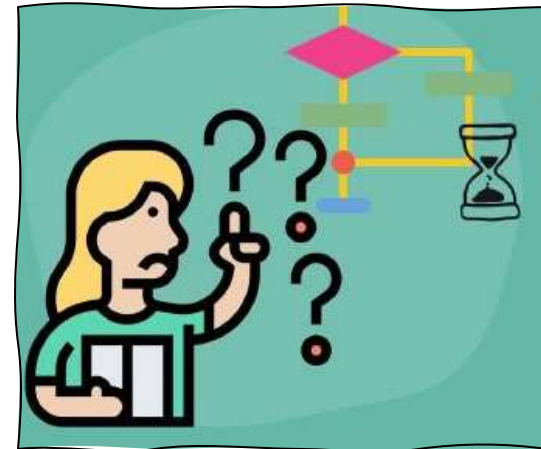


# Algorithm Analysis

## *Lecture 2*



# Recap Lecture 1

## Data structures has wide applications

- Design an **efficient** search engine, as good as Google's
- Build an **efficient** security system based on face recognition
- Understand the human genome and trace your ancestry

**Data structures are a key for designing efficient algorithms**

What is an efficient algorithm ?  
How do we know that algorithm is efficient ?



# Recap Lecture 1

We need to analyze algorithm in term of time (efficiency)

- Independent of Hardware, OS, and compiler

Introduced the concept of  $T(n)$  ...**logical time unit**

- Consider each operation (comparison, assignment) as a program step
- To keep stuff simple we consider each operation cost is 1 unit of time

*A sequence of operations:*

```
count = count + 1;
```

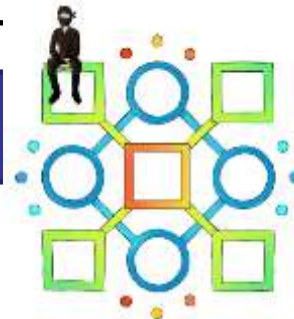
1 unit of time

```
sum = sum + count;
```

1 unit of time

→ Total Cost = 2

Don't count comments and declarations



# Algorithm Analysis

Estimate the performance of an algorithm through

The number of operations required to process an input of certain size

Algorithm	Cost (time complexity)
<pre>i=0; sum = 0; <b>while</b> (i&lt;N ) {     sum ++;     i++ }</pre>	<pre>1 1 N+1 N N</pre>

*The condition always  
executes one more time  
than the loop itself*

$$T(N) = 1 + 1 + N+1 + N + N$$

$$T(N) = 3N+3$$



# LECTURE 2

# Complexity *Analysis*

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For student

Chapter 2 of Alan Weiss's book

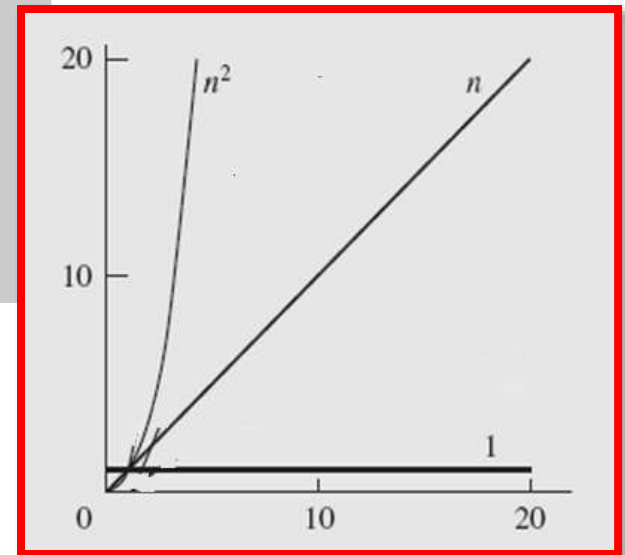
Chapter 2 of Adam Drozdek's book

# Analysis of Loop

Algorithm	Cost (time complexity)
<pre>i=0; sum = 0; <b>while</b> (i&lt;N ) {     sum ++;     i++ }</pre>	<pre>1 1 N+1 N N</pre>

