



# 12. Normal Mapping and Tessellation

Advanced  
Game Graphic Programming  
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# Bumpy surfaces



## High-resolution polygon mesh

- Brick walls and paved grounds have bumpy surface.
- To produce the realistic result, high-resolution (frequency) mesh is required.

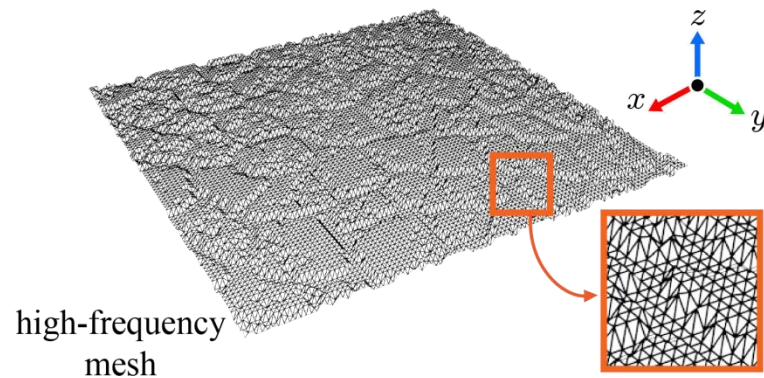
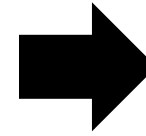


image  
texture

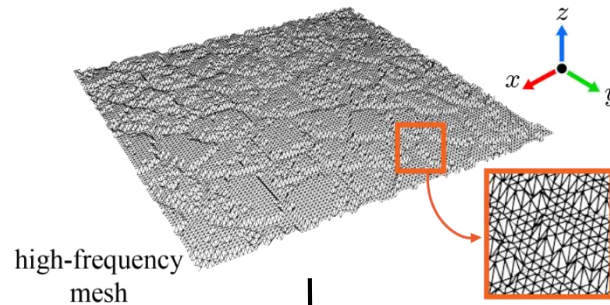


# Bumpy surfaces

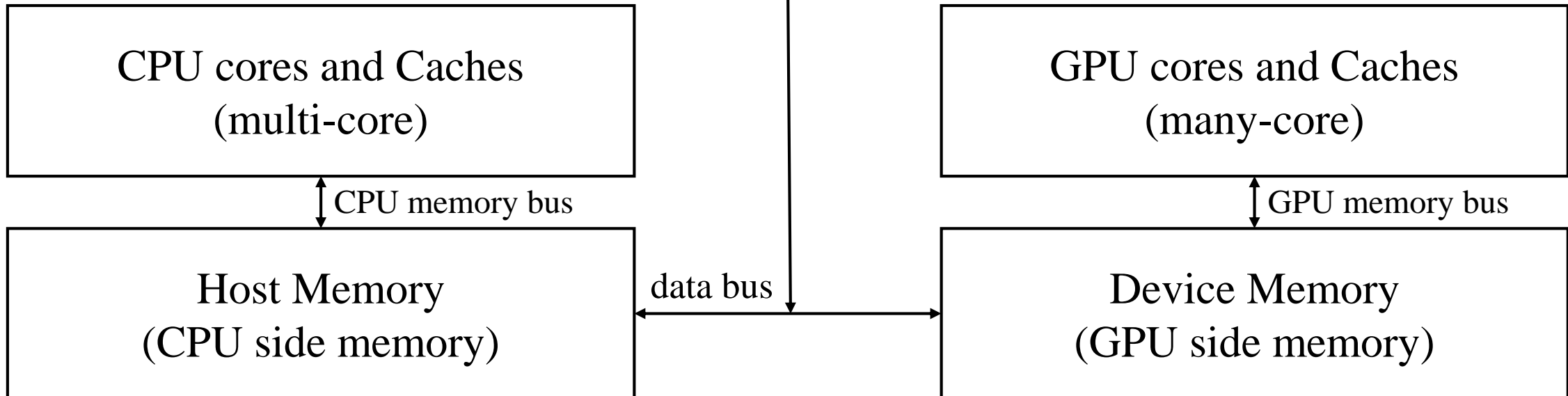


## High-resolution polygon mesh

- Unfortunately, high-resolution meshes are expensive to render.
  - More vertices need to be transferred from CPU to GPU.
  - More vertices need to be processed in the graphics pipeline.



high-frequency  
mesh



# Bumpy surfaces



## Low-resolution polygon mesh

- Low-resolution meshes are cheaper to render.
- If they are textured with the paved-ground image, it looks not bad.

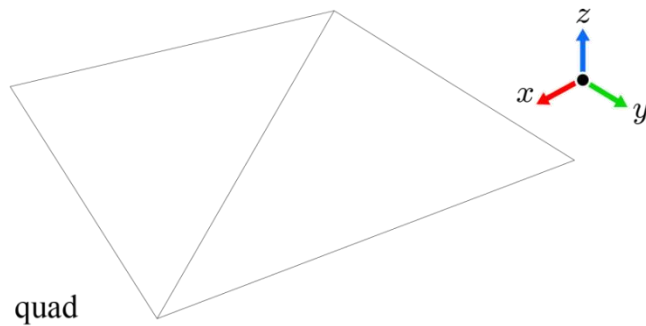
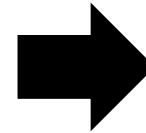


image  
texture





# Bumpy surfaces

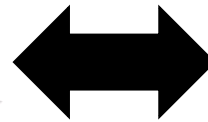


## High-resolution mesh vs. Low-resolution mesh

- However, low-resolution meshes do not properly expose the bumpy features even though they are textured with the paved-ground image.
- This is due to the normal.



high-resolution mesh



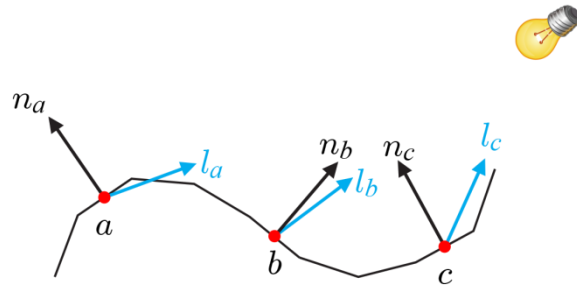
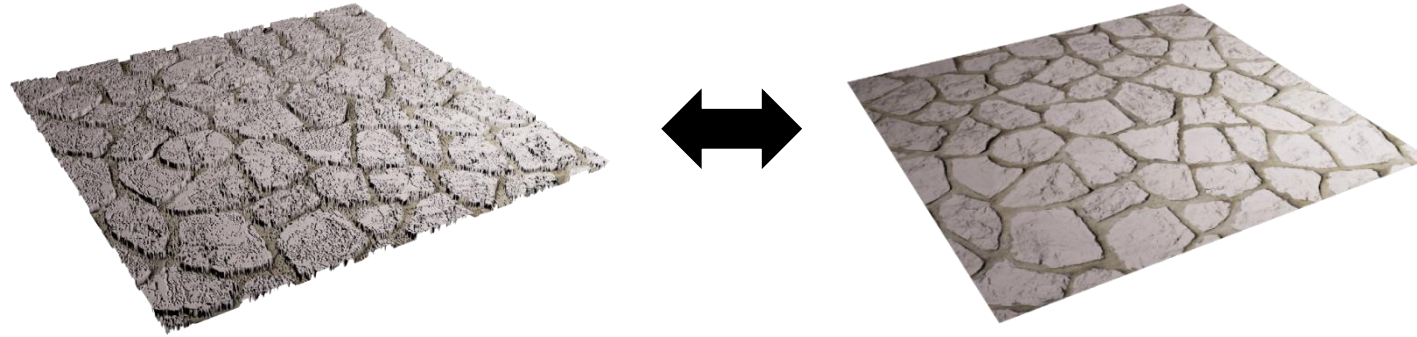
low-resolution mesh

# Bumpy surfaces

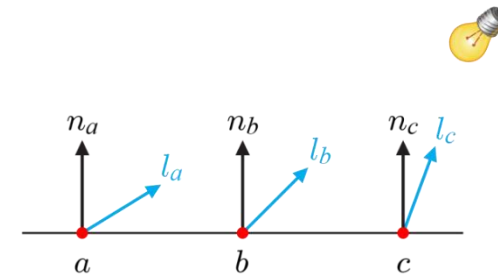


## High-resolution mesh vs. Low-resolution mesh

- The normal data difference is the reason.



