that I / We need to inform MOFSL / or its Branch to avail Early Pay-in of funds facility.
22. Force Majeure: MOFSL and/or its agents will not be liable for losses / costs / damages caused directly or indirectly from:
- (i) any action, omission, suspension of trading, decision or ruling of any Exchange or Regulatory, Governmental or other body or of any other person which is beyond MOFSL's control; or
 - (ii) by acts of God including earthquakes, flood, accident; or
 - (iii) any commotion, insurrection, embargo, industrial dispute, computer, communication, telephone or system failure, war, power failure, equipment or software malfunction, strikes, etc; or
 - (iv) any other conditions, beyond the control of MOFSL.
- The above Force Majeure events do not exempt the Client to fulfill the obligations in his account with MOFSL.
23. Severance:
- In case anyone or more of the provisions contained in the voluntary clauses becomes invalid, illegal or unenforceable in any respect under any law, the validity, legality and enforceability of the remaining provisions contained herein shall not in any way be affected or impaired thereto.
24. CONDITIONS GOVERNING TRADING IN SECURITIES OTHER THAN ON THE FLOOR OF THE STOCK EXCHANGE
- Whereas MOFSL is also registered with the Association of Mutual Funds in India (AMFI) as a Mutual Fund Distributor.
- And whereas MOFSL is providing a facility through its ONLINE services to apply / purchase / redeem / sale / buyback or otherwise deal in the units of Mutual Funds and securities (here in after referred to as Transactions) through its website as defined above And whereas the Client is desirous of availing the facility of the said transactions and such other facilities offered through MOFSL's website.
- a. 1. The Client shall authorize MOFSL by executing a DDPI in the favour of MOFSL to execute instructions of the Client or its authorized representative with regard to the transactions. All instructions given by the Client / its authorized representative shall be binding on the Client.
 - 2. The Client undertakes to read all the relevant Offer Documents and addendums thereto and terms and conditions of all schemes of all mutual funds and other issues of securities including but not limited to Initial Public Offerings / Public Offers, Rights issue and Buy Back offers, offered through MOFSL's website; before entering into any transactions through the website and agrees to abide by the terms, conditions, rules and regulations as applicable from time to time.

- b. The client hereby authorizes MOFSL to adjust the balance in his trading account maintained with MOFSL, for the purpose of investment in transactions, and similarly to use the balance in his IPO / Mutual Fund/other Investment account for setting off the debits in his trading account or otherwise, on the basis of his instructions, from time to time.
 - c. The Client agrees that MOFSL is entitled to disclose to regulatory authorities, all such information pertaining to the Client as may be required from time to time, for the Client to be able to avail of any or all of the services provided by MOFSL under these documents. MOFSL may furnish a certified copy of the DDPI and other documents on behalf of the Client to the Company / Registrar / Mutual Fund.
 - d. The Client can view his/ her / its transactions on the website.
 - e. MOFSL shall not be liable for any loss or damage caused by reason of failure or delay of the mutual fund/Registrar to deliver any units purchased even though payment has been made for the same or failure or delay in making payment in respect of any sold though they may have been delivered.
 - f. The Client agrees to provide MOFSL with any confirmation / declaration or any other document that the concerned Issuer /Asset Management Company or any other entity may from time to time require MOFSL to collect from the Client in respect of the services offered under the voluntary clauses.
 - g. The Client further agrees that MOFSL shall not be held responsible for non-allotment of securities either fully or partly to the Client, for any reason whatsoever. MOFSL shall not be held responsible in case due to any reason the bid/application/ revision instructions sent by the Client is not received by it, or if the bid / application / revision could not be uploaded to the Stock Exchange, or could not be sent to the Bankers/ Registrar to the issue.
 - h. Any IPO / FPO / NFO / Bond issue or any other issue of securities, applied through MOFSL by any mode i.e. online / off-line / in writing e.t.c., MOFSL will not be responsible for (i) non-bidding of application, (ii) non-submission of application to Banker / RTA / Issuer and (iii) non-allotment of above securities by RTA / Issuer due to any reason.
25. The Client hereby confirm that he/they is/are not a Stock Broker or Authorised Person or Remieser of any Stock Exchange(s). Further, in the event client wishes to obtain any membership of any Stock Exchange(s) or wish to become or Authorised Person or Remieser of any Trading Member in any Stock Exchange then client undertake to obtain No-objection certificate from MOFSL prior to making an application to the Exchange(s).
26. Indemnification: The Client and the Authorised Person shall indemnify and shall always keep indemnified MOFSL harmless from and against all claims, demands, actions, proceedings, losses, damages, liabilities, charges and / or expenses that are occasioned or may be occasioned to MOFSL directly or indirectly, owing to bad delivery (as defined by the Exchange Rules/Regulations/Bye-Laws) of shares / securities and commodities / or as a result of fake / forged / stolen shares / securities / transfer documents that are introduced or that may be introduced by or through the Client during the course of its dealings / operations on the Stock Exchange(s).
- The Authorised Person hereby agrees to indemnify MOFSL against all the claims including legal costs incurred to defend any claims made by the client of the Authorised Person.
- The Authorised Person agree that the provisions of indemnity in this agreement shall be applicable though such damages, loss, liabilities and / or expenses mentioned above may devolve subsequent to MOFSL being declared a defaulter or suspended or expelled by the Exchange.
27. The customer agrees that as and when client sell the shares and want to transfer the shares from clients other than MOFSL demat account for payin purpose, client can transfer the securities till T+1 day upto 4 pm to MOFSL pool account. If the securities are received after the said cut off time, MOFSL will try to execute the DIS request on best efforts basis, in order to deliver the shares for Payin. However if the shares are not delivered for payin for circumstances beyond our control, MOFSL shall not be held responsible for the same and client has to bear the losses / debits.
 28. I/we hereby authorizes MOFSL to send Trade confirmations, research calls, investment ideas etc. including all commercial communication even though I/we am/are registered or may register with National Do Not Call Registry established under the Telecom Unsolicited Commercial Communications Regulations, 2007 or registered or may register under the National Customer Preference Register established under new regulation viz the Telecom Commercial Communications Customer Preference Regulations, 2010.
 29. All references to the specific quantity/rate/fee, if any, mentioned in this Annexure A are subject to change from time to time, as so agreed to in writing between the parties.
 30. Client is made aware that if he / she is an designated employee of any listed company as per provisions of SEBI Insider trading guidelines, should take precautions while executing securities transactions in Trading window period as declared by the company from time to time. Client has to ensure that on the day of settlement obligation, such security is free for meeting settlement requirement. MOFSL shall not be held responsible for non settlement of obligations if any on account of freezing of companies ISIN as per LODR guidelines.
 31. Client can voluntary freeze/block on line access of trading account. For further details please refer RMS policy in client login.
 32. I/We hereby authorizes MOFSL to consider the KYC data like Proof of Identity (POI) and Proof of Address (POA) and any other information downloaded from other sources like CVL, KRA and CERSAI
 33. As per SEBI circular SEBI/HO/MIRSD/ MIRSD-PoD-1/P/CIR/2024/143 dated October 22,2024, I/We hereby confirm that myself and persons associated with me/us shall not have any direct or indirect association with another person who-
 - (i) provides advice or any recommendation, directly or indirectly, in respect of or related to a security or securities, unless the person is registered with or otherwise permitted by the Board to provide such advice or recommendation; or
 - (ii) makes any claim, of returns or performance expressly or impliedly, in respect of or related to a security or securities, unless the person has been permitted by the Board to make such a claim.

| | |
|------------------|--------------------------|
| | Sole/First Holder |
| Client Name | NEELAM YADAV |
| Client Signature | |
| | 17/22 |

Note : All references to the specific quantity/rate/fee, if any, mentioned herein above are subject to change from time to time, as so agreed to in writing between the parties

1. Refusal of orders for penny Stocks / Commodity Derivatives :-

The client is aware and agrees that the stock broker may refuse or restrict a client in placing the order in certain securities/commodities depending on various conditions like volume / value / part of illiquid scrips/Z group of securities illiquid commodities, although a client may have credit balance or sufficient margin in the trading account. However, stock broker under exceptional circumstances may execute client's order. The stock broker has the discretion to reject execution of such orders based on its risk perception.