$$T(N) = 1 + 1 + N+1 + N + N$$

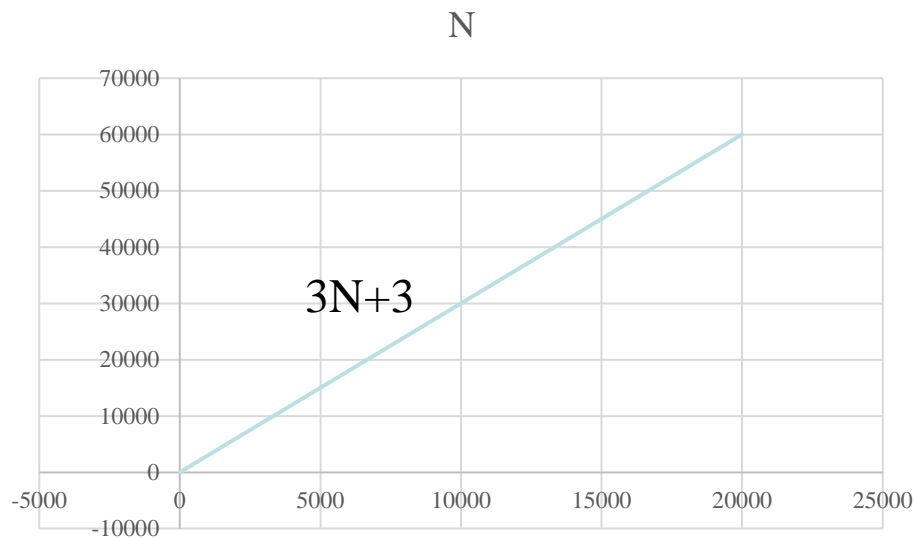
$$T(N) = 3N+3$$



## TWO important things to note

- What is the effect of constant in  $T(N) = 3N + 3$  ?
- How  $T(n)$  varies for different  $N$  (input) ?
  - Check for  $N = 5, 10, 20, 50, 100, 500, 1000, 10000, 100000$

# Analysis of Loop



N	$T(N) = 3N+3$
10	33
20	63
30	93
40	123
50	153
100	303
150	453
200	603
5000	15003
10000	30003
15000	45003
20000	60003





# NESTED Loop

## Example: Nested Loop

```
i=1;
sum = 0;
while (i <= n) {
    j=1;
    while (j <= n) {
        sum += i;
        j++;
    }
    i++;
}
```

Cost

1

1

1

1

1

1

1

1

Times

1

1

n+1

n

n\* (n+1)

n\*n

n\*n

n

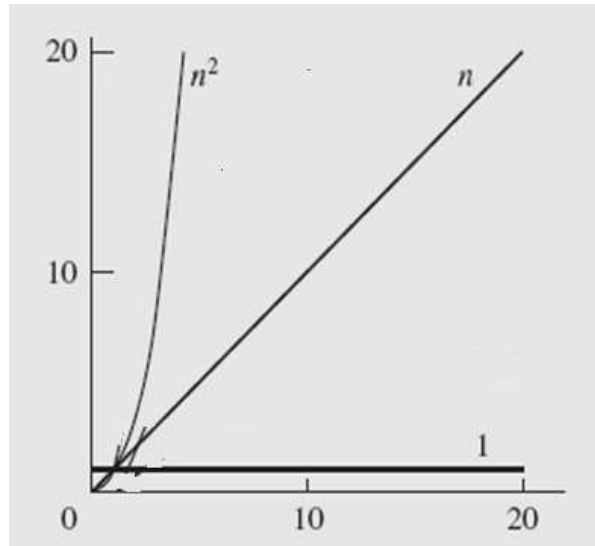
$$T(N) = 1 + 1 + (n+1) + n + n*(n+1) + n*n + n*n + n = 3n^2 + 3n + 3$$

→ The time required for this algorithm is **proportional to  $n^2$**

# Analysis of Nested Loop

- **2 important things to Note**

- What is the effect of constant and low order term in in  $T(N) = 3N^2 + 3N + 3$  *for large N* ?
- How  $T(n)$  varies for different  $N$  (input) ?
  - Check for  $N = 5, 10, 20, 50, 100, 500, 1000, 10000, 100000$



# Example: Effect of low order terms

- If  **$T(n) = 7n + 100$**
- What is  $T(n)$  for different values of  $n$ ???

n	$T(n)$	Comment
1	107	Contributing factor is 100

# Example: Effect of low order terms

- If  **$T(n) = 7n + 100$**
- What is  $T(n)$  for different values of  $n$ ???

n	T(n)	Comment
1	107	Contributing factor is 100
5	135	Contributing factor is $7n$ and 100
10	170	Contributing factor is $7n$ and 100

# Example: Effect of low order terms

- If  **$T(n) = 7n + 100$**
- What is  $T(n)$  for different values of  $n$ ???

n	T(n)	Comment
1	107	Contributing factor is 100
5	135	Contributing factor is $7n$ and 100
10	170	Contributing factor is $7n$ and 100
100	800	Contribution of 100 is small