high-resolution mesh



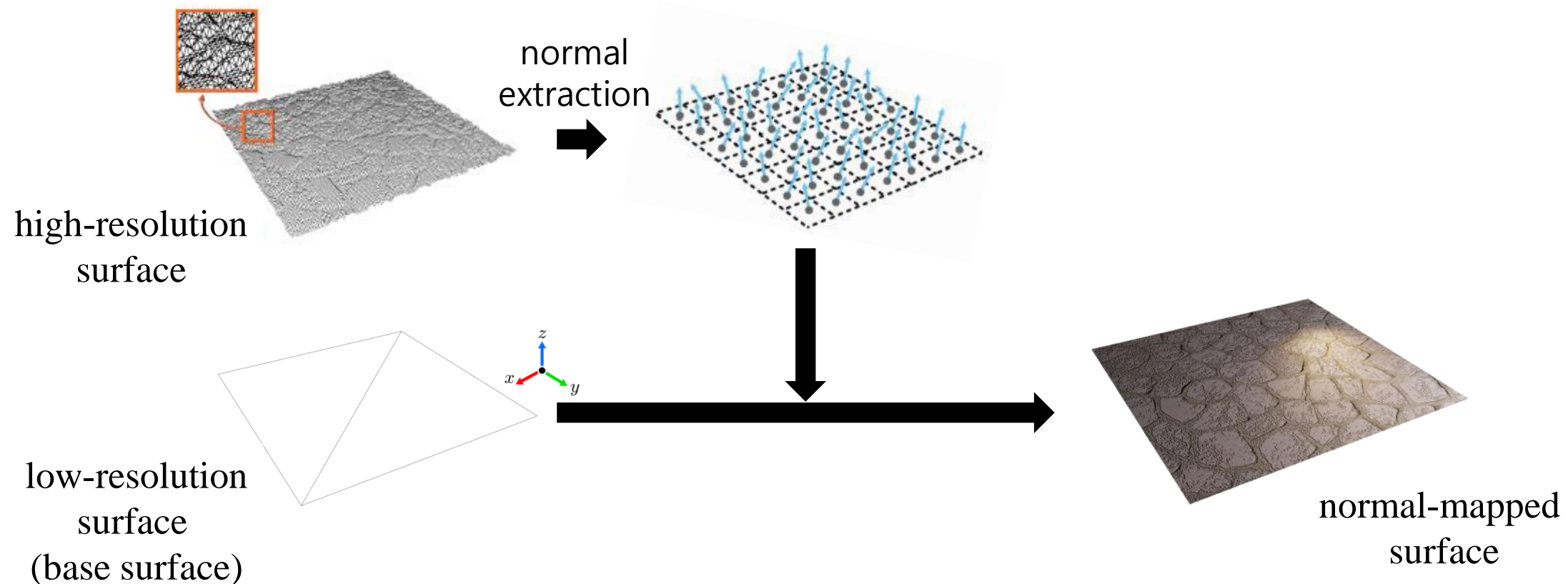
low-resolution mesh

# Normal Mapping



## Pre-computed normal

- A way out of this dilemma is to *pre-compute* and *store* the normal of the high-resolution surface into a special texture named *normal map*.
- A normal map can be used at run time for lighting with a lower-resolution mesh which we call *base surface*.



# Normal Mapping

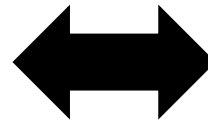


Normal-mapped surface vs. low-resolution surface

- Without increasing the number of vertices, rendering quality is increased.



normal-mapped surface



low-resolution surface



# Normal Mapping Generation



## Image to height map

- Simple image-editing operations can create a gray-scale image (height map) from an image texture.
- Height map is often visualized in gray scale.
  - If the height is in the integer range  $[0, 255]$ , the lowest height 0 is colored in black, and the highest 255 is colored in white.

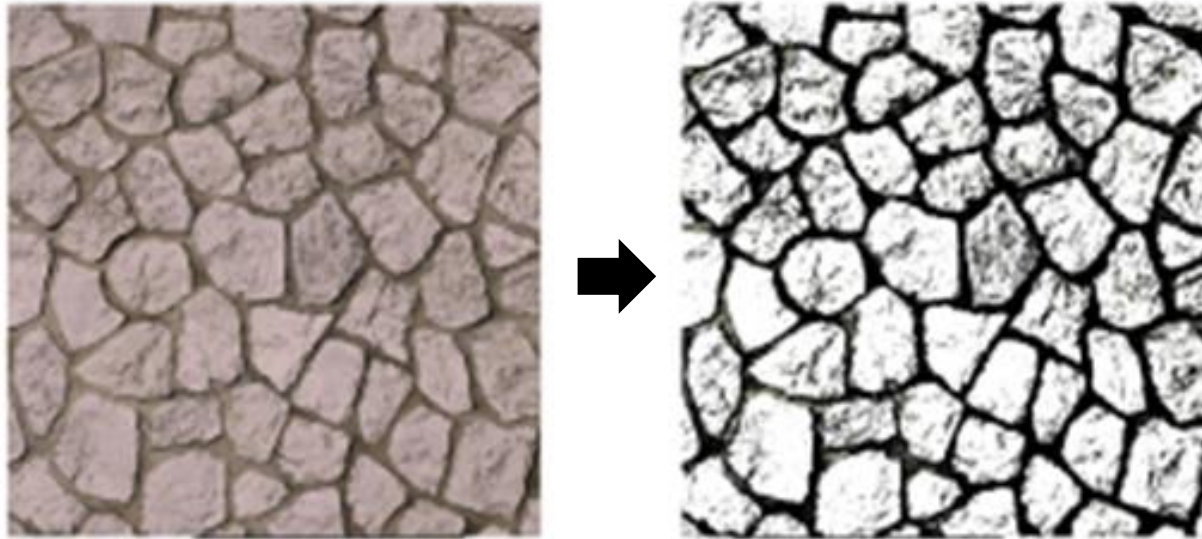


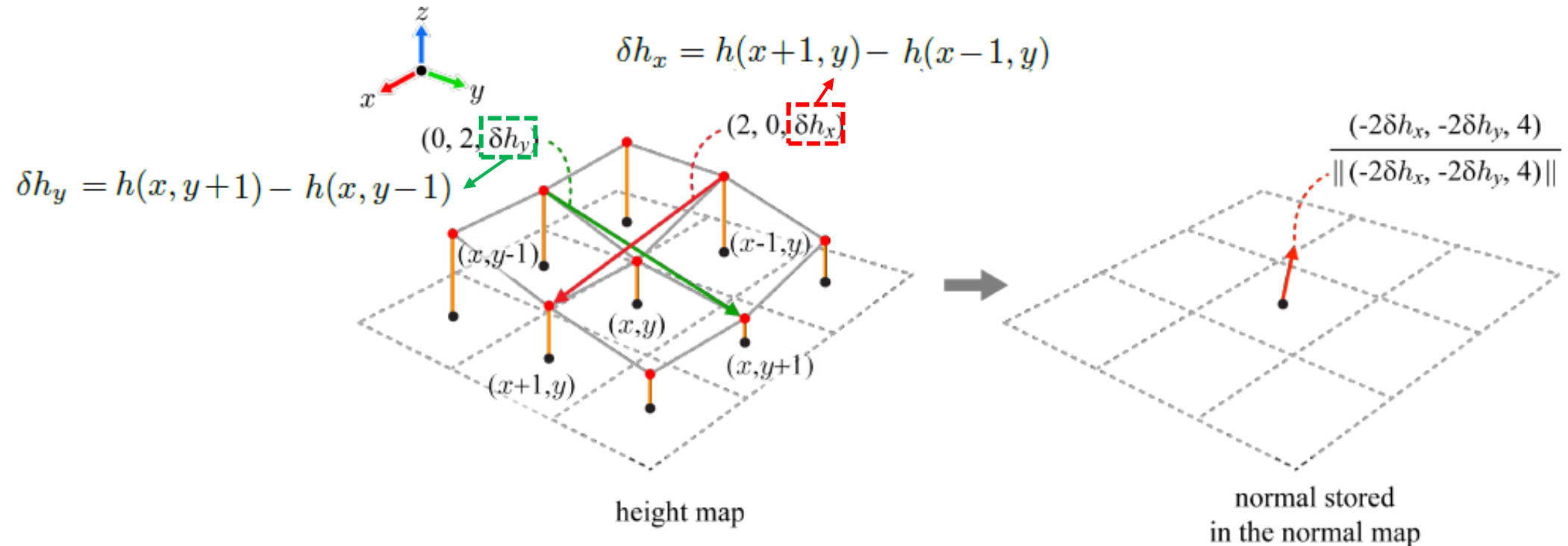
image to height map

# Normal Mapping Generation



## Height map to normal map

- With a height map, we can create a normal map.
- The normal at  $(x, y, h(x, y))$ , where  $h(x, y)$  represents the height at  $(x, y)$ , can be determined by using the heights of its neighbors (cross product of red and green vectors in the figure).