2. Setting Up client's Exposure Limit

The client is aware and agrees that the stock broker may set the Exposure limits on the basis of available base capital which may comprise of Ledger and applicable collateral pledged in favour of MOFSL (after suitable margin hair cut). The limits may be allowed on a multiplier basis to the available capital or actual VAR margin basis or a specified margin depending on the Market conditions. Client agrees that said limit parameters is a dynamic process that is allowed at the discretion of the stock broker based on the Market conditions and their risk perception about the market. However on exceptional situations broker may use its own discretion in providing the limits and may change for a client or for all depending on market condition.

3. Applicable Brokerage Rate for Equity & Derivatives

- a) The stock broker is eligible to charge brokerage with respect to transactions effected by it in various segment as mentioned herein below –
 - For Capital Market Segment – The maximum brokerage in relation to trades effected in the securities admitted to dealings on the Capital Market Segment of the Exchange shall be 2.5% of the contract price exclusive of statutory levies. It is further clarified that where the sale / purchase price value of a share is Rs. 10/- or less, a maximum brokerage of 25 paise per share may be charged.
 - For Option Contracts of Equity and Currency Derivative - Brokerage for options contracts shall be charged on the premium amount at which the option contract was bought or sold and not on the strike price of the option contract. The brokerage on option contracts shall not exceed 2.5% of the premium amount or Rs. 100 (per lot) whichever is higher.
- b) The stock broker may charge different Brokerage for Deliverable and Intraday transactions.
- c) The client is aware that any request for change in the brokerage rate has to send in writing to the Branch who in turn will forward the request to Head Office of the stock broker. Acceptance / rejection of such request is at the discretion of stock broker.
- d) Other Levies, charges, Goods and Service Tax (GST) e.t.c. will be charged on Brokerage as per Rules prescribed by the Government / Regulatory Agencies.

All the above charges and levies debited to clients would be mentioned in the Contract Note send to client.

Applicable Brokerage Rate for Commodity

- a) The Stock broker is eligible to charge brokerage with respect to transactions effected by it in various segment as mentioned herein below –
 - Delivery based– The maximum brokerage rate for the time being shall be 2 % (plus expenses) in case of transactions resulting into delivery.
 - Non-delivery based – The maximum brokerage rate for the time being shall be 1 % in case of non-delivery transactions.
- b) The Stock broker may charge different Brokerage for Deliverable and Intraday transactions.

- c) Brokerage shall be charged on the premium amount at which the options contract was bought or sold and not on the strike price of the option contract. Maximum permissible brokerage rate is 2.50% of premium amount or Rs.250/- per lot, whichever is higher.

- d) The client is aware that any request for change in the brokerage rate has to send in writing to the Branch who in turn will forward the request to Head Office of the Stock broker. Acceptance / rejection of such request is at the discretion of Stock broker.

- e) Other Levies, charges, Goods and Service Tax (GST) e.t.c. will be charged on Brokerage as per the Rules prescribed by the Government / Regulatory Agencies.

All the above charges and levies debited to clients would be mentioned in the Contract Note send to client.

4. Imposition of Penalties / Interest on outstanding debits & margins

The client is required to pay all amounts due to the stock broker on its due date. The amount due to broker shall include all type of Margin and Pay-in-obligation/Settlement Obligation on account of any other reason. Client is required to meet its dues to the Trading Member on account of various obligations like Margins & Settlement. These obligations if not paid in time, are met by the Trading Member to the Exchanges / Clearing Corporations / Clearing Members and is construed as funding on which interest would be applicable.

In case the client does not pay the amount due on time, the stock broker shall charge Interest on outstanding debits & margins up to the rate of 2% per month on the daily outstanding value or at such rates as may be determined from time to time by the stock broker. Interest will be charged from the time it become due till the time obligation is cleared by the client, on the basis of clear credit available in the account. The objective of charging such a interest- is to force a client to clear their dues on time.

The client hereby agrees that he/they will be charged Interest on outstanding debits & margins which shall be levied by the Stock Broker as MOFSL has accepted 100% securities with appropriate hair cut for margin purpose, but has to deploy his own funds/securities (approved) for meeting his/their all type of margin requirements to Exchange(s) as per the applicable norms of the exchange.

The Client is hereby informed that, we as a Stock Broker are accepting deposits (towards margins) in the form of Cash deposits / Exchange approved securities / Exchange defined liquid scrips in any one form or combination thereof. Value of non-cash component would be arrived after deducting applicable Value at Risk percentage, as prescribed by Exchanges / Clearing Corporation from time to time. So the deposits may comprise of the cash component and non-cash component (after applicable hair cut). Further, client is informed that, Exchange accepts margins from a Trading Member in such form where maximum benefit of non-cash collateral is given to the extent of cash component deposited.

Depending upon the total deposits given by the client, we may be providing exposure to the client as per RMS policy. Resultant margin requirement should be cleared by the client within prescribed timeframe. Margins can be collected from client both in cash and non-cash component and any shortfall in fulfilment of margin requirement will lead to charging of interest. Client is hereby informed that, we shall be computing interest as under –

- If client has made the deposits partially in Exchange Approved Securities and partially in Cash and has a margin requirement, then maximum benefit of Exchange Approved Securities (with higher hair cut) would be considered only upto 50% of margin

requirement after adjusting available cash component. Therefore, any shortfall in the above explained norm will lead to charging of interest on such shortfall value. This interest is charged as we had to deploy our own funds / securities to meet the shortfall with Exchanges.

Clearing Corporations are reviewing the list of such approved securities on periodic basis and are making the changes from time to time. Hence, clients are requested to refer such list and place / pledge such approved securities as collateral to avoid interest charges.

The client agrees that the stock broker may impose fines/penalties for any orders/trades of the client which are contrary to this agreement/rules/regulations of the Exchanges and is imposed by the Exchanges/Regulators. Further, under the instances where the stock broker has been penalized from any authority on account of/as a consequence of orders/trades of the client, the same shall be borne by the client.

5. The right to sell client's securities/commodities or close client's positions, without giving notice to the client, on account of non-payment of client's dues:-

The Client shall provide timely funds/securities/commodities for the purchase/sale of securities / commodities to the stock broker for meeting his obligation to the Exchange.

The securities so purchased under capital market segment and/or resulted in compulsory delivery under F&O / Commodity segment, securities so purchased / received shall be treated as unpaid client securities, if the outstanding payment is not cleared within one day of purchase (T+1 day) as per Exchange regulations. Such unpaid securities shall be liquidated anytime within 5 trading days from the date of payout if debit balance / outstanding dues are not cleared by the client by providing funds to the extent of debit balances.

Also, depending on brokers discretion and its RMS policy, on case to case basis, we may transfer the securities purchased by client in clients demat account (where DDPI is available in favour of MOFSL) despite outstanding debit balances / dues, if any, then we reserve the right to liquidate the same to settle the dues arising from time to time.