# Example: Effect of low order terms

- If  **$T(n) = 7n + 100$**
- What is  $T(n)$  for different values of  $n$ ???

n	T(n)	Comment
1	107	Contributing factor is 100
5	135	Contributing factor is $7n$ and 100
10	170	Contributing factor is $7n$ and 100
100	800	Contribution of 100 is small
1000	7100	Contributing factor is $7n$

# Example: Effect of low order terms

- If  **$T(n) = 7n + 100$**
- What is  $T(n)$  for different values of  $n$ ???

n	T(n)	Comment
1	107	Contributing factor is 100
5	135	Contributing factor is $7n$ and 100
10	170	Contributing factor is $7n$ and 100
100	800	Contribution of 100 is small
1000	7100	Contributing factor is $7n$
10000	70100	Contributing factor is $7n$

# Example: Effect of low order terms

- If  **$T(n) = 7n + 100$**
- What is  $T(n)$  for different values of  $n$ ???

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1	107	Contributing factor is 100
5	135	Contributing factor is $7n$ and 100
10	170	Contributing factor is $7n$ and 100
100	800	Contribution of 100 is small
1000	7100	Contributing factor is $7n$
10000	70100	Contributing factor is $7n$
$10^6$	7000100	What is the contributing factor????

**DEDUCTION:** When approximating  $T(n)$  we can IGNORE the 100 term for very large value of  $n$  and say that  $T(n)$  can be approximated by  $7(n)$



$$T(N)=cN \text{ and } T(N)=N$$

- **Note** we are estimating Algorithm complexity with reference to size of the input
- $T_1(N)=N$  and  $T_2(N)=30N$  will have same effect with increase in input size
- Effect of input size on above  $T(N)$

N	$T_1(N)=N$	$T_2(N)=30N$
10	$t_1=10$	$t_2=300$

# $T(N)=cN$ and $T(N)=N$

- **Note** we are estimating Algorithm complexity with reference to size of the input
- $T_1(N)=N$  and  $T_2(N)=30N$  will have same effect with increase in input size
- Effect of input size on above  $T(N)$

N	$T_1(N)=N$	$T_2(N)=30N$
10	$t_1=10$	$t_2=300$
20	$2t_1$	$2t_2$

# $T(N)=cN$ and $T(N)=N$

- **Note** we are estimating Algorithm complexity with reference to size of the input
- $T_1(N)=N$  and  $T_2(N)=30N$  will have same effect with increase in input size
- Effect of input size on above  $T(N)$

N	$T_1(N)=N$	$T_2(N)=30N$
10	$t_1=10$	$t_2=300$
20	$2t_1$	$2t_2$
100	$10t_1$	$10t_2$
1000	$100t_1$	$100t_2$

**NO difference in  $T(N) = N$  and  $T(N) = cN$  in terms of the growth of time w.r.t input size**

# Example 2

$$T(n) = n^2 + 100n + \log_{10}n + 1000$$

n	T(n)	n <sup>2</sup>		100n		log <sub>10</sub> n		1000	
		Val	%	Val	%	Val	%	Val	%
1	1101	1	0.1%	100	9.1%	0	0%	1000	90.8%

# Example 2

$$T(n) = n^2 + 100n + \log_{10}n + 1000$$

n	T(n)	n <sup>2</sup>		100n		log <sub>10</sub> n		1000	
		Val	%	Val	%	Val	%	Val	%
1	1101	1	0.1%	100	9.1%	0	0%	1000	90.8%
10	2101	100	5.8%	1000	47.6%	1	0.05%	1000	47.6%

# Example 2

$$T(n) = n^2 + 100n + \log_{10}n + 1000$$

n	T(n)	n <sup>2</sup>		100n		log <sub>10</sub> n		1000	
		Val	%	Val	%	Val	%	Val	%
1	1101	1	0.1%	100	9.1%	0	0%	1000	90.8%
10	2101	100	5.8%	1000	47.6%	1	0.05%	1000	47.6%
100	21002	10000	47.6%	10000	47.6%	2	0.99%	1000	4.76%

# Example 2

$$T(n) = n^2 + 100n + \log_{10}n + 1000$$

n	T(n)	n <sup>2</sup>		100n		log <sub>10</sub> n		1000	
		Val	%	Val	%	Val	%	Val	%
1	1101	1	0.1%	100	9.1%	0	0%	1000	90.8%
10	2101	100	5.8%	1000	47.6%	1	0.05%	1000	47.6%
100	21002	10000	47.6%	10000	47.6%	2	0.99%	1000	4.76%
10 <sup>5</sup>	10,010,001,005	10 <sup>10</sup>	99.9%	10 <sup>7</sup>	.099%	5	0.0%	1000	0.00%