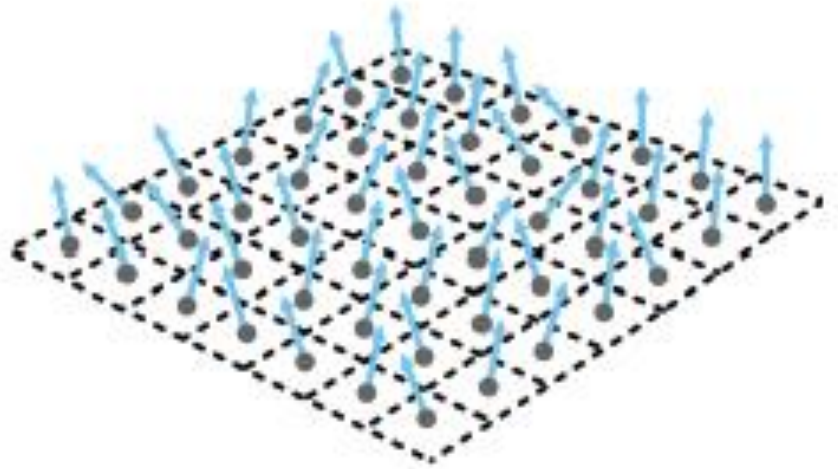


# Normal Mapping Generation

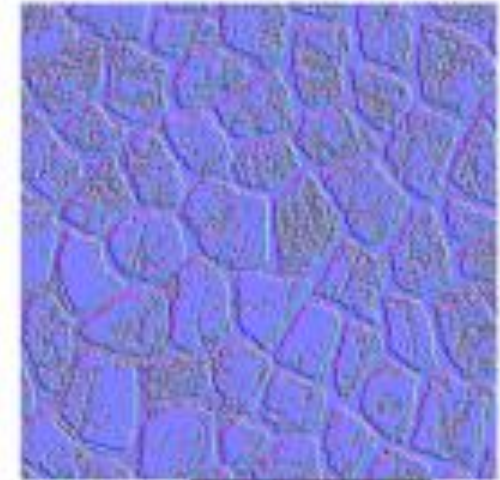


## Normal map visualization

- Each component of a normal can be obtained a floating-point  $(n_x, n_y, n_z)$  in the range of  $[-1, 1]$ .
- In order to store the normal in a texture, where each RGB component is in the range of  $[0, 1]$ , we need a range conversion.



$$\begin{aligned} R &= (n_x + 1)/2 \\ G &= (n_y + 1)/2 \\ B &= (n_z + 1)/2 \end{aligned}$$

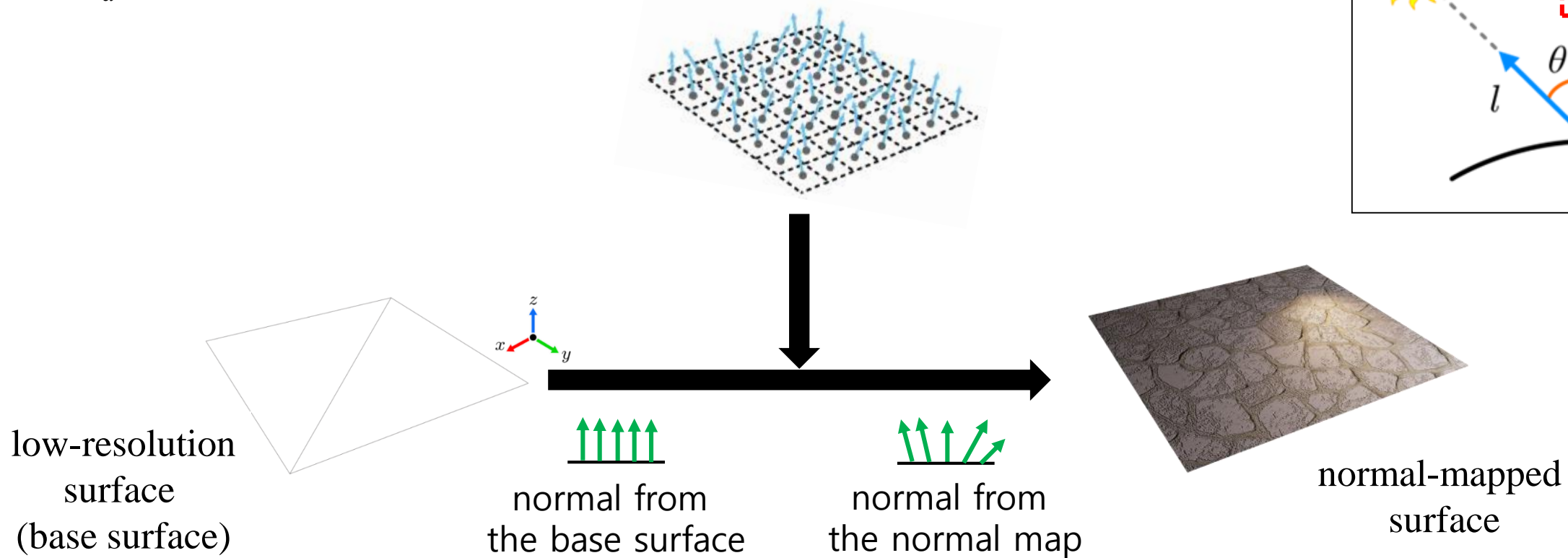
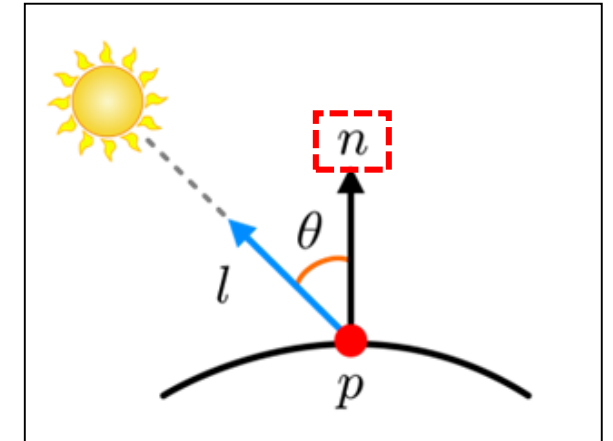


# Normal Mapping

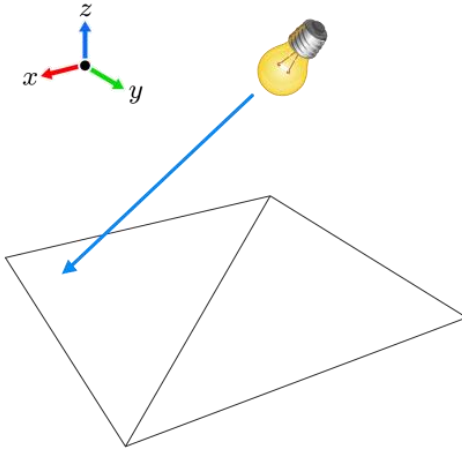
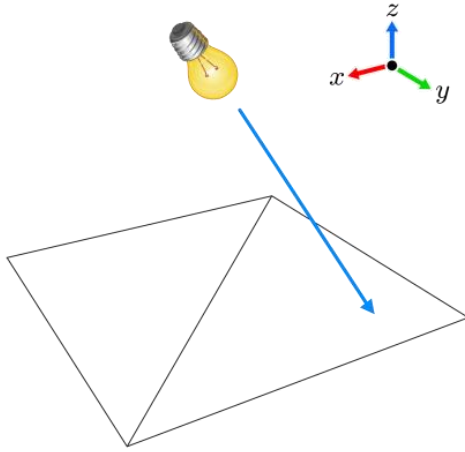






## How to use normal map?

- The polygon mesh is rasterized and texture coordinates  $(s, t)$  are used to access the normal map.
- The normal at  $(s, t)$  is obtained by filtering the normal map.
- Consider the diffuse reflection term,  $\max(n \cdot l, 0) s_d \otimes m_d$ .
- The normal  $n$  is fetched from the normal map.
- $m_d$  is fetched from the image texture.





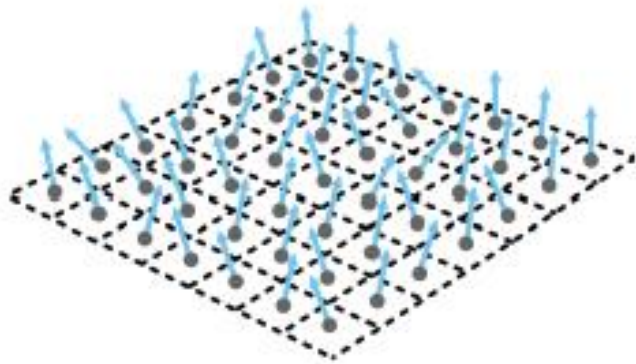
	example 1	example 2
a quad lit by a point light		
image texturing only		
image texturing + normal mapping		

# Tangent-space Normal Mapping

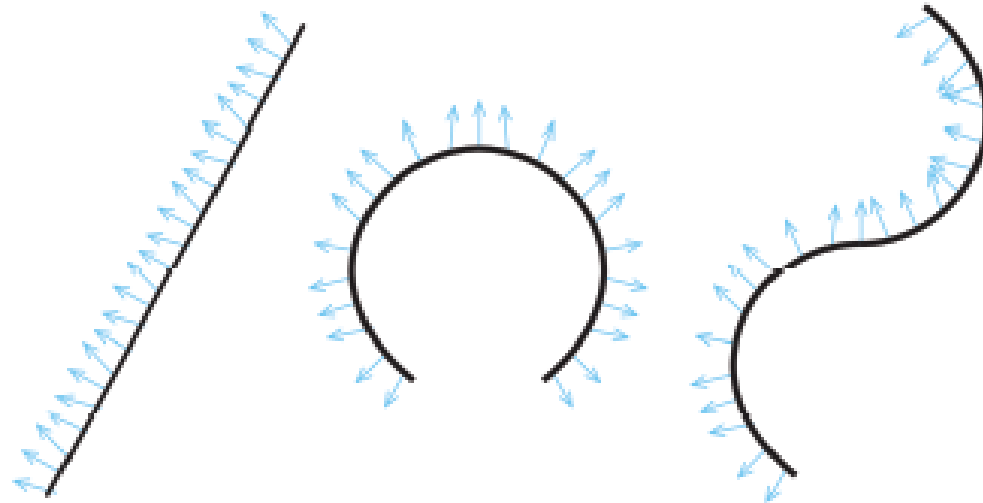
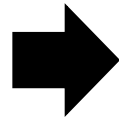