In case of client falling short of providing fund/securities, the stock broker has the right to close the positions. The Stock Broker has the right to sell client's securities or close clients position in accordance with RMS policy after giving prior notice to client on account of non payment of dues to the extent of Ledger debit and/or to the extent of Margin obligation(s). The broker can liquidate the securities bought or collaterals given or any other securities given in any other form for clearing the clients obligations.

MOFSL may in its sole discretion, determine the time of sell and securities to be disposed off and or which open position is / are to be liquidated / closed as per RMS policy applicable from time to time. To avoid selling of shares / closing of positions, we request you to maintain sufficient margin in your trading account.

6. SHORTAGES IN OBLIGATION ARISING OUT OF INTERNAL NETTING OF TRADES FOR EQUITIES

With implementation of Direct pay-out of securities by Clearing Corporation (CC) to clients demat account, the process of handling security-wise internal shortages shall be handled by CC. On settlement date, CC will identify broker level, security-wise internal shortage by comparing broker level security-wise gross sell obligations vis-a-vis security-wise pay-in received. The gross sell obligation shall be computed as sum of net sell obligation of all clients for a security. Wherever, pay-in

quantity received is less than gross sell obligation quantity for a security across all clients, there is a case of internal shortage for that security. CC will handle internal shortage in the below given manner:

- On settlement date, CC will initiate direct pay-out of securities to client who had purchased shares on the trading day. Basis the internal shortage identified by CC as per process mentioned above, some clients may not receive direct pay-out of securities on the settlement date. As per CC's internal defined criteria, CC will solely decide and identify the clients to whom securities will be given as pay-out on settlement date and clients who will not receive securities. MOFSL does not have any role in identification of such clients.
- Post identification of internal shortages and completion of settlement process, CC will conduct an auction for obtaining securities for the impacted clients who have not received securities due to the internal shortage. Below scenarios may arise-
 - **Scenario 1 - Full short delivered quantity is obtained in the auction process:** CC will give pay-out to the impacted clients in Auction settlement.
 - **Scenario 2 - Partial short delivered quantity is obtained in auction process:** CC will solely decide and identify the clients to whom securities will be given as pay-out in auction settlement and clients who will not receive. MOFSL does not have any role in such identification of clients.
 - **Scenario 3 – Full short delivered quantity is not obtained during auction process:** CC will not give pay-out to any impacted clients.
- For clients who do not receive pay-out in auction settlement from CC, MOFSL, as per regulatory provisions will compulsorily close out such securities as per close out procedure / rates prescribed by CC. The impacted client will be credited with close out credit and said amount will be debited to the defaulting client. The internal close out policy for handling internal shortages in Commodities is in line with the exchange policy for handling shortages at exchange level. Apart from this the seller will be additionally debited by 0.50% charges. Thus, the buyer will get the credit of the shortage based on the calculation as mentioned above and the seller will be debited by the same amount + 0.50% charges. (Above defined parameters are subject to change from time to time)

7. Conditions under which a client may not be allowed to take further position or the broker may close the existing position of a client :-

MOFSL is entitled in its sole discretion to restrict or refuse execution of any orders for transaction in any scrip / commodity if transaction in such scrip / commodity is not in accordance with its internal surveillance / risk management policy and/or in accordance with the directives and guidelines of the Exchanges and/or the Regulator issued from time to time. MOFSL may at its sole discretion decline to carry out the instructions for any reason whatsoever.

In case overall position of client / clubbed position of client as per the SEBI guidelines, and/or on the basis of criteria set by Exchanges, in a scrip / derivatives contracts has reached the Regulators prescribed Exchange limit / Market Wide Open Interest limit, then client may not be allowed to take further position, till such time Regulator prescribed limits comes down to create a new position.

Further in Exchange / Segments where client positions are monitored at group level (i.e. entities are clubbed by Exchanges as per their guidelines), those set / group of customers together have to abide by position limits as mentioned above.

Further, the stock broker may close the existing position of a client to the extent of Debit balances to release the Margin from the Exchange. In case if the stock broker has sufficient Margin cover on behalf of its client, it may still decide based on the market conditions and risk perception not to allow further position or may close the existing position of a client.

The customers are also requested to refer detailed Risk Management policy uploaded under client portal on Motilal Oswal Website www.motilaloswal.com.

8. Temporary Suspension/Closure of Accounts

- The client may request the stock broker for temporary suspension/closure of his trading account by sending a written request to Branch. This request will be in turn sent by Branch to Head Office for further processing where after verification of the client details, the trading account of the client will be suspended.
 - The client would be required to clear all his dues/settlement of obligations before his account is temporarily suspended. The client may also be required to fulfill other conditions, on a case to case basis.
 - The stock broker can withhold the payouts of client and suspend/close his trading account due to any internal / regulatory action.
- The Customer will be intimated upon Suspension / closure of trading account within 15 days of suspension.

9. Deregistering a client

In addition to what the client has agreed in the agreement, the stock broker may terminate a client with immediate effect, but not limited to the following reasons –

- If the client is debarred by FMC/SEBI or any other regulatory authority.
- As a part of surveillance measure, if a client appears to be indulging in manipulative practices.
- Under the circumstances when there is a reasonable ground to believe that the client is unable to clear its dues or has admitted its inability to pay its debt.
- If the client violates any of the terms of the agreement.

10. Treatment of Inactive Clients

- Motilal Oswal Financial Services Limited (MOFSL) identifies such client codes / trading accounts that are inoperative for a minimum period of preceding 24 months. A trading account will be considered inoperative if the below prescribed transactions are not carried out by the client since the preceding 24 months.
- Trading or participation in OFS/buy-back/Open Offer across any of the exchanges/segments (Cash/Equity Derivative/ Currency Derivative/ Commodities Derivative/EGR /Debt/Online Bond Platform/ Execution Only Platform /Any other segment as may be allowed by SEBI/stock exchanges from time to time) of the exchanges through MOFSL, or
- Transaction in nature of applying/subscribing IPOs (where the IPO bid is successful & not cancelled)/SGBs/Mutual Funds (lump sum investment or investments through successful SIP instalment payments) on the Mutual Fund platform of the stock exchanges through MOFSL, or
- Modification/updation of e-mail Id/Mobile Number/Address in KYC record of client through MOFSL and the same has

been uploaded to KRA to ensure Validated/Registered status.

* In case any of the above prescribed transactions is not carried out by the client in the preceding 24 months and the client has an existing open MTF position / Derivative position then, such a trading account will not be considered as in-operative.