When approximating  $T(n)$  we can **IGNORE** the last 3 terms and say that  $T(n)$  can be approximated by  $n^2$

# Rate of Growth

- Consider the example of buying *Gold and Metal jewelry*

- 



+



**Cost:**  $\text{cost\_of\_gold} + \text{cost\_of\_metal}$

**Cost**  $\sim \text{cost\_of\_gold}$  (approximation)





# Rate of Growth

- The low order terms in a function are relatively insignificant for **large  $n$**

$$n^4 + 100n^2 + 10n + 50 \sim n^4$$

*i.e.*, we say that  $n^4 + 100n^2 + 10n + 50$  and  $n^4$  have the same **rate of growth**

*Thus,  
we throw away leading constants &  
we also throw away low order terms.*

# Partial SUM

	Cost
<pre>int sum( int n ) {     int partialSum;     1 partialSum = 0;     2 for( int i = 1; i &lt;= n;       ++i )      3 partialSum += i * i * i;      4 return partialSum; }</pre>	

$$T(N) = 6N + 4$$

If we had to perform all this work everytime we needed to analyze a program, the task would quickly **become infeasible**.

# Partial SUM

	Cost
<pre>int sum( int n ) {     int partialSum;     1 partialSum = 0;     2 for( int i = 1; i &lt;= n;       ++i )      3 partialSum += i * i * i;      4 return partialSum; }</pre>	<p>0</p> <p>1</p> <p>i=1 cost is 1, i&lt;=N cost is N+1 ++i cost is N</p>

$$T(N) = 6N + 4$$

If we had to perform all this work everytime we needed to analyze a program, the task would quickly **become infeasible**.

# Partial SUM

```
int sum( int n )
{
    int partialSum;
    1 partialSum = 0;
    2 for( int i = 1; i <= n;
      ++i )

    3 partialSum += i * i * i;

    4 return partialSum;
}
```

## Cost

0

1

i=1 cost is 1,

i<=N cost is N+1

++i cost is N

3 ops 1 assignment,

2 multiplication, 1 add

Total 4 cost for line 3

0

$$T(N) = 6N + 4$$

If we had to perform all this work everytime we needed to analyze a program, the task would quickly **become infeasible**.

# Problems with $T(n)$

$T(n)$  is difficult to calculate



$T(n)$  is also not very meaningful as step size is not exactly defined



Approximation of  $T(n)$  is called ASYMPTOTIC COMPLEXITY



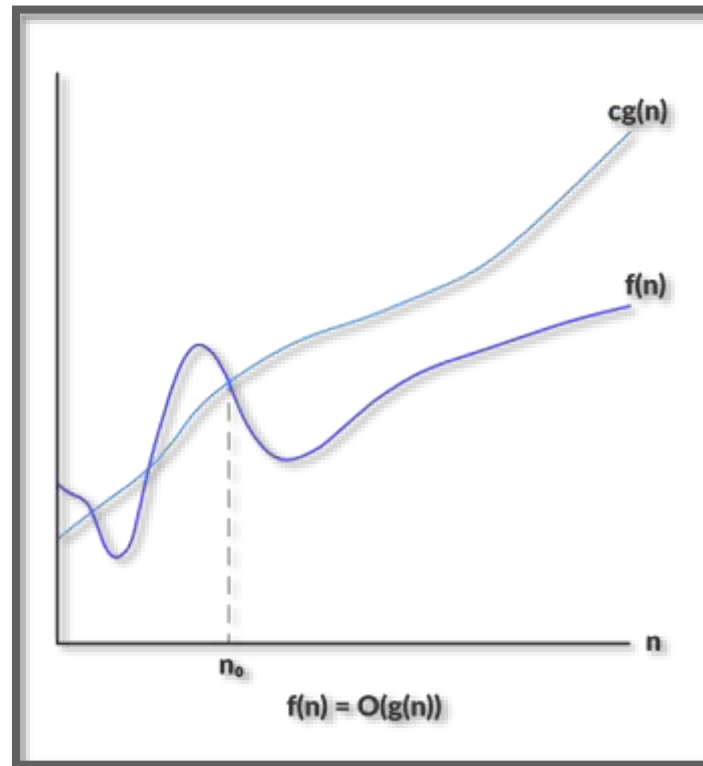
$T(n)$  is usually very complicated so we need an **approximation** of  $T(n)$ ....close to  $T(n)$ .