Normal mapping = texturing

- Recall that texturing is described as wrapping a texture onto an object surface.
  - We should be able to paste it to various surfaces.
- In the same manner, we should be able to paste normal maps to various surfaces.



||

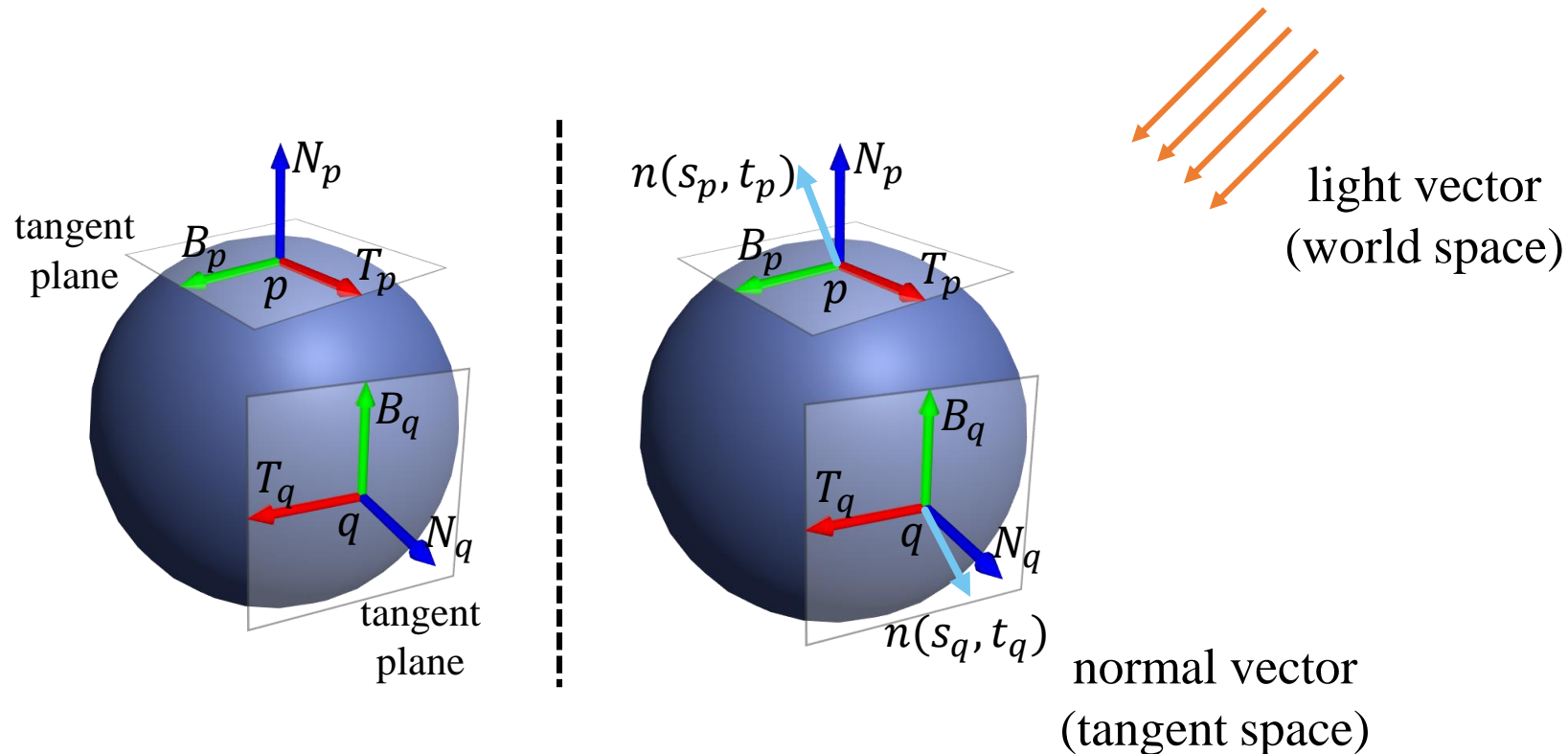


# Tangent-space



## Shaders for tangent-space normal mapping

- In the previous slide, we invoked  $\text{dot}(\text{normal}, \text{light})$  to achieve a normal mapping.
- However, it does not work in general because *normal* is a *tangent-space vector* but *light* is a *world-space vector*.



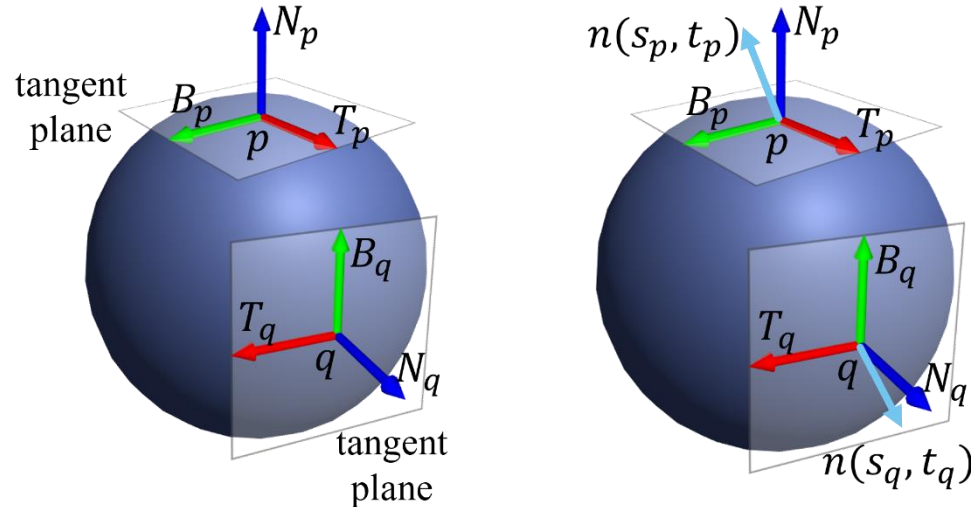
# Tangent-space Normal Mapping



## Tangent space

- For a surface point, consider a tangent space that is defined by three orthonormal vectors:

- $T$  (for tangent)
- $B$  (for bitangent)
- $N$  (for normal)



- The normal fetched from the normal map using  $q$ 's texture coordinates,  $(s_q, t_q)$ , is denoted as  $n(s_q, t_q)$ .
  - Without normal mapping,  $N_q$  would be used for lighting.
  - In normal mapping, however,  $n(s_q, t_q)$  replaces  $N_q$ , which is  $(0, 0, 1)$  in the tangent space of  $q$ .
- Whatever surface point is normal-mapped, the normal fetched from the normal map is considered to be defined in the tangent space of that point.



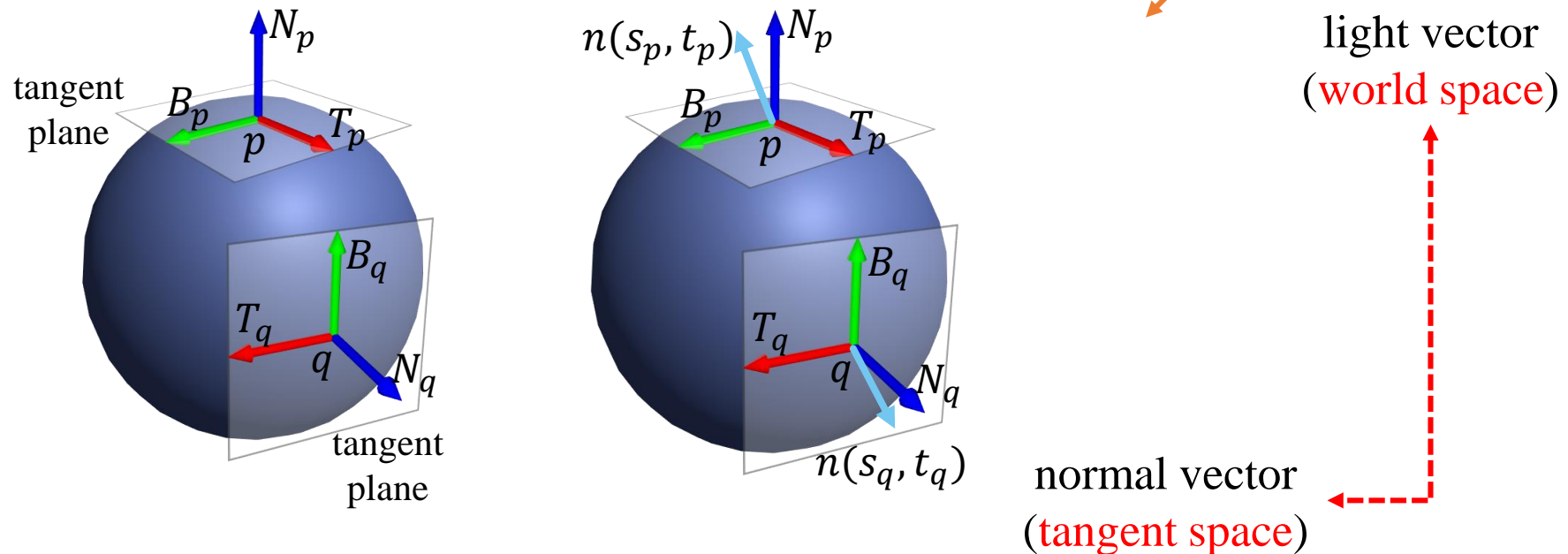
# Tangent-space



Two options to resolve the inconsistency between two vectors:

- Transform normal into the world space.
- Transform light into the tangent space.

