

**Definition.** Let  $c$  be a number in the domain  $\mathbb{D}$  of a function  $f$ . Then  $f(c)$  is the absolute maximum value of  $f$  on  $\mathbb{D}$  if  $f(c) \geq f(x)$  for all  $x$  in  $\mathbb{D}$ .  $f(c)$  is the absolute minimum value of  $f$  on  $D$  if  $f(c) \leq x$  for all  $x$  in  $\mathbb{D}$ .

Maximum and minimum values of  $f$  are called extreme values of  $f$ .

**Definition.** The number  $f(c)$  is a local maximum value of  $f$  if  $f(c) \geq f(x)$  when  $x$  is near  $c$ .  $f(c)$  is the local minimum value of  $f$  if  $f(c) \leq f(x)$  when  $x$  is near  $c$ .

### Increasing and decreasing test

(i) If  $f'(x) > 0$ , on an interval, then  $f$  is increasing on that interval.

(ii) If  $f'(x) < 0$ , on an interval, then  $f$  is decreasing on that interval.

**Fermat's theorem.** If  $f$  has a local maximum or minimum at  $c$ , and  $f'(c)$  exists, then  $f'(c) = 0$ .

**Critical number.** A critical number of a function  $f$  is a number  $c$  in the domain of  $f$  such that either  $f'(c) = 0$  or  $f'(c)$  does not exist.

**Result.** If  $f$  has a local maximum or minimum at  $c$ , then  $c$  is a Critical number of  $f$ .

**First derivative test.** Suppose that  $c$  is a critical number of a continuous function  $f$ .

(i) If  $f'$  changes from positive to negative at  $c$ , then  $f$  has a local maximum at  $c$ .

(ii) If  $f'$  changes from negative to positive at  $c$ , then  $f$  has a local minimum at  $c$ .

(iii) If  $f'$  is positive to the left and right of  $c$  or negative to the left and right of  $c$ , then  $f$  has no local maximum or minimum at  $c$ .

**Second derivative test.** Suppose  $f''$  is continuous near  $c$ .

(i) If  $f'(c) = 0$  and  $f''(c) > 0$ , then  $f$  has a local minimum at  $c$ .

(ii) If  $f'(c) = 0$  and  $f''(c) < 0$ , then  $f$  has a local maximum at  $c$ .

**Example** Find the intervals on which the function  $f(x) = \sin x + \cos x$  is increasing or decreasing.

**Solution.**  $f(x) = \sin x + \cos x$ .

$$f'(x) = \cos x - \sin x.$$

$$f''(x) = -\sin x - \cos x.$$

At critical points  $f'(x) = 0$

$$\text{i.e., } \cos x - \sin x = 0.$$

$$\text{i.e., } \sin x = \cos x.$$

$$\text{i.e., } \tan x = 1.$$

$$\therefore x = \frac{\pi}{4}, \frac{5\pi}{4}.$$

Let us evaluate the intervals at which  $f$  decrease or increases.

Interval	$f'(x)$	$f$
$0 < x < \frac{\pi}{4}$	+	increases in $(0, \frac{\pi}{4})$
$\frac{\pi}{4} < x < \frac{5\pi}{4}$	-	decreases in $(\frac{\pi}{4}, \frac{5\pi}{4})$
$\frac{5\pi}{4} < x < 2\pi$	+	increases in $(\frac{5\pi}{4}, 2\pi)$

Since  $f'$  changes from positive to negative at  $x = \frac{\pi}{4}$ ,

$f$  has a maximum at  $x = \frac{\pi}{4}$ .

$$\text{Maximum value of } f = f\left(\frac{\pi}{4}\right) = \sin \frac{\pi}{4} + \cos \frac{\pi}{4} = \frac{1}{\sqrt{2}} + \frac{1}{\sqrt{2}} = \sqrt{2}.$$

Also  $f'$  changes from negative to positive at  $x = \frac{5\pi}{4}$ .

$$\text{Minimum value of } f = f\left(\frac{5\pi}{4}\right) = \sin \frac{5\pi}{4} + \cos \frac{5\pi}{4} = -\frac{1}{\sqrt{2}} - \frac{1}{\sqrt{2}} = -\sqrt{2}.$$

**Example** Find the intervals on which the function  $f(x) = x^4 - 2x^2 + 3$  is increasing or decreasing. Also find the local maximum and minimum values of  $f$  by using first derivative test.