*Asymptotic complexity studies the efficiency of an algorithm as the input size becomes large*

# Big-Oh or Big-O

*$f(n)$  is  $O(g(n))$  if there exist positive numbers  $c$  &  $n_0$  such that  $f(n) \leq cg(n)$  for all  $n \geq n_0$*

**$g(n)$  is called the upper bound on  $f(n)$  OR  
 $f(n)$  grows at the most as large as  $g(n)$**



# Big-Oh or Big-O

*$f(n)$  is  $O(g(n))$  if there exist positive numbers  $c$  &  $n_0$  such that  $f(n) \leq cg(n)$  for all  $n \geq n_0$*

$g(n)$  is called the upper bound on  $f(n)$  OR  
 $f(n)$  grows at the most as large as  $g(n)$

## Example:

$$T(n) = n^2 + 3n + 4$$

$$n^2 + 3n + 4 \leq 2n^2 \quad \text{for all } n_0 > 10$$

**What is  $f(n)$  and what is  $g(n)$ ?**

**What is  $c$  &  $n$**

so we can say that  $T(n)$  is  $O(n^2)$  OR

$T(n)$  is in the order of  $n^2$ .

$T(n)$  is bounded above by a + real multiple of  $n^2$

# How to choose $c$ and $N$

$$f(n) = 2n^2 + 3n + 1 = O(n^2)$$

What is  $c$  &  $N$

- The definition of big-Oh states only that there must exist **certain  $c$  and  $N$** , but it does not give any hint of how to calculate these constants.
- **Second**, it does not put any restrictions on these values and gives little guidance in situations when there are many candidates.
- In fact, there are usually **infinitely many pairs of  $c$ s and  $N$ s** that can be given for the same pair of functions  $f$  and  $g$ .



# How to choose c and N

We obtain the value of c & n by solving the inequality

$$2n^2 + 3n + 1 \leq cn^2$$

or equivalently

$$2 + \frac{3}{n} + \frac{1}{n^2} \leq c$$

Put value of  $n=1,2,3$ ,  
in this equation to get  
value of c for that n

***Because it is one inequality with two unknowns, different pairs of constants c and n for the same function  $g(n^2)$  can be determined.***

***For a fixed g, an infinite number of pairs of c's and n's can be identified.***

# How to choose $c$ and $N$

$$f(n) = 2n^2 + 3n + 1 = O(n^2) \quad (2.2)$$

where  $g(n) = n^2$ , candidate values for  $c$  and  $N$  are shown in Figure 2.2.

Here  $N$  is same as  $n_0$

Different values of  $c$  and  $N$  for function  $f(n) = 2n^2 + 3n + 1 = O(n^2)$  calculated according to the definition of big-O.

$c$	$\geq 6$	$\geq 3\frac{3}{4}$	$\geq 3\frac{1}{9}$	$\geq 2\frac{13}{16}$	$\geq 2\frac{16}{25}$	$\dots$	$\rightarrow$	2
$N$	1	2	3	4	5	$\dots$	$\rightarrow$	$\infty$

# More Examples

- Show that  $3n+3$  is  $O(n)$ .
  - Show  $\exists c, n_0: 3n+3 \leq cn, \forall n > n_0$ .
    - $3+3/n \leq c$  ....
    - $n_0=1 \ c \geq 6$
    - $n_0=2 \ c \geq 4.5$  so on
- Show that  $2n+2$  is  $O(n)$ .
  - $n_0=1, c \geq 4$
  - $c=3, n_0=2$ .

# More Examples

- Show that  $2n^2 + 2n + 2$  is  $O(n^2)$ .
  - Hold if we let  $c=6, n_0=1$ .
  - Hold if we let  $c=5, n_0=2$
  - Hold if we let  $c=4, n_0=2$
- Show that  $3n^2 + 3n + 3$  is  $O(n^2)$ .
  - Hold if we let  $c=9, n_0=1$ .
  - Hold if we let  $c=7, n_0=3$

# How to choose $c$ and $N$

$$f(n) = 2n^2 + 3n + 1 = O(n^2) \quad (2.2)$$

where  $g(n) = n^2$ , candidate values for  $c$  and  $N$  are shown in Figure 2.2.

Different values of  $c$  and  $N$  for function  $f(n) = 2n^2 + 3n + 1 = O(n^2)$  calculated according to the definition of big-O.