We will take the second option.

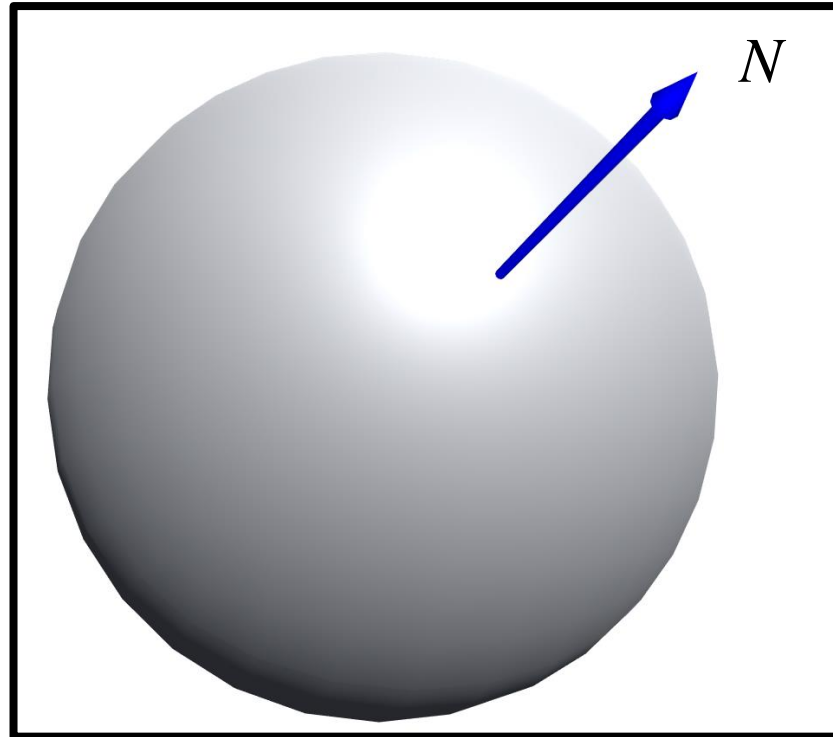


# Tangent-space



The basis of tangent space  $\{T, B, N\}$

- Vertex normal  $N$  – defined per vertex at the modeling stage.
- Tangent  $T$  – needs to be computed
- Bitangent  $B$  – needs to be computed

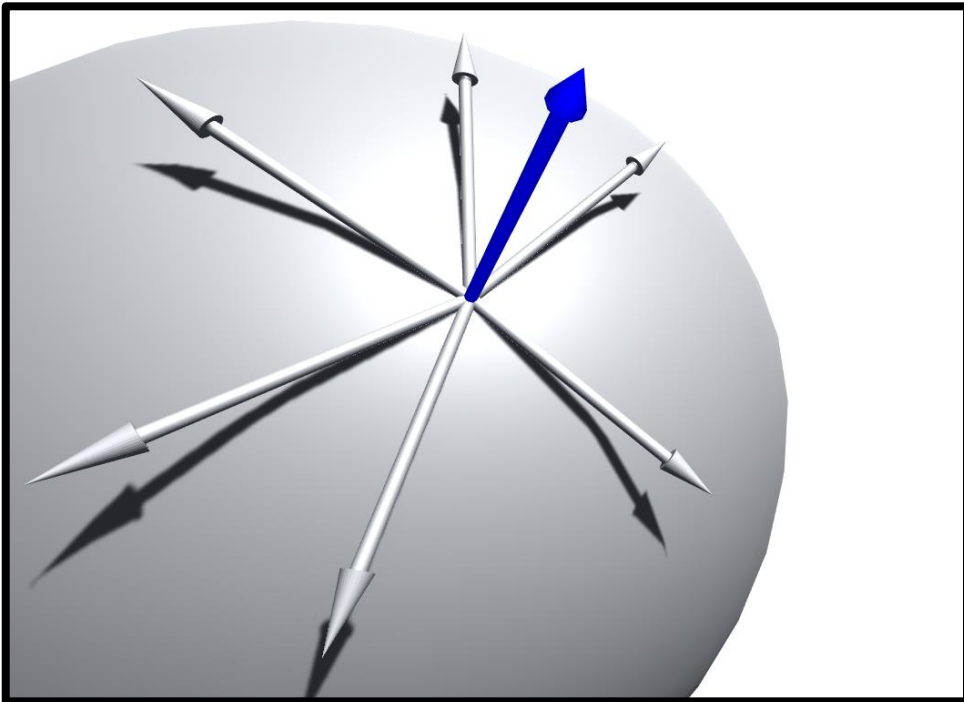


# Tangent-space

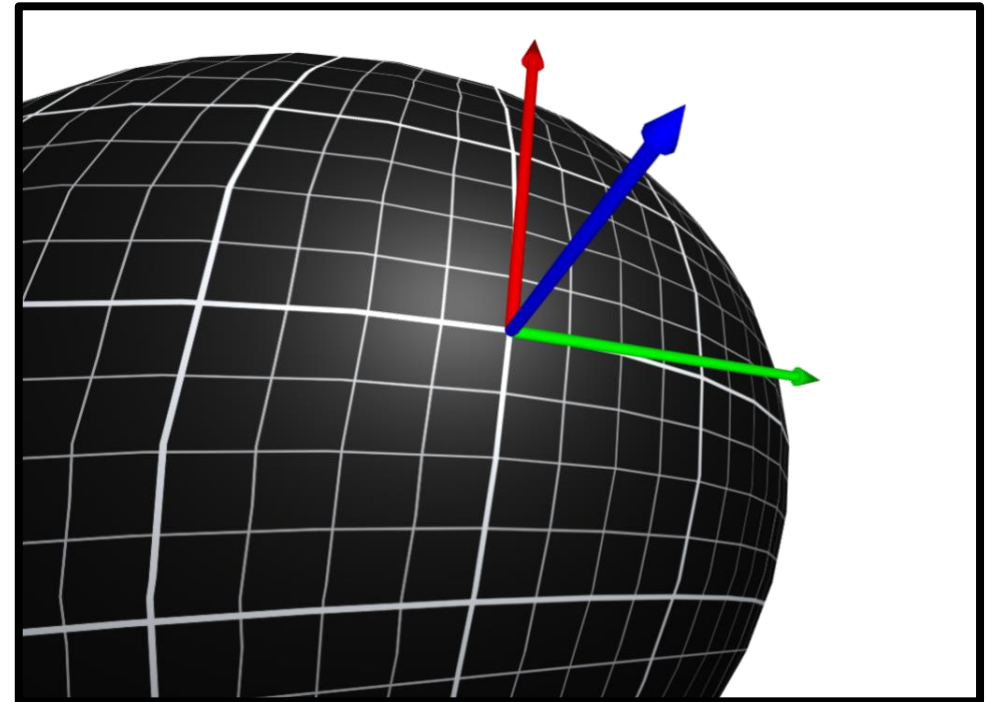


The basis of tangent space  $\{T, B, N\}$

- Next we need a tangent,  $T$ : a vector parallel to the surface.
  - But there are many such vectors.
- The standard method is to orient the tangent in the same direction that the texture coordinates.



there are many vectors that are parallel to the surface



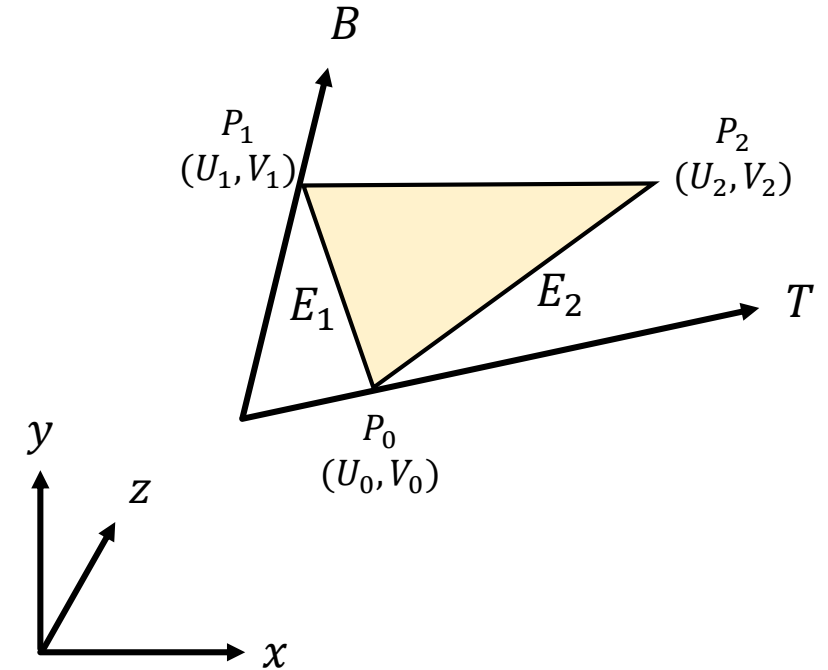
texture coordinate basis =  $T$  and  $B$  vectors