- Accordingly, such trading accounts are made inactive in the trading & internal systems and the client is informed about the status of his trading account maintained with MOFSL via email/letter/SMS or by way of any other mode. Such trading Accounts shall also be marked "inactive / dormant" in UCC database of Exchanges where such client details are updated.
- For re-activation of such trading account, the client shall be required to make a request to re-activate the account and submit all necessary confirmation of existing client details (such as Address, Mobile number, Email ID, Bank/DP account, income etc.) registered with MOFSL or in case of modification, information / documents with regard to updation of their KYC details. On receipt of re-activation request, In-person verification (IPV)/Video In-person verification (VIPV) will be carried out as per prescribed guidelines. Such latest updated client details shall also be updated in UCC database of respective Exchange and KRAs.
- While reactivating the client, MOFSL shall also verify the client status as per KRA. In case the client's status as per KRA is not validated / not registered (i.e. "On hold"/"Rejected"/"Registered" through other intermediary, etc.) then MOFSL shall not re-activate the client's trading account. In this case, the client is required to provide the latest KYC details like Address, Mobile number, Email ID, Bank/DP account, income, etc. along with the necessary supporting documents as required by KRA. Post submission of required details along with documents by the client, MOFSL shall validate these details with the documents provided and shall update its records accordingly. Before re-activating the client's trading account, MOFSL shall update/upload the required details/documents on KRA to ensure that the client's status is validated/registered with KRA. MOFSL shall also update such client details in the UCC records of the respective exchanges.
- In case of an individual client, a re-activation request can be made either through online mode (by following the re-activation instructions after web login) or through offline mode (by submitting Activation request form at Branch/AP office). In case of non-individual clients, re-activation request needs to be made through offline mode i.e. by submitting Activation request form at Branch/AP office.
- For all re-activation requests, MOFSL upon verifying / carrying out due diligence & completing above process at its end may activate the client's trading code in the trading & internal system. MOFSL shall simultaneously also update client's trading account status to "Active" in the UCC database of respective exchanges.
- Once an inactive trading account is re-activated, the computation of next 24 months for the purpose of identifying client as inactive in the subsequent period shall be considered from the date of last re-activation of trading account.
- There may be scenarios wherein there are long outstanding debit balances in inactive/dormant accounts. To recover such outstanding debit balances, pledged securities may be liquidated from client's trading account through RMS selling post intimating the client. For executing RMS selling in client's trading account, the client's trading account will be

reactivated in our back-office and Exchange UCC without following the above prescribed criteria for reactivation. Post RMS selling, the trading account status will again be updated as inactive/dormant in our back-office records and Exchange UCC.

- Upon reactivation, the client is informed about the status of his trading account via email/letter/SMS or by way of any other mode. Upon re-activation and after updating the UCC status in Exchanges, MOFSL may execute the order as per instructions of clients. The client shall also be subject to ongoing due-diligence in accordance with the provisions of the PMLA guidelines and the relevant KYC policies that have been issued from time to time.


11. The Client is informed that Motilal Oswal Financial Services Limited do carry Proprietary trading.

Client acceptance of policies and procedures mentioned here in above –I / We have fully understood and agree to sign the same.

Above policies and procedures may be amended / changed unilaterally by the stock broker provided the same is intimated via email / writing or reflected in the clients login.

12. “Policy on handling of Good Till cancelled Orders of Client”

MOFSL is providing Good Till Cancelled (GTC) orders functionality. GTC is an order to buy or sell a stock that continues until the order is executed/expired/cancelled. Validity of GTC order by default is T+1, whereas client can modify such orders upto 365 days and accordingly GTC orders shall be retained in your account. Intimation of corporate actions will be informed to clients upto one day prior to ex-date of the corporate actions for the applicable unexecuted orders.

| | Sole/First Holder |
|------------------|--|
| Client Name | NEELAM YADAV |
| Client Signature |  |
| | 18/22 |

FATCA / CRS Declaration

| | | | |
|--|---|--|--|
| Please fill the information below as requested | First Account Holder | Second Account Holder | Third Account Holder |
| Name of the Account Holder | NEELAM YADAV | | |
| Customer ID | | | |
| City of Birth | KARBI ANGLONG | | |
| Country of Birth | INDIA | | |
| Address for Tax purpose | <input checked="" type="checkbox"/> Same as mailing address <input type="checkbox"/> Same as permanent address | <input type="checkbox"/> Same as mailing address <input type="checkbox"/> Same as permanent address | <input type="checkbox"/> Same as mailing address <input type="checkbox"/> Same as permanent address |
| Address Type for the above | <input type="checkbox"/> Residential or Business <input checked="" type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office | <input type="checkbox"/> Residential or Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office | <input type="checkbox"/> Residential or Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office |
| Nationality | INDIAN | | |
| Father's Name (mandatory if PAN not provided) | ABHIMNYU YADAV | | |
| Spouse's Name | | | |
| Identification Type-Documents submitted as proof of identity of the individual | <input type="checkbox"/> Passport <input checked="" type="checkbox"/> PAN <input type="checkbox"/> Election/Voter's ID card <input type="checkbox"/> Driving License <input type="checkbox"/> Aadhaar card/ letter <input type="checkbox"/> NREGA Card Govt ID Card <input type="checkbox"/> Others (pls specify)_____ | <input type="checkbox"/> Passport <input type="checkbox"/> PAN <input type="checkbox"/> Election/Voter's ID card <input type="checkbox"/> Driving License <input type="checkbox"/> Aadhaar card/ letter <input type="checkbox"/> NREGA Card Govt ID Card <input type="checkbox"/> Others (pls specify)_____ | <input type="checkbox"/> Passport <input type="checkbox"/> PAN <input type="checkbox"/> Election/Voter's ID card <input type="checkbox"/> Driving License <input type="checkbox"/> Aadhaar card/ letter <input type="checkbox"/> NREGA Card Govt ID Card <input type="checkbox"/> Others (pls specify)_____ |
| Identification Number- for the identification type mentioned above | AIWPY6547N | | |

Are you a tax resident of any country other than India?

- First account holder : Yes ☐ No ☒
- Second account holder : Yes ☐ No ☐
- Third account holder : Yes ☐ No ☐

If yes, please list below the details confirming ALL countries of Tax Residency / Permanent Residency / Citizenship & ALL Tax Identification Numbers.

| Account Holder details | Name of Customer | Country/(ies) of Tax residency # | Tax Identification Number (TIN)% | Identification Type (TIN or other %, please specify) | Address for Tax Residence | Address type (Residential or Business, Residential, Business, Registered Office) |
|------------------------|------------------|----------------------------------|----------------------------------|--|---------------------------|--|
| First Holder | | | | | | |
| | | | | | | |
| Second Holder | | | | | | |
| | | | | | | |
| Third Holder | | | | | | |
| | | | | | | |

To also include USA, where the individual is a citizen/ green card holder of USA

% In case Tax Identification Number is not available, Kindly provide functional equivalent\$

Certification: I/We have understood the information requirements of this Form as per the CBDT notified Rules 114F to 114H and hereby confirm that the information provided by me/us on this Form is true, correct, and complete. I/We also confirm that I/We also confirm that I/We have read and understood the Terms and Conditions below and Hereby accept the same. I/We understand that my personal details as provided / available in the bank records will be used for CBDT reporting.

| | First/Sole Holder | Second Holder | Third Holder |
|--------------------|-------------------|---------------|--------------|
| Client's Name | NEELAM YADAV | | |
| Client's Signature | | | |
| | 19/22 | | |

CBDT Terms and Conditions

The Central Board of Direct Taxes(CBDT) has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

CBDT Instructions

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number.

\$ It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form. In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

| FATCA/ CRS Indicia observed (ticked) | Documentation required for Cure of FATCA/ CRS indicia |
|--|---|
| | If customer does not agree to be specified U.S. person/ reportable person status |
| 1 U.S. place of birth | <ol style="list-style-type: none"> Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purpose; Non-US passport or any non-US government issued document evidence nationality or citizenship(refer list below); AND Any one of the following documents: <ol style="list-style-type: none"> Certified Copy of "Certificate of Loss of Nationality or Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth |
| 2 Residence/ mailing address in a country other than India | <ol style="list-style-type: none"> Self-certification(in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purpose; and Documentary evidence (refer list below) |
| 3 Telephone number in a country other than India (and no telephone number in India provided) | <ol style="list-style-type: none"> Self-certification(in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purpose; and Documentary evidence (refer list below) |
| 4 Telephone number in a country other than India | <p>If no Indian telephone number is provided</p> <ol style="list-style-type: none"> Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and Documentary evidence (refer list below) |

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

- Certificate of residence issued by an authorized government body*
- Valid identification issued by an authorized government body* (e.g. Passport, National identity card, etc.)

*Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.

Date: 21-02-2026


To,
Motilal Oswal Financial Services Ltd. (MOFSL)
Palm Spring Centre,
2nd Floor, Palm Court Complex,
New Link Road, Malad (West),
Mumbai- 400 064.

Sub: Application to avail Margin Trading Facility under MOFSL.

Dear Sir/Madam,

With regards to captioned subject I /We Mr. /Ms. NEELAM YADAV is interested and wish to avail Margin trading facility rendered by Motilal Oswal Financial Services Ltd. (MOFSL)

Further I / We hereby confirm that I / We have read and understood the Rights and Obligations with regards to Margin Trading facility provided by MOFSL and agree to abide by the same.

| | |
|------------------|---|
| | Sole/First Holder |
| Client Name | NEELAM YADAV |
| Client Signature |  |

Consent for Modification of EMAIL / MOBILE NUMBER

Although I am aware that my mobile number / email id is available on UIDAI, but now I wish to modify my mobile number / email id (as given under) at the time of account opening to receive further communications from Regulators & Motilal Oswal Financial Services Limited.

Also, I authorize you to share my details as downloaded from UIDAI with such company to whom I specifically request you to do so.

Existing Email Id:_____ Modified Email Id:_____

Existing Mobile No.:_____ Modified Mobile No.:_____

| | |
|------------------|--------------------------|
| | Sole/First Holder |
| Client Name | |
| Client Signature | |

Family Declaration – Email/ Mobile Updation in Family Codes
(Compulsory in case having common email & mobile in more than one A/C in MOFSL)

To,
Motilal Oswal Financial Services Limited
2nd Floor, Palm Spring Centre, Palm Court Complex,
New Link Road, Malad (West), Mumbai - 400064









Date: _____

Dear Sir/Madam,

Subject : Family Declaration for registering common Mobile / Email details

I and my family members hereby request that mobile number and email id, as mentioned on KYC Page shall be considered in your records for the purpose of receiving communication from Motilal Oswal Financial Services Ltd. (MOFSL) or Stock Exchanges/ Depositories with regard to trading / demat transactions executed by me / us through MOFSL.

Thus, any communication relating to my /our trading and demat accounts should be sent to mobile number and e-mail id mentioned on KYC Page. This facility shall be provided to me/us as an exception, for my / our convenience of receiving transaction details at a single mobile number and e-mail id. I / we under stand that for the purpose of availing the above facility by the family members where **"family" means self, spouse, dependent children and dependent parents.** (As per the SEBI Guidelines).

| Sr. No. | Client Name | Client ID / DP ID | Relationship | Signature |
|---------|-------------|-------------------|---------------------------|---|
| 1. | | | Self (Mandatory) |  |
| 2. | | | Dependent Parent - Mother |  |
| 3. | | | Dependent Parent - Father |  |
| 4. | | | Spouse |  |
| 5. | | | Dependent Daughter |  |
| 6. | | | Dependent Daughter |  |
| 7. | | | Dependent Son |  |
| 8. | | | Dependent Son |  |

(In case of additional Son / Daughter kindly provide details in separate sheet.)

Client's Consent on Terms & Conditions of EKYC

Motilal Oswal Financial Service Ltd. (hereinafter referred to as "MOFSL") has prescribed certain eligibility criteria and terms and conditions which are to be followed by the client, before opening online trading and demat account through the website of MOFSL. The Client is required to go through each and every condition as mentioned below and verify if he/she is eligible to open online account with MOFSL. Customer needs to adopt offline / physical mode of Account opening in case any of the terms & conditions are not acceptable to client. If all terms and conditions are acceptable to the client, he/she should mark in "I agree and authorize" box in order to open online trading and demat account with MOFSL:

- Individual Citizen of India and resident Indians are permitted to open accounts and avail the services through this process. At present, NRIs cannot open account through E-KYC process. If Client is US person/Resident of Canada /Non-Resident Indian residing in Financial Action Task Force, non-compliant countries and Territories (NCCTs) / overseas Corporate Bodies (OCBs) then client shall be required to opt for Physical KYC process and submit duly signed FATCA Declaration.
- The client is required to upload all documents, records etc. which shall be verified with the originals / authenticated as per prevailing regulatory guidelines as stipulated in Know Your Client documents in order to open account.
- Client shall be required to affix his own digital signature / e-sign at the relevant pages of account opening form so as to enable MOFSL to open the said account.
- The Client is required to fill necessary details and make payment via online mode through his registered Bank Account - Net banking facility. MOFSL after receipt of the payment towards account opening charges for Trading Account etc. shall send the account opening document at the registered E-mail ID furnished by the client.
- MOFSL shall reject the application if it is found that the client is barred from trading or any investigation from SEBI or any other regulatory authorities is pending against the said client, decision taken by MOFSL shall be final and binding upon the client.
- MOFSL does not guarantee the account opening form submitted by the client shall be duly accepted as the company reserves its right to reject account opening application of the client without assigning any reason.
- Name of Client at the time of Account Opening – I confirm and understand that my name as per IT department will be recorded in MOFSL records as Account name, in case there is any mismatch in name as per Income Tax records and name as per KRA / Aadhaar / Bank Records.
- DIS booklet - Through E-KYC process, at present, only those client can open the account who do not wish to obtain DIS for time being. In case client wish to obtain DIS booklet immediately on opening of demat account then client shall be required to opt for Physical KYC process.
- Login Confidentiality – Client agree and confirm that any instructions set via online trading account, which requires client to enter login credentials is known only to client and no other person. Client must not share trading / demat account details/login credentials with any other person. In case any such login details are shared by client with another person, all risks/liabilities taking place from clients account for instructions placed by any such third person from account will be indemnified by client himself. MOFSL or any of its agents or employees, will not ask for any login details or Passwords from you in any manner whatsoever.
- I confirm that I am not Illiterate / Disabled Person / Blind person / Person with mental disability
- I confirm to have read and understood the contents of Rights & Obligations, Dos & Dents for the investors, Guidance Notes, Risk Disclosure documents of all the segments availed by me as well as Policies & Procedure document & Voluntary clauses.
- I wish to activate the Margin Trading Facility provided by MOFSL in my trading Account. I have gone through the entire Rights and Obligations document with regards to the same and further confirm that I shall agree and abide by the same.
- Applicable Brokerage, DP Tariff & other charges as applicable to my account is explained to me and I am aware that the same can be modified under intimation to me.

Consent Form

- I authorize Motilal Oswal Financial Service Ltd. (MOFSL) to access my data / information / photograph etc. from UIDAI, for the purpose of opening Trading and Demat Account.
- I hereby confirm that data available in Aadhaar is true, correct and updated. If there will be any modification in Aadhaar data, the same will be intimated to MOFSL separately.
- I confirm that I am an Indian Resident, born in India and my sole country of tax residency is India. I am not US person/Resident of Canada/ countries falling under CRS thus FATCA & CRS Declaration is not applicable to me.
- I hereby give my consent for allowing me to trade in all Exchanges in various permitted Segments i.e. Equity, Mutual Fund, Debt, Equity Derivatives, Currency Segment and Commodity Derivatives. I select these segments as my trading preference for investment / trading purposes. I further understand that I will be permitted to trade in Derivative segment once I submit financial details.