Here  $N$  is same as  $n_0$

$c$	$\geq 6$	$\geq 3\frac{3}{4}$	$\geq 3\frac{1}{9}$	$\geq 2\frac{13}{16}$	$\geq 2\frac{16}{25}$	$\dots$	$\rightarrow$	2
$N$	1	2	3	4	5	$\dots$	$\rightarrow$	$\infty$

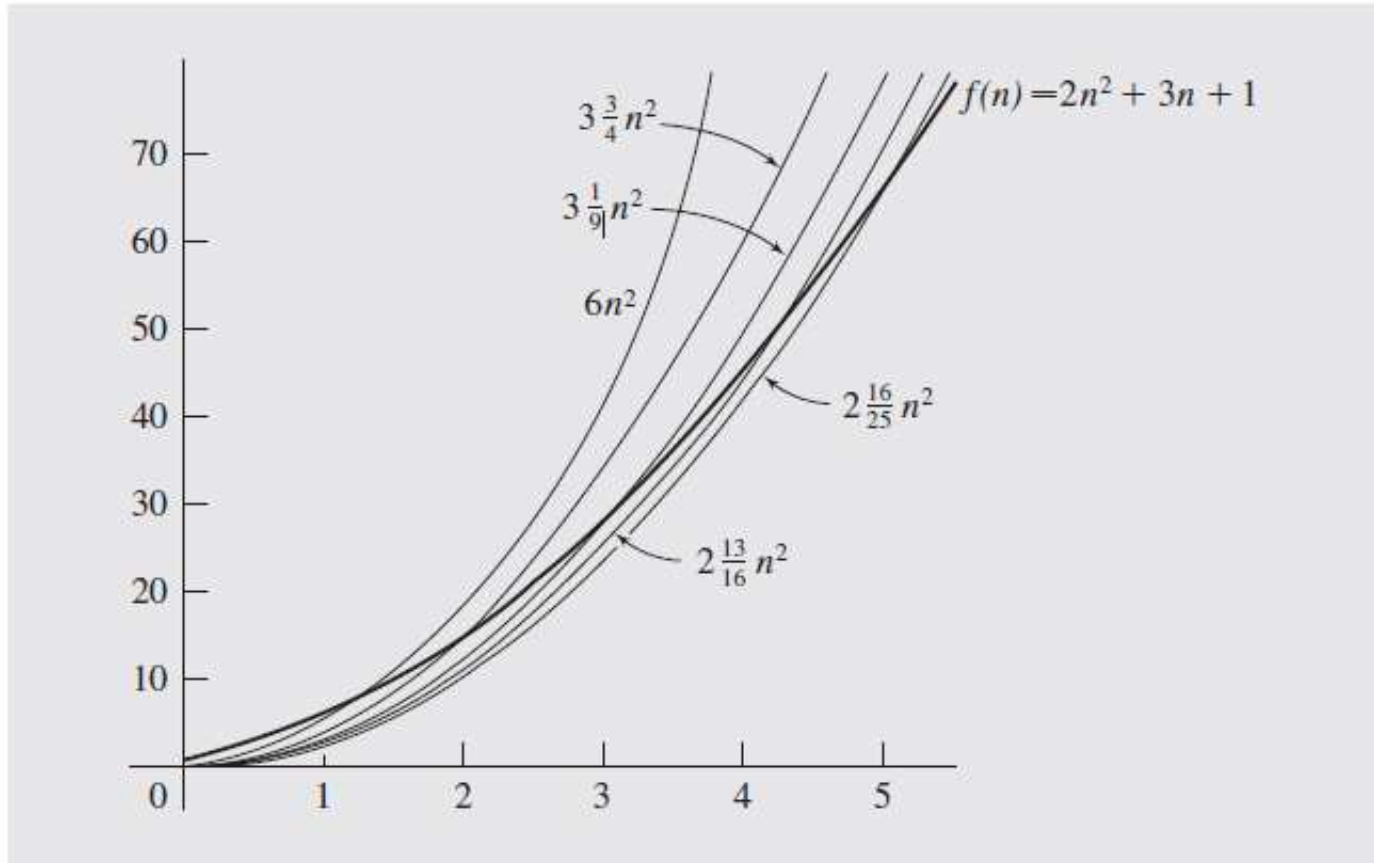
The crux of the matter is that the value of  $c$  depends on which  $N$  is chosen, and vice versa.

To choose the best  $c$  and  $N$ , it should be determined for which  $N$  a certain term in  $f$  becomes the largest and stays the largest.

In Equation 2.2, the only candidates for the largest term are  $2n^2$  and  $3n$ ; these terms can be compared using the inequality  $2n^2 > 3n$  that holds for  $n > 1.5$ . Thus,  $N = 2$  and  $c \geq 3$

# How to choose c and N

- The point is that  $f$  and  $g$  grow at the same rate.
- $g$  is almost always greater than or equal to  $f$  if it is multiplied by a constant  $c$ .