# Tangent-space



The basis of tangent space  $\{T, B, N\}$

- Let's take a look at the triangle with three vertices at positions  $P_0, P_1$ , and  $P_2$  and texture coordinates  $(U_0, V_0)$ ,  $(U_1, V_1)$ , and  $(U_2, V_2)$ .
- The two edges  $E_1$  and  $E_2$  can be written as a linear combination of  $T$  and  $B$ :
  - $E_1 = (U_1 - U_0)T + (V_1 - V_0)B$
- This can also be written as
  - $(E_{1x}, E_{1y}, E_{1z}) = \Delta U_1(T_x, T_y, T_z) + \Delta V_1(B_x, B_y, B_z)$
  - $(E_{2x}, E_{2y}, E_{2z}) = \Delta U_2(T_x, T_y, T_z) + \Delta V_2(B_x, B_y, B_z)$
- Now, we can make matrix:
  - $$\begin{bmatrix} E_{1x} & E_{1y} & E_{1z} \\ E_{2x} & E_{2y} & E_{2z} \end{bmatrix} = \begin{bmatrix} \Delta U_1 & \Delta V_1 \\ \Delta U_2 & \Delta V_2 \end{bmatrix} \begin{bmatrix} T_x & T_y & T_z \\ B_x & B_y & B_z \end{bmatrix}$$
- Then we can calculate  $T$  and  $B$ :
  - $$\begin{bmatrix} \Delta U_1 & \Delta V_1 \\ \Delta U_2 & \Delta V_2 \end{bmatrix}^{-1} \begin{bmatrix} E_{1x} & E_{1y} & E_{1z} \\ E_{2x} & E_{2y} & E_{2z} \end{bmatrix} = \begin{bmatrix} T_x & T_y & T_z \\ B_x & B_y & B_z \end{bmatrix}$$





# Tangent-space Normal Mapping



Consider the diffuse term of the Phong lighting model.

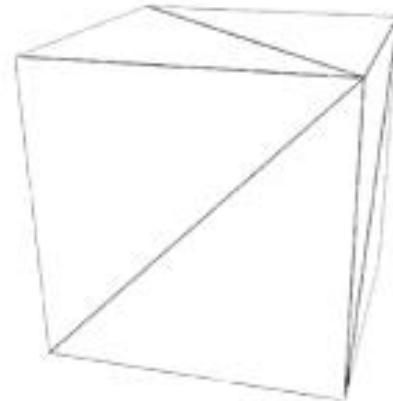
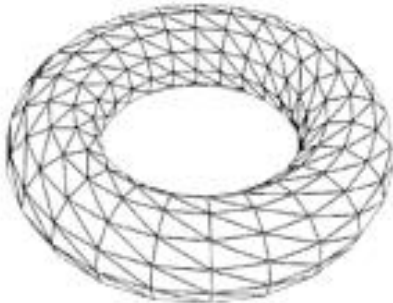
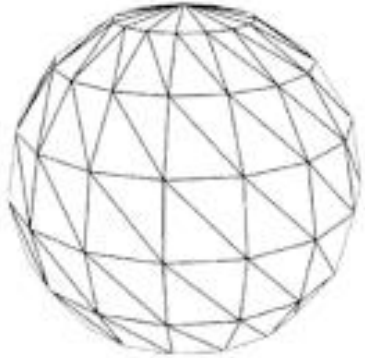
$$\boxed{\max(n \cdot l, 0) s_d \otimes m_d} + (\max(r \cdot v, 0))^{s_h} s_s \otimes m_s + s_a \otimes m_a + m_e$$

- A light source is defined in the world space, and so is  $l$ .
- In contrast,  $n$  fetched from the normal map is defined in the tangent space.
- To resolve this inconsistency,  $n$  has to be transformed into the world space, or  $l$  has to be transformed into the tangent space.
- Typically, the per-vertex *TBN*-basis is pre-computed, is stored in the vertex array and is passed to the vertex shader.
- The vertex shader first transforms  $T$ ,  $B$ , and  $N$  into the world space and then constructs a matrix with the world-space  $T$ ,  $B$ , and  $N$ .
- It rotates the world-space light vector into the per-vertex tangent space.

# Tangent-space Normal Mapping



## Results



# Tangent-space Normal Mapping



## Results



# Tangent-space Normal Mapping



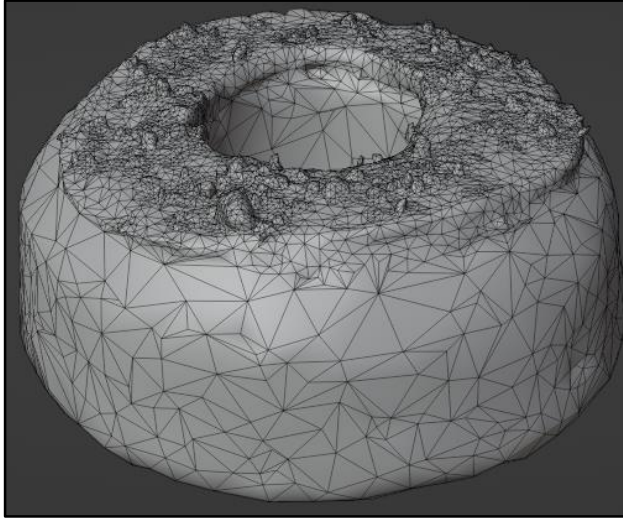
## Comparison

wireframe

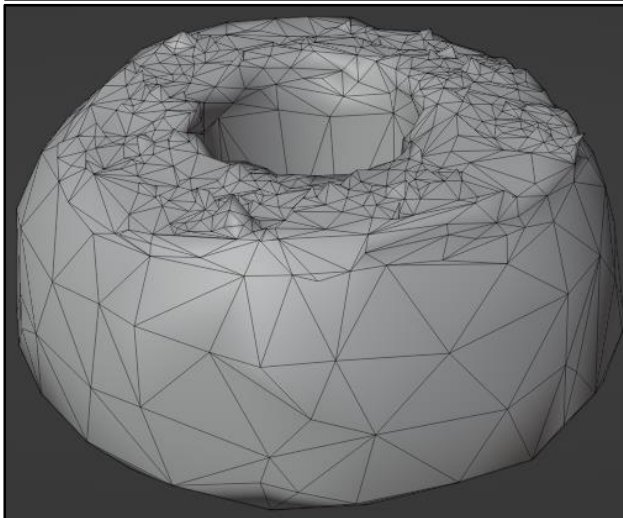
rendering w/o normal mapping

rendering with normal mapping

original mesh  
6,895 triangles



simplified mesh  
and normal mapping  
689 triangles





# Normal Mapping Discussion



Depending on the use case, normal maps can be implemented in tangent or world spaces.

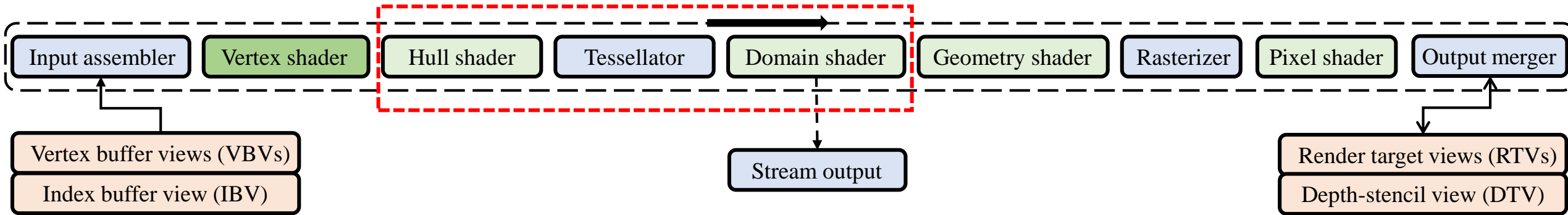
- Normal mapping discussed in our lecture is tangent normal map.
  - Advantages are as follows:
    - This is object-independent normal map, which means they can be reused across different objects, as they don't rely on the object's orientation or position in the world.
    - This is useful when creating asset libraries, or when different instances of an object appear in different orientations.
    - Furthermore, tangent space normal maps work well with deforming surfaces, such as a character's skin, because the normals are calculated relative to the surface of the object itself, and not relative to the world.
  - Disadvantages are as follows:
    - However, they need to calculate and maintain a consistent tangent space and add complexity to both the model creation process and to the shading computation.
    - They have issues with seams where UV coordinates split or where geometry is mirrored.

# Hardware Tessellation



## Hardware tessellation

- Hardware tessellation enables the GPU to decompose a primitive into a large number of smaller ones.
- GPU tessellation involves two new programmable stages and a new hard-wired stage.
  - The Hull Shader and Domain Shader.
  - The tessellation primitive generator called tessellator.