- My Correspondence address is same as Permanent address, which is recorded in Aadhar database.
- I am neither politically exposed person, nor related to one.
- I wish to avail the facility of Internet and Mobile trading and also want to receive all communication, standard documents and Contract Note in electronic form on Email id provided by me at the time of Account opening and mentioned on KRA page.
- I wish to execute Demat Debit and Pledge Instruction (DDPI) Authorisation in favour of MOFSL for the purpose of ease of operation considering the benefits related to the same.
- My primary purpose of dealing in equity is Intraday & investment and primary purpose of dealing in derivative is Trading as well as investment.
- I have no GST registration and GST is not applicable to me.
- There are no action/proceedings initiated/pending/taken by FMC/SEBI/Stock exchange/any other authority against me for dealing in securities markets during the last 3 years.
- I intend to invest in stock/commodity market with Own Funds only. (Not Applicable for Minor)
- I wish to receive DP A/C statement on monthly basis and request to receive Electronic Transaction cum holding Statement at my Email ID.
- I instruct the DP to receive each and every credit in my / our account only.
- I wish to opt for Electronic Access to Securities Information (easi) facilities.
- I wish to receive dividend / interest directly in to my bank account through ECS.
- I would like to share the email id with the Registrar & Transfer Agent (RTA).
- I would like to receive the Annual Report through electronic mode only.
- Currently I do not wish to opt for BSDA scheme although my demat account may be eligible for the same in future and I request MOFSL to continue my demat account in normal scheme as availed by me at the time of account opening / modified (if any) to avail regular DP Services.
- I wish to avail TRUST facility. This facility will allow us to meet the securities settlement obligations by confirming to an SMS received by CDSL to debit my demat account.
- DIS booklet- I do not wish to obtain DIS for time being.
- Authority to retain funds as Running account- I wish to settle with you once in a calendar quarter and not in once in a calendar month. Further I can request for the release of pay-out any time after adjusting all dues towards MOFSL. I am entitled to revoke this Running Account Authorization at any time.
- As per my knowledge, I am not having Trading & Depository Account outside MOFSL. We shall inform to MOFSL separately if the same is opened later.
- I have not been introduced by any Introducer
- I hereby request you to consider the data like Proof of Identity (POI) and Proof of address (POA) and any other information downloaded from other sources like KRA/ CERSAI.
- I hereby confirm that data available with KRA/CERSAI is true, correct and updated. If there will be any modification in above data, the same will be intimated to MOFSL by me.
- I hereby authorise MOFSL to pledge / Repledge my shares/securities with Clearing Member/Clearing Corporation/ Clearing House in accordance with regulatory guidelines.
- I confirm that before esigning the EKYC documents, I have gone through the entire KYC and agree that all my information & details is captured properly on the account opening documents.

| | |
|------------------|--------------------------|
| | Sole/First Holder |
| Client Name | NEELAM YADAV |
| Client Signature | |

Multi Scheme SIP Facility Application Form



Application Details

Sole / 1st Unit holder (as in PAN Card / KYC records)

1st Holder PAN Client Code

SIP Details

| Scheme / Plan / Option / ISIN | Frequency | SIP Date | SIP Enrollment Period | SIP Amount |
|-------------------------------|--|--|---|--|
| <input type="text"/> | <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> H-Yearly <input type="checkbox"/> Yearly <input type="checkbox"/> As & when presented | <input type="text"/> Default SIP Date (1st transaction date of the month as per scheme) | From <input type="text"/> To <input type="text"/> OR <input type="text"/> 2 0 9 9 | ₹ <input type="text"/> in figures <input type="text"/> in words |
| <input type="text"/> | <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> H-Yearly <input type="checkbox"/> Yearly <input type="checkbox"/> As & when presented | <input type="text"/> Default SIP Date (1st transaction date of the month as per scheme) | From <input type="text"/> To <input type="text"/> OR <input type="text"/> 2 0 9 9 | ₹ <input type="text"/> in figures <input type="text"/> in words |

Declaration and Signature (To be signed by ALL UNIT HOLDERS if mode of holding is "joint")

I/We hereby authorize MOFSL and their authorized service provider to debit the above bank account by NACH/Auto Debit Clearing for Collection of SIP payments. I/We understand that the information provided by me/us may be shared with third parties for facilitating transaction processing through NACH/Auto Debit Clearing or for compliance with any legal or regulatory requirements. I/We hereby declare that the particulars given above are correct and complete and express my/our willingness to make payments referred above through participation in NACH/Auto Debit Clearing. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We will also inform, about any changes in my bank account immediately. I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. I/We will also inform, about any changes in my bank account immediately. I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. I/We have read and agreed to the terms and conditions mentioned overleaf. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode.), payable to him for the different competing Schemes of various Mutual Funds from the amongst which the Scheme is being recommend to me/us.

For Terms & Conditions turn overleaf



NACH/ECS/AUTO DEBIT

MANDATE INSTRUCTION FORM

Tick(✓) UMRN Date

Sponsor Bank Code Utility Code

CREATE ☐ I/We hereby authorize **BSE Limited** to debit (tick ✓)

MODIFY ☐ Bank a/c number

CANCEL ☐

With Bank IFSC or MICR

an amount of Rupees ₹

FREQUENCY ☐ Mthly ☐ Qtly ☐ H-Yrly ☐ Yrly ☒ As & when presented DEBIT TYPE ☐ Fixed Amount ☒ Maximum Amount

Reference 1 (Mandate Reference No.) Phone No.

Reference 2 (Unique Client Code-UCC) Email ID

PERIOD From

To

or ☒ Until Cancelled

Signature

Signature

Signature

1. Name 2. Name 3. Name

I/We hereby declare that the particulars given on this mandate are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information. I/We would not hold the Utility / Service provider / Participating Banks responsible. I/We have read the option invitation letter and agree to discharge the responsibility expected of me / us as participant / s under the scheme. I/We authorise use of above mentioned contact details for the purpose of this specific mandate Instruction processing. I/We hereby confirm adherence to terms on this mandate.

Terms & Conditions

These Terms and Conditions, along with the Client Agreement and the DDPI executed by the client in favour of Motilal Oswal Financial Services Limited (MOFSL), is the contract between the Client and MOFSL for availing of the facility. Nothing contained herein shall be construed as derogatory of the terms of the Client Agreement and the DDPI executed by the client. By Availing of the systematic investment plan (SIP) &/or X-SIP facility the client acknowledges having read, understood and accepted their Terms and Conditions.