# Big-O example, graphically

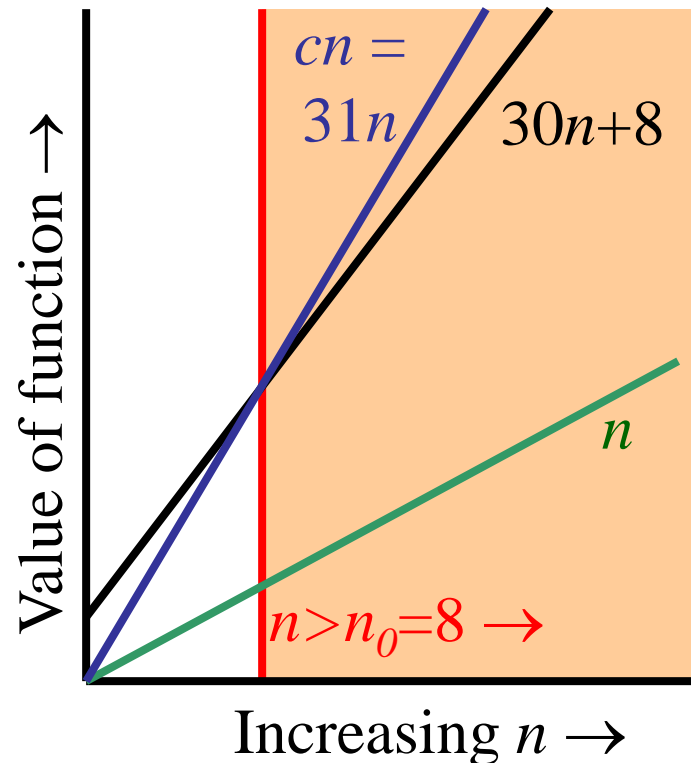
Show that  $30n+8$  is  $O(n)$ .

Show  $\exists c, n_0: 30n+8 \leq cn, \forall n > n_0$ .

Let  $c=31, n_0=8$ . Assume  $n > n_0=8$ . Then

$cn = 31n = 30n + n > 30n+8$ , so  $30n+8 < cn$ .

- Note  $30n+8$  isn't less than  $n$  *anywhere* ( $n > 0$ ).
- It isn't even less than  $31n$  *everywhere*.
- But it *is* less than  $31n$  everywhere to the right of  $n=8$ .



$$30n+8 \in O(n)$$

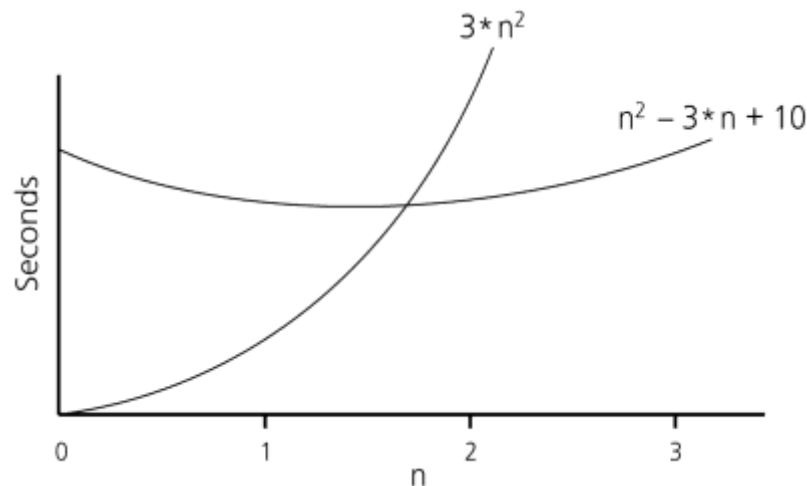
# Example

- For  $n^2 - 3n + 10$  Find constants  $c$  and  $n_0$  exist such that  $cn^2 > n^2 - 3n + 10$  for all  $n \geq n_0$ .