# Displacement Mapping



## Displacement Mapping

- In normal mapping, the underlying geometry of the base surface is not altered.
- The combination of displacement mapping and tessellation technique can resolve the problem.
  - Tessellation hardware tessellates the base surface first.
  - Then, tessellated vertices are displaced along the displacement vector.



base  
model



normal  
mapping



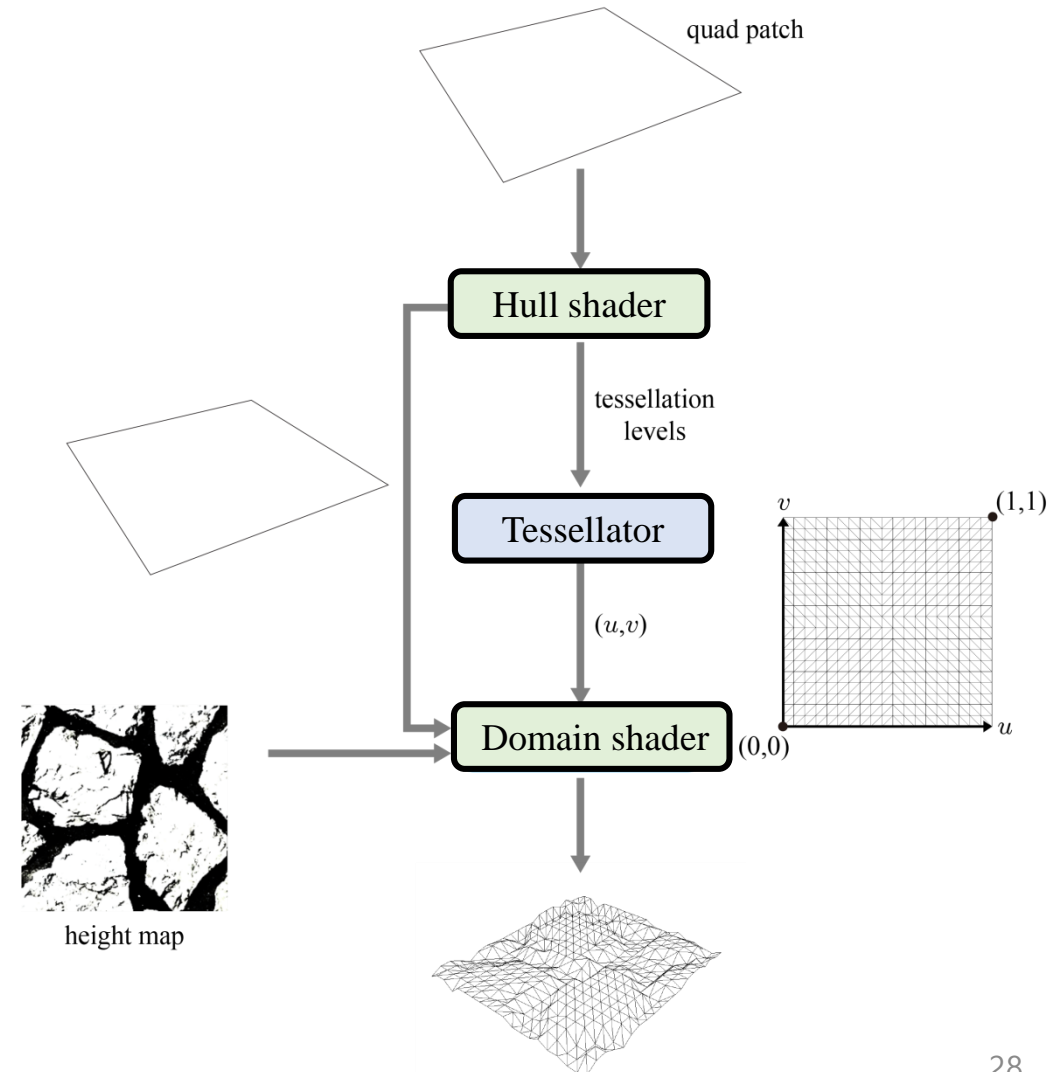
displacement  
mapping

# Displacement Mapping



## Displacement Mapping

- The input is called a *patch* or *base surface*. It is either a triangle or a quad.
- For the paved-ground example, the Hull shader takes a quad as the base surface and passes it to the Domain shader.
- The Hull shader determines the *tessellation levels* and passes them to the tessellator, which accordingly tessellates the domain of the quad into a 2D triangle mesh.
- Running once for each vertex of the 2D mesh, the Domain shader takes the quad as a bilinear patch, evaluates a point using  $(u, v)$ , and displaces it using the height map.



# Vertex Shader and Hull Shader



## VS & HS

- Vertex shader no longer handles the space change.
  - In the previous lecture, the major roll of vertex shader is to compute positions of vertices.
  - When implementing tessellation, the clip-space vertex position will be computed by the Domain shader instead of the vertex shader.
- Hull shader declares the state required by the tessellator.
  - The state includes information such as the number of control points, the type of patch face and the type of partitioning to use when tessellating.
  - Tessellation factors are also determined in this stage.

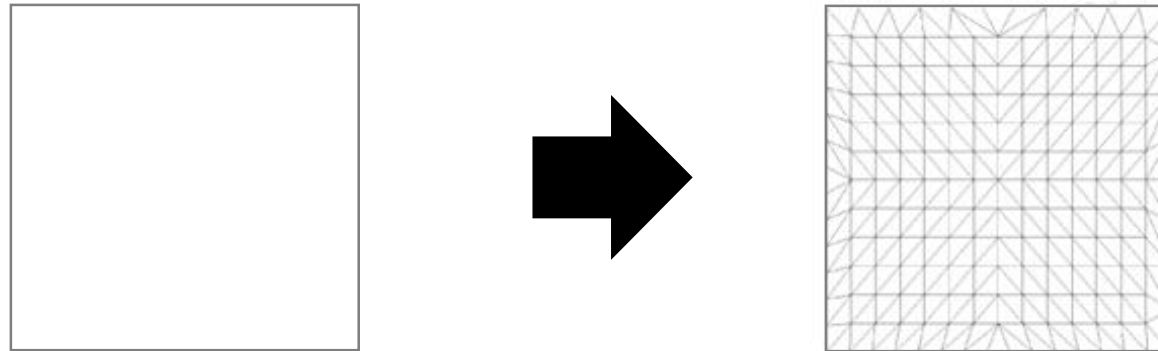


# Tessellator



## Tessellator

- Tessellator (or primitive generator) subdivides a patch and generates small generics represented by barycentric coordinates.
- Tessellator is similar to the vertex shader since it always has a single input (the barycentric coordinate) and a single output (the vertex).
- Tessellator cannot generate more than one vertex per invocation nor drop the vertex.

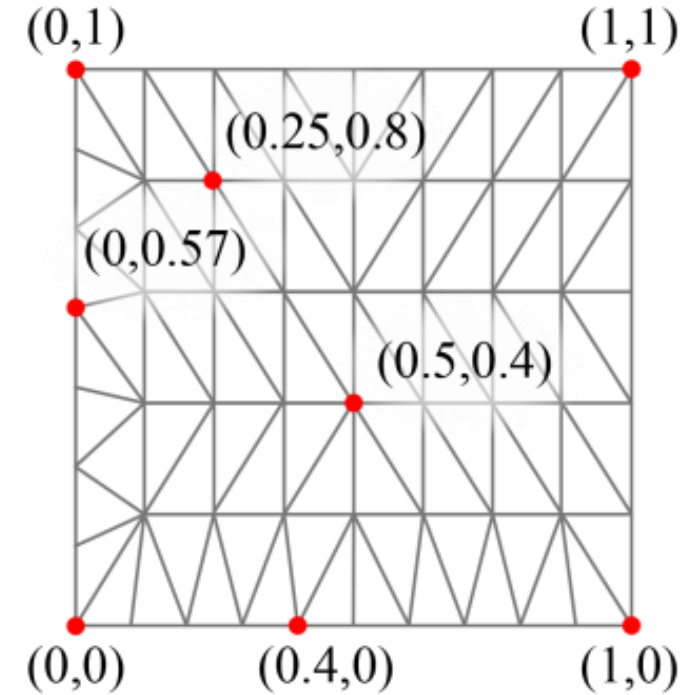
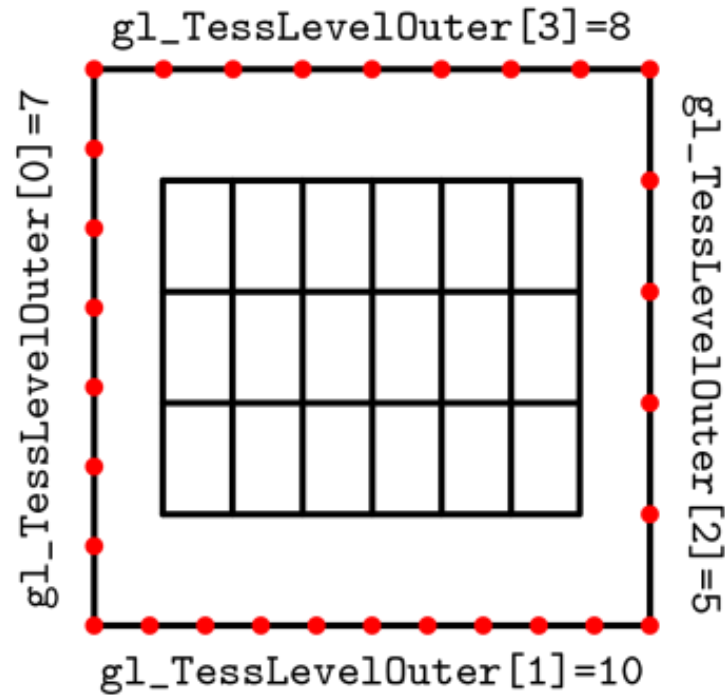
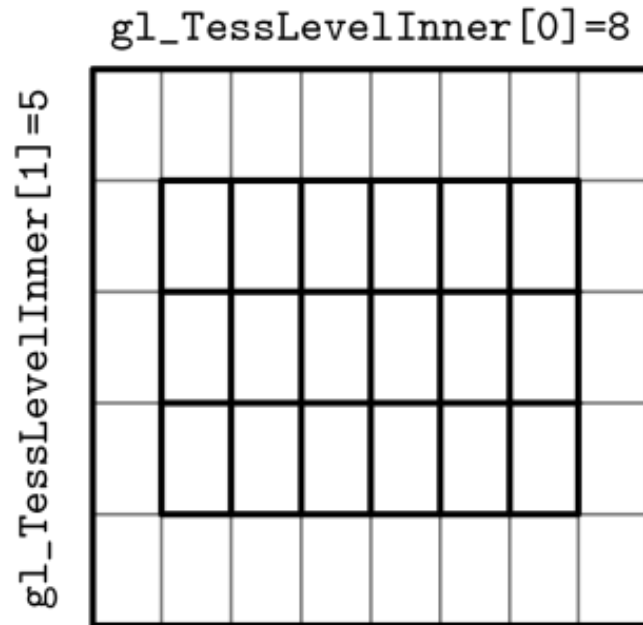