**Solution.**  $f(x) = x^4 - 2x^2 + 3$ .

$$f'(x) = 4x^3 - 4x = 4x(x^2 - 1) = 4x(x - 1)(x + 1).$$

Critical numbers occur at points where  $f'(x) = 0$

$$\text{i.e., } 4x(x - 1)(x + 1) = 0.$$

$$x = -1, x = 0, x = 1.$$

The critical numbers are  $x = -1, x = 0, x = 1$ .

Let us evaluate the intervals at which  $f$  decreases or increases.

Interval	$4x$	$x - 1$	$x + 1$	$f'(x)$	$f$
$x < -1$	-	-	-	-	decreases in $(-\infty, -1)$
$-1 < x < 0$	-	-	+	+	increases in $(-1, 0)$
$0 < x < 1$	+	-	+	-	decreases in $(0, 1)$
$x > 1$	+	+	+	+	increases in $(1, \infty)$

Since  $f'$  changes from negative to positive at  $x = -1$ ,  
 $f$  has a local minimum at  $x = -1$ .

Minimum value of  $f = f(-1) = 1 - 2 + 3 = 1$ .

Also  $f'$  changes from positive to negative at  $x = 0$ .

$\therefore f$  has a maximum at  $x = 0$ .

Maximum value of  $f = f(0) = 3$ .

Again  $f'$  changes from negative to positive at  $x = 1$ .

$\therefore f$  has a local minimum at  $x = 1$ .

Minimum value of  $f = 1 - 2 + 3 = 2$ .

**Example** Using first derivative test, examine for maximum and minimum of the function  $f(x) = x^3 - 3x + 3$ ,  $x \in \mathbb{R}$ .

**Solution.**  $f(x) = x^3 - 3x + 3$ .

$$f'(x) = 3x^2 - 3 = 3(x^2 - 1) = 3(x - 1)(x + 1).$$

Critical numbers occur at points where  $f'(x) = 0$ .

$$\text{i.e., } 3(x - 1)(x + 1) = 0.$$

$$\text{i.e., } x = -1, x = 1.$$

The critical numbers are  $x = -1, 1$ .

Let us evaluate the intervals at which  $f$  decreases or increases.

Interval	$x - 1$	$x + 1$	$f'(x)$	$f$
$x < -1$	-	-	+	increases in $(-\infty, -1)$
$-1 < x < 1$	-	+	-	decreases in $(-1, 1)$
$x > 1$	+	+	+	increases in $(1, \infty)$

Since  $f'$  changes from positive to negative at  $x = -1$ .

$f$  has a local maximum at  $x = -1$ .

$\therefore$  Maximum value of  $f = f(-1) = (-1)^3 - 3(-1) + 3 = -1 + 3 + 3 = 5$ .

Also  $f'$  changes from negative to positive at  $x = 1$ .

$\therefore f$  has a local minimum at  $x = 1$ .

$\therefore$  Minimum value of  $f = f(1) = 1^3 - 1 \times 3 + 3 = 1 - 3 + 3 = 1$ .

**Example** Find the maximum and minimum of the function  $f(x) = 2x^3 - 3x^2 - 36x + 10$ . by using **Second derivative test**.

**Solution.**  $f(x) = 2x^3 - 3x^2 - 36x + 10$ .

$$f'(x) = 6x^2 - 6x - 36.$$

$$f''(x) = 12x - 6.$$

Critical points occur at  $f'(x) = 0$ .

$$\text{i.e., } 6x^2 - 6x - 36 = 0.$$

$$\therefore x^2 - x - 6 = 0.$$

$$\therefore (x - 3)(x + 2) = 0.$$

$$\therefore x = -2, x = 3.$$

The critical points are at  $x = -2, x = 3$ .

$$\text{At } x = -2, f''(x) = 12 \times (-2) - 6 = -24 - 6 = -30 = -ve$$

$\therefore f$  is maximum at  $x = -2$ .

Maximum value of

$$f = 2(-2)^3 - 3(-2)^2 - 36(-2) + 10 = -16 - 12 + 72 + 10 = 54.$$

$$\text{At } x = 3, f''(x) = 12 \times 3 - 6 = 36 - 6 = 30 = +ve.$$

$\therefore f$  has minimum at  $x = 3$ .

$\therefore$  Minimum value of

$$f = f(3) = 2 \times 3^3 - 3 \times 3^2 - 36 \times 3 + 10 = 54 - 27 - 108 + 10 = -71.$$