- 1) The Application Form should be completed in ENGLISH and in BLOCK LETTERS only.
- 2) Any over-writing / changes made while filling the form must be authenticated by canceling the original entry, reentering correct details and ensuring that all applicants counter-sign against each correction.
- 3) Application forms along with supporting documents can be submitted to address : SIP Desk, Motilal Oswal Financial Services Ltd, Palm Spring center, 2nd Floor, New Link Road, Malad (West), Mumbai-400064
- 4) The application should be from registered bank only. In case of bank other than the MOFSL registered bank, the new Bank detail should first get updated in MOFSL Back office
- 5) We offer SIP Facility only in regular Plan. No Direct plan schemes will be processed through this application.
- 6) Unit holders should note that unit holders' details and mode of holding (single, jointly, anyone or survivor) will be as per the Equity Trading Account.
- 7) Investor will not hold MOFSL, its subsidiary and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of NACH Debit/ Local/Bank holiday. MOFSL, its subsidiary and other service providers shall not be held responsible or liable for damages / compensation / loss incurred by the investor as a result of using the SIP or ECS / Auto debt facility. The investor assumes the entire risk of using this facility and takes full responsibility.
- 8) MOFSL reserves the right to reject any application without assigning any reason thereof. MOFSL in consultation with Trustees reserves the right to withdraw these offerings, modify the procedure, frequency, dates, load structure in accordance with the SEBI Regulations/ AMC/Exchange (BSE/NSE) or and any such change will be applicable only to units transacted pursuant to such change on a prospective basis.
- 9) For details about the Scheme and its facility please refer the SID, SAI & KIM of the respective schemes / Addendum issued from time to time carefully before investing.
- 10) Multiple SIP registration facility is available only for those schemes which are offered by BSE StarMF under X-SIP.
- 11) A minimum gap of 30 days and maximum of 90 days needs to be maintained between dates of Application & SIP start date.
- 12) Investor shall have the option of choosing SIP registration Date as per BSE scheme Master.
- 13) If SIP date is not mentioned, default date considered would be 1ST sip Date of every month. If the SIP date falls on a nonbusiness day or a bank holiday, the SIP debit will be processed on the following business day.
- 14) For all schemes, minimum amount Will be as per BSE Master
- 15) The SIP will be discontinued automatically if payment is not received for three consecutive installments.
- 16) In case plan or option is not mentioned on the application form, by default transaction will be executed in Growth Option
- 17) In case SIP Duration or SIP Amount mentioned on the application form is more than X-SIP (NACH Form), in such case by default end period and amount mentioned on NACH Form will be considered.
- 18) Investors can discontinue a SIP at any time by sending a written request to any Official Point of Acceptance or (MOFSL- Malad Address). Notice of such discontinuance should be received at least 40 days prior to the due date of the next installment / debit.
- 19) In case an investor wishes to change the bank account details for the existing SIP registered through NACH Debit mode, then he/she has to provide a cancellation for the existing SIP Mandate and register fresh SIP with the new bank details.
- 20) Mandatory fields for filling NACH Debit / ECS. In case any of these fields are not filled mandate will be rejected. • Instrument date • Account type • Bank account number • Bank name • IFSC and MICR Code (As per the cheque book/Passbook) • Maximum amount (in words and in figures) • SIP start date • end date or until cancelled to be selected • Signatures as per bank records • Name as per bank records • Maximum Amount: It is suggested to choose a higher amount to commence additional investments in future.

B. Permanent residence address of applicant, if different from above A / Overseas Address* (Mandatory for NRI Applicant)

Line 1* _____

Line 2 _____

Line3 _____


City/Town/Village* _____ District* _____ Pin Code* _____

State / U.T Code* _____ Country* _____ ISO-3166 Code _____

Address Type* ☐ Residential/Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

Proof of Address* (attested copy of any 1 POA for correspondence and permanent address each to be submitted)

Certified copy of OVD or equivalent e document of OVD obtained through digital KYC process needs to be submitted. (Any one of the following OVD)

☐ A - Aadhaar Card 

☐ B - Passport Number _____ (Expiry Date) _____

☐ C - Voter ID Card _____

☐ D - Driving License _____ (Expiry Date) _____

☐ E - NREGA Job Card _____

☐ F - NPR Letter _____ (National Population Registerd Letter)

☐ G - EKYC Authentication _____

☐ H - Offline verification of Aadhar _____

☐ Deemed proof of address document type code _____

☐ Self Declaration _____

☐ Z - Others _____ (any document notified by Central Government)

Identification Number _____

3. Contact Details (in CAPITAL) - (All communications will be sent to Mobile No. / Email Id)

Email ID* _____ Mobile No. * _____

Tel (Off) _____ Tel (Res) _____

4. Applicant Declaration

I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it. I/We hereby consent to receiving information from CVL / KRA / CERSAI through SMS/Email on the above registered number/Email address. I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

Applicant e-SIGN

DATE: _____

PLACE: _____

5. For Office Use Only

| In-Person Verification (IPV) carried out by* | | Intermediary Details* |
|--|--|--|
| Name of the Organization | | <input type="checkbox"/> Self certified document copies received (OVD) <input type="checkbox"/> True Copies of documents received (Attested) AMC / Intermediary Name / Code : 1100010900 CERSAI Code : IN0269 |
| NSE / BSE / MCX / NCDEX - AP Registration Number | | |
| Person name doing IPV / docs / PAN verification | | Motilal Oswal Financial Services Ltd |
| Designation / MOFSL Employee code | | |
| Date of IPV / Document Verification | | |
| Date of PAN Verification with IT Site | | |
| Signature of the Person | | |

Joint Applicant Details (3rd Holder)



Motilal Oswal Financial Services Limited Know Your Client (KYC) Application Form (For Individuals Only)



Please fill the form in ENGLISH and in BLOCK letters
Fields marked * are mandatory
Fields marked + are pertaining to CKYC and mandatory only if processing CKYC also

Application No. _____

KYC No. _____ (Mandatory for KYC update request)

Application Type*: ☐ New KYC ☐ Modification KYC ☐ Minor

KYC Mode*: Please Tick (✓)

☐ Normal ☐ EKYC OTP ☐ EKYC Biometric ☐ Online KYC ☐ Offline EKYC ☐ Digilocker

1. Identity Details (please refer guidelines overleaf)

PAN* _____

Name* (same as ID proof) _____

Maiden Name+ (if any) _____

Fathers/Spouse's Name* _____

Mother Name (Optional) _____

Date of Birth* _____

Gender* ☐ Male ☐ Female ☐ Transgender

Marital Status* ☐ Single ☐ Married

Nationality* ☐ Indian ☐ Other _____

Residential Status* ☐ Resident Individual ☐ Non Resident Indian
☐ Foreign National ☐ Person of Indian Origin+

PHOTOGRAPH

Please affix
the recent passport
size photograph and
sign across it

Please Tick (✓) (Passport mandatory for NRIs and Foreign Nationals. PIO selection is only for CKYC and not for KRA KYC. Select NRI or Foreign National based on Nationality of the individual)

Proof of Identity (POI) submitted for PAN exempted cases (Please tick)

☐ A - Aadhaar Card ☒ ☒ ☒ ☒ ☒ ☒ ☒ ☒ ☒ ☒

☐ B - Passport Number _____ (Expiry Date) _____

☐ C - Voter ID Card _____

☐ D - Driving License _____ (Expiry Date) _____

☐ E - NREGA Job Card _____

☐ F - NPR _____

☐ G - EKYC Authentication _____

☐ H - Offline verification of Aadhar _____

☐ Z - Others _____ (any document notified by Central Government)

Identification Number _____

2. Address Details* (please refer guidelines overleaf)

A. Correspondence/ Local Address*

Line 1* _____

Line 2 _____

Line3 _____

City/Town/Village* _____ District* _____ Pin Code* _____

State / U.T Code* _____ Country* _____ ISO-3166 Code _____

Address Type* ☐ Residential/Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

NOTE: For list, of two digit State/UT & list of ISO3166, kindly visit
www.motilaloswal.com / Download Option

Applicant e-SIGN




B. Permanent residence address of applicant, if different from above A / Overseas Address* (Mandatory for NRI Applicant)

Line 1* _____
Line 2 _____
Line3 _____
City/Town/Village* _____ District* _____ Pin Code* _____
State / U.T Code* _____ Country* _____ ISO-3166 Code _____
Address Type* ☐ Residential/Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

Proof of Address* (attested copy of any 1 POA for correspondence and permanent address each to be submitted)