**c is 3 and  $n_0$  is 2**

$$3n^2 > n^2 - 3n + 10 \quad \text{for all } n \geq 2.$$

Thus, the algorithm requires no more than  $kn^2$  time units for  $n \geq n_0$ , So it is  **$O(n^2)$**





# Big Oh Example

- There is no unique set of values for  $n_0$  and  $c$  in proving the asymptotic bounds

- Prove that  $100n + 5 = O(n^2)$

- $100n + 5 \leq 100n + n = 101n \leq 101n^2$

for all  $n \geq 5$

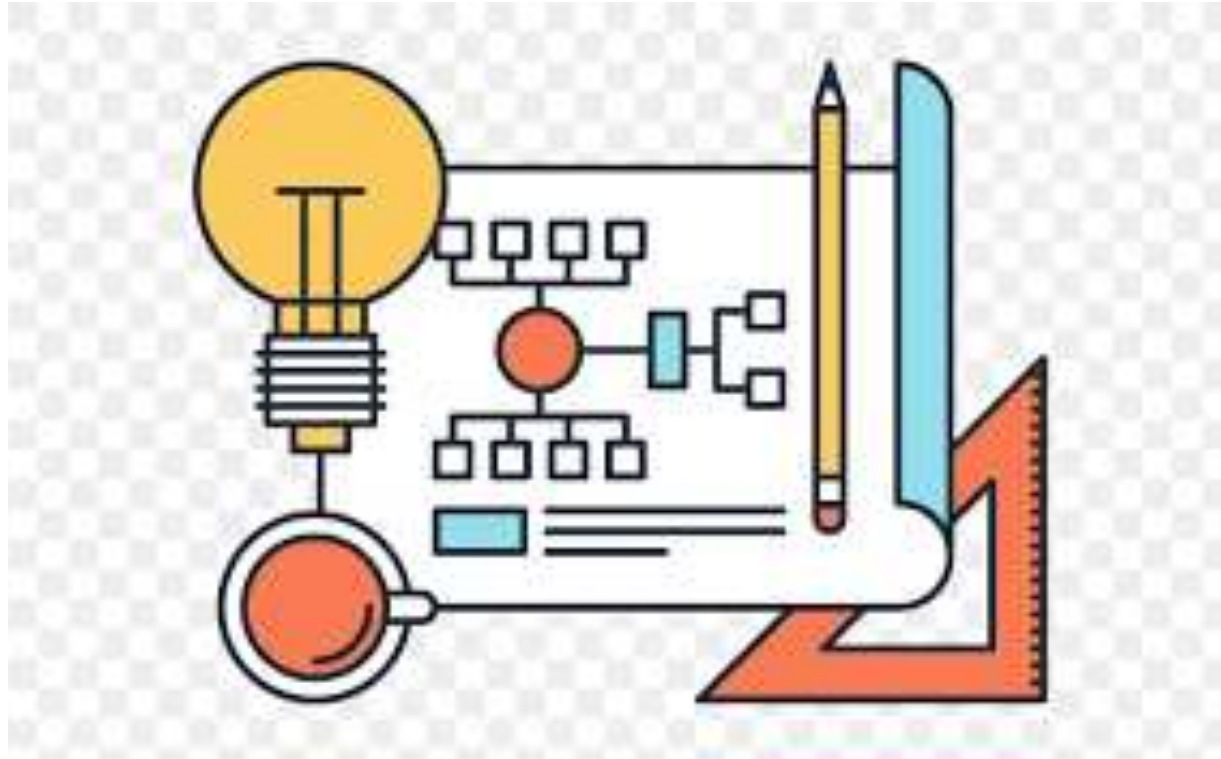
$n_0 = 5$  and  $c = 101$  is a solution

- $100n + 5 \leq 100n + 5n = 105n \leq 105n^2$

for all  $n \geq 1$

$n_0 = 1$  and  $c = 105$  is also a solution

Must find **SOME** constants  $c$  and  $n_0$  that satisfy the asymptotic notation relation



# ALGORITHM ANALYSIS OF DIFFERENT CODES

# Nested For Loops

- **For Loop** *can be confusing as three statements are embedded in one ...As  $T(N)$  is rough estimate, so some analysis use 1 for entire for loop ...1 cost for each line or step*

**`sum = 0;`**  **$O(1)$**

**`for(i=0; i<N; i++)`**  **$O(N)$**

**`for(j=0; j<N; j++)`**  **$O(N^2)$**

**`sum += arr[i][j];`**  **$O(N^2)$**

-----

$$T(N) \approx O(1) + O(N) + O(N^2) + O(N^2) = O(N^2)$$

*The total number of times a statement executes =  
outer loop times \* inner loop times*

# Nested For Loops

Rough estimate

***for(i=0; i<N; i++)***  **$O(N)$**

***arr[i][i] = 0;***  **$O(N)$**

***sum = 0;***  **$O(1)$**

***for(i=0; i<N; i++)***  **$O(N)$**

***for(j=0; j<N; j++)***  **$O(N^2)$**

***sum += arr[i][j];***  **$O(N)^2$**

-----

$$O(N) + O(N^2) = O(N^2)$$