# Tessellator



## Tessellator

- Inner and outer tessellation levels.

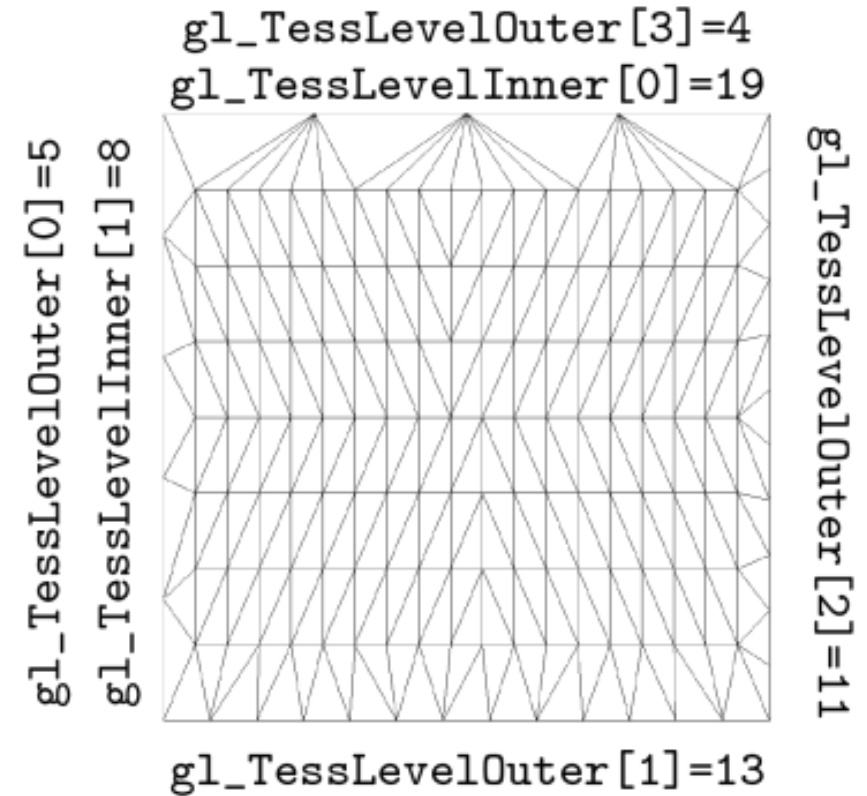
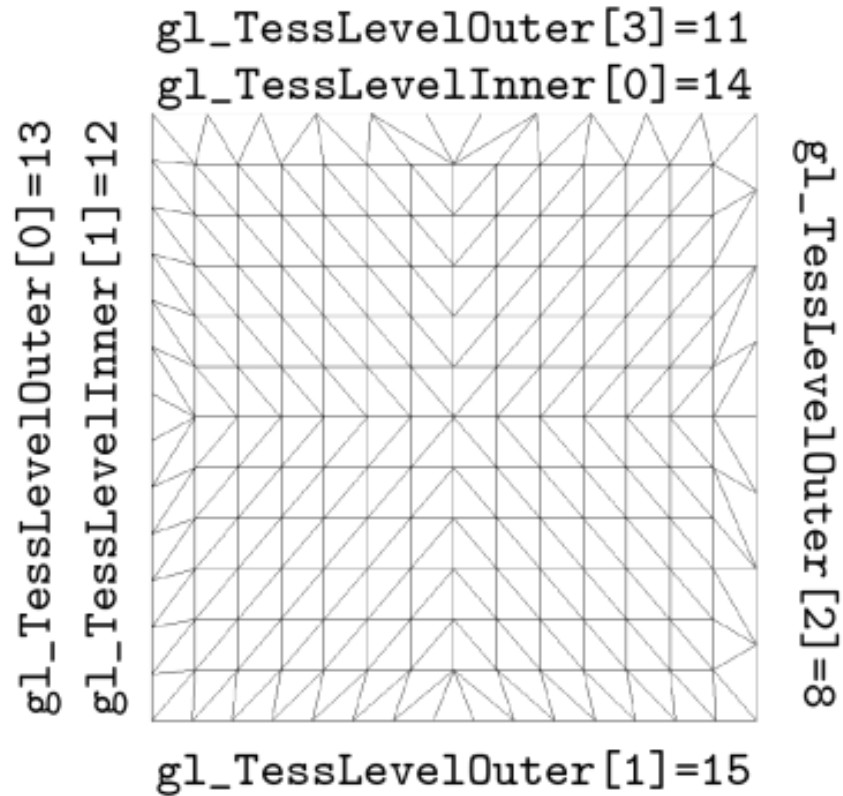


# Tessellator



## Tessellator

- Inner and outer tessellation levels.

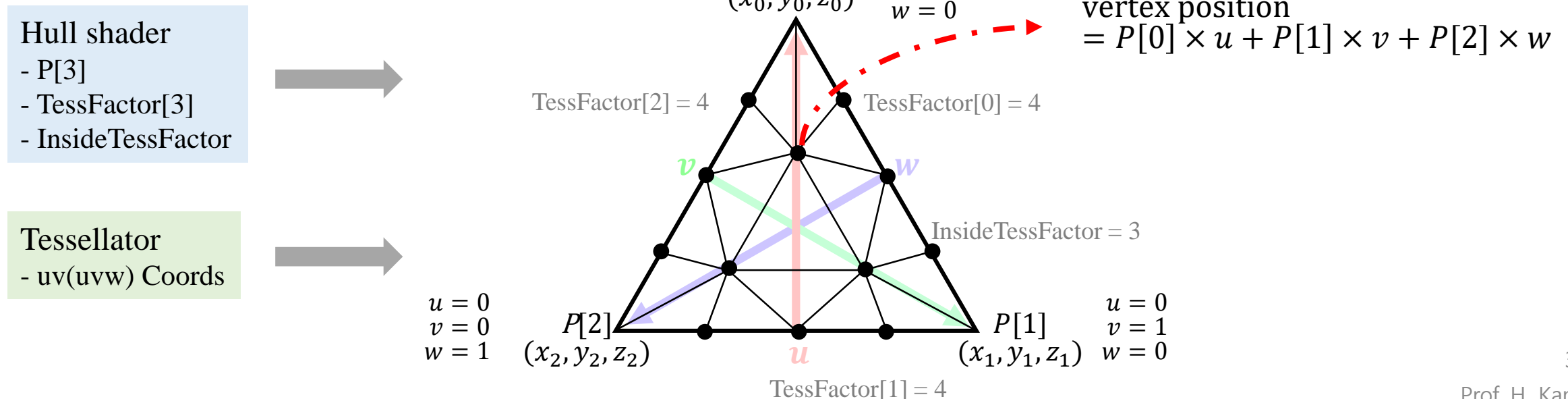


# Domain Shader



## Domain shader

- The Domain shader takes vertex positions passed from the Hull shader, as the control points of a bilinear patch.
- Using  $(u, v, w)$ , the patch is evaluated to return a 3D point.
- The texture coordinates are bilinearly interpolated in the same manner.



# Displacement Mapping



## Results

- Figure on the right shows a large paved ground generated with displacement mapping.
- The base surface is composed of 16 quads, (a).
- A quad is tessellated into 722 triangles, (b).
- Using a height map, the vertices of the tessellated mesh are vertically displaced, (c).
- The high-frequency mesh is shaded, (d).