Certified copy of OVD or equivalent e document of OVD obtained through digital KYC process needs to be submitted. (Any one of the following OVD)

☐ A - Aadhaar Card 
☐ B - Passport Number _____ (Expiry Date) _____
☐ C - Voter ID Card _____
☐ D - Driving License _____ (Expiry Date) _____
☐ E - NREGA Job Card _____
☐ F - NPR Letter _____ (National Population Registerd Letter)
☐ G - EKYC Authentication _____
☐ H - Offline verification of Aadhar _____
☐ Deemed proof of address document type code _____
☐ Self Declaration _____
☐ Z - Others _____ (any document notified by Central Government)
Identification Number _____

3. Contact Details (in CAPITAL) - (All communications will be sent to Mobile No. / Email Id)

Email ID* _____ Mobile No. * _____
Tel (Off) _____ Tel (Res) _____

4. Applicant Declaration

I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it. I/We hereby consent to receiving information from CVL / KRA / CERSAI through SMS/Email on the above registered number/Email address. I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

Applicant e-SIGN

DATE: _____

PLACE: _____

5. For Office Use Only

| In-Person Verification (IPV) carried out by* | | Intermediary Details* |
|--|--|--|
| Name of the Organization | | <input type="checkbox"/> Self certified document copies received (OVD) <input type="checkbox"/> True Copies of documents received (Attested) AMC / Intermediary Name / Code : 1100010900 CERSAI Code : IN0269 |
| NSE / BSE / MCX / NCDEX - AP Registration Number | | |
| Person name doing IPV / docs / PAN verification | | Motilal Oswal Financial Services Ltd |
| Designation / MOFSL Employee code | | |
| Date of IPV / Document Verification | | |
| Date of PAN Verification with IT Site | | |
| Signature of the Person | | |

Voluntary Document

Date: 21-02-2026

To,
Motilal Oswal Financial Services Ltd. (MOFSL)
Palm Spring Centre,
2nd Floor, Palm Court Complex,
New Link Road, Malad (West),
Mumbai- 400 064.



Sub: Declaration of Additional Names as per other valid documents

Dear Sir / Madam,

With regards to captioned subject I / We Mr. / Ms. NEELAM YADAV is made aware that my / our trading / demat account with Motilal Oswal Financial Services Ltd. (MOFSL) shall be opened in my / our name as per income tax website and the same shall be updated in Regulatory records by MOFSL.

Besides name as per income tax site, I / We also wish to update / register one of my / our below mentioned additional name in your records which are also acceptable and are valid as per applicable statutes for which necessary documents are provided by me / us.

- Name as per Aadhaar: NEELAM YADAV
- Name as per Bank: NEELAM YADAV
- Name as per PAN Card: NEELAM YADAV
- Name as per Voter ID Card: _____
- Name as per Passport: _____
- Name as per Driving License: _____
- Name as per Marriage Certificate: _____
(In case of females)

| | Sole/First Holder |
|------------------|--|
| Client Name | NEELAM YADAV |
| Client Signature |   |

Client Code : _____

DECLARATION

Motilal Oswal Financial Services Limited

To,
Motilal Oswal Financial Services Ltd.
2nd Floor, Palm Spring Centre, Palm Court Complex,
New Link Road, Malad (West), Mumbai - 400 064

For the purpose of Account Opening, I/ We hereby declare that the details provided on the KRA/KYC page downloaded from KRA System by you, are true and correct till date. I/We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/We are aware that I/We may be held liable for it.

Trading / Demat Account

I/We hereby acknowledge the receipt of a copy of executed Client Registration Form including KYC details, Tariff sheet, Nomination Registration details, Policies & Procedures, Standard Documents (i.e. Rights & Obligation Document, Risk Disclosure Document, Guidance Note- Dos and Donts.) I/We aware that Standard Documents are made available on Company Website i.e. www.motilaloswal.com

"I NEELAM YADAV hereby confirm that all the details / information for opening of trading / demat account opening through MOFSL are provided by me and are correct. Further all the supporting documents including my vet signature under my e-sign is provided by me for the above purpose. I hereby give my consent to affix my vet signature in the Account opening document and other related documents for the purpose of my account activation."

| | First/Sole Holder | Second Holder | Third Holder |
|--------------------|-------------------|---------------|--------------|
| Client's Name | NEELAM YADAV | | |
| Client's Signature | | | |
| | 22/22 | | |

Place: KARBI ANGLONGDate: 21 day of 02 20 26**FOR OFFICE USE ONLY**

UCC Code allotted to the Client:

Registered Date

1. A) Client Interviewed by**B) In person verification done by****2. Document Verified with original**
☐ (Original Verified) True Copy of documents received


☐ (Self - Attested) Self Certified documents copies received

| | |
|---|----------------------------------|
| Name of the Organization | PLEASE AFFIX RUBBER STAMP & SIGN |
| NSE / BSE / MCX/ NCDEX - AP Registration Number | |
| Person name doing IPV / docs / PAN verification | |
| Designation / MOFSL Employee code | |
| Date of IPV / Document Verification | |
| Date of PAN Verification with IT Site | |
| Signature of the Person | |

I/We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD, 'Do's and Dont's' and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

Place Date

For Motilal Oswal Financial Services Limited



Authorised Signatory

Undertaking

To,
Motilal Oswal Financial Services Ltd. (MOFSL)
Palm Spring Centre,
2nd Floor, Palm Court Complex,
New Link Road, Malad (West),
Mumbai- 400 064.

I, NEELAM YADAV hereby confirm that I am not associated nor related to any of the entities listed in UNSC/OFAC list as on date as per below link -<http://www.un.org/securitycouncil/content/un-sc-consolidated-list>

I fully understand and am aware that giving false declaration is an offence and can result in certain action including the rejection of application for opening the account and/or deactivation / freezing of my account.

| | |
|------------------|---|
| | Sole/First Holder |
| Client Name | NEELAM YADAV |
| Client Signature |  |

PAN No: AIWPY6547N
Date: 21-02-2026

Please [click here](#) to download Standard Documents for DP, Equities, Derivatives & Commodities

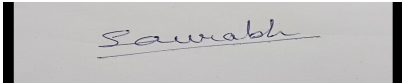
Neelon
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For Trading & Demat Account
IPV / Document verification confirmation



I / we hereby declare that I / we have carried In-person verification (IPV) / verified the documents for below mentioned Client for opening of trading / demat account with Motilal Oswal Financial Services Ltd. (MOFSL) and also verified the documents provided with original documents like PAN Card, Bank proof, Income proof and other supporting documents provided by the client:-

Below mentioned Authorised Person (AP) / Branch hereby declare that they have referred following client to MOFSL and client will be dealing through AP / branch, post account activation and they shall be completely responsible for all the transactions of customer.

| | |
|---|---|
| Client Name | NEELAM YADAV |
| Client PAN / Code | AIWPY6547N |
| Name of the Organization & SEBI Registration No. | Motilal Oswal Financial Services Ltd. (INZ000158836 (BSE/NSE/MCX/NCDEX) IN-DP-16-2015 (CDSL / NSDL) |
| MOFSL's AP / Branch Registration No. | RSAURABHMI / INZ000158836 |
| Person Name doing IPV / docs / PAN verification | SAURABH PATHAK |
| Designation / Employee code doing IPV / docs / PAN verification | Sales Executive |
| Date of IPV / Document Verification | 21-02-2026 |
| Date of PAN verification with IT site | 21-02-2026 |
| Signature of the Person |  |

Date

Place

Neelam